

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

218 South, L.L.C.
6316 N. Magnolia St., Chicago, IL 60660
State or country in which incorporated — Illinois limited liability company

Arcavista Corporation
750 Lexington Ave., New York, NY 10022
State or country in which incorporated — Delaware

Asire Investment (BVI) Ltd.
Butterfield House, 68 Fort St., P.O. Box 705, Grand Cayman, Cayman Islands
State or country in which incorporated — British Virgin Islands

Barron Moore, Inc.
4308 Avondale Ave., Suite 200, Dallas, TX 75219
State or country in which incorporated — Texas

BB&T Funds — BB&T Special Opportunities Equity Fund
3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
State or country in which incorporated — Massachusetts

Black PointPartners, Inc.
100 Cummings Center, Suite 355A, Beverly, MA 01915
State or country in which incorporated — Massachusetts

Blue Ridge Group, Inc.
632 Adams St., Suite 700, Bowling Green, KY 42101
State or country in which incorporated — Nevada

Bradford Drilling Associates XV, L.P.
2495 Main St., Suite 442, Buffalo, NY 14214
Partnership — Bradford Exploration, Inc.

Bristol Technology, Inc.
39 Old Ridgebury Rd., Danbury, CT 06810
State or country in which incorporated — Delaware

Center Court Fund, LP
11 Pleasant Place, North Brunswick, NJ 08902
Partnership — Center Court Money Management, LLC

CityCrepe, LLC
Nine W. 20th St., Unit 8, New York, NY 10011
State or country in which incorporated — Delaware limited liability company

Concentrix Corporation
3750 Monroe Ave., Pittsford, NY 14534
State or country in which incorporated — New York

Continuum America, LLC
900 One Lincoln Ctr., Syracuse, NY 13202
State or country in which incorporated — New York

CPOR Inc.
2229 Tomlynn St., Richmond, VA 23230
State or country in which incorporated — Virginia

DBSI Sunriver Mall LLC
1550 S. Tech Lane, Meridian, ID 83642
State or country in which incorporated — Idaho

Design Within Reach, Inc.
283 Fourth St., Oakland, CA 94607
State or country in which incorporated — California

Diomed Holdings, Inc.
One Dundee Park, Andover, MA 01810
State or country in which incorporated — Delaware

Double Alpha Partners, L.P.
767 Third Ave., 38th Fl., New York, NY 10017
Partnership — Double Alpha Group Inc.

EDI Financial, Inc.
12221 Merit Dr., Suite 1020, Dallas, TX 75251
State or country in which incorporated — Texas

First Clearing, LLC
10070 Wheat First Dr., Glen Allen, VA 23060
State or country in which incorporated — Delaware limited liability company

Franklin Mutual Recovery Fund
% Legal/Blue Sky Department, One Franklin Parkway, San Mateo,

CA 94403-1906

State or country in which incorporated — Delaware

Harbert Convertible Arbitrage Offshore Fund, Ltd.

% International Fund Services (Ireland) Limited, Third Fl., Bishop Sq., Redmond's Hill, Dublin 2, Ireland

State or country in which incorporated — Cayman Islands

Helzberg Value Partners, LP

429 Greenwich St., 7C, New York, NY 10013

Partnership — Helzberg Capital, LLC

Infacare Pharmaceutical Corporation

2701 Loker Ave., Suite 2, Carlsbad, CA 92008

State or country in which incorporated — Delaware

Institutional Fiduciary Trust — Franklin Structured Large Cap Growth Equity Fund

% Legal/Blue Sky Department, One Franklin Parkway, San Mateo, CA 94403-1906

State or country in which incorporated — Massachusetts

Institutional Fiduciary Trust — Franklin Structured Large Cap Core Equity Fund

% Legal/Blue Sky Department, One Franklin Parkway, San Mateo, CA 94403-1906

State or country in which incorporated — Massachusetts

Intercom Systems, Inc.

111 Village Pkwy., Bldg. #2, Marietta, GA 30067

State or country in which incorporated — Delaware

IWT Tesoro Corporation

191 Post Rd. W, Suite 10, Westport, CT 06880

State or country in which incorporated — Nevada

J.P. Morgan Fund Distributors, Inc. — JPMorgan Multi-Manager Small Cap Value Fund

3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012

John Witherspoon Fund, L.P.

211 Nassau St., Princeton, NJ 08542

Partnership — Witherspoon Advisors LLC

Journee Software Corporation

3600 W. Parmer Lane, Bldg. II, Suite 210, Austin, TX 78727

State or country in which incorporated — Texas

JPMorgan Multi-Manager Small Cap Growth Fund

3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012

State or country in which incorporated — Massachusetts trust

JPMorgan Multi-Manager Small Cap Value Fund

3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012

State or country in which incorporated — Massachusetts trust

Medical Media Systems, Inc.

12 Commerce Ave., West Lebanon, NH 03784

State or country in which incorporated — Delaware

MMR Funding, LP

80 West End Ave., Somerville, NJ 08876

Partnership — MMR Partners, L.L.C.

MP-1, Inc.

5821 Hollywood Blvd., Hollywood, FL 33021

State or country in which incorporated — Florida

National Management Consulting, Inc.

545 Madison Ave., 6th Fl., New York, NY 10022

State or country in which incorporated — Delaware

Native Eyewear, Inc.

2381 Philmont Ave., Huntingdon Valley, PA 19006-6236

State or country in which incorporated — Pennsylvania

Naufal Capital, LP

400 Madison Ave., 12th Fl., New York, NY 10017

Partnership — Naufal Partners, LLC

Nexsan Corporation

21700 Oxnard St., Suite 1850, Woodland Hills, CA 91367

State or country in which incorporated — Delaware

OLEA Global Partners LP

% OLEA Management (Cayman) Limited, P.O. Box 390 GT, Ugland House, George Town, Grand Cayman, Cayman Islands

Partnership — OLEA Management (Cayman) Limited, general partner

Outrider Capital, LP

126 E. 56th St., 24th Fl., New York, NY 10022

Partnership — Howard Asset Management, LLC

P-D Holding Corp.

10300 SW. Allen Blvd., Beaverton, OR 97005

State or country in which incorporated — Oregon

Perigee Securities LP

100 Peabody Place, Suite 1325, Memphis, TN 38103

Partnership — Perigee Group, LLC

Private Advisors Distressed Opportunities Fund, L.P.

1801 Bayberry Ct., Suite 303, Richmond, VA 23226

Partnership — Private Advisors, LLC

Prometheus Fund I, LP.

One North End Ave., Suite 1251, New York, NY 10282

Partnership — Prometheus Funds, LLC

Quantia Fund International, Ltd.

% Hemisphere Management Limited, Hemisphere House, Nine Church St., Hamilton HM DX, Bermuda

State or country in which incorporated — Cayman Islands

Quantum Fuel Systems Technologies Worldwide, Inc.

17872 Cartwright Rd., Irvine, CA 92614

State or country in which incorporated — Delaware

Quintessence Apparel, Inc.

350 Fifth Ave., Suite 3304, New York, NY 10001

State or country in which incorporated — Delaware

Rushmore Recoveries III, LLC

543 Broadway, Monticello, NY 12701

Secure Data in Motion, Inc.

1875 S. Grant St., Suite 500, San Mateo, CA 94402
State or country in which incorporated — Delaware

Selectinvest Plus LP
 630 Fifth Ave., 27th Fl., New York, NY 10111
Partnership — Union Bancaire Privee Asset Management LLC,
 general partner

Sengent, Inc.
 225 NE. Mizner Blvd., Suite 325, Boca Raton, FL 33432
State or country in which incorporated — Delaware

Silver Star Music, LLC
 % Paul Tannen, 38 Laurel Ledge Ct., Stamford, CT 06903
State or country in which incorporated — New York

SMART System Technologies, Inc.
 132 W. 31st St., Suite 2100, New York, NY 10001
State or country in which incorporated — Delaware

Spartan 300 Fund LLC
 165 Secatogue Lane W, West Islip, NY 11795
Partnership — Spartan 300 Management Company

Special Situations Technology Fund II, L.P.
 153 E. 53rd St., 55th Fl., New York, NY 10022
Partnership — SST Advisors, L.L.C.

Spencer Trask Illumination Fund LLC
 % Spencer Trask Ventures, Inc., 535 Madison Ave., New York, NY
 10022
Partnership — LLC Management Services, Inc.

Spring Street Networks, Inc.
 520 Broadway, 6th Fl., New York, NY 10012
State or country in which incorporated — Delaware

Stand UP, LLC
 6025 S. Quebec St., Suite 150, Englewood, CO 80111
State or country in which incorporated — Colorado

Summit Water Equity Fund, L.P.
 7817 Ivanhoe Ave., Suite 201, La Jolla, CA 92037-4540
Partnership — Summit Global Management, Inc., general partner

Templeton Global Investment Trust — Franklin Templeton Non-U.S.
 Dynamic Core Equity Fund
 % Legal/Blue Sky Department, One Franklin Pkwy., San Mateo, CA
 94403-1906
State or country in which incorporated — Delaware

Tench Partners, L.P.
 One International Place, Boston, MA 02110
Partnership — Normandie Capital Management, LLC

Texas Securities, Inc.
 3630 N. Josey Lane, Suite #201, Carrollton, TX 75007
State or country in which incorporated — Texas

TMG Puerto Rico 2 LLC
 130 W. 57th St., Suite 2A, New York, NY 10019

Trigon Macro Opportunities Fund, Ltd.
 % Citco Fund Services (Bahamas) Ltd., One Montague Place, 1st Fl.,
 E. Bay St., P.O. Box N-4906, Nassau, Bahamas
State or country in which incorporated — Bahamas

Trigon Macro Partners, L.P.
 % Citco Fund Services (Bahamas) Ltd., One Montague Place, 1st Fl.,
 E. Bay St., P.O. Box N-4906, Nassau, Bahamas
Partnership — Trigon Investment Advisors, LLC

Universal Automotive Industries, Inc.
 11859 S. Central Ave., Alsip, IL 60803
State or country in which incorporated — Delaware

Vicor Technologies, Inc.
 2300 Corporate Blvd. NW, Suite 123, Boca Raton, FL 33431
State or country in which incorporated — Delaware

Wachovia Securities, LLC
 901 E. Byrd St., Richmond, VA 23219
State or country in which incorporated — Delaware limited liability
 company

Wachovia Securities Financial Network, LLC
 901 E. Byrd St., Richmond, VA 23219
State or country in which incorporated — Delaware limited liability
 company

West Loop Partners Long/Short Balanced Fund I, L.P.
 2147 S. Lumber St., P.O. Box 6, Suite 523, Chicago, IL 60616
Partnership — West Loop Partners, LLC

Zander Fund II, LP
 527 Madison Ave., 11th Fl., New York, NY 10022
Partnership — Zander Capital, LLC

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
 [Art. 23-A, §359-e(8), as amended]

SECURITIES BY
 DEALERS; BROKERS;
 SYNDICATE MANAGERS

218 South, L.L.C.
 6316 N. Magnolia St., Chicago, IL 60660
State or Country in which incorporated — Illinois limited liability
 company
Security(ies) — Class A limited liability company membership
 interests
Issuer of Security(ies) — 218 South, L.L.C.
Address of issuer — Same as above
State or country in which organized — Illinois

American Enterprises MPT Corp.
 % Colfax Corporation, 8730 Stony Point Pkwy., Richmond, VA 23235
State or Country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of Security(ies) — American Enterprises MPT Corp.
Address of issuer — Same as above

American Enterprises MPT Corp.
% Colfax Corporation, 8730 Stony Point Pkwy., Richmond, VA 23235
State or Country in which incorporated — Delaware
Security(ies) — Preferred stock
Issuer of Security(ies) — American Enterprises MPT Corp.
Address of issuer — Same as above

American AAdvantage Funds
4151 Amon Carter Blvd., MD 2450, Fort Worth, TX 76155
Security(ies) — Shares of beneficial interest, no par value (PlanAhead Class)
Issuer of Security(ies) — American AAdvantage Funds-American AAdvantage Enhanced Income Fund
Address of issuer — 4151 Amon Carter Blvd., MD 2450, Fort Worth, TX 76155
State or country in which organized — Massachusetts

Anthem Securities, Inc.
311 Rouser Rd., Moon Township, PA 15108
State or Country in which incorporated — Pennsylvania
Security(ies) — Units consisting of general or limited partner interests
Issuer of Security(ies) — Atlas America Public #12-2003 Limited Partnership
Address of issuer — 311 Rouser Rd., Moon Township, PA 15108
State or country in which organized — Delaware

Arcavista Corporation
750 Lexington Ave., New York, NY 10022
State or Country in which incorporated — Delaware
Security(ies) — Units consisting of common stock and common stock purchase warrants
Issuer of Security(ies) — Arcavista Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Asire Investment (BVI) Ltd.
Butterfield House, 68 Fort St., P.O. Box 705 Grand Cayman, Cayman Islands
State or Country in which incorporated — British Virgin Islands
Security(ies) — Non-voting, participating shares, par value \$0.01 per share, designated as class B-1 shares
Issuer of Security(ies) — Asire Investment (BVI) Ltd.
Address of issuer — Same as above
State or country in which organized — British Virgin Islands

Banc of America Securities LLC; (2) Bear, Stearns & Co., Inc; (3) Citigroup Global Markets, Inc.
Nine W. 57th St., New York, NY 10019; (2) 383 Madison Ave., New York, NY 10179; (3) 388 Greenwich St., New York, NY 10013
State or Country in which incorporated — (1) Delaware LLC; (2-3) Delaware
Security(ies) — 8½% senior subordinated notes due 2009
Issuer of Security(ies) — Iasis Healthcare Corporation
Address of issuer — 113 Seaboard Lane, Suite A-200, Franklin, TN 37067
State or country in which organized — Delaware

BB&T Funds—BB&T Special Opportunities Equity Fund
3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
Security(ies) — Shares of beneficial interest
Issuer of Security(ies) — BISYS Fund Services, L.P.
Address of issuer — 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012

State or country in which organized — Massachusetts

Blue Ridge Group, Inc.
632 Adams St., Suite 700, Bowling Green, KY 42101
State or Country in which incorporated — Nevada
Security(ies) — Limited partnership
Issuer of Security(ies) — East Texas 2 Well Development Drilling Program, Ltd.
Address of issuer — 632 Adams St., Suite 700, Bowling Green, KY 42101
State or country in which organized — Kentucky

Bradford Drilling Associates XV, L.P.
2495 Main St., Suite 442, Buffalo, NY 14214
Security(ies) — Partnership units
Issuer of Security(ies) — Bradford Drilling Associates XV, L.P.
Address of issuer — Same as above
State or country in which organized — New York

Bristol Technology, Inc.
39 Old Ridgebury Rd., Danbury, CT 06810
State or Country in which incorporated — Delaware
Security(ies) — Exchange of convertible promissory notes for series A-2 convertible preferred stock
Issuer of Security(ies) — Bristol Technology, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Bristol Technology, Inc.
39 Old Ridgebury Rd., Danbury, CT 06810
State or Country in which incorporated — Delaware
Security(ies) — Offering up to \$8,000,000 of series C-1 preferred stock
Issuer of Security(ies) — Bristol Technology, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Captus Networks Corp.
1680 Tide Ct., Suite B, Woodland, CA 95776
State or Country in which incorporated — Nevada
Security(ies) — Series A prime convertible preferred stock
Issuer of Security(ies) — Captus Networks Corp.
Address of issuer — Same as above
State or country in which organized — Nevada

Center Court Fund, LP
11 Pleasant Place, North Brunswick, NJ 08902
Security(ies) — Limited partnership interests
Issuer of Security(ies) — Center Court Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

CityCrepe, LLC
Nine W. 20th St., Unit 8, New York, NY 10011
State or Country in which incorporated — Delaware limited liability company
Security(ies) — Membership units
Issuer of Security(ies) — CityCrepe, LLC
Address of issuer — Same as above
State or country in which organized — Delaware limited liability company

Claymore Securities, Inc.
210 N. Hale St., Wheaton, IL 60187

State or Country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of Security(ies) — Closed-End Preferred and Dividend Portfolio, Series 1
Address of issuer — % Claymore Securities, Inc., 210 N. Hale St., Wheaton, IL 60187
State or country in which organized — Kansas

Claymore Securities, Inc.
 210 N. Hale St., Wheaton, IL 60187
State or Country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of Security(ies) — Closed-End National Municipal Portfolio, Series 1
Address of issuer — % Claymore Securities, Inc., 210 N. Hale St., Wheaton, IL 60187
State or country in which organized — Kansas

Claymore Securities, Inc.
 210 N. Hale St., Wheaton, IL 60187
State or Country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of Security(ies) — Closed-End Income Portfolio, Series 1
Address of issuer — % Claymore Securities, Inc., 210 N. Hale St., Wheaton, IL 60187
State or country in which organized — Kansas

Claymore Securities, Inc.
 210 N. Hale St., Wheaton, IL 60187
State or Country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of Security(ies) — Equity Dividend Income Portfolio, Series 1
Address of issuer — % Claymore Securities, Inc., 210 N. Hale St., Wheaton, IL 60187
State or country in which organized — Kansas

Colfax Corporation
 8730 Stony Point Pkwy., Richmond, VA 23235
State or Country in which incorporated — Delaware
Security(ies) — Preferred stock
Issuer of Security(ies) — Colfax Corporation
Address of issuer — Same as above

Colfax Corporation
 8730 Stony Point Pkwy., Richmond, VA 23235
State or Country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of Security(ies) — Colfax Corporation
Address of issuer — Same as above

Concentrix Corporation
 3750 Monroe Ave., Pittsford, NY 14534
State or Country in which incorporated — New York
Security(ies) — Series D participating convertible preferred stock, par value \$.01 per share
Issuer of Security(ies) — Concentrix Corporation
Address of issuer — Same as above
State or country in which organized — New York

Constellation Pumps Corporation
 % Colfax Corporation, 8730 Stony Point Pkwy., Richmond, VA 23235
State or Country in which incorporated — Delaware
Security(ies) — Preferred stock

Issuer of Security(ies) — Constellation Pumps Corporation
Address of issuer — Same as above

Constellation Pumps Corporation
 % Colfax Corporation, 8730 Stony Point Pkwy., Richmond, VA 23235
State or Country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of Security(ies) — Constellation Pumps Corporation
Address of issuer — Same as above

Continuum America, LLC
 900 One Lincoln Ctr., Syracuse, NY 13202
State or Country in which incorporated — New York
Security(ies) — Membership interests
Issuer of Security(ies) — Continuum America, LLC
Address of issuer — Same as above
State or country in which organized — New York

CPOR Inc.
 2229 Tomlynn St., Richmond, VA 23230
State or Country in which incorporated — Virginia
Security(ies) — Common stock
Issuer of Security(ies) — CPOR Inc.
Address of issuer — Same as above
State or country in which organized — Virginia

Credit Suisse First Boston LLC and Citigroup Global Markets Inc.
 Eleven Madison Ave., New York, NY 10010
State or Country in which incorporated — New York
Security(ies) — Common stock
Issuer of Security(ies) — Maguire Properties, Inc.
Address of issuer — 555 W. Fifth St., Suite 5000, Los Angeles, CA 90013
State or country in which organized — Maryland

DBSI Sunriver Mall LLC
 1550 S. Tech Lane, Meridian, ID 83642
State or Country in which incorporated — Idaho
Security(ies) — Limited liability company units
Issuer of Security(ies) — DBSI Sunriver Mall LLC
Address of issuer — Same as above
State or country in which organized — Idaho

Design Within Reach, Inc.
 283 Fourth St., Oakland, CA 94607
Security(ies) — Series B preferred stock
Issuer of Security(ies) — Design Within Reach, Inc.
Address of issuer — Same as above
State or country in which organized — California

Diomed Holdings, Inc.
 One Dundee Park, Andover, MA 01810
State or Country in which incorporated — Delaware
Security(ies) — Preferred stock
Issuer of Security(ies) — Diomed Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Double Alpha Partners, L.P.
 767 Third Ave., 38th Fl., New York, NY 10017
Security(ies) — Class A limited partnership interests
Issuer of Security(ies) — Double Alpha Partners, L.P.
Address of issuer — Same as above

State or country in which organized — Delaware

Double Alpha Partners, L.P.
767 Third Ave., 38th Fl., New York, NY 10017
Security(ies) — Class B limited partnership interests
Issuer of Security(ies) — Double Alpha Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

eTrauma.com Corporation
1425 E. Newport Center Dr., Deerfield Beach, FL 33442
State or Country in which incorporated — Delaware
Security(ies) — Common stock, \$.0001 par value per share
Issuer of Security(ies) — eTrauma.com Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

eTrauma.com Corporation
1425 E. Newport Center Dr., Deerfield Beach, FL 33442
State or Country in which incorporated — Delaware
Security(ies) — Series C convertible preferred stock, \$.0001 par value per share
Issuer of Security(ies) — eTrauma.com Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of Security(ies) — FT 734 (Preferred Income Portfolio, Series 13)
Address of issuer — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of Security(ies) — FT 736 (First Trust U.S. Treasury Securities Portfolio, Intermediate, Series 12)
Address of issuer — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of Security(ies) — FT 727 (Advisor's Disciplined Income Trust, High 50 Dividend Strategy Portfolio, Series 2003-5)
Address of issuer — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

Foundation Source Philanthropic Services Inc.
20 Glover Ave., Norwalk, CT 06850
State or Country in which incorporated — Delaware
Security(ies) — Series C preferred stock
Issuer of Security(ies) — Foundation Source Philanthropic Services Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Franklin Mutual Recovery Fund

% Legal/Blue Sky Department, One Franklin Pkwy., San Mateo, CA 94403-1906

State or Country in which incorporated — Delaware
Security(ies) — Beneficial interest no par value
Issuer of Security(ies) — Franklin Mutual Recovery Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Harbert Convertible Arbitrage Offshore Fund, Ltd.
% International Fund Services (Ireland) Limited, Third Fl., Bishop's Sq., Redmond's Hill, Dublin 2, Ireland
State or Country in which incorporated — Cayman Islands
Security(ies) — Corporate shares
Issuer of Security(ies) — Harbert Convertible Arbitrage Offshore Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Helzberg Value Partners, LP
429 Greenwich St., 7C, New York, NY 10013
Security(ies) — Limited partnership interests
Issuer of Security(ies) — Helzberg Value Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Hudson Technologies, Inc.
275 N. Middletown Rd., Pearl River, NY 10965
State or Country in which incorporated — New York
Security(ies) — Common stock
Issuer of Security(ies) — Hudson Technologies, Inc.
Address of issuer — Same as above
State or country in which organized — New York

Infacare Pharmaceutical Corporation
2701 Loker Ave., Suite 2, Carlsbad, CA 92008
State or Country in which incorporated — Delaware
Security(ies) — Common stock, \$.0001 par value
Issuer of Security(ies) — Infacare Pharmaceutical Corp.
Address of issuer — Same as above
State or country in which organized — Delaware

Institutional Fiduciary Trust — Franklin Structured Large Cap Growth Equity Fund
% Legal/Blue Sky Department, One Franklin Pkwy., San Mateo, CA 94403-1906
State or Country in which incorporated — Massachusetts
Security(ies) — Beneficial interest (no par value)
Issuer of Security(ies) — Institutional Fiduciary Trust — Franklin Structured Large Cap Growth Equity Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Institutional Fiduciary Trust — Franklin Structured Large Cap Core Equity Fund
% Legal/Blue Sky Department, One Franklin Pkwy., San Mateo, CA 94403-1906
State or Country in which incorporated — Massachusetts
Security(ies) — Beneficial interest (no par value)
Issuer of Security(ies) — Institutional Fiduciary Trust — Franklin Structured Large Cap Core Equity Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Interactive Video Technologies, Inc.
36 Lexington Ave., New York, NY 10017
State or Country in which incorporated — Delaware
Security(ies) — Shares of series BB convertible preferred stock
Issuer of Security(ies) — Interactive Video Technologies, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Intercom Systems, Inc.
111 Village Pkwy., Bldg. #2, Marietta, GA 30067
State or Country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of Security(ies) — Intercom Systems, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

IWT Tesoro Corporation
191 Post Rd. W, Suite 10, Westport, CT 06880
State or Country in which incorporated — Nevada
Security(ies) — Common stock
Issuer of Security(ies) — IWT Tesoro Corporation
Address of issuer — Same as above
State or country in which organized — Nevada

J.P. Morgan Fund Distributors, Inc.
3435 Stelzer Rd., Suite 1000, Columbus, OH 43219
Security(ies) — Shares of beneficial interest
Issuer of Security(ies) — J.P. Morgan Fund Distributors, Inc.
Address of issuer — Same as above
State or country in which organized — Massachusetts

J.P.R. Capital Corp.
1800 Northern Blvd., Suite 111, Roslyn, NY 11576
Security(ies) — Membership interests
Issuer of Security(ies) — Spartan 300 Fund LLC
Address of issuer — 165 Secatogue Lane W, West Islip, NY 11795
State or country in which organized — Delaware

John Witherspoon Fund, L.P.
211 Nassau St., Princeton, NJ 08542
Security(ies) — Limited partnership interests
Issuer of Security(ies) — John Witherspoon Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Journee Software Corporation
3600 W. Parmer Lane, Bldg. II, Suite 210, Austin, TX 78727
State or Country in which incorporated — Texas
Security(ies) — Common stock
Issuer of Security(ies) — Journee Software Corporation
Address of issuer — Same as above
State or country in which organized — Texas

Matrix Capital Group, Inc.
666 Fifth Ave., 14th Fl., New York, NY 10103
Security(ies) — 10,000 units of undivided interest
Issuer of Security(ies) — Matrix Unit Trust, Series 7 (Closed-End Income Portfolio, Series 2)
Address of issuer — % Matrix Capital Group, Inc., 666 Fifth Ave., 14th Fl., New York, NY 10103
State or country in which organized — New York

Medical Media Systems, Inc.
12 Commerce Ave., West Lebanon, NH 03784
State or Country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of Security(ies) — Medical Media Systems, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

MMR Funding, LP
80 W. End Ave., Somerville, NJ 08876
Security(ies) — Limited partnership interests
Issuer of Security(ies) — MMR Funding, LP
Address of issuer — Same as above
State or country in which organized — Delaware

MP-1, Inc.
5821 Hollywood Blvd., Hollywood, FL 33021
Security(ies) — 3,000,000 common at \$0.50 per share
Issuer of Security(ies) — MP-1, Inc.
Address of issuer — Same as above
State or country in which organized — Florida

MR Private Investment Fund I, L.P.
1155 Connecticut Ave. NW, Suite 700, Washington, DC 20036
Security(ies) — LP interests
Issuer of Security(ies) — MR Private Investment Fund I, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

National Management Consulting, Inc.
545 Madison Ave., 6th Fl., New York, NY 10022
State or Country in which incorporated — Delaware
Security(ies) — Units consisting of common stock and warrants
Issuer of Security(ies) — National Management Consulting, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Native Eyewear, Inc.
2381 Philmont Ave., Huntingdon Valley, PA 19006-6236
State or Country in which incorporated — Pennsylvania
Security(ies) — Class B common stock
Issuer of Security(ies) — Native Eyewear, Inc.
Address of issuer — Same as above
State or country in which organized — Pennsylvania

Naufal Capital, LP
400 Madison Ave., 12th Fl., New York, NY 10017
State or Country in which incorporated — Delaware
Security(ies) — Participating interests (LP units)
Issuer of Security(ies) — Naufal Capital, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Nexsan Corporation
21700 Oxnard St., Suite 1850, Woodland Hills, CA 91367
State or Country in which incorporated — Delaware
Security(ies) — Convertible promissory notes with warrants
Issuer of Security(ies) — Nexsan Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

OLEA Global Partners LP
 % OLEA Management (Cayman) Limited, P.O. Box 309 GT, Ugland House, George Town, Grand Cayman, Cayman Islands
Security(ies) — Limited partnership interests
Issuer of Security(ies) — OLEA Global Partners LP
Address of issuer — Same as above
State or country in which organized — Delaware

OppenheimerFunds Distributor, Inc.
 498 Seventh Ave., New York, NY 10018
State or Country in which incorporated — New York
Security(ies) — Shares of beneficial interest, \$.001 par value, class C shares
Issuer of Security(ies) — Oppenheimer Global Opportunities Fund
Address of issuer — 6803 S. Tucson Way, Centennial, CO 80112
State or country in which organized — Massachusetts

OppenheimerFunds Distributor, Inc.
 498 Seventh Ave., New York, NY 10018
State or Country in which incorporated — New York
Security(ies) — Shares of beneficial interest, \$.001 par value, class N shares
Issuer of Security(ies) — Oppenheimer Global Opportunities Fund
Address of issuer — 6803 S. Tucson Way, Centennial, CO 80112
State or country in which organized — Massachusetts

OppenheimerFunds Distributor, Inc.
 498 Seventh Ave., New York, NY 10018
State or Country in which incorporated — New York
Security(ies) — Shares of beneficial interest, \$.001 par value, class Y shares
Issuer of Security(ies) — Oppenheimer Global Opportunities Fund
Address of issuer — 6803 S. Tucson Way, Centennial, CO 80112
State or country in which organized — Massachusetts

OppenheimerFunds Distributor, Inc.
 498 Seventh Ave., New York, NY 10018
State or Country in which incorporated — New York
Security(ies) — Shares of beneficial interest, \$.001 par value, class A shares
Issuer of Security(ies) — Oppenheimer Global Opportunities Fund
Address of issuer — 6803 S. Tucson Way, Centennial, CO 80112
State or country in which organized — Massachusetts

OppenheimerFunds Distributor, Inc.
 498 Seventh Ave., New York, NY 10018
State or Country in which incorporated — New York
Security(ies) — Shares of beneficial interest, \$.001 par value, class B shares
Issuer of Security(ies) — Oppenheimer Global Opportunities Fund
Address of issuer — 6803 S. Tucson Way, Centennial, CO 80112
State or country in which organized — Massachusetts

Outrider Capital, LP
 126 E. 56th St., 24th Fl., New York, NY 10022
Security(ies) — Class A interest
Issuer of Security(ies) — Outrider Capital, LP
Address of issuer — Same as above
State or country in which organized — Delaware

P-D Holding Corp.
 10300 SW. Allen Blvd., Beaverton, OR 97005
State or Country in which incorporated — Oregon

Security(ies) — Common stock with options to purchase such common stock
Issuer of Security(ies) — P-D Holding Corp.
Address of issuer — Same as above
State or country in which organized — Oregon

P-D Holding Corp.
 10300 SW. Allen Blvd., Beaverton, OR 97005
State or Country in which incorporated — Oregon
Security(ies) — Units of each consisting of 9,585.6 shares of series A preferred stock and 8,288 shares of common stock
Issuer of Security(ies) — P-D Holding Corp.
Address of issuer — Same as above
State or country in which organized — Oregon

Pequot Select Offshore Fund, Inc.
 % Hemisphere Management Limited, Hemisphere House, Nine Church St., P.O. Box HM951, Hamilton HM DX, Bermuda
State or Country in which incorporated — FN
Security(ies) — Class Z common shares
Issuer of Security(ies) — Pequot Select Offshore Fund, Inc.
Address of issuer — Same as above
State or country in which organized — FN

Pequot Select Offshore Fund, Inc.
 % Hemisphere Management Limited, Hemisphere House, Nine Church St., P.O. Box HM951, Hamilton HM DX, Bermuda
State or Country in which incorporated — FN
Security(ies) — Class Y common shares
Issuer of Security(ies) — Pequot Select Offshore Fund, Inc.
Address of issuer — Same as above
State or country in which organized — FN

Pequot Select Offshore Fund, Inc.
 % Hemisphere Management Limited, Hemisphere House, Nine Church St., P.O. Box HM951, Hamilton HM DX, Bermuda
State or Country in which incorporated — FN
Security(ies) — Class B common shares
Issuer of Security(ies) — Pequot Select Offshore Fund, Inc.
Address of issuer — Same as above
State or country in which organized — FN

Private Advisors Distressed Opportunities Fund, L.P.
 1801 Bayberry Ct., Suite 303, Richmond, VA 23226
Security(ies) — Limited partnership interests
Issuer of Security(ies) — Private Advisors Distressed Opportunities Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Prometheus Fund I, LP
 One N. End Ave., Suite 1251, New York, NY 10282
Security(ies) — Limited partnership interests
Issuer of Security(ies) — Prometheus Fund I, LP
Address of issuer — Same as above
State or country in which organized — United States

Prudential Investment Management Services LLC
 100 Mulberry St., Gateway Center 3, Newark, NJ 07102
State or Country in which incorporated — Delaware
Security(ies) — Units of undivided interest
Issuer of Security(ies) — National Equity Trust Top Ten Portfolio Series 41

Address of issuer — % Prudential Investment Management Services LLC, 100 Mulberry St., Gateway Center 3, Newark, NJ 07102
State or country in which organized — New York

Prudential Investment Management Services LLC
 100 Mulberry St., Gateway Center 3, Newark, NJ 07102
State or Country in which incorporated — Delaware
Security(ies) — Units of undivided interest
Issuer of Security(ies) — National Equity Trust Short-Term Low Five Covered Write Option Trust Series 8
Address of issuer — % Prudential Investment Management Services LLC, 100 Mulberry St., Gateway Center 3, Newark, NJ 07102
State or country in which organized — New York

Quantia Fund International, Ltd.
 % Hemisphere Management Limited, Hemisphere House, Hemisphere House, Nine Church St., Hamilton HM DX Bermuda
State or Country in which incorporated — Cayman Islands
Security(ies) — Class C shares - common stock
Issuer of Security(ies) — Quantia Fund International Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Quantum Fuel Systems Technologies Worldwide, Inc.
 17872 Cartwright Rd., Irvine, CA 92614
State or Country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of Security(ies) — Quantum Fuel Systems Technologies Worldwide, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Quasar Distributors, LLC
 615 E. Michigan St., Milwaukee, WI 53202
State or Country in which incorporated — Delaware
Security(ies) — Shares of common stock, .001 par value
Issuer of Security(ies) — Harding, Loevner Fund, Inc., Emerging Markets Portfolio
Address of issuer — 600 Fifth Ave., 26th Fl., New York, NY 10020
State or country in which organized — Maryland

Quintessence Apparel, Inc.
 350 Fifth Ave., Suite 3304, New York, NY 10001
State or Country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of Security(ies) — Quintessence Apparel, Inc.
Address of issuer — 350 Fifth Ave., Suite 3304, New York, NY 10001
State or country in which organized — Delaware

RenalTech International LLC
 320 E. 65th St., Suite 116, New York, NY 10021
Security(ies) — Limited liability company interests
Issuer of Security(ies) — RenalTech International LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Residential Funding Mortgage Securities I, Inc.
 8400 Normandale Lake Blvd., Suite 250, Minneapolis, MN 55437
State or Country in which incorporated — Minnesota
Security(ies) — Mortgage pass-through certificates, series 2003-S12, class M-3
Issuer of Security(ies) — Residential Funding Mortgage Securities I, Inc.

Address of issuer — Same as above
State or country in which organized — Minnesota

Residential Funding Mortgage Securities I, Inc.
 8400 Normandale Lake Blvd., Suite 250, Minneapolis, MN 55437
State or Country in which incorporated — Minnesota
Security(ies) — Mortgage pass-through certificates, series 2003-S7, class M3
Issuer of Security(ies) — Residential Funding Mortgage Securities I, Inc.
Address of issuer — Same as above
State or country in which organized — Minnesota

Residential Funding Mortgage Securities I, Inc.
 8400 Normandale Lake Blvd., Suite 250, Minneapolis, MN 55437
State or Country in which incorporated — Minnesota
Security(ies) — Mortgage pass-through certificates, series 2003-S8, class M3
Issuer of Security(ies) — Residential Funding Mortgage Securities I, Inc.
Address of issuer — Same as above
State or country in which organized — Minnesota

RUSHMORE RECOVERIES III, LLC
 543 Broadway, Monticello, NY 12701
Security(ies) — Membership interests
Issuer of Security(ies) — Rushmore Recoveries III, LLC
Address of issuer — Same as above
State or country in which organized — New York

Secure Data in Motion, Inc.
 1875 S. Grant St., Suite 500, San Mateo, CA 94402
State or Country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of Security(ies) — Secure Data in Motion, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Secure Data in Motion, Inc.
 1875 S. Grant St., Suite 500, San Mateo, CA 94402
State or Country in which incorporated — Delaware
Security(ies) — Series B preferred stock
Issuer of Security(ies) — Secure Data in Motion, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Selectinvest Plus LP
 630 Fifth Ave., 27th Fl., New York, NY 10111
Security(ies) — Limited partnership interests
Issuer of Security(ies) — Selectinvest Plus LP
Address of issuer — Same as above
State or country in which organized — Delaware

Silver Star Music, LLC
 % Paul Tannen, 38 Laurel Ledge Ct., Stamford, CT 06903
State or Country in which incorporated — New York
Security(ies) — Limited liability company
Issuer of Security(ies) — Silver Star Music, LLC
Address of issuer — Same as above
State or country in which organized — New York

SMART System Technologies, Inc.
 132 W. 31st St., Suite 2100, New York, NY 10001

State or Country in which incorporated — Delaware
Security(ies) — One unit consisting of common stock, series A preferred stock and series A-1 preferred stock
Issuer of Security(ies) — SMART System Technologies, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Spartan 300 Fund LLC
 165 Secatogue Lane W, West Islip, NY 11795
Security(ies) — Membership interests
Issuer of Security(ies) — Spartan 300 Fund LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Special Situations Technology Fund II, L.P.
 153 E. 53rd St., 55th Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of Security(ies) — Special Situations Technology Fund II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

SPENCER TRASK VENTURES, INC.
 535 Madison Ave., New York, NY 10022
State or Country in which incorporated — New York
Security(ies) — Limited liability company membership interests
Issuer of Security(ies) — SPENCER TRASK ILLUMINATION FUND LLC
Address of issuer — % Spencer Trask Ventures, Inc., 535 Madison Ave., New York, NY 10022
State or country in which organized — New York

Spring Street Networks, Inc.
 520 Broadway, 6th Fl., New York, NY 10012
State or Country in which incorporated — Delaware
Security(ies) — Series B convertible preferred stock
Issuer of Security(ies) — Spring Street Networks, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Spring Street Networks, Inc.
 520 Broadway, 6th Fl., New York, NY 10012
State or Country in which incorporated — Delaware
Security(ies) — Series A-1 convertible preferred stock
Issuer of Security(ies) — Spring Street Networks, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

STAND UP, LLC
 6025 S. Quebec St., Suite 150, Englewood, CO 80111
State or Country in which incorporated — Colorado
Security(ies) — Limited liability company membership units
Issuer of Security(ies) — STAND UP, LLC
Address of issuer — Same as above
State or country in which organized — Colorado

Summit Water Equity Fund, L.P.
 7817 Ivanhoe Ave., Suite 201, La Jolla, CA 92037-4540
Security(ies) — Limited partnership interests
Issuer of Security(ies) — Summit Water Equity Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware
 Taglich Brothers, Inc.
 700 New York Ave., Huntington, NY 11743

State or Country in which incorporated — New York
Security(ies) — 6% convertible notes, convertible into common stock
Issuer of Security(ies) — SCOLR, Inc.
Address of issuer — 8340 154th Ave. NE, Redmond, WA 98052
State or country in which organized — Delaware

Templeton Global Investment Trust—Franklin Templeton Non-U.S. Dynamic Core Equity Fund
 One Franklin Pkwy., San Mateo, CA 94403-1906
State or Country in which incorporated — Delaware
Security(ies) — Beneficial interest, no par value
Issuer of Security(ies) — Templeton Global Investment Trust—Franklin Templeton Non-U.S. Dynamic Core Equity Fund
Address of issuer — % Legal/Blue Sky Department, One Franklin Pkwy., San Mateo, CA 94403-1906
State or country in which organized — Delaware

Tench Partners, L.P.
 One International Place, Boston, MA 02110
Security(ies) — Limited partnership interests
Issuer of Security(ies) — Tench Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Texas Securities, Inc.
 3630 N. Josey Lane, Suite 201, Carrollton, TX 75007
State or Country in which incorporated — Texas
Security(ies) — Joint Venture Interests
Issuer of Security(ies) — Crown Broussard #1 Joint Venture
Address of issuer — 3620 N. Josey Lane, Suite 113, Carrollton, TX 75007
State or country in which organized — Texas

TMG Puerto Rico 2 LLC
 130 W. 57th St., Suite 2A, New York, NY 10019
Security(ies) — Membership interests
Issuer of Security(ies) — TMG Puerto Rico 2 LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Trigon Macro Partners, L.P.
 % Trigon Investment Advisors, LLC, Wall Street Plaza, 88 Pine St., 31st Fl., New York, NY 10005
Security(ies) — Series A Limited partnership interests
Issuer of Security(ies) — Trigon Macro Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Trigon Macro Partners, L.P.
 % Trigon Investment Advisors, LLC, Wall Street Plaza, 88 Pine St., 31st Fl., New York, NY 10005
Security(ies) — Series B Limited partnership interests
Issuer of Security(ies) — Trigon Macro Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Trigon Macro Opportunities Fund, Ltd.
 % Citco Fund Services (Bahamas) Ltd., One Montague Place, 1st Fl., E. Bay St., P.O. Box 4906, Nassau, Bahamas
State or Country in which incorporated — Commonwealth of The Bahamas
Security(ies) — Class A non-voting, participating common stock
Issuer of Security(ies) — Trigon Macro Opportunities Fund, Ltd.

Address of issuer — Same as above
State or country in which organized — Commonwealth of the Bahamas

Trigon Macro Opportunities Fund, Ltd.
 % Citco Fund Services (Bahamas) Ltd., One Montague Place, 1st Fl., E. Bay St., P.O. Box 4906, Nassau, Bahamas
State or Country in which incorporated — Commonwealth of The Bahamas
Security(ies) — Class B non-voting, participating common stock
Issuer of Security(ies) — Trigon Macro Opportunities Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Commonwealth of the Bahamas

Universal Automotive Industries, Inc.
 11859 S. Central Ave., Alsip, IL 60803
State or Country in which incorporated — Delaware
Security(ies) — Common stock, par value \$0.01 per share
Issuer of Security(ies) — Universal Automotive Industries, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or Country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of Security(ies) — Central Equity Trust, Diversified Income Series 10
Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or Country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of Security(ies) — Investment Grade Municipal Trust, Series 32
Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or Country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of Security(ies) — Utility Portfolio, Series 12
Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or Country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of Security(ies) — Utility Income Portfolio, Series 13
Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or Country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest
Issuer of Security(ies) — Van Kampen Focus Portfolios, Municipal Series 440 (New York Insured Municipals Income Trust, Series 163)
Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or Country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of Security(ies) — Van Kampen Focus Portfolios, Municipal Series 440 (Pennsylvania Insured Municipals Income Trust, Series 277)
Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or Country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of Security(ies) — Internet Portfolio, Series 36
Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which organized — New York

Vicor Technologies, Inc.
 2300 Corporate Blvd. NW, Suite 123, Boca Raton, FL 33231
State or Country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of Security(ies) — Vicor Technologies, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

WARNER ELECTRIC GROUP, INC.
 % Colfax Corporation, 8730 Stony Point Pkwy., Richmond, VA 23235
State or Country in which incorporated — Delaware
Security(ies) — Preferred stock
Issuer of Security(ies) — WARNER ELECTRIC GROUP, INC.
Address of issuer — Same as above

WARNER ELECTRIC GROUP, INC.
 % Colfax Corporation, 8730 Stony Point Pkwy., Richmond, VA 23235
State or Country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of Security(ies) — WARNER ELECTRIC GROUP, INC.
Address of issuer — Same as above

West Loop Partners Long/Short Balanced Fund I, L.P.
 2147 S. Lumber St., P.O. Box 6, Suite 523, Chicago, IL 60616
Security(ies) — Limited partnership interests
Issuer of Security(ies) — West Loop Partners Long/Short Balanced Fund I, L.P.
Address of issuer — Same as above
State or country in which organized — Illinois

Zander Fund II, LP
 527 Madison Ave., 11th Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of Security(ies) — Zander Fund II, LP
Address of issuer — Same as above
State or country in which organized — Delaware

