

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

Benefits International Group, LLC
910 Sylvan Ave., 2nd Fl., Englewood Cliffs, NJ 07632
State or country in which incorporated — New Jersey

Berthel Fisher & Company Financial Services, Inc.
701 Tama St., Marion, IA 52302-0609
State or country in which incorporated — Iowa

BISYS Fund Services, L.P.
3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012

Builder Funding LLC
1401 E. Broward Blvd., Suite 201, Fort Lauderdale, FL 33301

Cameron Holdings, LLC
609 W. Genesee St., Syracuse, NY 13204
State or country in which incorporated — New York limited liability company

Charter One Capital Holdings Inc.
11 Broadway, Suite 1015, New York, NY 10004
State or country in which incorporated — New York

China Real Estate Partners I, LLC
50 Ln Ranch Rd., Sun Valley, ID 83353
State or country in which incorporated — Delaware limited liability company

CIBS World Markets Corp.
300 Madison Ave., New York, NY 10017
State or country in which incorporated — Delaware

Clipper Windpower, Inc.
6305 Carpinteria Ave., Carpinteria, CA 93013
State or country in which incorporated — Delaware

Comprehensive Care Corporation
204 S. Hoover Blvd., Suite 200, Tampa, FL 33609
State or country in which incorporated — Delaware

Cruse #1-H Austin Chalk Horizontal Drilling Program
6330 LBJ Fwy., Suite 137, Dallas, TX 75244-6467

D&A Semi-Annual Mortgage Fund III, L.P.
10251 Vista Sorrento Pkwy., Suite 200, San Diego, CA 92121
Partnership — Dunham & Associates Securities, Inc.

Dickstein International Limited
129 Front St., Hamilton HM 12, Bermuda
State or country in which incorporated — British Virgin Islands

Douglas Scott Securities, Inc.
101 W. Renner Rd., Suite 480, Richardson, TX 75082
State or country in which incorporated — Texas

Earth Walk Communications, Inc.
10262 Battleview Pkwy., Manassas, VA 20109
State or country in which incorporated — Virginia

eAutoclaims, Inc.
110 E. Douglas Rd., Oldsmar, FL 34677
State or country in which incorporated — Florida

eAutoclaims, Inc.
110 E. Douglas Rd., Oldsmar, FL 34677
State or country in which incorporated — Nevada

Edgewood Capital Partners II, LLC
134 Old Post Rd., Southport, CT 06890
Partnership — Edgewood Capital Holdings II LLC

Empire Information Services, Inc.
P.O. Box 742, Schenectady, NY 12301
State or country in which incorporated — New York

eRXSYS, Inc.
18021 Sky Park Circle, Suite G2, Irvine, CA 92614-6570
State or country in which incorporated — Nevada

Fast Eddie Racing Stables, Inc.
211 W. Wall St., Midland, TX 79701
State or country in which incorporated — Florida

Financial Stocks Capital Partners IV L.P.
c/o 507 Carew Tower, 441 Vine St., Cincinnati, OH 45202
Partnership — Finstocks Capital Management IV, LLC

- First Light Capital, L.P.
One Sansome St., Suite 3136, San Francisco, CA 94104
Partnership — First Light Advisors LLC
- Fontana Capital Long / Short Fund, L.P.
99 Summer St., Suite 1520, Boston, MA 02210-1213
Partnership — Fontana Capital GP, LLC
- FortRoss Emerging Opportunity Fund, Ltd.
% Admiral Administration, Ltd., Box 32021 SMB, Anchorage Center,
2nd Fl., Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands
- Harris Associates International Value L.P.
Two N. LaSalle St., Suite 500, Chicago, IL 60602
Partnership — Harris Associates L.P.
- HCM Venture Fund V, LLC
75 Federal St., Suite 1100, Boston, MA 02110-1911
Partnership — Howland Capital Management, Inc.
- Jersey City Investors Limited Partnership
3801 PGA Blvd., Suite 600, Palm Beach Gardens, FL 33410
Partnership — Jersey City Equity Investors Limited Partnership
- Joe Feshbach Partners II, L.P.
2105 Woodside Rd., 2nd Fl., Woodside, CA 94062
Partnership — Joe Feshbach Partners, LLC
- L4 Partners, LLC
2700 Westchester Ave., Purchase, NY 10577
State or country in which incorporated — New York
- Lakeshore International, Ltd.
% Codan Services Limited, Clarendon House, Two Church St., P.O.
Box HM 666, Hamilton HM CX, Bermuda
State or country in which incorporated — Bermuda
- Longwood Industries Holdings, LLC
325 Columbia Tpke., Florham Park, NJ 07932
- Majestic Research Corp.
Four Columbus Circle, 5th Fl., New York, NY 10019
State or country in which incorporated — Delaware
- Maxie Partners, LP
500 Fifth Ave., Suite 2440, New York, NY 10110
Partnership — Maxie Partners, LP
- Maxx Vision, Inc.
3676 N. Wickham Rd., Suite B-183, Melbourne, FL 32935
State or country in which incorporated — Delaware
- Maxx Vision, Inc.
3676 N. Wickham Rd., Suite B-183, Melbourne, FL 32935
State or country in which incorporated — Florida
- MetLife Investors Distribution Company
22 Corporate Plaza Dr., Newport Beach, CA 92660
State or country in which incorporated — Missouri
- Mewbourne Energy Partners 05-A, L.P.
3901 S. Broadway, Tyler, TX 75201
Partnership — Mewbourne Development Corporation
- Midwood Capital Partners QP, L.P.
One Washington Mall, 8th Fl., Boston, MA 02108
Partnership — Midwood Capital Management LLC
- NYLO Partners LLC
130 W. 15th St., Apt. 11K, New York, NY 10011
State or country in which incorporated — Delaware limited liability
company
- Oakdale Capital Partners I, L.P.
33 W. 19th St., Suite 322, New York, NY 10011
Partnership — Oakdale Capital Management, LLC
- Paradigm Funds
Nine Elk St., Albany, NY 12207
State or country in which incorporated — Ohio
- Pelion Financial Group, Inc.
650 Fifth Ave., New York, NY 10019
State or country in which incorporated — Delaware
- Pepgen Corporation
1255 Harbor Bay Pkwy., Suite B, Alameda, CA 94502
State or country in which incorporated — Delaware
- PFTC Volatility Arbitrage Fund, L.P.
33 Whitehall St., 6th Fl., New York, NY 10004
Partnership — PFTC Advisers L.L.C.
- PHIBRO Animal Health Corporation
65 Challenger Rd., Ridgefield Park, NJ 07660
State or country in which incorporated — New York
- Pinnacle Power, L.P.
570 Lexington Ave., 25th Fl., New York, NY 10022
Partnership — Pinnacle Associates GP, LLC
- PNC MultiFamily Capital Institutional Fund XXIX Limited Partnership
121 SW. Morrison St., Suite 1300, Portland, OR 97204-3143
Partnership — PNC MultiFamily Capital Fund XXIX, Inc
- Principal Investors Fund, Inc. - Diversified International Fund
% The Principal Financial Group, Des Moines, IA 50392-0200
- Principal Investors Fund, Inc. - Disciplined LargeCap Blend Fund
% The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
- Principal Investors Fund, Inc. - International Growth Fund
% The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
- Principal Investors Fund, Inc. - Partners Global Equity Fund
% The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
- Scantek Medical, Inc.
4B Wing Dr., Cedar Knolls, NJ 07297
State or country in which incorporated — Delaware
- SEA Venture - Minard, L.P.
20 Stanwix St., Suite 650, Pittsburgh, PA 15222
Partnership — Smithfield Equity Associates, LLC, general partner

Securac Corp.
2500, 500 5th Ave. SW, Calgary Ab, Canada T2P 3R7
State or country in which incorporated — Nevada

Seidler Companies Incorporated, The
515 S. Figueroa St., Suite 1100, Los Angeles, CA 90071
State or country in which incorporated — Delaware

Seqa Capital Offshore, Ltd.
% Walkers SPV Limited, Walker House, P.O. Box 908GT, Mary St.,
George Town, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands

Seqa Capital Partners, L.P.
527 Madison Ave., 6th Fl., New York, NY 10022
State or country in which incorporated — Delaware

Shemano Group, The
601 California St., Suite 1150, San Francisco, CA 94108
State or country in which incorporated — California

Solaris Equity Fund, L.P.
598 Madison Ave., New York, NY 10022
Partnership — Solaris Asset Management, LLC

Square 1 Financial, Inc.
45 Chestertown Dr., Pinehurst, NC 28374
State or country in which incorporated — Delaware

Stanfield Opportunistic Convertible Strategies Ltd.
% BISYS Hedge Fund Services (Cayman) Limited, Harbour Centre,
Third Fl., George Town, Grand Cayman, Cayman Islands, B.W.I.
State or country in which incorporated — Cayman Islands

Starfire Systems, Inc.
10 Hermes Rd., Malta, NY 12020
State or country in which incorporated — New York

Stiteler Partners LLC
1599 E. Orangewood, #250, Phoenix, AZ 85020
Partnership — John B. Stiteler, W. Douglas Stiteler and Scott Stiteler

Texas Securities Partners, Inc.
740 Lexington Dr., Plano, TX 75075
State or country in which incorporated — Texas

Texas Securities, Inc.
4024 Nazarene Dr., Suite #B, Carrollton, TX 75010
State or country in which incorporated — Texas

Thesis Capital, L.P.
275 Madison Ave., Suite 622, New York, NY 10016
Partnership — Thesis Capital Management, LLC

Tramontana Point LP
601 Montgomery St., Suite 710, San Francisco, CA 94111
Partnership — True Bearing Asset Management LP

Transfer Rapid LLC
110 Greenwich Hills Dr., Greenwich, CT 06831
State or country in which incorporated — Connecticut

VF Holding Corp.
Three Waterside Crossing, Windsor, CT 06095
State or country in which incorporated — Delaware

Vicor Technologies, Inc.
2300 Corporate Blvd. NW, Suite 123, Boca Raton, FL 33432
State or country in which incorporated — Delaware

WTS Acquisition Company, LLC
N53 W24635 S. Corporate Circle, P.O. Box 328, Sussex, WI 53089-
0328
State or country in which incorporated — Wisconsin

Zen Pottery Equipment, Inc.
1185 Avenue of the Americas, 20th Fl., New York, NY 10036
State or country in which incorporated — Colorado

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY
DEALERS; BROKERS;
SYNDICATE MANAGERS

Alphion Corporation
Four Industrial Way W, Eatontown, NJ 07724
State or country in which incorporated — Delaware
Security(ies) — Series B convertible preferred stock
Issuer of security(ies) — Alphion Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Alysheba QP Fund, L.P.
222 Berkeley St., 17th Fl., Boston, MA 02116
Security(ies) — Limited partnership interests
Issuer of security(ies) — Alysheba QP Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

American Landmark Securities Inc.
433 E. Las Colinas Blvd., Suite 805, Irving, TX 75039
State or country in which incorporated — Texas
Security(ies) — Prodigy #18 Partnership, LP
Issuer of security(ies) — Prodigy Oil and Gas LLC
Address of issuer — Same as above
State or country in which organized — Texas

Atlas Capital (Q.P.), L.P.
100 Crescent Ct., Suite 880, Dallas, TX 75201
Security(ies) — Partnership interests
Issuer of security(ies) — Atlas Capital (Q.P.), L.P.
Address of issuer — Same as above
State or country in which organized — Texas

Belwater Capital Fund LLC; (2) Eaton Vance Distributors, Inc.; (3) A. G. Edwards & Sons, Inc.; (4) Atlantic Trust Co.; (5) Fleet National Bank; (6) Bank of America; (7) Bear Stearns & Co., Inc.; (8) Charles Schwab & Company, Inc.; (9) Citicorp Investment Services; (10) Citigroup Global Markets, Inc.; (11) Credit Suisse First Boston LLC; (12) Deutsche Bank Securities, Inc.; (13) Fifth Third Bank; (14) Huntington Investment Company; (15) Legg Mason Wood, Walker, Incorporated; (16) Lehman Brothers Inc.; (17) McDonald Investments,

Inc.; (18) Merrill Lynch, Pierce, Fenner & Smith Incorporated; (19) Morgan Keegan & Company, Inc.; (20) Morgan Stanley & Co., Incorporated; (21) Morgan Stanley DW Inc.; (22) Northern Trust Securities, Inc.; (23) Oppenheimer & Co., Inc.; (24) Raymond James & Associates, Inc.; (25) RBC Dain Rauscher Inc; (26) Robert W. Baird & Co. Incorporated; (27) SunTrust Capital Markets, Inc.; (28) Sun Trust Securities, Inc.; (29) UBS Financial Services, Inc.; (30) US Bancorp.; (31) Wachovia Bank NA; (32) Wachovia Securities, LLC; (33) Wells Fargo Investments, LLC

255 State St., Boston, MA 02109; (3) One N. Jefferson Ave., St. Louis, MO 63103; (4) One S. Wacker Dr., Chicago, IL 60606-4685; (5) 100 Federal St., MA5-100-0304, Boston, MA 02110; (6) 40 W. 57th St., 33rd Fl., New York, NY 10019; (7) 383 Madison Ave., 25th Fl., New York, NY 10179; (8) The Schwab Bldg., 345 California St., 7th Fl., San Francisco, CA 94104; (9) One Court Sq., 24th Fl., Long Island City, NY 11120; (10) 388 Greenwich St., 18th Fl., New York, NY 10013; (11) 11 Madison Ave., 7th Fl., New York, NY 10010; (12) One South St., 23rd Fl., Baltimore, MD 21202; (13) 1841 Blanenbaker Pkwy., Louisville, KY 40299; (14) 41 S. High St., Columbus, OH 43215; (15) 100 Light St., Baltimore, MD 21202; (16) 399 Park Ave., 6th Fl., New York, NY 10022; (17) 800 Superior Ave., Cleveland, OH 44114; (18) 800 Scudders Mill Rd., Section 2G, Plainsboro, NJ 08536; (19) 50 Front St., Memphis, TN 38103; (20) 1221 Avenue of the Americas, 4th Fl., New York, NY 10020; (21) Avenue of the Americas, 4th Fl., New York, NY 10020; (22) 50 S. LaSalle St., BB-12, Chicago, IL 60675-0001; (23) 200 Park Ave., 24th Fl., New York, NY 10166; (24) 880 Carillon Pkwy., St. Petersburg, FL 33716; (25) 60 S. 6th St., Mail Code P21, Minneapolis, MN 55402; (26) 777 E. Wisconsin Ave., Milwaukee, WI 53202; (27) 333 Peachtree Rd. NE, 9th Fl., Atlanta, GA 30326; (28) 303 Peachtree Center Ave., Suite 140, Atlanta, GA 30303; (29) 1285 Avenue of the Americas, 37th Fl., New York, NY 10019; (30) 101 E. Fifth St., 14th Fl., St. Paul, MN 55101; (31) 401 S. Tyron St., Suite 500, Charlotte, NC 28288-1295; (32) Riverfront Plaza, 901 E. Byrd Plaza, Richmond, VA 23219; (33) 420 Montgomery St., 5th Fl., San Francisco, CA 94104

State or country in which incorporated — Delaware

Security(ies) — Interest of a limited liability company

Issuer of security(ies) — Belwater Capital Fund LLCX

Address of issuer — c/o Eaton Vance Management, 225 State St., Boston, MA 02109

State or country in which organized — Delaware

Benefits International Group, LLC

910 Sylvan Ave., 2nd Fl., Englewood Cliffs, NJ 07632

State or country in which incorporated — New Jersey

Security(ies) — Class A membership interests

Issuer of security(ies) — Benefits International Group, LLC

Address of issuer — Same as above

State or country in which organized — New Jersey

Benefits International Group, LLC

910 Sylvan Ave., 2nd Fl., Englewood Cliffs, NJ 07632

State or country in which incorporated — New Jersey

Security(ies) — Class B membership interests

Issuer of security(ies) — Benefits International Group, LLC

Address of issuer — Same as above

State or country in which organized — New Jersey

Benefits International Group, LLC

910 Sylvan Ave., 2nd Fl., Englewood Cliffs, NJ 07632

State or country in which incorporated — New Jersey

Security(ies) — Class C membership interests

Issuer of security(ies) — Benefits International Group, LLC

Address of issuer — Same as above

State or country in which organized — New Jersey

Berthel Fisher & Company Financial Services, Inc.

701 Tama St., Marion, IA 52302-0609

State or country in which incorporated — Iowa

Security(ies) — Common stock

Issuer of security(ies) — American Defense Systems, Inc.

Address of issuer — 230 Duffy Ave., Unit C, Hicksville, NY 11801

State or country in which organized — Delaware

Big Finance and Insurance Services, Inc. d/b/a Bankers Integration Group, Inc.

27631 La Paz Rd., Laguna Niguel, CA

State or country in which incorporated — Nevada

Security(ies) — 7% convertible debentures

Issuer of security(ies) — Big Finance and Insurance Services, Inc. d/b/a Bankers Integration Group, Inc.

Address of issuer — Same as above

State or country in which organized — Nevada

BISYS Fund Services, L.P.

3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012

Security(ies) — Transferable units

Issuer of security(ies) — BISYS Fund Services, L.P.

Address of issuer — Same as above

State or country in which organized — Massachusetts

Builder Funding LLC

1401 E. Broward Blvd., Suite 201, Fort Lauderdale, FL 33301

Security(ies) — Variable mortgage participation units

Issuer of security(ies) — Builder Funding LLC

Address of issuer — Same as above

State or country in which organized — Delaware

Cameron Holdings, LLC

609 W. Genesee St., Syracuse, NY 13204

Security(ies) — Limited liability company membership interests

Issuer of security(ies) — Cameron Holdings, LLC

Address of issuer — Same as above

State or country in which organized — New York

Charles Schwab & Co., Inc.

101 Montgomery St., / 101-7-MKT, San Francisco, CA 94104

State or country in which incorporated — Massachusetts

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Schwab Capital Trust — Schwab Premier

Equity Fund

Address of issuer — Same as above

State or country in which organized — Massachusetts

Charter One Capital Holdings Inc.

11 Broadway, Suite 1015, New York, NY 10004

State or country in which incorporated — New York

Security(ies) — Common stock

Issuer of security(ies) — Charter One Capital Holdings Inc.

Address of issuer — Same as above

State or country in which organized — New York

China Real Estate Partners I, LLC

50 Ln Ranch Rd., Sun Valley, ID 83353

Security(ies) — Limited liability company membership units

Issuer of security(ies) — China Real Estate Partners I, LLC

Address of issuer — Same as above
State or country in which organized — Delaware

Claymore Securities, Inc.
 2455 Corporate W. Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Peroni Growth Portfolio, Spring 2005 Series
Address of issuer — Same as above
State or country in which organized — Kansas

Clipper Windpower, Inc.
 6305 Carpinteria Ave., Carpinteria, CA 93013
State or country in which incorporated — Delaware
Security(ies) — Series C preferred stock
Issuer of security(ies) — Clipper Windpower, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Compass Securities Corporation; (2) Moors & Cabot, Inc.; (3) PNC Capital Markets, Inc.
 One Batterymarch Park, Suite 309, Quincy, MA 02169; (2) 111 Devonshire St., Boston, MA 02109; (3) 249 Fifth Ave., 26th Fl., Pittsburgh, PA 15222
State or country in which incorporated — (1 & 2) Massachusetts; (3) Pennsylvania
Security(ies) — Limited partnership interest
Issuer of security(ies) — PNC MultiFamily Capital Institutional Fund XXIX Limited Partnership
Address of issuer — 121 SW. Morrison St., Suite 1300, Portland, OR 97204-3143
State or country in which organized — Delaware

Comprehensive Care Corporation
 204 S. Hoover Blvd., Suite 200, Tampa, FL 33609
Security(ies) — Shares of common stock
Issuer of security(ies) — Comprehensive Care Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Cruse #1-H Austin Chalk Horizontal Drilling Program
 6330 LBJ Fwy., Suite 137, Dallas, TX 75244-6467
Security(ies) — Units of joint venture interest
Issuer of security(ies) — Cruse #1-H Austin Chalk Horizontal Drilling Program
Address of issuer — Same as above
State or country in which organized — Texas

D&A Semi-Annual Mortgage Fund III, L.P.
 10251 Vista Sorrento Pkwy., Suite 200, San Diego, CA 92121
Security(ies) — Partnership interests
Issuer of security(ies) — D&A Semi-Annual Mortgage Fund III, L.P.
Address of issuer — Same as above
State or country in which organized — California

Dawson James Securities, Inc.
 6400 NW. 6th Way, Suite 310, Ft. Lauderdale, FL 33309
State or country in which incorporated — Florida
Security(ies) — Promissory notes in the principal amount of \$25,000
Issuer of security(ies) — MaxxVision, LLC
Address of issuer — 3676 N. Wickham Rd., Suite B-183, Melbourne, FL 32935
State or country in which organized — Florida

Dawson James Securities, Inc.
 6400 NW. 6th Way, Suite 310, Ft. Lauderdale, FL 33309
State or country in which incorporated — Florida
Security(ies) — Warrants to purchase 15,625 shares of common stock of the issuer
Issuer of security(ies) — MaxxVision, LLC
Address of issuer — 3676 N. Wickham Rd., Suite B-183, Melbourne, FL 32935
State or country in which organized — Florida

Dickstein International Limited
 129 Front St., Hamilton HM 12, Bermuda
State or country in which incorporated — British Virgin Islands
Security(ies) — Common stock
Issuer of security(ies) — Dickstein International Limited
Address of issuer — Same as above
State or country in which organized — British Virgin Islands

Douglas Scott Securities, Inc.
 101 W. Renner Rd., Suite 480, Richardson, TX 75082
State or country in which incorporated — Texas
Security(ies) — General partnership interests
Issuer of security(ies) — PJ Reef Partnership
Address of issuer — Same as above
State or country in which organized — Texas

Earth Walk Communications, Inc.
 10262 Battleview Pkwy., Manassas, VA 20109
State or country in which incorporated — Virginia
Security(ies) — Common stock, no par value
Issuer of security(ies) — Earth Walk Communications, Inc.
Address of issuer — Same as above
State or country in which organized — Virginia

eAutoclaims, Inc.
 110 E. Douglas Rd., Oldsmar, FL 34677
State or country in which incorporated — Nevada
Security(ies) — Common stock
Issuer of security(ies) — eAutoclaims, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

eAutoclaims, Inc.
 110 E. Douglas Rd., Oldsmar, FL 34677
State or country in which incorporated — Florida
Security(ies) — Common stock
Issuer of security(ies) — eAutoclaims.com
Address of issuer — Same as above
State or country in which organized — Florida

Edgewood Capital Partners II, LLC
 134 Old Post Rd., Southport, CT 06890
State or country in which incorporated — Delaware
Security(ies) — Limited liability company interests
Issuer of security(ies) — Edgewood Capital Partners II, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Empire Information Services, Inc.
 P.O. Box 742, Schenectady, NY 12301
State or country in which incorporated — New York
Security(ies) — Common stock
Issuer of security(ies) — Empire Information Services, Inc.

Address of issuer — Same as above
State or country in which organized — New York

eRXSYS, Inc.
 18021 Sky Park Circle, Suite G2, Irvine, CA 92614-6570
State or country in which incorporated — Nevada
Security(ies) — Common stock
Issuer of security(ies) — eRXSYS, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Fast Eddie Racing Stables, Inc.
 211 W. Wall St., Midland, TX 79701
State or country in which incorporated — Florida
Security(ies) — Common stock
Issuer of security(ies) — Fast Eddie Racing Stables, Inc.
Address of issuer — Same as above
State or country in which organized — Florida

Financial Stocks Capital Partners IV L.P.
 507 Carew Tower, 441 Vine St., Cincinnati, OH 45202
Security(ies) — Limited partnership interests
Issuer of security(ies) — Financial Stocks Capital Partners IV L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

First Light Capital, L.P.
 One Sansome St., Suite 3136, San Francisco, CA 94104
Security(ies) — Limited partnership
Issuer of security(ies) — First Light Capital, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 940 (Morgan Keegan IDEAS Portfolio Series)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 957 (Greater China Portfolio, Series 6)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 958 (Municipal Advantage Closed-End Portfolio, Series 2)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 958 (Municipal Closed-End Portfolio, Series 12)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 959 (Convertible & Income Closed-End Portfolio, Series 2)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 959 (Global Income Closed-End Portfolio, Series 2)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 959 (Strategic Income Closed-End Portfolio, Series 6)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 960 (Strategic Income Closed-End Advantage Portfolio Series)
Address of issuer — Same as above
State or country in which organized — Illinois

Fixed Income Securities, L.P.
 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — Advisor's Disciplined Trust 34 (Real Estate Income Trust Portfolio, Series 4)
Address of issuer — Same as above
State or country in which organized — New York

Fixed Income Securities, L.P.
 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — Advisor's Disciplined Trust 30 (High 50 Dividend Strategy Portfolio, Series 8)
Address of issuer — Same as above
State or country in which organized — New York

Fixed Income Securities, L.P.
 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — Advisor's Disciplined Trust 32 (High Yield Closed-End Portfolio, Series 3)
Address of issuer — Same as above
State or country in which organized — New York

Fixed Income Securities, L.P.
 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — Advisor's Disciplined Trust 33 (Preferred Dividend Income Portfolio, Series 3)
Address of issuer — Same as above
State or country in which organized — New York

Fontana Capital Long / Short Fund, L.P.
99 Summer St., Suite 1520, Boston, MA 02210-1213

Security(ies) — Limited partnership interests

Issuer of security(ies) — Fontana Capital Long / Short Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

FortRoss Emerging Opportunity Fund, Ltd.

% Admiral Administration, Ltd., Box 32021 SMB, Anchorage Center,
2nd Fl., Grand Cayman, Cayman Islands

State or country in which incorporated — Cayman Islands

Security(ies) — Shares of stock

Issuer of security(ies) — FortRoss Emerging Opportunity Fund, Ltd.

Address of issuer — Same as above

State or country in which organized — Cayman Islands

Global Miroge, Inc.

95 Methodist Hill Dr., Rochester, NY 14623

State or country in which incorporated — New York

Security(ies) — Series A preferred stock

Issuer of security(ies) — Global Miroge, Inc.

Address of issuer — Same as above

State or country in which organized — New York

Glocap Funding LLC

152 W. 57th St., 15th Fl., New York, NY 10019

State or country in which incorporated — New York

Security(ies) — Units consisting of common stock and warrants

Issuer of security(ies) — Securac Corp.

Address of issuer — 2500, 500 5th Ave. SW, Calgary AB, Canada T2P
3R7

State or country in which organized — Nevada

Grace Convertible Arbitrage Offshore Ltd.

c/o Citco Fund Services (Cayman Islands) Limited, Corporate Centre,
W. Bay Rd., P.O. Box 31106 SMB, Grand Cayman, Cayman Islands,
BWI

State or country in which incorporated — Cayman Islands

Security(ies) — Class C non-voting redeemable participating shares

Issuer of security(ies) — Grace Convertible Arbitrage Offshore Ltd.

Address of issuer — Same as above

State or country in which organized — Cayman Islands

Guardian Technologies International, Inc.

516 Herndon Pkwy., Herndon, VA 20170

State or country in which incorporated — Delaware

Security(ies) — Units, consisting of common stock with warrants

Issuer of security(ies) — Guardian Technologies International, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Guy Critical, Inc.

561 Hudson St., Suite 15, New York, NY 10014

State or country in which incorporated — New York

Security(ies) — Series C preferred convertible stock

Issuer of security(ies) — Guy Critical, Inc.

Address of issuer — Same as above

State or country in which organized — New York

Harris Associates International Value L.P.

Two N. LaSalle St., Suite 500, Chicago, IL 60602

Security(ies) — Limited partnership interest

Issuer of security(ies) — Harris Associates International Value L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

HCM Venture Fund V, LLC

75 Federal St., Suite 1100, Boston, MA 02110-1911

Security(ies) — Limited partnership interest

Issuer of security(ies) — Howland Capital Management, Inc.

Address of issuer — Same as above

State or country in which organized — Massachusetts

Hubco Exploration Inc.

10 Plymouth Rd., Rye, NY 10580

State or country in which incorporated — Delaware

Security(ies) — 8% convertible promissory notes with working interests
in an oil and gas property

Issuer of security(ies) — Hubco Exploration Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Infra-Com Technologies, Inc.

18 Haplada St., Netanya, Israel

State or country in which incorporated — Delaware

Security(ies) — Preferred stock

Issuer of security(ies) — Infra-Com Technologies, Inc.

Address of issuer — Same as above

State or country in which organized — Israel

Integrated Beverage Group, Ltd.; (2) Lighthouse Financial Group, LLC

Four Dubon Ct., Farmingdale, NY 11735; (2) 420 Lexington Ave., Suite
360, New York, NY 10170

State or country in which incorporated — (1) New York; (2) Delaware

Security(ies) — Common stock, \$.00001 par value

Issuer of security(ies) — Integrated Beverage Group, Ltd.

Address of issuer — Four Dubon Ct., Farmingdale, NY 11735

State or country in which organized — New York

iRise

2101 Rosecrans Ave., Suite 1250, El Segundo, CA 90245

State or country in which incorporated — California

Security(ies) — Special preferred stock

Issuer of security(ies) — iRise

Address of issuer — Same as above

State or country in which organized — California

J.P. Morgan Securities Inc.; (2) CIBC World Markets Corp.; (3) Credit

Suisse First Boston LLC; (4) Wachovia Capital Markets, LLC

270 Park Ave., New York, NY 10017; (2) 300 Madison Ave., New
York, NY 10017; (3) 11 Madison Ave., New York, NY 10010; (4) 301

S. College St., Charlotte, NC 28288

State or country in which incorporated — (1 & 2) Delaware; (3 & 4)

Delaware limited liability company

Security(ies) — Class A-1 commercial mortgage pass-through certifi-
cates, series 2005-CIBC 11

Issuer of security(ies) — J.P. Morgan Chase Commercial Mortgage
Securities Corp.

Address of issuer — c/o Wells Fargo Bank, N.A., 9062 Old Annapolis
Rd., Columbia, MD 21045

State or country in which organized — New York

J.P. Morgan Securities Inc.; (2) CIBC World Markets Corp.; (3) Credit

Suisse First Boston LLC; (4) Wachovia Capital Markets, LLC

270 Park Ave., New York, NY 10017; (2) 300 Madison Ave., New
York, NY 10017; (3) 11 Madison Ave., New York, NY 10010; (4) 301

Securities Corp.

Address of issuer — c/o Wells Fargo Bank, N.A., 9062 Old Annapolis Rd., Columbia, MD 21045

State or country in which organized — New York

J.P. Morgan Securities Inc.; (2) CIBC World Markets Corp.; (3) Credit Suisse First Boston LLC; (4) Wachovia Capital Markets, LLC 270 Park Ave., New York, NY 10017; (2) 300 Madison Ave., New York, NY 10017; (3) 11 Madison Ave., New York, NY 10010; (4) 301 S. College St., Charlotte, NC 28288

State or country in which incorporated — (1 & 2) Delaware; (3 & 4) Delaware limited liability company

Security(ies) — Class X-2 commercial mortgage pass-through certificates, series 2005-CIBC 11

Issuer of security(ies) — J.P. Morgan Chase Commercial Mortgage Securities Corp.

Address of issuer — c/o Wells Fargo Bank, N.A., 9062 Old Annapolis Rd., Columbia, MD 21045

State or country in which organized — New York

Jersey City Investors Limited Partnership

3801 PGA Blvd., Suite 600, Palm Beach Gardens, FL 33410

Security(ies) — Limited partnership interests

Issuer of security(ies) — Jersey City Investors Limited Partnership

Address of issuer — Same as above

State or country in which organized — New Jersey

Joe Feshbach Partners II, L.P.

2105 Woodside Rd., 2nd Fl., Woodside, CA 94062

Security(ies) — Limited partnership interests

Issuer of security(ies) — Joe Feshbach Partners II, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Lakeshore International, Ltd.

% Codan Services Limited, Clarendon House, Two Church St., P.O. Box HM 666, Hamilton HM CX, Bermuda

State or country in which incorporated — Bermuda

Security(ies) — Common shares

Issuer of security(ies) — Lakeshore International, Ltd.

Address of issuer — Same as above

State or country in which organized — Bermuda

Longwood Industries Holdings, LLC

325 Columbia Tpke., Florham Park, NJ 07932

Security(ies) — Limited liability company membership interests

Issuer of security(ies) — Longwood Industries Holdings, LLC

Address of issuer — Same as above

State or country in which organized — New Jersey

Longwood Industries Holdings, LLC

325 Columbia Tpke., Florham Park, NJ 07932

Security(ies) — Options to purchase limited liability company membership interests

Issuer of security(ies) — Longwood Industries Holdings, LLC

Address of issuer — Same as above

State or country in which organized — New Jersey

Longwood Industries Holdings, LLC

325 Columbia Tpke., Florham Park, NJ 07932

Security(ies) — Warrants to purchase limited liability company membership interests

Issuer of security(ies) — Longwood Industries Holdings, LLC

Address of issuer — Same as above

State or country in which organized — New Jersey

Majestic Research Corp.

Four Columbus Circle, 5th Fl., New York, NY 10019

State or country in which incorporated — Delaware

Security(ies) — Series A preferred stock

Issuer of security(ies) — Majestic Research Corp.

Address of issuer — Same as above

State or country in which organized — Delaware

Maxie Partners, LP

500 Fifth Ave., Suite 2440, New York, NY 10110

Security(ies) — Limited partnership interests

Issuer of security(ies) — Maxie Partners, LP

Address of issuer — Same as above

State or country in which organized — United States

Merrill Lynch, Pierce, Fenner & Smith Incorporated; (2) Morgan Stanley & Co. Incorporated; (3) UBS Securities LLC

Four World Financial Center, New York, NY 10281; (2) 1585 Broadway, New York, NY 10036; (3) 677 Washington Blvd., Stamford, CT 06901

State or country in which incorporated — (1 & 2) Delaware; (3) Delaware limited liability company

Security(ies) — American depository shares

Issuer of security(ies) — ICICI Bank Limited

Address of issuer — ICICI Bank Towers, Bandra-Kurla Complex, Mumbai 400051, India

State or country in which organized — India

Merrill Lynch, Pierce, Fenner & Smith Incorporated; (2) Banc of America Securities LLC; (3) McDonald Investments Inc.; (4) J.P. Morgan Securities Inc.; (5) Morgan Stanley & Co. Incorporated

Four World Financial Center, New York, NY 10281; (2) Nine W. 57th St., New York, NY 10019; (3) 800 Superior Ave., Cleveland, OH 44114; (4) 270 Park Ave., New York, NY 10017; (5) 1585 Broadway, New York, NY 10036

State or country in which incorporated — (1, 4 & 5) Delaware; (2) Delaware limited liability company; (3) Ohio

Security(ies) — Class A-1 commercial mortgage pass-through certificates, series 2005-MKB2

Issuer of security(ies) — Merrill Lynch Mortgage Trust 2005-MKB2

Address of issuer — c/o LaSalle Bank National Association, 135 S. LaSalle St., Suite 1625, Chicago, IL 60603

State or country in which organized — New York

Merrill Lynch, Pierce, Fenner & Smith Incorporated; (2) Banc of America Securities LLC; (3) McDonald Investments Inc.; (4) J.P. Morgan Securities Inc.; (5) Morgan Stanley & Co. Incorporated

Four World Financial Center, New York, NY 10281; (2) Nine W. 57th St., New York, NY 10019; (3) 800 Superior Ave., Cleveland, OH 44114; (4) 270 Park Ave., New York, NY 10017; (5) 1585 Broadway, New York, NY 10036

State or country in which incorporated — (1, 4 & 5) Delaware; (2) Delaware limited liability company; (3) Ohio

Security(ies) — Class A-1A commercial mortgage pass-through certificates, series 2005-MKB2

Issuer of security(ies) — Merrill Lynch Mortgage Trust 2005-MKB2

Address of issuer — c/o LaSalle Bank National Association, 135 S. LaSalle St., Suite 1625, Chicago, IL 60603

State or country in which organized — New York

Merrill Lynch, Pierce, Fenner & Smith Incorporated; (2) Banc of America Securities LLC; (3) McDonald Investments Inc.; (4) J.P. Morgan Securities Inc.; (5) Morgan Stanley & Co. Incorporated Four World Financial Center, New York, NY 10281; (2) Nine W. 57th St., New York, NY 10019; (3) 800 Superior Ave., Cleveland, OH 44114; (4) 270 Park Ave., New York, NY 10017; (5) 1585 Broadway, New York, NY 10036

State or country in which incorporated — (1, 4 & 5) Delaware; (2) Delaware limited liability company; (3) Ohio

Security(ies) — Class XP commercial mortgage pass-through certificates, series 2005-MKB2

Issuer of security(ies) — Merrill Lynch Mortgage Trust 2005-MKB2
Address of issuer — c/o LaSalle Bank National Association, 135 S. LaSalle St., Suite 1625, Chicago, IL 60603

State or country in which organized — New York

Mewbourne Energy Partners 05-A, L.P.
3901 S. Broadway, Tyler, TX 75701

Security(ies) — General partner interests

Issuer of security(ies) — Mewbourne Energy Partners 05-A, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Mewbourne Energy Partners 05-A, L.P.
3901 S. Broadway, Tyler, TX 75701

Security(ies) — Limited partner interests

Issuer of security(ies) — Mewbourne Energy Partners 05-A, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Mewbourne Energy Partners 05-A, L.P.
3901 S. Broadway, Tyler, TX 75701

Security(ies) — Limited partner interests

Issuer of security(ies) — Mewbourne Energy Partners 05-A, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Mewbourne Securities, Inc.
3901 S. Broadway, Tyler, TX 75701

Security(ies) — General partner interests

Issuer of security(ies) — Mewbourne Energy Partners 05-A, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Midwood Capital Partners QP, L.P.
One Washington Mall, 8th Fl., Boston, MA 02108
Security(ies) — Limited partnership interests

Issuer of security(ies) — Midwood Capital Partners QP, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

National Research Exchange, Inc., The
111 W. 67th St., Suite 34-C, New York, NY 10023
State or country in which incorporated — Delaware
Security(ies) — Series A convertible preferred shares
Issuer of security(ies) — The National Research Exchange, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Northern Funds Distributors, LLC
Four Falls Corporate Center, Sixth Fl., West Conshohocken, PA 19428-2961

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Northern Funds—International Equity Index Fund

Address of issuer — P.O. Box 75986, Chicago, IL 60690-6319

State or country in which organized — Delaware

Northern Funds Distributors, LLC
Four Falls Corporate Center, Sixth Fl., West Conshohocken, PA 19428-2961

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Northern Funds—Mid Cap Index Fund

Address of issuer — P.O. Box 75986, Chicago, IL 60690-6319

State or country in which organized — Delaware

NYLO Partners LLC
130 W. 15th St., Apt. 11K, New York, NY 10011

State or country in which incorporated — Delaware limited liability company

Security(ies) — Limited liability company membership interests

Issuer of security(ies) — NYLO Partners LLC

Address of issuer — Same as above

State or country in which organized — Delaware

Oakdale Capital Partners I, L.P.
33 W. 19th St., Suite 322, New York, NY 10011

Security(ies) — Limited partnership interests

Issuer of security(ies) — Oakdale Capital Partners I, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Pali Capital, Inc.
650 Fifth Ave., New York, NY 10019
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Pelion Financial Group, Inc.
Address of issuer — 650 Fifth Ave., New York, NY 10019
State or country in which organized — Delaware

Paradigm Funds
Nine Elk St., Albany, NY 12207
State or country in which incorporated — Ohio
Security(ies) — (Shares of beneficial interest) Paradigm Select Fund
Issuer of security(ies) — Paradigm Funds
Address of issuer — Same as above
State or country in which organized — Ohio

Paradigm Funds
Nine Elk St., Albany, NY 12207
State or country in which incorporated — Ohio
Security(ies) — (Shares of beneficial interest) Paradigm Opportunity Fund
Issuer of security(ies) — Paradigm Funds
Address of issuer — Same as above
State or country in which organized — Ohio

Pepgen Corporation
1255 Harbor Bay Pkwy., Suite B, Alameda, CA 94502
State or country in which incorporated — Delaware
Security(ies) — Common stock and series A-1, A-2, A-3, B and C preferred stock
Issuer of security(ies) — Pepgen Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

PFTC Volatility Arbitrage Fund, L.P.
33 Whitehall St., 6th Fl., New York, NY 10004
Security(ies) — Limited partnership interests
Issuer of security(ies) — PFTC Volatility Arbitrage Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Phibro Animal Health Corporation
65 Challenger Rd., Ridgefield Park, NJ 07660
State or country in which incorporated — New York
Security(ies) — 13% senior secured notes due 2007
Issuer of security(ies) — Phibro Animal Health Corporation
Address of issuer — Same as above
State or country in which organized — New York

Pinnacle Power, L.P.
570 Lexington Ave., 25th Fl., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interests
Issuer of security(ies) — Pinnacle Power, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Principal Investors Fund, Inc. - Disciplined LargeCap Blend Fund
The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - advisors select class
Issuer of security(ies) — Principal Investors Fund, Inc. - Disciplined Large-Cap Blend Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - Disciplined LargeCap Blend Fund
The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - advisors preferred class
Issuer of security(ies) — Principal Investors Fund, Inc. - Disciplined Large-Cap Blend Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - Disciplined LargeCap Blend Fund
The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - preferred
Issuer of security(ies) — Principal Investors Fund, Inc. - Disciplined Large-Cap Blend Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - Disciplined LargeCap Blend Fund
The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - advisors signature class
Issuer of security(ies) — Principal Investors Fund, Inc. - Disciplined Large-Cap Blend Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - Diversified International Fund
The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - class J

Issuer of security(ies) — Principal Investors Fund, Inc. - Diversified International Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - Diversified International Fund
The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - advisors signature class
Issuer of security(ies) — Principal Investors Fund, Inc. - Diversified International Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - Diversified International Fund
The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - preferred class
Issuer of security(ies) — Principal Investors Fund, Inc. - Diversified International Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - Diversified International Fund
The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - select class
Issuer of security(ies) — Principal Investors Fund, Inc. - Diversified International Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - Diversified International Fund
The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - advisors preferred class
Issuer of security(ies) — Principal Investors Fund, Inc. - Diversified International Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - Diversified International Fund
The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - advisors select class
Issuer of security(ies) — Principal Investors Fund, Inc. - Diversified International Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - International Growth Fund
The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - advisors select class
Issuer of security(ies) — Principal Investors Fund, Inc. - International Growth Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - International Growth Fund
The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - select class

Issuer of security(ies) — Principal Investors Fund, Inc. - International Growth Fund

Address of issuer — Same as above

State or country in which organized — Iowa

Principal Investors Fund, Inc. - International Growth Fund
The Principal Financial Group, Des Moines, IA 50392-0200

State or country in which incorporated — Maryland

Security(ies) — Common stock - advisors preferred class

Issuer of security(ies) — Principal Investors Fund, Inc. - International Growth Fund

Address of issuer — Same as above

State or country in which organized — Iowa

Principal Investors Fund, Inc. - International Growth Fund
The Principal Financial Group, Des Moines, IA 50392-0200

State or country in which incorporated — Maryland

Security(ies) — Common stock - preferred class

Issuer of security(ies) — Principal Investors Fund, Inc. - International Growth Fund

Address of issuer — Same as above

State or country in which organized — Iowa

Principal Investors Fund, Inc. - International Growth Fund
The Principal Financial Group, Des Moines, IA 50392-0200

State or country in which incorporated — Maryland

Security(ies) — Common stock - advisors signature class

Issuer of security(ies) — Principal Investors Fund, Inc. - International Growth Fund

Address of issuer — Same as above

State or country in which organized — Iowa

Principal Investors Fund, Inc. - International Growth Fund
The Principal Financial Group, Des Moines, IA 50392-0200

State or country in which incorporated — Maryland

Security(ies) — Common stock - institutional class

Issuer of security(ies) — Principal Investors Fund, Inc. - International Growth Fund

Address of issuer — Same as above

State or country in which organized — Iowa

Principal Investors Fund, Inc. - International Growth Fund
The Principal Financial Group, Des Moines, IA 50392-0200

State or country in which incorporated — Maryland

Security(ies) — Common stock - class J

Issuer of security(ies) — Principal Investors Fund, Inc. - International Growth Fund

Address of issuer — Same as above

State or country in which organized — Iowa

Principal Investors Fund, Inc. - Partners Global Equity Fund
The Principal Financial Group, Des Moines, IA 50392-0200

State or country in which incorporated — Maryland

Security(ies) — Common stock - advisors preferred class

Issuer of security(ies) — Principal Investors Fund, Inc. - Partners Global Equity Fund

Address of issuer — Same as above

State or country in which organized — Iowa

Principal Investors Fund, Inc. - Partners Global Equity Fund
The Principal Financial Group, Des Moines, IA 50392-0200

State or country in which incorporated — Maryland

Security(ies) — Common stock - advisors select class

Issuer of security(ies) — Principal Investors Fund, Inc. - Partners Global Equity Fund

Address of issuer — Same as above

State or country in which organized — Iowa

Principal Investors Fund, Inc. - Partners Global Equity Fund
The Principal Financial Group, Des Moines, IA 50392-0200

State or country in which incorporated — Maryland

Security(ies) — Common stock - advisors signature class

Issuer of security(ies) — Principal Investors Fund, Inc. - Partners Global Equity Fund

Address of issuer — Same as above

State or country in which organized — Iowa

Principal Investors Fund, Inc. - Partners Global Equity Fund
The Principal Financial Group, Des Moines, IA 50392-0200

State or country in which incorporated — Maryland

Security(ies) — Common stock - preferred class

Issuer of security(ies) — Principal Investors Fund, Inc. - Partners Global Equity Fund

Address of issuer — Same as above

State or country in which organized — Iowa

Principal Investors Fund, Inc. - Partners Global Equity Fund
The Principal Financial Group, Des Moines, IA 50392-0200

State or country in which incorporated — Maryland

Security(ies) — Common stock - select class

Issuer of security(ies) — Principal Investors Fund, Inc. - Partners Global Equity Fund

Address of issuer — Same as above

State or country in which organized — Iowa

Principal Investors Fund, Inc. - Disciplined LargeCap Blend Fund
The Principal Financial Group, Des Moines, IA 50392-0200

State or country in which incorporated — Maryland

Security(ies) — Common stock - select class

Issuer of security(ies) — Principal Investors Fund, Inc. - Disciplined Large-Cap Blend Fund

Address of issuer — Same as above

State or country in which organized — Iowa

Scantek Medical, Inc.

4B Wing Dr., Cedar Knolls, NJ 07297

State or country in which incorporated — Delaware

Security(ies) — Units of convertible notes and common stock

Issuer of security(ies) — Scantek Medical, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

SEA Venture - Minard, L.P.

20 Stanwix St., Suite 650, Pittsburgh, PA 15222

Security(ies) — Units of general/limited partnership interests

Issuer of security(ies) — SEA Venture - Minard, L.P.

Address of issuer — Same as above

State or country in which organized — Pennsylvania

Securac Corp.

2500, 500 5th Ave. SW, Calgary Ab, Canada T2P 3R7

State or country in which incorporated — Nevada

Security(ies) — Units consisting of common stock and warrants

Issuer of security(ies) — Securac Corp.

Address of issuer — Same as above

State or country in which organized — Nevada

Seidler Companies Incorporated, The
515 S. Figueroa St., Suite 1100, Los Angeles, CA 90071
State or country in which incorporated — Delaware
Security(ies) — Revolving note
Issuer of security(ies) — The Seidler Companies Incorporated
Address of issuer — Same as above
State or country in which organized — Delaware

Seqa Capital Offshore, Ltd.
% Walkers SPV Limited, Walker House, P.O. Box 908GT, Mary St.,
George Town, Grand Cayman, Cayman Islands
Security(ies) — Common shares
Issuer of security(ies) — Seqa Capital Offshore, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Seqa Capital Partners, L.P.
527 Madison Ave., 6th Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Seqa Capital Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Shemano Group, The
601 California St., Suite 1150, San Francisco, CA 94108
State or country in which incorporated — California
Security(ies) — Units of general partnership / limited partnership
interests
Issuer of security(ies) — NGAS Partners 2005-A, Ltd.
Address of issuer — 120 Prosperous Place, Suite 201, Lexington, KY
40509
State or country in which organized — Kentucky

Solaris Equity Fund, L.P.
598 Madison Ave., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Solaris Equity Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Square 1 Financial, Inc.
45 Chestertown Dr., Pinehurst, NC 28374
State or country in which incorporated — Delaware
Security(ies) — Common stock, par value \$0.01 per share
Issuer of security(ies) — Square 1 Financial, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Stanfield Opportunistic Convertible Strategies Ltd.
% BISYS Hedge Fund Services (Cayman) Limited, Harbour Centre,
Third Fl., George Town, Grand Cayman, Cayman Islands, B.W.I.
State or country in which incorporated — Cayman Islands
Security(ies) — Class A non-voting participating shares
Issuer of security(ies) — Stanfield Opportunistic Convertible Strategies
Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Stanfield Opportunistic Convertible Strategies Ltd.
% BISYS Hedge Fund Services (Cayman) Limited, Harbour Centre,
Third Fl., George Town, Grand Cayman, Cayman Islands, B.W.I.
State or country in which incorporated — Cayman Islands
Security(ies) — Class B non-voting participating shares

Issuer of security(ies) — Stanfield Opportunistic Convertible Strategies
Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Star Scientific, Inc.
801 Liberty Way, Chester, VA 23836
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Star Scientific, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Star Scientific, Inc.
801 Liberty Way, Chester, VA 23836
State or country in which incorporated — Delaware
Security(ies) — Warrants to purchase common stock
Issuer of security(ies) — Star Scientific, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Starfire Systems, Inc.
10 Hermes Rd., Malta, NY 12020
State or country in which incorporated — New York
Security(ies) — Units consisting of unsecured subordinated 8%
convertible promissory notes and common stock purchase warrants
Issuer of security(ies) — Starfire Systems, Inc.
Address of issuer — Same as above
State or country in which organized — New York

Stiteler Partners LLC
1599 E. Oranewood, #250, Phoenix, AZ 85020
Security(ies) — Promissory notes
Issuer of security(ies) — Stiteler Partners LLC
Address of issuer — Same as above
State or country in which organized — Arizona

Texas Securities, Inc.
4024 Nazarene Dr., Suite #B, Carrollton, TX 75010
State or country in which incorporated — Texas
Security(ies) — Joint venture interests
Issuer of security(ies) — Crown Cox #1 Joint Venture
Address of issuer — Same as above
State or country in which organized — Texas

Texas Securities, Inc.
740 Lexington Dr., Plano, TX 75075
State or country in which incorporated — Texas
Security(ies) — General partnership interests
Issuer of security(ies) — Northstar Firecat Two Well Prospect Joint
Venture
Address of issuer — Same as above
State or country in which organized — Texas

Thesis Capital, L.P.
275 Madison Ave., Suite 622, New York, NY 10016
Security(ies) — Limited partnership interests
Issuer of security(ies) — Thesis Capital, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Tramontana Point LP
601 Montgomery St., Suite 710, San Francisco, CA 94111

Security(ies) — Limited partnership interest
Issuer of security(ies) — Tramontana Point LP
Address of issuer — Same as above
State or country in which organized — Delaware

Transfer Rapid LLC
 110 Greenwich Hills Dr., Greenwich, CT 06831
State or country in which incorporated — Connecticut
Security(ies) — Series A preferred units
Issuer of security(ies) — Transfer Rapid LLC
Address of issuer — Same as above
State or country in which organized — Connecticut

Tripwire, Inc.
 326 SW. Broadway, 3rd Fl., Portland, OR 97205
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Tripwire, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

UBS Securities LLC; (2) Bear, Stearns & Co. Inc; (3) First Analysis Securities Corporation
 677 Washington Blvd., Stamford, CT 06901; (2) 383 Madison Ave., New York, NY 10179; (3) One S. Wacker Dr., Chicago, IL 60606
State or country in which incorporated — (1) Delaware limited liability company; (2) Delaware; (3) Illinois
Security(ies) — Common stock
Issuer of security(ies) — NuCO2 Inc.
Address of issuer — 2800 SE. Market Place, Stuart, FL 34997
State or country in which organized — Florida

UBS Securities LLC; (2) Bear, Stearns & Co. Inc; (3) Banc of America Securities LLC
 677 Washington Blvd., Stamford, CT 06901; (2) 383 Madison Ave., New York, NY 10179; (3) Nine W. 57th St., New York, NY 10019
State or country in which incorporated — (1 & 3) Delaware limited liability company; (2) Delaware
Security(ies) — 3.00% convertible senior subordinated notes due 2025
Issuer of security(ies) — Playboy Enterprises, Inc.
Address of issuer — 680 N. Lake Shore Dr., Chicago, IL 60611
State or country in which organized — Delaware

United Premier Medical Group Limited
 Tung Wai, Commercial Bldg., 109-111 Gloucester Rd., Rm. 2301, Wan Chai, Hong Kong
State or country in which incorporated — Cayman Islands
Security(ies) — Common stock
Issuer of security(ies) — United Premier Medical Group Limited
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Central Equity Trust, Diversified Income Series 18
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181

State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Great International Firms Portfolio, Series 26
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Insured Income Trust, Series 130
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Long-term investment grade trust, Series 28
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Morgan Stanley Multinational 50 IndexSM Portfolio, Series 18
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Morgan Stanley Technology IndexSM Portfolio 2005-2
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Morgan Stanley Technology IndexSM Portfolio Series 33
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Th Dow 30SM Index Portfolio, Series 20
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Van Kampen Unit Trusts, Municipal Series
552 (Pennsylvania Insured Municipals Income Trust, Series 298)
Address of issuer — Same as above
State or country in which organized — New York

VF Holding Corp.
Three Waterside Crossing, Windsor, CT 06095
State or country in which incorporated — Delaware
Security(ies) — Options to purchase common stock
Issuer of security(ies) — VF Holding Corp.
Address of issuer — Same as above
State or country in which organized — Delaware

Vicor Technologies, Inc.
2300 Corporate Blvd. NW, Suite 123, Boca Raton, FL 33432
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Vicor Technologies, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Western Reserve Hedged Equity, L.P.
100 Crescent Ct., Suite 400, Dallas, TX 75201
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interests
Issuer of security(ies) — Western Reserve Hedge Equity, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

WTS Acquisition Company, LLC
N53 W24635 S. Corporate Circle, P.O. Box 328, Sussex, WI 53089-
0328
State or country in which incorporated — Wisconsin
Security(ies) — Units of common and preferred limited liability
company interests
Issuer of security(ies) — WTS Acquisition Company, LLC
Address of issuer — Same as above
State or country in which organized — Wisconsin

Zen Pottery Equipment, Inc.
1185 Avenue of the Americas, 20th Fl., New York, NY 10036
State or country in which incorporated — Colorado
Security(ies) — Shares of common stock
Issuer of security(ies) — Zen Pottery Equipment, Inc.
Address of issuer — Same as above
State or country in which organized — Colorado