

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

Adams, Harkness & Hill
Four Embarcadero Ctr., Suite 3300, San Francisco, CA 94111
State or country in which incorporated — Massachusetts

AG BD LLC
245 Park Ave., 26th Fl., New York, NY 10167-0094
State or country in which incorporated — Delaware limited liability company

AIRTRAX, INC.
870B Central Ave., Hammonton, NJ 08037
State or country in which incorporated — New Jersey

Alvarez & Marsal Holdings, LLC
101 E. 52nd St., Sixth Fl., New York, NY 10022
State or country in which incorporated — Delaware

Ampak Oil Company
801 E. Plano Pkwy, Suite 230, Plano, TX 75074
State or country in which incorporated — Texas

Argonaut Asian Equities Partnership L.P.
780 Third Ave., 23 rd Fl., New York, NY 10017
Partnership — Argonaut Capital, L.P., general partner

Ark Venture Capital, Inc.
6400 NW. Sixth Way, Suite 310, Ft. Lauderdale, FL 33309
State or country in which incorporated — Delaware corporation

ARM Trading Fund, L.P.
% ARM Advisors L.P., 118 Pershing Ave., College Station, TX 77840
Partnership — ARM Advisors, limited partnership

Artis Technology Partners Ltd.
% Goldman Sachs (Cayman) Trust, Limited, P.O. Box 896,

Harbour Centre, Second Fl., N. Church St., George Town, Grand Cayman, Cayman Islands, B.W.I.

ASD Brokerage and Investment, Inc.
Two Alhambra Plaza, Suite 1100, Coral Gables, FL 33134
State or country in which incorporated — Florida

Bank Holdings, The
9990 Double R Blvd., Reno, NV 89521
State or country in which incorporated — Nevada

Bridge Investment Services, LLC
240 Madrona Ave., Belvedere, CA 94920
State or country in which incorporated — Delaware

Broadmark Low Exposure I.D.F., L.P.
650 Fifth Ave., Third Fl, New York, NY 10019
Partnership — Broadmark Capital Management, limited liability company

CacheMatrix, LLC
3200 Cherry Creek Dr. S, Suite 400, Denver, CO 80209
State or country in which incorporated — Colorado

Caltius Partners III, LP
11766 Wilshire Blvd., Suite 850, Los Angeles, CA 90025
Partnership — Caltius Partners III, LP

Charleston Capital Corporation
216 E. 45th St., Ninth Fl., New York, NY 10017
State or country in which incorporated — Florida

Clipper Windpower, Inc.,
6305 Carpinteria Ave., Carpinteria, CA 93013
State or country in which incorporated — Delaware

CoActive Marketing Group, Inc.
415 Northern Blvd., Great Neck, NY 11021
State or country in which incorporated — Delaware

Consolidated Billing Solutions, Inc.
150 Old Country Rd., Mineola, NY 11501
State or country in which incorporated — New York

Corsair Special Opportunities Fund, L.P.
747 Third Ave., New York, NY 10017
Partnership — Corsair Management Company, limited liability company

Dara BioSciences, Inc.
1234 Airport Rd., Suite 105, Destin, FL 32541
State or country in which incorporated — Delaware

DTAP Capital Partners, LLC
72 Cummings Point Rd., Stamford, CT 06902

East Seventh Street Partners, LP
98 Orchard St., Suite 4C, New York, NY 10002
Partnership — East Village Investments, limited liability company

EmiFinancial Corporation
3723 Haven Ave., Menlo Park, CA 94025
State or country in which incorporated — California

EWF Partners LLC
901 E. Cary St., Suite 1100, Richmond, VA 23219
State or country in which incorporated — Virginia

FONIX CORPORATION
9350 S. 150 E, Seventh Fl., Salt Lake City, UT 84070
State or country in which incorporated — Delaware

Forest Sands Development Drilling Project IX, L.P.
% Mark Gasarch, Esq., 150 E. 58th St., 34th Fl., New York, NY 10155
Partnership — Petro-Suisse Ltd.

Genesis Strategic Investors QP, L.P.
5202 Olympic Dr. NW, Suite 101, Gig Harbor, WA 98335
Partnership — Genesis General Partner, limited liability company

Gestibroker Consulting and Financial Management, SA
Riva Caccia 1a, Ch6900 Lugano, Switzerland
State or country in which incorporated — Switzerland

Greenwich Northstar Associates, LLC
P.O. Box 90, Old Greenwich, CT 06870
State or country in which incorporated — Connecticut

Group Investors Mortgage Trust I
201 E. Main Dr., Fourth Fl., El Paso, TX 79901
State or country in which incorporated — Maryland

Group Investors Mortgage Trust II
201 E. Main Dr., Fourth Fl., El Paso, TX 79901

Growth Capital Partners II, LLC
1109 First Ave., Suite 206, Seattle, WA 98101

State or country in which incorporated — Washington limited liability company

Hygrove Select LP
350 Madison Ave., 21 St. Fl., New York, NY 10017
Partnership — Hygrove Partners, limited liability company

Ibrix, Inc.
450 Bedford St., Lexington, MA 02420
State or country in which incorporated — Delaware

Icoa, Inc.
111 Airport Rd., Warwick, RI 02889
State or country in which incorporated — Nevada

Illinois Emerging Technologies Fund, LP
2255 W. Harrison, Suite C, Chicago, IL 60612
State or country in which incorporated — Delaware

Infinium Labs, Inc.
2033 Main St., Suite 309, Sarasota, FL 34237
State or country in which incorporated — Delaware

Information Security Corporation
1141 Lake Cook Rd., Suite D, Deerfield, IL 60015
State or country in which incorporated — Illinois

Investec (US) Incorporated
One Battery Park Plaza, Second Fl., New York, NY 10004
State or country in which incorporated — Delaware

Investors First Home Mortgage Trust
201 E. Main Dr., Fourth Fl., El Paso, TX 79901

Inviva Securities Corporation
9920 Corporate Campus Dr., Suite 1000, Louisville, KY 40223
State or country in which incorporated — Delaware

Jet Gold Corporation
1102-475 Howe St., Vancouver, B.C. V6C2B3
State or country in which incorporated — British Columbia, Canada

Keefe, Bruyette & Woods, Inc.
211 Bradenton Dr., Dublin, OH 43017-5034
State or country in which incorporated — New York

Leerink Swann & Company
One Federal St., Boston, MA 02210
State or country in which incorporated — Massachusetts

LMP # 1, LLC
900 Third Ave., Suite 201, New York, NY 10022
State or country in which incorporated — New York

Malory Investments, LLC
520 S. Sepulveda Blvd., Suite 308, Los Angeles, CA 90049
State or country in which incorporated — California

McGinn, Smith & Co., Inc.
Capital Center, Fifth Fl., 99 Pine St., Albany, NY 12207
State or country in which incorporated — New York

M.D. Sass Municipal Arbitrage Fund, LLC
1185 Avenue of the Americas, New York, NY 10036

Medis Technologies Ltd.
805 Third Ave., New York, NY 10022
State or country in which incorporated — Delaware

Merchant Capital, Inc.
% InFlot, Inc., 200 EAB Plaza, Fifth Fl., West Tower, Uniondale, NY 11556
State or country in which incorporated — New York

Merchanthouse Securities, Inc., The
7700 Canterbury St., Prairie Village, KS 66208
State or country in which incorporated — Delaware

Meridian Insured Fund I - Series A, LLC
8800 N. Gainey Dr., Suite 279, Scottsdale, AZ 85258-2133
State or country in which incorporated — Missouri

Miller Johnson Steichen Kinnard, Inc.
60 S. Sixth St., Suite 3000, Minneapolis, MN 55402
State or country in which incorporated — Minnesota

MINRAD INC.
847 Main St., Buffalo, NY 14203
State or country in which incorporated — Delaware

Montebello Growth Fund, LP
75 Montebello Park, Suffern, NY 10901
Partnership — GMG, LLC

Morphotek, Inc.
210 Welsh Pool Rd., Exton, PA 19341
State or country in which incorporated — Delaware

Music Holdings, LLC
130 Fifth Ave., Seventh Fl., New York, NY 10011
State or country in which incorporated — Delaware limited liability company

Nano-Cap New Millennium Growth Fund LP
1688 Victory Blvd., Suite 101, Staten Island, NY 10314
Partnership — Nano-Cap Advisors LLC

Netsync Internet Services Corporation
PO Box 209, Fredonia, NY 14063-0209
State or country in which incorporated — New York

OCM Investments, LLC
333 S. Grand Ave., 28th Fl., Los Angeles, CA 90071
State or country in which incorporated — Delaware

Olympian Investments LLC
200 S. Wacker Dr., Suite 2000, Chicago, IL 60606
Partnership — Lincoln Equity Advisors, LLC

Oxbridge Capital Fund II, LLC
590 Madison Ave., New York, NY 10022
State or country in which incorporated — New York limited liability company

Piper Jaffray & Co.
800 Nicollet Mall, Minneapolis, MN 55402
State or country in which incorporated — Delaware

Polar Capital European Market Neutral Absolute Return Fund Limited
% BISYS Hedge Fund Services (Cayman) Limited; P.O. Box 30362 SMB, Third Fl., Harbour Centre, George Town, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands

Powder River Basin - Tax Advantaged Income Fund III, LLC
4660 S. Hagadorn Rd., Suite 230, East Lansing, MI 48823
State or country in which incorporated — Michigan limited liability company

ProFunds Ultra Short Mid-Cap ProFund
% BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
State or country in which incorporated — Delaware

ProFunds Ultra Short Small-Cap ProFund
% BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
State or country in which incorporated — Delaware

Quixote Capital Partners, L.P.
5619 DTC Pkwy., Suite 100, Greenwood Village, CO 80111
Partnership — Quixote Capital Management, L.L.C.

Recreation Insurance Association, Inc.
26 Waterford Dr., Englewood, FL 34223-2012
State or country in which incorporated — Ohio

Resin Systems Inc.
14604 - 115A Avenue, Edmonton, Alberta T5M 3M5, Canada
State or country in which incorporated — Alberta, Canada

Salient Corporation
203 Colonial Dr., Horseheads, NY 14845
State or country in which incorporated — New York

SEI Absolute Return Fund, L.P.
% The CT Corporation Systems, Two Oliver St., Boston, MA 02109
State or country in which incorporated — Pennsylvania

SEI Investments Distribution Co.
One Freedom Valley Rd., Oaks, PA 19456
State or country in which incorporated — Pennsylvania

Serviceware Technologies, Inc.
One Northshore Centre, Suite 503, 12 Federal St., Pittsburgh, PA 15212
State or country in which incorporated — Delaware

Shannon River Partners II LP
650 Fifth Ave., Sixth Fl., New York, NY 10019
Partnership — Shannon River Capital Management LLC

Sigma Opportunity Fund, LLC
800 Third Ave., New York, NY 10022

SKB-TCB, LLC
1211 SW Fifth Ave., Suite 2250, Portland, OR 97204
State or country in which incorporated — Oregon

Sports Development Corporation Holdings, Inc.
1104 Camino del Mar, Suite, 14, Del Mar, CA 92014
State or country in which incorporated — Delaware

Thurlow Funds, Inc., The; Thurlow Growth Fund
1256 Forest Ave., Palo Alto, CA 94301
State or country in which incorporated — Maryland

US Euro Securities, Inc.
4117 Beethoven St., Los Angeles, CA 90066
State or country in which incorporated — California

Valentis, Inc.
863A Mitten Rd., Burlingame, CA 94010
State or country in which incorporated — Delaware

Vista Drilling Program 2003-2 Limited Partnership
61 McMurray Rd., Suite 300, Pittsburgh, PA 15241
Partnership — Vista Resources, Inc.

W.H. Reaves & Co., Inc.
10 Exchange Place, Jersey City, NJ 07302
State or country in which incorporated — Delaware

Willsquare Holdings, LLC
35 Purchase St., Rye, NY 10580
State or country in which incorporated — Delaware

Zoltek Companies, Inc.
3101 McKelvey Rd., St. Louis, MO 63044
State or country in which incorporated — Missouri

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY DEALERS; BROKERS; SYNDICATE MANAGERS

Adams, Harkness & Hill
Four Embarcadero Center, Suite 3300, San Francisco, CA 94111
State or country in which incorporated — Massachusetts
Security(ies) — Common stock
Issuer of security(ies) — BriteSmile, Inc.
Address of issuer — 490 Wiget Lane, Walnut Creek, CA 94598
State or country in which organized — Utah

Alvarez & Marsal Holdings, LLC
101 E. 52nd St., Sixth Fl., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Class B units of Alvaraz & Marsal Holdings, LLC
Issuer of security(ies) — Alvarez & Marsal Holdings, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

American Cellular Corporation
14201 Wireless Way, Oklahoma City, OK 73134
State or country in which incorporated — Delaware
Security(ies) — 10% series B senior notes due 2011
Issuer of security(ies) — American Cellular Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Ampak Oil Company
801 E. Plano Pkwy., Suite 230, Plano, TX 75074
State or country in which incorporated — Texas
Security(ies) — E. Mathis Field Prospect-Joint Venture
Issuer of security(ies) — Ampak Oil Company
Address of issuer — Same as above
State or country in which organized — Texas

Argonaut Asian Equities Partnership L.P.
780 Third Ave., 23rd. Fl., New York, NY 10017
Security(ies) — Limited partnership interests
Issuer of security(ies) — Argonaut Asian Equities Partnership L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Argonaut Partnership L.P.
780 Third Ave., 23rd. Fl., New York, NY 10017
Security(ies) — Limited partnership interests
Issuer of security(ies) — Argonaut Partnership L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Ark Venture Capital, Inc.
6400 NW. Sixth Way, Suite 310, Ft. Lauderdale, FL 33309
Security(ies) — Preferred stock
Issuer of security(ies) — Ark Venture Capital, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

ARM Trading Fund L.P.
% ARM Advisors L.P., 118 Pershing Ave., College Station, TX 77840
Security(ies) — Limited partnership interests
Issuer of security(ies) — ARM Trading Fund L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Artis Technology Partners Ltd.
% Goldman Sachs (Cayman) Trust, Limited, P.O. Box 896, Harbour Centre, Second Fl., N. Church St., George Town, Grand Cayman, Cayman Islands, B.W.I.
Security(ies) — Redeemable shares
Issuer of security(ies) — Artis Technology Partners Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands, B.W.I.

Banc of America Securities LLC
767 Fifth Ave., Fifth Fl., New York, NY 10153
State or country in which incorporated — Delaware
Security(ies) — Asset-backed pass-through certificates, series 2003-W8, class M-4
Issuer of security(ies) — Argent Securities Inc.
Address of issuer — 1100 Town & Country Rd., Suite 1100, Orange, CA 92868-4653
State or country in which organized — Delaware

Banc of America Securities LLC
767 Fifth Ave., Fifth Fl., New York, NY 10153
State or country in which incorporated — Delaware
Security(ies) — Asset-backed pass-through certificates, series 2003-W8, class M-5
Issuer of security(ies) — Argent Securities Inc.
Address of issuer — 1100 Town & Country Rd., Suite 1100, Orange, CA 92868-4653
State or country in which organized — Delaware

Banc of America Securities LLC
767 Fifth Ave., Fifth Fl., New York, NY 10153
State or country in which incorporated — Delaware
Security(ies) — Asset-backed pass-through certificates, series 2003-W8, class M-6
Issuer of security(ies) — Argent Securities Inc.
Address of issuer — 1100 Town & Country Rd., Suite 1100, Orange, CA 92868-4653
State or country in which organized — Delaware

Banc of America Securities LLC; (2) Bear, Stearns & Co. Inc.; (3) Deutsche Bank Securities Inc.; (4) Lehman Brothers Inc.; (5) Piper Jaffray & Co.; (6) Wells Fargo Securities, LLC
(1) Nine West 57th St., New York, NY 10019; (2) 383 Madison Ave., New York, NY 10179; (3) 31 W. 52nd St., New York, NY 10019; (4) 745 Seventh Ave., New York, NY 10019; (5) 800 Nicollet Mall, Minneapolis, MN 55402; (6) 600 California St., San Francisco, CA 94108
State or country in which incorporated — (1 & 6) Delaware LLC; (2,3,4 & 5) Delaware
Security(ies) — 6¾% senior subordinated notes due 2014
Issuer of security(ies) — Vail Resorts, Inc.
Address of issuer — 137 Benchmark Rd., Avon, CO 81620
State or country in which organized — Delaware

Banc One Capital Markets, Inc.
One Bank One Plaza, Chicago, IL 60670
Security(ies) — Option one mortgage loan trust 2003-6, asset-backed certificates, series 2003-6, class M-5
Issuer of security(ies) — Option One Mortgage Acceptance Corporation
Address of issuer — Three Ada, Irvine, CA 92618
State or country in which organized — Delaware

Banc One Capital Markets, Inc.
One Bank One Plaza, Chicago, IL 60670

Security(ies) — Option one mortgage loan trust 2003-6, asset-backed certificates, series 2003-6, class M-6
Issuer of security(ies) — Option ONE Mortgage Acceptance Corporation
Address of issuer — Three Ada, Irvine, CA 92618
State or country in which organized — Delaware

Bank Holdings, The
9990 Double R Blvd., Reno, NV 89521
State or country in which incorporated — Nevada
Security(ies) — Common stock and warrants
Issuer of security(ies) — The Bank Holdings
Address of issuer — P.O. Box 19579, Reno, NV 89511-2119
State or country in which organized — Nevada

Barbara K Enterprises, Inc.
25 W. 45th St., New York, NY 10036
State or country in which incorporated — Delaware
Security(ies) — Class B common stock
Issuer of security(ies) — Barbara K Enterprises, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Bear, Stearns & Co. Inc.
383 Madison Ave., New York, NY 10179
State or country in which incorporated — Delaware
Security(ies) — —% Senior secured notes due 2012
Issuer of security(ies) — American Casino & Entertainment Properties Finance Corp.
Address of issuer — 2000 Las Vegas Blvd. S, Las Vegas, NV 89104
State or country in which organized — Delaware

Bear, Stearns & Co. Inc.
383 Madison Ave., New York, NY 10179
State or country in which incorporated — Delaware
Security(ies) — —% Senior secured notes due 2012
Issuer of security(ies) — American Casino & Entertainment Properties LLC.
Address of issuer — 2000 Las Vegas Blvd. S, Las Vegas, NV 89104
State or country in which organized — Delaware

Bear, Stearns & Co. Inc.; (2) Piper Jaffray & Co.; (3) Deutsche Bank Securities Inc.
(1) 383 Madison Ave., New York, NY 10179; (2) 800 Nicollet Mall, Minneapolis, MN 55402; (3) 31 W. 52nd St., New York, NY 10019
State or country in which incorporated — (1,2 & 3) Delaware
Security(ies) — Common stock
Issuer of security(ies) — Dynavax Technologies Corporation
Address of issuer — 717 Potter St., Suite 100, Berkeley, CA 94710
State or country in which organized — Delaware

Blackbook Media Corp.
% Eric Gertler, 303 Park Ave. S, Suite 1089, New York, NY 10010
State or country in which incorporated — Delaware
Security(ies) — Series A convertible preferred shares
Issuer of security(ies) — Blackbook Media Corp.
Address of issuer — Same as above

Broadmark Low Exposure I.D.F., L.P.
650 Fifth Ave., Third Fl., New York, NY 10019
Security(ies) — Limited partnership interests
Issuer of security(ies) — Broadmark Low Exposure I.D.F., L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Brookstreet Securities Corporation
2361 Campus Dr., Suite 210, Irvine, CA 92612-1464
State or country in which incorporated — California
Security(ies) — Limited liability company memberships
Issuer of security(ies) — Powder River Basin - Tax Advantaged Income Fund III, LLC
Address of issuer — 4660 S. Hagadorn Rd., Suite 230, East Lansing, MI 48823
State or country in which organized — Michigan

Caltius Partners III, LP
11766 Wilshire Blvd., Suite 850, Los Angeles, CA 90025
Security(ies) — Limited partnership interests
Issuer of security(ies) — Caltius Partners III, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Charleston Capital Corporation
216 E. 45th St., Ninth Fl., New York, NY 10017
State or country in which incorporated — Florida
Security(ies) — Units consisting of common shares and warrants
Issuer of security(ies) — NanoPierce Technologies, Inc.
Address of issuer — 370 17th St., Suite 3640, Denver, CO 80202
State or country in which organized — Nevada

Citigroup Global Markets Inc.
390 Greenwich St., Fourth Fl., New York, NY 10019
Security(ies) — Asset-backed pass-through certificates, series 2003-W6, Class M-2
Issuer of security(ies) — Argent Securities Inc.
Address of issuer — 1100 Town & Country Rd., Suite 1100, Orange, CA 92868-4653
State or country in which organized — Delaware

Citigroup Global Markets Inc.
390 Greenwich St., Fourth Fl., New York, NY 10019
Security(ies) — Asset-backed pass-through certificates, series 2003-W6, Class M-3
Issuer of security(ies) — Argent Securities Inc.
Address of issuer — 1100 Town & Country Rd., Suite 1100, Orange, CA 92868-4653
State or country in which organized — Delaware

Citigroup Global Markets Inc., Friedman, Billings, Ramsey & Co., Inc. and Wachovia Capital Markets, LLC
388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — 7.625% series A cumulative redeemable preferred stock
Issuer of security(ies) — Maguire Properties, Inc.
Address of issuer — 555 W. Fifth St., Suite 5000, Los Angeles, CA 90013
State or country in which organized — Maryland

Claymore Securities, Inc.
210 N. Hale St., Wheaton, IL 60187
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Principal Global InvestorsSM International Equity Portfolio, Series 1
Address of issuer — Same as above
State or country in which organized — Kansas

Clipper Windpower, Inc.
6305 Carpinteria Ave., Carpinteria, CA 93013

State or country in which incorporated — Delaware
Security(ies) — Series C preferred stock
Issuer of security(ies) — Clipper Windpower, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

CoActive Marketing Group, Inc
415 Northern Blvd. Great Neck, NY 11021
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — CoActive Marketing Group, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

CoActive Marketing Group, Inc
415 Northern Blvd. Great Neck, NY 11021
State or country in which incorporated — Delaware
Security(ies) — Series C convertible preferred stock
Issuer of security(ies) — CoActive Marketing Group, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Corsair Special Opportunities Fund, L.P.
747 Third Ave., New York, NY 10017
Security(ies) — Limited partnership interests
Issuer of security(ies) — Corsair Special Opportunities Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Consolidated Billing Solutions, Inc.
150 Old Country Rd., Mineola, NY 11501
State or country in which incorporated — New York
Security(ies) — Series A preferred stock
Issuer of security(ies) — Consolidated Billing Solutions, Inc.
Address of issuer — Same as above
State or country in which organized — New York

Credit Suisse First Boston LLC
Eleven Madison Ave., Fifth Fl., New York, NY 10010
State or country in which incorporated — Delaware
Security(ies) — Asset-backed pass-through certificates, series 2003-W7, class M-4A
Issuer of security(ies) — Argent Securities Inc.
Address of issuer — 1100 Town & Country Rd., Suite 1100, Orange, CA 92868-4653
State or country in which organized — Delaware

Credit Suisse First Boston LLC
Eleven Madison Ave., Fifth Fl., New York, NY 10010
State or country in which incorporated — Delaware
Security(ies) — Asset-backed pass-through certificates, series 2003-W7, class M-4B
Issuer of security(ies) — Argent Securities Inc.
Address of issuer — 1100 Town & Country Rd., Suite 1100, Orange, CA 92868-4653
State or country in which organized — Delaware

Credit Suisse First Boston LLC
Eleven Madison Ave., Fifth Fl., New York, NY 10010
State or country in which incorporated — Delaware
Security(ies) — Asset-backed pass-through certificates, series 2003-W7, class M-5
Issuer of security(ies) — Argent Securities Inc.
Address of issuer — 1100 Town & Country Rd., Suite 1100, Orange,

CA 92868-4653

State or country in which organized — Delaware

Credit Suisse First Boston LLC

Eleven Madison Ave., Fifth Fl., New York, NY 10010

State or country in which incorporated — Delaware*Security(ies)* — Asset-backed pass-through certificates, series 2003-W7, class M-6*Issuer of security(ies)* — Argent Securities Inc.*Address of issuer* — 1100 Town & Country Rd., Suite 1100, Orange, CA 92868-4653*State or country in which organized* — Delaware

Credit Suisse First Boston LLC

Eleven Madison Ave., Fifth Fl., New York, NY 10010

State or country in which incorporated — Delaware*Security(ies)* — Floating rate notes due January 26, 2007*Issuer of security(ies)* — Corporacion Andina de Fomento.*Address of issuer* — Torre CAF Av. Luis Roche, Altamira Venezuela

Dobson Communications Corporation

14201 Wireless Way, Oklahoma City, OK 73134

State or country in which incorporated — Oklahoma*Security(ies)* — 8⁷/₈% senior notes due 2013*Issuer of security(ies)* — Dobson Communications Corporation*Address of issuer* — Same as above*State or country in which organized* — Oklahoma

DTAP Capital Partners, LLC

72 Cummings Point Rd., Stamford, CT 06902

Security(ies) — Limited liability company interests*Issuer of security(ies)* — DTAP Capital Partners, LLC*Address of issuer* — Same as above*State or country in which organized* — Delaware

Deutsche Bank Securities Inc.

60 Wall St., New York, NY 10005

State or country in which incorporated — Delaware*Security(ies)* — Asset-backed pass-through certificates, series 2003-W10, class M-4*Issuer of security(ies)* — Argent Securities Inc.*Address of issuer* — 1100 Town & Country Rd., Suite 1100, Orange, CA 92868-4653*State or country in which organized* — Delaware

Deutsche Bank Securities Inc.

60 Wall St., New York, NY 10005

State or country in which incorporated — Delaware*Security(ies)* — Asset-backed pass-through certificates, series 2003-W10, class M-5*Issuer of security(ies)* — Argent Securities Inc.*Address of issuer* — 1100 Town & Country Rd., Suite 1100, Orange, CA 92868-4653*State or country in which organized* — Delaware

Deutsche Bank Securities Inc.

60 Wall St., New York, NY 10005

State or country in which incorporated — Delaware*Security(ies)* — Asset-backed pass-through certificates, series 2003-W10, class M-6*Issuer of security(ies)* — Argent Securities Inc.*Address of issuer* — 1100 Town & Country Rd., Suite 1100, Orange, CA 92868-4653*State or country in which organized* — Delaware

East Seventh Street Partners, LP

98 Orchard St., Suite 4C, New York, NY 10002

Security(ies) — Limited partnership interests*Issuer of security(ies)* — East Seventh Street Partners, LP*Address of issuer* — Same as above*State or country in which organized* — New York

Edgewood Services, Inc.

5800 Corporate Dr., Pittsburgh, PA 15237

State or country in which incorporated — Maryland*Security(ies)* — Shares of beneficial interest*Issuer of security(ies)* — Regions Morgan Keegan Select Funds;

Regions Morgan Keegan Select Intermediate Tax Exempt; Bond Fund

Address of issuer — Same as above*State or country in which organized* — Massachusetts

EmiFinancial Corporation

3723 Haven Ave., Menlo Park, CA

State or country in which incorporated — California*Security(ies)* — Series A preferred shares*Issuer of security(ies)* — EmiFinancial Corporation*Address of issuer* — Same as above*State or country in which organized* — California

EWF Partners LLC

901 E. Cary St., Suite 1100, Richmond, VA 23219

State or country in which incorporated — Virginia*Security(ies)* — Limited liability company interests*Issuer of security(ies)* — EWF Partners LLC*Address of issuer* —

Excalibur Partners

Three Barnabas Rd., Marion, MA 02738

Security(ies) — Partnership interests*Issuer of security(ies)* — Excalibur Partners*Address of issuer* — Same as above*State or country in which organized* — Massachusetts

Fidelity Federal Bancorp

18 N.W. Fourth St., P.O. Box 1347, Evansville, IN 47706-1347

State or country in which incorporated — Indiana*Security(ies)* — Senior subordinated notes*Issuer of security(ies)* — Fidelity Federal Bancorp*Address of issuer* — Same as above*State or country in which organized* — Indiana

First Montauk Securities Corporation

328 Newman Springs Rd., Red Bank, NJ 07701

Security(ies) — Units (common stock and warrants)*Issuer of security(ies)* — AIRTRAX, INC.*Address of issuer* — 870B Central Ave., Hammonton, NJ 08037*State or country in which organized* — New Jersey

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest*Issuer of security(ies)* — FT 782 (California Municipal Income Closed-End Portfolio, Series 4)*Address of issuer* — Same as above*State or country in which organized* — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 796 (Precious Metals Portfolio, Series 2)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 801 (Financials Select Portfolio, Series 15)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 806 (The DowSM Target 10 Portfolio, February 2004 Series)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 809 (Preferred Tax-Advantaged Dividend Portfolio)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 810 (Senior Loan & High-Yield Closed-End Portfolio)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 811 (Convertible Closed-End Portfolio Series)
Address of issuer — Same as above
State or country in which organized — Illinois

Fonix Corporation
 9350 S. 150 E, Seventh Fl., Salt Lake City, UT 84070
State or country in which incorporated — Delaware
Security(ies) — Fonix Corporation series H preferred and common stock
Issuer of security(ies) — Fonix Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Forest Sands Development Drilling Project IX, L.P.
 % Mark Gasarch, Esq., 150 E. 58th St., 34th Fl. New York, NY 10155
Security(ies) — Limited partnership interests
Issuer of security(ies) — Forest Sands Development Drilling Project IX, L.P.
Address of issuer — Same as above
State or country in which organized — New York

Funds Distributor, Inc.
 60 State St., Boston, MA 02109
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — GMO Trust; GMO U.S. Quality Equity Fund

Address of issuer — % GMO Trust, 40 Rowes Wharf, Boston, MA 02110
State or country in which organized — Massachusetts

Genesis Strategic Investors QP, L.P.
 5202 Olympic Dr. NW, Suite 101, Gig Harbor, WA 98335
Security(ies) — Limited partnership interests
Issuer of security(ies) — Genesis Strategic Investors (QP), L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Gilford Securities, Inc.
 850 Third Ave., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Series A preferred stock
Issuer of security(ies) — Dara BioSciences, Inc.
Address of issuer — 1234 Airport Rd., Suite 105, Destin, FL 32541
State or country in which organized — Delaware

Global Resource Investments Ltd.
 7770 El Camino Rd., Carlsbad, CA 92009
State or country in which incorporated — California
Security(ies) — A unit consisting of one share of common stock and one share purchase warrant for a share of common stock
Issuer of security(ies) — Western GeoPower Corp.
Address of issuer — 837 W. Hastings St., Suite 411, Vancouver, BC V6C 3N6 Canada
State or country in which organized — Canada

Greenwich Capital Markets, Inc.
 600 Steamboat Rd., Greenwich, CT 06830
Security(ies) — Option one mortgage loan trust 2004-1, asset-backed certificates, series 2004-1, class M-6
Issuer of security(ies) — Option One Mortgage Acceptance Corporation
Address of issuer — Three Ada, Irvine, CA 92618
State or country in which organized — Delaware

Greenwich Northstar Associates, LLC
 P.O. Box 90, Old Greenwich, CT 06870
State or country in which incorporated — Connecticut
Security(ies) — Economic interest units
Issuer of security(ies) — Greenwich Northstar Associates, LLC
Address of issuer — Same as above
State or country in which organized — Connecticut

Group Investors Mortgage Trust I
 201 E. Main Dr., Seventh Fl., El Paso, TX 79901
Security(ies) — Common shares of beneficial interest
Issuer of security(ies) — Group Investors Mortgage Trust I
Address of issuer — Same as above
State or country in which organized — Maryland

Group Investors Mortgage Trust II
 201 E. Main Dr., Seventh Fl., El Paso, TX 79901
Security(ies) — Common shares of beneficial interest
Issuer of security(ies) — Group Investors Mortgage Trust II
Address of issuer — Same as above
State or country in which organized — Maryland

Growth Capital Partners II, LLC
 1109 First Ave., Suite 206, Seattle, WA 98101
State or country in which incorporated — Washington limited liability company
Security(ies) — Class B units

Issuer of security(ies) — Growth Capital Partners II, LLC
Address of issuer — Same as above
State or country in which organized — Washington

Harris Nesbitt Corp.
 Three Times Square, New York, NY 10036
State or country in which incorporated — Canada
Security(ies) — Common stock
Issuer of security(ies) — Apollo Gold Corporation
Address of issuer — 4601 DTC Blvd., Suite 750, Denver, CO 80237-2571
State or country in which organized — Canada

Hennion & Walsh, Inc.
 2001 Rte. 46, Waterview Plaza, Parsippany, NJ 07054
State or country in which incorporated — New Jersey
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Smart Trust, Smart Ten Trust, Series 1
Address of issuer — Same as above
State or country in which organized — New York

Hubco Exploration Inc.
 10 Plymouth Rd., Rye, NY 10580
State or country in which incorporated — Delaware
Security(ies) — 15% per annum six month promissory notes
Issuer of security(ies) — Hubco Exploration, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Hygrove Select LP
 350 Madison Ave., 21st Fl., New York, NY 10017
Security(ies) — Limited partnership interests
Issuer of security(ies) — Hygrove Select LP
Address of issuer — Same as above
State or country in which organized — Delaware

Ibrix, Inc.
 450 Bedford St., Lexington, MA 02420
State or country in which incorporated — Delaware
Security(ies) — Series C preferred stock
Issuer of security(ies) — Ibrix, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

ICOA, Inc.
 111 Airport Rd., Warwick, RI 02889
State or country in which incorporated — Nevada
Security(ies) — Common stock
Issuer of security(ies) — ICOA, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Illinois Emerging Technologies Fund, LP
 2255 W. Harrison, Suite C, Chicago, IL 60612
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interests
Issuer of security(ies) — Illinois Emerging Technologies Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Infinium Labs, Inc.
 2033 Main St., Suite 309, Sarasota, FL 34237
State or country in which incorporated — Delaware
Security(ies) — Common stock

Issuer of security(ies) — Infinium Labs, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Information Security Corporation
 1141 Lake Cook Rd., Suite D, Deerfield, IL 60015
State or country in which incorporated — Illinois
Security(ies) — Common stock
Issuer of security(ies) — Information Security Corporation
Address of issuer — Same as above
State or country in which organized — Illinois

Investors First Home Mortgage Trust
 201 E. Main Dr., Seventh Fl., El Paso, TX 79901
Security(ies) — common shares of beneficial interest
Issuer of security(ies) — Investors First Home Mortgage Trust
Address of issuer — Same as above
State or country in which organized — Maryland

Jefferson Securities, Inc.
 1211 SW Fifth Ave., Suite 2250, Portland, OR 97204
State or country in which incorporated — Oregon
Security(ies) — Membership interest in limited liability company
Issuer of security(ies) — SKB-TCB, LLC
Address of issuer — Same as above
State or country in which organized — Oregon

Jet Gold Corporation
 1102-475 Howe St., Vancouver, B.C. V6C2B3
State or country in which incorporated — British Columbia, Canada
Security(ies) — Common shares
Issuer of security(ies) — Jet Gold Corporation
Address of issuer — Same as above
State or country in which organized — British Columbia, Canada

Jet Gold Corporation
 1102-475 Howe St., Vancouver, B.C. V6C2B3
State or country in which incorporated — British Columbia, Canada
Security(ies) — Warrants
Issuer of security(ies) — Jet Gold Corporation
Address of issuer — Same as above
State or country in which organized — British Columbia, Canada

Keefe, Bruyette & Woods, Inc.
 211 Bradenton Dr., Dublin, OH 43017-5034
Security(ies) — Common stock, par value \$0.01 per share
Issuer of security(ies) — Citizens Community Bancorp
Address of issuer — 2174 Eastridge Center, Eau Claire, WI 54701

Legg Mason Income Trust, Inc.
 100 Light St., 29th Fl., Baltimore, MD 21202
Security(ies) — Shares of capital stock, par value \$0.001 per share;
 Legg Mason Income Trust, Inc.-Legg Mason Core Bond Fund-primary class
Issuer of security(ies) — Legg Mason Income Trust, Inc.-Legg Mason Core Cond Fund
Address of issuer — Same as above
State or country in which organized — Maryland

Liberty Corner Cash Management, LLC
 47 Maple St., Suite 101, Summit, NJ 07901
State or country in which incorporated — Delaware limited liability company
Security(ies) — Units of beneficial interest of cash sweep fund

Issuer of security(ies) — Liberty Corner Freedom Trust
Address of issuer — Same as above
State or country in which organized — Delaware

LMP #1, LLC
 900 Third Ave., Suite 201, New York, NY 10022
State or country in which incorporated — New York
Security(ies) — Class A membership interests
Issuer of security(ies) — LMP #1, LLC
Address of issuer — Same as above
State or country in which organized — New York

Malory Investments, LLC
 520 S. Sepulveda Blvd., Suite 308, Los Angeles, CA 90049
State or country in which incorporated — California
Security(ies) — Common stock
Issuer of security(ies) — Global Imaging Centers, Inc.
Address of issuer — Six Venture, Suite 100, Irvine, CA 92618
State or country in which organized — Nevada

McGinn, Smith & Co., Inc.
 Capital Center, Fifth Fl., 99 Pine St., Albany, NY 12207
Security(ies) — One unit consisting of secured senior and secured junior notes
Issuer of security(ies) — First Excelsior Income Notes LLC
Address of issuer — Same as above
State or country in which organized — New York

M.D. Sass Municipal Arbitrage Fund, LLC
 1185 Avenue of the Americas, New York, NY 10036
Security(ies) — Class A interests
Issuer of security(ies) — M.D. Sass Municipal Arbitrage Fund, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Medis Technologies Ltd.
 805 Third Ave., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Common stock par value .01 per share
Issuer of security(ies) — Medis Technologies Ltd.
Address of issuer — Same as above
State or country in which organized — Delaware

Merchanthouse Securities, Inc., The
 7700 Canterbury St., Prairie Village, KS 66208
State or country in which incorporated — Delaware
Security(ies) — Series A 8% non-convertible preferred stock
Issuer of security(ies) — Merchant Capital, Inc.
Address of issuer — % InFlot, Inc. 200 EAB Plaza, Fifth Fl., West Tower, Unionale, NY 11556
State or country in which organized — New York

Meridian United Capital, LLC
 8800 N. Gainey Dr., Suite 279, Scottsdale, AZ 85258-2133
State or country in which incorporated — Arizona
Security(ies) — Class A units
Issuer of security(ies) — Meridian Insured Fund I - Series A, LLC
Address of issuer — Same as above
State or country in which organized — Missouri

Miller Johnson Steichen Kinnard, Inc.
 60 S. Sixth St., Suite 3000, Minneapolis, MN 55402
State or country in which incorporated — Minnesota
Security(ies) — Common stock

Issuer of security(ies) — Yugma, Inc.
Address of issuer — 6440 Flying Cloud Dr., #106, Eden Prairie, MN 55344
State or country in which organized — Minnesota

MINRAD Inc.
 847 Main St., Buffalo, NY 14203
State or country in which incorporated — Delaware
Security(ies) — Convertible note
Issuer of security(ies) — MINRAD Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Montebello Growth Fund, LP
 75 Montebello Park, Suffern, NY 10901
Security(ies) — Limited partnership interests
Issuer of security(ies) — Montebello Growth Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Morgan Stanley & Co. Incorporated; (2) Piper Jaffray & Co.; (3) SG Cowen Securities Corporation; (4) Leerink Swann & Company
 (1) 1585 Broadway, New York, NY 10036; (2) 800 Nicollet Mall, Minneapolis, MN 55402; (3) 1221 Avenue of the Americas, New York, NY 10020; (4) One Federal St. Boston, MA 02210
State or country in which incorporated — (1&2) Delaware; (3) New York, (4) Massachusetts
Security(ies) — Common stock
Issuer of security(ies) — Onyx Pharmaceuticals, Inc.
Address of issuer — 3031 Research Dr., Richmond, CA 94806
State or country in which organized — Delaware

Morphotek, Inc.
 210 Welsh Pool Rd., Exton, PA 19341
State or country in which incorporated — Delaware
Security(ies) — Series C convertible redeemable preferred stock
Issuer of security(ies) — Morphotek, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Music Holdings Inc.
 130 Fifth Ave., Seventh Fl., New York, NY 10011
Security(ies) — Class A preferred membership interests
Issuer of security(ies) — Music Holdings, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Music Holdings Inc.
 130 Fifth Ave., Seventh Fl., New York, NY 10011
Security(ies) — Class A1 membership interests
Issuer of security(ies) — Music Holdings, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Nano-Cap New Millennium Growth Fund LP
 1688 Victory Blvd., Suite 101, Staten Island, NY 10314
Security(ies) — Limited partnership interests
Issuer of security(ies) — Nano-Cap Ne Millennium Growth Fund LP
Address of issuer — Same as above
State or country in which organized — Delaware

Netsync Internet Services Corporation
 PO Box 209, Fredonia, NY 14063-0209
State or country in which incorporated — New York

Security(ies) — Notes -demand, three year and five year terms
Issuer of security(ies) — Netsync Internet Services Corporation
Address of issuer — Same as above
State or country in which organized — New York

Nothing Partners LLP
 Three Barnabas Rd., Marion, MA 02738
Security(ies) — Partnership interests
Issuer of security(ies) — Nothing Partners LLP
Address of issuer — Same as above
State or country in which organized — Massachusetts

Olympian Investments LLC
 200 S. Wacker Dr., Suite 2000, Chicago, IL 60606
State or country in which incorporated — Delaware limited liability company
Security(ies) — Limited liability company interests
Issuer of security(ies) — Olympian Investments LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Oppenheimer Funds Distributor, Inc.
 Two World Financial Center 225 Liberty St., 11th Fl., New York, NY 10281-1008
State or country in which incorporated — New York
Security(ies) — Shares of beneficial interest, \$.001 par value, class A shares
Issuer of security(ies) — Oppenheimer Limited Term California Municipal Fund
Address of issuer — 6803 S. Tucson Way, Centennial, CO 80112-3924
State or country in which organized — Massachusetts

Oppenheimer Funds Distributor, Inc.
 Two World Financial Center 225 Liberty St., 11th Fl., New York, NY 10281-1008
State or country in which incorporated — New York
Security(ies) — Shares of beneficial interest, \$.001 par value, class B shares
Issuer of security(ies) — Oppenheimer Limited Term California Municipal Fund
Address of issuer — 6803 S. Tucson Way, Centennial, CO 80112-3924
State or country in which organized — Massachusetts

Oppenheimer Funds Distributor, Inc.
 Two World Financial Center 225 Liberty St., 11th Fl., New York, NY 10281-1008
State or country in which incorporated — New York
Security(ies) — Shares of beneficial interest, \$.001 par value, class C shares
Issuer of security(ies) — Oppenheimer Limited Term California Municipal Fund
Address of issuer — 6803 S. Tucson Way, Centennial, CO 80112-3924
State or country in which organized — Massachusetts

Oppenheimer Funds Distributor, Inc.
 Two World Financial Center 225 Liberty St., 11th Fl., New York, NY 10281-1008
State or country in which incorporated — New York
Security(ies) — Shares of beneficial interest, \$.001 par value, class Y shares
Issuer of security(ies) — Oppenheimer Limited Term California Municipal Fund
Address of issuer — 6803 S. Tucson Way, Centennial, CO 80112-3924
State or country in which organized — Massachusetts

Oxbridge Capital Fund II, LLC
 590 Madison Ave., New York, NY 10022
State or country in which incorporated — New York limited liability company
Security(ies) — Class A membership interest
Issuer of security(ies) — Oxbridge Capital Fund II, LLC
Address of issuer — Same as above
State or country in which organized — New York limited liability company

Phi Partners LLP
 Three Barnabas Rd., Marion, MA 02738
Security(ies) — Partnership interests
Issuer of security(ies) — Phi Partners LLP
Address of issuer — Same as above
State or country in which organized — Massachusetts

Polar Capital European Market Neutral Absolute Return Fund Limited % BISYS Hedge Fund Services (Cayman) Limited; P.O. Box 30362 SMB, Third Fl., Harbour Centre, George Town, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands
Security(ies) — Class A participating shares
Issuer of security(ies) — Polar Capital European Market Neutral Absolute Return Fund Limited
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Polar Capital European Market Neutral Absolute Return Fund Limited % BISYS Hedge Fund Services (Cayman) Limited; P.O. Box 30362 SMB, Third Fl., Harbour Centre, George Town, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands
Security(ies) — Class B participating shares
Issuer of security(ies) — Polar Capital European Market Neutral Absolute Return Fund Limited
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Polar Capital European Market Neutral Absolute Return Fund Limited % BISYS Hedge Fund Services (Cayman) Limited; P.O. Box 30362 SMB, Third Fl., Harbour Centre, George Town, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands
Security(ies) — Class C participating shares
Issuer of security(ies) — Polar Capital European Market Neutral Absolute Return Fund Limited
Address of issuer — Same as above
State or country in which organized — Cayman Islands

ProFunds Ultra Short Mid-Cap ProFund
 BISYS 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
Security(ies) — Units of beneficial interest
Issuer of security(ies) — ProFunds Distributors, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

ProFunds Ultra Short Small-Cap ProFund
 BISYS 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
Security(ies) — Units of beneficial interest
Issuer of security(ies) — ProFunds Distributors, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Quixote Capital Partners, L.P.
5619 DTC Pkwy., Suite 100, Greenwood Village, CO 80111
Security(ies) — Limited partnership interests
Issuer of security(ies) — Quixote Capital Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Recreation Insurance Association, Inc.
26 Waterford Dr., Englewood, FL 34223-2012
State or country in which incorporated — Ohio
Security(ies) — 4% junior promissory notes due December 31, 2003
Issuer of security(ies) — Recreation Insurance Association, Inc.
Address of issuer — Same as above
State or country in which organized — Ohio

Recreation Insurance Association, Inc.
26 Waterford Dr., Englewood, FL 34223-2012
State or country in which incorporated — Ohio
Security(ies) — Convertible preferred stock 4% annual dividend
Issuer of security(ies) — Recreation Insurance Association, Inc.
Address of issuer — Same as above
State or country in which organized — Ohio

Resin Systems Inc.
14604-115A Avenue, Edmonton, Alberta T5M 3M5, Canada
State or country in which incorporated — Alberta, Canada
Security(ies) — Units consisting of common shares and common share purchase warrants
Issuer of security(ies) — Resin Systems Inc.
Address of issuer — Same as above
State or country in which organized — Alberta, Canada

Rockwood Inc.
830 Third Ave., New York, NY 10022
State or country in which incorporated — Connecticut
Security(ies) — 6% senior secured subordinated convertible promissory notes
Issuer of security(ies) — MediaBay, Inc.
Address of issuer — 2 Ridgedale Ave., P.O. Box 321, Cedar Knolls, NJ 07927-0321
State or country in which organized — Florida

Rockwood Inc.
830 Third Ave., New York, NY 10022
State or country in which incorporated — Connecticut
Security(ies) — Warrants
Issuer of security(ies) — MediaBay, Inc.
Address of issuer — 2 Ridgedale Ave., P.O. Box 321, Cedar Knolls, NJ 07927-0321
State or country in which organized — Florida

Salient Corporation
203 Colonial Dr., Horseheads, NY 14845
State or country in which incorporated — New York
Security(ies) — Class B common non-voting shares
Issuer of security(ies) — Salient Corporation
Address of issuer — Same as above
State or country in which organized — New York

SEI Absolute Return Fund, L.P.
% The CT Corporation Systems, Two Oliver St., Boston, MA 02109
State or country in which incorporated — Pennsylvania
Security(ies) — Shares of common stock

Issuer of security(ies) — SEI Absolute Return Fund, L.P.
Address of issuer — Same as above

SEI Investments Distribution Co.
One Freedom Valley Rd., Oaks, Pennsylvania 19456
State or country in which incorporated — Pennsylvania
Security(ies) — Shares of common stock
Issuer of security(ies) — SEI Opportunity Fund, L.P.
Address of issuer — % The CT Corporation Systems, Two Oliver St., Boston, MA 02109
State or country in which organized — Delaware

Serviceware Technologies, Inc.
One Northshore Centre, Suite 503, 12 Federal St., Pittsburgh, PA 15212
State or country in which incorporated — Delaware
Security(ies) — Common stock with warrants
Issuer of security(ies) — Serviceware Technologies, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Shannon River Partners II LP
650 Fifth Avenue, Sixth Fl., New York, NY 10019
Security(ies) — Limited partnership interests
Issuer of security(ies) — Shannon River Partners II LP
Address of issuer — Same as above
State or country in which organized — Delaware

Sigma Opportunity Fund, LLC
800 Third Ave., New York, NY 10022
Security(ies) — Membership interests
Issuer of security(ies) — Sigma Opportunity Fund, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Solvay Bank Corp.
1537 Milton Ave., Solvay, NY 13209
State or country in which incorporated — New York
Security(ies) — Solvay Bank Corp. voting common stock
Issuer of security(ies) — Solvay Bank Corp.
Address of issuer — Same as above
State or country in which organized — New York

Super Power, Inc.
450 Duane Ave., Schenectady, NY 12304
State or country in which incorporated — Delaware
Security(ies) — Common stock, par value \$.01 per share
Issuer of security(ies) — SuperPower, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Thurlow Funds, Inc. The; Thurlow Growth Fund
1256 Forest Ave., Palo Alto, CA 94301
State or country in which incorporated — Ohio
Security(ies) — The Thurlow Funds, Inc. (shares of beneficial interest)
Thurlow Growth Fund
Issuer of security(ies) — The Thurlow Funds, Inc.
Address of issuer — Same as above
State or country in which organized — Maryland

UBS Financial Services Inc.
1285 Avenue of the Americas, New York, NY 10019
State or country in which incorporated — Delaware
Security(ies) — Units of fractional undivided interests in the equity opportunity trust dividend income value strategy series 2004A

Issuer of security(ies) — Equity Opportunity Trust, Dividend Income Value Strategy Series 2004A

Address of issuer — Same as above

State or country in which organized — New York

UBS Securities LLC

1285 Avenue of the Americas, New York, NY 10019

State or country in which incorporated — Delaware

Security(ies) — Asset-backed pass-through certificates, series 2003-W9, class M-4A

Issuer of security(ies) — Argent Securities Inc.

Address of issuer — 1100 Town & Country Rd., Suite 1100, Orange, CA 92868-4653

State or country in which organized — Delaware

UBS Securities LLC

1285 Avenue of the Americas, New York, NY 10019

State or country in which incorporated — Delaware

Security(ies) — Asset-backed pass-through certificates, series 2003-W9, class M-4B

Issuer of security(ies) — Argent Securities Inc.

Address of issuer — 1100 Town & Country Rd., Suite 1100, Orange, CA 92868-4653

State or country in which organized — Delaware

UBS Securities LLC

1285 Avenue of the Americas, New York, NY 10019

State or country in which incorporated — Delaware

Security(ies) — Asset-backed pass-through certificates, series 2003-W9, class M-5

Issuer of security(ies) — Argent Securities Inc.

Address of issuer — 1100 Town & Country Rd., Suite 1100, Orange, CA 92868-4653

State or country in which organized — Delaware

UBS Securities LLC

1285 Avenue of the Americas, New York, NY 10019

State or country in which incorporated — Delaware

Security(ies) — Asset-backed pass-through certificates, series 2003-W9, class M-6

Issuer of security(ies) — Argent Securities Inc.

Address of issuer — 1100 Town & Country Rd., Suite 1100, Orange, CA 92868-4653

State or country in which organized — Delaware

UBS Securities LLC

229 Park Ave., 34th Fl., New York, NY 10171

State or country in which incorporated — Delaware

Security(ies) — Common stock

Issuer of security(ies) — Annaly Mortgage Management, Inc.

Address of issuer — 1211 Avenue of the Americas, Suite 2902, New York, NY 10036

State or country in which organized — Maryland

UBS Securities LLC; (2) Goldman, Sachs & Co.; (3) Bear, Stearns & Co. Inc.

(1) 677 Washington Blvd., Stamford, CT 06901; (2) 85 Broad St., New York, NY 10004; (3) 383 Madison Ave., New York, NY 10179

State or country in which incorporated — (1) Delaware LLC; (2) New York partnership; (3) Delaware

Security(ies) — —% senior discount notes due 2012

Issuer of security(ies) — UAP Holding Corp.

Address of issuer — 7251 W. Fourth St., Greeley, CO 80634

State or country in which organized — Delaware

Valentis, Inc.

863A Mitten Rd., Burlingame, CA 94010

State or country in which incorporated — Delaware

Security(ies) — Private placement of common stock and warrants to purchase common stock

Issuer of security(ies) — Valentis, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Dividend Income & Value Portfolio 2004-1

Address of issuer — Same as above

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Preferred Securities Portfolio, Series 3

Address of issuer — Same as above

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Van Kampen unit trusts, municipal series 479 (New York insured municipals income trust, series 170)

Address of issuer — Same as above

State or country in which organized — New York

Vista Drilling Program 2003-2 Limited Partnership

61 McMurray Rd., Suite 300, Pittsburgh, PA 15241

Security(ies) — Units of general/limited partnership interests

Issuer of security(ies) — Vista Drilling Program 2003-2 Limited Partnership

Address of issuer — Same as above

State or country in which organized — Pennsylvania

Vonage Holdings Corp.

2147 State Rte. 27, Edison, NJ 08817

State or country in which incorporated — Delaware

Security(ies) — Series C convertible preferred stock

Issuer of security(ies) — Vonage Holdings Corp.

Address of issuer — 2147 State Rte. 27, Edison, NJ 08817

State or country in which organized — Delaware

Wegmans Food Markets, Inc.

1500 Brooks Avenue, P.O. Box 30844, Rochester, NY 14603-0844

State or country in which incorporated — New York

Security(ies) — Common stock

Issuer of security(ies) — Wegmans Food Markets, Inc.

Address of issuer — Same as above

State or country in which organized — New York

W.H. Reaves & Co., Inc.

10 Exchange Place, Jersey City, NJ 07302

State or country in which incorporated — Delaware

Security(ies) — Common stock

Issuer of security(ies) — W.H. Reaves & Co., Inc.

Address of issuer — Same as above
State or country in which organized — Delaware

Willsquare Holdings, LLC
35 Purchase St., Rye, NY 10580
State or country in which incorporated — Delaware
Security(ies) — Membership units
Issuer of security(ies) — Willsquare Holdings, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Zoltek Companies, Inc.
3101 McKelvey Rd., St. Louis, MO 63044
State or country in which incorporated — Missouri
Security(ies) — One unit consisting of 6% convertible debenture due
July 13, 2006 and warrant, \$0.01 par value per share
Issuer of security(ies) — Zoltek Companies, Inc.
Address of issuer — Same as above
State or country in which organized — Missouri