

# SECURITIES OFFERINGS

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## STATE NOTICES

Published pursuant to provisions of General Business Law  
[Art. 23-A, §359-e(2)]

## DEALERS; BROKERS

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5400 Westheimer Holding, L.P.  
Seven Bulfinch Place, Suite 500, Boston, MA 02114  
*Partnership* — FT-5400 Westheimer LLC

Acero TMT Fund, LP  
One Liberty Plaza, 23rd Fl., New York, NY 10006  
*Partnership* — Acero Capital GP, LLC

Advance Solutions Partners III, LLC  
998C Old Country Rd., Mailbox #273, Plainview, NY 11803

Alternative Energy Capital, LLC  
850 Third Ave., New York, NY 10022  
*State or country in which incorporated* — Delaware

Asset Alliance Navigator Fund, Ltd.  
c/o Walkers SPV Limited, P.O. Box 908GT, Walker House, Mary St.,  
Grand Cayman, Cayman Islands  
*State or country in which incorporated* — Cayman Islands

Behringer Harvard Pratt S, LLC  
15601 Dallas Pkwy., Suite 600, Addison, TX 75001

Berrett-Koehler Group, Inc., The  
235 Montgomery St., Suite 650, San Francisco, CA 94104-2916  
*State or country in which incorporated* — California

Bivens #1 Joint Venture  
1848 Norwood Plaza, Suite 109, Hurst, TX 76054

Black Oak Capital, LLC  
106 W. 76th St., Suite 3A, New York, NY 10023

British Energy Group PLC  
Three Redwood Crescent, Peel Park, East Kilbride, Scotland G74 5PR  
*State or country in which incorporated* — Scotland

Broadlawn Partners, LP  
40 Cuttermill Rd., Suite 402, Great Neck, NY 11021  
*Partnership* — Broadlawn Advisors, LLC

Broadway Rodeo Priority Investors LP  
c/o Priority Investors LLC, 400 E. 57th St., New York, NY 10022  
*Partnership* — Priority Investors LLC

C.E. Unterberg, Towbin, LLC  
350 Madison Ave., New York, NY 10017  
*State or country in which incorporated* — Delaware

Cardinal Partners 2000, L.P.  
500 Crescent Ct., Suite 250, Dallas, TX 75201  
*Partnership* — Cardinal Investment Company, Inc.

Caveat Emptor Fund I, L.P.  
654 Madison Ave., Suite 1606, New York, NY 10021  
*Partnership* — CEGP, LLC

Coronat International Equity Fund, L.P.  
650 Fifth Ave., 6th Fl., New York, NY 10019  
*Partnership* — Coronat Capital Partners, LLC

Clearant, Inc.  
11111 Santa Monica Blvd., Suite 650, Los Angeles, CA 90025  
*State or country in which incorporated* — California

Crown Financial Group, Inc.  
525 Washington Blvd., Jersey City, NJ 07310  
*State or country in which incorporated* — New Jersey

Cruse Sands Drilling Project F, L.P.  
c/o Mark Gasarch, Esq., 150 E. 58th St., 34th Fl., New York, NY 10155  
*State or country in which incorporated* — Petro-Suisse Ltd., Mark Gasarch

Deccan Global Fund, LP  
One Ferry Bldg., Suite 255, San Francisco, CA 94111  
*Partnership* — Deccan Global Advisors LLC, general partner

Dermisonics, Inc.  
Four Tower Bridge, 200 Bar Harbor Dr., West Conshohocken, PA 19428  
*State or country in which incorporated* — Nevada

Dornoch Opportunity Fund L.P.  
35 Airport Rd., Suite 150, Morristown, NJ 07960  
*Partnership* — Dornoch Holdings, LLC

eAppeals LLC  
4000 Hollywood Blvd., Suite 160N, Hollywood, FL 33021  
*State or country in which incorporated* — Delaware

EP Power, Inc.  
41 Watchung Plaza, #386, Montclair, NJ 07042  
*State or country in which incorporated* — Delaware

Einstitute, Inc.  
2033 St. Paul Ave., Bronx, NY 10461  
*State or country in which incorporated* — New York

Empire Financial Group, Inc.  
2170 W. State Rd. 434, Suite 100, Longwood, FL 32779

Everest Capital Japan Long/Short, L.P.  
c/o Citco Fund Services (U.S.A.) Inc., 350 Madison Ave., 12th Fl., New York, NY 10017  
*Partnership* — Everest Capital Limited

Express Cycle Service Inc.  
236 Godfrey Rd., Palm Bay, FL 32909  
*State or country in which incorporated* — Florida

First National Lincoln Corporation  
223 Main St., P.O. Box 940, Damariscotta, ME 04543-0940  
*State or country in which incorporated* — Maine

Fremont Bancorporation  
39150 Fremont Blvd., Fremont, CA 94538  
*State or country in which incorporated* — California

Gatco Technologies, Inc.  
55 Schanck Rd., Freehold, NJ 07728  
*State or country in which incorporated* — Delaware

Genesis Financial Solutions, Inc.  
8705 SW. Nimbus Ave., Suite 300, Beaverton, OR 97008  
*State or country in which incorporated* — Delaware

Hirst Chimera Institutional Fund, LP  
100 Colonial Center Pkwy., Suite 140, Lake Mary, FL 32746  
*Partnership* — Hirst Fund Management LLC

Harbert Fixed Income Spread Opportunities Fund, L.P.  
555 Madison Ave., 16th Fl., New York, NY 10022  
*Partnership* — HMC Fixed Income Spread Opportunities Fund GP, L.L.C.

Idaho Bancorp.  
P.O. Box 44629, Boise, ID 83704  
*State or country in which incorporated* — Idaho

JA Holding, Inc.  
c/o J.W. Childs Associates, L.P., 111 Huntington Ave., Suite 2900, Boston, MA 01299  
*State or country in which incorporated* — Delaware

Land Company #1 Voint Venture  
1848 Norwood Plaza, Suite 109, Hurst, TX 76054  
*Partnership* — EnerMax, Inc., managing venturer

LaSalle Asia Opportunity Fund II, L.P.  
33 Cavendish Sq., P.O. Box 2326, London, England W1A 2NF  
*Partnership* — LaSalle Asia Opportunity II GP LLC

Lock Capital Fund LP  
440 Main St., Ridgefield, CT 06877  
*Partnership* — Lock Capital Management LLC

Macquarie Infrastructure Bermuda Limited  
Rosebank Centre, 11 Bermudiana Rd., HM08, Bermuda  
*State or country in which incorporated* — Bermuda

MTB Institutional Partners, L.P.  
237 Park Ave., Suite 900, New York, NY 10017  
*Partnership* — MTB Capital LLC

Murphy & Partners Fund III, L.P.  
45 Rockefeller Plaza, New York, NY 10111  
*Partnership* — M&P III LLC

North Arrows—ISE Investment LLC  
c/o North Arrows—ISE Capital LLC, 10 Mountainview Rd., Upper Saddle River, NJ 07458  
*State or country in which incorporated* — Delaware

NSV Partners II-A, L.P.  
330 Madison Ave., New York, NY 10017  
*Partnership* — New Science Ventures LLC, general partner

NSV Partners III-A, L.P.  
330 Madison Ave., New York, NY 10017  
*Partnership* — New Science Ventures LLC, general partner

Private Advisors Small Company Buyout Fund II, L.P.  
1801 Bayberry Ct., Suite 303, Richmond, VA 23226  
*Partnership* — Private Advisors, LLC

Private Advisors Stable Value Erisa Fund, Ltd.  
P.O. Box 32021, SMB Anchorage Centre, George Town, Grand Cayman, Cayman Islands, BWI  
*State or country in which incorporated* — Cayman Islands

Progenitor Cell Therapy, LLC  
292 Atlantic St., 3rd Fl., Hackensack, NY 07601  
*State or country in which incorporated* — Delaware

RS Property Fund V LLC  
388 Market St., Suite 1700, San Francisco, CA 94111  
*State or country in which incorporated* — Delaware limited liability company

Sarofim Multifamily Partners, L.P.  
8201 Preston Rd., Suite 300, Dallas, TX 75225  
*Partnership* — Sarafim Multifamily Investors, L.L.C.

Skylands Quest LLC  
1200 N. Mayfair Rd., Suite 250, Milwaukee, WI 53226  
*State or country in which incorporated* — Wisconsin

Skylands Special Investment LLC  
1200 N. Mayfair Rd., Suite 250, Milwaukee, WI 53226  
*State or country in which incorporated* — Wisconsin

S&Z Long/Short I, L.P.  
514 Larkfield Rd., Suite 6, East Northport, NY  
*Partnership* — S&Z Advisors, LLC

Tessera Fund, L.P.  
3033 E. First Ave., #307, Denver, CO 80206  
*State or country in which incorporated* — Delaware

Townhouse Media, LLC  
146 Baltic St., Brooklyn, NY 11201

*State or country in which incorporated* — New York limited liability company

Trillium Lakefront Partners III Leadership Council, LP  
500 Linden Oaks, Rochester, NY 14625  
*Partnership* — TLP III Managing GP LLC, general partner

USA Preston Center Pavilion, LP  
111 Corporate Dr., Suite 210, Ladera Ranch, CA 92694  
*Partnership* — USA Preston Center Pavilion GP, LLC

USAA Investment Management Company  
9800 Fredericksburg Rd., A-3-W, San Antonio, TX 78288-0227  
*State or country in which incorporated* — Massachusetts

Whitebox Intermarket Fund, L.P.  
3033 Excelsior Blvd., Suite 300, Minneapolis, MN 55416  
*Partnership* — Whitebox Intermarket Advisors, LLC

Winslow Fixed Income Alternative 1, L.P.  
1401 Washington St., Hoboken, NJ 07030  
*Partnership* — Winslow Multi Strategy Genpar, Inc.

WMA Properties, LLC  
8816 Six Forks Rd., Suite 201, Raleigh, NC 27615  
*State or country in which incorporated* — Delaware limited liability company

ZS AUL L.P.  
54 Morris Lane, Scarsdale, NY 10583  
*Partnership* — ZS AUL L.L.C., sole general partner

### **FURTHER STATE NOTICES**

Published pursuant to provisions of General Business Law  
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY  
DEALERS; BROKERS;  
SYNDICATE MANAGERS

1914 Holding Company  
715 Kirk Dr., Kansas City, MO 64105  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock, par value \$0.01  
*Issuer of security(ies)* — 1914 Holding Company  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

5400 Westheimer Holding, L.P.  
Seven Bulfinch Place, Suite 500, Boston, MA 02114  
*Security(ies)* — Partnership interests  
*Issuer of security(ies)* — 5400 Westheimer Holding, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Acero TMT Fund, LP  
One Liberty Plaza, 23rd Fl., New York, NY 10006  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Acero TMT Fund, LP

*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Advance Solutions Partners III, LLC  
998C Old Country Rd., Mailbox #273, Plainview, NY 11803  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — Advance Solutions Partners III, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Alternative Energy Capital, LLC  
850 Third Ave., New York, NY 10022  
*Security(ies)* — Membership interests  
*Issuer of security(ies)* — Alternative Energy Capital, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

American Landmark Securities Inc.  
433 E. Las Colinas Blvd., Suite 805, Irving, TX 75039  
*State or country in which incorporated* — Texas  
*Security(ies)* — Prodigy #16 Partnership, L.P.  
*Issuer of security(ies)* — Prodigy Oil and Gas LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Texas

Asset Alliance Navigator Fund, Ltd.  
c/o Walkers SPV Limited, P.O. Box 908GT, Walker House, Mary St.,  
Grand Cayman, Cayman Islands  
*Security(ies)* — Class A—new issue shares  
*Issuer of security(ies)* — Asset Alliance Navigator Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Asset Alliance Navigator Fund, Ltd.  
c/o Walkers SPV Limited, P.O. Box 908GT, Walker House, Mary St.,  
Grand Cayman, Cayman Islands  
*Security(ies)* — Class B—new issue shares  
*Issuer of security(ies)* — Asset Alliance Navigator Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Asset Alliance Navigator Fund, Ltd.  
c/o Walkers SPV Limited, P.O. Box 908GT, Walker House, Mary St.,  
Grand Cayman, Cayman Islands  
*Security(ies)* — Class A—non-new issue shares  
*Issuer of security(ies)* — Asset Alliance Navigator Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Asset Alliance Navigator Fund, Ltd.  
c/o Walkers SPV Limited, P.O. Box 908GT, Walker House, Mary St.,  
Grand Cayman, Cayman Islands  
*Security(ies)* — Class B—non-new issue shares  
*Issuer of security(ies)* — Asset Alliance Navigator Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Banc of America Securities LLC; (2) Citigroup Global Markets Inc.  
Nine W. 57th St., New York, NY 10019; (2) 388 Greenwich St., New  
York, NY 10013  
*State or country in which incorporated* — (1) Delaware LLC; (2) New  
York  
*Security(ies)* — Common stock

*Issuer of security(ies)* — Spirit Finance Corporation  
*Address of issuer* — 14631 N. Scottsdale Rd., Suite 200, Scottsdale, AZ 85260  
*State or country in which organized* — Maryland

Behringer Harvard Pratt S, LLC  
 15601 Dallas Pkwy., Suite 600, Addison, TX 75001  
*Security(ies)* — TIC interests in 250 West Pratt  
*Issuer of security(ies)* — Behringer Harvard Pratt S, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Berrett-Koehler Group, Inc., The  
 235 Montgomery St., Suite 650, San Francisco, CA 94104-2916  
*Security(ies)* — Share of common stock, no par value  
*Issuer of security(ies)* — The Berrett-Koehler Group, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — California

Bivens #1 Joint Venture  
 1848 Norwood Plaza, Suite 109, Hurst, TX 76054  
*Security(ies)* — General partnership interests  
*Issuer of security(ies)* — Bivens #1 Joint Venture  
*Address of issuer* — Same as above  
*State or country in which organized* — Texas

Black Oak Capital, LLC  
 106 W. 76th St., Suite 3A, New York, NY 10023  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — Black Oak Capital, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

British Energy Group PLC  
 Three Redwood Crescent, Peel Park, East Kilbride, Scotland G74 5PR  
*State or country in which incorporated* — Scotland  
*Security(ies)* — Ordinary shares  
*Issuer of security(ies)* — British Energy Group PLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Scotland

British Energy Group PLC  
 Three Redwood Crescent, Peel Park, East Kilbride, Scotland G74 5PR  
*State or country in which incorporated* — Scotland  
*Security(ies)* — Warrants to purchase ordinary shares  
*Issuer of security(ies)* — British Energy Group PLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Scotland

Broadlawn Partners, LP  
 40 Cuttermill Rd., Suite 402, Great Neck, NY 11021  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Broadlawn Partners, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Broadway Rodeo Priority Investors LP  
 c/o Priority Investors LLC, 400 E. 57th St., New York, NY 10022  
*Security(ies)* — Partnership interests  
*Issuer of security(ies)* — Broadway Rodeo Priority Investors LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Cardinal Partners 2000, L.P.  
 500 Crescent Ct., Suite 250, Dallas, TX 75201  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Cardinal Partners 2000, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Texas

Caveat Emptor Fund I, L.P.  
 654 Madison Ave., Suite 1606, New York, NY 10021  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Caveat Emptor Fund I, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

C.E. Unterberg, Towbin, LLC; (2) Clearant, Inc.  
 350 Madison Ave., New York, NY 10017; (2) 11111 Santa Monica Blvd., Suite 650, Los Angeles, CA 90025  
*State or country in which incorporated* — (1) Delaware; (2) California  
*Security(ies)* — Common stock issuable upon exercise of warrants  
*Issuer of security(ies)* — Clearant, Inc.  
*Address of issuer* — 11111 Santa Monica Blvd., Suite 650, Los Angeles, CA 90025  
*State or country in which organized* — California

C.E. Unterberg, Towbin, LLC; (2) Clearant, Inc.  
 350 Madison Ave., New York, NY 10017; (2) 11111 Santa Monica Blvd., Suite 650, Los Angeles, CA 90025  
*State or country in which incorporated* — (1) Delaware; (2) California  
*Security(ies)* — Senior notes  
*Issuer of security(ies)* — Clearant, Inc.  
*Address of issuer* — 11111 Santa Monica Blvd., Suite 650, Los Angeles, CA 90025  
*State or country in which organized* — California

C.E. Unterberg, Towbin, LLC; (2) Clearant, Inc.  
 350 Madison Ave., New York, NY 10017; (2) 11111 Santa Monica Blvd., Suite 650, Los Angeles, CA 90025  
*State or country in which incorporated* — (1) Delaware; (2) California  
*Security(ies)* — Warrants to purchase common stock  
*Issuer of security(ies)* — Clearant, Inc.  
*Address of issuer* — 11111 Santa Monica Blvd., Suite 650, Los Angeles, CA 90025  
*State or country in which organized* — California

Claymore Securities, Inc.  
 2455 Corporate West Dr., Lisle, IL 60532  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 10,000,000 units of undivided interest  
*Issuer of security(ies)* — IQ/Claymore Municipal (6-10 Years) Portfolio, Series 1  
*Address of issuer* — c/o Claymore Securities, Inc., 2455 Corporate West Dr., Lisle, IL 60532  
*State or country in which organized* — Kansas

Claymore Securities, Inc.  
 2455 Corporate West Dr., Lisle, IL 60532  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 10,000,000 units of undivided interest  
*Issuer of security(ies)* — IQ/Claymore Municipal (10-15 Years) Portfolio, Series 3  
*Address of issuer* — c/o Claymore Securities, Inc., 2455 Corporate West Dr., Lisle, IL 60532  
*State or country in which organized* — Kansas

Claymore Securities, Inc.  
2455 Corporate West Dr., Lisle, IL 60532  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 10,000,000 units of undivided interest  
*Issuer of security(ies)* — IQ/Claymore New York Municipal Portfolio (10-15 Years), Series 3  
*Address of issuer* — c/o Claymore Securities, Inc., 2455 Corporate West Dr., Lisle, IL 60532  
*State or country in which organized* — Kansas

Claymore Securities, Inc.  
2455 Corporate West Dr., Lisle, IL 60532  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 10,000,000 units of undivided interest  
*Issuer of security(ies)* — Multiple Asset Portfolio, Series 2  
*Address of issuer* — c/o Claymore Securities, Inc., 2455 Corporate West Dr., Lisle, IL 60532  
*State or country in which organized* — Kansas

Claymore Securities, Inc.  
2455 Corporate West Dr., Lisle, IL 60532  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 10,000,000 units of undivided interest  
*Issuer of security(ies)* — Peroni Top Ten Picks Portfolio, 2005 Series  
*Address of issuer* — c/o Claymore Securities, Inc., 2455 Corporate West Dr., Lisle, IL 60532  
*State or country in which organized* — Kansas

CNL Hotels & Resorts, Inc.  
CNL Center at City Commons, 450 S. Orange Ave., Orlando, FL 32801  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Shares of common stock under amended and restated reinvestment plan  
*Issuer of security(ies)* — CNL Hotels & Resorts, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Maryland

Coronat International Equity Fund, LP  
650 Fifth Ave., 6th Fl., New York, NY 10019  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Coronat International Equity Fund, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Crown Financial Group, Inc.  
525 Washington Blvd., Jersey City, NJ 07310  
*State or country in which incorporated* — New Jersey  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Crown Financial Group, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — New Jersey

Cruse Sands Drilling Project F, L.P.  
c/o Mark Gasarch, Esq., 150 E. 58th St., 34th Fl., New York, NY 10155  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Cruse Sands Drilling Project F, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

DataCore Software Corporation  
6300 NW. 5th Way, Fort Lauderdale, FL 33309  
*State or country in which incorporated* — Florida  
*Security(ies)* — Common stock

*Issuer of security(ies)* — DataCort Software Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Florida

Davis Distributors, LLC  
124 E. Marcy St., Santa Fe, NM 87501  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Shares of common stock  
*Issuer of security(ies)* — Davis New York Venture Fund, Inc.—Davis Global Fund  
*Address of issuer* — 2949 E. Elvira Rd., Suite 101, Tucson, AZ 85706  
*State or country in which organized* — Maryland

Deccan Global Fund, LP  
One Ferry Bldg., Suite 255, San Francisco, CA 94111  
*Security(ies)* — Limited partnerships  
*Issuer of security(ies)* — Deccan Global Fund, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Dermisonics, Inc.  
Four Tower Bridge, 200 Bar Harbor Dr., West Conshohocken, PA 19428  
*State or country in which incorporated* — Nevada  
*Security(ies)* — 10% debentures  
*Issuer of security(ies)* — Dermisonics, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Nevada

DLJV 2004-6  
2761 Salt Springs Rd., Youngstown, OH 44509  
*Security(ies)* — Units of joint venture interest  
*Issuer of security(ies)* — DLJV 2004-6  
*Address of issuer* — Same as above  
*State or country in which organized* — Ohio

eAppeals LLC  
4000 Hollywood Blvd., Suite 160N, Hollywood, FL 33021  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Units consisting of secured promissory notes and warrants  
*Issuer of security(ies)* — eAppeals LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

EC Power, Inc.  
41 Watchung Plaza, #386, Montclair, NJ 07042  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — EC Power, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Einstitute, Inc.  
2033 St. Paul Ave., Bronx, NY 10461  
*State or country in which incorporated* — New York  
*Security(ies)* — Series A preferred stock  
*Issuer of security(ies)* — Einstitute, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Empire Financial Group Inc.  
2170 W. State Rd. 434, Suite 100, Longwood, FL 32779  
*Security(ies)* — Corporate note with right to convert to common stock

*Issuer of security(ies)* — NGAS Resources, Inc.  
*Address of issuer* — 120 Prosperous Place, Suite 201, Lexington, KY 40509  
*State or country in which organized* — British Columbia, Canada

Everest Capital Japan Long/Short, L.P.  
 c/o Citco Fund Services (U.S.A.) Inc., 350 Madison Ave., 12th Fl., New York, NY 10017

*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Everest Capital Japan Long/Short, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Exelauno Fund, LLC, The  
 24 Field Point Rd., Greenwich, CT 06830  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Restricted class of limited liability company interests  
*Issuer of security(ies)* — Exelauno Fund, LLC, The  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Exelauno Fund, LLC, The  
 24 Field Point Rd., Greenwich, CT 06830  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Unrestricted class of limited liability company interests  
*Issuer of security(ies)* — Exelauno Fund, LLC, The  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Express Cycle Service Inc.  
 236 Godfrey Rd., Palm Bay, FL 32909  
*State or country in which incorporated* — Florida  
*Security(ies)* — Offering of common stock  
*Issuer of security(ies)* — Express Cycle Service Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Florida

Express Cycle Service Inc.  
 236 Godfrey Rd., Palm Bay, FL 32909  
*State or country in which incorporated* — Florida  
*Security(ies)* — Offering of convertible promissory notes  
*Issuer of security(ies)* — Express Cycle Service Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Florida

firstRain, Inc.  
 134 W. 29th St., Second Fl., New York, NY 10001  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Options to purchase common stock  
*Issuer of security(ies)* — firstRain, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

First National Lincoln Corporation  
 233 Main St., P.O. Box 940, Damariscotta, ME 04543-0940  
*State or country in which incorporated* — Maine  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — First National Lincoln Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Maine

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532

*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 898 (The Dow<sup>SM</sup> Target 10 Portfolio, December 2004 Series)  
*Address of issuer* — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 916 (Global Dividend Portfolio, Series 2)  
*Address of issuer* — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 920 (High-Yield Closed-End Portfolio, Series 9)  
*Address of issuer* — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 920 (Municipal Closed-End Portfolio, Series 11)  
*Address of issuer* — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 923 (Equity Dividend Covered Call Portfolio, Series 3)  
*Address of issuer* — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 924 (The Dow<sup>SM</sup> Target 10 Covered Call Portfolio, Series 4)  
*Address of issuer* — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532  
*State or country in which organized* — Illinois

Fixed Income Securities, L.P.  
 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — Advisor's Disciplined Trust 25 (Municipal Closed-End Portfolio, Series 2)  
*Address of issuer* — c/o Fixed Income Securities, L.P., 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415  
*State or country in which organized* — New York

Fremont Bancorporation  
 39150 Fremont Blvd., Fremont, CA 94538  
*Security(ies)* — Common stock

*Issuer of security(ies)* — Fremont Bancorporation  
*Address of issuer* — Same as above  
*State or country in which organized* — California

Gatco Technologies, Inc.  
 55 Schanck Rd., Freehold, NJ 07728  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Gatco Technologies, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Genesis Financial Solutions, Inc.  
 8705 SW. Nimbus Ave., Suite 300, Beaverton, OR 97008  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Genesis Financial Solutions, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Goldman, Sachs & Co.; (2) UBS Securities LLC; (3) Banc of America Securities LLC; (4) J.P. Morgan Securities Inc.; (5) Merrill Lynch, Pierce, Fenner & Smith Incorporated  
 85 Broad St., New York, NY 10004; (2) 677 Washington Blvd., Stamford, CT 06901; (3) Nine W. 57th St., New York, NY 10019; (4) 270 Park Ave., New York, NY 10017; (5) Four World Financial Center, New York, NY 10281  
*State or country in which incorporated* — (1) New York partnership; (2)-(3) Delaware limited liability company; (4)-(5) Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Knoll, Inc.  
*Address of issuer* — 1235 Water St., East Greenville, PA 18041  
*State or country in which organized* — Delaware

Goldman, Sachs & Co.; (2) Morgan Stanley & Co. Incorporated; (3) Deutsche Bank Securities Inc.; (4) Citigroup Global Markets Inc.  
 85 Broad St., New York, NY 10004; (2) 1585 Broadway, New York, NY 10036; (3) 60 Wall St., New York, NY 10005; (4) 388 Greenwich St., New York, NY 10013  
*State or country in which incorporated* — (1) New York partnership; (2)-(3) Delaware; (4) New York  
*Security(ies)* — \_\_% senior notes due 2014  
*Issuer of security(ies)* — Texas Genco Financing Corp.  
*Address of issuer* — 12301 Kurland Dr., 4th Fl., Houston, TX 77034  
*State or country in which organized* — Delaware

Goldman, Sachs & Co.; (2) Morgan Stanley & Co. Incorporated; (3) Deutsche Bank Securities Inc.; (4) Citigroup Global Markets Inc.  
 85 Broad St., New York, NY 10004; (2) 1585 Broadway, New York, NY 10036; (3) 60 Wall St., New York, NY 10005; (4) 388 Greenwich St., New York, NY 10013  
*State or country in which incorporated* — (1) New York partnership; (2)-(3) Delaware; (4) New York  
*Security(ies)* — \_\_% senior notes due 2014  
*Issuer of security(ies)* — Texas Genco LLC  
*Address of issuer* — 12301 Kurland Dr., 4th Fl., Houston, TX 77034  
*State or country in which organized* — Delaware

Harbert Fixed Income Spread Opportunities Fund, L.P.  
 555 Madison Ave., 16th Fl., New York, NY 10022  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Harbert Fixed Income Spread Opportunities Fund, L.P.

*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Hartford HLS Series Fund II, Inc.  
 200 Hopmeadow St., Simsbury, CT 06089  
*Security(ies)* — Shares of common stock  
*Issuer of security(ies)* — Hartford HLS Series Fund II, Inc.—Hartford SmallCap Growth HLS Fund  
*Address of issuer* — 200 Hopmeadow St., Simsbury, CT 06089  
*State or country in which organized* — Maryland

Hirst Chimera Institutional Fund, LP  
 100 Colonial Center Pkwy., Suite 140, Lake Mary, FL 32746  
*Security(ies)* — Partnership interests  
*Issuer of security(ies)* — Hirst Chimera Institutional Fund, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Idaho Bancorp.  
 P.O. Box 44629, Boise, ID 83704  
*State or country in which incorporated* — Idaho  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Idaho Bancorp.  
*Address of issuer* — Same as above  
*State or country in which organized* — Idaho

JA Holding, Inc.  
 c/o J.W. Childs Associates, L.P., 111 Huntington Ave., Suite 2900, Boston, MA 01299  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — JA Holding, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

JA Holding, Inc.  
 c/o J.W. Childs Associates, L.P., 111 Huntington Ave., Suite 2900, Boston, MA 01299  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Options to purchase common stock  
*Issuer of security(ies)* — JA Holding, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

John Hancock Funds, LLC  
 101 Huntington Ave., Boston, MA 02199-7603  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest without  
*Issuer of security(ies)* — John Hancock Equity Trust—John Hancock Small Cap Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

J.P. Morgan Securities Inc.; (2) Credit Suisse First Boston LLC; (3) Goldman, Sachs & Co.; (4) Banc of America Securities LLC  
 270 Park Ave., New York, NY 10017; (2) 11 Madison Ave., New York, NY 10010; (3) 85 Broad St., New York, NY 10004; (4) Nine W. 57th St., New York, NY 10019  
*State or country in which incorporated* — (1) Delaware; (2), (4) Delaware limited liability company; (3) New York partnership  
*Security(ies)* — \_\_% senior notes due 2014  
*Issuer of security(ies)* — WDAC Subsidiary Corp.  
*Address of issuer* — c/o The Corporation Trust Company, Corporation

Trust Center, 1209 Orange St., Wilmington, DE 19801  
*State or country in which organized* — Delaware

Land Company #1 Joint Venture  
 1848 Norwood Plaza, Suite 109, Hurst, TX 76054  
*Security(ies)* — General partnership interests  
*Issuer of security(ies)* — Land Company #1 Joint Venture  
*Address of issuer* — Same as above  
*State or country in which organized* — Texas

LaSalle Asia Opportunity Fund II, L.P.  
 33 Cavendish Sq., P.O. Box 2326, London, England W1A 2NF  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — LaSalle Asia Opportunity Fund II, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — England and Wales

Lock Capital Fund LP  
 440 Main St., Ridgefield, CT 06877  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Lock Capital Fund LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Macquarie Infrastructure Bermuda Limited  
 Roseback Centre, 11 Bermudiana Rd., HM08, Bermuda  
*State or country in which incorporated* — Bermuda  
*Security(ies)* — Ordinary shares  
*Issuer of security(ies)* — Macquarie Infrastructure Bermuda Limited  
*Address of issuer* — Same as above  
*State or country in which organized* — Bermuda

Media and Entertainment.com, Inc.  
 10120 S. Eastern Ave., Suite 200, Henderson, NV 89052  
*Security(ies)* — Common stock, par value \$.001 per share  
*Issuer of security(ies)* — Media and Entertainment.com, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Nevada

MTB Institutional Partners, L.P.  
 237 Park Ave., Suite 900, New York, NY 10017  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — MTB Institutional Partners, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Murphy & Partners Fund III, L.P.  
 45 Rockefeller Plaza, New York, NY 10111  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Murphy & Partners III, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Nexxar Group, Inc.  
 140 Ridgewood Ave., Paramus, NJ 07652  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Options to purchase class B common stock  
*Issuer of security(ies)* — Nexxar Group, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Nittany Financial Corp.  
 116 E. College Ave., State College, PA 16801  
*State or country in which incorporated* — Commonwealth of Pennsyl-

vania  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Nittany Financial Corp.  
*Address of issuer* — Same as above  
*State or country in which organized* — United States

North Arrows—ISE Investment LLC  
 c/o North Arrows—ISE Capital LLC, 10 Mountainview Rd., Upper  
 Saddle River, NJ 07458  
*State or country in which incorporated* — Delaware  
*Security(ies)* — LLC equity interests  
*Issuer of security(ies)* — North Arrows—ISE Investment LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

North Shore Capital Advisors Corp.  
 20 Marlin Lane, Port Washington, NY  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — North Shore Capital Advisors Corp.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

NSV Partners II-A, L.P.  
 330 Madison Ave., New York, NY 10017  
*Security(ies)* — Partnership interests  
*Issuer of security(ies)* — NSV Partners II-A, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

NSV Partners III-A, L.P.  
 330 Madison Ave., New York, NY 10017  
*Security(ies)* — Partnership interests  
*Issuer of security(ies)* — NSV Partners III-A, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Portrait of Peggy LLC  
 c/o Stuart Thompson Productions, 1501 Broadway, Suite 1614, New  
 York, NY 10036  
*Security(ies)* — Limited liability company interest  
*Issuer of security(ies)* — Portrait of Peggy LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Private Advisors Small Company Buyout Fund II, L.P.  
 1801 Bayberry Ct., Suite 303, Richmond, VA 23226  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Private Advisors Small Company Buyout Fund  
 II, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Private Advisors Stable Value Fund, Ltd.  
 P.O. Box 32021, SMB Anchorage Centre, George Town, Grand  
 Cayman, Cayman Islands, BWI  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Private Advisors Stable Value Erisa Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

ProFind, Inc.  
 3005 Tollview Dr., Rolling Meadows, IL 60008



*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — ProFind, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Progenitor Cell Therapy, LLC  
 292 Atlantic St., 3rd Fl., Hackensack, NJ 07601  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Units of membership interests  
*Issuer of security(ies)* — Progenitor Cell Therapy, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Rochdale Property Investment Partners, L.P.  
 120 Montgomery St., Suite 2150, San Francisco, CA 94104  
*Security(ies)* — Limited partnership units  
*Issuer of security(ies)* — Rochdale Property Investment Partners, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Rodman & Renshaw, LLC  
 330 Madison Ave., New York, NY 10017  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Innovative Drug Delivery Systems, Inc.  
*Address of issuer* — 130 W. 42nd St., 12th Fl., New York, NY 10036  
*State or country in which organized* — Delaware

RS Property Fund V LLC  
 388 Market St., Suite 1700, San Francisco, CA 94111  
*State or country in which incorporated* — Delaware limited liability company  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — RS Property Fund V LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

SAFLINK Corporation  
 777 108th Ave., Suite 2100, Bellevue, WA 98004  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — SAFLINK Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Sanders Morris Harris, Inc.; (2) Brean Murray & Co., Inc.  
 333 S. Hope St., 26th Fl., Los Angeles, CA 90071; (2) 570 Lexington Ave., New York, NY 10022-6822  
*State or country in which incorporated* — (1) Texas; (2) Delaware  
*Security(ies)* — Series A convertible preferred stock  
*Issuer of security(ies)* — MRU Holdings, Inc.  
*Address of issuer* — 600 Lexington Ave., 3rd Fl., New York, NY 10022  
*State or country in which organized* — Delaware

Sandpiper Beach Associates, LLC  
 c/o CCK Equities LLC, 29 Perry St., Cape May, NJ 08204  
*State or country in which incorporated* — New Jersey  
*Security(ies)* — Common units of limited liability company membership interests  
*Issuer of security(ies)* — Sandpiper Beach Associates, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — New Jersey

Sandpiper Beach Associates, LLC  
 c/o CCK Equities LLC, 29 Perry St., Cape May, NJ 08204  
*State or country in which incorporated* — New Jersey  
*Security(ies)* — Priority units of limited liability company membership interests  
*Issuer of security(ies)* — Sandpiper Beach Associates, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — New Jersey

Sarofim Multifamily Partners, L.P.  
 8201 Preston Rd., Suite 300, Dallas, TX 75225  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Sarofim Multifamily Partners, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Skylands Quest LLC  
 1200 N. Mayfair Rd., Suite 250, Milwaukee, WI 53226  
*State or country in which incorporated* — Wisconsin  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — Skylands Quest LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Wisconsin

Skylands Special Investment LLC  
 1200 N. Mayfair Rd., Suite 250, Milwaukee, WI 53226  
*State or country in which incorporated* — Wisconsin  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — Skylands Special Investment LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Wisconsin

Spencer Trask Ventures, Inc.  
 535 Madison Ave., New York, NY 10022  
*State or country in which incorporated* — New York  
*Security(ies)* — Series B convertible preferred stock  
*Issuer of security(ies)* — Arrive Technologies, Inc.  
*Address of issuer* — 10775 Gay Rd., Wilton, CA 95693  
*State or country in which organized* — California

S&Z Long/Short I, L.P.  
 514 Lardfield Rd., Suite 6, East Northport, NY  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — S&Z Advisors, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

THALES  
 45, rue de villiers, 92200 Neuilly-sur-Seine, France  
*State or country in which incorporated* — France  
*Security(ies)* — Shares of THALES through the 2004 THALES stock option plan  
*Issuer of security(ies)* — THALES  
*Address of issuer* — Same as above  
*State or country in which organized* — France

Townhouse Media, LLC  
 146 Baltic St., Brooklyn, NY 11201  
*State or country in which incorporated* — New York limited liability company  
*Security(ies)* — Class B membership units  
*Issuer of security(ies)* — Townhouse Media, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Trillium Lakefront Partners III Leadership Council, LP  
 500 Linden Oaks, Rochester, NY 14625  
*Security(ies)* — Partnership interests  
*Issuer of security(ies)* — Trillium Lakefront Partners III Leadership Council, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

USA Preston Center Pavilion, LP  
 111 Corporate Dr., Suite 210, Ladera Ranch, CA 92694  
*Security(ies)* — Class A units of limited partnership interest  
*Issuer of security(ies)* — USA Preston Center Pavilion, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Texas

USA Preston Center Pavilion, LP  
 111 Corporate Dr., Suite 210, Ladera Ranch, CA 92694  
*Security(ies)* — Tenant-in-common interests in Preston Center Pavilion & Square  
*Issuer of security(ies)* — USA Preston Center Pavilion, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Texas

USAA Investment Management Company  
 9800 Fredericksburg Rd., A-3-W, San Antonio, TX 78288-0227  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest, no par value  
*Issuer of security(ies)* — USAA Investment Trust/Total Return Strategy Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — United States

Van Kampen Funds Inc.  
 Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Insured Income Trust, Series 127  
*Address of issuer* — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Insured Municipals Income Trust, 18th Discount Series  
*Address of issuer* — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Insured Municipals Income Trust, Series 497  
*Address of issuer* — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest

*Issuer of security(ies)* — Insured Municipals Income Trust, 98th Limited Maturity Series  
*Address of issuer* — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Internet Portfolio, Series 41  
*Address of issuer* — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Investment Grade Municipal Trust, Series 51  
*Address of issuer* — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Strategic Closed-End Trust, Series 2 (Senior Loan and Limited Duration Portfolio)  
*Address of issuer* — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Strategic Small-Cap Portfolio 2005-1  
*Address of issuer* — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Van Kampen Unit Trusts, Municipal Series 537 (Pennsylvania Insured Municipals Income Trust, Series 296)  
*Address of issuer* — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which organized* — New York

Wachovia Securities, Inc.  
 One Wachovia Center, 301 S. College St., Charlotte, NC 23828  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — MCG Corporation  
*Address of issuer* — 1100 Wilson Blvd., Suite 3000, Arlington, VA 22209  
*State or country in which organized* — Delaware

Whitebox Intermarket Fund, L.P.  
 3033 Excelsior Blvd., Suite 300, Minneapolis, MN 55416  
*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — Whitebox Intermarket Fund, L.P.

*Address of issuer* — Same as above

*State or country in which organized* — United States

Winslow Fixed Income Alternative 1, LP

1401 Washington St., Hoboken, NJ 07030

*Security(ies)* — Limited partnership interest

*Issuer of security(ies)* — Winslow Fixed Income Alternative 1, LP

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

WMA Properties, LLC

8816 Six Forks Rd., Suite 201, Raleigh, NC 27615

*State or country in which incorporated* — Delaware limited liability company

*Security(ies)* — Membership interests

*Issuer of security(ies)* — WMA Properties, LLC

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

ZS AUL, L.P.

54 Morris Lane, Scarsdale, NY 10583

*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — ZS AUL, L.P.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

