

# SECURITIES OFFERINGS

---

## STATE NOTICES

Published pursuant to provisions of General Business Law  
[Art. 23-A, §359-e(2)]

## DEALERS; BROKERS

---

1861 Capital Fund LP  
One Rockefeller Plaza, 3rd Fl., New York, NY 10020  
*Partnership* — 1861 Capital Partners LLC

Access Worldwide Communications, Inc.  
4950 Communications Ave., Boca Raton, FL 33431  
*State or country in which incorporated* — Delaware

AlphaQuest Original Fund, Ltd.  
% Swiss Financial Services (Bahamas) Ltd., P.O. Box EE-17758, 107  
Shirley St., Nassau, Bahamas  
*State or country in which incorporated* — Bahamas

Bachow Market Direction Fund, L.P.  
% Bachow Direction, L.P., Three Bala Plaza E, Fifth Fl., Bala  
Cynwyd, PA 19004

BB&T Investment Services, Inc.  
200 S. College St., Charlotte, NC 28202  
*State or country in which incorporated* — North Carolina

Bliss Fund, L.P., The  
425 E. 61st St., Suite 703, New York, NY 10021  
*Partnership* — Windmill Capital L.L.C.

Blue Sky Biotech, Inc.  
25 Winthrop St., Worcester, MA 01604  
*State or country in which incorporated* — Massachusetts

Captran Funding LLC  
105 Aviemore Dr., Pinehurst, NC 28374  
*State or country in which incorporated* — Delaware limited liability  
company

Cutter Point Capital Offshore, Ltd.  
% RK Consulting (Cayman) Ltd., Cayman Corporate Centre, 27  
Hospital Rd., P.O. Box 1748, George Town, Grand Cayman, Cayman  
Islands  
*State or country in which incorporated* — Cayman Islands

Cytovance, Inc.  
353 Nassau St., Princeton, NJ 08540  
*State or country in which incorporated* — Oklahoma

D/R Event Driven Fund, L.P.  
153 E. 53rd St., 26th Fl., New York, NY 10022  
*Partnership* — Dayan Reiner, LLC

Distressed Recovery Fund, L.P.  
% Lyster Watson LLC, 230 Park Ave., Suite 2828, New York, NY  
10169  
*Partnership* — Lyster Watson limited liability company

Douglas Scott Securities, Inc.  
275 W. Campbell Rd., Suite 205, Richardson, TX 75080  
*State or country in which incorporated* — Texas

DovePort Partners, L.P.  
500 Park Lane, Marietta, GA 30067  
*State or country in which incorporated* — Georgia limited partnership

Dynamic Eye, Incorporated  
243 Brantwood Rd., Amherst, NY 14226  
*State or country in which incorporated* — New York

EnTrust Securities LLC  
717 Fifth Ave., New York, NY 10022

Epic Distressed Debt Opportunity Fund, L.P.  
One Bridge Plaza, Suite 265, Fort Lee, NJ 07024  
*Partnership* — Epic GP, LLC

Essent Healthcare Inc.  
3100 West End Ave., Suite 900, Nashville, TN 37203  
*State or country in which incorporated* — Delaware

Euclid Financial Group, Inc.  
1737 H St., Suite 100, Washington, DC 20006  
*State or country in which incorporated* — Delaware

FindWhat.com  
5520 Summerlin Commons Blvd., Suite 500, Fort Myers, FL 33907  
*State or country in which incorporated* — Nevada

FUNDever, Inc.  
3100 Five Forks Trickum Rd. SW, Suite 401, Lilburn, GA 30047  
*State or country in which incorporated* — Delaware

Global Strategies Fund, Ltd.  
% Ironshore Corporate Services Limited, P.O. Box 1234, Queensgate House, South Church St., George Town, Grand Cayman, Cayman Islands, British West Indies  
*State or country in which incorporated* — Cayman Islands

Golden Gate Residence LLC  
40 Cutter Mill Rd., Great Neck, NY 11021

Great West Partners, Inc.  
3939 Belt Line Rd., #505, Addison, TX 75001  
*State or country in which incorporated* — Texas

Hadron Fund, L.P.  
1209 Orange St., Wilmington, DE 19801  
*Partnership* — Hadron Capital Management, LLC

Harvest Value Income Plus, L.P.  
One Embarcadero Center, Suite 2100, San Francisco, CA 94111  
*Partnership* — JMP Asset Management LLC

HFTP Investments LLC  
750 Lexington Ave., 22nd Fl., New York, NY 10022

Hudson Debt Fund I LLC  
% Hudson Realty Capital LLC, Two University Plaza, Hackensack, NJ 07601  
*State or country in which incorporated* — Delaware limited liability company

Joseph Stevens Biotechnology Fund, LLC  
59 Maiden Lane, New York, NY 10038  
*State or country in which incorporated* — Delaware

Kensington Capital Fund LP  
780 Third Ave., 43rd Fl., New York, NY 10017-2024  
*Partnership* — KCF Advisors LLC and KCF Management LLC

Labarum Fund Limited  
% BNY Alternative Investment Services Ltd., 18 Church St., Skandia House, Hamilton HM 11, Bermuda  
*State or country in which incorporated* — Bermuda

Lobdell Capital Partners, LP  
1828 Broadway, Suite 501, San Francisco, CA 94109  
*Partnership* — Lobdell Capital Management, LLC

Longbow Qualified Partners, L.P.  
25 Corte Madera Ave., Suite 100, Mill Valley, CA 94941  
*Partnership* — Archer Capital Partners, LLC, general partner

Malory Investments LLC  
6345 Balboa Blvd., Bldg. 1, Suite 220, Encino, CA 91316  
*State or country in which incorporated* — California

Mashomack Partners, LLC  
% Kramer Levin, 919 Third Ave., New York, NY 10022  
*State or country in which incorporated* — Delaware

McDonald Investment, Inc.  
OH-01-02-1766, 800 Superior Ave., Cleveland, OH 44114  
*State or country in which incorporated* — Ohio

Meridian United Capital LLC  
8800 N. Gainey Center Dr., Suite 279, Scottsdale, AZ 85258  
*State or country in which incorporated* — Minnesota

Middlebury Capital, LLC  
800 Third Ave., Suite 2100, New York, NY 10022-7604

MTS Securities, LLC  
45 Rockefeller Plaza, 9th Fl., New York, NY 10111  
*State or country in which incorporated* — Delaware

Noble Special Situations Fund I, L.P.  
6501 Congress Ave., Suite 100, Boca Raton, FL 33487  
*State or country in which incorporated* — Delaware limited partnership

Oakridge Financial Services Group, Inc., The  
701 Xenia Ave., Suite 130, Golden Valley, MN 55416  
*State or country in which incorporated* — Minnesota

Ontario Partners, Ltd.  
% Walkers, P.O. Box 265 GT, Walker House, Mary St., George Town, Grand Cayman, Cayman Islands  
*State or country in which incorporated* — Cayman Islands

Origin Energy Limited  
AMP Center, 50 Bridge St., Level 39, Sydney, NSW 2000, Australia  
*State or country in which incorporated* — Australia

Outercurve Technologies, Inc.  
100 Wood Ave. S, Suite 118, Iselin, NJ 08830  
*State or country in which incorporated* — Delaware

Pangaea L.P.  
One International Place, 29th Fl., Boston, MA 02110  
*Partnership* — PCP, L.L.C.

Park Capital Securities, LLC  
216 E. 45th St., New York, NY 10017  
*State or country in which incorporated* — Delaware

Polaris Vega Fund L.P.  
% BNY Alternative Investment Services Ltd., 18 Church St., Skandia House, Hamilton HM 11, Bermuda  
*Partnership* — Silverton Management Company Limited

Polaris Vega Investors L.P.  
Silverside Carr Executive Center, 501 Silverside Rd. 125, Wilmington, DE 19809  
*Partnership* — Polaris Vega GP L.P.

Pompous Pot Foods, Inc.  
18 Clearview Dr., Ridgefield, CT 06877  
*State or country in which incorporated* — Delaware

Proximity International, Ltd.  
% Bank of Butterfield International (Cayman) Ltd., P.O. Box 705 GT, Butterfield House, 68 Fort St., George Town, Grand Cayman, Cayman Islands, BWI  
*State or country in which incorporated* — Cayman Islands

RGN Enhanced Equity Program LLC  
1700 Broadway, 39th Fl., New York, NY 10019

*State or country in which incorporated* — Delaware limited liability company

Robbins Opportunity Partners, L.P.  
% SMKD Fund Administration, LLC, Three Ravinia Dr., Suite 1700, Atlanta, GA 30346  
*Partnership* — Robbins Management, L.P., general partner

Scott's Cove Special Credits Fund I, L.P.  
237 Park Ave., Suite 800, New York, NY 10017  
*Partnership* — Scott's Cove Capital Management, LLC

Seabridge Asia Redux LLC  
450 Springfield Ave., Suite 301, Summit, NJ 07901  
*State or country in which incorporated* — New Jersey limited liability company

Sheridan Opportunity Fund, L.P.  
1209 Orange St., Wilmington, DE 19801  
*Partnership* — Sheridan Capital Advisors, LLC

SLF Ventures VII, LLC  
132 Sunnyside Ave., Mill Valley, CA 94941  
*State or country in which incorporated* — Delaware

Southmeadow, LLLP  
1660 Lincoln, Suite 2000, Denver, CO 80264-2201  
*Partnership* — EBM Property Management, LLC, general partner

Stone Harbor Offshore Fund, Ltd.  
% Stone Harbor Advisors, LLC, 1132 Bishop St., Suite 1580, Honolulu, HI 96813  
*State or country in which incorporated* — Cayman Islands

Sun & Surf Inc.  
57 Main St., East Hampton, NY 11937  
*State or country in which incorporated* — New York

SuperShuttle International, Inc.  
14500 Northsight Blvd., Suite 329, Scottsdale, AZ 85260  
*State or country in which incorporated* — Delaware

Tetra Offshore Fund, Ltd.  
% Prime Management Limited, Mechanics Bldg., 12 Church St., Hamilton HM 11, Bermuda  
*State or country in which incorporated* — FN

Themis Qualified Partners L.P.  
% Themis Managers LLC, 750 Lexington Ave., 22nd Fl., New York, NY 10022  
*Partnership* — Themis Managers LLC

Triage Medical, Inc.  
13700 Alton Pkwy., Suite 167, Irvine, CA 92618  
*State or country in which incorporated* — California

Trinity Funds Diversified Allocation LP  
99 Park Ave., 8th Fl., New York, NY 10016  
*Partnership* — Trinity Funds Advisors LLC

TriStar Fund Limited  
% BNY Alternative Investment Services Ltd., 18 Church St., Skandia House, Hamilton HM 11, Bermuda

*State or country in which incorporated* — Bermuda

TriStar International Investors Limited Partnership  
Two America Lane, Greenwich, CT 06836  
*Partnership* — TriStar Capital LLC

TriStar Investors LLC  
Two America Lane, Greenwich, CT 06836

Two Trees Capital Partners, L.P.  
181 E. 73rd St., #12B, New York, NY 10021  
*Partnership* — Two Trees Capital Management, LLC

Usfirst Fund, LLC  
276 Kingsbury Grade, Suite 2000, P.O. Box 3390, Lake Tahoe, NV 89449  
*State or country in which incorporated* — Nevada

Waterstone Market Neutral Offshore Fund, Ltd.  
% GlobeOp Financial Services (Cayman) Limited, P.O. Box 908 GT, Walker House, Mary St., George Town, Grand Cayman, Cayman Islands, British West Indies  
*State or country in which incorporated* — Cayman Islands

Williams Pacific Ventures Fund L.P., The  
Four Embarcadero Center, Suite 3700, San Francisco, CA 94111  
*Partnership* — The Williams Pacific Ventures Fund Management Co. LLC

WindsorTech, Inc.  
70 Lake Dr., Hightstown, NJ 08520  
*State or country in which incorporated* — Delaware

Woodlands I, LLC  
100 Park Ave., 34th Fl., New York, NY 10017

Yoakum Prospect Joint Venture  
389 W. Fork, #3711, Irving, TX 75039

**FURTHER STATE NOTICES**

Published pursuant to provisions of General Business Law [Art. 23-A, §359-e(8), as amended]

SECURITIES BY  
DEALERS; BROKERS;  
SYNDICATE MANAGERS

1861 Capital Fund LP  
One Rockefeller Plaza, 3rd Fl., New York, NY 10020  
*Security(ies)* — Limited partnership interests  
*Issuer of Security(ies)* — 1861 Capital Fund LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

ABN AMRO Mortgage Corporation  
181 W. Madison St., Chicago, IL 60602  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Multi-class pass-through certificates series 2003-6, class B-2

*Issuer of Security(ies)* — ABN AMRO Mortgage Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

ABN AMRO Mortgage Corporation  
 181 W. Madison St., Chicago, IL 60602  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Multi-class pass-through certificates series 2003-7, class B-2  
*Issuer of Security(ies)* — ABN AMRO Mortgage Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

ABN AMRO Mortgage Corporation  
 181 W. Madison St., Chicago, IL 60602  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Multi-class pass-through certificates series 2003-5, class B-2  
*Issuer of Security(ies)* — ABN AMRO Mortgage Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Access Worldwide Communications, Inc.  
 4950 Communications Ave., Boca Raton, FL 33431  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — 5% convertible notes  
*Issuer of Security(ies)* — Access Worldwide Communications, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

AlphaQuest Original Fund, Ltd.  
 % Swiss Financial Services (Bahamas) Ltd., P.O. Box EE-17758, 107 Shirley St., Nassau, Bahamas  
*Security(ies)* — Participating non-voting shares  
*Issuer of Security(ies)* — AlphaQuest Original Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Bahamas

Aspen Aerogels, Inc.  
 184 Cedar Hill St., Marlborough, MA 01752  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Offering of convertible bridge notes convertible into series C preferred stock  
*Issuer of Security(ies)* — Aspen Aerogels, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Bachow Market Direction Fund, L.P.  
 % Bachow Direction, L.P., Three Bala Plaza E, Fifth Fl., Bala Cynwyd, PA 19004  
*Security(ies)* — Limited partnership interests  
*Issuer of Security(ies)* — Bachow Market Direction Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Banc of America Securities LLC; (2) Deutsche Bank Securities Inc.; (3) ING Financial Markets LLC  
 Nine W. 57th St., New York, NY 10019; (2) 31 W. 52nd St., New York, NY 10019; (3) 1325 Avenue of the Americas, New York, NY 10019  
*State or Country in which incorporated* — (1, 3) Delaware limited liability company; (2) Delaware  
*Security(ies)* — \_\_\_% senior secured notes due August 15, 2011

*Issuer of Security(ies)* — Gilroy Energy Center, LLC  
*Address of issuer* — 50 W. San Fernando St., San Jose, CA 95113  
*State or country in which organized* — Delaware

BioSafe Medical Technologies, Inc.  
 100 Field Dr., Suite 240, Lake Forest, IL 60045  
*State or Country in which incorporated* — Illinois  
*Security(ies)* — Common stock  
*Issuer of Security(ies)* — BioSafe Medical Technologies, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

Bliss Fund, L.P., The  
 425 E. 61st St., Suite 703, New York, NY 10021  
*Security(ies)* — Limited partnership interests  
*Issuer of Security(ies)* — The Bliss Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Blue Sky Biotech, Inc.  
 25 Winthrop St., Worcester, MA 01604  
*State or Country in which incorporated* — Massachusetts  
*Security(ies)* — Common  
*Issuer of Security(ies)* — Blue Sky Biotech, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

Capitalsource Inc.  
 4445 Willard Ave., 12th Fl., Chevy Chase, MD 20815  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Shares of common stock  
*Issuer of Security(ies)* — Capitalsource Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Captran Funding LLC  
 105 Aviemore Dr., Pinehurst, NC 28374  
*State or Country in which incorporated* — Delaware LLC  
*Security(ies)* — Class A membership interests  
*Issuer of Security(ies)* — Lan Capital Fund #1  
*Address of issuer* — 105 Aviemore Dr., Pinehurst, NC 28374  
*State or country in which organized* — Delaware

Compressus, Inc.  
 1776 I St. NW, 9th Fl., Washington, DC 20006  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Preferred stock-series B  
*Issuer of Security(ies)* — Compressus, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Credit Suisse First Boston LLC; (2) Morgan Stanley & Co. Incorporated; (3) J.P. Morgan Securities Inc.; (4) Credit Lyonnaise Securities (USA) Inc.; (5) Lehman Brothers Inc.; (6) Merrill Lynch, Pierce, Fenner & Smith Incorporated  
 11 Madison Ave., New York, NY 10010; (2) 1585 Broadway, New York, NY 10036; (3) 399 Park Ave., New York, NY 10022; (4) 1301 Avenue of the Americas, New York, NY 10019; (5) 745 7th Ave., New York, NY 10019; (6) Four World Financial Center, New York, NY 10281  
*State or Country in which incorporated* — (1) Delaware limited liability company; (2, 3, 5, 6) Delaware; (4) New York  
*Security(ies)* — Class A common stock

*Issuer of Security(ies)* — Dynegy Inc.

*Address of issuer* — 1000 Louisiana, Suite 5800, Houston, TX 77002

*State or country in which organized* — Illinois

Credit Suisse First Boston LLC; (2) Morgan Stanley & Co. Incorporated; (3) J.P. Morgan Securities Inc.; (4) Credit Lyonnaise Securities (USA) Inc.; (5) Lehman Brothers Inc.; (6) Merrill Lynch, Pierce, Fenner & Smith Incorporated

11 Madison Ave., New York, NY 10010; (2) 1585 Broadway, New York, NY 10036; (3) 399 Park Ave., New York, NY 10022; (4) 1301 Avenue of the Americas, New York, NY 10019; (5) 745 7th Ave., New York, NY 10019; (6) Four World Financial Center, New York, NY 10281

*State or Country in which incorporated* — (1) Delaware limited liability company; (2, 3, 5, 6) Delaware; (4) New York

*Security(ies)* — \_\_\_% convertible subordinated debentures due 2023

*Issuer of Security(ies)* — Dynegy Inc.

*Address of issuer* — 1000 Louisiana, Suite 5800, Houston, TX 77002

*State or country in which organized* — Illinois

Credit Suisse First Boston LLC; (2) Banc of America Securities LLC; (3) Lehman Brothers Inc.; (4) Citigroup Global Markets Inc.; (5) Morgan Stanley & Co. Incorporated; (6) J.P. Morgan Securities Inc.; (7) ABN AMRO Incorporated; (8) Bank One Capital Markets, Inc.; (9) Credit Lyonnaise Securities (USA) Inc.; (10) Deutsche Bank Securities Inc.; (11) SG Cowen Securities Corporation; (12) TD Securities (USA) Inc.

(1) 11 Madison Ave., New York, NY 10010; (2) 9 West 57th St., New York, NY 10019; (3) 745 7th Ave., New York, NY 10019; (4) 388 Greenwich St., New York, NY 10013; (5) 1585 Broadway, New York, NY 10036; (6) 399 Park Ave., New York, NY 10022; (7) 208 S. LaSalle St., Chicago, IL 60604; (8) One Bank One Plaza, Chicago, IL 60670; (9) 1301 Avenue of the Americas, New York, NY 10019; (10 & 12) 31 W. 52nd St., New York, NY 10019; (11) 1221 Avenue of the Americas, New York, NY 10020

*State or Country in which incorporated* — (1 & 2) Delaware limited liability company; (3, 4, 5, 6, 8, 10 & 12) Delaware; (7, 9 & 11) New York

*Security(ies)* — 10.25% second priority senior secured notes due 2013

*Issuer of Security(ies)* — Dynegy Holdings Inc.

*Address of issuer* — 1000 Louisiana, Suite 5800, Houston, TX 77002

*State or country in which organized* — Delaware

Credit Suisse First Boston LLC; (2) Banc of America Securities LLC; (3) Lehman Brothers Inc.; (4) Citigroup Global Markets Inc.; (5) Morgan Stanley & Co. Incorporated; (6) J.P. Morgan Securities Inc.; (7) ABN AMRO Incorporated; (8) Bank One Capital Markets, Inc.; (9) Credit Lyonnaise Securities (USA) Inc.; (10) Deutsche Bank Securities Inc.; (11) SG Cowen Securities Corporation; (12) TD Securities (USA) Inc.

(1) 11 Madison Ave., New York, NY 10010; (2) 9 West 57th St., New York, NY 10019; (3) 745 7th Ave., New York, NY 10019; (4) 388 Greenwich St., New York, NY 10013; (5) 1585 Broadway, New York, NY 10036; (6) 399 Park Ave., New York, NY 10022; (7) 208 S. LaSalle St., Chicago, IL 60604; (8) One Bank One Plaza, Chicago, IL 60670; (9) 1301 Avenue of the Americas, New York, NY 10019; (10 & 12) 31 W. 52nd St., New York, NY 10019; (11) 1221 Avenue of the Americas, New York, NY 10020

*State or Country in which incorporated* — (1 & 2) Delaware limited liability company; (3, 4, 5, 6, 8, 10 & 12) Delaware; (7, 9 & 11) New York

*Security(ies)* — Floating rate second priority senior secured notes due 2008

*Issuer of Security(ies)* — Dynegy Holdings Inc.

*Address of issuer* — 1000 Louisiana, Suite 5800, Houston, TX 77002

*State or country in which organized* — Delaware

Credit Suisse First Boston LLC; (2) Banc of America Securities LLC; (3) Lehman Brothers Inc.; (4) Citigroup Global Markets Inc.; (5) Morgan Stanley & Co. Incorporated; (6) J.P. Morgan Securities Inc.; (7) ABN AMRO Incorporated; (8) Bank One Capital Markets, Inc.; (9) Credit Lyonnaise Securities (USA) Inc.; (10) Deutsche Bank Securities Inc.; (11) SG Cowen Securities Corporation; (12) TD Securities (USA) Inc.

(1) 11 Madison Ave., New York, NY 10010; (2) 9 West 57th St., New York, NY 10019; (3) 745 7th Ave., New York, NY 10019; (4) 388 Greenwich St., New York, NY 10013; (5) 1585 Broadway, New York, NY 10036; (6) 399 Park Ave., New York, NY 10022; (7) 208 S. LaSalle St., Chicago, IL 60604; (8) One Bank One Plaza, Chicago, IL 60670; (9) 1301 Avenue of the Americas, New York, NY 10019; (10 & 12) 31 W. 52nd St., New York, NY 10019; (11) 1221 Avenue of the Americas, New York, NY 10020

*State or Country in which incorporated* — (1 & 2) Delaware limited liability company; (3, 4, 5, 6, 8, 10 & 12) Delaware; (7, 9 & 11) New York

*Security(ies)* — 9.875% second priority senior secured notes due 2010

*Issuer of Security(ies)* — Dynegy Holdings Inc.

*Address of issuer* — 1000 Louisiana, Suite 5800, Houston, TX 77002

*State or country in which organized* — Delaware

CT Mezzanine Fund III LLC

% Citigroup Alternative Investments LLC, 850 Third Ave., New York, NY 10022

*Security(ies)* — Limited liability company interests

*Issuer of Security(ies)* — CT Mezzanine Fund III LLC

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Cutter Point Capital Offshore, Ltd.

% RK Consulting (Cayman) Ltd., Cayman Corporate Centre, 27 Hospital Rd., P.O. Box 1748, George Town, Grand Cayman, Cayman Islands

*Security(ies)* — Class B common shares

*Issuer of Security(ies)* — Cutter Point Capital Offshore, Ltd.

*Address of issuer* — Same as above

*State or country in which organized* — Cayman Islands

Cutter Point Capital Offshore, Ltd.

% RK Consulting (Cayman) Ltd., Cayman Corporate Centre, 27 Hospital Rd., P.O. Box 1748, George Town, Grand Cayman, Cayman Islands

*Security(ies)* — Class A common shares

*Issuer of Security(ies)* — Cutter Point Capital Offshore, Ltd.

*Address of issuer* — Same as above

*State or country in which organized* — Cayman Islands

Cytovance, Inc.

353 Nassau St., Princeton, NJ 08540

*State or Country in which incorporated* — Oklahoma

*Security(ies)* — Common stock

*Issuer of Security(ies)* — Cytovance, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Oklahoma

D/R Event Driven Fund, L.P.

153 E. 53rd St., 26th Fl., New York, NY 10022

*Security(ies)* — Limited partnership interests  
*Issuer of Security(ies)* — D/R Event Driven Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Distressed Recovery Fund, L.P.  
 % Lyster Watson LLC, 230 Park Ave., Suite 2828, New York, NY 10169

*Security(ies)* — Limited partnership interests  
*Issuer of Security(ies)* — Distressed Recovery Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Douglas Scott Securities, Inc.  
 275 W. Campbell Rd., Suite 205, Richardson, TX 75080  
*State or Country in which incorporated* — Texas  
*Security(ies)* — General partnership interests  
*Issuer of Security(ies)* — Big Hum Partnership  
*Address of issuer* — 6820 Walling Lane, Suite 101, Dallas, TX 75231  
*State or country in which organized* — Texas

DovePort Partners, L.P.  
 500 Park Lane, Marietta, GA 30067  
*Security(ies)* — Limited partnership interests  
*Issuer of Security(ies)* — DovePort Partners, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Georgia

Dynamic Eye, Incorporated  
 243 Brantwood Rd., Amherst, NY 14226  
*State or Country in which incorporated* — New York  
*Security(ies)* — Common stock  
*Issuer of Security(ies)* — Dynamic Eye, Incorporated  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

DynTek, Inc.  
 18881 Von Karman Ave., Suite 250, Irvine, CA 92612  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of Security(ies)* — DynTek, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Epic Distressed Debt Opportunity Fund, L.P.  
 One Bridge Plaza, Suite 265, Fort Lee, NJ 07024  
*Security(ies)* — Limited partnership interests  
*Issuer of Security(ies)* — Epic Distressed Debt Opportunity Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Essent Healthcare Inc.  
 3100 West End Ave., Suite 900, Nashville, TN 37203  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Class A convertible preferred stock, \$.01 par value per share, convertible into class A common stock, \$.01 par value per share  
*Issuer of Security(ies)* — Essent Healthcare, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Euclid Financial Group, Inc.  
 1737 H St., Suite 100, Washington, DC 20006  
*State or Country in which incorporated* — Delaware

*Security(ies)* — Common stock, par value \$.01 per share  
*Issuer of Security(ies)* — Euclid Financial Group, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Fidelity Distributors Corporation  
 82 Devonshire St., Boston, MA 02109  
*State or Country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest, no par value  
*Issuer of Security(ies)* — Fidelity Commonwealth Trust, Fidelity NASDAQ Composite Index Fund  
*Address of issuer* — 82 Devonshire St., ZW10, Boston, MA 02109  
*State or country in which organized* — Massachusetts

First Montauk Securities Corp.  
 328 Newman Springs Rd., Red Bank, NJ 07701  
*State or Country in which incorporated* — New Jersey  
*Security(ies)* — A minimum of \$1,000,000 and a maximum of \$3,000,000 aggregate principal amount of 6% convertible debentures  
*Issuer of Security(ies)* — First Montauk Financial Corp.  
*Address of issuer* — Same as above  
*State or country in which organized* — New Jersey

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of Security(ies)* — FT745 (Value Line® 100 #1 Ranks Portfolio, Series 8)  
*Address of issuer* — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532  
*State or country in which organized* — Illinois

Fulton Financial Corporation  
 One Penn Sq., P.O. Box 4887, Lancaster, PA 17604  
*State or Country in which incorporated* — Pennsylvania  
*Security(ies)* — Common stock, \$2.50 par value per share  
*Issuer of Security(ies)* — Fulton Financial Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Pennsylvania

FUNDever, Inc.  
 3100 Five Forks Trickum Rd. SW, Suite 401, Lilburn, GA 30047  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Convertible promissory note  
*Issuer of Security(ies)* — FUNDever, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

GetPAID Corporation, The  
 300 Lanidex Plaza, Third Fl., Parsippany, NJ 07054  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Notes and warrants to purchase common stock, par value \$.001  
*Issuer of Security(ies)* — The GetPAID Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Global Strategies Fund, Ltd.  
 % Ironshore Corporate Services Limited, P.O. Box 1234, Queensgate House, South Church St., George Town, Grand Cayman, Cayman Islands, British West Indies  
*State or Country in which incorporated* — Cayman Islands  
*Security(ies)* — Class A common shares

*Issuer of Security(ies)* — Global Strategies Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Golden Gate Residence LLC  
 40 Cutter Mill Rd., Great Neck, NY 11201  
*Security(ies)* — Membership interest in Golden Gate Residence Homeowners Association Inc.  
*Issuer of Security(ies)* — Golden Gate Residences LLC  
*Address of issuer* — 40 Cutter Mill Rd., Great Neck, NY 11201  
*State or country in which organized* — New York

Goldman, Sachs & Co.; (2) Credit Suisse First Boston LLC  
 85 Broad St., New York, NY 10004; (2) 11 Madison Ave., New York, NY 10010  
*State or Country in which incorporated* — (1) New York partnership; (2) Delaware limited liability company  
*Security(ies)* — Common stock  
*Issuer of Security(ies)* — priceline.com Incorporated  
*Address of issuer* — 800 Connecticut Ave., Norwalk, CT 06854  
*State or country in which organized* — Delaware

Goldman, Sachs & Co.; (2) Credit Suisse First Boston LLC  
 85 Broad St., New York, NY 10004; (2) 11 Madison Ave., New York, NY 10010  
*State or Country in which incorporated* — (1) New York partnership; (2) Delaware limited liability company  
*Security(ies)* — \_\_\_% convertible senior notes due August 1, 2010  
*Issuer of Security(ies)* — priceline.com Incorporated  
*Address of issuer* — 800 Connecticut Ave., Norwalk, CT 06854  
*State or country in which organized* — Delaware

Goldman, Sachs & Co.; (2) Thomas Weisel Partners LLC  
 85 Broad St., New York, NY 10004; (2) One Montgomery St., San Francisco, CA 94104  
*State or Country in which incorporated* — (1) New York partnership; (2) Delaware limited liability company  
*Security(ies)* — Common stock  
*Issuer of Security(ies)* — priceline.com Incorporated  
*Address of issuer* — 800 Connecticut Ave., Norwalk, CT 06854  
*State or country in which organized* — Delaware

Goldman, Sachs & Co.; (2) Thomas Weisel Partners LLC  
 85 Broad St., New York, NY 10004; (2) One Montgomery St., San Francisco, CA 94104  
*State or Country in which incorporated* — (1) New York partnership; (2) Delaware limited liability company  
*Security(ies)* — 1.00% convertible senior notes due August 1, 2010  
*Issuer of Security(ies)* — priceline.com Incorporated  
*Address of issuer* — 800 Connecticut Ave., Norwalk, CT 06854  
*State or country in which organized* — Delaware

Great West Partners, Inc.  
 3939 Belt Line Rd., #505, Addison, TX 75001  
*State or Country in which incorporated* — Texas  
*Security(ies)* — Working interest in the Great West Ramirez/Nails Creek #1-H well extension  
*Issuer of Security(ies)* — Great West Energy and Exploration, Inc.  
*Address of issuer* — 3939 Belt Line Rd., #505, Addison, TX 75001  
*State or country in which organized* — Texas

Guardian Investor Services LLC  
 201 Park Ave. S, New York, NY 10003

*State or Country in which incorporated* — New York  
*Security(ies)* — Shares of beneficial interest  
*Issuer of Security(ies)* — The Park Avenue Portfolio — The Guardian Low Duration Bond Fund  
*Address of issuer* — 201 Park Ave. S, New York, NY 10003  
*State or country in which organized* — Massachusetts

GunnAllen Financial, Inc.  
 1715 N. Westshore Blvd., Suite 700, Tampa Bay, FL 33607  
*State or Country in which incorporated* — United States  
*Security(ies)* — Common stock  
*Issuer of Security(ies)* — UTEK Corporation  
*Address of issuer* — 202 S. Wheeler St., Plant City, FL 33566  
*State or country in which organized* — Delaware

Hadron Fund, L.P.  
 1209 Orange St., Wilmington, DE 19801  
*Security(ies)* — Limited partnership interests  
*Issuer of Security(ies)* — Hadron Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Harvest Value Income Plus, L.P.  
 One Embarcadero Center, Suite 2100, San Francisco, CA 94111  
*Security(ies)* — Limited partnership interest  
*Issuer of Security(ies)* — Harvest Value Income Plus, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

HFTP Investments LLC  
 750 Lexington Ave., 22nd Fl., New York, NY 10022  
*Security(ies)* — Limited liability company interests  
*Issuer of Security(ies)* — HFTP Investments LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Hudson Debt Fund I LLC  
 %Hudson Realty Capital LLC, Two University Plaza, Hackensack, NJ 07601  
*State or Country in which incorporated* — Delaware limited liability company  
*Security(ies)* — Membership interests  
*Issuer of Security(ies)* — Hudson Debt Fund I LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Humatech, Inc.  
 1718 Fry Rd., Suite 450, Houston, TX 77084  
*State or Country in which incorporated* — Illinois  
*Security(ies)* — Common stock  
*Issuer of Security(ies)* — Humatech, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

Kensee Hollow 3 Program  
 152 E. Reynolds Rd., Suite 201, Lexington, KY 40517  
*Security(ies)* — Joint venture interest (leasehold working interests) in a joint venture  
*Issuer of Security(ies)* — Kensee Hollow 3 Program  
*Address of issuer* — Same as above  
*State or country in which organized* — Kentucky

Kensington Capital Fund LP

780 Third Ave., 43rd Fl., New York, NY 10017-2024  
*Security(ies)* — Units class A and class B limited partnership interests  
*Issuer of Security(ies)* — Kensington Capital Fund LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Labarum Fund Limited  
 % BNY Alternative Investment Services Ltd., 18 Church St., Skandia House, Hamilton HM 11, Bermuda  
*State or Country in which incorporated* — Bermuda  
*Security(ies)* — Shares of common stock  
*Issuer of Security(ies)* — Labarum Fund Limited  
*Address of issuer* — Same as above  
*State or country in which organized* — Bermuda

Lantronix, Inc.  
 15353 Barranca Pkwy., Irvine, CA 92618  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of Security(ies)* — Lantronix, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Lehman Brothers Inc.; (2) SG Cowen Securities Corporation; (3) UBS Securities LLC; (4) Wachovia Securities, LLC; (5) BB&T Investment Services, Inc.; (6) First Analysis Securities Corporation; (7) Jefferies & Company, Inc.; (8) SouthTrust Securities, Inc.  
 745 7th Ave., New York, NY 10019; (2) 1221 Avenue of the Americas, New York, NY 10020; (3) 677 Washington Blvd., Stamford, CT 06901; (4) 901 E. Byrd St., Richmond, VA 23219; (5) 200 S. College St., Charlotte, NC 28202; (6) 233 S. Wacker Dr., 9500 Sears Tower, Chicago, IL 60606; (7) 11100 Santa Monica Blvd., Los Angeles, CA 90025; (8) 112 N. 20th St., Birmingham, AL 35203  
*State or Country in which incorporated* — (1, 7 & 8) Delaware; (2) New York; (3 & 4) Delaware limited liability company; (5) North Carolina; (6) Illinois  
*Security(ies)* — 7½% senior notes due 2011  
*Issuer of Security(ies)* — Corrections Corporation of America  
*Address of issuer* — 10 Burton Hills Blvd., Nashville, TN 37215  
*State or country in which organized* — Maryland

Liberty Funds Distributor, Inc.  
 One Financial Center, Boston, MA  
*State or Country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of common stock  
*Issuer of Security(ies)* — CMC Fund Trust — CMC Large Cap Growth Fund  
*Address of issuer* — 1301 SW. Fifth Ave., P.O. Box 1350, Portland, OR 97207  
*State or country in which organized* — Oregon

Lobdell Capital Partners LP  
 1828 Broadway, Suite 501, San Francisco, CA 94109  
*Security(ies)* — Limited partnership interests  
*Issuer of Security(ies)* — Lobdell Capital Partners, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Longbow Qualified Partners, L.P.  
 25 Corte Madera Ave., Suite 100, Mill Valley, CA 94941  
*Security(ies)* — Limited partner interests  
*Issuer of Security(ies)* — Longbow Qualified Partners, L.P.

*Address of issuer* — Same as above  
*State or country in which organized* — California

Malory Investments LLC  
 6345 Balboa Blvd., Bldg. 1, Suite 220, Encino, CA 91316  
*State or Country in which incorporated* — California  
*Security(ies)* — Joint venture units  
*Issuer of Security(ies)* — Energy 2000 Inc JV Mgr/Energy 2000 Escobas, JV  
*Address of issuer* — 15 W. 6th, Suite 2010, Tulsa, OK 74119  
*State or country in which organized* — Oklahoma

Mashomack Partners, LLC  
 % Kramer Levin, 919 Third Ave., New York, NY 10022  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Membership interests  
*Issuer of Security(ies)* — Mashomack Partners, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Middlebury Capital, LLC  
 800 Third Ave., Suite 2100, New York, NY 10022-7604  
*Security(ies)* — Series B convertible preferred stock  
*Issuer of Security(ies)* — KineMed Inc.  
*Address of issuer* — 5980 Horton St., Suite 470, Emeryville, CA 94608  
*State or country in which organized* — Delaware

Morgan Stanley & Co. Incorporated  
 1585 Broadway, New York, NY 10036  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Transition bonds  
*Issuer of Security(ies)* — Oncor Electric Delivery Transition Bond Company LLC  
*Address of issuer* — 1601 Bryan St., Dallas, TX 75201  
*State or country in which organized* — Delaware

National Advisors Holdings, Inc.  
 10881 Lowell Ave., Suite 100, Overland Park, KS 66210  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of Security(ies)* — National Advisors Holdings, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

NewsMarket, Inc., The  
 380 Lexington Ave., 43rd Fl., New York, NY 10168  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Convertible subordinated secured note  
*Issuer of Security(ies)* — The NewsMarket, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Noble Special Situations Fund I, L.P.  
 6501 Congress Ave., Suite 100, Boca Raton, FL 33487  
*Security(ies)* — Limited partnership interests  
*Issuer of Security(ies)* — Noble Special Situations Fund I, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Oakridge Financial Services Group, Inc., The  
 701 Xenia Ave., Suite 130, Golden Valley, MN 55416  
*State or Country in which incorporated* — Minnesota

*Security(ies)* — Up to 200 shares of common stock at \$17,500 per share

*Issuer of Security(ies)* — Apollo Diamond, Inc.

*Address of issuer* — 60 State St., Suite 700, Boston, MA 02110

*State or country in which organized* — Massachusetts

Ontario Partners, Ltd.

% Walkers, P.O. Box 265 GT, Walker House, Mary St., George Town, Grand Cayman, Cayman Islands

*Security(ies)* — Ordinary shares

*Issuer of Security(ies)* — Ontario Partners, Ltd.

*Address of issuer* — Same as above

*State or country in which organized* — Cayman Islands

Origin Energy Limited

AMP Center, 50 Bridge St., Level 39, Sydney, NSW 2000, Australia

*State or Country in which incorporated* — Australia

*Security(ies)* — Ordinary shares

*Issuer of Security(ies)* — Origin Energy Limited

*Address of issuer* — Same as above

*State or country in which organized* — Australia

Outercurve Technologies, Inc.

100 Wood Ave. S, Suite 118, Iselin, NJ 08830

*State or Country in which incorporated* — Delaware

*Security(ies)* — Common shares

*Issuer of Security(ies)* — Outercurve Technologies, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Pangaea L.P.

One International Place, 29th Fl., Boston, MA 02110

*Security(ies)* — Limited partnership interests

*Issuer of Security(ies)* — Pangaea L.P.

*Address of issuer* — Same as above

*State or country in which organized* — Illinois

Park Capital Securities, LLC

216 E. 45th St., New York, NY 10017

*State or Country in which incorporated* — Delaware

*Security(ies)* — Units consisting of common stock with warrants

*Issuer of Security(ies)* — Iconics Corporation

*Address of issuer* — 5906 McIntyre St., Golden, CO 80403

*State or country in which organized* — California

PBHG Fund Distributors

Liberty Ridge Dr., Wayne, PA 19087-5593

*State or Country in which incorporated* — Delaware

*Security(ies)* — Shares of common stock

*Issuer of Security(ies)* — PBHG Funds — PBHG Small Cap Value Fund

*Address of issuer* — 32 S. St., Baltimore, MD 21202

*State or country in which organized* — Delaware

PBHG Fund Distributors

Liberty Ridge Dr., Wayne, PA 19087-5593

*State or Country in which incorporated* — Delaware

*Security(ies)* — Shares of common stock

*Issuer of Security(ies)* — PBHG Funds — PBHG Intermediate Fixed Income Fund

*Address of issuer* — 32 S. St., Baltimore, MD 21202

*State or country in which organized* — Delaware

PIMCO ADVISORS DISTRIBUTORS LLC

2187 Atlantic St., Stamford, CT 06902

*State or Country in which incorporated* — Delaware

*Security(ies)* — Shares of beneficial interest

*Issuer of Security(ies)* — PIMCO Funds — California Municipal Bond Fund

*Address of issuer* — 840 Newport Center Dr., Newport Beach, CA 92660

*State or country in which organized* — Massachusetts

Polaris Vega Fund L.P.

% BNY Alternative Investment Services Ltd., 18 Church St., Skandia House, Hamilton HM 11, Bermuda

*Security(ies)* — Limited partnership interests

*Issuer of Security(ies)* — Polaris Vega Fund L.P.

*Address of issuer* — Same as above

*State or country in which organized* — Bermuda

Polaris Vega Investors L.P.

Silverside Carr Executive Center, 501 Silverside Rd. 125, Wilmington, DE 19809

*Security(ies)* — Limited partnership interests

*Issuer of Security(ies)* — Polaris Vega Investors L.P.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Pompous Pot Foods, Inc.

18 Clearview Dr., Ridgefield, CT 06877

*State or Country in which incorporated* — Delaware

*Security(ies)* — Series A preferred stock

*Issuer of Security(ies)* — Pompous Pot Foods, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Proximity International, Ltd.

% Bank of Butterfield International (Cayman) Ltd., P.O. Box 705 GT, Butterfield House, 68 Fort St., George Town, Grand Cayman, Cayman Islands, BWI

*State or Country in which incorporated* — Cayman Islands

*Security(ies)* — Class B ordinary shares

*Issuer of Security(ies)* — Proximity International, Ltd.

*Address of issuer* — Same as above

*State or country in which organized* — Cayman Islands

Proximity International, Ltd.

% Bank of Butterfield International (Cayman) Ltd., P.O. Box 705 GT, Butterfield House, 68 Fort St., George Town, Grand Cayman, Cayman Islands, BWI

*State or Country in which incorporated* — Cayman Islands

*Security(ies)* — Class A ordinary shares

*Issuer of Security(ies)* — Proximity International, Ltd.

*Address of issuer* — Same as above

*State or country in which organized* — Cayman Islands

Reval.com, Inc.

100 Broadway, 22nd Fl., New York, NY 10005

*State or Country in which incorporated* — Delaware

*Security(ies)* — Series 1 preferred stock

*Issuer of Security(ies)* — Reval.com, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

RGN Enhanced Equity Program LLC  
1700 Broadway, 39th Fl., New York, NY 10019  
*State or Country in which incorporated* — Delaware limited liability company  
*Security(ies)* — Limited liability company membership interests  
*Issuer of Security(ies)* — RGN Enhanced Equity Program LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware limited liability company

Robbins Opportunity Partners, L.P.  
% SMKD Fund Administration, LLC, Three Ravinia Dr., Suite 1700, Atlanta, GA 30346  
*Security(ies)* — Limited partnership interests  
*Issuer of Security(ies)* — Robbins Opportunity Partners, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

SCO Securities LLC  
1285 Avenue of the Americas, 35th Fl., New York, NY 10019  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Common stock, \$0.0001 par value per share  
*Issuer of Security(ies)* — BioSante Pharmaceuticals, Inc.  
*Address of issuer* — 111 Barclay Blvd., Lincolnshire, IL 60069  
*State or country in which organized* — Delaware

Scott's Cove Special Credits Fund I, L.P.  
237 Park Ave., Suite 800, New York, NY 10017  
*Security(ies)* — Limited partnership interests  
*Issuer of Security(ies)* — Scott's Cove Special Credits Fund I, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Seabridge Asia Redux LLC  
450 Springfield Ave., Suite 301, Summit, NJ 07901  
*State or Country in which incorporated* — New Jersey limited liability company  
*Security(ies)* — Limited liability company membership interests  
*Issuer of Security(ies)* — Seabridge Asia Redux LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — New Jersey

Sheridan Opportunity Fund, L.P.  
1209 Orange St., Wilmington, DE 19801  
*Security(ies)* — Limited partnership interests  
*Issuer of Security(ies)* — Sheridan Opportunity Fund, L.P.  
*Address of issuer* — % Muchnick, Golieb & Golieb, P.C., 200 Park Ave. S, New York, NY 10003  
*State or country in which organized* — Delaware

SLF Ventures VII, LLC  
132 Sunnyside Ave., Mill Valley, CA 94941  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Limited liability company membership interests  
*Issuer of Security(ies)* — SLF Ventures VII, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Southmeadow, LLLP  
1660 Lincoln, Suite 2000, Denver, CO 80264-2201  
*Security(ies)* — Limited partnership interests  
*Issuer of Security(ies)* — Southmeadow, LLLP

*Address of issuer* — Same as above  
*State or country in which organized* — Colorado

Spectrum Pharmaceuticals, Inc.  
157 Technology Dr., Irvine, CA 92618  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Series 2003-1 warrants to purchase common stock  
*Issuer of Security(ies)* — Spectrum Pharmaceuticals, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Stone Harbor Offshore Fund, Ltd.  
% Stone Harbor Advisors, LLC, 1132 Bishop St., Suite 1580, Honolulu, HI 96813  
*State or Country in which incorporated* — Cayman Islands  
*Security(ies)* — Class A common shares  
*Issuer of Security(ies)* — Stone Harbor Offshore Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Sun & Surf Inc.  
57 Main St., East Hampton, NY 11937  
*State or Country in which incorporated* — New York  
*Security(ies)* — Common capital stock  
*Issuer of Security(ies)* — Sun & Surf Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

SuperShuttle International, Inc.  
14500 Northside Blvd., Suite 329, Scottsdale, AZ 85260  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Common  
*Issuer of Security(ies)* — SuperShuttle International, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Teachers Personal Investors Services, Inc.  
730 Third Ave., New York, NY 10017  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Growth and income fund - retirement class  
*Issuer of Security(ies)* — TIAA-CREF Institutional Mutual Funds  
*Address of issuer* — 730 Third Ave., New York, NY 10017  
*State or country in which organized* — Delaware

Teachers Personal Investors Services, Inc.  
730 Third Ave., New York, NY 10017  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — International equity fund - retirement class  
*Issuer of Security(ies)* — TIAA-CREF Institutional Mutual Funds  
*Address of issuer* — 730 Third Ave., New York, NY 10017  
*State or country in which organized* — Delaware

Teachers Personal Investors Services, Inc.  
730 Third Ave., New York, NY 10017  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — International equity index fund - retirement class  
*Issuer of Security(ies)* — TIAA-CREF Institutional Mutual Funds  
*Address of issuer* — 730 Third Ave., New York, NY 10017  
*State or country in which organized* — Delaware

Teachers Personal Investors Services, Inc.  
730 Third Ave., New York, NY 10017  
*State or Country in which incorporated* — Delaware

*Security(ies)* — Large-cap growth index fund - retirement class  
*Issuer of Security(ies)* — TIAA-CREF Institutional Mutual Funds  
*Address of issuer* — 730 Third Ave., New York, NY 10017  
*State or country in which organized* — Delaware

Teachers Personal Investors Services, Inc.  
 730 Third Ave., New York, NY 10017  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Large-cap value index fund - retirement class  
*Issuer of Security(ies)* — TIAA-CREF Institutional Mutual Funds  
*Address of issuer* — 730 Third Ave., New York, NY 10017  
*State or country in which organized* — Delaware

Teachers Personal Investors Services, Inc.  
 730 Third Ave., New York, NY 10017  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Large-cap value fund - retirement class  
*Issuer of Security(ies)* — TIAA-CREF Institutional Mutual Funds  
*Address of issuer* — 730 Third Ave., New York, NY 10017  
*State or country in which organized* — Delaware

Teachers Personal Investors Services, Inc.  
 730 Third Ave., New York, NY 10017  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Mid-cap blend index fund - retirement class  
*Issuer of Security(ies)* — TIAA-CREF Institutional Mutual Funds  
*Address of issuer* — 730 Third Ave., New York, NY 10017  
*State or country in which organized* — Delaware

Teachers Personal Investors Services, Inc.  
 730 Third Ave., New York, NY 10017  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Mid-cap growth index fund - retirement class  
*Issuer of Security(ies)* — TIAA-CREF Institutional Mutual Funds  
*Address of issuer* — 730 Third Ave., New York, NY 10017  
*State or country in which organized* — Delaware

Teachers Personal Investors Services, Inc.  
 730 Third Ave., New York, NY 10017  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Mid-cap growth fund - retirement class  
*Issuer of Security(ies)* — TIAA-CREF Institutional Mutual Funds  
*Address of issuer* — 730 Third Ave., New York, NY 10017  
*State or country in which organized* — Delaware

Teachers Personal Investors Services, Inc.  
 730 Third Ave., New York, NY 10017  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Mid-cap value fund - retirement class  
*Issuer of Security(ies)* — TIAA-CREF Institutional Mutual Funds  
*Address of issuer* — 730 Third Ave., New York, NY 10017  
*State or country in which organized* — Delaware

Teachers Personal Investors Services, Inc.  
 730 Third Ave., New York, NY 10017  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Mid-cap value index fund - retirement class  
*Issuer of Security(ies)* — TIAA-CREF Institutional Mutual Funds  
*Address of issuer* — 730 Third Ave., New York, NY 10017  
*State or country in which organized* — Delaware

Teachers Personal Investors Services, Inc.  
 730 Third Ave., New York, NY 10017

*State or Country in which incorporated* — Delaware  
*Security(ies)* — Real estate securities fund - retirement class  
*Issuer of Security(ies)* — TIAA-CREF Institutional Mutual Funds  
*Address of issuer* — 730 Third Ave., New York, NY 10017  
*State or country in which organized* — Delaware

Teachers Personal Investors Services, Inc.  
 730 Third Ave., New York, NY 10017  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — S&P 500 index fund - retirement class  
*Issuer of Security(ies)* — TIAA-CREF Institutional Mutual Funds  
*Address of issuer* — 730 Third Ave., New York, NY 10017  
*State or country in which organized* — Delaware

Teachers Personal Investors Services, Inc.  
 730 Third Ave., New York, NY 10017  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Small-cap blend index fund - retirement class  
*Issuer of Security(ies)* — TIAA-CREF Institutional Mutual Funds  
*Address of issuer* — 730 Third Ave., New York, NY 10017  
*State or country in which organized* — Delaware

Teachers Personal Investors Services, Inc.  
 730 Third Ave., New York, NY 10017  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Small-cap equity fund - retirement class  
*Issuer of Security(ies)* — TIAA-CREF Institutional Mutual Funds  
*Address of issuer* — 730 Third Ave., New York, NY 10017  
*State or country in which organized* — Delaware

Teachers Personal Investors Services, Inc.  
 730 Third Ave., New York, NY 10017  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Small-cap growth index fund - retirement class  
*Issuer of Security(ies)* — TIAA-CREF Institutional Mutual Funds  
*Address of issuer* — 730 Third Ave., New York, NY 10017  
*State or country in which organized* — Delaware

Teachers Personal Investors Services, Inc.  
 730 Third Ave., New York, NY 10017  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Small-cap value index fund - retirement class  
*Issuer of Security(ies)* — TIAA-CREF Institutional Mutual Funds  
*Address of issuer* — 730 Third Ave., New York, NY 10017  
*State or country in which organized* — Delaware

Teachers Personal Investors Services, Inc.  
 730 Third Ave., New York, NY 10017  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Social choice equity fund - retirement class  
*Issuer of Security(ies)* — TIAA-CREF Institutional Mutual Funds  
*Address of issuer* — 730 Third Ave., New York, NY 10017  
*State or country in which organized* — Delaware

Tetra Offshore Fund, Ltd.  
 % Prime Management Limited, Mechanics Bldg., 12 Church St.,  
 Hamilton HM 11, Bermuda  
*State or Country in which incorporated* — FN  
*Security(ies)* — Class B common shares  
*Issuer of Security(ies)* — Tetra Offshore Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — FN

Tetra Offshore Fund, Ltd.  
 % Prime Management Limited, Mechanics Bldg., 12 Church St.,  
 Hamilton HM 11, Bermuda  
*State or Country in which incorporated* — FN  
*Security(ies)* — Class A common shares  
*Issuer of Security(ies)* — Tetra Offshore Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — FN

Themis Qualified Partners L.P.  
 % Themis Managers LLC, 750 Lexington Ave., 22nd Fl., New York,  
 NY 10022  
*Security(ies)* — Limited partnership interests  
*Issuer of Security(ies)* — Themis Qualified Partners L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Triage Medical, Inc.  
 13700 Alton Pkwy., Suite 167, Irvine, CA 92618  
*State or Country in which incorporated* — California  
*Security(ies)* — Units consisting of series B preferred stock and  
 warrants  
*Issuer of Security(ies)* — Triage Medical, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — California

Trinity Funds Diversified Allocation LP  
 99 Park Ave., 8th Fl., New York, NY 10016  
*Security(ies)* — Limited partnership interests  
*Issuer of Security(ies)* — Trinity Funds Diversified Allocation LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

TriStar Fund Limited  
 % BNY Alternative Investment Services Ltd., 18 Church St., Skandia  
 House, Hamilton HM 11, Bermuda  
*State or Country in which incorporated* — Bermuda  
*Security(ies)* — Shares of common stock  
*Issuer of Security(ies)* — TriStar Fund Limited  
*Address of issuer* — Same as above  
*State or country in which organized* — Bermuda

TriStar International Investors Limited Partnership  
 Two America Lane, Greenwich, CT 06836  
*Security(ies)* — Limited partnership interests  
*Issuer of Security(ies)* — TriStar International Investors Limited  
 Partnership  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

TriStar Investors LLC  
 Two America Lane, Greenwich, CT 06836  
*Security(ies)* — Membership interests  
*Issuer of Security(ies)* — TriStar Investors LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Two Trees Capital Partners, L.P.  
 181 E. 73rd St., #12B, New York, NY 10021  
*Security(ies)* — Limited partnership interests  
*Issuer of Security(ies)* — Two Trees Capital Management, LLC  
*Address of issuer* — 181 E. 73rd St., #12B, New York, NY 10021  
*State or country in which organized* — Delaware

UBS Securities LLC; (2) Credit Suisse First Boston LLC  
 677 Washington Blvd., Stamford, CT 06901; (2) 11 Madison Ave.,  
 New York, NY 10010  
*State or Country in which incorporated* — (1-2) Delaware limited  
 liability company  
*Security(ies)* — \_\_\_% convertible senior notes due 2023  
*Issuer of Security(ies)* — Invitrogen Corporation  
*Address of issuer* — 1600 Faraday Ave., Carlsbad, CA 92008  
*State or country in which organized* — Delaware

UBS Securities LLC; (2) Credit Suisse First Boston LLC  
 677 Washington Blvd., Stamford, CT 06901; (2) 11 Madison Ave.,  
 New York, NY 10010  
*State or Country in which incorporated* — (1-2) Delaware limited  
 liability company  
*Security(ies)* — Common stock, par value \$0.01 per share  
*Issuer of Security(ies)* — Invitrogen Corporation  
*Address of issuer* — 1600 Faraday Ave., Carlsbad, CA 92008  
*State or country in which organized* — Delaware

UBS Securities LLC  
 299 Park Ave., New York, NY 10171-0026  
*Security(ies)* — Common stock  
*Issuer of Security(ies)* — Thornburg Mortgage, Inc.  
*Address of issuer* — 119 E. Marcy St., Suite 201, Santa Fe, NM 87501  
*State or country in which organized* — Maryland

Unicru, Inc.  
 9300 SW. Nimbus Ave., Beaverton, OR 97008  
*State or Country in which incorporated* — Oregon  
*Security(ies)* — Common stock  
*Issuer of Security(ies)* — Unicru, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Oregon

Usfirst Fund, LLC  
 276 Kingsbury Grade, Suite 2000, P.O. Box 3390, Lake Tahoe, NV  
 89449  
*State or Country in which incorporated* — Nevada  
*Security(ies)* — Shares of membership interest  
*Issuer of Security(ies)* — Usfirst Fund, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Nevada

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of Security(ies)* — Central Equity Trust, Diversified Income  
 Series 11  
*Address of issuer* — % Van Kampen Funds Inc., One Parkview Plaza,  
 Oakbrook Terrace, IL 60181  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of Security(ies)* — Insured Municipals Income Trust, Series 472  
*Address of issuer* — % Van Kampen Funds Inc., One Parkview Plaza,  
 Oakbrook Terrace, IL 60181  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of Security(ies)* — Investment Grade Municipal Trust, Series 35  
*Address of issuer* — % Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of Security(ies)* — Van Kampen Focus Portfolios, Municipal Series 451 (New York Insured Municipals Income Trust, Series 165)  
*Address of issuer* — % Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181  
*State or country in which organized* — New York

Visual Systems Group, Inc.  
 509 Seventh St. NW, Washington, DC 20006  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of Security(ies)* — Visual Systems Group, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Wachovia Capital Markets, LLC; (2) Credit Suisse First Boston LLC  
 301 S. College St., Charlotte, NC 28288; (2) 11 Madison Ave., New York, NY 10010  
*State or Country in which incorporated* — (1-2) Delaware limited liability company  
*Security(ies)* — \_\_\_% convertible senior notes due 2008  
*Issuer of Security(ies)* — Nextel Partners, Inc.  
*Address of issuer* — 4500 Carillon Point, Kirkland, WA 98033  
*State or country in which organized* — Delaware

Wachovia Capital Markets, LLC; (2) Credit Suisse First Boston LLC  
 301 S. College St., Charlotte, NC 28288; (2) 11 Madison Ave., New York, NY 10010  
*State or Country in which incorporated* — (1-2) Delaware limited liability company  
*Security(ies)* — Class A common stock  
*Issuer of Security(ies)* — Nextel Partners, Inc.  
*Address of issuer* — 4500 Carillon Point, Kirkland, WA 98033  
*State or country in which organized* — Delaware

Waterstone Market Neutral Offshore Fund, Ltd.  
 % GlobeOp Financial Services (Cayman) Limited, P.O. Box 908 GT, Walker House, Mary St., George Town, Grand Cayman, Cayman Islands, British West Indies  
*State or Country in which incorporated* — Cayman Islands  
*Security(ies)* — Class B common shares  
*Issuer of Security(ies)* — Waterstone Market Neutral Offshore Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Waterstone Market Neutral Offshore Fund, Ltd.  
 % GlobeOp Financial Services (Cayman) Limited, P.O. Box 908 GT, Walker House, Mary St., George Town, Grand Cayman, Cayman Islands, British West Indies  
*State or Country in which incorporated* — Cayman Islands

*Security(ies)* — Class A common shares  
*Issuer of Security(ies)* — Waterstone Market Neutral Offshore Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

WebGen Systems, Inc.  
 41 Linksey Way, Cambridge, MA 02142  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — One unit consisting of final closing notes, series B-3 convertible preferred stock (issuable on conversion of notes)  
*Issuer of Security(ies)* — WebGen Systems, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Williams Pacific Ventures Fund L.P., The  
 Four Embarcadero Center, Suite 3700, San Francisco, CA 94111  
*Security(ies)* — Limited partnership interests  
*Issuer of Security(ies)* — The Williams Pacific Ventures Fund L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Windmill Group, Inc., The; (2) Linsco/Private Ledger; (3) Hornor, Townsend & Kent, Inc; (4) Nathan & Lewis Securities, Inc.  
 253 Rte. 202, Somers, NY 10589; (2) 155 Federal St., Boston, MA 02110; (3) 600 Dresher Rd., Horsham, PA 19044; 260 Madison Ave., New York, NY 10016  
*State or Country in which incorporated* — (1 & 4) New York; (2) Massachusetts; (3) Pennsylvania  
*Security(ies)* — Units of general and limited partnership interest  
*Issuer of Security(ies)* — PDC 2003 Drilling Program I/PDC 2003 Drilling Program  
*Address of issuer* — 103 E. Main St., Bridgeport, WV 26330  
*State or country in which organized* — West Virginia

WindsorTech, Inc.  
 70 Lake Dr., Hightstown, NJ 08520  
*State or Country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of Security(ies)* — WindsorTech, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

WinMill Software, Inc.  
 420 Lexington Ave., Suite 352, New York, NY 10170  
*State or Country in which incorporated* — Nevada  
*Security(ies)* — Demand notes  
*Issuer of Security(ies)* — WinMill Software, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Nevada

Woodlands I, LLC  
 100 Park Ave., 34th Fl., New York, NY 10017  
*Security(ies)* — Shares of membership interest  
*Issuer of Security(ies)* — Woodlands I, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Yoakum Prospect Joint Venture  
 389 W. Fork, #3711, Irving, TX 75039  
*Security(ies)* — Joint venture interests  
*Issuer of Security(ies)* — Yoakum Prospect Joint Venture  
*Address of issuer* — Same as above  
*State or country in which organized* — Texas

