

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

ACAP Financial, Inc.
47 West 200 S, Suite 100, Salt Lake City, UT 84101
State or country in which incorporated — Utah

Alpha Beta Capital Partners, LP
750 Lexington Ave., New York, NY 10022
Partnership — Alpha Beta Capital Advisors, LLC

AngioGenex Inc.
425 Madison Ave., Suite 902, New York, NY 10017
State or country in which incorporated — New York

Artis Technology 2X, L.P.
One Market Plaza, Spear St. Tower, Suite 1700, San Francisco, CA
94105
Partnership — Artis Capital Management, LLC

Audible Magic Corporation
985 University Ave., Suite 35, Los Gatos, CA 95032
State or country in which incorporated — California

Banesto Securities, Inc.
730 Fifth Ave., Suite 701, New York, NY 10019
State or country in which incorporated — Delaware

Bravura 99 Fund, L.P.
555 California St., Suite 2975, San Francisco, CA 94104
Partnership — Symphony Asset Management LLC

Cambridge Trading Partners, L.L.C.
3008 Ave. L, Second Fl., Brooklyn, NY 11210
Partnership — Tradewise Associates, L.L.C.

Duval Road Land, LLC
7602 Marblehead Lane, Parkland, FL 33067
State or country in which incorporated — Florida

Falcon Funding, LLC
7602 Marblehead Lane, Parkland, FL 33067
State or country in which incorporated — Florida

Falcon San Marino, LLC
7602 Marblehead Lane, Parkland, FL 33067
State or country in which incorporated — Florida

First Metroplex Capital, Inc.
15950 Dallas Pkwy., Suite 525, Dallas, TX 75248
State or country in which incorporated — Texas

Glyconix Corp.
Empire State Bldg., 350 Fifth Ave., Suite 7415, New York, NY 10118
State or country in which incorporated — Delaware

Highland Special Opportunity Fund, L.P.
707 Westchester Ave., 4th Fl., White Plains, NY 10604
Partnership — Highland Financial Holdings, LLC

Jitsource, Inc.
14320 NE. 21st St., Suite 11, Bellevue, WA 98007
State or country in which incorporated — Delaware

Laeroc 2004-2005 Income Fund, L.P.
1334 Park View Ave., Suite 240, Manhattan Beach, CA 90266
Partnership — Laeroc Partners, Inc.

LDC DR Trustee Limited
100 Wood St., Fifth Fl., London, England EC2V 7EX
State or country in which incorporated — England and Wales

Lower Forest Sands Drilling Project C, L.P.
% Mark Gasarch, 150 E. 58th St., 34th Fl., New York, NY 10155
Partnership — Petro-Suisse Ltd.

LTR II Corp.
9855 Double R Blvd., Reno, NV 89521
State or country in which incorporated — Nevada

Mohon #1-H Joint Venture
3030 LBJ Frwy., #1320, Dallas, TX 75243

Molecular Diagnostics, Inc.
414 N. Orleans St., Suite 510, Chicago, IL 60610
State or country in which incorporated — Delaware

Monogram Holdings, LLC
4301 E. Sixth Ave., Denver, CO 80220

MSW Capital Partners LP
788 Morris Tpke., Short Hills, NJ 07078
Partnership — MSW Capital Partners LP

Nautilus Exploration, Inc.
12200 N. Stemmons Frwy., Suite 207, Dallas, TX 75234
State or country in which incorporated — Texas

NWEOP Loan LLC
% The Davis Companies, One Appleton St., Boston, MA 02116
Partnership — NWEOP Loan LLC

Opus Media Partners LLC
900 Third Ave., New York, NY 10027
State or country in which incorporated — Delaware

Palmyra Capital Institutional Fund, L.P.
11111 Santa Monica Blvd., Suite 1100, Los Angeles, CA 90025
Partnership — PCA GPI, LLC

RAKGear, Inc.
170 Broadway, Providence, RI 02903
State or country in which incorporated — Nevada

Ramgen Power Systems, Inc.
11808 Northup Way, Suite W-190, Bellevue, WA 98005
State or country in which incorporated — Washington

Ridgewood Energy M Fund, LLC
947 Linwood Ave., Ridgewood, NJ 07450
State or country in which incorporated — Delaware

Shelter Bay Securities, LLC
27 Tom Harvey Rd., Westerly, RI 02891
State or country in which incorporated — Rhode Island

Touchstone Strategic Trust, The
221 E. Fourth St., Suite 300, Cincinnati, OH 45202
State or country in which incorporated — Massachusetts

Velocity Asset Management, Inc.
48 S. Franklin Tpke., 3rd Fl., Ramsey, NJ 07446
State or country in which incorporated — Delaware

Weiss Capital Group Asset Allocation Fund LP
275 Madison Ave., 6th Fl., New York, NY 10016
Partnership — Weiss Capital Group II LLC

Wells Fargo Funds Trust—Wells Fargo WealthBuilder Conservative Allocation Portfolio
111 Center St., Little Rock, AR 72201
State or country in which incorporated — Delaware

Wells Fargo Funds Trust—Wells Fargo WealthBuilder Growth Allocation Portfolio
111 Center St., Little Rock, AR 72201
State or country in which incorporated — Delaware

Wells Fargo Funds Trust—Wells Fargo WealthBuilder Moderate Balanced Portfolio

111 Center St., Little Rock, AR 72201
State or country in which incorporated — Delaware

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY DEALERS; BROKERS; SYNDICATE MANAGERS

ACAP Financial, Inc.
47 West 200 S, Suite 100, Salt Lake City, UT 84101
State or country in which incorporated — Utah
Security(ies) — Common stock
Issuer of security(ies) — Viking Systems, Inc.
Address of issuer — 7514 Girard Ave., Suite 1509, LaJolla, CA 92037
State or country in which organized — Nevada

Alpha Beta Capital Partners, LP
750 Lexington Ave., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Alpha Beta Capital Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

AngioGenex Inc.
425 Madison Ave., Suite 902, New York, NY 10017
State or country in which incorporated — New York
Security(ies) — Units consisting of common stock and warrants
Issuer of security(ies) — AngioGenex Inc.
Address of issuer — Same as above
State or country in which organized — New York

Artis Technology 2X, L.P.
One Market Plaza, Spear St. Tower, Suite 1700, San Francisco, CA 94105
Security(ies) — Limited partner interests
Issuer of security(ies) — Artis Technology 2X, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Audible Magic Corporation
985 University Ave., Suite 35, Los Gatos, CA 95032
State or country in which incorporated — California
Security(ies) — One unit consisting of series E preferred stock and the common stock issuable upon conversion of the preferred stock
Issuer of security(ies) — Audible Magic Corporation
Address of issuer — Same as above
State or country in which organized — California

Aurora Capital LLC
425 Park Ave., 5th Fl., New York, NY 10022-3506
State or country in which incorporated — New York
Security(ies) — Units consisting of common stock and warrants
Issuer of security(ies) — AngioGenex Inc.
Address of issuer — 425 Madison Ave., Suite 902, New York, NY 10017
State or country in which organized — New York

Bravura 99 Fund, L.P.
555 California St., Suite 2975, San Francisco, CA 94104
Security(ies) — Limited partner interests
Issuer of security(ies) — Bravura 99 Fund, L.P.
Address of issuer — Same as above
State or country in which organized — California

Cambridge Trading Partners, L.L.C.
3008 Ave. L, Second Fl., Brooklyn, NY 11210
State or country in which incorporated — New York limited liability company
Security(ies) — Limited liability company interests
Issuer of security(ies) — Cambridge Trading Partners, L.L.C.
Address of issuer — Same as above
State or country in which organized — New York

Citigroup Global Markets Inc.
388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Class A common stock
Issuer of security(ies) — Amphenol Corporation
Address of issuer — 358 Hall Ave., Wallingford, CT 06492
State or country in which organized — Delaware

Citigroup Global Markets Inc.
388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Tax Exempt Securities Trust, Intermediate Term Trust 77
Address of issuer — Same as above
State or country in which organized — New York

Citigroup Global Markets Inc.
388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Tax Exempt Securities Trust, National Trust 404
Address of issuer — Same as above
State or country in which organized — New York

Citigroup Global Markets Inc.
388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Tax Exempt Securities Trust, National Trust 405
Address of issuer — Same as above
State or country in which organized — New York

Claymore Securities, Inc.
2455 Corporate W. Drive, Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Multiple Asset Portfolio, Series 1
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
2455 Corporate W. Drive, Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest

Issuer of security(ies) — Peroni Growth Portfolio, Autumn 2004 Series
Address of issuer — Same as above
State or country in which organized — Kansas

CoAxia, Inc.
10900 73rd Ave. N, Suite 102, Maple Grove, MN 55369-5400
State or country in which incorporated — Delaware
Security(ies) — Series B convertible preferred stock
Issuer of security(ies) — CoAxia, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Duval Road Land, LLC
7602 Marblehead Lane, Parkland, FL 33067
State or country in which incorporated — Florida
Security(ies) — Units of beneficial interest in a trust
Issuer of security(ies) — Duval Road Land, LLC
Address of issuer — Same as above
State or country in which organized — Florida

Euronext N.V.
Beursplein 5, 1012 JW Amsterdam, Netherlands
Security(ies) — Ordinary shares
Issuer of security(ies) — Euronext N.V.
Address of issuer — Same as above
State or country in which organized — The Netherlands

Falcon Funding, LLC
7602 Marblehead Lane, Parkland, FL 33067
State or country in which incorporated — Florida
Security(ies) — Units of beneficial interest in a trust
Issuer of security(ies) — Falcon Funding, LLC
Address of issuer — Same as above
State or country in which organized — Florida

Falcon San Marino, LLC
7602 Marblehead Lane, Parkland, FL 33067
State or country in which incorporated — Florida
Security(ies) — Units of beneficial interest in a trust
Issuer of security(ies) — Falcon San Marino, LLC
Address of issuer — Same as above
State or country in which organized — Florida

First Metroplex Capital, Inc.
15950 Dallas Pkwy., Suite 525, Dallas, TX 75248
State or country in which incorporated — Texas
Security(ies) — Common stock
Issuer of security(ies) — First Metroplex Capital, Inc.
Address of issuer — Same as above
State or country in which organized — Texas

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 874 (The Alzheimer's Disease VIOLTS™ Series)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 874 (The Breast and Ovarian Disease

VIOLTs™ Series)

Address of issuer — Same as above*State or country in which organized* — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest*Issuer of security(ies)* — FT 874 (The Diabetes VIOLTs™ Series)*Address of issuer* — Same as above*State or country in which organized* — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest*Issuer of security(ies)* — FT 874 (The Prostate Cancer VIOLTs™ Series)*Address of issuer* — Same as above*State or country in which organized* — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest*Issuer of security(ies)* — FT 874 (The Rheumatoid Arthritis VIOLTs™ Series)*Address of issuer* — Same as above*State or country in which organized* — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest*Issuer of security(ies)* — FT 883 (Current Better Values Portfolio™, September 2004)*Address of issuer* — Same as above*State or country in which organized* — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest*Issuer of security(ies)* — FT 886 (Senior Loan and Equity Dividend Portfolio Series)*Address of issuer* — Same as above*State or country in which organized* — Illinois

Fixed Income Securities, L.P.

18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415

Security(ies) — 10,000 units of undivided interest*Issuer of security(ies)* — Advisor's Disciplined Trust, Series12 (Real Estate Income Trust Portfolio, Series 3)*Address of issuer* — Same as above*State or country in which organized* — New York

Fixed Income Securities, L.P.

18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415

Security(ies) — 10,000 units of undivided interest*Issuer of security(ies)* — Advisor's Disciplined Trust, Series15 (Corporate Bond Investment Grade Intermediate Portfolio, Series 5)*Address of issuer* — Same as above*State or country in which organized* — New York

Glyconix Corp.

Empire State Bldg., 350 Fifth Ave., Suite 7415, New York, NY 10118

State or country in which incorporated — Delaware*Security(ies)* — Common stock, par value .01*Issuer of security(ies)* — Glyconix Corp.*Address of issuer* — Same as above*State or country in which organized* — Delaware

Glyconix Corp.

Empire State Bldg., 350 Fifth Ave., Suite 7415, New York, NY 10118

State or country in which incorporated — Delaware*Security(ies)* — Common stock underlying redeemable common stock purchase warrants*Issuer of security(ies)* — Glyconix Corp.*Address of issuer* — Same as above*State or country in which organized* — Delaware

Glyconix Corp.

Empire State Bldg., 350 Fifth Ave., Suite 7415, New York, NY 10118

State or country in which incorporated — Delaware*Security(ies)* — Redeemable common stock purchase warrants, exercise at \$1.50*Issuer of security(ies)* — Glyconix Corp.*Address of issuer* — Same as above*State or country in which organized* — Delaware

Goldman, Sachs & Co.; (2) Wachovia Capital Markets, LLC; (3) UBS Securities LLC

85 Broad St., New York, NY 10004; (2) 301 S. College St., Charlotte, NC 28288; (3) 677 Washington Blvd., Stamford, CT 06901

State or country in which incorporated — (1) New York partnership; (2 & 3) Delaware limited liability company*Security(ies)* — ___% senior subordinated notes due 2012*Issuer of security(ies)* — Riddell Bell Holdings, Inc.*Address of issuer* — 6225 N. State Hwy. 161, Suite 300, Irving, TX 75038*State or country in which organized* — Delaware

Hennion & Walsh, Inc.

2001 Route 46, Waterview Plaza, Parsippany, NJ 07054

State or country in which incorporated — New Jersey*Security(ies)* — Units of fractional undivided interest*Issuer of security(ies)* — Smart Trust, Smart 13 Trust, 2004 Series A*Address of issuer* — Same as above*State or country in which organized* — New York

Highland Special Opportunity Fund, L.P.

707 Westchester Ave., 4th Fl., White Plains, NY 10604

Security(ies) — Limited partnership interests*Issuer of security(ies)* — Highland Special Opportunity Fund, L.P.*Address of issuer* — Same as above*State or country in which organized* — Delaware

Jitsource, Inc.

14320 NE. 21st St., Suite 11, Bellevue, WA 98007

State or country in which incorporated — Delaware*Security(ies)* — Common stock*Issuer of security(ies)* — Jitsource, Inc.*Address of issuer* — Same as above*State or country in which organized* — Delaware

Laeroc 2004-2005 Income Fund, L.P.

1334 Park View Ave., Suite 240, Manhattan Beach, CA 90266

Security(ies) — Limited partnership interests*Issuer of security(ies)* — Laeroc 2004-2005 Income Fund, L.P.*Address of issuer* — Same as above*State or country in which organized* — California

LDC DR Trustee Limited
 100 Wood St., Fifth Fl., London, England EC2V 7EX
State or country in which incorporated — England and Wales
Security(ies) — Ordinary depository receipts
Issuer of security(ies) — LDC DR Trustee Limited
Address of issuer — Same as above
State or country in which organized — England and Wales

LDC DR Trustee Limited
 100 Wood St., Fifth Fl., London, England EC2V 7EX
State or country in which incorporated — England and Wales
Security(ies) — Preferred depository receipts
Issuer of security(ies) — LDC DR Trustee Limited
Address of issuer — Same as above
State or country in which organized — England and Wales

Lower Forest Sands Drilling Project C, L.P.
 % Mark Gasarch, 150 E. 58th St., 34th Fl., New York, NY 10155
Security(ies) — Limited partnership interests
Issuer of security(ies) — Lower Forest Sands Drilling Project C, L.P.
Address of issuer — Same as above
State or country in which organized — New York

LTR II Corp.
 9855 Double R Blvd., Reno, NV 89521
State or country in which incorporated — Nevada
Security(ies) — Units consisting of common stock
Issuer of security(ies) — LTR II Corp.
Address of issuer — Same as above
State or country in which organized — Nevada

Mohon #1-H Joint Venture
 3030 LBJ Frwy., #1320, Dallas, TX 75243
Security(ies) — Joint venture interests
Issuer of security(ies) — Mohon #1-H Joint Venture
Address of issuer — Same as above
State or country in which organized — Texas

Molecular Diagnostics, Inc.
 414 N. Orleans St., Suite 510, Chicago, IL 60610
State or country in which incorporated — Delaware
Security(ies) — The company offered an aggregate of \$1,662,500 of units, 40 units, each comprising one \$100,000 principal amount, 10% secured convertible debentures and warrants to purchase 25,000 shares of the company's \$.001 par value common stock. The minimum offering is \$1,500,000 minimum offering; the maximum offering is \$4,000,000. The minimum investment is \$100,000.
Issuer of security(ies) — Molecular Diagnostics, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Monogram Holdings, LLC
 4301 E. Sixth Ave., Denver, CO 80220
Security(ies) — Membership interests
Issuer of security(ies) — Monogram Holdings, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

MSW Capital Partners LP
 788 Morris Tpke., Short Hills, NJ 07078
Security(ies) — Limited partnership interests
Issuer of security(ies) — MSW Capital Partners LP

Address of issuer — Same as above
State or country in which organized — United States

Nautilus Exploration, Inc.
 12200 N. Stemmons Frwy., Suite 207, Dallas, TX 75234
State or country in which incorporated — Texas
Security(ies) — Little #2 Natural Gas Drilling Program (joint venture)
Issuer of security(ies) — Nautilus Exploration, Inc.
Address of issuer — Same as above
State or country in which organized — Texas

NWEOP Loan LLC
 % The Davis Companies, One Appleton St., Boston, MA 02116
Security(ies) — Membership interests
Issuer of security(ies) — NWEOP Loan LLC
Address of issuer — Same as above
State or country in which organized — Massachusetts

Opus Media Partners LLC
 900 Third Ave., New York, NY 10027
State or country in which incorporated — Delaware
Security(ies) — Units consisting of preferred units of limited liability company interests
Issuer of security(ies) — Opus Media Partners LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Palmyra Capital Institutional Fund, L.P.
 11111 Santa Monica Blvd., Suite 1100, Los Angeles, CA 90025
Security(ies) — Limited partnership interests
Issuer of security(ies) — Palmyra Capital Institutional Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

RAKGear, Inc.
 170 Broadway, Providence, RI 02903
State or country in which incorporated — Nevada
Security(ies) — Common stock
Issuer of security(ies) — RAKgear, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Ramgen Power Systems, Inc.
 11808 Northup Way, Suite W-190, Bellevue, WA 98005
State or country in which incorporated — Washington
Security(ies) — Common stock
Issuer of security(ies) — Ramgen Power Systems, Inc.
Address of issuer — Same as above
State or country in which organized — United States

Ridgewood Energy M Fund, LLC
 947 Linwood Ave., Ridgewood, NJ 07450
State or country in which incorporated — Delaware
Security(ies) — Membership interests
Issuer of security(ies) — Ridgewood Energy M Fund, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Shelter Bay Securities, LLC
 27 Tom Harvey Rd., Westerly, RI 02891
State or country in which incorporated — Rhode Island
Security(ies) — Acadia Fund I, L.P., offering partnership shows in a private placement

Issuer of security(ies) — Miller & Jacobs, LLC
Address of issuer — #2 Chandler's Wharf, Christiansted, St. Croix, USVI
State or country in which organized — USVI

Spencer Clark, LLC
 505 Park Ave., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Common stock, par value .01
Issuer of security(ies) — Glyconix Corp.
Address of issuer — Empire State Bldg., 350 Fifth Ave., Suite 7415, New York, NY 10118
State or country in which organized — Delaware

Spencer Clark, LLC
 505 Park Ave., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Redeemable common stock purchase warrants, exercise at \$1.50
Issuer of security(ies) — Glyconix Corp.
Address of issuer — Empire State Bldg., 350 Fifth Ave., Suite 7415, New York, NY 10118
State or country in which organized — Delaware

Synta Pharmaceuticals Corp.
 45 Hartwell Ave., Lexington, MA 02421
State or country in which incorporated — Delaware
Security(ies) — Common stock (round D offering)
Issuer of security(ies) — Synta Pharmaceuticals Corp.
Address of issuer — Same as above
State or country in which organized — Delaware

Thornburg Investment Trust
 119E Marcy, Suite 202, Santa Fe, NM 87501
State or country in which incorporated — Massachusetts business trust
Security(ies) — Shares of beneficial interest, one trust consisting of class A, C and I; Thornburg Limited Term Municipal Fund, class A, C, I
Issuer of security(ies) — Thornburg Investment Trust
Address of issuer — Same as above
State or country in which organized — Massachusetts business trust

Touchstone Strategic Trust, The
 221 E. Fourth St., Suite 300, Cincinnati, OH 45202
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — Micro Cap Growth Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Insured Municipals Income Trust, Series 492
Address of issuer — Same as above
State or country in which organized — New York

VegasWorm, Inc.
 1301 Avenue of the Americas, 44th Fl., New York, NY 10019
State or country in which incorporated — Delaware
Security(ies) — Common stock, \$0.01 par value
Issuer of security(ies) — VegasWorm, Inc.
Address of issuer — Same as above

State or country in which organized — Delaware
 Velocity Asset Management, Inc.
 48 S. Franklin Tpke., 3rd Fl., Ramsey, NJ 07446
State or country in which incorporated — Delaware
Security(ies) — Each unit consists of one share of common stock and a warrant to purchase $\frac{1}{5}$ th share of common stock
Issuer of security(ies) — Velocity Asset Management, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Weiss Capital Group Asset Allocation Fund LP
 275 Madison Ave., 6th Fl., New York, NY 10016
Security(ies) — Limited partnership interests
Issuer of security(ies) — Weiss Capital Group Asset Allocation Fund LP
Address of issuer — Same as above
State or country in which organized — Delaware

Wells Fargo Funds Trust—Wells Fargo WealthBuilder Conservative Allocation Portfolio
 111 Center St., Little Rock, AR 72201
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Stephens Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Wells Fargo Funds Trust—Wells Fargo WealthBuilder Growth Allocation Portfolio
 111 Center St., Little Rock, AR 72201
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Stephens Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Wells Fargo Funds Trust—Wells Fargo WealthBuilder Moderate Balanced Portfolio
 111 Center St., Little Rock, AR 72201
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Stephens Inc.
Address of issuer — Same as above
State or country in which organized — Delaware