

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

1861 Capital Offshore Fund Ltd.
c/o BISYS Hedge Fund Service Limited, Hemisphere House, Nine
Church St., Hamilton HM11, Bermuda
Partnership — 1861 Capital Offshore Fund Ltd.

200 West 145th Street, LLC
422 E. 58th St., 4A, New York, NY 10022
State or country in which incorporated — New York limited liability
company

ABRIKA, LLLP
13800 NW. Second St., Suite 190, Sunrise, FL 33325
State or country in which incorporated — Florida

Action Products International, Inc.
390 N. Orange Ave., Suite 2185, Orlando, FL 32801
State or country in which incorporated — Florida

AZG Tangible Assets Fund LLC
1688 Victory Blvd., Suite 101, Staten Island, NY 10314

CEA Acquisition Corporation
101 E. Kennedy Blvd., Suite 3300, Tampa, FL 33602
State or country in which incorporated — Delaware

Concept Development Corp.
59 St. Felix St., Brooklyn, NY 11217
State or country in which incorporated — New York

Corona LLC
Five Sampson St., Saddle Brook, NJ 07663
State or country in which incorporated — New York limited liability
company

ECF Value Fund II, L.P.
1177 Avenue of Americas, Americas Tower, 32nd Fl., New York, NY
10036
Partnership — Gates Capital Management, Inc.

EndoMatrix, Inc.
P.O. Box 6549, Santa Rosa, CA 95406
State or country in which incorporated — California

Fuller & Thaler International Long/Short Fund, L.P.
411 Borel Ave., Suite 402, San Mateo, CA 94402
Partnership — Fuller and Thaler Asset Management, Inc.

Harbert Private Equity Fund II, LLC
One Riverchase Pkwy. S, Birmingham, AL 35244
State or country in which incorporated — Delaware

Hellas International, Inc.
35 Congress St., Salem, MA 01970
State or country in which incorporated — Massachusetts

Kinex Pharmaceuticals, LLC
847 Main St., Buffalo, NY 14203
State or country in which incorporated — Delaware

L-Bros. Fund, LP
c/o Centre Partners, Attn: Lester Bros. Capital, LLC, 30 Rockefeller
Plaza, Suite 5050, New York, NY 10020
Partnership — Lester Bros. GP, LLC

Laidlaw Global Corporation
575 Madison Ave., New York, NY 10022
State or country in which incorporated — Delaware

LC Capital Partners, LP
680 Fifth Ave., Suite 1202, New York, NY 10019
Partnership — LC Capital Advisors, LLC

Onondaga Venture Capital Fund, LLC
241 W. Fayette St., Syracuse, NY 13202
State or country in which incorporated — New York

Onyx Capital Holdings, L.P.
c/o Onyx Capital Ventures, L.L.C., 525 W. Monroe St., Chicago, IL
60661
Partnership — Onyx Capital Ventures, L.L.C.

Pond Point Partners, LP
Three Stamford Landing, Suite 330, 46 Southfield Ave., Stamford, CT
06902
Partnership — Pond Point, GP, LLC

RAM Capital L.P.
12 Larkspur Lane, Rye, NY 10580
Partnership — Robert H. Munsie

Reef-Backridge Infield Development #1 Joint Venture
1901 N. Central Expwy., Suite 300, Richardson, TX 75080

Scarsdale Village Shopping Center, Ltd.
1202 Hawthorne, Houston, TX 77006
Partnership — Davash Capital, LLC

TMK Development Corp.
330 E. 38th St., #26N, New York, NY 10016
State or country in which incorporated — New York

Williams Capital Group, L.P., The
650 Fifth Ave., New York, NY 10019
Partnership — Williams Capital Group, Inc.

WyattZier, LLC
10 Pembroke Way, Bedford, NH 03110
State or country in which incorporated — New Hampshire limited liability company

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY DEALERS; BROKERS; SYNDICATE MANAGERS

1861 Capital Offshore Fund Ltd.
c/o BISYS Hedge Fund Services Limited, Hemisphere House, Nine Church St., Hamilton, HM11, Bermuda
Security(ies) — Common shares, par value \$.01 per share
Issuer of security(ies) — 1861 Capital Offshore Fund Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

200 West 145th Street, LLC
422 E. 58th St., 4A, New York, NY 10022
Security(ies) — Membership interests
Issuer of security(ies) — 200 West 145th Street, LLC
Address of issuer — Same as above
State or country in which organized — New York

ABRIKA, LLLP; (2) ACFP, LLLP
13800 NW. Second St., Suite 190, Sunrise, FL 33325; (2) 3320 Fairfield Lane, Weston, FL 33331
State or country in which incorporated — (1 & 2) Florida
Security(ies) — Up to 100 units of limited liability limited partnership interests (the "units") in ABRIKA, LLLP (the "partnership"), at a price of \$250,000 per unit
Issuer of security(ies) — ABRIKA, LLLP
Address of issuer — 13800 NW. Second St., Suite 190, Sunrise, FL 33325
State or country in which organized — Florida
Action Products International, Inc.
390 N. Orange Ave., Suite 2185, Orlando, FL 32801
State or country in which incorporated — Florida

Security(ies) — Common stock, par value \$0.001 per share
Issuer of security(ies) — Action Products International, Inc.
Address of issuer — Same as above
State or country in which organized — Florida

Altegris Investments, Inc.
1202 Bergen Pkwy., Suite 212, Evergreen, CO 80439
State or country in which incorporated — Arkansas
Security(ies) — Limited partnership interests
Issuer of security(ies) — Winton Futures Fund, L.P. (US)
Address of issuer — Same as above
State or country in which organized — Colorado

AZG Tangible Assets Fund LLC
1688 Victory Blvd., Suite 101, Staten Island, NY 10314
Security(ies) — Membership units
Issuer of security(ies) — AZG Tangible Assets Fund LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Banc of America Securities LLC
214 N. Tryon St., NC1-027-21-04, Charlotte, NC
Security(ies) — Asset-backed pass-through certificates, series 2003-5, class M-4
Issuer of security(ies) — Ameriquest Mortgage Securities Inc.
Address of issuer — 1100 Town & Country Rd., Suite 1100, Orange, CA 92868-4653
State or country in which organized — Delaware

Banc of America Securities LLC
214 N. Tryon St., NC1-027-21-04, Charlotte, NC
Security(ies) — Asset-backed pass-through certificates, series 2003-5, class M-3
Issuer of security(ies) — Ameriquest Mortgage Securities Inc.
Address of issuer — 1100 Town & Country Rd., Suite 1100, Orange, CA 92868-4653
State or country in which organized — Delaware

Bear, Stearns & Co., Inc.; (2) Friedman, Billings, Ramsey & Co., Inc.; (3) U.S. Bancorp Piper Jaffray Inc.
383 Madison Ave., New York, NY 10179; (2) 1001 19th St. N, Arlington, VA 22209; (3) 800 Nicollet Mall, Minneapolis, MN 55402
State or country in which incorporated — (1-3) Delaware
Security(ies) — Common stock
Issuer of security(ies) — Open Solutions, Inc.
Address of issuer — 300 Winding Brook Dr., Glastonbury, CT 06033
State or country in which organized — Delaware

Citigroup Global Markets Inc.
388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Tax Exempt Securities Trust, Intermediate Term Trust 73
Address of issuer — Same as above
State or country in which organized — New York

Citigroup Global Markets Inc.
388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Tax Exempt Securities Trust, Intermediate

Term Trust 74

Address of issuer — Same as above*State or country in which organized* — New York

Citigroup Global Markets Inc.

388 Greenwich St., New York, NY 10013

State or country in which incorporated — New York*Security(ies)* — Units of fractional undivided interest*Issuer of security(ies)* — Tax Exempt Securities Trust, Florida Trust 132*Address of issuer* — Same as above*State or country in which organized* — New York

Citigroup Global Markets Inc.

388 Greenwich St., New York, NY 10013

State or country in which incorporated — New York*Security(ies)* — Units of fractional undivided interest*Issuer of security(ies)* — Tax Exempt Securities Trust, Florida Trust 133*Address of issuer* — Same as above*State or country in which organized* — New York

Citigroup Global Markets Inc.

388 Greenwich St., New York, NY 10013

State or country in which incorporated — New York*Security(ies)* — Units of fractional undivided interest*Issuer of security(ies)* — Tax Exempt Securities Trust, National Trust 382*Address of issuer* — Same as above*State or country in which organized* — New York

Citigroup Global Markets Inc.

388 Greenwich St., New York, NY 10013

State or country in which incorporated — New York*Security(ies)* — Units of fractional undivided interest*Issuer of security(ies)* — Tax Exempt Securities Trust, National Trust 383*Address of issuer* — Same as above*State or country in which organized* — New York

Citigroup Global Markets Inc.

388 Greenwich St., New York, NY 10013

State or country in which incorporated — New York*Security(ies)* — Units of fractional undivided interest*Issuer of security(ies)* — Tax Exempt Securities Trust, National Trust 384*Address of issuer* — Same as above*State or country in which organized* — New York

Citigroup Global Markets Inc.

388 Greenwich St., New York, NY 10013

State or country in which incorporated — New York*Security(ies)* — Units of fractional undivided interest*Issuer of security(ies)* — Tax Exempt Securities Trust, National Trust 385*Address of issuer* — Same as above*State or country in which organized* — New York

Concept Development Corp.

59 St. Felix St., Brooklyn, NY 11217

State or country in which incorporated — New York*Security(ies)* — Condominium units*Issuer of security(ies)* — Concept Development Corp.*Address of issuer* — Same as above*State or country in which organized* — New York

Cornerstone Pharmaceuticals, Inc.

570 Seventh Ave., New York, NY 10018

State or country in which incorporated — New York*Security(ies)* — Common stock*Issuer of security(ies)* — Cornerstone Pharmaceuticals, Inc.*Address of issuer* — Same as above*State or country in which organized* — New York

Corona LLC

Five Sampson St., Saddle Brook, NJ 07663

State or country in which incorporated — New York limited liability company*Security(ies)* — Unsold units of residential condominium; 105 Otis Avenue Condo Assc.*Issuer of security(ies)* — Terry Ross Associates*Address of issuer* — 1434 Mark Dr., East Meadow, NY 11554*State or country in which organized* — New York

Corona LLC

Five Sampson St., Saddle Brook, NJ 07663

State or country in which incorporated — New York limited liability company*Security(ies)* — Unsold units of residential Condominium; Enclave Condo Association*Issuer of security(ies)* — Terry Ross Associates*Address of issuer* — 1434 Mark Dr., East Meadow, NY 11554*State or country in which organized* — New York

Credit Suisse First Boston LLC

11 Madison Ave., Fifth Fl., New York, NY 10010

State or country in which incorporated — New York*Security(ies)* — Units consisting of asset backed notes*Issuer of security(ies)* — M&I Auto Loan Trust 2003-1*Address of issuer* — c/o Deutsche Bank Trust Company, 1011 Centre Rd., Suite 200, Wilmington, DE 19805*State or country in which organized* — Delaware

Deutsche Bank Securities Inc.

60 Wall St., New York, NY 10005

State or country in which incorporated — Delaware*Security(ies)* — Series 2003-D class C asset backed notes*Issuer of security(ies)* — Advanta Business Card Master Trust*Address of issuer* — c/o Advanta Corp., Welsh & McKean Rds., P.O. Box 844, Spring House, PA 19477*State or country in which organized* — Nevada

Deutsche Bank Securities Inc.; (2) Credit Lyonnais Securities (USA) Inc.; (3) Harris Nesbitt Gerard, Inc.; (4) The Williams Capital Group, L.P.

31 W. 52nd St., New York, NY 10019; (2) 1301 Avenue of the Americas, New York, NY 10019; (3) 360 Madison Ave., New York, NY 10017; (4) 650 Fifth Ave., New York, NY 10019

State or country in which incorporated — (1 & 3) Delaware; (2) New York; (4) Delaware partnership*Security(ies)* — 4.75% contingent convertible notes due 2023*Issuer of security(ies)* — Calpine Corporation*Address of issuer* — 50 W. San Fernando St., San Jose, CA 95113*State or country in which organized* — Delaware

Deutsche Bank Securities Inc.; (2) Credit Lyonnais Securities (USA) Inc.; (3) Harris Nesbitt Gerard, Inc.; (4) The Williams Capital Group, L.P.

31 W. 52nd St., New York, NY 10019; (2) 1301 Avenue of the Americas, New York, NY 10019; (3) 360 Madison Ave., New York, NY 10017; (4) 650 Fifth Ave., New York, NY 10019

State or country in which incorporated — (1 & 3) Delaware; (2) New York; (4) Delaware partnership

Security(ies) — Common stock

Issuer of security(ies) — Calpine Corporation

Address of issuer — 50 W. San Fernando St., San Jose, CA 95113

State or country in which organized — Delaware

EarlyBirdCapital, Inc.

600 Third Ave., 33rd Fl., New York, NY 10016

State or country in which incorporated — Delaware

Security(ies) — Warrants

Issuer of security(ies) — CEA Acquisition Corporation

Address of issuer — 101 E. Kennedy Blvd., Suite 3300, Tampa, FL 33602

State or country in which organized — Delaware

EarlyBirdCapital, Inc.

600 Third Ave., 33rd Fl., New York, NY 10016

State or country in which incorporated — Delaware

Security(ies) — Units of common stock and warrants

Issuer of security(ies) — CEA Acquisition Corporation

Address of issuer — 101 E. Kennedy Blvd., Suite 3300, Tampa, FL 33602

State or country in which organized — Delaware

ECF Value Fund II, L.P.

1177 Avenue of Americas, Americas Tower, 32nd Fl., New York, NY 10036

Security(ies) — Limited partnership interests

Issuer of security(ies) — ECF Value Fund II, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

EndoMatrix, Inc.

P.O. Box 6549, Santa Rosa, CA 95406

State or country in which incorporated — California

Security(ies) — Series C preferred stock

Issuer of security(ies) — EndoMatrix, Inc.

Address of issuer — Same as above

State or country in which organized — California

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 782 (New York Municipal Income Closed-End Portfolio, Series 4)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 775 (Current Better Values Portfolio, November 2003)

Address of issuer — Same as above

State or country in which organized — Illinois

Fuller & Thaler International Long/Short Fund, L.P.

411 Borel Ave., Suite 402, San Mateo, CA 94402

Security(ies) — Limited partnership interest

Issuer of security(ies) — Fuller & Thaler International Long/Short Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Harbert Private Equity Fund II, LLC

One Riverchase Pkwy. S, Birmingham, AL 35244

State or country in which incorporated — Delaware

Security(ies) — Limited liability company units

Issuer of security(ies) — Harbert Private Equity Fund II, LLC

Address of issuer — Same as above

State or country in which organized — Delaware

Hellas International, Inc.

35 Congress St., Salem, MA 01970

State or country in which incorporated — Massachusetts

Security(ies) — Common stock

Issuer of security(ies) — Hellas International, Inc.

Address of issuer — Same as above

State or country in which organized — Massachusetts

Janney Montgomery Scott LLC

1801 Market St., Philadelphia, PA 19103

Security(ies) — Warrants to purchase common stock

Issuer of security(ies) — Marshall Edwards, Inc.

Address of issuer — 140 Wicks Rd., North Ryde NSW 2113, Australia

State or country in which organized — Delaware

Jefferies & Company, Inc.; (2) Brean Murray & Co., Inc.

11100 Santa Monica Blvd., Los Angeles, CA 90025; (2) 570 Lexington Ave., New York, NY 10022

State or country in which incorporated — (1 & 2) Delaware

Security(ies) — Common stock

Issuer of security(ies) — Education Lending Group, Inc.

Address of issuer — 12760 High Bluff Dr., Suite 210, San Diego, CA 92130

State or country in which organized — Delaware

Keefe, Bruyette & Woods, Inc.

788 Seventh Ave., New York, NY 10019

State or country in which incorporated — New York

Security(ies) — Capital securities

Issuer of security(ies) — First Midwest Capital Trust I

Address of issuer — c/o Wilmington Trust Company, Rodney Sq. N, 1100 N. Market St., Wilmington, DE 14890

State or country in which organized — United States

Kinex Pharmaceuticals, LLC

847 Main St., Buffalo, NY 14203

State or country in which incorporated — Delaware

Security(ies) — Series A preferred units

Issuer of security(ies) — Kinex Pharmaceuticals, LLC

Address of issuer — Same as above

State or country in which organized — Delaware

L-Bros. Fund, LP

c/o Centre Partners, Attention: Lester Bros. Capital, LLC, 30 Rockefeller Plaza, Suite 5050, New York, NY 10020

Security(ies) — Limited partnership interests

Issuer of security(ies) — L-Bros. Fund, LP

Address of issuer — Same as above
State or country in which organized — Delaware

Laidlaw Global Corporation
 575 Madison Ave., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Preferred stock
Issuer of security(ies) — Laidlaw Global Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

LC Capital Partners, LP
 680 Fifth Ave., Suite 1202, New York, NY 10019
Security(ies) — Limited partnership interests
Issuer of security(ies) — LC Capital Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Morgan Stanley & Co. Incorporated
 1585 Broadway, New York, NY 10036
State or country in which incorporated — Delaware
Security(ies) — 97/8% second priority senior secured notes due 2011
Issuer of security(ies) — Calpine Corporation
Address of issuer — 50 W. San Fernando St., San Jose, CA 95113
State or country in which organized — Delaware

Noesis Capital Corp.
 1801 Clint Moore Rd., Suite 100, Boca Raton, FL 33487
State or country in which incorporated — Florida
Security(ies) — Class G limited liability company units
Issuer of security(ies) — InSite MediaCom, LLC
Address of issuer — 1317 Beverly Estate Dr., Beverly Hills, CA 90210
State or country in which organized — Delaware

Onondaga Venture Capital Fund, LLC
 241 W. Fayette St., Syracuse, NY 13202
State or country in which incorporated — New York
Security(ies) — Units of a limited liability company
Issuer of security(ies) — Onondaga Venture Capital Fund, LLC
Address of issuer — Same as above
State or country in which organized — New York

Onyx Capital Holdings, L.P.
 c/o Onyx Capital Ventures, LLC, 525 W. Monroe St., Chicago, IL 60661
Security(ies) — Interest (comprised of debentures and a warrant exercisable for a limited partnership interest in the limited partnership)
Issuer of security(ies) — Onyx Capital Holdings, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Pond Point Partners, LP
 Three Stamford Landing, 46 Southfield Ave., Suite 330, Stamford, CT 06902
Security(ies) — Limited partnership interests
Issuer of security(ies) — Pond Point Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Prudential Investment Management Services LLC
 100 Mulberry St., Gateway Center Three, Newark, NJ 07102
State or country in which incorporated — Delaware

Security(ies) — Units of undivided interest
Issuer of security(ies) — National Equity Trust Top Ten Portfolio Series 44
Address of issuer — Same as above
State or country in which organized — New York

RAM Capital L.P.
 12 Larkspur Lane, Rye, NY 10580
Security(ies) — Partnerships
Issuer of security(ies) — RAM Capital L.P.
Address of issuer — Same as above
State or country in which organized — New York

Reef-Backridge Infield Development #1 Joint Venture
 1901 N. Central Expwy., Suite 300, Richardson, TX 75080
Security(ies) — Units of joint venture interest
Issuer of security(ies) — Reef-Backridge Infield Development #1 Joint Venture
Address of issuer — Same as above
State or country in which organized — Texas

Residential Funding Mortgage Securities I, Inc.
 8400 Normandale Lake Blvd., Suite 250, Minneapolis, MN 55437
State or country in which incorporated — Minnesota
Security(ies) — Mortgage pass-through certificates, series 2003-S18, class M-3
Issuer of security(ies) — Residential Funding Mortgage Securities I, Inc.
Address of issuer — Same as above
State or country in which organized — Minnesota

Residential Funding Mortgage Securities I, Inc.
 8400 Normandale Lake Blvd., Suite 250, Minneapolis, MN 55437
State or country in which incorporated — Minnesota
Security(ies) — Mortgage pass-through certificates, series 2003-S19, class M-3
Issuer of security(ies) — Residential Funding Mortgage Securities I, Inc.
Address of issuer — Same as above
State or country in which organized — Minnesota

Robert W. Baird & Co. Incorporated
 777 E. Wisconsin Ave., Milwaukee, WI 53202
State or country in which incorporated — Wisconsin
Security(ies) — Convertible senior secured notes
Issuer of security(ies) — Midwest Express Holding, Inc.
Address of issuer — Same as above
State or country in which organized — Wisconsin

Robert W. Baird & Co. Incorporated
 777 E. Wisconsin Ave., Milwaukee, WI 53202
State or country in which incorporated — Wisconsin
Security(ies) — Common stock
Issuer of security(ies) — Midwest Express Holding, Inc.
Address of issuer — Same as above
State or country in which organized — Wisconsin

Sanders Morris Harris Inc.
 600 Travis, Suite 3100, Houston, TX 77002
State or country in which incorporated — Texas
Security(ies) — Limited partnership interests
Issuer of security(ies) — Life Science Opportunities Fund II, L.P.

Address of issuer — 126 E. 56th St., 16th Fl., New York, NY 10022
State or country in which organized — Delaware

Sanders Morris Harris Inc.
 600 Travis, Suite 3100, Houston, TX 77002
State or country in which incorporated — Texas
Security(ies) — Limited partnership interests
Issuer of security(ies) — Life Science Opportunities Fund II (Institutional), L.P.

Address of issuer — 126 E. 56th St., 16th Fl., New York, NY 10022
State or country in which organized — Delaware

TMK Development Corp.
 330 E. 38th St., #26N, New York, NY 10016
State or country in which incorporated — New York
Security(ies) — Condominium units
Issuer of security(ies) — TMK Development Corp.
Address of issuer — Same as above
State or country in which organized — New York

UBS Financial Services Inc.
 1285 Avenue of the Americas, New York, NY 10019
State or country in which incorporated — Delaware
Security(ies) — Units of fractional undivided interests in the Equity Opportunity Trust Value Select Ten Series 2003E
Issuer of security(ies) — Equity Opportunity Trust, Value Select Ten Series 2003E
Address of issuer — Same as above
State or country in which organized — New York

UBS Financial Services Inc.
 1285 Avenue of the Americas, New York, NY 10019
State or country in which incorporated — Delaware
Security(ies) — Units of fractional undivided interests in the Equity Opportunity Trust Dividend Income Value Strategy Series 2003F
Issuer of security(ies) — Equity Opportunity Trust, Dividend Income Value Strategy Series 2003F
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Insured Municipals Income Trust, Series 478
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Insured Income Trust, Series 114
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Investment Grade Municipal Trust, Series 40
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Insured Municipals Income Trust, 93rd Limited Maturity Series
Address of issuer — Same as above
State or country in which organized — New York

WyattZier, LLC
 10 Pembroke Way, Bedford, NH 03110
State or country in which incorporated — New Hampshire limited liability company
Security(ies) — Membership units
Issuer of security(ies) — WyattZier, LLC
Address of issuer — Same as above
State or country in which organized — New Hampshire limited liability company