

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

1088 Medvestors, LLC
% Medmailers, Inc., 2440 E. Commercial Blvd., Suite 4, Fort Lauderdale, FL 33308
Partnership — Medmailers, Inc., limited liability company

ABN AMRO Incorporated
540 W. Madison St., Chicago, IL 60661
State or country in which incorporated — New York

Addazi, Inc.
1009 N. Orange Dr., Los Angeles, CA 90038
State or country in which incorporated — Massachusetts

AIV, LLC
143 S. Bedford Rd., Pound Ridge, NY 10576
State or country in which incorporated — New York

Altrinsic Global Offshore Fund, Ltd.
% Goldman Sachs (Cayman) Trust, Limited, P.O. Box 896, Harbour Center, 2nd Fl., George Town, Grand Cayman, British West Indies
State or country in which incorporated — Cayman Islands

Apollo Gold Corporation
4601 DTC Blvd., Suite 750, Denver, CO 80237
State or country in which incorporated — Canada

Arbor Capital, L.P.
One Sansome St., 29th Fl., San Francisco, CA 94104
Partnership — Arbor Capital Management, LLC, general partner

Atlantis Capital Management, L.P.
483 Locust Point Rd., Rumson, NJ 07760
Partnership — Atlantis Capital Markets NA, LLC

Audax Private Equity Fund II, L.P.
101 Huntington Ave., Boston, MA 02199
Partnership — Audax Private Equity Business II, L.P.

B.C. Ziegler and Company
250 E. Wisconsin Ave., Milwaukee, WI 53202

State or country in which incorporated — Wisconsin

BlackRock Distributors, Inc.
760 Moore Rd., King of Prussia, PA 19406
State or country in which incorporated — Delaware

Breakwater Capital Partners, L.P.
300 Hamilton Ave., Fourth Fl., Palo Alto, CA 94301
Partnership — Breakwater Capital Management, LLC

BV Financial, Inc.
7114 N. Point Rd., Baltimore, MD 21219
State or country in which incorporated — United States

CapitalSouth Partners Fund II Limited Partnership
1011 E. Morehead St., Suite 150, Charlotte, NC 28204
Partnership — CapitalSouth Partners F-II, LLC, general partner

Connecticut Capital Partners, L.P.
137 Rowayton Ave., Suite 230, Rowayton, CT 06853
Partnership — Connecticut Capital Management LLC

Continuum Group C Inc.
65 E. 55th St., 3rd Fl., New York, NY 10022
State or country in which incorporated — Nevada

Dialectic Antithesis Partners, LP
399 Park Ave., 23rd Fl., New York, NY 10022
Partnership — Dialectic Capital, LLC

Digital Century Conservative Growth, L.P.
660 Madison Ave., 14th Fl., New York, NY 10021
Partnership — Chaudhri, Rajiv J

Dorchester Capital Partners, L.P.
11111 Santa Monica Blvd., Suite 1250, Los Angeles, CA 90025
Partnership — Dorchester Capital Advisors, LLC

Dorchester Capital Partners III, L.P.
11111 Santa Monica Blvd., Suite 1250, Los Angeles, CA 90025
Partnership — Dorchester Capital Advisors, LLC

Douglas Scott Securities, Inc.
101 W. Renner Rd., Suite 480, Richardson, TX 75082
State or country in which incorporated — Texas

Emerald First LLC
600 W. Broadway, Suite 3100, San Diego, CA 92101

State or country in which incorporated — Delaware limited liability company

Equity Overlay Fund, LLC
% Camden Asset Management, LLC, 2049 Century Park E, Suite 330,
Los Angeles, CA 90067
State or country in which incorporated — Delaware

Ethanol Capital Partners, L.P.
Rockefeller Center, 1230 Avenue of the Americas, 7th Fl., New York,
NY 10020
Partnership — Ethanol Capital Management LLC

Fifth Third Funds—Fifth Third Michigan Municipal Money Market
Fund
BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
State or country in which incorporated — Massachusetts

Formula Capital LP
680 Fifth Ave., New York, NY 10156
Partnership — Formula Capital LLC

GAM Avalon Lancelot, LLC
135 E. 57th St., New York, NY 10022

HydroGen, L.L.C.
1801 Rte. 25 S, Jefferson Hills, PA 15025
State or country in which incorporated — Pennsylvania

Inflexion Partners Fund, L.P.
One Sansome St., Suite 2900, San Francisco, CA 94104
Partnership — Inflexion Partners, LLC, general partner

International Shipping Enterprises, Inc.
1225 Franklin Ave., Suite 325, Garden City, NY 11530
State or country in which incorporated — Delaware

Lehman Brothers Enhanced Cash Fund
605 Third Ave., New York, NY 10158
State or country in which incorporated — Delaware

Lehman Brothers Enhanced Cash Fund, Ltd.
Leeward Two, Safehaven Corporate Center, W. Bay Rd., Grand
Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands

LifeInvest International Insurance Fund, L.P.
One Corporate Center at Rye, 555 Theodore Fremd Ave., Rye, NY
10580
Partnership — Tremont Partners, Inc.

LMP Exploration Holdings, L.P.
615 N. Upper Broadway, Suite 1770, Corpus Christi, TX 78477
Partnership — LMP Exploration Holdings GP, L.L.C.

Long Ball Partners, LLC
150 S. Rodeo Dr., Suite 100, Beverly Hills, CA 90212

Long Point Holdings, LLC
11777 San Vicente Blvd., Suite 900, Los Angeles, CA 90049, Attn:
Legal Group
State or country in which incorporated — Delaware

LTR Corp.
9855 Double R Blvd., Reno, NV 89521
State or country in which incorporated — Nevada

Lucerne Partners Summit Fund, L.P.
237 Park Ave., Suite 900, New York, NY 10017
Partnership — Lucerne Advisors, LLC

Manning Napier Investor Services Inc.
1100 Chase Sq., Rochester, NY 14604

NewsMarket, Inc., The
Six E. 32nd St., 6th Fl., New York, NY 10016
State or country in which incorporated — Delaware

NO RNA Stable, LLC
% Hanover Shoe Farms, Inc., Rte. 194 S, P.O. Box 339, Hanover, PA
17331-0339
State or country in which incorporated — Pennsylvania limited liability
company

Orthovisage Inc.
12 E. 86th St., New York, NY 10028
State or country in which incorporated — New York

Peregrine Pharmaceuticals, Inc.
14272 Franklin Ave., Suite 100, Tustin, CA 92780
State or country in which incorporated — Delaware

Putnam Retail Management Limited Partnership
One Post Office Sq., Boston, MA 02109

Quasar Distributors, LLC
615 E. Michigan St., LC-2 Milwaukee, WI 53202
State or country in which incorporated — Wisconsin

Ridgewood Energy N Fund, LLC
947 Linwood Ave., Ridgewood, NJ 07450
State or country in which incorporated — Delaware

RNR III, LP
2800 Greensboro Dr., Suite 1550, McLean, VA 22102
Partnership — Ramsey Asset Management GP, LLC

Sands Brothers International Limited
90 Park Ave., New York, NY 10016
State or country in which incorporated — United Kingdom

Sanitec Industries, Inc.
9065 Norris Ave., Sun Valley, CA 91352
State or country in which incorporated — California

Surge Global Energy, Inc.
7090 Whipple Ave. NW, North Canton, OH 44720
State or country in which incorporated — Delaware

Temujin Fund, L.P.
140 Broadway, 45th Fl., New York, NY 10005
Partnership — Temujin Partners, LLC

Tribeca Tables Software Development, LLC
733 Summer St., Suite 505, Stamford, CT 06901

Triborough Partners, LLC
22 Chambers St., Suite 101, Princeton, NJ 08542
State or country in which incorporated — Delaware

Triborough Partners International, Ltd.
Skandia House, 18 Church St., Hamilton HM11, Bermuda
State or country in which incorporated — Bermuda

TriMedia Entertainment Group, Inc.
1080 N. Delaware Ave., Philadelphia, PA 19125
State or country in which incorporated — Delaware

Verance Corporation
10145 Pacific Heights Blvd., Suite 200, San Diego, CA 92121
State or country in which incorporated — Delaware

WMS Real Estate Opportunity Fund, L.L.C.
305 Washington Ave., Suite 204, Towson, MD 21204

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY
DEALERS; BROKERS;
SYNDICATE MANAGERS

1088 Medvestors, LLC
% Medmailers, Inc., 2440 E. Commercial Blvd., Suite 4, Fort Lauderdale, FL 33308
Security(ies) — Class A preference units
Issuer of security(ies) — 1088 Medvestors, LLC
Address of issuer — Same as above
State or country in which organized — Florida

Addazi, Inc.
1009 N. Orange Dr., Los Angeles, CA 90038
State or country in which incorporated — Massachusetts
Security(ies) — Series C preferred stock
Issuer of security(ies) — Addazi, Inc.
Address of issuer — Same as above
State or country in which organized — Massachusetts

AIV, LLC
143 S. Bedford Rd., Pound Ridge, NY 10576
State or country in which incorporated — New York
Security(ies) — Limited liability company interests
Issuer of security(ies) — AIV, LLC
Address of issuer — Same as above
State or country in which organized — New York

Altrinsic Global Offshore Fund, Ltd.
% Goldman Sachs (Cayman) Trust, Limited, P.O. Box 896, Harbour Center, 2nd Fl., George Town, Grand Cayman, British West Indies
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares
Issuer of security(ies) — Altrinsic Global Offshore Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Arbor Capital, L.P.
One Sansome St., 29th Fl., San Francisco, CA 94104
Security(ies) — Limited partner interests
Issuer of security(ies) — Arbor Capital, L.P.
Address of issuer — Same as above
State or country in which organized — California

Atlantis Capital Management, L.P.
483 Locust Point Rd., Rumson, NJ 07760
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interests
Issuer of security(ies) — Atlantis Capital Management, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Audax Private Equity Fund II, L.P.
101 Huntington Ave., Boston, MA 02199
Security(ies) — Limited partnership interests
Issuer of security(ies) — Audax Private Equity Fund II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Barbara K Enterprises, Inc.
25 W. 45th St., New York, NY 10036
State or country in which incorporated — Delaware
Security(ies) — Class B Common stock, \$0.001 par value per share
Issuer of security(ies) — Barbara K Enterprises, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

B.C. Ziegler and Company
250 E. Wisconsin Ave., Milwaukee, WI 53202
State or country in which incorporated — Wisconsin
Security(ies) — Units of limited liability company interest
Issuer of security(ies) — Ziegler Equity Funding III, LLC
Address of issuer — Same as above
State or country in which organized — Wisconsin

Behringer Securities LP
15601 Dallas Pkwy., Suite 600, Addison, TX 75001
State or country in which incorporated — Texas
Security(ies) — Common stock, \$0.0001 par value
Issuer of security(ies) — Behringer Harvard REIT I, Inc.
Address of issuer — Same as above
State or country in which organized — Maryland

BlackRock Distributors, Inc.
760 Moore Rd., King of Prussia, PA 19406
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — BlackRock Bond Allocation Target Shares Series C Portfolio
Address of issuer — 100 Bellevue Pkwy., Wilmington, DE 19809
State or country in which organized — Delaware

BlackRock Distributors, Inc.
760 Moore Rd., King of Prussia, PA 19406
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — BlackRock Bond Allocation Target Shares Series M Portfolio
Address of issuer — 100 Bellevue Pkwy., Wilmington, DE 19809
State or country in which organized — Delaware

BlackRock Distributors, Inc.
760 Moore Rd., King of Prussia, PA 19406
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — BlackRock Bond Allocation Target Shares Series S Portfolio
Address of issuer — 100 Bellevue Pkwy., Wilmington, DE 19809
State or country in which organized — Delaware

Breakwater Capital Partners, L.P.
300 Hamilton Ave., Fourth Fl., Palo Alto, CA 94301
Security(ies) — Limited partnership interest
Issuer of security(ies) — Breakwater Capital Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

BV Financial, Inc.
7114 N. Point Rd., Baltimore, MD 21219
State or country in which incorporated — United States
Security(ies) — Common stock, par value \$0.01 per share
Issuer of security(ies) — BV Financial, Inc.
Address of issuer — Same as above
State or country in which organized — United States

CapitalSouth Partners Fund II Limited Partnership
1011 E. Morehead St., Suite 150, Charlotte, NC 28204
Security(ies) — Units of limited partnership interests
Issuer of security(ies) — CapitalSouth Partners Fund II Limited Partnership
Address of issuer — Same as above
State or country in which organized — North Carolina

Connecticut Capital Partners, L.P.
137 Rowayton Ave., Suite 230, Rowayton, CT 06853
Security(ies) — Limited partnership interests
Issuer of security(ies) — Connecticut Capital Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Continuum Group C Inc.
65 E. 55th St., 3rd Fl., New York, NY 10022
Security(ies) — Common stock, class A convertible preferred stock and warrants
Issuer of security(ies) — Continuum Group C Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Deutsche Bank Securities Inc.; (2) Lehman Brothers Inc.
60 Wall St., New York, NY 10005; (2) 745 7th Ave., New York, NY 10019
State or country in which incorporated — (1-2) Delaware
Security(ies) — ___% senior notes due 2011
Issuer of security(ies) — STATS ChipPAC Ltd.
Address of issuer — 10 Ang Mo Kio St. 65 #05-17/20 Tech Point, Singapore 569069
State or country in which organized — Singapore

Dialectic Antithesis Partners, LP
399 Park Ave., 23rd Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Dialectic Antithesis Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Digital Century Conservative Growth, L.P.
660 Madison Ave., 14th Fl., New York, NY 10021
Security(ies) — Limited partnership interests
Issuer of security(ies) — Digital Century Conservative Growth, L.P.
Address of issuer — Same as above
State or country in which organized — New York

Dorchester Capital Partners, L.P.
11111 Santa Monica Blvd., Suite 1250, Los Angeles, CA 90025
Security(ies) — Limited partnership interests
Issuer of security(ies) — Dorchester Capital Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Dorchester Capital Partners III, L.P.
11111 Santa Monica Blvd., Suite 1250, Los Angeles, CA 90025
Security(ies) — Limited partnership interests
Issuer of security(ies) — Dorchester Capital Partners III, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Douglas Scott Securities, Inc.
101 W. Renner Rd., Suite 480, Richardson, TX 75082
State or country in which incorporated — Texas
Security(ies) — General partnership interests
Issuer of security(ies) — Miocene Development Partnership
Address of issuer — 101 W. Renner Rd., Suite 470, Richardson, TX 75082
State or country in which organized — Texas

Dreyfus Service Corporation
200 Park Ave., New York, NY 10166
State or country in which incorporated — New York
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Dreyfus Premier Opportunity Funds—Dreyfus Premier Consumer Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Emerald First LLC
600 W. Broadway, Suite 3100, San Diego, CA 92101
State or country in which incorporated — Delaware limited liability company
Security(ies) — 5,000 to 10,000 capital units (consisting of limited liability company membership interests) with warrants to purchase additional capital units
Issuer of security(ies) — Emerald First LLC
Address of issuer — Same as above
State or country in which organized — Delaware limited liability company

Equity Overlay Fund, LLC
% Camden Asset Management, LLC, 2049 Century Park E, Suite 330, Los Angeles, CA 90067
State or country in which incorporated — Delaware
Security(ies) — Limited liability company interests
Issuer of security(ies) — Equity Overlay Fund, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Ethanol Capital Partners, L.P.
Rockefeller Center, 1230 Avenue of the Americas, 7th Fl., New York, NY 10020

Security(ies) — Limited partnership interests
Issuer of security(ies) — Ethanol Capital Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Fifth Third Funds—Fifth Third Michigan Municipal Money Market Fund
 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — BISYS Fund Services L.P.
Address of issuer — Same as above
State or country in which organized — Massachusetts

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 894 (Value Line® 100 #1 Ranks Portfolio, Series 11)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 899 (McDonald Investments Select Equity Trust, Series 2005)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 904 (California Municipal Income Closed-End Portfolio, Series 6)
Address of issuer — Same as above
State or country in which organized — Illinois

Fixed Income Securities, L.P.
 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — Advisor's Disciplined Trust, Series 21 (Global Basic Materials Portfolio Series 1)
Address of issuer — Same as above
State or country in which organized — New York

Formula Capital LP
 680 Fifth Ave., New York, NY 10156
Security(ies) — Limited partnership interests
Issuer of security(ies) — Formula Capital LLC
Address of issuer — Same as above
State or country in which organized — Delaware

GAM Avalon Lancelot, LLC
 135 E. 57th St., New York, NY 10022
Security(ies) — Membership units
Issuer of security(ies) — GAM Avalon Lancelot, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Glickenhau & Co.; (2) Lebenthal
 Six E. 43rd St., New York, NY 10017; (2) 90 State House Sq., Hartford, CT 06130
State or country in which incorporated — (1) New York; (2) Delaware

Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Empire State Municipal Exempt Trust, Guaranteed Series 181
Address of issuer — Six E. 43rd St., New York, NY 10017
State or country in which organized — New York trust

Glickenhau & Co.; (2) Lebenthal
 Six E. 43rd St., New York, NY 10017; (2) 90 State House Sq., Hartford, CT 06130
State or country in which incorporated — (1) New York; (2) Delaware
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Empire State Municipal Exempt Trust, Guaranteed Series 182
Address of issuer — Six E. 43rd St., New York, NY 10017
State or country in which organized — New York trust

Inflection Partners Fund, L.P.
 One Sansome St., Suite 2900, San Francisco, CA 94104
State or country in which incorporated — Delaware limited partnership
Security(ies) — Limited partner interests
Issuer of security(ies) — Inflection Partners Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

InvestPrivate, Inc.
 500 Fifth Ave., New York, NY 10110
State or country in which incorporated — Delaware
Security(ies) — Partnership interests
Issuer of security(ies) — InvestBioShort Term Opportunity Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Keefe Bruyette & Woods, Inc.
 787 Seventh Ave., New York, NY 10019
State or country in which incorporated — New York
Security(ies) — Common stock
Issuer of security(ies) — Sussex Bancorp
Address of issuer — 200 Munsonhurst Rd., Franklin, NJ 07416-0353
State or country in which organized — New Jersey

Lehman Brothers Enhanced Cash Fund
 605 Third Ave., New York, NY 10158
State or country in which incorporated — Delaware
Security(ies) — Institutional shares
Issuer of security(ies) — Lehman Brothers Enhanced Cash Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Lehman Brothers Enhanced Cash Fund, Ltd.
 Leeward Two, Safehaven Corporate Center, W. Bay Rd., Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands
Security(ies) — Institutional shares
Issuer of security(ies) — Lehman Brothers Enhanced Cash Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Lehman Brothers Inc.; (2) ABN AMRO Incorporated
 745 7th Ave., New York, NY 10019; (2) 208 S. LaSalle St., Chicago, IL 60604
State or country in which incorporated — (1) Delaware; (2) New York
Security(ies) — ___% series A notes due 2014
Issuer of security(ies) — Tengizchevroil Finance Co. S.á.r.l.

Address of issuer — 46, Avenue J.F. Kennedy, L-1855, Luxembourg
State or country in which organized — Luxembourg

LifeInvest International Insurance Fund, L.P.
 One Corporate Center at Rye, 555 Theodore Fremd Ave., Rye, NY 10580
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interests
Issuer of security(ies) — LifeInvest International Insurance Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

LMP Exploration Holdings, L.P.
 615 N. Upper Broadway, Suite 1770, Corpus Christi, TX 78477
Security(ies) — Limited partnership units
Issuer of security(ies) — LMP Exploration Holdings, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Long Ball Partners, LLC
 150 S. Rodeo Dr., Suite 100, Beverly Hills, CA 90212
Security(ies) — Limited liability company interests
Issuer of security(ies) — Long Ball Partners, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Long Point Holdings, LLC
 11777 San Vicente Blvd., Suite 900, Los Angeles, CA 90049, Attn: Legal Group
Security(ies) — Limited liability company interests
Issuer of security(ies) — Long Point Holdings, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

LTR Corp.
 9855 Double R Blvd., Reno, NV 89521
State or country in which incorporated — Nevada
Security(ies) — Units consisting of common stock
Issuer of security(ies) — LTR Corp.
Address of issuer — Same as above
State or country in which organized — Nevada

Lucerne Partners Summit Fund, L.P.
 237 Park Ave., Suite 900, New York, NY 10017
Security(ies) — Limited partnership interests
Issuer of security(ies) — Lucerne Advisors, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Manning & Napier Investor Services Inc.
 1100 Chase Sq., Rochester, NY 14604
Security(ies) — Common stock
Issuer of security(ies) — Manning & Napier Investor Services Inc.
Address of issuer — Same as above
State or country in which organized — Maryland

Mellon Funds Distributor, L.P.
 One Boston Place, Boston, MA 02108-4408
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Mellon Institutional Funds Investment Trust—The Boston Company International Value Opportunities Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

NO RNA Stable, LLC
 % Hanover Shoe Farms, Inc., Rte. 194 S, P.O. Box 339, Hanover, PA 17331-0339
State or country in which incorporated — Pennsylvania limited liability company
Security(ies) — Limited liability company interests
Issuer of security(ies) — NO RNA Stable, LLC
Address of issuer — Same as above
State or country in which organized — Pennsylvania

NYFIX, Inc.
 Stamford Harbor Park, 333 Ludlow St., Stamford, CT 06902
State or country in which incorporated — New York
Security(ies) — Common stock
Issuer of security(ies) — NYFIX, Inc.
Address of issuer — Same as above
State or country in which organized — New York

Orthovisage Inc.
 12 E. 86th St., New York, NY 10028
State or country in which incorporated — New York
Security(ies) — Common stock, par value \$.01 per share
Issuer of security(ies) — Orthovisage Inc.
Address of issuer — Same as above
State or country in which organized — New York

PA Distributors LLC
 2187 Atlantic St., Stamford, CT 06902
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — PIMCO Funds: Multi-Manager Series—PIMCO NACM International Fund
Address of issuer — 840 Newport Center Dr., Newport Beach, CA 92660
State or country in which organized — Massachusetts

Peregrine Pharmaceuticals, Inc.
 14272 Franklin Ave., Suite 100, Tustin, CA 92780
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Peregrine Pharmaceuticals, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Professional Funds Distributor, LLC
 760 Moore Rd., King of Prussia, PA 19406
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — The Armada Advantage Fund—Armada Advantage Institutional Money Market Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Putnam Retail Management Limited Partnership
 One Post Office Sq., Boston, MA 02109
Security(ies) — Shares of common stock
Issuer of security(ies) — Putnam RetirementReady Funds—Putnam Retirement Ready 2010 Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Putnam Retail Management Limited Partnership
 One Post Office Sq., Boston, MA 02109

Security(ies) — Shares of common stock
Issuer of security(ies) — Putnam RetirementReady Funds—Putnam Retirement Ready 2015 Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Putnam Retail Management Limited Partnership
 One Post Office Sq., Boston, MA 02109
Security(ies) — Shares of common stock
Issuer of security(ies) — Putnam RetirementReady Funds—Putnam Retirement Ready 2020 Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Putnam Retail Management Limited Partnership
 One Post Office Sq., Boston, MA 02109
Security(ies) — Shares of common stock
Issuer of security(ies) — Putnam RetirementReady Funds—Putnam Retirement Ready 2025 Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Putnam Retail Management Limited Partnership
 One Post Office Sq., Boston, MA 02109
Security(ies) — Shares of common stock
Issuer of security(ies) — Putnam RetirementReady Funds—Putnam Retirement Ready 2030 Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Putnam Retail Management Limited Partnership
 One Post Office Sq., Boston, MA 02109
Security(ies) — Shares of common stock
Issuer of security(ies) — Putnam RetirementReady Funds—Putnam Retirement Ready 2035 Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Putnam Retail Management Limited Partnership
 One Post Office Sq., Boston, MA 02109
Security(ies) — Shares of common stock
Issuer of security(ies) — Putnam RetirementReady Funds—Putnam Retirement Ready 2040 Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Putnam Retail Management Limited Partnership
 One Post Office Sq., Boston, MA 02109
Security(ies) — Shares of common stock
Issuer of security(ies) — Putnam RetirementReady Funds—Putnam Retirement Ready 2045 Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Putnam Retail Management Limited Partnership
 One Post Office Sq., Boston, MA 02109
Security(ies) — Shares of common stock
Issuer of security(ies) — Putnam RetirementReady Funds—Putnam Retirement Maturity Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Quasar Distributors, LLC
 615 E. Michigan St., LC-2 Milwaukee, WI 53202

State or country in which incorporated — Wisconsin
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — PRIMECAP Odyssey Funds
Address of issuer — % U.S. Bancorp Fund Services, LLC, 615 E. Michigan St., LC-2, Milwaukee, WI 53202
State or country in which organized — Delaware

Ridgewood Energy N Fund, LLC
 947 Linwood Ave., Ridgewood, NJ 07450
State or country in which incorporated — Delaware
Security(ies) — Membership interests
Issuer of security(ies) — Ridgewood Energy N Fund, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

RNR III, LP
 2800 Greensboro Dr., Suite 1550, McLean, VA 22102
Security(ies) — Limited partnership interests
Issuer of security(ies) — RNR III, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Rochester Midland Corporation
 333 Hollenbeck St., Rochester, NY 14621
State or country in which incorporated — New York
Security(ies) — Convertible debentures
Issuer of security(ies) — Rochester Midland Corporation
Address of issuer — P.O. Box 31515, Rochester, NY 14603-1515
State or country in which organized — New York

Sands Brothers International Limited
 90 Park Ave., New York, NY 10016
State or country in which incorporated — United Kingdom
Security(ies) — Units consisting of (a) 5% senior promissory note and (b) an option to purchase membership interest
Issuer of security(ies) — Triage Partners LLC
Address of issuer — Same as above
State or country in which organized — New York

Sanitec Industries, Inc.
 9065 Norris Ave., Sun Valley, CA 91352
State or country in which incorporated — California
Security(ies) — Common stock
Issuer of security(ies) — Sanitec Industries, Inc.
Address of issuer — Same as above
State or country in which organized — California

SEI Investments Distribution Co
 One Freedom Valley Rd., Oaks, PA 19456
State or country in which incorporated — Pennsylvania
Security(ies) — Units of beneficial interest
Issuer of security(ies) — Highmark Funds—Highmark Short Term Bond Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Solvay Bank Corp.
 1537 Milton Ave., Solvay, NY 13209
State or country in which incorporated — New York
Security(ies) — Voting common stock
Issuer of security(ies) — Solvay Bank Corp.
Address of issuer — Same as above
State or country in which organized — New York

Spencer Trask Ventures, Inc.
535 Madison Ave., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Units consisting of shares of series A convertible preferred stock
Issuer of security(ies) — Cylant, Inc.
Address of issuer — 91 Hartwell Ave., Lexington, MA 02421
State or country in which organized — Delaware

Sunrise Securities Corp.
641 Lexington Ave., 25th Fl., New York, NY 10022
State or country in which incorporated — New York
Security(ies) — Series C2 convertible preferred stock
Issuer of security(ies) — Infra-Com Technologies, Inc.
Address of issuer — 18 Haplada St., Netanya, Israel 42135
State or country in which organized — Delaware

Sunrise Securities Corp.
641 Lexington Ave., 25th Fl., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — International Shipping Enterprises, Inc.
Address of issuer — 1225 Franklin Ave., Suite 325, Garden City, NY 11530
State or country in which organized — Delaware

Sunrise Securities Corp.
641 Lexington Ave., 25th Fl., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Units (of common stock and warrants)
Issuer of security(ies) — International Shipping Enterprises, Inc.
Address of issuer — 1225 Franklin Ave., Suite 325, Garden City, NY 11530
State or country in which organized — Delaware

Sunrise Securities Corp.
641 Lexington Ave., 25th Fl., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Warrants
Issuer of security(ies) — International Shipping Enterprises, Inc.
Address of issuer — 1225 Franklin Ave., Suite 325, Garden City, NY 11530
State or country in which organized — Delaware

Surge Global Energy, Inc.
7090 Whipple Ave. NW, North Canton, OH 44720
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Surge Global Energy, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Temujin Fund, L.P.
140 Broadway, 45th Fl., New York, NY 10005
Security(ies) — Limited partnership interests
Issuer of security(ies) — Temujin Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Tribeca Tables Software Development, LLC
733 Summer St., Suite 505, Stamford, CT 06901
Security(ies) — Membership interests
Issuer of security(ies) — Tribeca Tables Software Development, LLC

Address of issuer — Same as above
State or country in which organized — Delaware

Triborough Partners, LLC
22 Chambers St., Suite 101, Princeton, NJ 08542
State or country in which incorporated — Delaware
Security(ies) — Membership interests
Issuer of security(ies) — Triborough Partners, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Triborough Partners International, Ltd.
Skandia House, 18 Church St., Hamilton HM11, Bermuda
State or country in which incorporated — Bermuda
Security(ies) — Membership interests
Issuer of security(ies) — Triborough Partners International, Ltd.
Address of issuer — Same as above
State or country in which organized — Bermuda

TriMedia Entertainment Group, Inc.
1080 N. Delaware Ave., Philadelphia, PA 19125
State or country in which incorporated — Delaware
Security(ies) — Units consisting of (1) share of common stock and (1) warrant to purchase (1) share of common stock
Issuer of security(ies) — TriMedia Entertainment Group, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Unified Financial Securities, Inc.
431 N. Pennsylvania St., Indianapolis, IN 46204
State or country in which incorporated — Indiana
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — Unified Series Trust, IMS Capital Value Fund
Address of issuer — Same as above
State or country in which organized — Ohio

Unified Financial Securities, Inc.
431 N. Pennsylvania St., Indianapolis, IN 46204
State or country in which incorporated — Indiana
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — Unified Series Trust, IMS Strategic Allocation Fund
Address of issuer — Same as above
State or country in which organized — Ohio

Unified Financial Securities, Inc.
431 N. Pennsylvania St., Indianapolis, IN 46204
State or country in which incorporated — Indiana
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — Unified Series Trust, IMS Strategic Income Fund
Address of issuer — Same as above
State or country in which organized — Ohio

Vanguard Fenway Funds—Vanguard PRIMECAP Core Fund
% Vanguard Financial Center, P.O. Box 2600, Valley Forge, PA 19482
Security(ies) — Units of beneficial interest at no par value
Issuer of security(ies) — Vanguard Fenway Funds—Vanguard PRIMECAP Core Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Van Kampen Funds Inc.
One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — The Dow Contrarian Strategy Portfolio 2004-6
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Insured Municipals Income Trust, Laddered Series 12
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Insured Municipals Income Trust, Series 495
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Select 10 Industrial Portfolio 2004-6
Address of issuer — Same as above
State or country in which organized — New York

Verance Corporation
10145 Pacific Heights Blvd., Suite 200, San Diego, CA 92121
Security(ies) — Series A preferred stock
Issuer of security(ies) — Verance Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Westminster Securities Corporation
100 Wall St., 7th Fl., New York, NY 10005
State or country in which incorporated — New York
Security(ies) — Special warrants convertible into units consisting of common shares and warrants for common shares
Issuer of security(ies) — Apollo Gold Corporation
Address of issuer — 4601 DTC Blvd., Suite 750, Denver, CO 80237
State or country in which organized — Canada

WMS Real Estate Opportunity Fund, L.L.C.
305 Washington Ave., Suite 204, Towson, MD 21204
Security(ies) — Membership interests
Issuer of security(ies) — WMS Real Estate Opportunity Fund, L.L.C.
Address of issuer — Same as above
State or country in which organized — Delaware

