

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

AccendRx Capital Partners, L.P.
551 E. Putnam Ave., Greenwich, CT 06807
Partnership — AccendRx GP, LLC

Acorda Therapeutics, Inc.
15 Skyline Dr., Hawthorne, NY 10532
State or country in which incorporated — Delaware

Agilis Offshore Fund, Ltd.
% UBS Fund Services (Cayman) Ltd., P.O. Box 852 GT, UBS House,
227 Elgin Ave., Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands

American Biophysics Corp.
140 Frenchtown Rd., North Kingstown, RI 02852
State or country in which incorporated — Delaware

Ampex Corporation
1228 Douglas Ave., Redwood City, CA 94063
State or country in which incorporated — Delaware

ARI-Heritage Corporate Center, LLC
27432 Calle Arroyo, San Juan Capistrano, CA 92675

Ascend Sequoia Fund, LP
% Ascend Paragon Capital Limited Partnership, 600 Montgomery St.,
37th Fl., San Francisco, CA 94111
Partnership — Ascend Paragon Capital Limited Partnership

Ascend Sequoia Offshore Fund, LTD.
% Ascend Paragon Capital Limited Partnership, 600 Montgomery St.,
37th Fl., San Francisco, CA 94111
State or country in which incorporated — British Virgin Islands

Aurarian Capital Partners II, LP
712 Fifth Ave., 39th Fl., New York, NY 10014
Partnership — Aurarian Capital, LLC

Aurarian Offshore, Ltd.
% Aurarian Capital Management, LLC, 712 Fifth Ave., 39th Fl., New

York, NY 10014
State or country in which incorporated — Cayman Islands

Berens Global Value Fund, Ltd.
% Caledonian Fund Services Limited, P.O. Box 1043 GT, Caledonian
House, 69 Dr. Roy's Dr., Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands

Blue Ridge Group, Inc.
632 Adams St., Suite 700, Bowling Green, KY 42101
State or country in which incorporated — Nevada

Bluefield Securities, LLC
Seven Chestnut St., Garden City, NY 11530
State or country in which incorporated — Delaware

Boca Transeastern Commercial, LLC
3300 University Dr., Suite 001, Coral Springs, FL 33065
State or country in which incorporated — Florida

Carolantic Partners, LLC
% BISYS Hedge Fund Services, 245 Fifth Ave., 16th Fl., New York,
NY 10016
Partnership — Carolantic Asset Management, LLC

Castine Partners II, LP
One International Place, Boston, MA 02110
Partnership — Castine Management GP, LLC

Catalysis Partners, LLC
100 Wilshire Blvd., Suite 1500, Santa Monica, CA 90401
State or country in which incorporated — Delaware

Catalyst Financial LLC
100 Mill Plain Rd., Danbury, CT 06811
State or country in which incorporated — Delaware

Catskill Litigation Trust
% Christiana Bank & Trust Company, 1314 King St., Wilmington, DE
19801

Cayre Partners Fund, LLC
41 Madison Ave., 29th Fl., New York, NY 10010
Partnership — Cayre Asset Management, LLC

Cedric Kushner Promotions, Inc.
1414 Avenue of the Americas, New York, NY 10019
State or country in which incorporated — Delaware

CMS Equity Long Short Fund Q, L.P.
1926 Arch St., Philadelphia, PA 19103
Partnership — CMS CPO, L.P.

Continental Southern Resources, Inc.
111 Presidential Blvd., Suite 158A, Bala Cynwyd, PA 19004
State or country in which incorporated — Nevada

CONVEX GROUP INC.
3350 Peachtree Rd., One Capital City Plaza, Suite 1500, Atlanta, GA 30326
State or country in which incorporated — Delaware

Delta Partners MS, LLP
1705 Hwy. 82 W, Greenwood, MS 38930
Partnership — JBS Managers, LLC

Dolphin Direct Equity Partners L.P.
% DAMC, 129 E. 17th St., New York, NY 10003
Partnership — Dolphin Advisors LLC

Doublewood Managed Fund (USA) L.P., The
101 Morgan Lane, Suite 180, Plainsboro, NJ 08536
Partnership — Doublewood, Inc.

Euro American Capital Corporation
2600 Avenue K, Suite 150, Plano, TX 75074
State or country in which incorporated — Texas

Freestone Directional Partners L.P.
% Freestone Investments LLC, 1191 Second Ave., Suite 2100, Seattle, WA 98101
Partnership — Freestone Investments LLC

Freestone Capital Qualified Partners L.P.
% Freestone Investments LLC, 1191 Second Ave., Suite 2100, Seattle, WA 98101
Partnership — Freestone Investments LLC

Galleon Mariners Offshore, Ltd.
% Bank of Bermuda (Cayman) Limited, 36C Bermuda House, British American Centre, P.O. Box 513 GT, Dr. Roy's Dr., George Town, Grand Cayman, Cayman Islands, B.W.I.
State or country in which incorporated — Cayman Islands

Haddouch Gourmet Imports, Inc.
1445 Elliott W, Seattle, WA 98119
State or country in which incorporated — Washington

HSBC Investor Funds — HSBC Investor Tax-Free Money Market Fund
% BISYS Fund Services, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
State or country in which incorporated — Massachusetts

INVESTools Inc.
5959 Corporate Dr., Suite LL250, Houston, TX 77036
State or country in which incorporated — Delaware

Joseph M. Benanti, Inc.
% Gettenberg Consulting, 65 Broadway, 10th Fl., New York, NY
State or country in which incorporated — New York

K&E Investment Partners, LLC-2004
200 E. Randolph Dr., Chicago, IL 60601

Kinetics Health Partners
1311 Mamaroneck Ave., Suite 130, White Plains, NY 10605
Partnership — Kinetics Advisors, LLC

Ladders, Inc.
116 W. 23rd St., Suite 500, New York, NY 10011
State or country in which incorporated — Delaware

Longbow Partners, L.P.
25 Corte Madera Ave., Suite 100, Mill Valley, CA 94941
Partnership — Archer Capital Partners, LLC

Niman Ranch, Inc.
1995 Embarcadero, Oakland, CA 94606
State or country in which incorporated — California

Oakridge Financial Services Group, Inc., The
701 Xenia Ave. S, Suite 130, Golden Valley, MN 55416
State or country in which incorporated — Minnesota

Orato Media Limited Partnership
525 Seymour St., 4th Fl., Vancouver, BC, Canada V6H 3B7
State or country in which incorporated — Nevada

Persimmon Growth Partners Fund, L.P.
620 W. Germantown Pike, Suite 270, Plymouth Meeting, PA 19462
Partnership — Persimmon GP, LLC

PFPC Distributors, Inc.
301 Bellevue Pkwy., Wilmington, DE 19809
State or country in which incorporated — Massachusetts

Pro-Active Partners, L.P.
6800 Jerico Tpke., Suite 101W, Syosset, NY 11791
Partnership — Jeffrey S. Ramson, GP

PushPlay Interactive, LLC
Nine Rolling Brook, Saratoga Springs, NY 12866

RIMROCK CAPITAL PARTNERS, LP
450 Park Ave., Suite 2302, New York, NY 10022
Partnership — Rimrock Administrative Services, LLC and Rimrock Asset Management, LLC

ROSEHILL SAISEI FUND, L.P.
222 N. Sepulveda Blvd., Suite 1426, El Segundo, CA 90245
Partnership — Rosehill Capital Management, L.L.C.

Sagent Advisors Inc.
299 Park Ave., 24th Fl., New York, NY 10171
State or country in which incorporated — Delaware

Sands Brothers International Limited
90 Park Ave., New York, NY 10016
State or country in which incorporated — England

Science Applications International Corporation
10260 Campus Point Dr., San Diego, CA 92121
State or country in which incorporated — Delaware

Seven Hills Partners, LLC
 88 Kearny St., Fifth Fl., San Francisco, CA 94108-5530
State or country in which incorporated — California limited liability company

SG PUBLISHING VENTURES, LLC
 386 Park Ave. S, Suite 1800, New York, NY 10016
State or country in which incorporated — New York

Sherpa Offshore Fund, Ltd.
 % SG Hambros Bank & Trust (Bahamas) Limited, W. Bay St., P.O. Box 7788, Nassau, Bahamas
State or country in which incorporated — Bahamas

SKB-RiverPlace, LLC
 1211 SW. Fifth Ave., Suite 2250, Portland, OR 97204
State or country in which incorporated — Oregon

Stony Point Investment Fund, L.P.
 % Stony Point Advisors LLC, 203 Store Hill Rd., Old Westbury, NY 11568
Partnership — Stony Point Advisors LLC

Third Place, Inc.
 101 Henry St., Burlington, VT 05401
State or country in which incorporated — Vermont

TMG Puerto Rico 3 LLC
 130 W. 57th St., Suite 2A, New York, NY 10019

Travertine Partners, LP
 731 Forest Ave., Rye, NY 10580
Partnership — Travertine Capital, LLC

Triumph Investment Fund, LP
 116B S. River Rd., Cold Stream Park, Bedford, NH 03110
Partnership — Triumph Investment Partners, LLC

Zanett Securities Corporation
 135 E. 57th St., 15th Fl., New York, NY 10022
State or country in which incorporated — New York

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
 [Art. 23-A, §359-e(8), as amended]

**SECURITIES BY
 DEALERS; BROKERS;
 SYNDICATE MANAGERS**

AccendRx Capital Partners, L.P.
 551 E. Putnam Ave., Greenwich, CT 06807
Security(ies) — Limited partnership interests
Issuer of security(ies) — AccendRx Capital Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Acorda Therapeutics, Inc.
 15 Skyline Dr., Hawthorne, NY 10532

State or country in which incorporated — Delaware
Security(ies) — Series K preferred stock
Issuer of security(ies) — Acorda Therapeutics, Inc.
Address of issuer — Same as above
State or country in which organized —

Agilis Offshore Fund, Ltd.
 % UBS Fund Services (Cayman) Ltd., P.O. Box 852 GT, UBS House, 227 Elgin Ave., Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares par value \$0.01 (U.S.) per share
Issuer of security(ies) — Agilis Offshore Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Alfacell Corporation
 225 Belleville Ave., Bloomfield, NJ 07003
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Alfacell Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Allegent Technology Group, Inc.
 100 Crossways Park Dr., Suite 104, Woodbury, NY 11797
State or country in which incorporated — Delaware
Security(ies) — Units of (i) a \$50,000 principal amount 6¾% senior subordinated short-term note and (ii) a warrant to purchase 5,000 shares of common stock at \$0.60 a share
Issuer of security(ies) — Allegent Technology Group, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

American Biophysics Corp.
 140 Frenchtown Rd., North Kingstown, RI 02852
State or country in which incorporated — Delaware
Security(ies) — Preferred stock and warrants
Issuer of security(ies) — American Biophysics Corp.
Address of issuer — Same as above
State or country in which organized — Delaware

American Century Mutual Funds, Inc.
 4500 Main St., Kansas City, MO 64111
State or country in which incorporated — Maryland
Security(ies) — Common stock \$0.01 par value
Issuer of security(ies) — American Century Mutual Funds, Inc. — American Century Capital Growth Fund
Address of issuer — Same as above
State or country in which organized — Maryland

Ampex Corporation
 1228 Douglas Ave., Redwood City, CA 94063
State or country in which incorporated — Delaware
Security(ies) — Class A common stock
Issuer of security(ies) — Ampex Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Aquilo Partners
 100 California St., Ninth Fl., San Francisco, CA 94111
Security(ies) — Series C voting convertible preferred stock with underlying common stock
Issuer of security(ies) — AlgoRx Pharmaceuticals, Inc.

Address of issuer — 101 Interchange Plaza, Suite 102, Cranbury, NJ 08512

State or country in which organized — Delaware

Ascend Sequoia Offshore Fund, Ltd.

% Ascend Paragon Capital Limited Partnership, 600 Montgomery St., 37th Fl., San Francisco, CA 94111

Security(ies) — Common shares, par value US \$.01 per share

Issuer of security(ies) — Ascend Sequoia Offshore Fund Ltd.

Address of issuer — Same as above

State or country in which organized — British Virgin Islands

Ascend Sequoia Fund, LP

% Ascend Paragon Capital Limited Partnership, 600 Montgomery St., 37th Fl., San Francisco, CA 94111

Security(ies) — Limited partnership interests

Issuer of security(ies) — Ascend Sequoia Fund LP

Address of issuer — Same as above

State or country in which organized — Delaware

Atlantic Whitehall Funds Trust — Atlantic Whitehall Mid-Cap Growth Fund

4400 Computer Dr. Westborough, MA 01581

State or country in which incorporated — Massachusetts

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Atlantic Whitehall Funds Trust — Atlantic Whitehall Mid-Cap Growth Fund

Address of issuer — Same as above

State or country in which organized — Delaware

Atlantic Whitehall Funds Trust — Atlantic Whitehall Multi-Cap Global Value Fund

4400 Computer Dr. Westborough, MA 01581

State or country in which incorporated — Massachusetts

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Atlantic Whitehall Funds Trust — Atlantic Whitehall Multi-Cap Global Value Fund

Address of issuer — Same as above

State or country in which organized — Delaware

Aurarian Capital Partners II, LP

712 Fifth Ave., 39th Fl., New York, NY 10014

Security(ies) — Limited partnership interests

Issuer of security(ies) — Aurarian Capital Partners II, LP

Address of issuer — Same as above

State or country in which organized — Delaware

Aurarian Offshore, Ltd.

% Aurarian Capital Management, LLC, 712 Fifth Ave., 39th Fl., New York, NY 10014

State or country in which incorporated — Cayman Islands

Security(ies) — Common shares par value \$0.01 (U.S.) per share

Issuer of security(ies) — Aurarian Offshore, Ltd.

Address of issuer — Same as above

State or country in which organized — Cayman Islands

B. Riley & Co., Inc.

11150 Santa Monica Blvd., Suite 750, Los Angeles, CA 90025

State or country in which incorporated — Delaware

Security(ies) — Common stock

Issuer of security(ies) — Computer Access Technology Corporation

Address of issuer — 3385 Scott Blvd., Santa Clara, CA 95054

State or country in which organized — Delaware

Berens Global Value Fund, Ltd.

% Caledonian Fund Services Limited, P.O. Box 1043 GT, Caledonian House, 69 Dr. Roy's Dr., Grand Cayman, Cayman Islands

State or country in which incorporated — Cayman Islands

Security(ies) — Common shares per value \$0.01 U.S. per share (the "shares")

Issuer of security(ies) — Berens Global Value Fund, Ltd.

Address of issuer — Same as above

State or country in which organized — Cayman Islands

BlackRock Distributors, Inc.

3200 Horizon Dr., King of Prussia, PA 19406

State or country in which incorporated — Delaware

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — BlackRock Funds — Enhanced Income Portfolio

Address of issuer — 345 Park Ave., 29th Fl., New York, NY 10154

State or country in which organized — Massachusetts

BlackRock Distributors, Inc.

3200 Horizon Dr., KING of Prussia, PA 19406

State or country in which incorporated — Delaware

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — BlackRock Funds — UltraShort Municipal Portfolio

Address of issuer — 345 Park Ave., 29th Fl., New York, NY 10154

State or country in which organized — Massachusetts

Blue Ridge Group, Inc.

632 Adams St., Suite 700, Bowling Green, KY 42101

State or country in which incorporated — Nevada

Security(ies) — Limited partnership interest

Issuer of security(ies) — Blue Ridge 2004-A Drilling Program, Ltd.

Address of issuer — Same as above

State or country in which organized — Kentucky

Boca Transeastern Commercial, LLC

3300 University Dr., Suite 001, Coral Springs, FL 33065

State or country in which incorporated — Florida

Security(ies) — Units of beneficial interest in a trust

Issuer of security(ies) — Boca Transeastern Commercial, LLC

Address of issuer — Same as above

State or country in which organized — Florida

Buckland Partners, L.P.

16 Millers Mill Rd., Bedford, NY 10506

Security(ies) — Limited partnership interests

Issuer of security(ies) — Buckland Partners, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Carolantic Partners, LLC

% BISYS Hedge Fund Services Inc., 245 Fifth Ave., 16th Fl., New York, NY 10016

Security(ies) — Limited liability company interests

Issuer of security(ies) — Carolantic Partners, LLC

Address of issuer — Same as above

State or country in which organized — Delaware

Castine Partners II, LP

One International Place, Boston, MA 02110

Security(ies) — Limited partnership interests

Issuer of security(ies) — Castine Partners II, LP

Address of issuer — Same as above

State or country in which organized — Delaware

Catalyst Financial LLC

100 Mill Plain Rd., Danbury, CT 06811

Security(ies) — 7% convertible subordinated notes

Issuer of security(ies) — International Assets Holding Corporation

Address of issuer — 220 E. Central Pkwy., Suite 2060, Altamonte Springs, FL 32701

State or country in which organized — Delaware

Catskill Litigation Trust

% Christiana Bank & Trust Company, 1314 King St., Wilmington, DE 19801

Security(ies) — Units

Issuer of security(ies) — Catskill Litigation Trust

Address of issuer — Same as above

State or country in which organized — Delaware

Cayre Partners Fund, LLC

41 Madison Ave., 29th Fl., New York, NY 10010

Security(ies) — Limited liability company interests

Issuer of security(ies) — Cayre Partners Fund, LLC

Address of issuer — Same as above

State or country in which organized — Delaware

CMS Equity Long Short Fund Q, L.P.

1926 Arch St., Philadelphia, PA 19103

Security(ies) — Limited partnership interests

Issuer of security(ies) — CMS Equity Long Short Fund Q, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Cohen Bros. & Company

1818 Market St., Suite 2800, Philadelphia, PA 19103

State or country in which incorporated — Delaware

Security(ies) — Limited partnership interests

Issuer of security(ies) — CBC Sutton Place Holdings, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Columbia Funds Distributor, Inc.

One Financial Center, Boston, MA 02111-2621

State or country in which incorporated — Massachusetts

Security(ies) — Shares of common stock

Issuer of security(ies) — CMG Fund Trust — CMG Ultra Short Term Bond Fund

Address of issuer — 1300 SW. Sixth Ave., P.O. Box 1350, Portland, OR 97207

State or country in which organized — Oregon

Continental Southern Resources, Inc.

111 Presidential Blvd., Suite 158A, Bala Cynwyd, PA 19004

State or country in which incorporated — Nevada

Security(ies) — Common stock

Issuer of security(ies) — Continental Southern Resources, Inc.

Address of issuer — Same as above

State or country in which organized — Nevada

CONVEX GROUP, INC.

3350 Peachtree Rd., One Capital City Plaza, Suite 1500, Atlanta, GA 30326

State or country in which incorporated — Delaware

Security(ies) — Series A preferred stock

Issuer of security(ies) — CONVEX GROUP, INC.

Address of issuer — Same as above

State or country in which organized — Delaware

Delta Partners MS, LLP

1705 Hwy. 82 W, Greenwood, MS 38930

Security(ies) — Limited liability partnership interests

Issuer of security(ies) — Delta Partners MS, LLP

Address of issuer — Same as above

State or country in which organized — Mississippi

Dexia SA

Square de Meeûs 1, B-1000 Brussels, Belgium

State or country in which incorporated — Belgium

Security(ies) — Common shares

Issuer of security(ies) — Dexia SA

Address of issuer — Same as above

State or country in which organized — Belgium

Dividend Capital Trust Inc.

518 17th St., 17th Fl., Denver, CO 80202

Security(ies) — Common stock \$.01 par value (\$484,050,000)

Issuer of security(ies) — Dividend Capital Trust Inc.

Address of issuer — Same as above

State or country in which organized — United States

Dolphin Direct Equity Partners L.P.

% DAMC, 129 E. 17th St., New York, NY 10003

State or country in which incorporated — Delaware

Security(ies) — Limited partnerships

Issuer of security(ies) — Dolphin Direct Equity Partners L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

DolphinSearch, Inc.

5855 Olivas Park, Venture, CA 93003

State or country in which incorporated — Delaware

Security(ies) — Preferred stock

Issuer of security(ies) — DolphinSearch, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Doublewood Managed Fund (USA) L.P., The

101 Morgan Lane, Suite 180, Plainsboro, NJ 08536

Security(ies) — Limited partnership interests

Issuer of security(ies) — The Doublewood Managed Fund (USA) L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

DynTek, Inc.

18881 Von Karman Ave., Suite 250, Irvine, CA 92612

State or country in which incorporated — Delaware

Security(ies) — Class A common stock, \$.0001 par value

Issuer of security(ies) — DynTek, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Euro American Capital Corporation

2600 Avenue K, Suite 150, Plano, TX 75074

State or country in which incorporated — Texas

Security(ies) — One unit consisting of limited partnership interests

Issuer of security(ies) — MPG IDC 2004-1 Drilling Program, L.P.

Address of issuer — 1202 Richardson Dr., Suite 309, Richardson, TX 75080

State or country in which organized — Delaware

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT817 (Camelback Strategic Portfolio, March 2004 Series)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT827 (The Key 3 Growth and Treasury Securities Portfolio, Series 4)

Address of issuer — Same as above

State or country in which organized — Illinois

Francis Capital Management, LLC

100 Wilshire Blvd., Suite 1500, Santa Monica, CA 90401

State or country in which incorporated — Delaware

Security(ies) — Membership interests

Issuer of security(ies) — Catalysis Partners, LLC

Address of issuer — Same as above

State or country in which organized — Delaware

Freestone Capital Qualified Partners L.P.

% Freestone Investments LLC, 1191 Second Ave., Suite 2100, Seattle, WA 98101

Security(ies) — Limited partnership interests

Issuer of security(ies) — Freestone Capital Qualified Partners L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Freestone Directional Partners L.P.

% Freestone Investments LLC, 1191 Second Ave., Suite 2100, Seattle, WA 98101

Security(ies) — Limited partnership interests

Issuer of security(ies) — Freestone Directional Partners L.P.

Address of issuer — Same as above

State or country in which organized — Washington

Friedman, Billings, Ramsey & Co., Inc.

1001 19th St. N, Arlington, VA 22209

State or country in which incorporated — Delaware

Security(ies) — ___% senior secured notes due 2014

Issuer of security(ies) — Beal Financial Corporation

Address of issuer — 6000 Legacy Dr., Plano, TX 75024

State or country in which organized — Texas

Galleon Mariners Offshore, Ltd.

% Bank of Bermuda (Cayman) Limited, 36C Bermuda House, British American Centre, P.O. Box 513 GT, Dr. Roy's Dr., George Town, Grand Cayman, Cayman Islands, B.W.I.

Security(ies) — Class A capital stock, par value as \$0.01 per share

Issuer of security(ies) — Galleon Mariners Offshore, Ltd.

Address of issuer — Same as above

State or country in which organized — Cayman Islands

Galleon Mariners Offshore, Ltd.

% Bank of Bermuda (Cayman) Limited, 36C Bermuda House, British

American Centre, P.O. Box 513 GT, Dr. Roy's Dr., George Town, Grand Cayman, Cayman Islands, B.W.I.

Security(ies) — Class B capital stock, par value as \$0.01 per share

Issuer of security(ies) — Galleon Mariners Offshore, Ltd.

Address of issuer — Same as above

State or country in which organized — Cayman Islands

Galleon Mariners Offshore, Ltd.

% Bank of Bermuda (Cayman) Limited, 36C Bermuda House, British American Centre, P.O. Box 513 GT, Dr. Roy's Dr., George Town, Grand Cayman, Cayman Islands, B.W.I.

Security(ies) — Class C capital stock, par value as \$0.01 per share

Issuer of security(ies) — Galleon Mariners Offshore, Ltd.

Address of issuer — Same as above

State or country in which organized — Cayman Islands

Galleon Mariners Offshore, Ltd.

% Bank of Bermuda (Cayman) Limited, 36C Bermuda House, British American Centre, P.O. Box 513 GT, Dr. Roy's Dr., George Town, Grand Cayman, Cayman Islands, B.W.I.

Security(ies) — Class C-r capital stock, par value as \$0.01 per share

Issuer of security(ies) — Galleon Mariners Offshore, Ltd.

Address of issuer — Same as above

State or country in which organized — Cayman Islands

Galleon Mariners Offshore, Ltd.

% Bank of Bermuda (Cayman) Limited, 36C Bermuda House, British American Centre, P.O. Box 513 GT, Dr. Roy's Dr., George Town, Grand Cayman, Cayman Islands, B.W.I.

Security(ies) — Class D capital stock, par value as \$0.01 per share

Issuer of security(ies) — Galleon Mariners Offshore, Ltd.

Address of issuer — Same as above

State or country in which organized — Cayman Islands

Galleon Mariners Offshore, Ltd.

% Bank of Bermuda (Cayman) Limited, 36C Bermuda House, British American Centre, P.O. Box 513 GT, Dr. Roy's Dr., George Town, Grand Cayman, Cayman Islands, B.W.I.

Security(ies) — Class E capital stock, par value as \$0.01 per share

Issuer of security(ies) — Galleon Mariners Offshore, Ltd.

Address of issuer — Same as above

State or country in which organized — Cayman Islands

GAPCO (Guinea Aluminum Products Corporation) Ltd.

405 Lexington Ave., 25th Fl., New York, NY 10174

State or country in which incorporated — British Virgin Islands

Security(ies) — Shares of common stock

Issuer of security(ies) — GAPCO (Guinea Aluminum Products Corporation) Ltd.

Address of issuer — Same as above

GAPCO (Guinea Aluminum Products Corporation) Ltd.

405 Lexington Ave., 25th Fl., New York, NY 10174

State or country in which incorporated — British Virgin Islands

Security(ies) — Units (consisting of common shares and warrants)

Issuer of security(ies) — GAPCO (Guinea Aluminum Products Corporation) Ltd.

Address of issuer — Same as above

GAPCO (Guinea Aluminum Products Corporation) Ltd.

405 Lexington Ave., 25th Fl., New York, NY 10174

State or country in which incorporated — British Virgin Islands

Security(ies) — Warrant to purchase common stock

Issuer of security(ies) — GAPCO (Guinea Aluminum Products Corporation) Ltd.

Address of issuer — Same as above

Haddouch Gourmet Imports, Inc.

1445 Elliott W, Seattle, WA 98119

State or country in which incorporated — Washington

Security(ies) — Common stock

Issuer of security(ies) — Haddouch Gourmet Imports, Inc.

Address of issuer — Same as above

State or country in which organized — Washington

Howe Barnes Investments, Inc.

135 S. LaSalle St., Chicago, IL 60603

State or country in which incorporated — Delaware

Security(ies) — ___% senior secured notes due 2014

Issuer of security(ies) — Beal Financial Corporation

Address of issuer — 6000 Legacy Dr., Plano, TX 75024

State or country in which organized — Texas

HSBC Investor Funds — HSBC Investor Tax-Free Money Market Fund
% BISYS Fund Services, 3435 Stelzer Rd., Suite 1000, Columbus, OH
43219-8012

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — BISYS Fund Service, L.P.

Address of issuer — Same as above

State or country in which organized — Massachusetts

INVESTools Inc.

5959 Corporate Dr., Suite LL250, Houston, TX 77036

State or country in which incorporated — Delaware

Security(ies) — Common stock equity

Issuer of security(ies) — INVESTools Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

IRMC Holdings, Inc.

3000 Corporate Exchange Dr., Columbus, OH 43231

State or country in which incorporated — Delaware

Security(ies) — Series C convertible preferred stock

Issuer of security(ies) — IRMC Holdings, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Jefferson Securities, Inc.

1211 SW. Fifth Ave., Suite 2250, Portland, OR 97204

State or country in which incorporated — Oregon

Security(ies) — Membership interest in limited liability company

Issuer of security(ies) — SKB-RiverPlace, LLC

Address of issuer — Same as above

State or country in which organized — Oregon

JP Turner & Co., LLC

2255 Glades Rd., Boca Raton, FL 33431

State or country in which incorporated — Georgia limited liability company

Security(ies) — Convertible debentures

Issuer of security(ies) — Cedric Kushner Promotions, Inc.

Address of issuer — 1414 Avenue of the Americas, New York, NY
10019

State or country in which organized — Delaware

K&E Investment Partners, LLC-2004 PEF

200 E. Randolph Dr., Chicago, IL 60601

Security(ies) — Limited liability company interests

Issuer of security(ies) — K&E Investment Partners, LLC-2004 PEF

Address of issuer — Same as above

State or country in which organized — Delaware

Kinetics Health Partners, LP

1311 Mamaroneck Ave., Suite 130, White Plains, NY 10605

Security(ies) — Limited partnership interests

Issuer of security(ies) — Kinetics Health Partners

Address of issuer — Same as above

State or country in which organized — Delaware

Longbow Partners, L.P.

25 Corte Madera Ave., Suite 100, Mill Valley, CA 94941

State or country in which incorporated — California limited partnership

Security(ies) — Limited partner interests

Issuer of security(ies) — Longbow Partners, L.P.

Address of issuer — Same as above

State or country in which organized — California

Needham & Company, Inc.

445 Park Ave., New York, NY 10022

State or country in which incorporated — Delaware

Security(ies) — Common shares

Issuer of security(ies) — Vasogen Inc.

Address of issuer — 2155 Dunwin Dr., Suite 10, Mississauga, Ontario,
Canada L5L 2T7

State or country in which organized — Canada

Nicholas-Applegate Securities

600 W. Broadway, San Diego, CA

State or country in which incorporated — Delaware

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Nicholas-Applegate Institutional Funds — U.S.
Systematic SMID Growth Fund

Address of issuer — 600 W. Broadway, 30th Fl., San Diego, CA 92101

State or country in which organized — Delaware

Niman Ranch, Inc.

1995 Embarcadero, Oakland, CA 94606

State or country in which incorporated — California

Security(ies) — Series B convertible preferred stock with warrants

Issuer of security(ies) — Niman Ranch, Inc.

Address of issuer — Same as above

State or country in which organized — California

Niman Ranch, Inc.

1995 Embarcadero, Oakland, CA 94606

State or country in which incorporated — California

Security(ies) — Subordinated promissory notes with warrants

Issuer of security(ies) — Niman Ranch, Inc.

Address of issuer — Same as above

State or country in which organized — California

Oakridge Financial Services Group, Inc., The

701 Xenia Ave., Suite 130, Golden Valley, MN 55416

State or country in which incorporated — Minnesota

Security(ies) — Common stock at \$50,000 per share, up to \$7,500,000

Issuer of security(ies) — Apollo Diamond, Inc.

Address of issuer — 60 State St., Suite 700, Boston, MA 02110

State or country in which organized — Massachusetts

Orato Media Limited Partnership
525 Seymour St., 4th Fl., Vancouver, BC, Canada V6H 3B7
State or country in which incorporated — Nevada
Security(ies) — Partnership units and convertible into partnership units
Issuer of security(ies) — Orato Media Limited Partnership
Address of issuer — Same as above
State or country in which organized — Nevada

Persimmon Growth Partners Fund, L.P.
620 W. Germantown Pike, Suite 270, Plymouth Meeting, PA 19462
Security(ies) — Limited partnership interests
Issuer of security(ies) — Persimmon Growth Partners Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

PFPC Distributors, Inc.
301 Bellevue Pkwy., Wilmington, DE 19809
State or country in which incorporated — Massachusetts
Security(ies) — Shares of common stock
Issuer of security(ies) — ASA Managed Futures Fund LLC
Address of issuer — The Biltmore, 817 W. Peachtree St. NW, Suite 400, Atlanta, GA 30308-1144
State or country in which organized — Illinois

PFPC Distributors, Inc.
301 Bellevue Pkwy., Wilmington, DE 19809
State or country in which incorporated — Massachusetts
Security(ies) — Shares of common stock
Issuer of security(ies) — ASA Market Neutral Equity Fund LLC
Address of issuer — The Biltmore, 817 W. Peachtree St. NW, Suite 400, Atlanta, GA 30308-1144
State or country in which organized — Illinois

PFPC Distributors, Inc.
301 Bellevue Pkwy., Wilmington, DE 19809
State or country in which incorporated — Massachusetts
Security(ies) — Shares of common stock
Issuer of security(ies) — ASA Hedged Equity Fund LLC
Address of issuer — The Biltmore, 817 W. Peachtree St. NW, Suite 400, Atlanta, GA 30308-1144
State or country in which organized — Illinois

PFPC Distributors, Inc.
301 Bellevue Pkwy., Wilmington, DE 19809
State or country in which incorporated — Massachusetts
Security(ies) — Shares of common stock
Issuer of security(ies) — ASA Debt Arbitrage Fund LLC
Address of issuer — The Biltmore, 817 W. Peachtree St. NW, Suite 400, Atlanta, GA 30308-1144
State or country in which organized — Illinois

Prides Capital Fund I, LP
200 High St., Suite 700, Boston, MA 02110
State or country in which incorporated — Delaware
Security(ies) — Class A limited partnership interests
Issuer of security(ies) — Prides Capital Fund I LP
Address of issuer — Same as above
State or country in which organized — Delaware

Prides Capital Fund I, LP
200 High St., Suite 700, Boston, MA 02110
State or country in which incorporated — Delaware
Security(ies) — Class B limited partnership interests

Issuer of security(ies) — Prides Capital Fund I LP
Address of issuer — Same as above
State or country in which organized — Delaware

Pro-Active Partners L.P.
6800 Jericho Tpke., Suite 101W, Syosset, NY 11791
State or country in which incorporated — United States
Security(ies) — Partnership interests
Issuer of security(ies) — Pro-Active Partners, L.P.
Address of issuer — Same as above
State or country in which organized — United States

PushPlay Interactive, LLC
Nine Rolling Brook, Saratoga Springs, NY 12866
Security(ies) — Class B membership units
Issuer of security(ies) — PushPlay Interactive, LLC
Address of issuer — Same as above
State or country in which organized — New York

RIMROCK CAPITAL PARTNERS, LP
450 Park Ave., Suite 2302, New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — RIMROCK CAPITAL PARTNERS, LP
Address of issuer — Same as above
State or country in which organized — Delaware

ROSEHILL SAISEI FUND, L.P.
222 N. Sepulveda Blvd., Suite 1426, El Segundo, CA 90245
Security(ies) — Limited partnership interests
Issuer of security(ies) — ROSEHILL SAISEI FUND, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Sandler O'Neill & Partners, L.P.
919 Third Ave., Sixth Fl., New York, NY 10022
Security(ies) — Common stock, par value \$0.10 per share
Issuer of security(ies) — SE Financial Corp.
Address of issuer — 1901-03 E. Passyunk Ave., Philadelphia, PA 19148
State or country in which organized — Pennsylvania

Sands Brothers International LIMITED
90 Park Ave., New York, NY 10016
State or country in which incorporated — England
Security(ies) — Units comprised of common stock and warrants to purchase shares of common stock
Issuer of security(ies) — Genius Products, Inc.
Address of issuer — 740 Lomas Santa Fe, Suite 210, Solana Beach, CA 92075
State or country in which organized — Nevada

Science Applications International Corporation
10260 Campus Point Dr., San Diego, CA 92121
State or country in which incorporated — Delaware
Security(ies) — Class A common stock
Issuer of security(ies) — Science Applications International Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

SEI Investments Distribution Co.
One Freedom Valley Rd., Oaks, PA 19456
State or country in which incorporated — Pennsylvania
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — The Advisors' Inner Circle Fund — Commerce

Capital Treasury Obligations Money Market Fund
Address of issuer — 101 Federal St., Boston, MA 02110
State or country in which organized — Massachusetts

SG PUBLISHING VENTURES, LLC
 386 Park Ave. S, Suite 1800, New York, NY 10016
State or country in which incorporated — New York
Security(ies) — Units of limited liability company membership interests
Issuer of security(ies) — SG Publishing Ventures, LLC
Address of issuer — Same as above
State or country in which organized — New York

Sherpa Offshore Fund, Ltd.
 % SG Hambros Bank & Trust (Bahamas) Limited, W. Bay St., P.O. Box 7788, Nassau, Bahamas
State or country in which incorporated — Bahamas
Security(ies) — Common shares
Issuer of security(ies) — Sherpa Offshore Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Bahamas

Sterne Agee & Leach, Inc.
 800 Shades Creek Pkwy., Suite 700, Birmingham, AL 35209
State or country in which incorporated — Delaware
Security(ies) — Shares of \$0.01 par value common stock
Issuer of security(ies) — Delta Petroleum Corporation
Address of issuer — 475 Seventeenth St., Suite 1400, Denver, CO 80202
State or country in which organized — Colorado

Stony Point Investment Fund, L.P.
 % Stony Point Advisors LLC, 203 Store Hill Rd., Old Westbury, NY 11568
Security(ies) — Limited partnership interests
Issuer of security(ies) — Stony Point Investment Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

TCW Brokerage Services
 865 S. Figueroa St., Suite 1800, Los Angeles, CA 90017
State or country in which incorporated — California
Security(ies) — Shares of common stock
Issuer of security(ies) — TCW Galileo Funds, Inc. — TCW Galileo Growth Equities Fund
Address of issuer — Same as above
State or country in which organized — Maryland

Third Place, Inc.
 101 Henry St., Burlington, VT 05401
State or country in which incorporated — Vermont
Security(ies) — Series A convertible preferred stock
Issuer of security(ies) — Third Place, Inc.
Address of issuer — Same as above
State or country in which organized — Vermont

TMG Puerto Rico 3 LLC
 130 W. 57th St., Suite 2A, New York, NY 10019
Security(ies) — Membership interests
Issuer of security(ies) — TMG Puerto Rico 3 LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Travertine Partners, LP
 731 Forest Ave., Rye, NY 10580
Security(ies) — Limited partnership interests
Issuer of security(ies) — Travertine Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

TruServ Corporation
 8600 W. Bryn Mawr Ave., Chicago, IL 60631-3505
State or country in which incorporated — Delaware
Security(ies) — Class B common stock (issuer's annual patronage dividend)
Issuer of security(ies) — TruServ Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

UNITED BUSINESS MEDIA PLC
 Ludgate House, 245 Blackfriars Rd., London SE1 9UY, United Kingdom
State or country in which incorporated — England and Wales
Security(ies) — Common stock
Issuer of security(ies) — UNITED BUSINESS MEDIA PLC
Address of issuer — Same as above
State or country in which organized — England and Wales

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Investment Grade Municipal Trust, Series 43
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Select 10 Industrial Portfolio 2004-2
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Insured Municipals Income Trust, 94th Limited Maturity Series
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Internet Portfolio, Series 38
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Insured Income Trust, Series 118
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Strategic Small-Cap Portfolio 2004-2
Address of issuer — Same as above
State or country in which organized — New York

Verance Corporation
10145 Pacific Heights Blvd., Suite 200, San Diego, CA 92121
State or country in which incorporated — Delaware
Security(ies) — Series A preferred stock
Issuer of security(ies) — Verance Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Verance Corporation
10145 Pacific Heights Blvd., Suite 200, San Diego, CA 92121
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Verance Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Verance Corporation
10145 Pacific Heights Blvd., Suite 200, San Diego, CA 92121
State or country in which incorporated — Delaware
Security(ies) — Warrants to purchase shares of preferred stock
Issuer of security(ies) — Verance Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Wedbush Morgan Securities Inc.
1000 Wilshire Blvd., Los Angeles, CA 90017
State or country in which incorporated — Delaware
Security(ies) — Common stock, \$0.01 par value
Issuer of security(ies) — 24/7 Real Media, Inc.
Address of issuer — 1250 Broadway, 28th Fl., New York, NY 10001
State or country in which organized — Delaware

WM Trust I; Small Cap Value Fund
1201 Third Ave., 22nd Fl., Seattle, WA 98101
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — WM Trust I/Small Cap Value Fund
Address of issuer — same as above
State or country in which organized — Massachusetts

Zanett Securities Corporation
135 E. 57th St., 15th Fl., New York, NY 10022
State or country in which incorporated — New York
Security(ies) — Series A preferred stock
Issuer of security(ies) — Trafin Corporation
Address of issuer — 450 Lexington Ave., New York, NY 10017
State or country in which organized — Delaware