

# SECURITIES OFFERINGS

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## STATE NOTICES

Published pursuant to provisions of General Business Law  
[Art. 23-A, §359-e(2)]

## DEALERS; BROKERS

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32 Water LLC  
c/o Rossrock LLC, 150 E. 52nd St., 27th Fl., New York, NY 10022

400 Sixth Avenue Equities LLC  
166 Kings Hwy. N, Westport, CT 06880-2423  
*State or country in which incorporated* — Delaware

ACE Aviation Holdings Inc.  
7373 Côte Vertu West, Blvd. Saint-Laurent, Quebec, Canada H4Y 1N4  
*State or country in which incorporated* — Canada

Alerian Capital Partners LP  
45 Rockefeller Plaza, Suite 2570, New York, NY 10111  
*Partnership* — Alerian Capital Advisors LLC

Aperture Capital, L.P.  
500 Park Ave., Suite 510, New York, NY 10022  
*Partnership* — Aperture Venture Partners, LLC

Arden Alternative Advisers Unit Trust  
c/o Fortis Fund Services (Cayman) Limited, P.O. Box 2003GT, Grand  
Pavilion Commercial Centre, 802 W. Bay Rd., Grand Cayman, Cayman  
Islands, British West Indies  
*State or country in which incorporated* — Cayman Islands

Big Sky Investors, L.P.  
11 E. 80th St., Suite 11E, New York, NY 10028  
*Partnership* — Big Sky Capital Management LLC

BlueOrchard MicroFinance Securities I, LLC  
72 bd. de Saint Georges, 1205 Geneva, Switzerland  
*State or country in which incorporated* — Delaware

Bodmas Capital Partners, LP  
530 Brannan St., Suite 408., San Francisco, CA 94107  
*Partnership* — Bodmas Capital Management, LLC

BreakWater Opportunities Fund, Ltd.  
RK Consulting (Cayman) Ltd., P.O.Box 1748 GT, Cayman Corporate

Centre, 27 Hospital Rd., George Town, Grand Cayman, Cayman  
Islands, B.W.I.

*State or country in which incorporated* — Cayman Islands

BreakWater Opportunities Fund, LP  
9171 Wilshire Blvd., Suite 500 B, Beverly Hills, CA 90210  
*Partnership* — BreakWater Fund Management, L.L.C.

Bricoleur Enhanced, L.P.  
12230 El Camino Real, Suite 100, San Diego, CA 92130  
*Partnership* — Bricoleur Capital Management LLC

Cereality Operators, Inc.  
2525 Arapahoe Ave., Suite E4-312, Boulder, CO 80302  
*State or country in which incorporated* — Colorado

Cruse Sands Drilling Project E, L.P.  
c/o Mark Gasarch, 150 E. 58th St., 34th Fl., New York, NY 10155  
*Partnership* — Petro-Suisse Ltd., Mark Gasarch

DCM Private Equity Fund II LLC  
One Righter Pkwy., Suite 3200, Wilmington, DE 19803

Digital Broadcast Corporation  
3410-B W. Main St., Salem, VA 24153  
*State or country in which incorporated* — Delaware

Dotronix, Inc.  
160 First St. SE, New Brighton, MN 55112  
*State or country in which incorporated* — Minnesota

Efficient Diversified Fund, SPC—The 3X Segregated Portfolio  
c/o M&C Corporate Services, Ugland House, P.O. Box 309 GT, George  
Town, Cayman Islands  
*Partnership* — Efficient Capital Management, LLC

Elbit Vision Systems, Ltd.  
P.O. Box 140, New Industrial Park, Bldg. #7, Yoqneam 20692, Israel  
*State or country in which incorporated* — Israel

Fairfield Dover Fund, Ltd.  
P.O. Box 31106 SMB, Corporate Center, West Bay Rd., George Town,  
Grand Cayman, Cayman Islands  
*State or country in which incorporated* — Cayman Islands

First Financial Capital Trust I  
34 Broad St., Charleston, SC 29401  
*State or country in which incorporated* — Delaware

Frank Funds  
Six Stacy Ct., Parsippany, NJ 07054-3347  
*State or country in which incorporated* — Ohio

HZscan LLC  
25133 Anza Dr., Unit 'B', Valencia, CA 91355  
*State or country in which incorporated* — Washington limited liability company

Huns Motorcycle Co.  
37. E. Main St., Bloomsburg, PA 17815  
*State or country in which incorporated* — Pennsylvania

Idetic, Inc.  
2855 Telegraph Ave., Suite 510, Berkeley, CA 94704  
*State or country in which incorporated* — Delaware

ISoldMyStuff.com, Inc.  
34 St. Martin Dr., Marlboro, MA 01752  
*State or country in which incorporated* — Delaware

JCM Partners I, LP  
One Ferry Bldg., San Francisco, CA 94111  
*Partnership* — JCM Investment Advisors, LLC

Leuthold Industrial Metals Fund, L.P.  
100 N. Sixth St., Suite 412A, Minneapolis, MN 55403  
*Partnership* — Leuthold Weeden Hedge LLC

Leuthold Unconventional Fund, L.P.  
100 N. Sixth St., Suite 412A, Minneapolis, MN 55403  
*Partnership* — Leuthold Weeden Hedge LLC

Malory Investments, LLC  
520 S. Sepulveda Blvd., Suite 308, Los Angeles, CA 90049  
*State or country in which incorporated* — California

Managed Financial Broker Services, L.L.C.  
14505 Torrey Chase Blvd., Suite 110, Houston, TX 77014  
*State or country in which incorporated* — Texas

Mason #1-H Joint Venture  
3030 LBJ Fwy., #1320, Dallas, TX 75243

Method Products, Inc.  
30 Hotaling Place, Suite 300, San Francisco, CA 94111  
*State or country in which incorporated* — Delaware

Miesen Development Corp.  
1452 Hughes Rd., #315, Grapevine, TX 76051  
*State or country in which incorporated* — Texas

MIV Therapeutics Inc.  
1-8765 Ash St., Vancouver, BC, Canada V6P 6T3  
*State or country in which incorporated* — Nevada

Neurobiological Technologies, Inc.  
3260 Blume Dr., Suite 500, Richmond, CA 94806  
*State or country in which incorporated* — Delaware

Old Kings Capital, L.P.  
Nine Old Kings Capital Hwy. S, Suite 300, Darien, CT 06820  
*Partnership* — Old Kings Asset Management LLC, general partner

Orange Corner Limited Partnership, LLLP  
100 Village Sq. Crossing, Suite 104, Palm Beach Gardens, FL 33410  
*Partnership* — Orange Corner, Inc.

OyaGen, Inc.  
500 Linden Oaks, Rochester, NY 14625  
*State or country in which incorporated* — Delaware

Palm Beach Finance II, L.P.  
940 Tahoe Blvd., Incline Village, NV 89451  
*Partnership* — Palm Beach Capital Management, L.P.

ProFunds—UltraShort Dow 30 ProFund  
BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012  
*State or country in which incorporated* — Delaware

QED Fund Limited  
c/o M&C Corporate Service Ltd., P.O. Box 309 GT, Ugland House, S. Church St., George Town, Grand Cayman, Cayman Islands  
*State or country in which incorporated* — Cayman Islands

Randall & Dewey Securities, LLC  
Two Greenspoint Park, Suite 300-S, 16800 Greenspoint Park Dr., Houston, TX 77060  
*State or country in which incorporated* — Texas

RBR Capital, L.P.  
153 E. 53rd St., New York, NY 10022  
*Partnership* — RBR Partners, LLC

RSM, L.P.  
17 Westover Rd., Slingerlands, NY 12159  
*Partnership* — Stephen M. Lescarbeau

Rush Financial Technologies, Inc.  
13355 Noel Rd., Suite 300, Dallas, TX 75240  
*State or country in which incorporated* — Texas

SHOGUN Fund, L.P.  
c/o ZERON Capital Management, Inc., 117 E. 57th St., New York, NY 10022

Silver Creek Low Vol Strategies II, L.P.  
1301 Fifth Ave., 40th Fl., Seattle, WA 98101  
*Partnership* — Silver Creek SV, L.L.C.

Simons Broadcasting, LP  
510 N. Valley Mills, Suite 407, #8, Waco, TX 76710  
*Partnership* — Simons Asset Management, LLC

Skyscraper Management Corp.  
95 Broadhollow Rd., Suite 95, Melville, NY 11747  
*State or country in which incorporated* — New York

Spencer Trask Ventures, Inc.  
535 Madison Ave., New York, NY 10022  
*State or country in which incorporated* — Delaware

Tectura Corporation  
1230 W. Washington St., Suite 111, Tempe, AZ 85281  
*State or country in which incorporated* — Delaware

Toreador Resources Corporation  
4809 Cole Ave., Suite 108, Dallas, TX 75205  
*State or country in which incorporated* — Delaware

Torigian & Williamson, LLC  
108 Newport Lane, Ponte Vedra Beach, FL 32082  
*State or country in which incorporated* — Delaware limited liability company

Vail Securities Investment, Inc.  
232 W. Meadow Dr., Vail, CO 81657  
*State or country in which incorporated* — Colorado

### FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law  
[Art. 23-A, §359-e(8), as amended]

#### SECURITIES BY DEALERS; BROKERS; SYNDICATE MANAGERS

32 Water LLC  
c/o Rossrock LLC, 150 E. 52nd St., 27th Fl., New York, NY 10022  
*Security(ies)* — Limited liability company membership interests  
*Issuer of security(ies)* — 32 Water LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

400 Sixth Avenue Equities LLC  
166 Kings Hwy. N, Westport, CT 06880-2423  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — 400 Sixth Avenue Equities LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

ACCOR  
2, rue de la Mare-Neuve, 9100 Evry, France  
*State or country in which incorporated* — Republic of France  
*Security(ies)* — Shares of ACCOR common stock through ACCOR EN ACTIONS 2004  
*Issuer of security(ies)* — ACCOR  
*Address of issuer* — Same as above  
*State or country in which organized* — Republic of France

ACE Aviation Holdings Inc.  
7373 Côte Vertu West, Blvd. Saint-Laurent, Quebec, Canada H4Y 1N4  
*State or country in which incorporated* — Canada  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — ACE Aviation Holdings Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Canada

Alerian Capital Partners LP  
45 Rockefeller Plaza, Suite 2570, New York, NY 10111

*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Alerian Capital Partners LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

American Express Financial Advisors Inc.  
50590 AXP Financial Center, Minneapolis, MN 55474  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Participating shares  
*Issuer of security(ies)* — Advisory Leveraged U.S. Equity Market Neutral Fund Inc.  
*Address of issuer* — c/o Walkers, SPV, Walker House, Mary St., P.O. Box 908 GT, George Town, Grand Cayman, Cayman Islands  
*State or country in which organized* — Cayman Islands

American Express Financial Advisors Inc.  
50590 AXP Financial Center, Minneapolis, MN 55474  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Participating shares  
*Issuer of security(ies)* — Boston Research Fund Inc.  
*Address of issuer* — c/o Walkers, SPV, Walker House, Mary St., P.O. Box 908 GT, George Town, Grand Cayman, Cayman Islands  
*State or country in which organized* — Cayman Islands

Aperture Capital, L.P.  
500 Park Ave., Suite 510, New York, NY 10022  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Aperture Capital, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Arden Alternative Advisers Unit Trust  
c/o Fortis Fund Services (Cayman) Limited, P.O. Box 2003GT, Grand Pavilion Commercial Centre, 802 W. Bay Rd., Grand Cayman, Cayman Islands, British West Indies  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Units of undivided beneficial interests  
*Issuer of security(ies)* — Arden Alternative Advisers Unit Trust  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Beall's, Inc.  
1806 38th Ave. E, Bradenton, FL 34208  
*State or country in which incorporated* — Florida  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Beall's, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Florida

Bear, Stearns & Co., Inc.  
245 Park Ave., New York, NY 10167  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Bond insurance for Department of Water and Power for the City of Los Angeles, 4% water system revenue bonds due 2016, series C  
*Issuer of security(ies)* — MBIA Insurance Corporation  
*Address of issuer* — 113 King St., Armonk, NY 10504  
*State or country in which organized* — New York

Bear, Stearns & Co., Inc.  
245 Park Ave., New York, NY 10167  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Bond insurance for Department of Water and Power



Bear, Stearns & Co., Inc.

245 Park Ave., New York, NY 10167

*State or country in which incorporated* — Delaware

*Security(ies)* — Bond insurance for Department of Water and Power for the City of Los Angeles, 5% water system revenue bonds due 2034, series C

*Issuer of security(ies)* — MBIA Insurance Corporation

*Address of issuer* — 113 King St., Armonk, NY 10504

*State or country in which organized* — New York

Bear, Stearns & Co., Inc.

245 Park Ave., New York, NY 10167

*State or country in which incorporated* — Delaware

*Security(ies)* — Bond insurance for Department of Water and Power for the City of Los Angeles, 5.25% water system revenue bonds due 2017, series C

*Issuer of security(ies)* — MBIA Insurance Corporation

*Address of issuer* — 113 King St., Armonk, NY 10504

*State or country in which organized* — New York

Bear, Stearns & Co., Inc.

245 Park Ave., New York, NY 10167

*State or country in which incorporated* — Delaware

*Security(ies)* — Bond insurance for Department of Water and Power for the City of Los Angeles, 5.25% water system revenue bonds due 2018, series C

*Issuer of security(ies)* — MBIA Insurance Corporation

*Address of issuer* — 113 King St., Armonk, NY 10504

*State or country in which organized* — New York

Bear, Stearns & Co., Inc.

245 Park Ave., New York, NY 10167

*State or country in which incorporated* — Delaware

*Security(ies)* — Bond insurance for Department of Water and Power for the City of Los Angeles, 5.25% water system revenue bonds due 2019, series C

*Issuer of security(ies)* — MBIA Insurance Corporation

*Address of issuer* — 113 King St., Armonk, NY 10504

*State or country in which organized* — New York

Bear, Stearns & Co., Inc.

245 Park Ave., New York, NY 10167

*State or country in which incorporated* — Delaware

*Security(ies)* — Bond insurance for Department of Water and Power for the City of Los Angeles, 5.25% water system revenue bonds due 2020, series C

*Issuer of security(ies)* — MBIA Insurance Corporation

*Address of issuer* — 113 King St., Armonk, NY 10504

*State or country in which organized* — New York

Big Sky Investors, L.P.

11 E. 80th St., Suite 11E, New York, NY 10028

*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — Big Sky Investors, L.P.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

BlueOrchard MicroFinance Securities I, LLC

72 bd. de Saint Georges, 1205 Geneva, Switzerland

*State or country in which incorporated* — Delaware

*Security(ies)* — Promissory notes

*Issuer of security(ies)* — BlueOrchard Microfinance Securities I, LLC

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Bodmas Capital Partners, LP

530 Brannan St., Suite 408, San Francisco, CA 94107

*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — Bodmas Capital Partners, LP

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

BreakWater Opportunities Fund, Ltd.

RK Consulting (Cayman) Ltd., P.O. Box 1748 GT, Cayman Corporate Centre, 27 Hospital Rd., George Town, Grand Cayman, Cayman Islands, B.W.I.

*State or country in which incorporated* — Cayman Islands

*Security(ies)* — Shares of stock

*Issuer of security(ies)* — BreakWater Opportunities Fund, Ltd.

*Address of issuer* — Same as above

*State or country in which organized* — Cayman Islands

BreakWater Opportunities Fund, LP

9171 Wilshire Blvd., Suite 500 B, Beverly Hills, CA 90210

*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — BreakWater Fund Management, L.L.C.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Bricoleur Enhanced, L.P.

12230 El Camino Real, Suite 100, San Diego, CA 92130

*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — Bricoleur Enhanced, L.P.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Cereality Operators, Inc.

2525 Arapahoe Ave., Suite E4-312, Boulder, CO 80302

*State or country in which incorporated* — Colorado

*Security(ies)* — Shares of common stock'

*Issuer of security(ies)* — Cereality Operators, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Colorado

Claymore Securities, Inc.

210 N. Hale St., Wheaton, IL 60187

*State or country in which incorporated* — Delaware

*Security(ies)* — 10,000,000 units of undivided interest

*Issuer of security(ies)* — Dow 10<sup>SM</sup> Portfolio (2-Year), 3rd Quarter 2004

*Address of issuer* — c/o Claymore Securities, Inc., 210 N. Hale St., Wheaton, IL 60187

*State or country in which organized* — Kansas

Cruse Sands Drilling Project E, L.P.

c/o Mark Gasarch, 150 E. 58th St., 34th Fl., New York, NY 10155

*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — Cruse Sands Drilling Project E, L.P.

*Address of issuer* — Same as above

*State or country in which organized* — New York

DCM Private Equity Fund II LLC

One Righter Pkwy., Suite 3200, Wilmington, DE 19803

*Security(ies)* — Limited liability company interests

*Issuer of security(ies)* — DCM Private Equity Fund II LLC

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Digital Broadcast Corp.

3410-B W. Main St., Salem, VA 24153

*State or country in which incorporated* — Delaware

*Security(ies)* — Common stock

*Issuer of security(ies)* — Digital Broadcast Corp.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Dotronix, Inc.

160 First St. SE, New Brighton, MN 55112

*State or country in which incorporated* — Minnesota

*Security(ies)* — Common stock

*Issuer of security(ies)* — Dotronix, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Minnesota

Efficient Diversified Fund, SPC—The 3X Segregated Portfolio

c/o M&C Corporate Services, Ugland House, P.O. Box 309GT, George Town, Cayman Islands

*State or country in which incorporated* — Cayman Islands

*Security(ies)* — Redeemable participating shares

*Issuer of security(ies)* — Efficient Diversified Fund, SPC—The 3X Segregated Portfolio

*Address of issuer* — Same as above

*State or country in which organized* — Cayman Islands

Elbit Vision Systems, Ltd.

P.O. Box 140, New Industrial Park, Bldg. #7, Yoqneam 20692, Israel

*State or country in which incorporated* — Israel

*Security(ies)* — Ordinary shares

*Issuer of security(ies)* — Elbit Vision Systems, Ltd.

*Address of issuer* — Same as above

*State or country in which organized* — Israel

Elbit Vision Systems, Ltd.

P.O. Box 140, New Industrial Park, Bldg. #7, Yoqneam 20692, Israel

*State or country in which incorporated* — Israel

*Security(ies)* — Warrants to purchase ordinary shares

*Issuer of security(ies)* — Elbit Vision Systems, Ltd.

*Address of issuer* — Same as above

*State or country in which organized* — Israel

Fairfield Dover Fund, Ltd.

Corporate Center, West Bay Rd., Box 31106 SMB, George Town, Grand Cayman, Cayman Islands

*State or country in which incorporated* — Cayman Islands

*Security(ies)* — Dollar class P shares

*Issuer of security(ies)* — Fairfield Dover Fund, Ltd.

*Address of issuer* — Same as above

*State or country in which organized* — Cayman Islands

Fairfield Dover Fund, Ltd.

Corporate Center, West Bay Rd., Box 31106 SMB, George Town, Grand Cayman, Cayman Islands

*State or country in which incorporated* — Cayman Islands

*Security(ies)* — Dollar class P-1 shares

*Issuer of security(ies)* — Fairfield Dover Fund, Ltd.

*Address of issuer* — Same as above

*State or country in which organized* — Cayman Islands

FD International (Holdings) Limited

Holborn Gate, 26 Southampton Bldgs., London WC2A 1PB, England

*Security(ies)* — Class B ordinary shares

*Issuer of security(ies)* — FD International (Holdings) Limited

*Address of issuer* — Same as above

*State or country in which organized* — England and Wales

First Financial Capital Trust I

34 Broad St., Charleston, SC 29401

*State or country in which incorporated* — Delaware

*Security(ies)* — 7.0% capital securities, series B

*Issuer of security(ies)* — First Financial Capital Trust I

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

*Security(ies)* — 10,000 units of undivided interest

*Issuer of security(ies)* — FT 835 (India Growth Portfolio)

*Address of issuer* — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532

*State or country in which organized* — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

*Security(ies)* — 10,000 units of undivided interest

*Issuer of security(ies)* — FT 859 (Research Equity Portfolio)

*Address of issuer* — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532

*State or country in which organized* — Illinois

Frank Funds

Six Stacy Ct., Parsippany, NJ 07054-3347

*State or country in which incorporated* — Ohio

*Security(ies)* — Frank Value Fund (shares of beneficial interest)

*Issuer of security(ies)* — Frank Funds

*Address of issuer* — Same as above

*State or country in which organized* — Ohio

Fulcrum Pharmaceuticals, Inc.

2794 Red Arrow Dr., Las Vegas, NV 89135

*State or country in which incorporated* — Delaware

*Security(ies)* — Series A convertible preferred stock

*Issuer of security(ies)* — Fulcrum Pharmaceuticals, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Gerber Scientific, Inc.

83 Gerber Rd. W, South Windsor, CT 06074

*State or country in which incorporated* — Connecticut

*Security(ies)* — Bonus shares

*Issuer of security(ies)* — Gerber Scientific, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — United States

Gerber Scientific, Inc.

83 Gerber Rd. W, South Windsor, CT 06074

*State or country in which incorporated* — Connecticut

*Security(ies)* — Common stock, par value \$1 per share

*Issuer of security(ies)* — Gerber Scientific, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — United States

Gerber Scientific, Inc.  
83 Gerber Rd. W, South Windsor, CT 06074  
*State or country in which incorporated* — Connecticut  
*Security(ies)* — Grants  
*Issuer of security(ies)* — Gerber Scientific, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — United States

Gerber Scientific, Inc.  
83 Gerber Rd. W, South Windsor, CT 06074  
*State or country in which incorporated* — Connecticut  
*Security(ies)* — Options to purchase common stock  
*Issuer of security(ies)* — Gerber Scientific, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — United States

Gerber Scientific, Inc.  
83 Gerber Rd. W, South Windsor, CT 06074  
*State or country in which incorporated* — Connecticut  
*Security(ies)* — Restricted stock (restricted shares of common stock)  
*Issuer of security(ies)* — Gerber Scientific, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — United States

GMT Energy Corp.  
10260 Westheimer, Suite 650, Houston, TX 77042  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — GMT Energy Corp.,  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

GVI Securities Solutions, Inc.  
2801 Trade Center Dr., Carrollton, TX 75007  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — GVI Security Solutions, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

HBS Technologie S.A.  
Seven boulevard Richelieu, Espace Vauban, 90000 Belfort, France  
*State or country in which incorporated* — France  
*Security(ies)* — Share of common stock  
*Issuer of security(ies)* — HBS Technologie S.A.  
*Address of issuer* — Same as above  
*State or country in which organized* — France

Heathcliff Capital Corp.  
919 Third Ave., 11th Fl., New York, NY 10022  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Dollar class P shares  
*Issuer of security(ies)* — Fairfield Dover Fund, Ltd.  
*Address of issuer* — Box 31106 SMB, Corporate Center, West Bay Rd.,  
George Town, Grand Cayman, Cayman Islands  
*State or country in which organized* — Cayman Islands

Heathcliff Capital Corp.  
919 Third Ave., 11th Fl., New York, NY 10022  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Dollar class P-1 shares  
*Issuer of security(ies)* — Fairfield Dover Fund, Ltd.  
*Address of issuer* — Box 31106 SMB, Corporate Center, West Bay Rd.,

George Town, Grand Cayman, Cayman Islands  
*State or country in which organized* — Cayman Islands

Huns Motorcycle Co.  
37 E. Main St., Bloomsburg, PA 17815  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Series A common stock  
*Issuer of security(ies)* — Huns Motorcycle Co.  
*Address of issuer* — Same as above  
*State or country in which organized* — Pennsylvania

Idetic, Inc.  
2855 Telegraph Ave., Suite 510, Berkeley, CA 94704  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Units consisting of series B preferred stock  
*Issuer of security(ies)* — Idetic, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Integrated Beverage Group, Ltd.  
Four Dubon Ct., Farmingdale, NY 11735  
*State or country in which incorporated* — New York  
*Security(ies)* — 4% promissory notes with stock options exercisable at \$2 per share  
*Issuer of security(ies)* — Integrated Beverage Group, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Integrated Beverage Group, Ltd.  
Four Dubon Ct., Farmingdale, NY 11735  
*State or country in which incorporated* — New York  
*Security(ies)* — 10% promissory notes with stock options exercisable at \$2 per share  
*Issuer of security(ies)* — Integrated Beverage Group, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

ISoldMyStuff.com, Inc.  
34 St. Martin Dr., Marlboro, MA 01752  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Senior convertible promissory notes  
*Issuer of security(ies)* — ISoldMyStuff.com, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

JCM Partners I, LP  
One Ferry Bldg., San Francisco, CA 94111  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — JCM Partners I, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Lehman Brothers Inc.; (2) UBS Securities LLC  
745 7th Ave., New York, NY 10019; (2) 677 Washington Blvd.,  
Stamford, CT 06901  
*State or country in which incorporated* — (1) Delaware; (2) Delaware  
limited liability company  
*Security(ies)* — Common stock, par value \$0.01 per share  
*Issuer of security(ies)* — Transportation Technologies Industries, Inc.  
*Address of issuer* — 980 N. Michigan Ave., Suite 1000, Chicago, IL  
60611  
*State or country in which organized* — Delaware

Leuthold Industrial Metals Fund, L.P.  
 100 N. Sixth St., Suite 412A, Minneapolis, MN 55403  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Leuthold Industrial Metals Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — United States

Leuthold Unconventional Fund, L.P.  
 100 N. Sixth St., Suite 412A, Minneapolis, MN 55403  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Leuthold Unconventional Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — United States

Malory Investments, LLC  
 520 S. Sepulveda Blvd., Suite 308, Los Angeles, CA 90049  
*State or country in which incorporated* — California  
*Security(ies)* — Working interest units  
*Issuer of security(ies)* — Mammoth Discovery Working Interest  
*Address of issuer* — One Wall St., Bldg. B, Cave City, KY 42127  
*State or country in which organized* — Kentucky

Mason #1-H Joint Venture  
 303 LBJ Fwy., #1320, Dallas, TX 75243  
*Security(ies)* — Joint venture interests  
*Issuer of security(ies)* — Mason #1-H Joint Venture  
*Address of issuer* — Same as above  
*State or country in which organized* — Texas

Method Products, Inc.  
 30 Hotaling Place, Suite 300, San Francisco, CA 94111  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Unsecured convertible promissory note and warrant  
*Issuer of security(ies)* — Method Products, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Miesen Development Corp.  
 1452 Hughes Rd., #315, Grapevine, TX 76051  
*State or country in which incorporated* — Texas  
*Security(ies)* — Working interests—Redfish Reef ST 206/131 Prospect Well  
*Issuer of security(ies)* — Miesen Development Corp.  
*Address of issuer* — Same as above  
*State or country in which organized* — Texas

MIV Therapeutics Inc.  
 1-8765 Ash St., Vancouver, BC, Canada V6P 6T3  
*State or country in which incorporated* — Nevada  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — MIV Therapeutics Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Nevada

Morgan Stanley & Co. Incorporated  
 1585 Broadway, New York, NY 10036  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class A combination securities due 2016  
*Issuer of security(ies)* — GEM VII Targeted Value and Income Fund Corp.  
*Address of issuer* — 850 Library Ave., Suite 204, Newark, DE 19711  
*State or country in which organized* — Delaware

Morgan Stanley & Co. Incorporated  
 1585 Broadway, New York, NY 10036  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class B combination securities due 2016  
*Issuer of security(ies)* — GEM VII Targeted Value and Income Fund Corp.  
*Address of issuer* — 850 Library Ave., Suite 204, Newark, DE 19711  
*State or country in which organized* — Delaware

Morgan Stanley & Co. Incorporated  
 1585 Broadway, New York, NY 10036  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class A combination securities due 2016  
*Issuer of security(ies)* — GEM VII Targeted Value and Income Fund, Limited  
*Address of issuer* — P.O. Box 309GT, Ugland House, S. Church St., George Town, Grand Cayman, Cayman Islands  
*State or country in which organized* — Cayman Islands

Morgan Stanley & Co. Incorporated  
 1585 Broadway, New York, NY 10036  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class B combination securities due 2016  
*Issuer of security(ies)* — GEM VII Targeted Value and Income Fund, Limited  
*Address of issuer* — P.O. Box 309GT, Ugland House, S. Church St., George Town, Grand Cayman, Cayman Islands  
*State or country in which organized* — Cayman Islands

Morgan Stanley & Co. Incorporated  
 1585 Broadway, New York, NY 10036  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class I floating rate senior secured notes due 2016  
*Issuer of security(ies)* — GEM VII Targeted Value and Income Fund Corp.  
*Address of issuer* — 850 Library Ave., Suite 204, Newark, DE 19711  
*State or country in which organized* — Delaware

Morgan Stanley & Co. Incorporated  
 1585 Broadway, New York, NY 10036  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class I floating rate senior secured notes due 2016  
*Issuer of security(ies)* — GEM VII Targeted Value and Income Fund, Limited  
*Address of issuer* — P.O. Box 309GT, Ugland House, S. Church St., George Town, Grand Cayman, Cayman Islands  
*State or country in which organized* — Cayman Islands

Morgan Stanley & Co. Incorporated  
 1585 Broadway, New York, NY 10036  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class IIA floating rate mezzanine secured notes due 2016  
*Issuer of security(ies)* — GEM VII Targeted Value and Income Fund Corp.  
*Address of issuer* — 850 Library Ave., Suite 204, Newark, DE 19711  
*State or country in which organized* — Delaware

Morgan Stanley & Co. Incorporated  
 1585 Broadway, New York, NY 10036  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class IIA floating rate mezzanine secured notes due 2016



*Issuer of security(ies)* — GEM VII Targeted Value and Income Fund, Limited

*Address of issuer* — P.O. Box 309GT, Ugland House, S. Church St., George Town, Grand Cayman, Cayman Islands

*State or country in which organized* — Cayman Islands

Morgan Stanley & Co. Incorporated  
1585 Broadway, New York, NY 10036

*State or country in which incorporated* — Delaware

*Security(ies)* — Class IIB fixed rate mezzanine secured notes due 2016  
*Issuer of security(ies)* — GEM VII Targeted Value and Income Fund Corp.

*Address of issuer* — 850 Library Ave., Suite 204, Newark, DE 19711

*State or country in which organized* — Delaware

Morgan Stanley & Co. Incorporated  
1585 Broadway, New York, NY 10036

*State or country in which incorporated* — Delaware

*Security(ies)* — Class IIB fixed rate mezzanine secured notes due 2016  
*Issuer of security(ies)* — GEM VII Targeted Value and Income Fund, Limited

*Address of issuer* — P.O. Box 309GT, Ugland House, S. Church St., George Town, Grand Cayman, Cayman Islands

*State or country in which organized* — Cayman Islands

Morgan Stanley & Co. Incorporated  
1585 Broadway, New York, NY 10036

*State or country in which incorporated* — Delaware

*Security(ies)* — Preferred shares  
*Issuer of security(ies)* — GEM VII Targeted Value and Income Fund Corp.

*Address of issuer* — 850 Library Ave., Suite 204, Newark, DE 19711

*State or country in which organized* — Delaware

Neurobiological Technologies, Inc.  
3260 Blume Dr., Suite 500, Richmond, CA 94806

*State or country in which incorporated* — Delaware

*Security(ies)* — Common stock

*Issuer of security(ies)* — Neurobiological Technologies, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Nisus, Inc.  
1900 W. Park Dr., Suite 150, Westborough, MA 01581

*State or country in which incorporated* — Delaware

*Security(ies)* — 2% convertible notes

*Issuer of security(ies)* — Nisus, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Old Kings Capital, L.P.  
Nine Old Kings Capital Hwy. S, Suite 300, Darien, CT 06820

*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — Old Kings Capital, L.P.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

OppenheimerFunds Distributor, Inc.  
225 Liberty St., 11th Fl., New York, NY 10281-1008

*State or country in which incorporated* — New York

*Security(ies)* — Capital stock, par value \$.10 (classes A shares)

*Issuer of security(ies)* — Oppenheimer Money Market Fund, Inc.

*Address of issuer* — 6803 S. Tucson Way, P.O. Box 5061, Denver, CO

80217

*State or country in which organized* — Maryland

OppenheimerFunds Distributor, Inc.  
225 Liberty St., 11th Fl., New York, NY 10281-1008

*State or country in which incorporated* — New York

*Security(ies)* — Capital stock, par value \$.10 (classes Y shares)

*Issuer of security(ies)* — Oppenheimer Money Market Fund, Inc.

*Address of issuer* — 6803 S. Tucson Way, P.O. Box 5061, Denver, CO 80217

*State or country in which organized* — Maryland

Orange Corner Limited Partnership, LLLP  
100 Village Sq. Crossing, Suite 104, Palm Beach Gardens, FL 33410

*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — Orange Corner Limited Partnership, LLLP

*Address of issuer* — Same as above

*State or country in which organized* — Florida

OyaGen, Inc.  
500 Linden Oaks, Rochester, NY 14625

*State or country in which incorporated* — Delaware

*Security(ies)* — Series A preferred stock

*Issuer of security(ies)* — OyaGen, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Palm Beach Finance II, L.P.  
940 Tahoe Blvd., Incline Village, NV 89451

*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — Palm Beach Finance II, L.P.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Phillips Edison Limited Partnership  
11690 Grooms Rd., Cincinnati, OH 45242

*Security(ies)* — Convertible notes

*Issuer of security(ies)* — Phillips Edison Limited Partnership

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Pine Street Capital Partners, L.P.  
c/o Pine Street Capital Partners LLC, 99 Pine St., Albany, NY 12207

*Security(ies)* — One unit consisting of limited partnership interests and 9% senior notes

*Issuer of security(ies)* — Pine Street Capital Partners, L.P.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Post Capital Equity Partners LLC  
c/o Loeb & Loeb LLP, Attn: Lloyd L. Rothenberg, 345 Park Ave., New York, NY 10154

*Security(ies)* — Limited liability company interests

*Issuer of security(ies)* — Post Capital Equity Partners LLC

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

ProFunds—UltraShort Dow 30 ProFund  
BISYS 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012

*Security(ies)* — Shares of beneficial interest

*Issuer of security(ies)* — ProFunds Distributors, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Proto Software, Inc.  
 174 Broadway, Suite 3, Brooklyn, NY 11211  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Proto Software, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

QED Fund Limited  
 c/o M&C Corporate Services Ltd., P.O. Box 309 GT, Ugland House,  
 S. Church St., George Town, Grand Cayman, Cayman Islands  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Euro shares - participating shares  
*Issuer of security(ies)* — QED Fund Limited  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

QED Fund Limited  
 c/o M&C Corporate Services Ltd., P.O. Box 309 GT, Ugland House,  
 S. Church St., George Town, Grand Cayman, Cayman Islands  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Sterling shares - participating shares  
*Issuer of security(ies)* — QED Fund Limited  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

QED Fund Limited  
 c/o M&C Corporate Services Ltd., P.O. Box 309 GT, Ugland House,  
 S. Church St., George Town, Grand Cayman, Cayman Islands  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — U.S. \$ shares - participating shares  
*Issuer of security(ies)* — QED Fund Limited  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

RBR Capital, L.P.  
 153 E. 53rd St., New York, NY 10022  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — RBR Capital, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

RedPrairie Corporation  
 20700 Swenson Dr., Waukesha, WI 53186  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — RedPrairie Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

RSM, L.P.  
 17 Westover Rd., Slingerlands, NY 12159  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — RSM, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Rush Financial Technologies, Inc.  
 13355 Noel Rd., Suite 300, Dallas, TX 75240  
*State or country in which incorporated* — Texas  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Rush Financial Technologies, Inc.

*Address of issuer* — Same as above  
*State or country in which organized* — Texas

SEI Investments Distribution Co.  
 One Freedom Valley Rd., Oaks, PA 19456  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Units of beneficial interest  
*Issuer of security(ies)* — STI Classic Funds—Florida Tax-Exempt Bond Fund  
*Address of issuer* — 101 Federal St., Boston, MA 02110  
*State or country in which organized* — Massachusetts

Shogun Fund, L.P.  
 c/o ZERON Capital Management, Inc., 117 E. 57th St., New York, NY 10022  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — SHOGUN Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Silver Creek Low Vol Strategies II, L.P.  
 1301 Fifth Ave., 40th Fl., Seattle, WA 98101  
*Security(ies)* — Class A limited partnership interests  
*Issuer of security(ies)* — Silver Creek Low Vol Strategies II, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Simons Broadcasting, LP  
 510 N. Valley Mills Dr., Suite 407, #8, Waco, TX 76710  
*Security(ies)* — Options to acquire limited partnership interests  
*Issuer of security(ies)* — Simons Broadcasting, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — United States

Skyscraper Management Corp.  
 95 Broadhollow Rd., Suite 95, Melville, NY 11747  
*State or country in which incorporated* — New York  
*Security(ies)* — Condominium units in Farmers Boulevard Condominium  
*Issuer of security(ies)* — Skyscraper Management Corp.  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Spencer Trask Ventures, Inc.  
 535 Madison Ave., New York, NY 10022  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Units, each consisting of a \$100,000 principal amount 12% convertible promissory note with a warrant to purchase 50,000 shares of common stock  
*Issuer of security(ies)* — Prescient Medical Corporation  
*Address of issuer* — 787 Seventh Ave., 9th Fl., New York, NY 10019  
*State or country in which organized* — Delaware

Tectura Corporation  
 1230 W. Washington St., Suite 111, Tempe, AZ 85281  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series B preferred stock  
*Issuer of security(ies)* — Tectura Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Toreador Resources Corporation; (2) RP&C International (Securities) Inc.

4809 Cole Ave., Suite 108, Dallas, TX 75205; (2) 565 Fifth Ave., 22nd Fl., New York, NY 10017

*State or country in which incorporated* — (1) Delaware; (2) Texas

*Security(ies)* — Convertible subordinated debentures with warrants

*Issuer of security(ies)* — Treador Resources Corporation

*Address of issuer* — 4809 Cole Ave., Suite 108, Dallas, TX 75205

*State or country in which organized* — Delaware

Torigian & Williamson, LLC

108 Newport Lane, Ponte Vedra Beach, FL 32082

*State or country in which incorporated* — Delaware limited liability company

*Security(ies)* — Nonvoting limited liability company interest

*Issuer of security(ies)* — Torigian & Williamson, LLC

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

USA Technologies, Inc.

100 Deerfield Lane, Suite 140, Malvern, PA 19355

*State or country in which incorporated* — Pennsylvania

*Security(ies)* — Warrants

*Issuer of security(ies)* — USA Technologies, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Pennsylvania

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

*State or country in which incorporated* — Delaware

*Security(ies)* — 75,000 units of undivided interest

*Issuer of security(ies)* — Insured Municipals Income Trust, Discount Series 15

*Address of issuer* — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

*State or country in which organized* — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

*State or country in which incorporated* — Delaware

*Security(ies)* — 75,000 units of undivided interest

*Issuer of security(ies)* — Van Kampen Unit Trusts, Municipal Series 514 (New York Insured Municipals Income Trust, Series 175)

*Address of issuer* — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

*State or country in which organized* — New York

