

# SECURITIES OFFERINGS

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## STATE NOTICES

Published pursuant to provisions of General Business Law  
[Art. 23-A, §359-e(2)]

## DEALERS; BROKERS

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Bay Resource Partners, L.P.  
2100 River Edge Pkwy., Suite 840, Atlanta, GA 30328  
*Partnership* — GMT Capital Corp.

Berexco Ethanol Partners LLC  
2020 N. Bramblewood, Wichita, KS 67206  
*State or country in which incorporated* — Delaware

Blue Ridge Group, Inc.  
632 Adams St., Suite 700, Bowling Green, KY 42101  
*State or country in which incorporated* — Nevada

CapFinancial Partners, LLC  
8816 Six Forks Rd., Suite 301, Raleigh, NC 27615

Cavalier Growth & Income Fund II, LLC  
214 Overlook Ct., Suite 250, Brentwood, TN 37027

Chazoneering: Nehemiah Pritira Fund, LLC  
244 5th Ave., 2nd Fl., Suite G250, New York, NY 10001-7604  
*State or country in which incorporated* — Delaware

Community Bankers Securities, LLC  
7501 Boulders View Dr., Boulders IV, Suite 601, Richmond, VA  
23225  
*State or country in which incorporated* — Virginia

DigitalGlobe, Inc.  
1900 Pike Rd., Longmont, CO 80501  
*State or country in which incorporated* — Delaware

FirstBank NW Corp.  
920 Main St., Lewiston, ID 83501  
*State or country in which incorporated* — Washington

Franklin Global Trust—Fiduciary Core Fixed Income Fund  
c/o Legal Blue Sky Department, One Franklin Parkway, San Mateo,  
CA 94403-1906  
*State or country in which incorporated* — Delaware

Franklin Global Trust—Fiduciary Core Plux Fixed Income Fund  
c/o Legal Blue Sky Department, One Franklin Parkway, San Mateo,  
CA 94403-1906  
*State or country in which incorporated* — Delaware

Franklin Global Trust—Fiduciary European Smaller Companies Fund  
c/o Legal Blue Sky Department, One Franklin Parkway, San Mateo,  
CA 94403-1906  
*State or country in which incorporated* — Delaware

Franklin Global Trust—Fiduciary High Income Fund  
c/o Legal Blue Sky Department, One Franklin Parkway, San Mateo,  
CA 94403-1906  
*State or country in which incorporated* — Delaware

Franklin Global Trust—Fiduciary Small Capitalization Equity Fund  
c/o Legal Blue Sky Department, One Franklin Parkway, San Mateo,  
CA 94403-1906  
*State or country in which incorporated* — Delaware

Independence Devon Park LP  
1301 Lancaster Ave., Suite 202, Berwyn, PA 19312  
*Partnership* — Independence Devon Park LLC and Radnor Devon  
Park LLC

JSI Transaction Advisors LLC  
6315 Seabrook R., Seabrook, MD 20706

Kawaller Fund LP, The  
162 State St., Brooklyn, NY 11201  
*Partnership* — Kawaller & Company LLC

Logos Partners, L.P.  
300 Drakes Landing Rd., Suite 175, Greenbrae, CA 94904  
*Partnership* — Clark M. Lehman

Magna Resources Brookshire Dome #8 Joint Venture  
Campbell Centre II, #1700, 8150 N. Central Expwy., Dallas, TX 75206

Misys, plc  
8529 Six Forks Rd., Raleigh, NC 27615  
*State or country in which incorporated* — United Kingdom

Nabi Biopharmaceuticals  
5800 Park of Commerce Blvd., NW, Boca Raton, FL 33487  
*State or country in which incorporated* — Delaware

One Price Clothing Stores, Inc.

Hwy. 290 Commerce Park, 1875 E. Main St., Duncan, SC 29334  
*State or country in which incorporated* — Delaware

Pacific Ridge Exploration Ltd.  
 1205-675 W. Hastings St., Vancouver, BC, Canada V6B 1N2  
*State or country in which incorporated* — Canada

Paciolan, Inc.  
 17305 Von Karman Ave., Irvine, CA 92614  
*State or country in which incorporated* — California

Pemetic Capital Partners LP  
 50 Broad St., Suite 1614, New York, NY 10004  
*Partnership* — Pemetic Capital LLC

Perch Bay Partners Sigma I, LP  
 420 Lexington Ave., Suite 300, New York, NY 10177  
*Partnership* — Perch Bay Partners, LLC

Phoenix Equity Planning Corporation  
 56 Prospect St., Hartford, CT 06103  
*State or country in which incorporated* — Connecticut

PIA Mutual Fund, PIA BBB Bond Fund  
 1299 Ocean Ave., Suite 210, Santa Monica, CA 90401  
*State or country in which incorporated* — Massachusetts

RCG Absolute Return Fund, LLC  
 410 Park Ave., Suite 1530, New York, NY 10022  
*Partnership* — RCG Capital Partners, LLC  
*State or country in which incorporated* — Delaware limited liability company

VFinance Investments, Inc.  
 880 Third Ave., 4th Fl., New York, NY 10022  
*State or country in which incorporated* — Florida

Waterstone Market Neutral Fund, L.P.  
 Two Carlson Pkwy., Suite 260, Plymouth, MN 55447  
*Partnership* — Waterstone Capital Advisors, LLC

#### FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law  
 [Art. 23-A, §359-e(8), as amended]

SECURITIES BY  
 DEALERS; BROKERS;  
 SYNDICATE MANAGERS

Banc of America Securities, LLC  
 214 N. Tryon St., 21st Fl., Charlotte, NC 28255  
 Security(ies) — Option One Mortgage Loan Trust 2003-5, Asset-Backed Certificates, Series 2003-5, Class M-5  
*Issuer of Security(ies)* — Option One Mortgage Acceptance Corporation  
*Address of issuer* — Three Ada, Irvine, CA 92618  
*State or country in which organized* — Delaware

Banc of America Securities, LLC  
 214 N. Tryon St., 21st Fl., Charlotte, NC 28255

Security(ies) — Option One Mortgage Loan Trust 2003-5, Asset-Backed Certificates, Series 2003-5, Class M-6  
*Issuer of Security(ies)* — Option One Mortgage Acceptance Corporation  
*Address of issuer* — Three Ada, Irvine, CA 92618  
*State or country in which organized* — Delaware

Barclays Capital Inc.  
 200 Park Ave., New York, NY 10166  
*State or Country in which incorporated* — Connecticut  
 Security(ies) — Series 2003-C Class C-1 Asset Backed Notes  
*Issuer of Security(ies)* — Advanta Business Card Master Trust  
*Address of issuer* — c/o Advanta Corp., Welsh & McKean Rds., P.O. Box 844, Spring House, PA 19477  
*State or country in which organized* — Nevada

Barclays Capital Inc.  
 200 Park Ave., New York, NY 10166  
*State or Country in which incorporated* — Connecticut  
 Security(ies) — Series 2003-C Class C-2 Asset Backed Notes  
*Issuer of Security(ies)* — Advanta Business Card Master Trust  
*Address of issuer* — c/o Advanta Corp., Welsh & McKean Rds., P.O. Box 844, Spring House, PA 19477  
*State or country in which organized* — Nevada

Bay Resource Partners, L.P.  
 2100 River Edge Pkwy., Suite 840, Atlanta, GA 30328  
 Security(ies) — Limited partnership interests  
*Issuer of Security(ies)* — Bay Resource Partners, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Berexco Ethanol Partners LLC  
 2020 N. Bramblewood, Wichita, KS 67206  
*State or Country in which incorporated* — Delaware  
 Security(ies) — Class A Units (interest in limited liability company)  
*Issuer of Security(ies)* — Berexco Ethanol Partners LLC  
*Address of issuer* — P.O. Box 20380, Wichita, KS 67208  
*State or country in which organized* — Delaware

Blue Ridge Group, Inc.  
 632 Adams St., Suite 700, Bowling Green, KY 42101  
*State or Country in which incorporated* — Nevada  
 Security(ies) — Limited partnership interest  
*Issuer of Security(ies)* — Texas Drilling Program #1, Ltd.  
*Address of issuer* — 632 Adams St., Suite 700, Bowling Green, KY 42101  
*State or country in which organized* — Kentucky

Cavalier Growth & Income Fund II, LLC; (2) Freedom Financial, Inc.  
 214 Overlook Ct., Suite 250, Brentwood, TN 37027; (2) 17500 Blondo St., Omaha, NE 68116  
 Security(ies) — Limited liability company units  
*Issuer of Security(ies)* — Cavalier Growth & Income Fund II, LLC  
*Address of issuer* — 214 Overlook Ct., Suite 250, Brentwood, TN 37027  
*State or country in which organized* — Tennessee

Chazoneering: Nehemiah Pritira Fund, LLC  
 244 5th Ave., 2nd Fl., Suite G250, New York, NY 10001-7604  
*State or Country in which incorporated* — Delaware  
 Security(ies) — Limited liability interests  
*Issuer of Security(ies)* — Chazoneering: Nehemiah Pritiva Fund, LLC

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Deutsche Bank Securities Inc.; (2) J.P. Morgan Securities Inc.  
31 W. 52nd St., New York, NY 10019; (2) 270 Park Ave., 8th Fl., New York, NY 10017

*State or Country in which incorporated* — (1-2) Delaware

*Security(ies)* — 1.08125% asset backed notes, class A-1

*Issuer of Security(ies)* — John Deere Owner Trust 2003

*Address of issuer* — Suite 600, First Interstate Bank Bldg., One E. First St., Reno, NV 89501

*State or country in which organized* — Nevada

Deutsche Bank Securities Inc.; (2) J.P. Morgan Securities Inc.  
31 W. 52nd St., New York, NY 10019; (2) 270 Park Ave., 8th Fl., New York, NY 10017

*State or Country in which incorporated* — (1-2) Delaware

*Security(ies)* — 1.31% asset backed notes, class A-2

*Issuer of Security(ies)* — John Deere Owner Trust 2003

*Address of issuer* — Suite 600, First Interstate Bank Bldg., One E. First St., Reno, NV 89501

*State or country in which organized* — Nevada

Deutsche Bank Securities Inc.; (2) J.P. Morgan Securities Inc.  
31 W. 52nd St., New York, NY 10019; (2) 270 Park Ave., 8th Fl., New York, NY 10017

*State or Country in which incorporated* — (1-2) Delaware

*Security(ies)* — 1.79% asset backed notes, class A-3

*Issuer of Security(ies)* — John Deere Owner Trust 2003

*Address of issuer* — Suite 600, First Interstate Bank Bldg., One E. First St., Reno, NV 89501

*State or country in which organized* — Nevada

Deutsche Bank Securities Inc.; (2) J.P. Morgan Securities Inc.  
31 W. 52nd St., New York, NY 10019; (2) 270 Park Ave., 8th Fl., New York, NY 10017

*State or Country in which incorporated* — (1-2) Delaware

*Security(ies)* — 2.44% asset backed notes, class A-4

*Issuer of Security(ies)* — John Deere Owner Trust 2003

*Address of issuer* — Suite 600, First Interstate Bank Bldg., One E. First St., Reno, NV 89501

*State or country in which organized* — Nevada

Deutsche Bank Securities Inc.; (2) J.P. Morgan Securities Inc.  
31 W. 52nd St., New York, NY 10019; (2) 270 Park Ave., 8th Fl., New York, NY 10017

*State or Country in which incorporated* — (1-2) Delaware

*Security(ies)* — 2.01% asset backed notes, class B

*Issuer of Security(ies)* — John Deere Owner Trust 2003

*Address of issuer* — Suite 600, First Interstate Bank Bldg., One E. First St., Reno, NV 89501

*State or country in which organized* — Nevada

DigitalGlobe, Inc.  
1900 Pike Rd., Longmont, CO 80501

*State or Country in which incorporated* — Delaware

*Security(ies)* — Common stock

*Issuer of Security(ies)* — DigitalGlobe, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Fiberlink Communications Corporation  
794 Penllyn Pike, Blue Bell, PA 19422

*State or Country in which incorporated* — Pennsylvania

*Security(ies)* — Common stock, par value \$1 per share

*Issuer of Security(ies)* — Fiberlink Communications Corporation

*Address of issuer* — Same as above

*State or country in which organized* — Pennsylvania

FirstBank NW Corp.  
920 Main St., Lewiston, ID 83501

*State or Country in which incorporated* — Washington

*Security(ies)* — Common stock, par value \$.01 per share

*Issuer of Security(ies)* — FirstBank NW Corp.

*Address of issuer* — Same as above

*State or country in which organized* — Washington

First Trust Portfolios L.P.  
1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of Security(ies)* — FT 744 (The Dow<sup>SM</sup> Target 5 Portfolio, August 2003 Series)  
*Address of issuer* — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of Security(ies)* — FT 744 (The Dow<sup>SM</sup> Target 10 Portfolio, August 2003 Series)  
*Address of issuer* — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532  
*State or country in which organized* — Illinois

Franklin Global Trust—Fiduciary Core Fixed Income Fund  
c/o Legal Blue Sky Department, One Franklin Parkway, San Mateo, CA 94403-1906  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Beneficial interest (\$.01 par value)  
*Issuer of Security(ies)* — Franklin Global Trust—Fiduciary Core Fixed Income Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Franklin Global Trust—Fiduciary Core Plus Fixed Income Fund  
c/o Legal Blue Sky Department, One Franklin Parkway, San Mateo, CA 94403-1906  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Beneficial interest (\$.01 par value)  
*Issuer of Security(ies)* — Franklin Global Trust—Fiduciary Core Plus Fixed Income Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Franklin Global Trust—Fiduciary European Smaller Companies Fund  
c/o Legal Blue Sky Department, One Franklin Parkway, San Mateo, CA 94403-1906  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Beneficial interest (\$.01 par value)  
*Issuer of Security(ies)* — Franklin Global Trust—Fiduciary European Smaller Companies Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Franklin Global Trust—Fiduciary High Income Fund

c/o Legal Blue Sky Department, One Franklin Parkway, San Mateo, CA 94403-1906

*State or Country in which incorporated* — Delaware

*Security(ies)* — Beneficial interest (\$.01 par value)

*Issuer of Security(ies)* — Franklin Global Trust—Fiduciary High Income Fund

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Franklin Global Trust—Fiduciary Small Capitalization Equity Fund  
c/o Legal Blue Sky Department, One Franklin Parkway, San Mateo, CA 94403-1906

*State or Country in which incorporated* — Delaware

*Security(ies)* — Beneficial interest (\$.01 par value)

*Issuer of Security(ies)* — Franklin Global Trust—Fiduciary Small Capitalization Equity Fund

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Greenwich Capital Markets, Inc.

600 Steamboat Rd., Greenwich, CT 06830

*Security(ies)* — Option One Mortgage Loan Trust 2003-4, Asset Backed Certificates, Series 2003-4, Class M-4

*Issuer of Security(ies)* — Option One Mortgage Acceptance Corporation

*Address of issuer* — Three Ada, Irvine, CA 92618

*State or country in which organized* — Delaware

Greenwich Capital Markets, Inc.

600 Steamboat Rd., Greenwich, CT 06830

*Security(ies)* — Option One Mortgage Loan Trust 2003-4, Asset Backed Certificates, Series 2003-4, Class M-5A

*Issuer of Security(ies)* — Option One Mortgage Acceptance Corporation

*Address of issuer* — Three Ada, Irvine, CA 92618

*State or country in which organized* — Delaware

Greenwich Capital Markets, Inc.

600 Steamboat Rd., Greenwich, CT 06830

*Security(ies)* — Option One Mortgage Loan Trust 2003-4, Asset Backed Certificates, Series 2003-4, Class M-5F

*Issuer of Security(ies)* — Option One Mortgage Acceptance Corporation

*Address of issuer* — Three Ada, Irvine, CA 92618

*State or country in which organized* — Delaware

Greenwich Capital Markets, Inc.

600 Steamboat Rd., Greenwich, CT 06830

*Security(ies)* — Option One Mortgage Loan Trust 2003-4, Asset Backed Certificates, Series 2003-4, Class M-6

*Issuer of Security(ies)* — Option One Mortgage Acceptance Corporation

*Address of issuer* — Three Ada, Irvine, CA 92618

*State or country in which organized* — Delaware

Independence Devon Park LP

1301 Lancaster Ave., Suite 202, Berwyn, PA 19312

*Security(ies)* — Limited partnership interests

*Issuer of Security(ies)* — Independence Devon Park LP

*Address of issuer* — Same as above

*State or country in which organized* — Pennsylvania

JBG/Arlington Office Investors, L.L.C.

5301 Wisconsin Ave. NW, Suite 300, Washington, DC 20015

*Security(ies)* — Offering of limited liability company interests

*Issuer of Security(ies)* — JBG/Arlington Office, L.L.C.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Kawaller Fund LP, The

162 State St., Brooklyn, NY 11201

*Security(ies)* — Limited partnership interests

*Issuer of Security(ies)* — The Kawaller Fund LP

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Logos Partners, L.P.

300 Drakes Landing Rd., Suite 175, Greenbrae, CA 94904

*Security(ies)* — Limited partner interests

*Issuer of Security(ies)* — Logos Partners, L.P.

*Address of issuer* — Same as above

*State or country in which organized* — California

Magna Resources Brookshire Dome #8 Joint Venture

Campbell Centre II, #1700, 8150 N. Central Expwy., Dallas, TX 75206

*Security(ies)* — Joint venture interests

*Issuer of Security(ies)* — Magna Resources Brookshire Dome #8 Joint Venture

*Address of issuer* — Same as above

*State or country in which organized* — Texas

McGinn, Smith & Co., Inc.

One Capital Centre, 99 Pine St., Albany, NY 12207

*State or Country in which incorporated* — New York

*Security(ies)* — Corporate bonds with warrants

*Issuer of Security(ies)* — Coventry CareLink Holding Corp.

*Address of issuer* — 1302 Concourse Dr., Suite 202, Linthicum, MD 21090

*State or country in which organized* — Maryland

Meridian Clearing Corp.

5299 DTC Blvd., Suite 1200, Greenwood Village, CO 80111

*State or Country in which incorporated* — Colorado

*Security(ies)* — Icon Bond Fund (Class C shares of beneficial interest)

*Issuer of Security(ies)* — Icon Funds

*Address of issuer* — 5299 DTC Blvd., Suite 1200, Greenwood Village, CO 80111

*State or country in which organized* — Massachusetts business trust

Meridian Clearing Corp.

5299 DTC Blvd., Suite 1200, Greenwood Village, CO 80111

*State or Country in which incorporated* — Colorado

*Security(ies)* — Icon Bond Fund (Class I shares of beneficial interest)

*Issuer of Security(ies)* — Icon Funds

*Address of issuer* — 5299 DTC Blvd., Suite 1200, Greenwood Village, CO 80111

*State or country in which organized* — Massachusetts business trust

Meridian Clearing Corp.

5299 DTC Blvd., Suite 1200, Greenwood Village, CO 80111

*State or Country in which incorporated* — Colorado

*Security(ies)* — Icon Covered Call Fund (Class C shares of beneficial interest)

*Issuer of Security(ies)* — 5299 DTC Blvd., Suite 1200, Greenwood Village, CO 80111

*Address of issuer* — 5299 DTC Blvd., Suite 1200, Greenwood Village,

CO 80111

*State or country in which organized* — Massachusetts business trust

Meridian Clearing Corp.

5299 DTC Blvd., Suite 1200, Greenwood Village, CO 80111

*State or Country in which incorporated* — Colorado*Security(ies)* — Icon Covered Call Fund (Class I shares of beneficial interest)*Issuer of Security(ies)* — Icon Funds*Address of issuer* — 5299 DTC Blvd., Suite 1200, Greenwood Village, CO 80111*State or country in which organized* — Massachusetts business trust

Misys, plc

8529 Six Forks Rd., Raleigh, NC 27615

*State or Country in which incorporated* — United Kingdom*Security(ies)* — Common stock (issued pursuant to the Misys Annual Award Plan)*Issuer of Security(ies)* — Misys, plc*Address of issuer* — Same as above*State or country in which organized* — United Kingdom

Misys, plc

8529 Six Forks Rd., Raleigh, NC 27615

*State or Country in which incorporated* — United Kingdom*Security(ies)* — Common stock (issued pursuant to the Misys Employee Stock Purchase Plan)*Issuer of Security(ies)* — Misys, plc*Address of issuer* — Same as above*State or country in which organized* — United Kingdom

Misys, plc

8529 Six Forks Rd., Raleigh, NC 27615

*State or Country in which incorporated* — United Kingdom*Security(ies)* — Common stock (issued pursuant to the Misys 1991 performance-related share option scheme)*Issuer of Security(ies)* — Misys, plc*Address of issuer* — Same as above*State or country in which organized* — United Kingdom

Misys, plc

8529 Six Forks Rd., Raleigh, NC 27615

*State or Country in which incorporated* — United Kingdom*Security(ies)* — Common stock (issued pursuant to the Misys 1998 long-term incentive plan)*Issuer of Security(ies)* — Misys, plc*Address of issuer* — Same as above*State or country in which organized* — United Kingdom

Misys, plc

8529 Six Forks Rd., Raleigh, NC 27615

*State or Country in which incorporated* — United Kingdom*Security(ies)* — Common stock (issued pursuant to the Misys 1998 unapproved share option plan (Type II))*Issuer of Security(ies)* — Misys, plc*Address of issuer* — Same as above*State or country in which organized* — United Kingdom

Misys, plc

8529 Six Forks Rd., Raleigh, NC 27615

*State or Country in which incorporated* — United Kingdom*Security(ies)* — Common stock (issued pursuant to the Misys 2000 share option plan (Type III))*Issuer of Security(ies)* — Misys, plc*Address of issuer* — Same as above*State or country in which organized* — United KingdomMorgan Stanley & Co. Incorporated; (2) Huntington Capital Corp.  
1585 Broadway, New York, NY 10036; (2) 41 S. High St., 9th Fl.,  
Columbus, OH 43287*State or Country in which incorporated* — (1) Delaware; (2) Ohio*Security(ies)* — 1.46% asset backed notes, class A-1*Issuer of Security(ies)* — Morgan Stanley Auto Loan Trust 2003-HB1*Address of issuer* — 1585 Broadway, 2nd Fl., New York, NY*State or country in which organized* — DelawareMorgan Stanley & Co. Incorporated; (2) Huntington Capital Corp.  
1585 Broadway, New York, NY 10036; (2) 41 S. High St., 9th Fl.,  
Columbus, OH 43287*State or Country in which incorporated* — (1) Delaware; (2) Ohio*Security(ies)* — 2.17% asset backed notes, class A-2*Issuer of Security(ies)* — Morgan Stanley Auto Loan Trust 2003-HB1*Address of issuer* — 1585 Broadway, 2nd Fl., New York, NY*State or country in which organized* — DelawareMorgan Stanley & Co. Incorporated; (2) Huntington Capital Corp.  
1585 Broadway, New York, NY 10036; (2) 41 S. High St., 9th Fl.,  
Columbus, OH 43287*State or Country in which incorporated* — (1) Delaware; (2) Ohio*Security(ies)* — 2.22% asset backed notes, class B*Issuer of Security(ies)* — Morgan Stanley Auto Loan Trust 2003-HB1*Address of issuer* — 1585 Broadway, 2nd Fl., New York, NY*State or country in which organized* — DelawareMorgan Stanley & Co. Incorporated; (2) Huntington Capital Corp.  
1585 Broadway, New York, NY 10036; (2) 41 S. High St., 9th Fl.,  
Columbus, OH 43287*State or Country in which incorporated* — (1) Delaware; (2) Ohio*Security(ies)* — 3.48% asset backed notes, class C*Issuer of Security(ies)* — Morgan Stanley Auto Loan Trust 2003-HB1*Address of issuer* — 1585 Broadway, 2nd Fl., New York, NY*State or country in which organized* — Delaware

Nabi Biopharmaceuticals

5800 Park of Commerce Blvd., NW, Boca Raton, FL 33487

*State or Country in which incorporated* — Delaware*Security(ies)* — Private placement of common stock*Issuer of Security(ies)* — Nabi Biopharmaceuticals*Address of issuer* — Same as above*State or country in which organized* — Delaware

One Price Clothing Stores, Inc.

Hwy. 290 Commerce Park, 1875 E. Main St., Duncan, SC 29334

*State or Country in which incorporated* — Delaware*Security(ies)* — Common stock*Issuer of Security(ies)* — One Price Clothing Stores, Inc.*Address of issuer* — Same as above*State or country in which organized* — Delaware

Pacific Ridge Exploration Ltd.

1205-675 W. Hastings St., Vancouver, BC, Canada V6B 1N2

*State or Country in which incorporated* — Canada*Security(ies)* — Units consisting of common stock with warrants to purchase common stock*Issuer of Security(ies)* — Pacific Ridge Exploration Ltd.*Address of issuer* — Same as above

*State or country in which organized* — Canada

Paciolan, Inc.

17305 Von Karman Ave., Irvine, CA 92614

*State or Country in which incorporated* — California

*Security(ies)* — Options to purchase common stock

*Issuer of Security(ies)* — Paciolan, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — California

Pemetic Capital Partners LP

50 Broad St., Suite 1614, New York, NY 10004

*Security(ies)* — Limited partnership interests

*Issuer of Security(ies)* — Pemetic Capital LLC

*Address of issuer* — 50 Broad St., Suite 1614, New York, NY 10004

*State or country in which organized* — Delaware

Perch Bay Partners Sigma I, LP

420 Lexington Ave., Suite 300, New York, NY 10177

*Security(ies)* — Limited partnership interests

*Issuer of Security(ies)* — Perch Bay Partners Sigma I, LP

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Phoenix Equity Planning Corporation

56 Prospect St., Hartford, CT 06103

*State or Country in which incorporated* — Connecticut

*Security(ies)* — Phoenix Partner Select Wealth Builder Fund - units consisting of shares of beneficial interest (A and C) \$1 par value

*Issuer of Security(ies)* — Phoenix Partner Select Funds

*Address of issuer* — 101 Munson St., Greenfield, MA 01301

*State or country in which organized* — Delaware

PIA Mutual Fund, PIA BBB Bond Fund

1299 Ocean Ave., Suite 210, Santa Monica, CA 90401

*State or Country in which incorporated* — Massachusetts

*Security(ies)* — Shares of beneficial interest

*Issuer of Security(ies)* — PIA BBB Bond Fund

*Address of issuer* — 1299 Ocean Ave., Suite 210, Santa Monica, CA 90401

*State or country in which organized* — Massachusetts

RCG Absolute Return Fund, LLC

410 Park Ave., Suite 1530, New York, NY 10022

*State or Country in which incorporated* — Delaware limited liability company

*Security(ies)* — Membership interests

*Issuer of Security(ies)* — RCG Absolute Return Fund, LLC

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Readia LLC

314 W. 100th St., Suite 72, New York, NY 10025

*State or Country in which incorporated* — Delaware limited liability company

*Security(ies)* — Common stock

*Issuer of Security(ies)* — Readia LLC

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Readia LLC

314 W. 100th St., Suite 72, New York, NY 10025

*State or Country in which incorporated* — Delaware limited liability

company

*Security(ies)* — Series A convertible preferred stock

*Issuer of Security(ies)* — Readia LLC

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Readia LLC

314 W. 100th St., Suite 72, New York, NY 10025

*State or Country in which incorporated* — Delaware limited liability company

*Security(ies)* — Notes convertible into series A convertible preferred stock

*Issuer of Security(ies)* — Readia LLC

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Solvay Bank Corp.

1537 Milton Ave., Solvay, NY 13209

*State or Country in which incorporated* — New York

*Security(ies)* — Voting common stock

*Issuer of Security(ies)* — Solvay Bank Corp.

*Address of issuer* — Same as above

*State or country in which organized* — New York

VFinance Investments, Inc.

880 Third Ave., 4th Fl., New York, NY 10022

*State or Country in which incorporated* — Florida

*Security(ies)* — Units consisting of common stock and warrants

*Issuer of Security(ies)* — National Management Consulting, Inc.

*Address of issuer* — 545 Madison Ave., 6th Fl., New York, NY 10022

*State or country in which organized* — Delaware

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

*State or Country in which incorporated* — Delaware

*Security(ies)* — 75,000 units of undivided interest

*Issuer of Security(ies)* — Investment Grade Trust, Series 16

*Address of issuer* — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

*State or country in which organized* — New York

Waterstone Market Neutral Fund, L.P.

Two Carlson Pkwy., Suite 260, Plymouth, MN 55447

*Security(ies)* — Limited partnership interests

*Issuer of Security(ies)* — Waterstone Market Neutral Fund, L.P.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware