

# SECURITIES OFFERINGS

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## STATE NOTICES

Published pursuant to provisions of General Business Law  
[Art. 23-A, §359-e(2)]

## DEALERS; BROKERS

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Absentys, LLC  
1200 Premier Dr., Suite 210, Chattanooga, TN 37421

Aero-Vision Technologies, Inc.  
350 Motor Pkwy., Hauppauge, NY 11788  
*State or country in which incorporated* — Delaware

American Remediation Technologies, LLC  
40 Pullman St., Bldg. 45, P.O. Box 60179, Worcester, MA 01606

BLR Capital Partners, LP  
Three Bala Plaza, East 502, Bala Cynwyd, PA 19004  
*Partnership* — BLR Capital, LP, general partner

B.J. Taylor #2 Development Prospect, LTD  
3200 Broadway Blvd., 510, Garland, TX 75043  
*Partnership* — MultiTrust Securities Corporation

Cavalry Technology, L.P.  
One California St., Suite 3000, San Francisco, CA 94111  
*Partnership* — Cavalry Asset Management, L.P.

CG Compass (USA) LLC  
126 E. 56th St., 19th Fl., New York, NY 10022

Communication Synergy Technologies, Inc.  
120 Allens Creek Rd., Rochester, NY 14618  
*State or country in which incorporated* — Delaware

Conservation Solutions Partners, LLC  
1025 Cook St., Denver, CO 80209  
*State or country in which incorporated* — Delaware

Corona LLC  
Five Sampson St., Saddle Brook, NJ 07663  
*State or country in which incorporated* — New York limited liability company

Datec Group Ltd.  
2150 Scotia One, Edmonton, Alberta T5J 3R8  
*State or country in which incorporated* — Canada

EMPG Investment LLC  
7535 E. Hampden Ave., Suite 109, Denver, CO 80231  
*State or country in which incorporated* — Colorado limited liability company

Financo Securities LLC  
535 Madison Ave., New York, NY 10022  
*State or country in which incorporated* — Delaware

Fortress Productions, LLC  
182 Lower Lake Rd., Thousand Oaks, CA 91361  
*State or country in which incorporated* — California

GeneLink, Inc.  
100 S. Thurlow St., Margate, NJ 08402  
*State or country in which incorporated* — Pennsylvania

GK Intelligent Systems, Inc.  
2606 Yorktown Place, Houston, TX 77056  
*State or country in which incorporated* — Delaware

Global Life Sciences, Inc.  
% Randolph Katz, 2020 Main St., Suite 600, Irvine, CA 92614  
*State or country in which incorporated* — Nevada

GM Capital Partners Offshore, Ltd.  
% Derivatives Portfolio Management Limited, P.O. Box 2199 GT, Grand Pavilion Commercial Centre, 802 W. Bay Rd., Suite 14, Grand Cayman, Cayman Islands, British West Indies  
*State or country in which incorporated* — Cayman Islands, BWI

GM Capital Partners Fund, LP  
250 Montgomery St., Suite 710, San Francisco, CA 94104  
*Partnership* — GM Capital GP LP

Goldman Partners, L.P.  
220 E. 42nd St., 29th Fl., New York, NY 10017  
*Partnership* — GCM Partners, L.L.C. and GCM Management, L.L.C.

Gruber & McBaine International  
% Cayman National Trust Co. Ltd., P.O. Box 10340 APO, Cayman National Bldg., Fourth Fl., Elgin Ave., George Town, Grand Cayman, Cayman Islands, B.W.I.

Highland Hospitality Corporation  
8405 Greensboro Dr., Suite 500, McLean, VA 22102  
*State or country in which incorporated* — Maryland

J.P. Morgan Global Investors (Sell-down) L.P.  
1221 Avenue of the Americas, 40th Fl., New York, NY 10020  
*Partnership* — J.P. Morgan Global Investors, L.P.

Kern Capital Concentrated Equity Fund, L.P.  
114 W. 47th St., Suite 1926, New York, NY 10036  
*Partnership* — Kern Capital Partners, LLC

Kinyan Capital, LP  
% Kinyan Capital, LLC, 767 Third Ave., 33rd. Fl., New York, NY 10017  
*Partnership* — Kinyan Capital, LLC, general partner

L.A.M. Pharmaceutical Corp.  
800 Sheppard Ave. W, Commercial Unit 1, Toronto, Ontario, Canada M3H6B4  
*State or country in which incorporated* — Delaware

Lazard Asset Management Securities LLC  
30 Rockefeller Plaza, New York, NY 10020  
*State or country in which incorporated* — Delaware limited liability company

Legend Merchant Group, Inc.  
30 Broad St., 38th Fl., New York, NY 10004  
*State or country in which incorporated* — New York

Mercato Global Opportunities Fund, LP  
570 Lexington Ave., 25th Fl., New York, NY 10022  
*Partnership* — A Squared Management, limited liability company

MicroCapital Fund LP  
410 Jessie St., Suite 1002, San Francisco, CA 94103  
*Partnership* — MicroCapital LLC

Microgistix, Inc.  
221 N. First St., Minneapolis, MN 55401  
*State or country in which incorporated* — Minnesota

Morning Star Exploration  
910 S. El Camino Real, Suite D, San Clemente, CA 92672  
*State or country in which incorporated* — Nevada

Paulson Investment Company, Inc.  
811 SW. Naito Pkwy., Suite 200, Portland, OR 97204  
*State or country in which incorporated* — Delaware

Quantrarian Asian Financial Services Fund LLC  
% Quantrarian Capital Management LLC, 11327 Amherst Ave., Suite Two, Wheaton, MD 20902

Recall Mail Corporation  
2150 Hwy. 35, Suite 250, Sea Girt, NJ 08750  
*State or country in which incorporated* — Delaware

Roseville Reserve, LLLP  
1660 Lincoln, Suite 2000, Denver, CO 80264-2201  
*Partnership* — EBM Property Management, LLC

Sabina Resources Limited  
55 Westchester Dr., London, Ontario, Canada N6G 2K6  
*State or country in which incorporated* — Canada

ShareBuilder Securities Corporation  
1445 120th Ave. NE, Bellevue, WA 98005  
*State or country in which incorporated* — Washington

Sion Hall Enhanced Income Fund Limited  
P.O. Box 30362, Third Fl., Harbour Centre, Grand Cayman, Cayman Islands, BWI  
*State or country in which incorporated* — Cayman Islands

Sugimoto Fund, LP  
45 Rockefeller Plaza, Suite 2026, New York, NY 10111  
*Partnership* — Sugimoto Capital Management, LLC

Sugimoto Partners, LP  
45 Rockefeller Plaza, Suite 2026, New York, NY  
*Partnership* — Sugimoto Capital Management, limited liability company

TAG Entertainment, Inc.  
4130 Cahuenga Blvd., Suite 220, Universal City, CA 91602  
*State or country in which incorporated* — California

Tanning Bed, Inc.  
936 Union Rd., West Seneca, NY 14224  
*State or country in which incorporated* — Delaware

Voyageur Large Cap Growth Fund, LLC  
% Voyageur Asset Management Inc., 90 S. Seventh St., Minneapolis, MN 55402  
*State or country in which incorporated* — Delaware

Voyageur Mid Cap Growth Fund, LLC  
% Voyageur Asset Management Inc., 90 S. Seventh St., Minneapolis, MN 55402  
*State or country in which incorporated* — Delaware

Voyageur Small Cap Growth Fund, LLC  
% Voyageur Asset Management Inc., 90 S. Seventh St., Minneapolis, MN 55402  
*State or country in which incorporated* — Delaware

WARP Technology Holdings, Inc.  
535 W. 34th St., Fifth Fl., New York, NY 10013  
*State or country in which incorporated* — Nevada

XFN Acquisition LLC  
71 N. Livernois, Suite G, Rochester Hills, MI 48307  
*State or country in which incorporated* — Michigan limited liability company

#### FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law  
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY  
DEALERS; BROKERS;  
SYNDICATE MANAGERS

Absentys, LLC  
1200 Premier Dr., Suite 210, Chattanooga, TN 37421  
*State or country in which incorporated* — Tennessee

*Security(ies)* — Units consisting of class B membership interests  
*Issuer of security(ies)* — Absentys, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Tennessee

Aero-Vision Technologies, Inc.  
 350 Motor Pkwy., Hauppauge, NY 11788  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Aero-Vision Technologies, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

American Remediation Technologies, LLC  
 40 Pullman St., Bldg. 45, P.O. Box 60149, Worcester, MA 01606  
*State or country in which incorporated* —  
*Security(ies)* — Membership units  
*Issuer of security(ies)* — American Remediation Technologies, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Bear, Stearns & Co., Inc.  
 383 Madison Ave., New York, NY 10179  
*State or country in which incorporated* — Delaware  
*Security(ies)* — % senior subordinated notes due 2016  
*Issuer of security(ies)* — Iron Mountain Incorporated  
*Address of issuer* — 745 Atlantic Ave., Boston, MA 02111  
*State or country in which organized* — Pennsylvania

BioTime, Inc.  
 935 Pardee St., Berkeley, CA 94710  
*State or country in which incorporated* — California  
*Security(ies)* — Common shares  
*Issuer of security(ies)* — BioTime, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — California

BioTime, Inc.  
 935 Pardee St., Berkeley, CA 94710  
*State or country in which incorporated* — California  
*Security(ies)* — Warrants to purchase common shares  
*Issuer of security(ies)* — BioTime, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — California

B.J. Taylor #2 Development Prospect, LTD  
 3200 Broadway Blvd., #510, Garland, TX 75043  
*State or country in which incorporated* —  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — B.J. Taylor #2 Development Prospect, LTD  
*Address of issuer* — Same as above  
*State or country in which organized* — Texas

BLR Capital Partners, LP  
 Three Bala Plaza, East 502, Bala Cynwyd, PA 19004  
*State or country in which incorporated* —  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — BLR Capital Partners, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Cavalry Technology, L.P.  
 One California St., Suite 3000, San Francisco, CA 94111

*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Cavalry Technology, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

C.E. Unterberg, Towbin  
 350 Madison Ave., New York, NY 10017  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Pharmos Corporation  
*Address of issuer* — 99 Wood Ave. S, Suite 31, Iselin, NJ 08830  
*State or country in which organized* — Nevada

Charles Schwab & Co.; (2) Hennion & Walsh, Inc.  
 101 Montgomery St., San Francisco, CA 94104; (2) 2001 Rte. 46,  
 Waterview Plaza, Parsippany, NJ 07054  
*State or country in which incorporated* — (1) California; (2) New  
 Jersey  
*Security(ies)* — Units of fractional undivided interest  
*Issuer of security(ies)* — Schwab Trusts, Schwab Ten Trust, 2002  
 Series C  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Citigroup Global Markets, Inc.  
 388 Greenwich St., New York, NY 10013  
*State or country in which incorporated* — New York  
*Security(ies)* — Units of fractional undivided interest  
*Issuer of security(ies)* — Equity Focus Trusts - Blue Chip Stock 2004  
 Series A, Premier American Portfolio  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Citigroup Global Markets Inc.  
 388 Greenwich St., New York, NY 10013  
*State or country in which incorporated* — New York  
*Security(ies)* — Units of fractional undivided interest  
*Issuer of security(ies)* — Equity Focus Trusts-S.T.A.R.T., 2004 Series  
 Address of issuer — Same as above  
*State or country in which organized* — New York

Citigroup Global Markets Inc.  
 388 Greenwich St., New York, NY 10013  
*State or country in which incorporated* — New York  
*Security(ies)* — Units of fractional undivided interest  
*Issuer of security(ies)* — Equity Focus Trusts-Strategic 10 Portfolio,  
 2003 Series D  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Citigroup Global Markets Inc.  
 388 Greenwich St., New York, NY 10013  
*State or country in which incorporated* — New York  
*Security(ies)* — Units of fractional undivided interest  
*Issuer of security(ies)* — Equity Focus Trusts-Strategic S&P Industrial  
 Portfolio, 2003 Series D  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Citigroup Global Markets Inc.; (2) Merrill Lynch, Pierce, Fenner &  
 Smith Incorporated  
 388 Greenwich St., New York, NY 10013-2396; (2) North Tower,  
 World Financial Center, 250 Vesey St., New York, NY 10281  
*State or country in which incorporated* — (1) New York; (2) Delaware

*Security(ies)* — 6,900,000 shares common stock  
*Issuer of security(ies)* — Asyst Technologies, Inc.  
*Address of issuer* — 48761 Kato Rd., Fremont, CA 94538  
*State or country in which organized* — Delaware

Claymore Securities, Inc.  
 210 N. Hale St., Wheaton, IL 60187  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 10,000,000 units of undivided interest  
*Issuer of security(ies)* — Claymore Preferred Plus Portfolio, Series 3  
*Address of issuer* — Same as above  
*State or country in which organized* — Kansas

Claymore Securities, Inc.  
 210 N. Hale St., Wheaton, IL 60187  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 10,000,000 units of undivided interest  
*Issuer of security(ies)* — Equity Dividend Income Portfolio, (5-Year), Series 3  
*Address of issuer* — Same as above  
*State or country in which organized* — Kansas

Communication Synergy Technologies, Inc.  
 120 Allen Creek Rd., Rochester, NY 14618  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series B preferred stock  
*Issuer of security(ies)* — Communication Synergy Technologies, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Corona LLC  
 Five Sampson St., Saddle Brook, NJ 07663  
*State or country in which incorporated* — New York limited liability company  
*Security(ies)* — Unsold units of residential condominium; Enclave Condo Association Corona LLC  
*Issuer of security(ies)* — Corona LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Datec Group Ltd.  
 2150 Scotia One, Edmonton, Alberta T5J 3R8  
*Security(ies)* — Common shares  
*Issuer of security(ies)* — Datec Group Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Canada

Datec Group Ltd.  
 2150 Scotia One, Edmonton, Alberta T5J 3R8  
*Security(ies)* — Stock purchase warrants  
*Issuer of security(ies)* — Datec Group Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Canada

Dune Energy, Inc.  
 16 River Hollow Lane, Houston, TX 77027  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Convertible promissory notes  
*Issuer of security(ies)* — Dune Energy, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

EMPG Investment LLC  
 7535 E. Hampden Ave., Suite 109, Denver, CO 80231  
*State or country in which incorporated* — Colorado limited liability company  
*Security(ies)* — Membership interests  
*Issuer of security(ies)* — EMPG Investment LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Colorado

Fidelity Distributors Corporation  
 82 Devonshire St., Boston, MA 02109  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest, no par value  
*Issuer of security(ies)* — Fidelity Financial Trust; Fidelity Strategic Dividend & Income Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

Fortress Productions, LLC  
 182 Lower Lake Rd., Thousand Oaks, CA 91361  
*State or country in which incorporated* — California  
*Security(ies)* — Class A non-voting membership units  
*Issuer of security(ies)* — Fortress Productions, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — California

Geac Computer Corporation Limited  
 11 Allstate Pkwy., Suite 300, Markham, Ontario, Canada L3R 9T8  
*Security(ies)* — Common shares  
*Issuer of security(ies)* — Geac Computer Corporation Limited  
*Address of issuer* — Same as above  
*State or country in which organized* — Canada

Geac Computer Corporation Limited  
 11 Allstate Pkwy., Suite 300, Markham, Ontario, Canada L3R9T8  
*Security(ies)* — Purchase rights  
*Issuer of security(ies)* — Geac Computer Corporation Limited  
*Address of issuer* — Same as above  
*State or country in which organized* — Canada

Geac Computer Corporation Limited  
 11 Allstate Pkwy., Suite 300, Markham, Ontario, Canada L3R9T8  
*Security(ies)* — Stock options  
*Issuer of security(ies)* — Geac Computer Corporation Limited  
*Address of issuer* — Same as above  
*State or country in which organized* — Canada

GeneLink, Inc.  
 100 S. Thurlow St., Margate, NJ 08402  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — GeneLink, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Pennsylvania

GK Intelligent Systems, Inc.  
 2606 Yorktown Place, Houston, TX 77056  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock, \$0.001 par value  
*Issuer of security(ies)* — GK Intelligent Systems, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

## Global Life Sciences, Inc.

% Randolph Katz, 2020 Main St., Suite 600, Irvine, CA 92614

*State or country in which incorporated* — Nevada

*Security(ies)* — Common stock

*Issuer of security(ies)* — Global Life Sciences, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Nevada

## GM Capital Partners Offshore, Ltd.

% Derivatives Portfolio Management Limited, P.O. Box 2199 GT,

Grand Pavilion Commercial Centre, 802 W. Bay Rd., Suite 14, Grand

Cayman, Cayman Islands, British West Indies

*State or country in which incorporated* — Cayman Islands, BWI

*Security(ies)* — Common stock

*Issuer of security(ies)* — GM Capital Partners Offshore, Ltd.

*Address of issuer* — Same as above

*State or country in which organized* — Cayman Islands, BWI

## GM Capital Partners Fund, LP

250 Montgomery St., Suite 710, San Francisco, CA 94104

*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — GM Capital Partners Fund, LP

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

## Goldman Partners, L.P.

220 E. 42nd St., 29th Fl., New York, NY 10017

*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — Goldman Partners, L.P.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

## Gruber &amp; McBaine International

% Cayman National Trust Co. Ltd., P.O. Box 10340 APO, Cayman

National Bldg., Fourth Fl., Elgin Ave., George Town, Grand Cayman,

Cayman Islands, B.W.I.

*Security(ies)* — Redeemable preference shares

*Issuer of security(ies)* — Gruber & McBaine International

*Address of issuer* — Same as above

*State or country in which organized* — Cayman Islands, B.W.I.

## Harris Nesbitt Gerard, Inc.

360 Madison Ave., New York, NY 10017

*State or country in which incorporated* — Delaware

*Security(ies)* — Common stock

*Issuer of security(ies)* — Pharms Corporation

*Address of issuer* — 99 Wood Ave. S, Suite 311, Iselin, NJ 08830

*State or country in which organized* — Nevada

## Highland Hospitality Corporation

8405 Greensboro Dr., Suite 500, McLean, VA 22102

*State or country in which incorporated* — Maryland

*Security(ies)* — Common stock

*Issuer of security(ies)* — Highland Hospitality Corporation

*Address of issuer* — Same as above

*State or country in which organized* — Maryland

## Interbrew N.V./S.A.

Grand Place One, B-1000, Brussels, Belgium

*State or country in which incorporated* — Belgium

*Security(ies)* — Subscription rights to purchase ordinary shares

*Issuer of security(ies)* — Interbrew N.V./S.A.

*Address of issuer* — Vaartstraat 94, Third Fl., B-3000 Leuven,

## Belgium

*State or country in which organized* — Belgium

## J.P. Morgan Global Investors (Selldown), L.P.

1221 Avenue of the Americas, 40th Fl., New York, NY 10020

*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — J.P. Morgan Global Investors (Selldown), L.P.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

## J.P. Morgan Securities Inc.

399 Park Ave., New York, NY 10022

*State or country in which incorporated* — Delaware

*Security(ies)* — 7.5% senior notes due 2013

*Issuer of security(ies)* — Crown Castle International Corp.

*Address of issuer* — 501 Bering Dr., Suite 500, Houston, TX 77057

*State or country in which organized* — Delaware

## Kanoodle.com Inc.

260 Creekside Dr., Suite 200, Amherst, NY 14228

*State or country in which incorporated* — Delaware

*Security(ies)* — Series A convertible preferred redeemable

*Issuer of security(ies)* — Kanoodle.com, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

## Kern Capital Concentrated Equity Fund, L.P.

114 W. 47th St., Suite 1926, New York, NY 10036

*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — Kern Capital Concentrated Equity Fund, L.P.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

## Kinyan Capital, LP

% Kinyan Capital, LLC, 767 Third Ave., 33rd Fl., New York, NY

10017

*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — Kinyan Capital, LP

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

## L.A.M. Pharmaceutical Corp.

800 Sheppard Ave. W, Commercial Unit 1, Toronto, Ontario, Canada

M3H 6B4

*State or country in which incorporated* — Delaware

*Security(ies)* — Common stock

*Issuer of security(ies)* — L.A.M. Pharmaceutical Corp.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

## Legend Merchant Group, Inc.

30 Broad St., 38th Fl., New York, NY 10004

*State or country in which incorporated* — New York

*Security(ies)* — Common stock

*Issuer of security(ies)* — Scrip Advantage, Inc.

*Address of issuer* — 4273 W. Richert, Suite 110, Fresno, CA 93722

*State or country in which organized* — California

## Mercato Global Opportunities Fund, LP

570 Lexington Ave., 25th Fl., New York, NY 10022

*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — Mercato Global Opportunities Fund, LP

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

MicroCapital Fund LP

410 Jessie St., Suite 1002, San Francisco, CA 94103

*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — MicroCapital Fund LP

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Microgistix, Inc.

221 N. First St., Minneapolis, MN 55401

*State or country in which incorporated* — Minnesota

*Security(ies)* — Common stock

*Issuer of security(ies)* — Microgistix, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Minnesota

Morning Star Exploration

910 S. El Camino Real, Suite D, San Clemente, CA 92672

*State or country in which incorporated* — Nevada

*Security(ies)* — Thrash Washita River Joint Venture

*Issuer of security(ies)* — Morning Star Exploration

*Address of issuer* — Same as above

*State or country in which organized* — California

MTR Capital Corp.

331 Madison Ave., New York, NY 10017

*State or country in which incorporated* — Delaware

*Security(ies)* — Class B common stock

*Issuer of security(ies)* — MTR Capital Corp.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Paulson Investment Company, Inc.

811 SW. Naito Pkwy., Suite 200, Portland, OR 97204

*State or country in which incorporated* — Delaware

*Security(ies)* — Units with common stock, warrants, representative warrants

*Issuer of security(ies)* — DayStar Technologies, Inc.

*Address of issuer* — 900 Golden Gate Terrace, Suite A, Grass Valley, CA 95945

*State or country in which organized* — Delaware

Quantarian Asian Financial Services Fund LLC

% Quantarian Capital Management LLC, 11327 Amherst Ave., Suite 2, Wheaton, MD 20902

*Security(ies)* — Limited liability company interests

*Issuer of security(ies)* — Quantarian Asian Financial Services Fund LLC

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Recall Mail Corporation

2150 Hwy. 35, Suite 250, SeaGirt, NJ 08750

*State or country in which incorporated* — Delaware

*Security(ies)* — Common stock

*Issuer of security(ies)* — Recall Mail Corporation

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Roseville Reserve, LLLP

1660 Lincoln, Suite 2000, Denver, CO 80264-2201

*State or country in which incorporated* — Colorado limited liability limited partnership

*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — Roseville Reserve, LLLP

*Address of issuer* — Same as above

*State or country in which organized* — Colorado

Sabina Resources Limited

55 Westchester Dr., London, Ontario, Canada N6G 2K6

*State or country in which incorporated* — Canada

*Security(ies)* — Common shares

*Issuer of security(ies)* — Sabina Resources Limited

*Address of issuer* — Same as above

*State or country in which organized* — Canada

Sander Morris Harris Inc.

600 Travis St., Suite 3100, Houston, TX 77002

*State or country in which incorporated* — Texas

*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — Life Sciences Opportunities Fund (Institutional) II, L.P.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Sander Morris Harris Inc.

600 Travis St., Suite 3100, Houston, TX 77002

*State or country in which incorporated* — Texas

*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — Life Sciences Opportunities Fund II, L.P.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Sion Hall Enhanced Income Fund Limited

P.O. Box 30362, Third Fl., Harbour Centre, Grand Cayman, Cayman Islands, BWI

*State or country in which incorporated* — Cayman Islands

*Security(ies)* — Euro shares of common stock

*Issuer of security(ies)* — Sion Hall Enhanced Income Fund Limited

*Address of issuer* — Same as above

*State or country in which organized* — Cayman Islands

Sion Hall Enhanced Income Fund Limited

P.O. Box 30362, Third Fl., Harbour Centre, Grand Cayman, Cayman Islands, BWI

*State or country in which incorporated* — Cayman Islands

*Security(ies)* — US\$ shares of common stock

*Issuer of security(ies)* — Sion Hall Enhanced Income Fund Limited

*Address of issuer* — Same as above

*State or country in which organized* — Cayman Islands

Sprott Securities (U.S.A.) Limited

Royal Bank Plaza, South Tower, Suite 3240, P.O. Box 63, Toronto, Ontario M5J 2J2 Canada

*State or country in which incorporated* — Ontario, Canada

*Security(ies)* — Units consisting of common shares and common share purchase warrants

*Issuer of security(ies)* — Corriente Resources Inc.

*Address of issuer* — Suite 520, 800 W. Pender St., Vancouver, BC Canada V6C 2V6

*State or country in which organized* — British Columbia, Canada

Sugimoto Fund, LP

45 Rockefeller Plaza, Suite 2026, New York, NY 10111

*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Sugimoto Fund, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Sugimoto Partners, LP  
 45 Rockefeller Plaza, Suite 2026, New York, NY 10111  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Sugimoto Partners, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

TAG Entertainment, Inc.  
 4130 Cahuenga Blvd., Suite 220, Universal City, CA 91602  
*State or country in which incorporated* — California  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — TAG Entertainment, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — California

Tanning Bed, Inc.  
 936 Union Rd., West Seneca, NY 14224  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Tanning Bed, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

UBS Securities LLC; (2) Goldman, Sachs & Co.; (3) Bear, Stearns & Co. Inc.  
 677 Washington Blvd., Stamford, CT 06901; (2) 85 Broad St., New York, NY 10004; (3) 383 Madison Ave., New York, NY 10179  
*State or country in which incorporated* — (1) Delaware LLC; (2) New York partnership; (3) Delaware  
*Security(ies)* — \_\_\_% senior notes due 2011  
*Issuer of security(ies)* — United Agri Products, Inc.  
*Address of issuer* — 7251 W. Fourth St., Greeley, CO 80634  
*State or country in which organized* — Delaware

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Insured Income Trust, Series 115  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Internet Portfolio, Series 37  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Investment Grade Municipal Trust, Series 41  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Van Kampen Focus Portfolios, Municipal Series 471 (New York Insured Municipals Income Trust, Series 169)  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Visible Path Corporation  
 56 W. 22nd St., Third Fl., New York, NY 10010  
*State or country in which incorporated* — California  
*Security(ies)* — Common stock options  
*Issuer of security(ies)* — Visible Path Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — California

Voyageur Large Cap Growth Fund, LLC  
 % Voyageur Asset Management Inc., 90 S. Seventh St., Minneapolis, MN 55402  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — Voyageur Large Cap Growth Fund, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Voyageur Mid Cap Growth Fund, LLC  
 % Voyageur Asset Management Inc., 90 S. Seventh St., Minneapolis, MN 55402  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — Voyageur Mid Cap Growth Fund, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Voyageur Small Cap Growth Fund, LLC  
 % Voyageur Asset Management Inc., 90 S. Seventh St., Minneapolis, MN 55402  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — Voyageur Large Cap Growth Fund, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

WARP Technology Holdings, Inc.  
 535 W. 34th St., Fifth Fl., New York, NY 10013  
*State or country in which incorporated* — Nevada  
*Security(ies)* — Series B 10% cumulative convertible preferred  
*Issuer of security(ies)* — WARP Technology Holdings, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Nevada

XFN Acquisition LLC  
 71 N. Livernois, Suite G, Rochester Hills, MI 48307  
*State or country in which incorporated* — Michigan limited liability company  
*Security(ies)* — Class A membership units  
*Issuer of security(ies)* — XFN Acquisition, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Michigan limited liability company