

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

27 Equity Partners, LP
Nine Lincoln St., Weston, MA 02493
Partnership — 27 Capital Management, LLC

4204247 Canada Inc.
435 Trunk Rd., 3rd Fl., Duncan, BC, Canada V9L 2P9
State or country in which incorporated — Canada

Adar Investment Partners LP
156 W. 56th St., Suite 801, New York, NY 10019
Partnership — Adar Managing GP and Adar Investment Management
LLC

Alkeon Growth Insurance Fund, LP
350 Madison Ave., New York, NY 10017
Partnership — Alkeon Capital Advisers, LLC

Allied Extruders Acquisition, Inc.
36-08 Review Ave., Long Island City, NY 11101
State or country in which incorporated — Delaware

AlphaSimplex Global Commodities and Currencies Fund, L.P.
One Cambridge Center, Cambridge, MA 02142
Partnership — AlphaSimplex GP, LLC

AlphaSimplex Global Commodities and Currencies Offshore Fund, Ltd.
% Citco Fund Services (Cayman Islands) Limited, Corporate Centre,
West Bay Rd., P.O. Box 31106 SMB, Grand Cayman, Cayman Islands,
British West Indies
State or country in which incorporated — Cayman Islands

Amplify, LLC
156 W. 56th St., Suite 1803, New York, NY 10019
State or country in which incorporated — New Hampshire

Arden Alternative Advisers SPC
% Fortis Fund Services (Cayman) Limited, P.O. Box 2003GT, Grand
Pavillion Commercial Center, 802 W. Bay Rd., Grand Cayman, Cayman
Islands, British West Indies
State or country in which incorporated — Cayman Islands

Arden ERISA Fund, Ltd.
% Queensgate Bank & Trust Co., Ltd., Harbour Place, S. Church St.,
P.O. Box 30464 SMB, Grand Cayman, Cayman Islands, British West
Indies
State or country in which incorporated — Cayman Islands

Arden Institutional Advisers II, L.P.
350 Park Ave., 29th Fl., New York, NY 10022
Partnership — Arden Capital Management, L.L.C.

Bartlett Investors L.P.
643 Oenoke Ridge, New Canaan, CT 06840
Partnership — James W. Bartlett, general partner

BNS Long/Short Fund, LP
660 Madison Ave., 14th Fl., New York, NY 10021
Partnership — BNS Long/Short Management, LLC

BNS Market-Neutral Fund, LP
660 Madison Ave., 14th Fl., New York, NY 10021
Partnership — BNS Market-Neutral Management, LLC

Bruce Fund, Inc.
20 N. Wacker Dr., Suite 2414, Chicago, IL 60606
State or country in which incorporated — Maryland

China Unistone Acquisition Corporation
Four Columbus Circle, 5th Fl., New York, NY 10019
State or country in which incorporated — Delaware

CIMAS LLC
30 S. Pearl St., Albany, NY 12207
State or country in which incorporated — New York

Conversion Services International, Inc.
100 Eagle Rock Ave., East Hanover, NJ 07936
State or country in which incorporated — Delaware

Copernico Latin America Strategic Fund
Butterfield House, 68 Fort St., P.O. Box 705GT, Grand Cayman,
Cayman Islands, BWI Bermuda
Partnership — Copernico Capital Partners (Bermuda) Ltd.

Copernico Argentina Fund
Butterfield House, 68 Fort St., P.O. Box 705GT, Grand Cayman,
Cayman Islands, BWI Bermuda
Partnership — Copernico Capital Partners (Bermuda) Ltd.

Corneille Offshore Fund, Ltd.
% Kismet Capital Advisors, LLC, 69 Lawrence Lane, Bay Shore, NY
11706

Creative Equipment Funding, L.L.C.
509 N. Carrollton Ave., New Orleans, LA 70119

Direct Mail Holdings, Inc.
820 Bear Tavern Rd., Suite 100, Trenton, NJ 08628
State or country in which incorporated — Delaware

Douglas Scott Securities, Inc.
101 W. Renner Rd., Suite 480, Richardson, TX 75082
State or country in which incorporated — Texas

fluidnet, LLC
103R Winnicutt Rd., Stratham, NH 03885
State or country in which incorporated — Delaware

Freeman Fair Value Fund (Cayman) I, Ltd.
% M&C Corporate Services Ltd., Uglan House, P.O. Box 309 GT,
Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands

Gartmore Mutual Funds—Gartmore Actively Managed Aggressive
Asset Allocation Fund
BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219
State or country in which incorporated — Ohio

Gartmore Mutual Funds—Gartmore Actively Managed Moderately
Aggressive Asset Allocation Fund
BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219
State or country in which incorporated — Ohio

Gartmore Mutual Funds—Gartmore Actively Managed Moderate Asset
Allocation Fund
BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219
State or country in which incorporated — Ohio

Gartmore Mutual Funds—Gartmore Actively Managed Specialty Asset
Allocation Fund
BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219
State or country in which incorporated — Ohio

Gartmore Mutual Funds—Gartmore Global Natural Resources Fund
BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219
State or country in which incorporated — Ohio

Global Group Holdings, Inc.
270 S. Service Rd., Suite 25, Melville, NY 11747
State or country in which incorporated — Delaware

Kinyan Capital Offshore Fund, Ltd.
% Consolidated Fund Management, 3rd Fl., Par La Ville Place, 14 Par
La Ville Rd., P.O. Box HM 2257, Hamilton, Bermuda HM JX
State or country in which incorporated — Cayman Islands

Lease Equity Appreciation Fund II, L.P.
1845 Walnut St., 10th Fl., Philadelphia, PA 15103
Partnership — Leaf Asset Management, Inc.

Markland Technologies, Inc.
54 Danbury Rd., #207, Ridgefield, CT 06877
State or country in which incorporated — Florida

Marshfield Insurance II, LLC
21 Dupont Circle NW, Suite 500, Washington, DC 20036
Partnership — Marshfield Management II, LLC

Meritage Value Partners LLC
% Meritage Properties LLC, Two Overhill Rd., Suite 425, Scarsdale,
NY 10583

Nams International Incorporated
P.O. Box 2341, Binghamton, NY 13902-2341
State or country in which incorporated — New York

National Research Exchange, The
111 W. 67th St., Suite C, New York, NY 10023
State or country in which incorporated — Delaware

Natural Gas Systems, Inc.
820 Gessner St., Suite 1340, Houston, TX 77024
State or country in which incorporated — Nevada

Patriot LNG, Ltd.
3300 N A St., Bldg. 2, Suite 100, Midland, TX 79705
Partnership — Patriot Gas Liquids, Inc.

Pioneer Funds Distributor, Inc.
60 State St., 19th Fl., Boston, MA 02109
State or country in which incorporated — Massachusetts

Quant-Fx Partners, Ltd.
3300 University Dr., Suite 311, Coral Springs, FL 33065
Partnership — Quant-Fx Management, LLC

Rhattigan Broadcasting, LLC
5511 50th St., #1606, Lubbock, TX 79414
State or country in which incorporated — Delaware

Ridgemark Biomedical Fund, L.P.
400 King St., Bldg. #3, Chappaqua, NY 10514
Partnership — Ridgemark Partners, LLC

Rodman & Renshwa, LLC
330 Madison Ave., 27th Fl., New York, NY 10017
State or country in which incorporated — Delaware

Sandler O'Neill & Partners, L.P.
919 Third Ave., 6th Fl., New York, NY 10022
Partnership — Sandler O'Neill & Partners Corp.

Scuderia Niosi, LLC
% The Law Offices of Ruthann G. Niosi, Esq. PC, 400 Park Ave., Suite 820, New York, NY 10022
State or country in which incorporated — Delaware limited liability company

Selectinvest Arbitrage/Relative Value Ltd.
% RK Consulting (Cayman), Ltd., Cayman Corporate Center, 27 Hospital Rd., P.O. Box 1748GT, George Town, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands

Selectinvest Arbitrage/Relative Value II Ltd.
% RK Consulting (Cayman), Ltd., Cayman Corporate Center, 27 Hospital Rd., P.O. Box 1748GT, George Town, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands

Severn River Capital Fund, Ltd.
% GlobeOp Financial Services (Cayman) Ltd., Walker House, Mary St., George Town, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands

Severn River Capital Partners, LP
Eight Greenwich Office Park, Greenwich, CT 06831
Partnership — Severn River Capital, LLC

SmartServ Online, Inc.
2250 Butler Pike, Suite 150, Plymouth Meeting, PA 19462
State or country in which incorporated — Delaware

Spencer Trask Ventures, Inc.
535 Madison Ave., New York, NY 10022
State or country in which incorporated — Delaware

Summer Wind Yacht Charters, LLC
134 Guygrace Lane, Webster, NY 14580
State or country in which incorporated — New York

Templeton Global Smaller Companies Fund
% Legal/Blue Sky Department, One Franklin Pkwy., San Mateo, CA 94403-1906
State or country in which incorporated — Delaware

UT Technology Fund, Ltd.
% Trident Fund Services (B.V.I.) Limited, Trident Chambers, P.O. Box 146, Road Town, Tortola, British Virgin Islands
State or country in which incorporated — Cayman Islands

UT Technology Partners I, L.P.
350 Madison Ave., New York, NY 10017
Partnership — UTCM, L.L.C.

UT Technology Partners II, L.P.
350 Madison Ave., New York, NY 10017
Partnership — UTCM, L.L.C.

Volumetrics Medical Imaging, Inc.
P.O. Box 2996, Durham, NC 27715
State or country in which incorporated — Delaware

Waddajuce, LLC
606 Post Rd. E, Suite 722, Westport, CT 06880
State or country in which incorporated — Delaware

Wealth Street Management, L.P.
64 Norris Ave., Metuchen, NJ 08840
Partnership — Wealth Street, LLC

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY
DEALERS; BROKERS;
SYNDICATE MANAGERS

27 Equity Partners, LP
Nine Lincoln St., Weston, MA 02493
Security(ies) — Limited partnership interests
Issuer of security(ies) — 27 Equity Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

4204247 Canada Inc.
435 Trunk Rd., 3rd Fl., Duncan, BC, Canada V9L 2P9
State or country in which incorporated — Canada
Security(ies) — Common shares
Issuer of security(ies) — 4204247 Canada Inc.
Address of issuer — Same as above
State or country in which organized — Canada

4204247 Canada Inc.
435 Trunk Rd., 3rd Fl., Duncan, BC, Canada V9L 2P9
State or country in which incorporated — Canada
Security(ies) — Units consisting of secured bonds and common shares
Issuer of security(ies) — 4204247 Canada Inc.
Address of issuer — Same as above
State or country in which organized — Canada

4204247 Canada Inc.
435 Trunk Rd., 3rd Fl., Duncan, BC, Canada V9L 2P9

State or country in which incorporated — Canada
Security(ies) — Warrants (to purchase units consisting of secured bonds and common shares)
Issuer of security(ies) — 4204247 Canada Inc.
Address of issuer — Same as above
State or country in which organized — Canada

Adar Investment Partners LP
 156 W. 56th St., Suite 801, New York, NY 10019
Security(ies) — Limited partnership interests
Issuer of security(ies) — Adar Investment Partners LP
Address of issuer — Same as above
State or country in which organized — Delaware

Alkeon Growth Insurance Fund, LP
 350 Madison Ave., New York, NY 10017
Security(ies) — Limited partnership interests
Issuer of security(ies) — Alkeon Growth Insurance Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Allied Extruders Acquisition, Inc.
 36-08 Review Ave., Long Island City, NY 11101
State or country in which incorporated — Delaware
Security(ies) — Series A convertible preferred stock
Issuer of security(ies) — Allied Extruders Acquisition, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

AlphaSimplex Global Commodities and Currencies Fund, LP.
 One Cambridge Center, Cambridge, MA 02142
Security(ies) — Limited partnership interest
Issuer of security(ies) — AlphaSimplex Global Commodities and Currencies Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

AlphaSimplex Global Commodities and Currencies Offshore Fund, Ltd.
 % Citco Fund Services (Cayman Islands) Limited, Corporate Centre, West Bay Rd., P.O. Box 31106 SMB, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares, par value \$0.01 (U.S.) per share
Issuer of security(ies) — AlphaSimplex Global Commodities and Currencies Offshore Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Amplify, LLC
 156 W. 56th St., Suite 1803, New York, NY 10019
State or country in which incorporated — New Hampshire
Security(ies) — Class E preferred units
Issuer of security(ies) — Amplify, LLC
Address of issuer — Same as above
State or country in which organized — New Hampshire

Apple Hospitality Five, Inc.
 10 S. Third St., Richmond, VA 23219
State or country in which incorporated — Virginia
Security(ies) — Units (each unit is equal to one common share, no par value, and one series A preferred share)

Issuer of security(ies) — Apple Hospitality Five, Inc.
Address of issuer — Same as above
State or country in which organized — United States

Arden Alternative Advisers SPC
 % Fortis Fund Services (Cayman) Limited, P.O. Box 2003GT, Grand Pavillion Commercial Center, 802 W. Bay Rd., Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares
Issuer of security(ies) — Arden Alternative Advisers SPC
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Arden ERISA Fund, Ltd.
 % Queensgate Bank & Trust Co., Ltd., Harbour Place, S. Church St., P.O. Box 30464 SMB, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares, par value \$0.01 U.S. per share (the shares)
Issuer of security(ies) — Arden ERISA Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Arden Institutional Advisers II, L.P.
 350 Park Ave., 29th Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Arden Institutional Advisers II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Bartlett Investors L.P.
 643 Oenoke Ridge, New Canaan, CT 06840
Security(ies) — Limited partnership interests
Issuer of security(ies) — Bartlett Investors L.P.
Address of issuer — Same as above
State or country in which organized — New York

Bear, Stearns & Co. Inc.
 383 Madison Ave., New York, NY 10179
State or country in which incorporated — Delaware
Security(ies) — Class A common stock
Issuer of security(ies) — Hub Group, Inc.
Address of issuer — 3050 Highland Pkwy., Downers Grove, IL 60515
State or country in which organized — Delaware

BNS Long/Short Fund, LP
 660 Madison Ave., 14th Fl., New York, NY 10021
Security(ies) — Limited partnership interests
Issuer of security(ies) — BNS Long/Short Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

BNS Market-Neutral Fund, LP
 660 Madison Ave., 14th Fl., New York, NY 10021
Security(ies) — Limited partnership interests
Issuer of security(ies) — BNS Market-Neutral Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Brookwood Securities Partners, L.P.
 50 Dunham Rd., Beverly, MA 01915

State or country in which incorporated — Delaware limited partnership
Security(ies) — Units of limited liability company interest
Issuer of security(ies) — Brookwood Tamarac Plaza Investors, LLC
Address of issuer — 50 Dunham Rd., Beverly, MA 01915
State or country in which organized — Delaware

Brookwood Tamarac Plaza Investors, LLC
 50 Dunham Rd., Beverly, MA 01915
State or country in which incorporated — Delaware limited partnership
Security(ies) — Units of limited liability company interest
Issuer of security(ies) — Brookwood Tamarac Plaza Investors, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Bruce Fund, Inc.
 20 N. Wacker Dr., Suite 2414, Chicago, IL 60606
State or country in which incorporated — Maryland
Security(ies) — Common stock
Issuer of security(ies) — Bruce Fund, Inc.
Address of issuer — Same as above
State or country in which organized — Maryland

Citigroup Mortgage Loan Trust Inc.
 390 Greenwich St., 4th Fl., New York, NY 10013
State or country in which incorporated — Delaware
Security(ies) — Asset-backed pass-through certificates, series 2004-NC1 (class M-4)
Issuer of security(ies) — Carrington Mortgage Loan Trust Inc.
Address of issuer — 390 Greenwich St., 4th Fl., New York, NY 10013
State or country in which organized — Delaware

Citigroup Mortgage Loan Trust Inc.
 390 Greenwich St., 4th Fl., New York, NY 10013
State or country in which incorporated — Delaware
Security(ies) — Asset-backed pass-through certificates, series 2004-NC1 (class M-5)
Issuer of security(ies) — Carrington Mortgage Loan Trust Inc.
Address of issuer — 390 Greenwich St., 4th Fl., New York, NY 10013
State or country in which organized — Delaware

Citigroup Mortgage Loan Trust Inc.
 390 Greenwich St., 4th Fl., New York, NY 10013
State or country in which incorporated — Delaware
Security(ies) — Asset-backed pass-through certificates, series 2004-NC1 (class M-6)
Issuer of security(ies) — Carrington Mortgage Loan Trust Inc.
Address of issuer — 390 Greenwich St., 4th Fl., New York, NY 10013
State or country in which organized — Delaware

Citigroup Global Markets Inc.
 388 Greenwich St., 32nd Fl., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Series C preferred stock
Issuer of security(ies) — Alexandria Real Estate Equities, Inc.
Address of issuer — 135 N. Los Robles Ave., Suite 250, Pasadena, CA 91101
State or country in which organized — Maryland

Citigroup Global Markets Inc.
 388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Tax Exempt Securities Trust, Massachusetts

Trust 80
Address of issuer — 388 Greenwich St., New York, NY 10013
State or country in which organized — New York

Citigroup Global Markets Inc.
 388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Tax Exempt Securities Trust, Massachusetts
 Trust 81
Address of issuer — 388 Greenwich St., New York, NY 10013
State or country in which organized — New York

Copernico Argentina Fund
 Butterfield House, 68 Fort St., P.O. Box 705GT, Grand Cayman, Cayman Islands, BWI Bermuda
Security(ies) — Common shares
Issuer of security(ies) — Copernico Argentina Fund
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Copernico Latin America Strategic Fund
 Butterfield House, 68 Fort St., P.O. Box 705GT, Grand Cayman, Cayman Islands, BWI Bermuda
Security(ies) — Common shares
Issuer of security(ies) — Copernico Latin America Strategic Fund
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Corneille Offshore Fund, Ltd.
 % Kismet Capital Advisors, LLC, 69 Lawrence Lane, Bay Shore, NY 11706
Security(ies) — Ordinary shares
Issuer of security(ies) — Corneille Offshore Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Creative Equipment Funding, L.L.C.
 509 N. Carrollton Ave., New Orleans, LA 70119
Security(ies) — Units of membership interests
Issuer of security(ies) — Creative Equipment Funding, L.L.C.
Address of issuer — Same as above
State or country in which organized — Louisiana

Direct Mail Holdings, Inc.
 820 Bear Tavern Rd., Suite 100, Trenton, NJ 08628
State or country in which incorporated — Delaware
Security(ies) — Series A convertible preferred stock
Issuer of security(ies) — Direct Mail Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Douglas Scott Securities, Inc.
 101 W. Renner Rd., Suite 480, Richardson, TX 75082
State or country in which incorporated — Texas
Security(ies) — General partnership interests
Issuer of security(ies) — TC Partnership
Address of issuer — 101 W. Renner Rd., Suite 470, Richardson, TX 75082
State or country in which organized — Texas

EarlyBirdCapital, Inc.
 600 Third Ave., 33rd Fl., New York, NY 10016

State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — China Unistone Acquisition Corporation
Address of issuer — Four Columbus Circle, 5th Fl., New York, NY 10019
State or country in which organized — Delaware

EarlyBirdCapital, Inc.
 600 Third Ave., 33rd Fl., New York, NY 10016
State or country in which incorporated — Delaware
Security(ies) — Units (of common stock and warrants)
Issuer of security(ies) — China Unistone Acquisition Corporation
Address of issuer — Four Columbus Circle, 5th Fl., New York, NY 10019
State or country in which organized — Delaware

EarlyBirdCapital, Inc.
 600 Third Ave., 33rd Fl., New York, NY 10016
State or country in which incorporated — Delaware
Security(ies) — Warrants
Issuer of security(ies) — China Unistone Acquisition Corporation
Address of issuer — Four Columbus Circle, 5th Fl., New York, NY 10019
State or country in which organized — Delaware

EOS Capital Partners III, L.P.
 320 Park Ave., 22nd Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — EOS Capital Partners III, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Fixed Income Securities, L.P.
 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — Advisor's Disciplined Trust, Series 10 (Senior Variable Rate Closed-End Portfolio, Series 3)
Address of issuer — % Fixed Income Securities, L.P., 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415
State or country in which organized — New York

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 856 (The DowSM Target 10 Portfolio, July 2004 Series)
Address of issuer — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 857 (The DowSM Dividend and Repurchase Target 10 Portfolio, 3rd Quarter 2004 Series)
Address of issuer — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 857 (The DowSM Target 5 Portfolio, 3rd

Quarter 2004 Series)
Address of issuer — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 857 (The DowSM Target Dividend Portfolio, 3rd Quarter 2004 Series)
Address of issuer — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 857 (The Nasdaq[®] Target 15 Portfolio, 3rd Quarter 2004 Series)
Address of issuer — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 857 (Global Target 15 Portfolio, 3rd Quarter 2004 Series)
Address of issuer — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 857 (The S&P Target 24 Portfolio, 3rd Quarter 2004 Series)
Address of issuer — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 857 (Target Small-Cap Portfolio, 3rd Quarter 2004 Series)
Address of issuer — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 857 (Target VIP Aggressive Equity Portfolio, 3rd Quarter 2004 Series)
Address of issuer — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 857 (Target VIP Conservative Equity

Portfolio, 3rd Quarter 2004 Series)
Address of issuer — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 857 (Value Line® Target 25 Portfolio, 3rd Quarter 2004 Series)
Address of issuer — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 857 (Target VIP Portfolio, 3rd Quarter 2004 Series)
Address of issuer — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 862 (Camelback Strategic Portfolio, July 2004 Series)
Address of issuer — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 864 (Lehman Brothers 10 Uncommon Values®, 2004 Qualified Portfolio)
Address of issuer — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

fluidnet, LLC
 103R Winnicutt Rd., Stratham, NH 03885
State or country in which incorporated — Delaware
Security(ies) — Common shares
Issuer of security(ies) — fluidnet, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Freeman Fair Value Fund (Cayman) I, Ltd.
 % M&C Corporate Services Ltd., Uglan House, P.O. Box 309 GT, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands
Security(ies) — Non-voting redeemable participating shares
Issuer of security(ies) — Freeman Fair Value Fund (Cayman) I, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Gartmore Mutual Funds—Gartmore Actively Managed Aggressive Asset Allocation Fund
 BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219
State or country in which incorporated — Ohio
Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Nationwide Securities, Inc.
Address of issuer — 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219
State or country in which organized — Ohio

Gartmore Mutual Funds—Gartmore Actively Managed Moderately Aggressive Asset Allocation Fund
 BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219
State or country in which incorporated — Ohio
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Nationwide Securities, Inc.
Address of issuer — 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219
State or country in which organized — Ohio

Gartmore Mutual Funds—Gartmore Actively Managed Moderate Asset Allocation Fund
 BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219
State or country in which incorporated — Ohio
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Nationwide Securities, Inc.
Address of issuer — 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219
State or country in which organized — Ohio

Gartmore Mutual Funds—Gartmore Actively Managed Specialty Asset Allocation Fund
 BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219
State or country in which incorporated — Ohio
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Nationwide Securities, Inc.
Address of issuer — 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219
State or country in which organized — Ohio

Gartmore Mutual Funds—Gartmore Global Natural Resources Fund
 BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219
State or country in which incorporated — Ohio
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Nationwide Securities, Inc.
Address of issuer — 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219
State or country in which organized — Ohio

Global Group Holdings, Inc.
 270 S. Service Rd., Suite 25, Melville, NY 11747
State or country in which incorporated — Delaware
Security(ies) — Common stock, par value \$.001
Issuer of security(ies) — Global Group Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Global Group Holdings, Inc.
 270 S. Service Rd., Suite 25, Melville, NY 11747
State or country in which incorporated — Delaware
Security(ies) — Secured promissory note
Issuer of security(ies) — Global Group Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Global Group Holdings, Inc.
 270 S. Service Rd., Suite 25, Melville, NY 11747
State or country in which incorporated — Delaware
Security(ies) — Warrants for common stock
Issuer of security(ies) — Global Group Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Goldman, Sachs & Co.
85 Broad St., New York, NY 10004
State or country in which incorporated — New York partnership
Security(ies) — ___% senior secured notes due 2012
Issuer of security(ies) — Belden & Blake Corporation
Address of issuer — 5200 Stoneham Rd., North Canton, OH 44720
State or country in which organized — Ohio

Kinyan Capital Offshore Fund, Ltd.
% Consolidated Fund Management, 3rd Fl., Par La Ville Place, 14 Par
La Ville Rd., P.O. Box HM 2257, Hamilton, Bermuda HM JX
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares, par value \$0.01 (U.S.) per share
Issuer of security(ies) — Kinyan Capital Offshore Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Markland Technologies, Inc.
54 Danbury Rd., Suite 207, Ridgefield, CT 06877
State or country in which incorporated — Florida
Security(ies) — Common stock
Issuer of security(ies) — Markland Technologies, Inc.
Address of issuer — Same as above
State or country in which organized — Florida

Marshfield Management II, LLC
21 Dupont Circle NW, Suite 500, Washington, DC 20036
Security(ies) — Units of membership interest
Issuer of security(ies) — Marshfield Insurance II, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Meritage Value Partners LLC
% Meritage Properties LLC, Two Overhill Rd., Suite 425, Scarsdale,
NY 10583
Security(ies) — Limited liability company membership interests
Issuer of security(ies) — Meritage Value Partners LLC
Address of issuer — Same as above
State or country in which organized — Delaware

MetaMorphix, Inc.
8510A Corridor Rd., Savage, MD 20763
State or country in which incorporated — Delaware
Security(ies) — Units, each unit consisting of (a) 10,000 shares of series
G convertible preferred stock and (b) 5-year warrants to purchase
common stock
Issuer of security(ies) — MetaMorphix, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Nams International Incorporated
P.O. Box 2341, Binghamton, NY 13902-2341
State or country in which incorporated — New York
Security(ies) — 250,000 shares at \$1 each of common stock
Issuer of security(ies) — Nams International Incorporated
Address of issuer — Same as above
State or country in which organized — New York
Natural Gas Systems, Inc.
820 Gessner St., Suite 1340, Houston, TX 77024
State or country in which incorporated — Nevada
Security(ies) — Common stock financing
Issuer of security(ies) — Natural Gas Systems, Inc.
Address of issuer — Same as above

State or country in which organized — Nevada

Nobex Corporation
617 Davis Dr., Suite 100, Durham, NC 27713
State or country in which incorporated — Delaware
Security(ies) — Series E preferred stock
Issuer of security(ies) — Nobex Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

NOXILIZER, Inc.
2700 36th St. NW, Washington, DC 20007
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — NOXILIZER, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

OraMetrix, Inc.
2350 Campbell Creek Blvd., Suite 400, Richardson, TX 75082
State or country in which incorporated — Delaware
Security(ies) — Series A-1 preferred stock
Issuer of security(ies) — OraMetrix, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Patriot LNG, Ltd.
3300 N A St., Bldg. 2, Suite 100, Midland, TX 79705
Security(ies) — Senior secured promissory note
Issuer of security(ies) — Patriot LNG, Ltd.
Address of issuer — Same as above
State or country in which organized — Texas

Pioneer Funds Distributor, Inc.
60 State St., 19th Fl., Boston, MA 02109
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Pioneer Short Term Income Fund
Address of issuer — 60 State St., 19th Fl., Boston, MA 02109
State or country in which organized — Delaware

Quant-Fx Partners, Ltd.
3300 University Dr., Suite 311, Coral Springs, FL 33065
Security(ies) — Limited partnership interest
Issuer of security(ies) — Quant-Fx Partners, Ltd.
Address of issuer — Same as above
State or country in which organized — Florida

RBC Capital Markets Corporation
One Beacon St., 6th Fl., Boston, MA 02108
State or country in which incorporated — Minnesota
Security(ies) — Common stock
Issuer of security(ies) — Entertainment Properties Trust
Address of issuer — 30 W. Pershing Rd., Suite 201, Kansas City, MO
64108
State or country in which organized — Maryland
Rhattigan Broadcasting, LLC
5511 50th St., Suite 1606, Lubbock, TX 79414
State or country in which incorporated — Delaware
Security(ies) — Offering of series A preferred stock
Issuer of security(ies) — Rhattigan Broadcasting, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Ridgemark Biomedical Fund, L.P.
400 King St., Bldg. #3, Chappaqua, NY 10514
Security(ies) — Limited partnership interests
Issuer of security(ies) — Ridgemark Biomedical Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Rodman & Renshaw, LLC
330 Madison Ave., 27th Fl., New York, NY 10017
State or country in which incorporated — Delaware
Security(ies) — Units consisting of (i) four shares of common stock with (ii) a warrant to purchase one share of common stock
Issuer of security(ies) — Vyteris, Inc.
Address of issuer — 10-31 Pollitt Dr., Fair Lawn, NJ 07410
State or country in which organized — Delaware

Rothschild Inc.
1251 Avenue of the Americas, New York, NY 10020
State or country in which incorporated — Delaware
Security(ies) — Warrants
Issuer of security(ies) — Foster Wheeler Ltd.
Address of issuer — Perryville Corporate Park, Clinton, NJ 08809-4000
State or country in which organized — Bermuda

Sandler O'Neill & Partners, L.P.
919 Third Ave., 6th Fl., New York, NY 10022
Security(ies) — Common stock, par value \$0.01 per share
Issuer of security(ies) — Lincoln Park Bancorp
Address of issuer — 31 Boonton Tpke., Lincoln Park, NJ 07035
State or country in which organized — United States

Sands Brothers International Limited
90 Park Ave., 39th Fl., New York, NY 10016
State or country in which incorporated — United Kingdom
Security(ies) — Units consisting of shares of common stock and warrants to purchase common stock
Issuer of security(ies) — Conversion Services International, Inc.
Address of issuer — 100 Eagle Rock Ave., East Hanover, NJ 07936
State or country in which organized — Delaware

Scuderia Niosi, LLC
% The Law Offices of Ruthann G. Niosi, Esq. PC, 400 Park Ave., Suite 820, New York, NY 10022
State or country in which incorporated — Delaware limited liability company
Security(ies) — Membership interests
Issuer of security(ies) — Scuderia Niosi, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

SEI Investments Distribution Co.
One Freedom Valley Rd., Oaks, PA 19456
State or country in which incorporated — Pennsylvania
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — The Advisors Inner Circle Fund—Haverford Quality Growth Stock Fund
Address of issuer — 101 Federal St., Boston, MA 02110
State or country in which organized — Massachusetts

Selectinvest Arbitrage/Relative Value Ltd.
% RK Consulting (Cayman), Ltd., Cayman Corporate Center, 27 Hospital Rd., P.O. Box 1748GT, George Town, Grand Cayman, Cayman Islands, British West Indies

State or country in which incorporated — Cayman Islands
Security(ies) — Participating shares
Issuer of security(ies) — Selectinvest Arbitrage/Relative Value Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Selectinvest Arbitrage/Relative Value II Ltd.
% RK Consulting (Cayman), Ltd., Cayman Corporate Center, 27 Hospital Rd., P.O. Box 1748GT, George Town, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands
Security(ies) — Participating shares
Issuer of security(ies) — Selectinvest Arbitrage/Relative Value II Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Seyn River Capital Fund, Ltd.
% GlobeOp Financial Services (Cayman) Ltd., Walker House, Mary St., George Town, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares, par value \$0.01 (U.S.) per share
Issuer of security(ies) — Seyn River Capital Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Seyn River Capital Partners, LP
Eight Greenwich Office Park, Greenwich, CT 06831
Security(ies) — Limited partnership interests
Issuer of security(ies) — Seyn River Capital Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

SmartServ Online, Inc.
2250 Butler Pike, Suite 150, Plymouth Meeting, PA 19462
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — SmartServ Online, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Spectrum Pharmaceuticals, Inc.
157 Technology Dr., Irvine, CA 92618
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Spectrum Pharmaceuticals, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Spectrum Pharmaceuticals, Inc.
157 Technology Dr., Irvine, CA 92618
State or country in which incorporated — Delaware
Security(ies) — Options to purchase common stock
Issuer of security(ies) — Spectrum Pharmaceuticals, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Spencer Trask Ventures, Inc.
535 Madison Ave., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Units consisting of (i) four shares of common stock with (ii) a warrant to purchase one share of common stock
Issuer of security(ies) — Vyteris, Inc.
Address of issuer — 10-31 Pollitt Dr., Fair Lawn, NJ 07410

State or country in which organized — Delaware

Summer Wind Yacht Charters, LLC

134 Guygrace Lane, Webster, NY 14580

State or country in which incorporated — New York

Security(ies) — 12% subordinated notes

Issuer of security(ies) — Summer Wind Yacht Charters, LLC

Address of issuer — Same as above

State or country in which organized — United States

Templeton Global Smaller Companies Fund

% Legal/Blue Sky Department, One Franklin Pkwy., San Mateo, CA 94403-1906

State or country in which incorporated — Delaware

Security(ies) — Capital stock (\$0.01 par value)

Issuer of security(ies) — Templeton Global Smaller Companies Fund

Address of issuer — Same as above

State or country in which organized — Delaware

UT Technology Fund, Ltd.

% Trident Fund Services (B.V.I.) Limited, Trident Chambers, P.O. Box 146, Road Town, Tortola, British Virgin Islands

State or country in which incorporated — Cayman Islands

Security(ies) — Common shares

Issuer of security(ies) — UT Technology Fund, Ltd.

Address of issuer — Same as above

State or country in which organized — Cayman Islands

UT Technology Partners I, L.P.

350 Madison Ave., New York, NY 10017

Security(ies) — Limited partnership interests

Issuer of security(ies) — UT Technology Partners I, LP

Address of issuer — Same as above

State or country in which organized — Delaware

UT Technology Partners II, L.P.

350 Madison Ave., New York, NY 10017

Security(ies) — Limited partnership interests

Issuer of security(ies) — UT Technology Partners I, LP

Address of issuer — Same as above

State or country in which organized — Delaware

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — The Dow Contrarian Strategy Portfolio 2004-4

Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Focus Value Portfolio, Series 12

Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Insured Municipals Income Trust, Series 490

Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — NatCity Select Equity Core Portfolio, Series 1

Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Select 5 Industrial Portfolio 2004-3

Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Select 10 Industrial Portfolio 2004-4

Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Select Growth Trust, July 2004 Series

Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Select S&PSM Industrial Portfolio 2004-3

Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Strategic 10 Portfolio, July 2004 Traditional Series

Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which organized — New York

Volumetrics Medical Imaging, Inc.
P.O. Box 2996, Durham, NC 27715
State or country in which incorporated — Delaware
Security(ies) — Subordinated convertible promissory notes
Issuer of security(ies) — Volumetrics Medical Imaging, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Waddajuice, LLC
606 Post Rd. E, Suite 722, Westport, CT 06880
State or country in which incorporated — Delaware
Security(ies) — Common units
Issuer of security(ies) — Waddajuice, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Wealth Street Management, L.P.
64 Norris Ave., Metuchen, NJ 08840
Security(ies) — Limited partnership interests
Issuer of security(ies) — Wealth Street Management, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware