

# SECURITIES OFFERINGS

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## STATE NOTICES

Published pursuant to provisions of General Business Law  
[Art. 23-A, §359-e(2)]

## DEALERS; BROKERS

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Accipiter Life Sciences Fund (QP), LP  
153 E. 53rd St., 55th Fl., New York, NY 10022  
*State or country in which incorporated* — Delaware

Ace Marketing & Promotions, Inc.  
457 Rockaway Ave., Valley Stream, NY 11581  
*State or country in which incorporated* — New York

ACE\*COMM Corporation  
704 Quince Orchard Rd., Gaithersburg, MD 20878  
*State or country in which incorporated* — Maryland

Alternative Access Capital, LLC  
707 Westchester Ave., Suite L4, White Plains, NY 10604  
*State or country in which incorporated* — Delaware

Bargain Shop Equity Partners, LLLP  
1401 S. Brentwood Blvd., Suite 650, St. Louis, MO 63144  
*Partnership* — Banyan Capital Group, LLC

BB&T Funds—International Equity Fund  
BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012  
*State or country in which incorporated* — Massachusetts

BBR Equity Long/Short (QP), LP  
420 Lexington Ave., Suite 2301, New York, NY 10170  
*Partnership* — BBR Investment Management, L.L.C.

BBR Absolute Return (QP), LP  
420 Lexington Ave., Suite 2301, New York, NY 10170  
*Partnership* — BBR Investment Management, L.L.C.

Bethany Corner, Ltd.  
1220 One Energy Sq., 4925 Greenville, TX 75206  
*Partnership* — Bethany Corner GP, L.L.C.

BFD Mobile Radio Partners, L.P.  
% BFD Capital, Inc., 620 Fifth Ave., New York, NY 10020  
*Partnership* — BMR Advisors, LLC

Blue Elite Fund, L.P.  
420 Lexington Ave., Suite 2650, New York, NY 10170  
*Partnership* — Blue Advisers, L.L.C.

Brandywine Long-Short Equity Fund L.P.  
The Mill, 381 Brinton Lake Rd., Thornton, PA 19373  
*Partnership* — Brandywine Asset Management, Inc.

Buena Vista Offshore Fund, Ltd.  
% Bank of Butterfield International (Cayman) Ltd., Butterfield House,  
68 Fort St., P.O. Box 705 GT, George Town, Grand Cayman, Cayman  
Islands, BWI  
*State or country in which incorporated* — Cayman Islands

CaliberOne Private Fund, Ltd.  
% Ironshore Corporate Services Limited, Queensgate House, S. Church  
St., P.O. Box 1234, George Town, Grand Cayman, Cayman Islands,  
British West Indies

Circle T Market-Neutral Fund (QP) L.P.  
153 E. 53rd St., Suite 5501, New York, NY 10022  
*Partnership* — Demours Capital Advisors LLC

Clarion Lion Properties Fund REIT, LLC  
230 Park Ave., New York, NY 10169

Confluence Partners, LLC  
4201 Congress St., Suite 145, Charlotte, NC 28209  
*State or country in which incorporated* — Delaware

CP Investment Fund, L.P.  
% Carmel Partners, Inc., 1000 Sansome St., Suite 180, San Francisco,  
CA 94111  
*Partnership* — Carmel Partners GP, LLC

Dunbar Capital International Ltd.  
P.O. Box 309 Uglund House, S. Church St., Grand Cayman, Cayman  
Islands, BWI  
*State or country in which incorporated* — Cayman Islands

dynamicsoft Inc.  
600 Lanidex Plaza, Parsippany, NJ 07054  
*State or country in which incorporated* — Delaware

Empire Real Estate Asset Fund, LLC  
48 Scotland Hill Rd., Chestnut Ridge, NY 10977  
*State or country in which incorporated* — Delaware

Empire Biofuels, LLC  
2269 Dewindt Rd., Newark, NY 14513  
*State or country in which incorporated* — New York

ERM Dollar Neutral Limited Partnership  
Arawak Chambers, Sea Meadow House, Blackburne Hwy., P.O. Box 173, Road Town, Tortola, British Virgin Islands  
*Partnership* — ERM General Partner, Ltd.

ERM Dollar Neutral Feeder, Ltd.  
Arawak Chambers, Sea Meadow House, Blackburne Hwy., P.O. Box 173, Road Town, Tortola, British Virgin Islands  
*State or country in which incorporated* — British Virgin Islands

etrials Worldwide, Inc.  
2701 Aerial Center Pkwy., Suite 100, Morrisville, NC 27560  
*State or country in which incorporated* — Delaware

Fashionista Investments LLC  
19 Pine Hill Ave., Norwalk, CT 06855  
*State or country in which incorporated* — Delaware

FC Note Investors, LLC  
1035 Powers Place, Alpharetta, GA 30004  
*State or country in which incorporated* — Virginia

Firefly Partners, L.P.  
50 Osgood Place, Penthouse, San Francisco, CA 94133  
*Partnership* — Gruber & McBaine Capital Management, LLC, general partner

First Ivy Capital Markets, LLC  
One Paragon Dr., Suite 125, Montvale, NJ 07645  
*State or country in which incorporated* — Delaware

Greenway Medical Technologies, Inc.  
121 Greenway Blvd., Carrollton, GA 30117  
*State or country in which incorporated* — Georgia

GREYBULL Fund LLC  
75 14th St., Suite 2350, Atlanta, GA 30309  
*State or country in which incorporated* — Delaware LLC

GREYBULL Fund Corp.  
% Q & H Corporate Services Ltd., Third Fl., Harbour Centre, P.O. Box 1348, George Town, Grand Cayman, Cayman Islands  
*State or country in which incorporated* — Cayman Islands

Highbrace Partners, LP  
104 Field Point Rd., Greenwich, CT 06830  
*Partnership* — Highbrace Capital, LLC

HOME PROPERTIES, L.P.  
850 Clinton Sq., Rochester, NY 14604  
*Partnership* — Home Properties, Inc.

Hornet Group, Inc.  
100 River Rd., Port Jervis, NY 12771

HWC INVESTORS, LLC  
702 West St., Suite 104A, Wilmington, DE 19801

ICAD, INC.  
Four Townsend W, Suite 17, Nashua, NH 03063  
*State or country in which incorporated* — Delaware

iDEFENSE, Inc.  
1875 Campus Commons Dr., Suite 210, Reston VA 20191  
*State or country in which incorporated* — Delaware

IEI Acquisition, LLC  
230 Park Ave., Suite 864, New York, NY 10169  
*State or country in which incorporated* — Delaware

IndX Software Corporation  
Six Journey, Suite 125, Aliso Viejo, CA 92656  
*State or country in which incorporated* — California

Interstate Gas Marketing, Inc.  
2018 S. Sixth St., Indiana, PA 15701  
*State or country in which incorporated* — Pennsylvania

J.W. Childs Bermuda AIV One, L.P.  
111 Hungtington Ave., Boston, MA 02199-7610  
*Partnership* — J.W. Childs Bermuda Advisors III, L.P.

Jamie Siegal  
6476 Sligo Mill Rd., Takoma Park, MD 20912  
*State or country in which incorporated* — Maryland

Lagunitas Partners  
50 Osgood Place, Penthouse, San Francisco, CA 94133  
*Partnership* — Gruber & McBaine Capital Management, LLC, general partner

LANTRUST SECURITIES INC.  
3805 Edwards Rd., Suite 130, Cincinnati, OH 45209  
*State or country in which incorporated* — Ohio

Lighthouse V Fund, L.P.  
3801 PGA Blvd., Suite 555, Palm Beach Gardens, FL 33410  
*Partnership* — Lighthouse Partners, L.L.C., general partner

M.O.Y.O.D., L.L.C.  
509 N. Carrollton Ave., New Orleans, LA 70119

MAGNA RESOURCES CAMERON JOINT VENTURE  
Campbell Centre II, #1700, 8150 N. Central Expwy., Dallas, TX 75206

Melody Fund, L.P.  
555 California St., Suite 2975, San Francisco, CA 94104  
*Partnership* — Symphony Asset Management, LLC, general partner

Merrill Lynch, Pierce, Fenner & Smith Incorporated  
250 Vesey St., New York, NY 10080  
*State or country in which incorporated* — Delaware

Nautilus Exploration, Inc.  
12200 N. Stemmons Fwy., Suite 207, Dallas, TX 75234  
*State or country in which incorporated* — Texas

Neiman Funds  
480 N. Magnolia Ave., Suite 103, El Cajon, CA 92020  
*State or country in which incorporated* — Ohio

Niesen Development Corporation  
1452 Hughes Rd., #315, Grapevine, TX 76051  
*State or country in which incorporated* — Texas

Nina McLemore, LLC  
135 E. 55th St., Seventh Fl., New York, NY 10022  
*State or country in which incorporated* — Delaware

NORTHWOOD PLAZA, LLC  
8816 Six Forks Rd., Suite 201, Raleigh, NC 27615  
*State or country in which incorporated* — Delaware limited liability company

Opel Inc.  
22 Quail Run, Mansfield, CT 06265  
*State or country in which incorporated* — Delaware

Pacific CMA, Inc.  
300 Albany St., Suite 4B, New York, NY 10280  
*State or country in which incorporated* — Colorado

Philadelphia Brokerage Corporation  
992 Old Eagle School Rd., Suite 915, Wayne, PA 19087  
*State or country in which incorporated* — Pennsylvania

Phytrix Inc.  
% Mintz & Fraade, P.C., 488 Madison Ave., Suite, 1100, New York, NY 10022

Planet Magazine, Inc.  
876 Valencia St., Suite B, San Francisco, CA 94110  
*State or country in which incorporated* — California

Poplar Resources, Ltd.  
1030-609 Granville St., Vancouver B.C., Canada V7Y1G5

Preferred Brands International, LLC  
595 Summer St., Suite Three, Stamford, CT 06901  
*State or country in which incorporated* — Connecticut

Principal Investors Fund, Inc.-Preferred Securities Fund  
% The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland

Protein Forest, Inc.  
480 Arsenal St., Watertown, MA 02472  
*State or country in which incorporated* — Delaware

Publex Venture Partners  
1601 Cloverfield Blvd., Second Fl., South Tower, Santa Monica, CA 90404  
*Partnership* — Publex Ventures, LLC

PV Opportunity Partners I, LLC  
50 Osgood Place., Penthouse, San Francisco, CA 94133  
*Partnership* — Pecos Ventures, LLC

SmartMetric Corp.  
67 Wall St. 22nd Fl., New York, NY 10005  
*State or country in which incorporated* — Nevada

Stadia Consumer Fund (QP), L.P.  
780 Third Ave., Ninth Fl., New York, NY 10017

*Partnership* — Stadia Capital Associates, L.L.C.

SunTrust Alternative Fund, L.P.  
3801 PGA Blvd., Suite 555, Palm Beach Gardens, FL 33410  
*Partnership* — Lighthouse Partners, L.L.C., general partner

TeleUniversity, Inc.  
4350 E. Camelback Rd., Suite 240B, Phoenix, AZ 85018  
*State or country in which incorporated* — Delaware

Temecula Merger Corporation  
27710 Jefferson Ave., Suite A100, Temecula, CA 92590  
*State or country in which incorporated* — California

Tidel Technologies, Inc.  
2900 Wilcrest, Suite 205, Houston, TX 77042  
*State or country in which incorporated* — Delaware

USB Focus Fund IX, LLC  
% U.S. Boston Capital Corporation, 55 Old Bedford Rd., Lincoln, MA 01773  
*Partnership* — Peach Tree Partners, L.P.

Velocity Express Corporation  
Four Paramount Plaza, 7803 Glenroy Rd., Suite 200, Bloomington, MN 55439  
*State or country in which incorporated* — Delaware

Vonage Holdings Corp.  
2147 State Rte. 27, Edison, NJ 08817  
*State or country in which incorporated* — Delaware

Watchdog Fund Trust, The  
% Ultimus Fund Solutions, LLC, 135 Merchant St., Suite 230, Cincinnati, OH 45246  
*State or country in which incorporated* — Delaware

Waveland Securities LLC  
2111 Palomar Airport Rd., Suite 130, Carlsbad, CA 92009  
*State or country in which incorporated* — California

**FURTHER STATE NOTICES**

Published pursuant to provisions of General Business Law  
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY  
DEALERS; BROKERS;  
SYNDICATE MANAGERS

Accipiter Life Sciences Fund (QP), LP  
153 E. 53rd St., 55th Fl., New York, NY 10022  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Interests  
*Issuer of security(ies)* — Accipiter Life Sciences Fund (QP), LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Ace Marketing & Promotions, Inc.  
457 Rockaway Ave., Valley Stream, NY 11581

State or country in which incorporated — New York  
 Security(ies) — Class A warrants  
 Issuer of security(ies) — Ace Marketing & Promotions, Inc.  
 Address of issuer — Same as above  
 State or country in which organized — New York

Ace Marketing & Promotions, Inc.  
 457 Rockaway Ave., Valley Stream, NY 11581  
 State or country in which incorporated — New York  
 Security(ies) — Common stock  
 Issuer of security(ies) — Ace Marketing & Promotions, Inc.  
 Address of issuer — Same as above  
 State or country in which organized — New York

ACE\*COMM Corporation  
 704 Quince Orchard Rd., Gaithersburg, MD 20878  
 State or country in which incorporated — Maryland  
 Security(ies) — Preferred stock  
 Issuer of security(ies) — ACE\*COMM Corporation  
 Address of issuer — Same as above  
 State or country in which organized — Maryland

ACE\*COMM Corporation  
 704 Quince Orchard Rd., Gaithersburg, MD 20878  
 State or country in which incorporated — Maryland  
 Security(ies) — Common stock  
 Issuer of security(ies) — ACE\*COMM Corporation  
 Address of issuer — Same as above  
 State or country in which organized — Maryland

Advest, Inc.  
 One Rockefeller Plaza, New York, NY 10020  
 State or country in which incorporated — Delaware  
 Security(ies) — Common shares, par value \$.01 per share  
 Issuer of security(ies) — American Safety Insurance Holdings, Ltd.  
 Address of issuer — 1845 The Exchange, Atlanta, GA 30339  
 State or country in which organized — Bermuda

American Landmark Securities, Inc.  
 433 E. Las Colinas Blvd., Suite 805, Irving, TX 75039  
 State or country in which incorporated — Texas  
 Security(ies) — DPP-limited partnership interest (Prodigy #8 partnership)  
 Issuer of security(ies) — Prodigy Oil and Gas, LLC  
 Address of issuer — 433 E. Las Colinas Blvd., Suite 800, Irving, TX 75039  
 State or country in which organized — Texas

American Water Star, Inc.  
 4560 S. Decatur Blvd., #301, Las Vegas, NV 89128  
 State or country in which incorporated — Nevada  
 Security(ies) — Units at .35/unit, consisting of common stock and a common stock purchase warrant  
 Issuer of security(ies) — American Water Star, Inc.  
 Address of issuer — Same as above  
 State or country in which organized — Nevada

Aurora Capital LLC  
 425 Park Ave., 5th Fl., New York, NY 10022  
 Security(ies) — Series A voting convertible preferred stock with underlying common stock  
 Issuer of security(ies) — Pivotal BioSciences, Inc.  
 Address of issuer — 8721 Santa Monica Blvd., Suite 100, Los Angeles,

CA 90069  
 State or country in which organized — Delaware

B.C. Ziegler and Company  
 215 N. Main St., West Bend, WI 53095  
 State or country in which incorporated — Wisconsin  
 Security(ies) — Shares of common stock  
 Issuer of security(ies) — North Track Funds, Inc. — Strategic Allocation Fund  
 Address of issuer — Same as above  
 State or country in which organized — Maryland

Banc of America Securities LLC  
 214 N. Tryon St., Charlotte, NC 28255  
 State or country in which incorporated — Delaware  
 Security(ies) — Asset-backed pass-through certificates, series 2003-11, class M-5  
 Issuer of security(ies) — Ameriquest Mortgage Securities Inc.  
 Address of issuer — 1100 Town & County Rd., Suite 1100, Orange, CA 92868-4653  
 State or country in which organized — Delaware

Banc of America Securities LLC  
 214 N. Tryon St., Charlotte, NC 28255  
 State or country in which incorporated — Delaware  
 Security(ies) — Asset-backed pass-through certificates, series 2003-11, class M-6  
 Issuer of security(ies) — Ameriquest Mortgage Securities Inc.  
 Address of issuer — 1100 Town & County Rd., Suite 1100, Orange, CA 92868-4653  
 State or country in which organized — Delaware

Banc of America Securities LLC  
 214 N. Tryon St., Charlotte, NC 28255  
 State or country in which incorporated — Delaware  
 Security(ies) — Asset-backed pass-through certificates, series 2003-11, class M-4B  
 Issuer of security(ies) — Ameriquest Mortgage Securities Inc.  
 Address of issuer — 1100 Town & County Rd., Suite 1100, Orange, CA 92868-4653  
 State or country in which organized — Delaware

Banc of America Securities LLC  
 214 N. Tryon St., Charlotte, NC 28255  
 State or country in which incorporated — Delaware  
 Security(ies) — Asset-backed pass-through certificates, series 2003-11, class M-4A  
 Issuer of security(ies) — Ameriquest Mortgage Securities Inc.  
 Address of issuer — 1100 Town & County Rd., Suite 1100, Orange, CA 92868-4653  
 State or country in which organized — Delaware

Bargain Shop Equity Partners, LLLP  
 1401 S. Brentwood Blvd., Suite 650, St. Louis, MO 63144  
 Security(ies) — Partnership interest  
 Issuer of security(ies) — Bargain Shop Equity Partners, LLLP  
 Address of issuer — Same as above  
 State or country in which organized — Missouri

BB&T Funds—International Equity Fund  
 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012  
 Security(ies) — Beneficial interest  
 Issuer of security(ies) — BISYS Fund Services, L.P.

*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

BBR Equity Long/Short (QP), LP  
 420 Lexington Ave., Suite 2301, New York, NY 10170  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — BBR Equity Long/Short (QP) LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

BBR Absolute Return (QP), LP  
 420 Lexington Ave., Suite 2301, New York, NY 10170  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — BBR Absolute Return (QP) LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Bethany Corner, Ltd.  
 1220 One Energy Sq., 4925 Greenville, TX 75206  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Bethany Corner, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Texas

BFD Mobile Radio Partners, L.P.  
 % BFD Capital, Inc., 620 Fifth Ave., New York, NY 10020  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — BFD Mobile Radio Partners, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Blue Elite Fund, L.P.  
 420 Lexington Ave., Suite 2650, New York, NY 10170  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Blue Elite Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Brandywine Long-Short Equity Fund L.P.  
 The Mill, 381 Brinton Lake Rd., Thornton, PA 19373  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Brandywine Long-Short Equity Fund L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Brandywine Securities, Inc.  
 The Mill, 381 Brinton Lake Rd., Thornton, PA 19373  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Brandywine Long-Short Equity Fund L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Brookwood Securities Partners, L.P.  
 50 Dunham Rd., Beverly, MA 01915  
*State or country in which incorporated* — Delaware limited liability company  
*Security(ies)* — Units of limited liability company interest  
*Issuer of security(ies)* — Brookwood Kendall Investors, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Brookwood Kendall Investors, LLC  
 50 Dunham Rd., Beverly, MA 01915

*State or country in which incorporated* — Delaware limited liability company

*Security(ies)* — Units of limited liability company interest  
*Issuer of security(ies)* — Brookwood Kendall Investors, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Buena Vista Offshore Fund, Ltd.  
 % Bank of Butterfield International (Cayman) Ltd., Butterfield House, 68 Fort St., P.O. Box 705 GT, George Town, Grand Cayman, Cayman Islands, BWI  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Class B ordinary shares  
*Issuer of security(ies)* — Buena Vista Offshore Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Buena Vista Offshore Fund, Ltd.  
 % Bank of Butterfield International (Cayman) Ltd., Butterfield House, 68 Fort St., P.O. Box 705 GT, George Town, Grand Cayman, Cayman Islands, BWI  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Class A ordinary shares  
*Issuer of security(ies)* — Buena Vista Offshore Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Business Process Outsourcing, Inc.  
 11150 Santa Monica Blvd., Suite 350, Los Angeles, CA 90025  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Ordinary shares  
*Issuer of security(ies)* — Business Process Outsourcing, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

CaliberOne Private Fund, Ltd.  
 % Ironshore Corporate Services Limited, Queensgate House, South Church St., P.O. Box 1234, George Town, Grand Cayman, Cayman Islands, British West Indies  
*Security(ies)* — Common shares, par value \$0.01 per share  
*Issuer of security(ies)* — CaliberOne Private Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Casimir Capital L.P.  
 100 Broadway, New York, NY 10005  
*Security(ies)* — Series A voting convertible preferred stock with underlying common stock  
*Issuer of security(ies)* — Pivotal BioSciences, Inc.  
*Address of issuer* — 8721 Santa Monica Blvd., Suite 100, Los Angeles, CA 90069  
*State or country in which organized* — Delaware

Circle T Market-Neutral Fund (QP) L.P.  
 153 E. 53rd St., Suite 5501, New York, NY 10022  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Circle T Market-Neutral Fund (QP) L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Citigroup Global Markets Inc.  
 388 Greenwich St., New York, NY 10013  
*State or country in which incorporated* — New York

*Security(ies)* — Units of fractional undivided interest  
*Issuer of security(ies)* — Equity Focus Trusts - Sector Series 2003-B  
 (Financial Portfolio)  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Citigroup Global Markets Inc.  
 388 Greenwich St., New York, NY 10013  
*State or country in which incorporated* — New York  
*Security(ies)* — Units of fractional undivided interest  
*Issuer of security(ies)* — Equity Focus Trusts - Sector Series 2003-B  
 (REIT Portfolio)  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Citigroup Global Markets Inc.  
 388 Greenwich St., New York, NY 10013  
*State or country in which incorporated* — New York  
*Security(ies)* — Units of fractional undivided interest  
*Issuer of security(ies)* — Equity Focus Trusts - Sector Series 2003-B  
 (Technology & Telecommunications Portfolio)  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Citigroup Global Markets Inc.  
 388 Greenwich St., New York, NY 10013  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Units of limited partnership interest  
*Issuer of security(ies)* — Citigroup Diversified Futures Fund L.P.  
*Address of issuer* — 399 Park Ave., 7th Fl., New York, NY 10022  
*State or country in which organized* — New York

Clarion Lion Properties Fund REIT, LLC  
 230 Park Ave., New York, NY 10169  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — Clarion Lion Properties Fund REIT, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Claymore Securities, Inc.  
 210 N. Hale St., Wheaton, IL 60187  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 10,000,000 units of undivided interest  
*Issuer of security(ies)* — Principal Global Investors Utilities Portfolio,  
 Series 3  
*Address of issuer* — % Claymore Securities, Inc., 210 N. Hale St.,  
 Wheaton, IL 60187  
*State or country in which organized* — Kansas

Cohen Bros. & Company  
 1818 Market St., Suite 2800, Philadelphia, PA 19103  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — CBC Woodcrest Holdings, L.P.  
*Address of issuer* — % Cohen Bros. Financial, LLC, 1818 Market St.,  
 Suite 2800, Philadelphia, PA 19103  
*State or country in which organized* — New Jersey

Columbia Funds Distributor, Inc.  
 One Financial Center, Boston, MA 02111-2621  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — CMC Fund Trust—CMC Strategic Equity

Fund  
*Address of issuer* — 1301 SW. Fifth Ave., P.O. Box 1350, Portland, OR  
 97207  
*State or country in which organized* — Oregon

Confluence Partners, LLC  
 4201 Congress St., Suite 145, Charlotte, NC 28209  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — Confluence Partners, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

CP Investment Fund, L.P.  
 % Carmel Partners, Inc., 1000 Sansome St., Suite 180, San Francisco,  
 CA 94111  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — CP Investment Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Dunbar Capital International Ltd.  
 P.O. Box 309, Ugland House, South Church St., Grand Cayman,  
 Cayman Islands, BWI  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Common shares  
*Issuer of security(ies)* — Dunbar Capital International Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

dynamicsoft Inc.  
 600 Lanidex Plaza, Parsippany, NJ 07054  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Options to purchase common stock  
*Issuer of security(ies)* — dynamicsoft Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Empire Biofuels, LLC  
 2269 Dewindt Rd., Newark, NY 14513  
*State or country in which incorporated* — New York  
*Security(ies)* — Limited liability company membership units  
*Issuer of security(ies)* — Empire Biofuels, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

ERM Dollar Neutral Feeder, Ltd.  
 Arawak Chambers, Sea Meadow House, Blackburne Hwy., P.O. Box  
 173, Road Town, Tortola, British Virgin Islands  
*State or country in which incorporated* — British Virgin Islands  
*Security(ies)* — Common shares, \$0.01 par value  
*Issuer of security(ies)* — ERM Dollar Neutral Feeder, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — British Virgin Islands

ERM Dollar Neutral Feeder, Ltd.  
 Arawak Chambers, Sea Meadow House, Blackburne Hwy., P.O. Box  
 173, Road Town, Tortola, British Virgin Islands  
*State or country in which incorporated* — British Virgin Islands  
*Security(ies)* — Partnership interests  
*Issuer of security(ies)* — ERM Dollar Neutral Feeder, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — British Virgin Islands

etrial Worldwide, Inc.  
2701 Aerial Center Pkwy., Suite 100, Morrisville, NC 27560  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series A preferred stock  
*Issuer of security(ies)* — etrial Worldwide, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Fashionista Investments LLC  
19 Pine Hill Ave., Norwalk, CT 06855  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class B membership interests  
*Issuer of security(ies)* — Fashionista Investments LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

FC Note Investors, LLC  
1035 Powers Place, Alpharetta, GA 30004  
*State or country in which incorporated* — Virginia  
*Security(ies)* — Limited liability company membership shares  
*Issuer of security(ies)* — FC Note Investors, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Virginia

Fidelity Distributors Corporation  
82 Devonshire St., Boston, MA 02109  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest, no par value  
*Issuer of security(ies)* — Fidelity Advisor Series II, Fidelity Advisor Value Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

Fieldstone Investment Corporation  
11000 Broken Land Pkwy., Suite 600, Columbia, MD 21044  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Shares of common stock  
*Issuer of security(ies)* — Fieldstone Investment Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Maryland

Firefly Partners, L.P.  
50 Osgood Place, Penthouse, San Francisco, CA 94133  
*State or country in which incorporated* — Delaware limited partnership  
*Security(ies)* — Limited partner interests  
*Issuer of security(ies)* — Firefly Partners, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

First Ivy Capital Markets, LLC  
One Paragon Dr., Suite 125, Montvale, NJ 07645  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Ivy Summer Associates, L.P.  
*Address of issuer* — % Ivy Realty XXXIV, L.L.C., One Paragon Dr., Suite 125, Montvale, NJ 07645  
*State or country in which organized* — Delaware

First Trust Portfolios L.P.  
1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 749 (Morgan Keegan Preferred Income Portfolio, Series 2)

*Address of issuer* — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 754 (Equity Dividend Covered Call Portfolio Series)  
*Address of issuer* — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 777 (The Dow<sup>SM</sup> Target 5 Portfolio, December 2003 Series)  
*Address of issuer* — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 777 (The Dow<sup>SM</sup> Target 10 Portfolio, December 2003 Series)  
*Address of issuer* — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 781 (Preferred Income Portfolio, Series 15)  
*Address of issuer* — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 789 (Merger & Acquisition Portfolio Series)  
*Address of issuer* — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532  
*State or country in which organized* — Illinois

Flagstone Securities, L.L.C.  
7733 Forsyth Blvd., St. Louis, MO 63105  
*State or country in which incorporated* — Missouri  
*Security(ies)* — Convertible preferred stock and notes  
*Issuer of security(ies)* — Direct Link Marketing, Inc.  
*Address of issuer* — 1655 Palm Beach Lakes Blvd., West Palm Beach, FL 33401  
*State or country in which organized* — Delaware

Greenway Medical Technologies, Inc.  
121 Greenway Blvd., Carrollton, GA 30117  
*State or country in which incorporated* — Georgia  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Greenway Medical Technologies, Inc.  
*Address of issuer* — Same as above

*State or country in which organized* — Georgia

GREYBULL Fund LLC

75 Fourteenth St., Suite 2350, Atlanta, GA 30309

*State or country in which incorporated* — Delaware limited liability company

*Security(ies)* — Series A class 1 limited liability company membership interests

*Issuer of security(ies)* — GREYBULL Fund LLC

*Address of issuer* — Same as above

*State or country in which organized* — Delaware limited liability company

GREYBULL Fund LLC

75 Fourteenth St., Suite 2350, Atlanta, GA 30309

*State or country in which incorporated* — Delaware limited liability company

*Security(ies)* — Series A class 1 non-voting common stock

*Issuer of security(ies)* — GREYBULL Fund LLC

*Address of issuer* — Same as above

*State or country in which organized* — Delaware limited liability company

GREYBULL Fund LLC

75 Fourteenth St., Suite 2350, Atlanta, GA 30309

*State or country in which incorporated* — Delaware limited liability company

*Security(ies)* — Series B class 1 limited liability company membership interests

*Issuer of security(ies)* — GREYBULL Fund LLC

*Address of issuer* — Same as above

*State or country in which organized* — Delaware limited liability company

GREYBULL Fund LLC

75 Fourteenth St., Suite 2350, Atlanta, GA 30309

*State or country in which incorporated* — Delaware limited liability company

*Security(ies)* — Series B class 1 non-voting common stock

*Issuer of security(ies)* — GREYBULL Fund LLC

*Address of issuer* — Same as above

*State or country in which organized* — Delaware limited liability company

Highbrace Partners, LP

104 Field Point Rd., Greenwich, CT 06830

*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — Highbrace Partners, LP

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

HNTB Ltd.

715 Kirk Dr., Kansas City, MO 64105

*State or country in which incorporated* — Delaware

*Security(ies)* — Common stock (\$0.01 par value)

*Issuer of security(ies)* — HNTB Ltd.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Home Properties, L.P.

850 Clinton Sq., Rochester, NY 14604

*Security(ies)* — Partnership interests

*Issuer of security(ies)* — Home Properties, L.P.

*Address of issuer* — Same as above

*State or country in which organized* — New York

Hornet Group, Inc.

100 River Rd., Port Jervis, NY 12771

*State or country in which incorporated* — Pennsylvania

*Security(ies)* — Common stock

*Issuer of security(ies)* — Hornet Group, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Pennsylvania

HWC Investors, LLC

702 West St., Suite 104A, Wilmington, DE 19801

*Security(ies)* — Limited liability company membership interests

*Issuer of security(ies)* — HCW Investors, LLC

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

ICAD, Inc.

Four Townsend W, Suite 17, Nashua, NH 03063

*State or country in which incorporated* — Delaware

*Security(ies)* — Units consisting of common stock and a right to purchase additional common stock

*Issuer of security(ies)* — ICAD, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

iDEFENSE, Inc.

1875 Campus Commons Dr., Suite 210, Reston, VA 20191

*State or country in which incorporated* — Delaware

*Security(ies)* — Options to purchase common stock, par value \$0.01

*Issuer of security(ies)* — iDEFENSE, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

IEI Acquisition, LLC

230 Park Ave., Suite 864, New York, NY 10169

*State or country in which incorporated* — Delaware

*Security(ies)* — Limited liability company units

*Issuer of security(ies)* — IEI Acquisition LLC

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

IndX Software Corporation

Six Journey, Suite 125, Aliso Viejo, CA 92656

*State or country in which incorporated* — California

*Security(ies)* — Series D preferred stock with warrants

*Issuer of security(ies)* — IndX Software Corporation

*Address of issuer* — Same as above

*State or country in which organized* — California

INTERSTATE GAS MARKETING, INC.

2018 S. Sixth St., Indiana, PA 15701

*State or country in which incorporated* — Pennsylvania

*Security(ies)* — Units of partnership interests

*Issuer of security(ies)* — Interstate Gas Marketing 2003-2 General Partnership

*Address of issuer* — Same as above

*State or country in which organized* — Pennsylvania

J.W. Childs Bermuda AIV One, L.P.

111 Huntington Ave., Boston, MA 02199-7610

*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — J.W. Childs Bermuda AIV One, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Bermuda

Jamie Siegal  
 6476 Sligo Mill Rd., Takoma Park, MD 20912  
*Security(ies)* — Limited liability company securities  
*Issuer of security(ies)* — Monterey Vista Investors, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Maryland

Jefferies & Company, Inc.  
 11100 Santa Monica Blvd., 10th Fl., Los Angeles, CA 90025  
*State or country in which incorporated* — Delaware  
*Security(ies)* — \_\_\_% senior secured notes due 2008  
*Issuer of security(ies)* — Global Motorsport Group, Inc.  
*Address of issuer* — 16100 Jacqueline Ct., Morgan Hill, CA 95037  
*State or country in which organized* — Delaware

Kirlin Securities, Inc.  
 6901 Jericho Tpke., Syosset, NY 11791  
*State or country in which incorporated* — United States  
*Security(ies)* — Convertible preferred stock  
*Issuer of security(ies)* — Fusion Telecommunications International, Inc.  
*Address of issuer* — 420 Lexington Ave., Suite 518, New York, NY 10170  
*State or country in which organized* — Delaware

Lagunitas Partners  
 50 Osgood Place, Penthouse, San Francisco, CA 94133  
*State or country in which incorporated* — California limited partnership  
*Security(ies)* — Limited partner interests  
*Issuer of security(ies)* — Lagunitas Partners  
*Address of issuer* — Same as above  
*State or country in which organized* — California

Legg Mason Wood Walker, Incorporated  
 100 Light St., Baltimore, MD 21202  
*State or country in which incorporated* — Maryland  
*Security(ies)* — \_\_\_% subordinated debentures due 2013  
*Issuer of security(ies)* — Chevy Chase Bank, F.S.B.  
*Address of issuer* — 7501 Wisconsin Ave., Bethesda, MD 20814  
*State or country in which organized* — United States

Lehman Brothers Inc.; (2) J.P. Morgan Securities Inc.; (3) UBS Securities LLC  
 745 7th Ave., New York, NY 10019; (2) 399 Park Ave., New York, NY 10022; (3) 677 Washington Blvd., Stamford, CT 06901  
*State or country in which incorporated* — (1-2) Delaware; (3) Delaware limited liability company  
*Security(ies)* — \_\_\_% senior subordinated notes due 2010  
*Issuer of security(ies)* — General Nutrition Centers, Inc.  
*Address of issuer* — 300 Sixth Ave., Pittsburgh, PA 15222  
*State or country in which organized* — Delaware

Lexington Precision Corporation  
 767 Third Ave., New York, NY 10017  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock, par value \$0.25  
*Issuer of security(ies)* — Lexington Precision Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Lexington Precision Corporation  
 767 Third Ave., New York, NY 10017  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Units, consisting of 13% junior subordinated notes due November 1, 2009 and warrants to purchase common stock  
*Issuer of security(ies)* — Lexington Precision Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Lighthouse V Fund, L.P.  
 3801 PGA Blvd., Suite 555, Palm Beach Gardens, FL 33410  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Lighthouse V Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Magna Resources Cameron Joint Venture  
 Campbell Centre II, #1700, 8150 N. Central Expwy., Dallas, TX 75206  
*Security(ies)* — Joint venture interests  
*Issuer of security(ies)* — Magna Resources Cameron Joint Venture  
*Address of issuer* — Same as above  
*State or country in which organized* — Texas

MagnaDrive Corporation  
 1177 Fairview Ave. N, Seattle, WA 98109  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — MagnaDrive Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Washington

Matritech, Inc.  
 300 Nevada St., Newton, MA 02460-1458  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Matritech, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Melody Fund, L.P.  
 555 California St., Suite 2975, San Francisco, CA 94104  
*State or country in which incorporated* — California limited partnership  
*Security(ies)* — Limited partner interests  
*Issuer of security(ies)* — Melody Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — California

Merrill Lynch, Pierce, Fenner & Smith Incorporated  
 250 Vesey St., New York, NY 10080  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Notes, due 2033, together with 27,400 shares of preferred stock  
*Issuer of security(ies)* — ALESCO Preferred Funding I, Inc.  
*Address of issuer* — % Corporation Trust Company, 1209 Orange St., Wilmington, DE 19801  
*State or country in which organized* — Delaware

MetaMorphix, Inc.  
 8510A Corridor Rd., Savage, MD 20763  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Units, each unit consisting of (i) a five-year secured convertible promissory note in the principal amount of \$100,000 and (ii) a warrant to purchase 50,000 shares of common stock at \$6.00 a

- share  
*Issuer of security(ies)* — MetaMorphix, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware
- Mikronite Technologies Group, Inc.  
 511 Washington Ave., Carlstadt, NJ 07072  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Mikronite Technologies Group, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware
- Mikronite Technologies Group, Inc.  
 511 Washington Ave., Carlstadt, NJ 07072  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series D preferred stock  
*Issuer of security(ies)* — Mikronite Technologies Group, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware
- Mikronite Technologies Group, Inc.  
 511 Washington Ave., Carlstadt, NJ 07072  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series E preferred stock  
*Issuer of security(ies)* — Mikronite Technologies Group, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware
- Morgan Keegan & Company, Inc.  
 50 N. Front St., 19th Fl., Memphis, TN 38103  
*State or country in which incorporated* — Tennessee  
*Security(ies)* — Common shares, par value \$.01 per share  
*Issuer of security(ies)* — American Safety Insurance Holdings, Ltd.  
*Address of issuer* — 1845 The Exchange, Atlanta, GA 30339  
*State or country in which organized* — Bermuda
- M.O.Y.O.D. L.L.C.  
 509 N. Carrollton Ave., New Orleans, LA 70119  
*Security(ies)* — Class C Membership Interest Units  
*Issuer of security(ies)* — M.O.Y.O.D., L.L.C.  
*Address of issuer* — Same as above  
*State or country in which organized* — Louisiana
- Nautilus Exploration, Inc.  
 12200 N. Stemmons Fwy., Suite 207, Dallas, TX 75234  
*State or country in which incorporated* — Texas  
*Security(ies)* — Little #1 Natural Gas Drilling Program (a joint venture)  
*Issuer of security(ies)* — Nautilus Exploration, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Texas
- Neiman Funds  
 480 N. Magnolia Ave., Suite 103, El Cajon, CA 92020  
*State or country in which incorporated* — Ohio  
*Security(ies)* — Neiman Funds (shares of beneficial interest) Neiman Enhanced Dividend Fund  
*Issuer of security(ies)* — Neiman Funds  
*Address of issuer* — Same as above  
*State or country in which organized* — Ohio
- Niesen Development Corporation  
 1452 Hughes Rd., #315, Grapevine, TX 76051  
*State or country in which incorporated* — Texas  
*Security(ies)* — Walter #1-HRE prospect - working interests  
*Issuer of security(ies)* — Niesen Development Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Texas
- Nina McLemore, LLC  
 135 E. 55th St., Seventh Fl., New York, NY 10022  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Convertible debentures and series A convertible preferred stock  
*Issuer of security(ies)* — Nina McLemore, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware
- Northwood Plaza, LLC  
 8816 Six Forks Rd., Suite 201, Raleigh, NC 27615  
*State or country in which incorporated* — Delaware limited liability company  
*Security(ies)* — Membership interests  
*Issuer of security(ies)* — Northwood Plaza, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware
- NovaDel Pharma Inc.  
 25 Minneakoning Rd., Flemington, NJ 08822  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Units of the company, each unit consisting of (a) 80,000 shares of common stock, par value \$.001 per share (common stock), and (b) a warrant to purchase, at any time after the issuance date but prior to the fifth anniversary of the date of issuance, 24,000 shares of common stock at an initial exercise price equal to \$1.66  
*Issuer of security(ies)* — NovaDel Pharma Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware
- Odyssey Logistics & Technology Corporation  
 39 Old Ridgebury Rd., Danbury, CT 06817  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Options to purchase common stock, \$0.001 par value per share  
*Issuer of security(ies)* — Odyssey Logistics & Technology Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware
- Online Resources Corporation  
 7600 Colshire Dr., McLean, VA 22102  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock, \$.0001 par value  
*Issuer of security(ies)* — Online Resources Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware
- Opel, Inc.  
 22 Quail Run, Mansfield, CT 06265  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Convertible promissory notes  
*Issuer of security(ies)* — Opel, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware  
 Pacific CMA, Inc.  
 300 Albany St., Suite 4B, New York, NY 10280  
*State or country in which incorporated* — Colorado

*Security(ies)* — Common stock and common stock purchase warrants  
*Issuer of security(ies)* — Pacific CMA, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Colorado

Payden & Rygel Distributors  
 333 S. Grand Ave., 32nd Fl., Los Angeles, CA 90071  
*Security(ies)* — Units of beneficial interest of Paydenfunds Small Cap Leaders Fund-class R  
*Issuer of security(ies)* — Payden & Rygel Investment Group  
*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts business trust

PFPC Distributors, Inc.  
 3200 Horizon Dr., King of Prussia, PA 19406  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — WT Mutual Fund; Balentine Premier Money Market Portfolio  
*Address of issuer* — 1100 N. Market St., Wilmington, DE 19890  
*State or country in which organized* — Delaware

Philadelphia Brokerage Corporation  
 992 Old Eagle School Rd., Suite 915, Wayne, PA 19087  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Private placement of \$7,500,000 of 10% senior notes with warrants for 900,000 shares of common stock  
*Issuer of security(ies)* — VendingData Corporation  
*Address of issuer* — 6830 Spencer St., Las Vegas, NV 89119  
*State or country in which organized* — Nevada

Phytrix Inc.  
 % Mintz & Fraade, P.C., 488 Madison Ave., Suite 1100, New York, NY 10022  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Units consisting of preferred stock with warrants  
*Issuer of security(ies)* — Phytrix Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

PIMCO Advisors Distributors LLC  
 2187 Atlantic St., Stamford, CT 06902  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — PIMCO Funds; PIMCO Low Duration Fund III  
*Address of issuer* — 840 Newport Center Dr., Newport Beach, CA 92660  
*State or country in which organized* — Massachusetts

Planet Magazine, Inc.  
 876 Valencia St., Suite B, San Francisco, CA 94110  
*State or country in which incorporated* — California  
*Security(ies)* — Preferred stock (series A)  
*Issuer of security(ies)* — Planet Magazine, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — California

Poplar Resources, Ltd.  
 1030-609 Granville St., Vancouver BC, Canada V7Y1G5  
*State or country in which incorporated* — Canada  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Poplar Resources, Ltd.

*Address of issuer* — Same as above  
*State or country in which organized* — Canada

Poplar Resources, Ltd.  
 1030-609 Granville St., Vancouver BC, Canada V7Y1G5  
*State or country in which incorporated* — Canada  
*Security(ies)* — Warrants  
*Issuer of security(ies)* — Poplar Resources, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Canada

Preferred Brands International, LLC  
 595 Summer St., Suite Three, Stamford, CT 06901  
*State or country in which incorporated* — Connecticut  
*Security(ies)* — Series A preferred units  
*Issuer of security(ies)* — Preferred Brands International, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Connecticut

Principal Investors Fund, Inc. - Preferred Securities Fund  
 %The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Common stock, class J  
*Issuer of security(ies)* — Principal Investors Fund, Inc. - Preferred Securities Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Iowa

Protein Forest, Inc.  
 480 Arsenal St., Watertown, MA 02472  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series A preferred stock  
*Issuer of security(ies)* — Protein Forest, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Publex Venture Partners, L.P.  
 1601 Cloverfield Blvd., Second Fl., South Tower, Santa Monica, CA 90404  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Publex Venture Partners, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

PV Opportunity Partners I, LLC  
 50 Osgood Place, Penthouse, San Francisco, CA 94133  
*State or country in which incorporated* — Delaware limited liability company  
*Security(ies)* — Class A membership interests  
*Issuer of security(ies)* — PV Opportunity Partners I, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Redstone Consulting, LLC  
 109 N. Post Oak Lane, Suite 200, Houston, TX 77024  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Redstone Discovery Fund - Diversified Strategies Cayman, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands  
 Rockford, Inc.  
 305 Madison Ave., New York, NY 10165  
*State or country in which incorporated* — Connecticut

*Security(ies)* — Common stock purchase warrants and common stock  
*Issuer of security(ies)* — Pacific CMA, Inc.  
*Address of issuer* — 300 Albany St., Suite Four B, New York, NY 10280  
*State or country in which organized* — Colorado

Scudder Distributors, Inc.  
 Two International Place, Boston, MA 02110  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — Scudder Tax Free Trust; Scudder Intermediate Tax/AMT Free Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

SEI Investments Distribution Co.  
 One Freedom Valley Rd., Oaks, PA 19456  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — SEI Asset Allocation Trust; Aggressive Strategy Fund  
*Address of issuer* — % The CT Corporation System, 101 Federal St., Boston MA 02110  
*State or country in which organized* — Massachusetts

SEI Investments Distribution Co.  
 One Freedom Valley Rd., Oaks, PA 19456  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — SEI Asset Allocation Trust; Broad Market Core Fund  
*Address of issuer* — % The CT Corporation System, 101 Federal St., Boston MA 02110  
*State or country in which organized* — Massachusetts

SEI Investments Distribution Co.  
 One Freedom Valley Rd., Oaks, PA 19456  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — SEI Asset Allocation Trust; Broad Market Growth Fund  
*Address of issuer* — % The CT Corporation System, 101 Federal St., Boston MA 02110  
*State or country in which organized* — Massachusetts

SEI Investments Distribution Co.  
 One Freedom Valley Rd., Oaks, PA 19456  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — SEI Asset Allocation Trust; Conservative Strategy Fund  
*Address of issuer* — % The CT Corporation System, 101 Federal St., Boston MA 02110  
*State or country in which organized* — Massachusetts

SEI Investments Distribution Co.  
 One Freedom Valley Rd., Oaks, PA 19456  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — SEI Asset Allocation Trust; Defensive Strategy Fund  
*Address of issuer* — % The CT Corporation System, 101 Federal St., Boston MA 02110

*State or country in which organized* — Massachusetts

SEI Investments Distribution Co.  
 One Freedom Valley Rd., Oaks, PA 19456  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — SEI Asset Allocation Trust; Moderate Strategy Fund  
*Address of issuer* — % The CT Corporation System, 101 Federal St., Boston MA 02110  
*State or country in which organized* — Massachusetts

SEI Investments Distribution Co.  
 One Freedom Valley Rd., Oaks, PA 19456  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — SEI Asset Allocation Trust; Tax-Managed Aggressive Strategy Fund  
*Address of issuer* — % The CT Corporation System, 101 Federal St., Boston MA 02110  
*State or country in which organized* — Massachusetts

SEI Investments Distribution Co.  
 One Freedom Valley Rd., Oaks, PA 19456  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — SEI Asset Allocation Trust; Tax-Managed Broad Market Core Fund  
*Address of issuer* — % The CT Corporation System, 101 Federal St., Boston MA 02110  
*State or country in which organized* — Massachusetts

SEI Investments Distribution Co.  
 One Freedom Valley Rd., Oaks, PA 19456  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — SEI Asset Allocation Trust; Tax-Managed Broad Market Growth Fund  
*Address of issuer* — % The CT Corporation System, 101 Federal St., Boston MA 02110  
*State or country in which organized* — Massachusetts

SEI Investments Distribution Co.  
 One Freedom Valley Rd., Oaks, PA 19456  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — SEI Asset Allocation Trust; Tax-Managed Conservative Strategy Fund  
*Address of issuer* — % The CT Corporation System, 101 Federal St., Boston MA 02110  
*State or country in which organized* — Massachusetts

SEI Investments Distribution Co.  
 One Freedom Valley Rd., Oaks, PA 19456  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — SEI Asset Allocation Trust; Tax-Managed Defensive Strategy Fund  
*Address of issuer* — % The CT Corporation System, 101 Federal St., Boston MA 02110  
*State or country in which organized* — Massachusetts

SEI Investments Distribution Co.

One Freedom Valley Rd., Oaks, PA 19456  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — SEI Asset Allocation Trust; Tax-Managed Moderate Strategy Fund  
*Address of issuer* — % The CT Corporation System, 101 Federal St., Boston MA 02110  
*State or country in which organized* — Massachusetts

SEI Investments Distribution Co.  
 One Freedom Valley Rd., Oaks, PA 19456  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Shares of common stock  
*Issuer of security(ies)* — SEI Institutional Managed Trust; Large Cap Disciplined Equity Fund  
*Address of issuer* — % The CT Corporation, Two Oliver St., Boston MA 02109  
*State or country in which organized* — Massachusetts

SEI Investments Distribution Co.  
 One Freedom Valley Rd., Oaks, PA 19456  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Shares of common stock  
*Issuer of security(ies)* — SEI Institutional Managed Trust; Managed Volatility Fund  
*Address of issuer* — % The CT Corporation, Two Oliver St., Boston MA 02109  
*State or country in which organized* — Massachusetts

SEI Investments Distribution Co.  
 One Freedom Valley Rd., Oaks, PA 19456  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Shares of common stock  
*Issuer of security(ies)* — SEI Institutional Managed Trust; Real Estate Fund  
*Address of issuer* — % The CT Corporation, Two Oliver St., Boston MA 02109  
*State or country in which organized* — Massachusetts

SEI Investments Distribution Co.  
 One Freedom Valley Rd., Oaks, PA 19456  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — SEI Tax Exempt Trust; Short Duration Municipal Fund  
*Address of issuer* — % The CT Corporation, 101 Federal St., Boston MA 02109  
*State or country in which organized* — Massachusetts

SmartMetric Corp.  
 67 Wall St., 22nd Fl., New York, NY 10005  
*State or country in which incorporated* — Nevada  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — SmartMetric Corp.  
*Address of issuer* — Same as above  
*State or country in which organized* — Nevada

Stadia Consumer Fund (QP), L.P.  
 780 Third Ave., Ninth Fl., New York, NY 10017  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Stadia Consumer Fund (QP), L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

SunTrust Alternative Fund, L.P.  
 3801 PGA Blvd., Suite 555, Palm Beach Gardens, FL 33410  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — SunTrust Alternative Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

SuperPower, Inc.  
 450 Duane Ave., Schenectady, NY 12304  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock, par value \$.01 per share  
*Issuer of security(ies)* — SuperPower, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

TBD Services, L.P.  
 777 Mains St., Suite 1212, Fort Worth, TX 76102  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Redstone Discovery Fund - Diversified Strategies Cayman, L.P.  
*Address of issuer* — % Redstone Cayman Asset Management Limited, 109 N. Post Oak Lane, Suite 200, Houston, TX 77024  
*State or country in which organized* — Cayman Islands

TeleUniversity, Inc.  
 4350 E. Camelback Rd., Suite 240B, Phoenix, AZ 85018  
*Security(ies)* — Series A preferred stock  
*Issuer of security(ies)* — TeleUniversity, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Temecula Merger Corporation  
 27710 Jefferson Ave., Suite A100, Temecula, CA 92590  
*State or country in which incorporated* — California  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Temecula Merger Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — California

Tidel Technologies, Inc.  
 2900 Wilcrest, Suite 205, Houston, TX 77042  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Convertible note and warrant  
*Issuer of security(ies)* — Tidel Technologies, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Toolz Limited  
 Modern Warehouse, Six Shing Yip St., Kwun Tong, Kowloon, Hong Kong  
*State or country in which incorporated* — Hong Kong  
*Security(ies)* — Nonnegotiable secured promissory note  
*Issuer of security(ies)* — Toolz Limited  
*Address of issuer* — Same as above  
*State or country in which organized* — Hong Kong

TranS1 Inc.  
 1800 Sir Tyler Dr., Suite 101, Wilmington, NC 28405  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Options to purchase common stock with underlying common stock  
*Issuer of security(ies)* — TranS1 Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

USB Focus Fund IX, LLC

% U.S. Boston Capital Corporation, 55 Old Bedford Rd., Lincoln, MA 01773

*Security(ies)* — Class A membership interests

*Issuer of security(ies)* — USB Focus Fund IX, LLC

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Van Kampen Funds, Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

*State or country in which incorporated* — Delaware

*Security(ies)* — 75,000 units of undivided interest

*Issuer of security(ies)* — Energy Portfolio, Series 8

*Address of issuer* — Same as above

*State or country in which organized* — New York

Van Kampen Funds, Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

*State or country in which incorporated* — Delaware

*Security(ies)* — 75,000 units of undivided interest

*Issuer of security(ies)* — Insured Municipals Income Trust, Series 479

*Address of issuer* — Same as above

*State or country in which organized* — New York

Van Kampen Funds, Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

*State or country in which incorporated* — Delaware

*Security(ies)* — 75,000 units of undivided interest

*Issuer of security(ies)* — Utility Income Portfolio, Series 15

*Address of issuer* — Same as above

*State or country in which organized* — New York

Velocity Express Corporation

Four Paramount Plaza, 7803 Glenroy Rd., Suite 200, Bloomington, MN 55439

*State or country in which incorporated* — Delaware

*Security(ies)* — Series I preferred stock

*Issuer of security(ies)* — Velocity Express Corporation

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Viewpoint Securities LLC

1001B SW. Disk Dr., Suite 104, Bend, OR 97702

*State or country in which incorporated* — Delaware

*Security(ies)* — Common stock and warrants to purchase common stock

*Issuer of security(ies)* — Stonestreet One, Inc.

*Address of issuer* — 322 W. Main St., Louisville, KY 40202

*State or country in which organized* — Kentucky

Vonage Holdings Corp.

2147 State Rte. 27, Edison, NJ 08817

*State or country in which incorporated* — Delaware

*Security(ies)* — Series B convertible preferred stock

*Issuer of security(ies)* — Vonage Holdings Corp.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

VT Group plc

VT House, Grange Dr., HedgeEnd, Southampton S0302DQ, United Kingdom

*State or country in which incorporated* — England and Wales

*Security(ies)* — Ordinary shares of common stock

*Issuer of security(ies)* — VT Group plc

*Address of issuer* — Same as above

*State or country in which organized* — England and Wales

Watchdog Fund Trust, The

% Ultimus Fund Solutions, LLC, 135 Merchant St., Suite 230, Cincinnati, OH 45246

*Security(ies)* — Shares of beneficial interest, no par value

*Issuer of security(ies)* — The Watchdog Fund Trust - The Watchdog Fund

*Address of issuer* — 650 Fifth Ave., Sixth Fl., New York, NY 10019

*State or country in which organized* — Delaware

Waveland Securities, LLC

2111 Palomar Airport Rd., Suite 130, Carlsbad, CA 92009

*State or country in which incorporated* — California

*Security(ies)* — Private placement of partnership units

*Issuer of security(ies)* — Grey Goose Southern Dome Partners, L.P.

*Address of issuer* — 19100 Von Karman Ave., Suite 480, Irvine, CA 92612

*State or country in which organized* — Delaware