

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

380 Prospect Partners, LLC
% Oak Tree Management Ltd., 264 Sixth Ave., Brooklyn, NY 11205
State or country in which incorporated — New York

AFG Investment Partners IV LP
3000 McKnight East Dr., Pittsburgh, PA 15237
Partnership — AFG Advisory LP

Allied Irish Banks North America Inc.
405 Park Ave., New York, NY 10022
State or country in which incorporated — Delaware

AMSCAN Holdings, Inc.
80 Grasslands Rd., Elmsford, NY 10523
State or country in which incorporated — Delaware

Asset Alliance Hedged Equity Partners, L.P.
800 Third Ave., New York, NY 10022
Partnership — AAC GP, LLC

Avenue Europe International, Ltd.
% Avenue Group, 535 Madison Ave., 15th Fl., New York, NY 10022
State or country in which incorporated — Cayman Islands

Avenue Europe Investments, L.P.
% Avenue Group Investments GenPar, LLC, 535 Madison Ave., 15th
Fl., New York, NY 10022
Partnership — Avenue Europe Investments GenPar, LLC

Battenkill Capital, Inc.
P.O. Box 2528, Manchester Center, VT 05255
State or country in which incorporated — Vermont

Brown Advisory Investors - Red Abbey Venture Partners 2004, LLLP
901 S. Bond St., Suite 400, Baltimore, MD 21231
Partnership — Brown Advisory Investors GP, LLC

Brown Advisory Investors - Symphony Capital Partners 2004, LLLP
901 S. Bond St., Suite 400, Baltimore, MD 21231
Partnership — Brown Advisory Investors GP, LLC

Brundyn Securities, Inc.
2615 Hidden Ridge Dr., Arlington, TX 76006
State or country in which incorporated — Delaware

Castine Offshore Fund, Ltd.
% Castine Capital Management, LLC, One International Place, Suite
2401, Boston, MA 02110
State or country in which incorporated — Cayman Islands

Chiste Corporation
936A Beachland Blvd., Suite 13, Vero Beach, FL 32963
State or country in which incorporated — Nevada

Cresthill Partners, LP
Two West Washington St., P.O. Box 998, Middleburg, VA 20118
Partnership — Crest

Crystal IS, Inc.
877 25th St., Watervliet, NY 12189
State or country in which incorporated — New York

Dern's Market Opportunity Fund, L.P.
Three Ravinia Dr., Suite 1700, Atlanta, GA 30346
Partnership — Valley Management, L.P.

Evans Capital Growth Fund, L.P.
51 JFK Pkwy., One West, Short Hills, NJ 07078
Partnership — Evans Capital Advisors, LLC

Falcon Pacific Japan Growth & Value Fund, Ltd.
% Citco Fund Services (Cayman Islands), Limited, Regatta Office Park,
W. Bay Rd., P.O. Box 31106 SMB, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands

Falcon Pacific Japan Domestic Partners, L.P.
200 SW. 4th St., Suite 208, Corvallis, OR 97333
Partnership — Falcon Pacific Capital Management, LLC

Fingerhut/Holzer Fund, L.P.
399 Park Ave., 36th Fl., New York, NY 10022-7519
Partnership — Barry/David Partners, LLC

Five States 2005, Ltd.
4925 Greenville Ave., Suite 1220, Dallas, TX 75206
Partnership — Five States Energy Company, L.L.C., general partner

FSP Investments LLC
401 Edgewater Place, Wakefield, MA 01880

State or country in which incorporated — Massachusetts limited liability company

FTS Group, Inc.

1049c Oxford Valley Rd., Levittown, PA 19057

State or country in which incorporated — Nevada

GF Capital Real Estate Co-Invest, LLC

375 Park Ave., New York, NY 10152

High Point Capital, Inc.

1400 Old Country Rd., Westbury, NY 11590

State or country in which incorporated — New York

Hound Partners, LP

101 Park Ave., 48th Fl., New York, NY 10178

Partnership — Hound Performance, LLC

Insignia Fund, L.P.

577 Chestnut Ridge Rd., Woodcliff Lake, NJ 07677

Partnership — Croton, LLC

Intellectual Capital Productions, Inc.

7512 Dr. Phillips Blvd., Suite 50 PMB 205, Orlando, FL 32819

State or country in which incorporated — Florida

Interstate Gas Marketing, Inc.

2018 S. Sixth St., Indiana, PA 15701

State or country in which incorporated — Pennsylvania

ISE Corporation

7345 Mission Gorge Rd., Suite K, San Diego, CA 92120

State or country in which incorporated — California

Lily Pond Currency Fund, L.P.

450 Park Ave., Suite 2103, New York, NY 10022

Partnership — Lily Pond Advisors LLC

Lincoln Palms Inestors, LLC

% Margules Properties Inc., 381 Park Ave. S, Suite 1420, New York, NY 10016

Magna Resources Brookshire Dome #11 Joint Venture

Campbell Centre II, #1700, 8150 N. Central Expwy., Dallas, TX 75206

Mesirow Financial Holdings, Inc.

350 N. Clark St., Chicago, IL 60610

State or country in which incorporated — Delaware

MidOcean Partners II, LP

320 Park Ave., New York, NY 10022

Partnership — MidOcean Associates SPC

Noble Mansion Funding LLC

% DVL, Inc., 70 E. 55th St., New York, NY 10022

Partnership — Noble Mansion Funding Manager LLC

Paratek Pharmaceuticals, Inc.

75 Kneeland St., Boston, MA 02111

State or country in which incorporated — Delaware

Penn Core High Yield Bond Fund II, L.P.

457 Haddonfield Rd., Cherry Hill, NJ 08002

Partnership — Penn Capital Management Company, Inc.

Pennant Windward Fund, L.P.

40 Main St., Chatham, NJ 07928

Partnership — Pennant General Partner, LLC

Prism Partners III Leveraged, L.P.

44 Montgomery St., Suite 4100, San Francisco, CA 94104

Partnership — Weintraub Capital Management, LLC

QC Solutions, Inc.

101 Billerica Ave., Building No. 5, North Billerica, MA 01862

State or country in which incorporated — Delaware

Reef-Vinton Development J.V.

1901 N. Central Expwy., Suite 300, Richardson, TX 75080

Partnership — Reef Partners, LLC

Science Applications International Corporation

10260 Campus Point Dr., San Diego, CA 92121

State or country in which incorporated — Delaware

SFSB, Inc.

1614 Churchville Rd., Bel Air, MD 21015

Solas Capital Partners, LP

237 Park Ave., 8th Fl., New York, NY 10017

Partnership — Solas Capital, LLC

Steeple Capital Fund I L.P., The

600 Montgomery St., 17th Fl., San Francisco, CA 94111

Partnership — Steeple Partners LLC

Steeple Capital Fund II L.P., The

600 Montgomery St., 17th Fl., San Francisco, CA 94111

Partnership — Steeple Partners LLC

Sunrise Securities Corp.

641 Lexington Ave., 25th Fl., New York, NY 10022

State or country in which incorporated — New York

Texas Securities, Inc.

4024 Nazarene Dr., Suite B, Carrollton, TX 75010

State or country in which incorporated — Texas

Timescape Capital LP

200 Greenwich Ave., 3rd Fl., Greenwich, CT 06830

Partnership — Timescape Capital Advisors LLC

Trautman Wasserman & Company, Inc.

500 5th Ave., Suite 1400, New York, NY 10110

State or country in which incorporated — Delaware

Travelzoo Inc.

590 Madison Ave., 21st Fl., New York, NY 10022

State or country in which incorporated — Delaware

TREECO Palisade South, LLC

10 E. Palisade Ave., Englewood, NJ 07631

UC Strikes, LLC

220 W. Rittenhouse Sq., 25th Fl., Philadelphia, PA 19103

State or country in which incorporated — Pennsylvania limited liability company

Waddell & Reed Small Company Growth Fund, LLC
6300 Lamar Ave., Shawnee Misson, KS 66202
State or country in which incorporated — Kansas

WaveRider Communications (Canada) Inc.
255 Consumers Rd., Suite 500, Toronto, Ontario, Canada M2J 1R4

Winston Wade Capital Fund, LLC
200 Techne Center Dr., Suite 200, Milford, OH 45150
Partnership — Winston Wade Capital, LLC

Wolfhound Energy Fund, L.P.
% Woldhound Trading, LLC, 1700 George Bush Dr. E, Suite 200,
College Station, TX 77840
Partnership — Woldhound Trading, LLC and Ruggles Capital
Management LLC

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY DEALERS; BROKERS; SYNDICATE MANAGERS

380 Prospect Partners, LLC
% Oak Tree Management Ltd., 264 Sixth Ave., Brooklyn, NY 11205
State or country in which incorporated — New York
Security(ies) — Membership interests
Issuer of security(ies) — 380 Prospect Partners, LLC
Address of issuer — Same as above
State or country in which organized — New York

AFG Investment Partners IV LP
3000 McKnight East Dr., Pittsburgh, PA 15237
Security(ies) — Limited partnership interests
Issuer of security(ies) — AFG Investment Partners IV LP
Address of issuer — Same as above
State or country in which organized — Delaware

Aggreko plc
121 W. Regent St., Glasgow, Scotland G2 2SD
State or country in which incorporated — Scotland
Security(ies) — Common stock options
Issuer of security(ies) — Aggreko plc
Address of issuer — Same as above
State or country in which organized — Scotland

Allied Irish Banks North America Inc.
405 Park Ave., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Commercial paper
Issuer of security(ies) — Allied Irish Banks North America Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Amscan Holdings, Inc.
80 Grasslands Rd., Elmsford, NY 10523

Security(ies) — 8.75% senior subordinated notes
Issuer of security(ies) — Amscan Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

ANTs Software Inc.
801 Mahler Rd., Suite G, Burlingame, CA 94010
State or country in which incorporated — Delaware
Security(ies) — Options to purchase shares of common stock
Issuer of security(ies) — ANTs Software Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Asset Alliance Hedged Equity Partners, L.P.
800 Third Ave., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Asset Alliance Hedged Equity Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Avenue Europe International, Ltd.
% Avenue Group, 535 Madison Ave., 15th Fl., New York, NY 10022
Security(ies) — Common shares
Issuer of security(ies) — Avenue Europe International, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Avenue Europe Investments, L.P.
% Avenue Europe Investments GenPar, LLC, 535 Madison Ave., 15th
Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Avenue Europe Investments, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Brown Advisory Investors - Red Abbey Venture Partners 2004, LLLP
901 S. Bond St., Suite 400, Baltimore, MD 21231
Security(ies) — Limited partnership interests
Issuer of security(ies) — Brown Advisory Investors - Red Abbey
Venture Partners 2004, LLLP
Address of issuer — Same as above
State or country in which organized — Delaware

Brown Advisory Investors - Symphony Capital Partners 2004, LLLP
901 S. Bond St., Suite 400, Baltimore, MD 21231
Security(ies) — Limited partnership interests
Issuer of security(ies) — Brown Advisory Investors - Symphony Capital
Partners 2004, LLLP
Address of issuer — Same as above
State or country in which organized — Delaware

Brundyn Securities, Inc.
2615 Hidden Ridge Dr., Arlington, TX 76006
State or country in which incorporated — Delaware
Security(ies) — Series B preferred stock
Issuer of security(ies) — High PointCapital, Inc.
Address of issuer — 1400 Old Country Rd., Westbury, NY 11590
State or country in which organized — New York

Castine Offshore Fund, Ltd.
% Castine Capital Management, LLC, One International Place, Suite
2401, Boston, MA 02110
State or country in which incorporated — Cayman Islands

Security(ies) — Class A common shares
Issuer of security(ies) — Castine Offshore Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

CCP Worldwide, Inc.
 6040-A Six Forks Rd., Suite 179, Raleigh, NC 27609
State or country in which incorporated — Delaware
Security(ies) — Investment units consisting of one share of common stock, \$0.001 par value per share, and a five-year callable warrant to purchase one share of common stock for every two investment units purchased
Issuer of security(ies) — CCP Worldwide, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Citigroup Global Markets Inc.; (2) Intelligent Electronics, Inc.; (3) Xerox International Joint Marketing, Inc.
 388 Greenwich St., New York, NY 10013; (2) 411 Eagleview Blvd., Exton, PA 19341; (3) 800 Long Ridge Rd., P.O. Box 1600, Stamford, CT 06904-1600
State or country in which incorporated — (1) New York; (2) Pennsylvania; (3) Delaware
Security(ies) — Guarantees of the 6 $\frac{7}{8}$ senior notes due 2011 of Xerox Corporation
Issuer of security(ies) — Xerox Corporation
Address of issuer — 800 Long Ridge Rd., P.O. Box 1600, Stamford, CT 06904-1600
State or country in which organized — New York

CPG Tempe Square LLC
 One Old Country Rd., Suite 282, Carle Place, New York, NY 11514
State or country in which incorporated — New York
Security(ies) — Limited liability company membership interests
Issuer of security(ies) — CPG Tempe Square LLC
Address of issuer — Same as above
State or country in which organized — New York

Cresthill Partners, LP
 Two West Washington St., P.O. Box 998, Middleburg, VA 20118
Security(ies) — Limited partnership interests
Issuer of security(ies) — Cresthill Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Crystal IS, Inc.
 877 25th St., Watervliet, NY 12189
State or country in which incorporated — New York
Security(ies) — Series A convertible preferred stock
Issuer of security(ies) — Crystal IS, Inc.
Address of issuer — Same as above
State or country in which organized — New York

Derma Sciences, Inc.
 214 Carnegie Center, Suite 100, Princeton, NJ 08540
State or country in which incorporated — Pennsylvania
Security(ies) — Common stock
Issuer of security(ies) — Derma Sciences, Inc.
Address of issuer — Same as above
State or country in which organized — Pennsylvania

Dern's Market Opportunity Fund, L.P.
 Three Ravinia Dr., Suite 1700, Atlanta, GA 30346

Security(ies) — Limited partnership interests
Issuer of security(ies) — Dern's Market Opportunity Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Evans Capital Growth Fund, L.P.
 51 JFK Pkwy., One West, Short Hills, NJ 07078
Security(ies) — Limited partnership interests
Issuer of security(ies) — Evans Capital Growth Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Falcon Pacific Japan Growth & Value Fund, Ltd.
 %Citco Fund Services (Cayman Islands), Limited, Regatta Office Park, W. Bay Rd., P.O. Box 31106 SMB, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares
Issuer of security(ies) — Falcon Pacific Japan Growth & Value Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Falcon Pacific Japan Domestic Partners, L.P.
 200 SW. 4th St., Suite 208, Corvallis, OR 97333
Security(ies) — Limited partnership interests
Issuer of security(ies) — Falcon Pacific Japan Domestic Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Fidelity Distributors Corporation
 82 Devonshire St., Boston, MA 02109
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — Fidelity Securities Fund, Fidelity Small Cap Value Fund
Address of issuer — 82 Devonshire St.-ZW10, Boston, MA 02109
State or country in which organized — Massachusetts

Fingerhut/Holzer Fund, L.P.
 399 Park Ave., 36th Fl., New York, NY 10022-7519
Security(ies) — Partnership interests
Issuer of security(ies) — Fingerhut/Holzer Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 843 (Structured Portfolio Plan Defined Portfolio, Series 3)
Address of issuer — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 881 (Senior Loan High-Yield Closed-End Portfolio, Series 3)
Address of issuer — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 882 (Nasdaq-100® Securities Portfolio, Series 6)

Address of issuer — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532

State or country in which organized — Illinois

Five States 2005, Ltd.

4925 Greenville Ave., Suite 1220, Dallas, TX 75206

Security(ies) — Limited partnership interests

Issuer of security(ies) — Five States 2005, Ltd.

Address of issuer — Same as above

State or country in which organized — Texas

FSP Investments LLC

401 Edgewater Place, Wakefield, MA 01880

State or country in which incorporated — Massachusetts limited liability company

Security(ies) — Shares of preferred stock

Issuer of security(ies) — FSP 5601 Executive Drive Corp.

Address of issuer — Same as above

State or country in which organized — Delaware

FTS Group, Inc.

1049c Oxford Valley Rd., Levittown, PA 19057

State or country in which incorporated — Nevada

Security(ies) — Units consisting of one share of the issuer's common stock, one class A share purchase warrant to purchase shares of the issuer's common stock and one class B share purchase warrant to purchase shares of the issuer's common stock

Issuer of security(ies) — FTS Group, Inc.

Address of issuer — Same as above

State or country in which organized — Nevada

Funds Distributor, Inc.

60 State St., Boston, MA 02109

State or country in which incorporated — Massachusetts

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — The Munder Institutional Government Money Market Fund

Address of issuer — 480 Pierce St., Birmingham, MI 48009

State or country in which organized — Delaware

Funds Distributor, Inc.

60 State St., Boston, MA 02109

State or country in which incorporated — Massachusetts

Security(ies) — Shares of common stock

Issuer of security(ies) — Munder Series Trust—Munder Institutional Money Market Fund

Address of issuer — 480 Pierce St., Birmingham, MI 48009

State or country in which organized — Delaware

GF Capital Real Estate Co-Invest, LLC

375 Park Ave., New York, NY 10152

Security(ies) — Membership interests

Issuer of security(ies) — GF Capital Real Estate Co-Invest, LLC

Address of issuer — Same as above

State or country in which organized — Delaware

GWA, LLC

% George Weiss Associate, Inc., One State St., Hartford, CT 06103

Security(ies) — Membership interests in limited liability company

Issuer of security(ies) — GWA, LLC

Address of issuer — Same as above

State or country in which organized — Connecticut

Hound Partners, LP

101 Park Ave., 48th Fl., New York, NY 10178

Security(ies) — Limited partnership interests

Issuer of security(ies) — Hound Partners, LP

Address of issuer — Same as above

State or country in which organized — Delaware

Inland Securities Corporation

2901 Butterfield Rd., Oak Brook, IL 60523

State or country in which incorporated — Illinois

Security(ies) — Common stock, \$.001 par value

Issuer of security(ies) — Inland Western Retail Real Estate Trust, Inc.

Address of issuer — Same as above

State or country in which organized — Maryland

Insignia Fund, L.P.

577 Chestnut Ridge Rd., Woodcliff Lake, NJ 07677

Security(ies) — Limited partnership interests

Issuer of security(ies) — Insignia Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Intellectual Capital Productions, Inc.

7512 Dr. Phillips Blvd., Suite 50 PMB 205, Orlando, FL 32819

State or country in which incorporated — Florida

Security(ies) — Common stock

Issuer of security(ies) — Intellectual Capital Productions, Inc.

Address of issuer — Same as above

State or country in which organized — Florida

Interstate Gas Marketing, Inc.

2018 S. Sixth St., Indiana, PA 15701

State or country in which incorporated — Pennsylvania

Security(ies) — Units of partnership interests

Issuer of security(ies) — Interstate Gas Marketing 2004-1 General Partnership

Address of issuer — Same as above

State or country in which organized — Pennsylvania

iRise

2101 Rosecrans Ave., Suite 1250, El Segundo, CA 90245

State or country in which incorporated — California

Security(ies) — Common stock

Issuer of security(ies) — iRise

Address of issuer — Same as above

State or country in which organized — California

ISE Corporation

7345 Mission Gorge Rd., Suite K, San Diego, CA 92120

State or country in which incorporated — California

Security(ies) — Series A preferred stock

Issuer of security(ies) — ISE Corporation

Address of issuer — Same as above

State or country in which organized — California

ISE Corporation

7345 Mission Gorge Rd., Suite K, San Diego, CA 92120

State or country in which incorporated — California

Security(ies) — Warrants to purchase common stock
Issuer of security(ies) — ISE Corporation
Address of issuer — Same as above
State or country in which organized — California

J.P. Morgan Securities Inc.
 270 Park Ave., New York, NY 10017
State or country in which incorporated — Delaware
Security(ies) — Auto lease asset backed notes, class A-1
Issuer of security(ies) — Volkswagen Auto Lease Trust 2004-A
Address of issuer — % VW Credit, Inc., 3800 Hamlin Rd., Auburn Hills, MI 48326
State or country in which organized — Delaware

J.P. Morgan Securities Inc.
 270 Park Ave., New York, NY 10017
State or country in which incorporated — Delaware
Security(ies) — Auto lease asset backed notes, class A-2
Issuer of security(ies) — Volkswagen Auto Lease Trust 2004-A
Address of issuer — % VW Credit, Inc., 3800 Hamlin Rd., Auburn Hills, MI 48326
State or country in which organized — Delaware

J.P. Morgan Securities Inc.
 270 Park Ave., New York, NY 10017
State or country in which incorporated — Delaware
Security(ies) — Auto lease asset backed notes, class A-3
Issuer of security(ies) — Volkswagen Auto Lease Trust 2004-A
Address of issuer — % VW Credit, Inc., 3800 Hamlin Rd., Auburn Hills, MI 48326
State or country in which organized — Delaware

J.P. Morgan Securities Inc.
 270 Park Ave., New York, NY 10017
State or country in which incorporated — Delaware
Security(ies) — Auto lease asset backed notes, class A-4-A
Issuer of security(ies) — Volkswagen Auto Lease Trust 2004-A
Address of issuer — % VW Credit, Inc., 3800 Hamlin Rd., Auburn Hills, MI 48326
State or country in which organized — Delaware

J.P. Morgan Securities Inc.
 270 Park Ave., New York, NY 10017
State or country in which incorporated — Delaware
Security(ies) — Auto lease asset backed notes, class A-4-B
Issuer of security(ies) — Volkswagen Auto Lease Trust 2004-A
Address of issuer — % VW Credit, Inc., 3800 Hamlin Rd., Auburn Hills, MI 48326
State or country in which organized — Delaware

J.P. Morgan Securities Inc.
 270 Park Ave., New York, NY 10017
State or country in which incorporated — Delaware
Security(ies) — Class C notes
Issuer of security(ies) — GE Credit Card Master Note Trust, 2004-2
Address of issuer — 1600 Summer St., Stamford, CT 06927
State or country in which organized — Delaware

Keating Securities, LLC
 5251 DTC Pkwy., Suite 1090, Greenwood Village, CO 80110
State or country in which incorporated — Colorado
Security(ies) — Common stock
Issuer of security(ies) — Chiste Corporation

Address of issuer — 936A Beachland Blvd., Suite 13, Vero Beach, FL 32963
State or country in which organized — Nevada

KineMed, Inc.
 5980 Horton St., Suite #470, Emeryville, CA 94608
State or country in which incorporated — Delaware
Security(ies) — Series C convertible preferred stock
Issuer of security(ies) — KineMed, Inc
Address of issuer — Same as above
State or country in which organized — Delaware

Lehman Brothers Inc.
 745 7th Ave., New York, NY 10019
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Vitesse Semiconductor Corporation
Address of issuer — 741 Calle Plano, Camarillo, CA 93012
State or country in which organized — Delaware

Lehman Brothers Inc.
 745 7th Ave., New York, NY 10019
State or country in which incorporated — Delaware
Security(ies) — ___% convertible subordinated debentures 2024
Issuer of security(ies) — Vitesse Semiconductor Corporation
Address of issuer — 741 Calle Plano, Camarillo, CA 93012
State or country in which organized — Delaware

Lily Pond Currency Fund, L.P.
 450 Park Ave., Suite 2103, New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Lily Pond Currency Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Lincoln Palms Investors, LLC
 % Margules Properties Inc., 381 Park Ave. S, Suite 1420, New York, NY 10016
Security(ies) — Membership interests
Issuer of security(ies) — Lincoln Palms Investors, LLC
Address of issuer — Same as above
State or country in which organized — Florida

Magna Resources Brookshire Dome #11 Joint Venture
 Campbell Centre II, #1700, 8150 N. Central Expwy., Dallas, TX 75206
Security(ies) — Joint venture interests
Issuer of security(ies) — Magna Resources Brookshire Dome #11 Joint Venture
Address of issuer — Same as above
State or country in which organized — Texas

Mesirow Financial Holdings, Inc.
 350 N. Clark St., Chicago, IL 60610
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Mesirow Financial Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

MidOcean Partners II, LP
 320 Park Ave., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — MidOcean Partners II, LP

Address of issuer — Same as above
State or country in which organized — Delaware

Morgan, Stanley & Co. Incorporated; (2) Bear, Stearns & Co., Inc.
 1585 Broadway, New York, NY 10036; (2) 383 Madison Ave., New
 York, NY 10179

State or country in which incorporated — (1-2) Delaware
Security(ies) — 7.75% global notes due September 15, 2014
Issuer of security(ies) — Petrobras International Finance Company
Address of issuer — Anderson Sq. Bldg., P.O. Box 714, George Town,
 Grand Cyaman, Cayman Islands, B.W.I
State or country in which organized — Cayman Islands

Noble Mansion Funding LLC
 % DVL, Inc., 70 E. 55th St., New York, NY 10022
Security(ies) — Membership interests
Issuer of security(ies) — Noble Mansion Funding LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Paratek Pharmaceuticals, Inc.
 75 Kneeland St., Boston, MA 02111
State or country in which incorporated — Delaware
Security(ies) — Series F preferred stock
Issuer of security(ies) — Paratek Pharmaceuticals, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Penn Core High Yield Bond Fund II, L.P.
 457 Haddonfield Rd., Cherry Hill, NJ 08002
Security(ies) — Limited partnership interests
Issuer of security(ies) — Penn Core High Yield Bond Fund II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Pennant Windward Fund, L.P.
 40 Main St., Chatham, NJ 07928
Security(ies) — Limited partnership interests
Issuer of security(ies) — Pennant Windward Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Piper Jaffray & Co.
 800 Nicollet Mall, Minneapolis, MN 55402
State or country in which incorporated — Minnesota
Security(ies) — Common stock
Issuer of security(ies) — MCG Capital Corporation
Address of issuer — 1100 Wilson Blvd., Suite 3000, Arlington, VA
 22209
State or country in which organized — Delaware

Prism Partners III Leveraged, L.P.
 44 Montgomery St., Suite 4100, San Francisco, CA 94104
Security(ies) — Limited partner interests
Issuer of security(ies) — Prism Partners III Leveraged, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

QC Solutions, Inc.
 101 Billerica Ave., Building No. 5, North Billerica, MA 01862
State or country in which incorporated — Delaware
Security(ies) — Shares of series E-2 convertible preferred stock
Issuer of security(ies) — QC Solutions, Inc.

Address of issuer — Same as above
State or country in which organized — Delaware

Reef-Vinton Development J.V.
 1901 N. Central Expwy., Suite 300, Richardson, TX 75080
Security(ies) — Units of joint venture interest
Issuer of security(ies) — Reef-Vinton Development J.V.
Address of issuer — Same as above
State or country in which organized — Texas

Risk Management Solutions, Inc.
 7015 Gateway Blvd., Newark, CA 94560
State or country in which incorporated — California
Security(ies) — Common stock, par value \$.01 per share
Issuer of security(ies) — Risk Management Solutions, Inc.
Address of issuer — Same as above
State or country in which organized — California

Russell Fund Distributors, Inc.
 909 A St., Tacoma, WA 98402
State or country in which incorporated — Washington
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Frank Russell Investment Company 2010
 Strategy Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Russell Fund Distributors, Inc.
 909 A St., Tacoma, WA 98402
State or country in which incorporated — Washington
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Frank Russell Investment Company 2020
 Strategy Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Russell Fund Distributors, Inc.
 909 A St., Tacoma, WA 98402
State or country in which incorporated — Washington
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Frank Russell Investment Company 2030
 Strategy Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Russell Fund Distributors, Inc.
 909 A St., Tacoma, WA 98402
State or country in which incorporated — Washington
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Frank Russell Investment Company 2040
 Strategy Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

RW Bay Club, LLC
 4706 18th St., Brooklyn, NY 11204
Security(ies) — Units consisting of class A, class B and class C
 membership interests
Issuer of security(ies) — RW Bay Club, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Science Applications International Corporation
10260 Campus Point Dr., San Diego, CA 92121
State or country in which incorporated — Delaware
Security(ies) — Class A common stock
Issuer of security(ies) — Science Applications International Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

SFSB, Inc.
1614 Churchville Rd., Bel Air, MD 21015
State or country in which incorporated — United States
Security(ies) — Common stock
Issuer of security(ies) — SFSB, Inc.
Address of issuer — Same as above
State or country in which organized — United States

Solas Capital Partners, LP
237 Park Ave., 8th Fl., New York, NY 10017
Security(ies) — Limited partnership interests
Issuer of security(ies) — Solas Capital Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Stearns, Conrad & Schmidt, Consulting Engineers, Inc.
3711 Long Beach Blvd., 9th Fl., Long Beach, CA 90807
State or country in which incorporated — Virginia
Security(ies) — Common shares
Issuer of security(ies) — Stearns, Conrad & Schmidt, Consulting Engineers, Inc.
Address of issuer — Same as above
State or country in which organized — Virginia

Steeple Capital Fund I L.P., The
600 Montgomery St., 17th Fl., San Francisco, CA 94111
Security(ies) — Limited partnership interests
Issuer of security(ies) — The Steeple Capital Fund I L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Steeple Capital Fund II L.P., The
600 Montgomery St., 17th Fl., San Francisco, CA 94111
Security(ies) — Limited partnership interests
Issuer of security(ies) — The Steeple Capital Fund II L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Sunrise Securities Corp.
641 Lexington Ave., 25th Fl., New York, NY 10022
State or country in which incorporated — New York
Security(ies) — Units, each consisting of 87,108 shares of common stock and warrants to acquire common stock
Issuer of security(ies) — Great Expectations and Associates, Inc.
Address of issuer — 501 S. Cherry St., Denver, CO 80246
State or country in which organized — Colorado

Texas Securities, Inc.
4024 Nazarene Dr., Suite B, Carrollton, TX 75010
State or country in which incorporated — Texas
Security(ies) — Joint venture interests
Issuer of security(ies) — Crown RLM #1 Joint Venture
Address of issuer — Same as above
State or country in which organized — Texas

Timescape Capital LP
200 Greenwich Ave., 3rd Fl., Greenwich, CT 06830
Security(ies) — Limited partnership interests
Issuer of security(ies) — Timescape Capital Advisors LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Trautman Wasserman & Company, Inc.
500 5th Ave., Suite 1400, New York, NY 10110
State or country in which incorporated — Delaware
Security(ies) — Units in Matrix Investment Research, LLC, consisting of preferred membership interests and warrants to purchase common membership interests
Issuer of security(ies) — Matrix Investment Research, LLC
Address of issuer — Same as above
State or country in which organized — United States

Travelzoo Inc.
590 Madison Ave., 21st Fl., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Travelzoo Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

TREECO Palisade South, LLC
10 E. Palisade Ave., Englewood, NJ 07631
Security(ies) — Limited liability company interests
Issuer of security(ies) — TREECO Palisade South, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

UBS Securities LLC
299 Park Ave., New York, NY 10171
State or country in which incorporated — United States
Security(ies) — Convertible senior notes
Issuer of security(ies) — United Industrial Corporation
Address of issuer — 124 Industry Lane, Hunt Valley, MD 21030
State or country in which organized — Delaware

UC Strikes, LLC
220 W. Rittenhouse Sq., 25th Fl., Philadelphia, PA 19103
Security(ies) — Class B limited liability company membership interests
Issuer of security(ies) — UC Strikes, LLC
Address of issuer — 220 W. Rittenhouse Sq., 25th Fl., Philadelphia, PA 19103
State or country in which organized — Pennsylvania limited liability company

United Retail Group Inc.
365 W. Passaic St., Rochelle Park, NJ 07662
State or country in which incorporated — Delaware
Security(ies) — Options to purchase units, each consisting of common stock, and preferred stock
Issuer of security(ies) — United Retail Group, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

United Retail Group Inc.
365 W. Passaic St., Rochelle Park, NJ 07662
State or country in which incorporated — Delaware
Security(ies) — Stock appreciation rights
Issuer of security(ies) — United Retail Group, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — The Dow 30SM Index Portfolio, Series 19

Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Great International Firms Portfolio, Series 25

Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Insured Laddered Trust, Series 2

Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Morgan Stanley Technology IndexSM Portfolio 2004-4

Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Morgan Stanley Technology IndexSM Portfolio, Series 32

Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Morgan Stanley U.S. Multinational 50 IndexSM Portfolio, Series 17

Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Van Kampen Unit Trusts, Municipal Series 524 (New York Insured Municipals Income Trust, Series 177)

Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which organized — New York

VectorMAX Corporation

Four Dubon Ct., Farmingdale, NY 11735

Security(ies) — Common stock, no par value

Issuer of security(ies) — VectorMAX Corporation

Address of issuer — Same as above

State or country in which organized — Illinois

Verified Person, Inc.

90 Park Ave., 32nd Fl., New York, NY 10016

State or country in which incorporated — Delaware

Security(ies) — Common stock

Issuer of security(ies) — Verified Person, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

WaveRider Communications (Canada) Inc.

255 Consumers Rd., Suite 500, Toronto, Ontario, Canada M2J 1R4

State or country in which incorporated — Canada

Security(ies) — Common shares, without par value

Issuer of security(ies) — WaveRider Communications (Canada) Inc.

Address of issuer — Same as above

State or country in which organized — Canada

Winston Wade Capital Fund, LLC

200 Techne Center Dr., Suite 200, Milford, OH 45150

Security(ies) — Limited liability company membership interests

Issuer of security(ies) — Windson Wade Capital Fund, LLC

Address of issuer — Same as above

State or country in which organized — Ohio

Wolfhound Energy Fund, L.P.

% Wolfhound Trading, LLC, 1700 George Bush Dr. E, Suite 200, College Station, TX 77840

Security(ies) — Partnership interests

Issuer of security(ies) — Wolfhound Energy Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

X3D Technologies Corp.

233 Broadway, 45th Fl., New York, NY 10007

State or country in which incorporated — Delaware

Security(ies) — Class A convertible preferred stock

Issuer of security(ies) — X3D Technologies Corp.

Address of issuer — Same as above

State or country in which organized — Delaware

X3D Technologies Corp.

233 Broadway, 45th Fl., New York, NY 10017

State or country in which incorporated — Delaware

Security(ies) — Class B convertible preferred stock

Issuer of security(ies) — X3D Technologies Corp.

Address of issuer — Same as above

State or country in which organized — Delaware

X3D Technologies Corp.

233 Broadway, 45th Fl., New York, NY 10017

State or country in which incorporated — Delaware

Security(ies) — Warrants to purchase Class A convertible preferred stock or class B convertible preferred stock or common stock

Issuer of security(ies) — X3D Technologies Corp.

Address of issuer — Same as above

State or country in which organized — Delaware