

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

5400 Westheimer Holding, L.P.
Seven Bulfinch Place, Suite 500, Boston, MA 02114
Partnership — FT-5400 Westheimer LLC

Acero TMT Fund, LP
One Liberty Plaza, 23rd Fl., New York, NY 10006
Partnership — Acero Capital GP, LLC

Advance Solutions Partners III, LLC
998C Old Country Rd., Mailbox #273, Plainview, NY 11803

Alternative Energy Capital, LLC
850 Third Ave., New York, NY 10022
State or country in which incorporated — Delaware

Asset Alliance Navigator Fund, Ltd.
c/o Walkers SPV Limited, P.O. Box 908GT, Walker House, Mary St.,
Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands

Behringer Harvard Pratt S, LLC
15601 Dallas Pkwy., Suite 600, Addison, TX 75001

Berrett-Koehler Group, Inc., The
235 Montgomery St., Suite 650, San Francisco, CA 94104-2916
State or country in which incorporated — California

Bivens #1 Joint Venture
1848 Norwood Plaza, Suite 109, Hurst, TX 76054

Black Oak Capital, LLC
106 W. 76th St., Suite 3A, New York, NY 10023

British Energy Group PLC
Three Redwood Crescent, Peel Park, East Kilbride, Scotland G74 5PR
State or country in which incorporated — Scotland

Broadlawn Partners, LP
40 Cuttermill Rd., Suite 402, Great Neck, NY 11021
Partnership — Broadlawn Advisors, LLC

Broadway Rodeo Priority Investors LP
c/o Priority Investors LLC, 400 E. 57th St., New York, NY 10022
Partnership — Priority Investors LLC

C.E. Unterberg, Towbin, LLC
350 Madison Ave., New York, NY 10017
State or country in which incorporated — Delaware

Cardinal Partners 2000, L.P.
500 Crescent Ct., Suite 250, Dallas, TX 75201
Partnership — Cardinal Investment Company, Inc.

Caveat Emptor Fund I, L.P.
654 Madison Ave., Suite 1606, New York, NY 10021
Partnership — CEGP, LLC

Coronat International Equity Fund, L.P.
650 Fifth Ave., 6th Fl., New York, NY 10019
Partnership — Coronat Capital Partners, LLC

Clearant, Inc.
11111 Santa Monica Blvd., Suite 650, Los Angeles, CA 90025
State or country in which incorporated — California

Crown Financial Group, Inc.
525 Washington Blvd., Jersey City, NJ 07310
State or country in which incorporated — New Jersey

Cruse Sands Drilling Project F, L.P.
c/o Mark Gasarch, Esq., 150 E. 58th St., 34th Fl., New York, NY 10155
State or country in which incorporated — Petro-Suisse Ltd., Mark
Gaswarch

Deccan Global Fund, LP
One Ferry Bldg., Suite 255, San Francisco, CA 94111
Partnership — Deccan Global Advisors LLC, general partner

Dermisonics, Inc.
Four Tower Bridge, 200 Bar Harbor Dr., West Conshohocken, PA
19428
State or country in which incorporated — Nevada

Dornoch Opportunity Fund L.P.
35 Airport Rd., Suite 150, Morristown, NJ 07960
Partnership — Dornoch Holdings, LLC

eAppeals LLC
4000 Hollywood Blvd., Suite 160N, Hollywood, FL 33021
State or country in which incorporated — Delaware

EP Power, Inc.
41 Watchung Plaza, #386, Montclair, NJ 07042
State or country in which incorporated — Delaware

Einstitute, Inc.
2033 St. Paul Ave., Bronx, NY 10461
State or country in which incorporated — New York

Empire Financial Group, Inc.
2170 W. State Rd. 434, Suite 100, Longwood, FL 32779

Everest Capital Japan Long/Short, L.P.
c/o Citco Fund Services (U.S.A.) Inc., 350 Madison Ave., 12th Fl., New York, NY 10017
Partnership — Everest Capital Limited

Express Cycle Service Inc.
236 Godfrey Rd., Palm Bay, FL 32909
State or country in which incorporated — Florida

First National Lincoln Corporation
223 Main St., P.O. Box 940, Damariscotta, ME 04543-0940
State or country in which incorporated — Maine

Fremont Bancorporation
39150 Fremont Blvd., Fremont, CA 94538
State or country in which incorporated — California

Gatco Technologies, Inc.
55 Schanck Rd., Freehold, NJ 07728
State or country in which incorporated — Delaware

Genesis Financial Solutions, Inc.
8705 SW. Nimbus Ave., Suite 300, Beaverton, OR 97008
State or country in which incorporated — Delaware

Hirst Chimera Institutional Fund, LP
100 Colonial Center Pkwy., Suite 140, Lake Mary, FL 32746
Partnership — Hirst Fund Management LLC

Harbert Fixed Income Spread Opportunities Fund, L.P.
555 Madison Ave., 16th Fl., New York, NY 10022
Partnership — HMC Fixed Income Spread Opportunities Fund GP, L.L.C.

Idaho Bancorp.
P.O. Box 44629, Boise, ID 83704
State or country in which incorporated — Idaho

JA Holding, Inc.
c/o J.W. Childs Associates, L.P., 111 Huntington Ave., Suite 2900, Boston, MA 01299
State or country in which incorporated — Delaware

Land Company #1 Voint Venture
1848 Norwood Plaza, Suite 109, Hurst, TX 76054
Partnership — EnerMax, Inc., managing venturer

LaSalle Asia Opportunity Fund II, L.P.
33 Cavendish Sq., P.O. Box 2326, London, England W1A 2NF
Partnership — LaSalle Asia Opportunity II GP LLC

Lock Capital Fund LP
440 Main St., Ridgefield, CT 06877
Partnership — Lock Capital Management LLC

Macquarie Infrastructure Bermuda Limited
Rosebank Centre, 11 Bermudiana Rd., HM08, Bermuda
State or country in which incorporated — Bermuda

MTB Institutional Partners, L.P.
237 Park Ave., Suite 900, New York, NY 10017
Partnership — MTB Capital LLC

Murphy & Partners Fund III, L.P.
45 Rockefeller Plaza, New York, NY 10111
Partnership — M&P III LLC

North Arrows—ISE Investment LLC
c/o North Arrows—ISE Capital LLC, 10 Mountainview Rd., Upper Saddle River, NJ 07458
State or country in which incorporated — Delaware

NSV Partners II-A, L.P.
330 Madison Ave., New York, NY 10017
Partnership — New Science Ventures LLC, general partner

NSV Partners III-A, L.P.
330 Madison Ave., New York, NY 10017
Partnership — New Science Ventures LLC, general partner

Private Advisors Small Company Buyout Fund II, L.P.
1801 Bayberry Ct., Suite 303, Richmond, VA 23226
Partnership — Private Advisors, LLC

Private Advisors Stable Value Erisa Fund, Ltd.
P.O. Box 32021, SMB Anchorage Centre, George Town, Grand Cayman, Cayman Islands, BWI
State or country in which incorporated — Cayman Islands

Progenitor Cell Therapy, LLC
292 Atlantic St., 3rd Fl., Hackensack, NY 07601
State or country in which incorporated — Delaware

RS Property Fund V LLC
388 Market St., Suite 1700, San Francisco, CA 94111
State or country in which incorporated — Delaware limited liability company

Sarofim Multifamily Partners, L.P.
8201 Preston Rd., Suite 300, Dallas, TX 75225
Partnership — Sarafim Multifamily Investors, L.L.C.

Skylands Quest LLC
1200 N. Mayfair Rd., Suite 250, Milwaukee, WI 53226
State or country in which incorporated — Wisconsin

Skylands Special Investment LLC
1200 N. Mayfair Rd., Suite 250, Milwaukee, WI 53226
State or country in which incorporated — Wisconsin

S&Z Long/Short I, L.P.
514 Larkfield Rd., Suite 6, East Northport, NY
Partnership — S&Z Advisors, LLC

Tessera Fund, L.P.
3033 E. First Ave., #307, Denver, CO 80206
State or country in which incorporated — Delaware

Townhouse Media, LLC
146 Baltic St., Brooklyn, NY 11201

State or country in which incorporated — New York limited liability company

Trillium Lakefront Partners III Leadership Council, LP
500 Linden Oaks, Rochester, NY 14625
Partnership — TLP III Managing GP LLC, general partner

USA Preston Center Pavilion, LP
111 Corporate Dr., Suite 210, Ladera Ranch, CA 92694
Partnership — USA Preston Center Pavilion GP, LLC

USAA Investment Management Company
9800 Fredericksburg Rd., A-3-W, San Antonio, TX 78288-0227
State or country in which incorporated — Massachusetts

Whitebox Intermarket Fund, L.P.
3033 Excelsior Blvd., Suite 300, Minneapolis, MN 55416
Partnership — Whitebox Intermarket Advisors, LLC

Winslow Fixed Income Alternative 1, L.P.
1401 Washington St., Hoboken, NJ 07030
Partnership — Winslow Multi Strategy Genpar, Inc.

WMA Properties, LLC
8816 Six Forks Rd., Suite 201, Raleigh, NC 27615
State or country in which incorporated — Delaware limited liability company

ZS AUL L.P.
54 Morris Lane, Scarsdale, NY 10583
Partnership — ZS AUL L.L.C., sole general partner

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY
DEALERS; BROKERS;
SYNDICATE MANAGERS

1914 Holding Company
715 Kirk Dr., Kansas City, MO 64105
State or country in which incorporated — Delaware
Security(ies) — Common stock, par value \$0.01
Issuer of security(ies) — 1914 Holding Company
Address of issuer — Same as above
State or country in which organized — Delaware

5400 Westheimer Holding, L.P.
Seven Bulfinch Place, Suite 500, Boston, MA 02114
Security(ies) — Partnership interests
Issuer of security(ies) — 5400 Westheimer Holding, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Acero TMT Fund, LP
One Liberty Plaza, 23rd Fl., New York, NY 10006
Security(ies) — Limited partnership interests
Issuer of security(ies) — Acero TMT Fund, LP

Address of issuer — Same as above
State or country in which organized — Delaware

Advance Solutions Partners III, LLC
998C Old Country Rd., Mailbox #273, Plainview, NY 11803
Security(ies) — Limited liability company interests
Issuer of security(ies) — Advance Solutions Partners III, LLC
Address of issuer — Same as above
State or country in which organized — New York

Alternative Energy Capital, LLC
850 Third Ave., New York, NY 10022
Security(ies) — Membership interests
Issuer of security(ies) — Alternative Energy Capital, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

American Landmark Securities Inc.
433 E. Las Colinas Blvd., Suite 805, Irving, TX 75039
State or country in which incorporated — Texas
Security(ies) — Prodigy #16 Partnership, L.P.
Issuer of security(ies) — Prodigy Oil and Gas LLC
Address of issuer — Same as above
State or country in which organized — Texas

Asset Alliance Navigator Fund, Ltd.
c/o Walkers SPV Limited, P.O. Box 908GT, Walker House, Mary St., Grand Cayman, Cayman Islands
Security(ies) — Class A—new issue shares
Issuer of security(ies) — Asset Alliance Navigator Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Asset Alliance Navigator Fund, Ltd.
c/o Walkers SPV Limited, P.O. Box 908GT, Walker House, Mary St., Grand Cayman, Cayman Islands
Security(ies) — Class B—new issue shares
Issuer of security(ies) — Asset Alliance Navigator Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Asset Alliance Navigator Fund, Ltd.
c/o Walkers SPV Limited, P.O. Box 908GT, Walker House, Mary St., Grand Cayman, Cayman Islands
Security(ies) — Class A—non-new issue shares
Issuer of security(ies) — Asset Alliance Navigator Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Asset Alliance Navigator Fund, Ltd.
c/o Walkers SPV Limited, P.O. Box 908GT, Walker House, Mary St., Grand Cayman, Cayman Islands
Security(ies) — Class B—non-new issue shares
Issuer of security(ies) — Asset Alliance Navigator Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Banc of America Securities LLC; (2) Citigroup Global Markets Inc.
Nine W. 57th St., New York, NY 10019; (2) 388 Greenwich St., New York, NY 10013
State or country in which incorporated — (1) Delaware LLC; (2) New York
Security(ies) — Common stock

Issuer of security(ies) — Spirit Finance Corporation
Address of issuer — 14631 N. Scottsdale Rd., Suite 200, Scottsdale, AZ 85260
State or country in which organized — Maryland

Behringer Harvard Pratt S, LLC
 15601 Dallas Pkwy., Suite 600, Addison, TX 75001
Security(ies) — TIC interests in 250 West Pratt
Issuer of security(ies) — Behringer Harvard Pratt S, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Berrett-Koehler Group, Inc., The
 235 Montgomery St., Suite 650, San Francisco, CA 94104-2916
Security(ies) — Share of common stock, no par value
Issuer of security(ies) — The Berrett-Koehler Group, Inc.
Address of issuer — Same as above
State or country in which organized — California

Bivens #1 Joint Venture
 1848 Norwood Plaza, Suite 109, Hurst, TX 76054
Security(ies) — General partnership interests
Issuer of security(ies) — Bivens #1 Joint Venture
Address of issuer — Same as above
State or country in which organized — Texas

Black Oak Capital, LLC
 106 W. 76th St., Suite 3A, New York, NY 10023
Security(ies) — Limited liability company interests
Issuer of security(ies) — Black Oak Capital, LLC
Address of issuer — Same as above
State or country in which organized — New York

British Energy Group PLC
 Three Redwood Crescent, Peel Park, East Kilbride, Scotland G74 5PR
State or country in which incorporated — Scotland
Security(ies) — Ordinary shares
Issuer of security(ies) — British Energy Group PLC
Address of issuer — Same as above
State or country in which organized — Scotland

British Energy Group PLC
 Three Redwood Crescent, Peel Park, East Kilbride, Scotland G74 5PR
State or country in which incorporated — Scotland
Security(ies) — Warrants to purchase ordinary shares
Issuer of security(ies) — British Energy Group PLC
Address of issuer — Same as above
State or country in which organized — Scotland

Broadlawn Partners, LP
 40 Cuttermill Rd., Suite 402, Great Neck, NY 11021
Security(ies) — Limited partnership interests
Issuer of security(ies) — Broadlawn Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Broadway Rodeo Priority Investors LP
 c/o Priority Investors LLC, 400 E. 57th St., New York, NY 10022
Security(ies) — Partnership interests
Issuer of security(ies) — Broadway Rodeo Priority Investors LP
Address of issuer — Same as above
State or country in which organized — Delaware

Cardinal Partners 2000, L.P.
 500 Crescent Ct., Suite 250, Dallas, TX 75201
Security(ies) — Limited partnership interests
Issuer of security(ies) — Cardinal Partners 2000, L.P.
Address of issuer — Same as above
State or country in which organized — Texas

Caveat Emptor Fund I, L.P.
 654 Madison Ave., Suite 1606, New York, NY 10021
Security(ies) — Limited partnership interests
Issuer of security(ies) — Caveat Emptor Fund I, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

C.E. Unterberg, Towbin, LLC; (2) Clearant, Inc.
 350 Madison Ave., New York, NY 10017; (2) 11111 Santa Monica Blvd., Suite 650, Los Angeles, CA 90025
State or country in which incorporated — (1) Delaware; (2) California
Security(ies) — Common stock issuable upon exercise of warrants
Issuer of security(ies) — Clearant, Inc.
Address of issuer — 11111 Santa Monica Blvd., Suite 650, Los Angeles, CA 90025
State or country in which organized — California

C.E. Unterberg, Towbin, LLC; (2) Clearant, Inc.
 350 Madison Ave., New York, NY 10017; (2) 11111 Santa Monica Blvd., Suite 650, Los Angeles, CA 90025
State or country in which incorporated — (1) Delaware; (2) California
Security(ies) — Senior notes
Issuer of security(ies) — Clearant, Inc.
Address of issuer — 11111 Santa Monica Blvd., Suite 650, Los Angeles, CA 90025
State or country in which organized — California

C.E. Unterberg, Towbin, LLC; (2) Clearant, Inc.
 350 Madison Ave., New York, NY 10017; (2) 11111 Santa Monica Blvd., Suite 650, Los Angeles, CA 90025
State or country in which incorporated — (1) Delaware; (2) California
Security(ies) — Warrants to purchase common stock
Issuer of security(ies) — Clearant, Inc.
Address of issuer — 11111 Santa Monica Blvd., Suite 650, Los Angeles, CA 90025
State or country in which organized — California

Claymore Securities, Inc.
 2455 Corporate West Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — IQ/Claymore Municipal (6-10 Years) Portfolio, Series 1
Address of issuer — c/o Claymore Securities, Inc., 2455 Corporate West Dr., Lisle, IL 60532
State or country in which organized — Kansas

Claymore Securities, Inc.
 2455 Corporate West Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — IQ/Claymore Municipal (10-15 Years) Portfolio, Series 3
Address of issuer — c/o Claymore Securities, Inc., 2455 Corporate West Dr., Lisle, IL 60532
State or country in which organized — Kansas

Claymore Securities, Inc.
2455 Corporate West Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — IQ/Claymore New York Municipal Portfolio (10-15 Years), Series 3
Address of issuer — c/o Claymore Securities, Inc., 2455 Corporate West Dr., Lisle, IL 60532
State or country in which organized — Kansas

Claymore Securities, Inc.
2455 Corporate West Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Multiple Asset Portfolio, Series 2
Address of issuer — c/o Claymore Securities, Inc., 2455 Corporate West Dr., Lisle, IL 60532
State or country in which organized — Kansas

Claymore Securities, Inc.
2455 Corporate West Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Peroni Top Ten Picks Portfolio, 2005 Series
Address of issuer — c/o Claymore Securities, Inc., 2455 Corporate West Dr., Lisle, IL 60532
State or country in which organized — Kansas

CNL Hotels & Resorts, Inc.
CNL Center at City Commons, 450 S. Orange Ave., Orlando, FL 32801
State or country in which incorporated — Maryland
Security(ies) — Shares of common stock under amended and restated reinvestment plan
Issuer of security(ies) — CNL Hotels & Resorts, Inc.
Address of issuer — Same as above
State or country in which organized — Maryland

Coronat International Equity Fund, LP
650 Fifth Ave., 6th Fl., New York, NY 10019
Security(ies) — Limited partnership interests
Issuer of security(ies) — Coronat International Equity Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Crown Financial Group, Inc.
525 Washington Blvd., Jersey City, NJ 07310
State or country in which incorporated — New Jersey
Security(ies) — Common stock
Issuer of security(ies) — Crown Financial Group, Inc.
Address of issuer — Same as above
State or country in which organized — New Jersey

Cruse Sands Drilling Project F, L.P.
c/o Mark Gasarch, Esq., 150 E. 58th St., 34th Fl., New York, NY 10155
Security(ies) — Limited partnership interests
Issuer of security(ies) — Cruse Sands Drilling Project F, L.P.
Address of issuer — Same as above
State or country in which organized — New York

DataCore Software Corporation
6300 NW. 5th Way, Fort Lauderdale, FL 33309
State or country in which incorporated — Florida
Security(ies) — Common stock

Issuer of security(ies) — DataCort Software Corporation
Address of issuer — Same as above
State or country in which organized — Florida

Davis Distributors, LLC
124 E. Marcy St., Santa Fe, NM 87501
State or country in which incorporated — Delaware
Security(ies) — Shares of common stock
Issuer of security(ies) — Davis New York Venture Fund, Inc.—Davis Global Fund
Address of issuer — 2949 E. Elvira Rd., Suite 101, Tucson, AZ 85706
State or country in which organized — Maryland

Deccan Global Fund, LP
One Ferry Bldg., Suite 255, San Francisco, CA 94111
Security(ies) — Limited partnerships
Issuer of security(ies) — Deccan Global Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Dermisonics, Inc.
Four Tower Bridge, 200 Bar Harbor Dr., West Conshohocken, PA 19428
State or country in which incorporated — Nevada
Security(ies) — 10% debentures
Issuer of security(ies) — Dermisonics, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

DLJV 2004-6
2761 Salt Springs Rd., Youngstown, OH 44509
Security(ies) — Units of joint venture interest
Issuer of security(ies) — DLJV 2004-6
Address of issuer — Same as above
State or country in which organized — Ohio

eAppeals LLC
4000 Hollywood Blvd., Suite 160N, Hollywood, FL 33021
State or country in which incorporated — Delaware
Security(ies) — Units consisting of secured promissory notes and warrants
Issuer of security(ies) — eAppeals LLC
Address of issuer — Same as above
State or country in which organized — Delaware

EC Power, Inc.
41 Watchung Plaza, #386, Montclair, NJ 07042
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — EC Power, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Einstitute, Inc.
2033 St. Paul Ave., Bronx, NY 10461
State or country in which incorporated — New York
Security(ies) — Series A preferred stock
Issuer of security(ies) — Einstitute, Inc.
Address of issuer — Same as above
State or country in which organized — New York

Empire Financial Group Inc.
2170 W. State Rd. 434, Suite 100, Longwood, FL 32779
Security(ies) — Corporate note with right to convert to common stock

Issuer of security(ies) — NGAS Resources, Inc.
Address of issuer — 120 Prosperous Place, Suite 201, Lexington, KY 40509
State or country in which organized — British Columbia, Canada

Everest Capital Japan Long/Short, L.P.
 c/o Citco Fund Services (U.S.A.) Inc., 350 Madison Ave., 12th Fl., New York, NY 10017

Security(ies) — Limited partnership interests
Issuer of security(ies) — Everest Capital Japan Long/Short, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Exelauno Fund, LLC, The
 24 Field Point Rd., Greenwich, CT 06830
State or country in which incorporated — Delaware
Security(ies) — Restricted class of limited liability company interests
Issuer of security(ies) — Exelauno Fund, LLC, The
Address of issuer — Same as above
State or country in which organized — Delaware

Exelauno Fund, LLC, The
 24 Field Point Rd., Greenwich, CT 06830
State or country in which incorporated — Delaware
Security(ies) — Unrestricted class of limited liability company interests
Issuer of security(ies) — Exelauno Fund, LLC, The
Address of issuer — Same as above
State or country in which organized — Delaware

Express Cycle Service Inc.
 236 Godfrey Rd., Palm Bay, FL 32909
State or country in which incorporated — Florida
Security(ies) — Offering of common stock
Issuer of security(ies) — Express Cycle Service Inc.
Address of issuer — Same as above
State or country in which organized — Florida

Express Cycle Service Inc.
 236 Godfrey Rd., Palm Bay, FL 32909
State or country in which incorporated — Florida
Security(ies) — Offering of convertible promissory notes
Issuer of security(ies) — Express Cycle Service Inc.
Address of issuer — Same as above
State or country in which organized — Florida

firstRain, Inc.
 134 W. 29th St., Second Fl., New York, NY 10001
State or country in which incorporated — Delaware
Security(ies) — Options to purchase common stock
Issuer of security(ies) — firstRain, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

First National Lincoln Corporation
 233 Main St., P.O. Box 940, Damariscotta, ME 04543-0940
State or country in which incorporated — Maine
Security(ies) — Common stock
Issuer of security(ies) — First National Lincoln Corporation
Address of issuer — Same as above
State or country in which organized — Maine

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 898 (The DowSM Target 10 Portfolio, December 2004 Series)
Address of issuer — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 916 (Global Dividend Portfolio, Series 2)
Address of issuer — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 920 (High-Yield Closed-End Portfolio, Series 9)
Address of issuer — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 920 (Municipal Closed-End Portfolio, Series 11)
Address of issuer — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 923 (Equity Dividend Covered Call Portfolio, Series 3)
Address of issuer — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 924 (The DowSM Target 10 Covered Call Portfolio, Series 4)
Address of issuer — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

Fixed Income Securities, L.P.
 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — Advisor's Disciplined Trust 25 (Municipal Closed-End Portfolio, Series 2)
Address of issuer — c/o Fixed Income Securities, L.P., 18925 Base Camp Rd. Suite 203, Monument, CO 80132-3415
State or country in which organized — New York

Fremont Bancorporation
 39150 Fremont Blvd., Fremont, CA 94538
Security(ies) — Common stock

Issuer of security(ies) — Fremont Bancorporation
Address of issuer — Same as above
State or country in which organized — California

Gatco Technologies, Inc.
 55 Schanck Rd., Freehold, NJ 07728
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Gatco Technologies, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Genesis Financial Solutions, Inc.
 8705 SW. Nimbus Ave., Suite 300, Beaverton, OR 97008
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Genesis Financial Solutions, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Goldman, Sachs & Co.; (2) UBS Securities LLC; (3) Banc of America Securities LLC; (4) J.P. Morgan Securities Inc.; (5) Merrill Lynch, Pierce, Fenner & Smith Incorporated
 85 Broad St., New York, NY 10004; (2) 677 Washington Blvd., Stamford, CT 06901; (3) Nine W. 57th St., New York, NY 10019; (4) 270 Park Ave., New York, NY 10017; (5) Four World Financial Center, New York, NY 10281
State or country in which incorporated — (1) New York partnership; (2)-(3) Delaware limited liability company; (4)-(5) Delaware
Security(ies) — Common stock
Issuer of security(ies) — Knoll, Inc.
Address of issuer — 1235 Water St., East Greenville, PA 18041
State or country in which organized — Delaware

Goldman, Sachs & Co.; (2) Morgan Stanley & Co. Incorporated; (3) Deutsche Bank Securities Inc.; (4) Citigroup Global Markets Inc.
 85 Broad St., New York, NY 10004; (2) 1585 Broadway, New York, NY 10036; (3) 60 Wall St., New York, NY 10005; (4) 388 Greenwich St., New York, NY 10013
State or country in which incorporated — (1) New York partnership; (2)-(3) Delaware; (4) New York
Security(ies) — __% senior notes due 2014
Issuer of security(ies) — Texas Genco Financing Corp.
Address of issuer — 12301 Kurland Dr., 4th Fl., Houston, TX 77034
State or country in which organized — Delaware

Goldman, Sachs & Co.; (2) Morgan Stanley & Co. Incorporated; (3) Deutsche Bank Securities Inc.; (4) Citigroup Global Markets Inc.
 85 Broad St., New York, NY 10004; (2) 1585 Broadway, New York, NY 10036; (3) 60 Wall St., New York, NY 10005; (4) 388 Greenwich St., New York, NY 10013
State or country in which incorporated — (1) New York partnership; (2)-(3) Delaware; (4) New York
Security(ies) — __% senior notes due 2014
Issuer of security(ies) — Texas Genco LLC
Address of issuer — 12301 Kurland Dr., 4th Fl., Houston, TX 77034
State or country in which organized — Delaware

Harbert Fixed Income Spread Opportunities Fund, L.P.
 555 Madison Ave., 16th Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Harbert Fixed Income Spread Opportunities Fund, L.P.

Address of issuer — Same as above
State or country in which organized — Delaware

Hartford HLS Series Fund II, Inc.
 200 Hopmeadow St., Simsbury, CT 06089
Security(ies) — Shares of common stock
Issuer of security(ies) — Hartford HLS Series Fund II, Inc.—Hartford SmallCap Growth HLS Fund
Address of issuer — 200 Hopmeadow St., Simsbury, CT 06089
State or country in which organized — Maryland

Hirst Chimera Institutional Fund, LP
 100 Colonial Center Pkwy., Suite 140, Lake Mary, FL 32746
Security(ies) — Partnership interests
Issuer of security(ies) — Hirst Chimera Institutional Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Idaho Bancorp.
 P.O. Box 44629, Boise, ID 83704
State or country in which incorporated — Idaho
Security(ies) — Common stock
Issuer of security(ies) — Idaho Bancorp.
Address of issuer — Same as above
State or country in which organized — Idaho

JA Holding, Inc.
 c/o J.W. Childs Associates, L.P., 111 Huntington Ave., Suite 2900, Boston, MA 01299
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — JA Holding, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

JA Holding, Inc.
 c/o J.W. Childs Associates, L.P., 111 Huntington Ave., Suite 2900, Boston, MA 01299
State or country in which incorporated — Delaware
Security(ies) — Options to purchase common stock
Issuer of security(ies) — JA Holding, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

John Hancock Funds, LLC
 101 Huntington Ave., Boston, MA 02199-7603
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest without
Issuer of security(ies) — John Hancock Equity Trust—John Hancock Small Cap Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

J.P. Morgan Securities Inc.; (2) Credit Suisse First Boston LLC; (3) Goldman, Sachs & Co.; (4) Banc of America Securities LLC
 270 Park Ave., New York, NY 10017; (2) 11 Madison Ave., New York, NY 10010; (3) 85 Broad St., New York, NY 10004; (4) Nine W. 57th St., New York, NY 10019
State or country in which incorporated — (1) Delaware; (2), (4) Delaware limited liability company; (3) New York partnership
Security(ies) — __% senior notes due 2014
Issuer of security(ies) — WDAC Subsidiary Corp.
Address of issuer — c/o The Corporation Trust Company, Corporation

Trust Center, 1209 Orange St., Wilmington, DE 19801
State or country in which organized — Delaware

Land Company #1 Joint Venture
 1848 Norwood Plaza, Suite 109, Hurst, TX 76054
Security(ies) — General partnership interests
Issuer of security(ies) — Land Company #1 Joint Venture
Address of issuer — Same as above
State or country in which organized — Texas

LaSalle Asia Opportunity Fund II, L.P.
 33 Cavendish Sq., P.O. Box 2326, London, England W1A 2NF
Security(ies) — Limited partnership interests
Issuer of security(ies) — LaSalle Asia Opportunity Fund II, L.P.
Address of issuer — Same as above
State or country in which organized — England and Wales

Lock Capital Fund LP
 440 Main St., Ridgefield, CT 06877
Security(ies) — Limited partnership interests
Issuer of security(ies) — Lock Capital Fund LP
Address of issuer — Same as above
State or country in which organized — Delaware

Macquarie Infrastructure Bermuda Limited
 Roseback Centre, 11 Bermudiana Rd., HM08, Bermuda
State or country in which incorporated — Bermuda
Security(ies) — Ordinary shares
Issuer of security(ies) — Macquarie Infrastructure Bermuda Limited
Address of issuer — Same as above
State or country in which organized — Bermuda

Media and Entertainment.com, Inc.
 10120 S. Eastern Ave., Suite 200, Henderson, NV 89052
Security(ies) — Common stock, par value \$.001 per share
Issuer of security(ies) — Media and Entertainment.com, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

MTB Institutional Partners, L.P.
 237 Park Ave., Suite 900, New York, NY 10017
Security(ies) — Limited partnership interests
Issuer of security(ies) — MTB Institutional Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Murphy & Partners Fund III, L.P.
 45 Rockefeller Plaza, New York, NY 10111
Security(ies) — Limited partnership interests
Issuer of security(ies) — Murphy & Partners III, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Nexxar Group, Inc.
 140 Ridgewood Ave., Paramus, NJ 07652
State or country in which incorporated — Delaware
Security(ies) — Options to purchase class B common stock
Issuer of security(ies) — Nexxar Group, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Nittany Financial Corp.
 116 E. College Ave., State College, PA 16801
State or country in which incorporated — Commonwealth of Pennsyl-

vania
Security(ies) — Common stock
Issuer of security(ies) — Nittany Financial Corp.
Address of issuer — Same as above
State or country in which organized — United States

North Arrows—ISE Investment LLC
 c/o North Arrows—ISE Capital LLC, 10 Mountainview Rd., Upper
 Saddle River, NJ 07458
State or country in which incorporated — Delaware
Security(ies) — LLC equity interests
Issuer of security(ies) — North Arrows—ISE Investment LLC
Address of issuer — Same as above
State or country in which organized — Delaware

North Shore Capital Advisors Corp.
 20 Marlin Lane, Port Washington, NY
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — North Shore Capital Advisors Corp.
Address of issuer — Same as above
State or country in which organized — Delaware

NSV Partners II-A, L.P.
 330 Madison Ave., New York, NY 10017
Security(ies) — Partnership interests
Issuer of security(ies) — NSV Partners II-A, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

NSV Partners III-A, L.P.
 330 Madison Ave., New York, NY 10017
Security(ies) — Partnership interests
Issuer of security(ies) — NSV Partners III-A, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Portrait of Peggy LLC
 c/o Stuart Thompson Productions, 1501 Broadway, Suite 1614, New
 York, NY 10036
Security(ies) — Limited liability company interest
Issuer of security(ies) — Portrait of Peggy LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Private Advisors Small Company Buyout Fund II, L.P.
 1801 Bayberry Ct., Suite 303, Richmond, VA 23226
Security(ies) — Limited partnership interests
Issuer of security(ies) — Private Advisors Small Company Buyout Fund
 II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Private Advisors Stable Value Fund, Ltd.
 P.O. Box 32021, SMB Anchorage Centre, George Town, Grand
 Cayman, Cayman Islands, BWI
State or country in which incorporated — Cayman Islands
Security(ies) — Common stock
Issuer of security(ies) — Private Advisors Stable Value Erisa Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

ProFind, Inc.
 3005 Tollview Dr., Rolling Meadows, IL 60008

State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — ProFind, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Progenitor Cell Therapy, LLC
 292 Atlantic St., 3rd Fl., Hackensack, NJ 07601
State or country in which incorporated — Delaware
Security(ies) — Units of membership interests
Issuer of security(ies) — Progenitor Cell Therapy, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Rochdale Property Investment Partners, L.P.
 120 Montgomery St., Suite 2150, San Francisco, CA 94104
Security(ies) — Limited partnership units
Issuer of security(ies) — Rochdale Property Investment Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Rodman & Renshaw, LLC
 330 Madison Ave., New York, NY 10017
Security(ies) — Common stock
Issuer of security(ies) — Innovative Drug Delivery Systems, Inc.
Address of issuer — 130 W. 42nd St., 12th Fl., New York, NY 10036
State or country in which organized — Delaware

RS Property Fund V LLC
 388 Market St., Suite 1700, San Francisco, CA 94111
State or country in which incorporated — Delaware limited liability company
Security(ies) — Limited liability company interests
Issuer of security(ies) — RS Property Fund V LLC
Address of issuer — Same as above
State or country in which organized — Delaware

SAFLINK Corporation
 777 108th Ave., Suite 2100, Bellevue, WA 98004
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — SAFLINK Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Sanders Morris Harris, Inc.; (2) Brean Murray & Co., Inc.
 333 S. Hope St., 26th Fl., Los Angeles, CA 90071; (2) 570 Lexington Ave., New York, NY 10022-6822
State or country in which incorporated — (1) Texas; (2) Delaware
Security(ies) — Series A convertible preferred stock
Issuer of security(ies) — MRU Holdings, Inc.
Address of issuer — 600 Lexington Ave., 3rd Fl., New York, NY 10022
State or country in which organized — Delaware

Sandpiper Beach Associates, LLC
 c/o CCK Equities LLC, 29 Perry St., Cape May, NJ 08204
State or country in which incorporated — New Jersey
Security(ies) — Common units of limited liability company membership interests
Issuer of security(ies) — Sandpiper Beach Associates, LLC
Address of issuer — Same as above
State or country in which organized — New Jersey

Sandpiper Beach Associates, LLC
 c/o CCK Equities LLC, 29 Perry St., Cape May, NJ 08204
State or country in which incorporated — New Jersey
Security(ies) — Priority units of limited liability company membership interests
Issuer of security(ies) — Sandpiper Beach Associates, LLC
Address of issuer — Same as above
State or country in which organized — New Jersey

Sarofim Multifamily Partners, L.P.
 8201 Preston Rd., Suite 300, Dallas, TX 75225
Security(ies) — Limited partnership interests
Issuer of security(ies) — Sarofim Multifamily Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Skylands Quest LLC
 1200 N. Mayfair Rd., Suite 250, Milwaukee, WI 53226
State or country in which incorporated — Wisconsin
Security(ies) — Limited liability company interests
Issuer of security(ies) — Skylands Quest LLC
Address of issuer — Same as above
State or country in which organized — Wisconsin

Skylands Special Investment LLC
 1200 N. Mayfair Rd., Suite 250, Milwaukee, WI 53226
State or country in which incorporated — Wisconsin
Security(ies) — Limited liability company interests
Issuer of security(ies) — Skylands Special Investment LLC
Address of issuer — Same as above
State or country in which organized — Wisconsin

Spencer Trask Ventures, Inc.
 535 Madison Ave., New York, NY 10022
State or country in which incorporated — New York
Security(ies) — Series B convertible preferred stock
Issuer of security(ies) — Arrive Technologies, Inc.
Address of issuer — 10775 Gay Rd., Wilton, CA 95693
State or country in which organized — California

S&Z Long/Short I, L.P.
 514 Lardfield Rd., Suite 6, East Northport, NY
Security(ies) — Limited partnership interests
Issuer of security(ies) — S&Z Advisors, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

THALES
 45, rue de villiers, 92200 Neuilly-sur-Seine, France
State or country in which incorporated — France
Security(ies) — Shares of THALES through the 2004 THALES stock option plan
Issuer of security(ies) — THALES
Address of issuer — Same as above
State or country in which organized — France

Townhouse Media, LLC
 146 Baltic St., Brooklyn, NY 11201
State or country in which incorporated — New York limited liability company
Security(ies) — Class B membership units
Issuer of security(ies) — Townhouse Media, LLC
Address of issuer — Same as above
State or country in which organized — New York

Trillium Lakefront Partners III Leadership Council, LP
 500 Linden Oaks, Rochester, NY 14625
Security(ies) — Partnership interests
Issuer of security(ies) — Trillium Lakefront Partners III Leadership Council, LP
Address of issuer — Same as above
State or country in which organized — Delaware

USA Preston Center Pavilion, LP
 111 Corporate Dr., Suite 210, Ladera Ranch, CA 92694
Security(ies) — Class A units of limited partnership interest
Issuer of security(ies) — USA Preston Center Pavilion, LP
Address of issuer — Same as above
State or country in which organized — Texas

USA Preston Center Pavilion, LP
 111 Corporate Dr., Suite 210, Ladera Ranch, CA 92694
Security(ies) — Tenant-in-common interests in Preston Center Pavilion & Square
Issuer of security(ies) — USA Preston Center Pavilion, LP
Address of issuer — Same as above
State or country in which organized — Texas

USAA Investment Management Company
 9800 Fredericksburg Rd., A-3-W, San Antonio, TX 78288-0227
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — USAA Investment Trust/Total Return Strategy Fund
Address of issuer — Same as above
State or country in which organized — United States

Van Kampen Funds Inc.
 Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Insured Income Trust, Series 127
Address of issuer — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Insured Municipals Income Trust, 18th Discount Series
Address of issuer — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Insured Municipals Income Trust, Series 497
Address of issuer — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Insured Municipals Income Trust, 98th Limited Maturity Series
Address of issuer — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Internet Portfolio, Series 41
Address of issuer — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Investment Grade Municipal Trust, Series 51
Address of issuer — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Strategic Closed-End Trust, Series 2 (Senior Loan and Limited Duration Portfolio)
Address of issuer — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Strategic Small-Cap Portfolio 2005-1
Address of issuer — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Van Kampen Unit Trusts, Municipal Series 537 (Pennsylvania Insured Municipals Income Trust, Series 296)
Address of issuer — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Wachovia Securities, Inc.
 One Wachovia Center, 301 S. College St., Charlotte, NC 23828
Security(ies) — Common stock
Issuer of security(ies) — MCG Corporation
Address of issuer — 1100 Wilson Blvd., Suite 3000, Arlington, VA 22209
State or country in which organized — Delaware

Whitebox Intermarket Fund, L.P.
 3033 Excelsior Blvd., Suite 300, Minneapolis, MN 55416
Security(ies) — Limited partnership interests

Issuer of security(ies) — Whitebox Intermarket Fund, L.P.

Address of issuer — Same as above

State or country in which organized — United States

Winslow Fixed Income Alternative 1, LP

1401 Washington St., Hoboken, NJ 07030

Security(ies) — Limited partnership interest

Issuer of security(ies) — Winslow Fixed Income Alternative 1, LP

Address of issuer — Same as above

State or country in which organized — Delaware

WMA Properties, LLC

8816 Six Forks Rd., Suite 201, Raleigh, NC 27615

State or country in which incorporated — Delaware limited liability company

Security(ies) — Membership interests

Issuer of security(ies) — WMA Properties, LLC

Address of issuer — Same as above

State or country in which organized — Delaware

ZS AUL, L.P.

54 Morris Lane, Scarsdale, NY 10583

Security(ies) — Limited partnership interests

Issuer of security(ies) — ZS AUL, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

