

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

Airgate International Corporation
153-04 Rockaway Blvd., New York, NY 11434
State or country in which incorporated — New York

Avalon HealthCare Holdings, Inc.
13719 Chestersall Dr., Tampa, FL 33624
State or country in which incorporated — Delaware

Bank of Wilmington Corporation
1117 Military Cutoff Rd., Wilmington, NC 28405
State or country in which incorporated — North Carolina

BBR Real Estate (I), L.P.
Two Grand Central Tower, 140 E. 45th St., New York, NY 10017
Partnership — BBR Investment Management, L.L.C.

Belridge Energy Advisors, LP
235 Pine St., San Francisco, CA 94104
Partnership — Belridge Energy Advisors, LP

Big Top Traveling Revival Company LLC, The
c/o Snug Harbor Productions, Inc., 165 W. 46th St., Suite 400, New
York, NY 10036
State or country in which incorporated — New York limited liability
company

Blackwater Global Onshore Fund, LLC
89 Headquarters Plaza N, Suite 313, Morristown, NJ 07960

Brightline Capital Partners, LP
c/o Brightline GP, LLC, 275 Madison Ave., 4th Fl., New York, NY
10016
Partnership — Brightline GP, LLC

Carter Securities, LLC
25 E. 67th St., Suite 6D, New York, NY 10021

Catalyst Investors II, L.P.
711 Fifth Ave., Suite 402, New York, NY 10022
Partnership — Catalyst Investors Partners II, L.P.

Catalyst Investors II QP, L.P.
711 Fifth Ave., Suite 402, New York, NY 10022
Partnership — Catalyst Investors Partners II, L.P.

Chata Biosystems, Inc.
323 S. College Ave., Suite 5, Fort Collins, CO 80524
State or country in which incorporated — Colorado

China Mobility Solutions, Inc.
789 W. Pender St., Suite 900, Vancouver, BC, Canada V6C 1H2
State or country in which incorporated — Florida

Clarion Publishing, L.P.
19416 Park Row, Suite 190, Houston, TX 77084
Partnership — Clarion Publishing GP, LLC

Coastal Banking Company, Inc.
36 W. Sea Island Pkwy., Beaufort, SC 29902
State or country in which incorporated — South Carolina

Cohanzick Absolute Return Partners, L.P.
427 Bedford Rd., Suite 260, Pleasantville, NY 10570
Partnership — Cohanzick Capital, L.P.

Duquesne Light Holdings, Inc.
411 Seventh Ave., Pittsburgh, PA 15219
State or country in which incorporated — Pennsylvania

FDP Series, Inc.
P.O. Box 9011, Princeton, NJ 08543-9011
State or country in which incorporated — Delaware

First Brandon Financial Corporation
Two Park St., Bradon, VT 05733
State or country in which incorporated — Vermont

Focus Enhancements, Inc.
1370 Dell Ave., Campbell, CA 95008
State or country in which incorporated — Delaware

Harbert Emerging Markets Offshore Fund, Ltd.
Harbert Emerging Markets Offshore Fund, Ltd., c/o International Fund
Services (Ireland) Limited, Third Fl., Bishop's Sq., Redmond's Hill,
Dublin 2, Ireland
State or country in which incorporated — Cayman Islands

Harbert Emerging Markets Fund, L.P.
555 Madison Ave., 16th Fl., New York, NY 10022
Partnership — HMC Emerging Markets Fund GP, L.L.C.

HI Capital Markets, Inc.
622 Third Ave., New York, NY 10017
State or country in which incorporated — Delaware

Hudson Trading Partners I, LP
208 E. 51st St., Suite 390, New York, NY 10022
Partnership — Hudson Trading Partners, LLC

ICICI Securities Inc.
500 Fifth Ave., New York, NY 10110
State or country in which incorporated — Delaware

ICV Partners II, L.P.
666 Third Ave., 29th Fl., New York, NY 10017
Partnership — ICV Associates II, LLC

Innovive Pharmaceuticals, Inc.
555 Madison Ave., 25th Fl., New York, NY 10022
State or country in which incorporated — Delaware

JLH Offshore Ltd.
Citco Fund Services (Cayman Islands) Limited, Corporate Centre, W.
Bay Rd., P.O. Box 31106 SMB, Grand Cayman, Cayman Islands

Joho Asia Growth Partners, L.P.
55 E. 59th St., 15th Fl., New York, NY 10022
Partnership — RAK Capital, L.L.C.

Kotak Mahindra, Inc.
50 Main St., White Plains, NY 10606
State or country in which incorporated — Delaware

Kriebel Wells 2005 Gas Production Fund, L.P.
633 Mayfield Rd., P.O. Box 765, Clarion, PA 16214
Partnership — James E. Kriebel & Gregory R. Kriebel, general partner

Matrix Capital Group, Inc.
630-A Fitzwatertown Rd., 2nd Fl., Willow Grove, PA 19090-1904
State or country in which incorporated — New York

MDB Capital Group LLC
401 Wilshire Blvd., Suite 1020, Santa Monica, CA 90401
Partnership — Christopher A. Marlett, Anthony Digiandomenico and
Dyana M. Marlett

Montchanin Value Fund II LP
Two Station Way, Chadds Ford, PA 19317
Partnership — Montchanin Value Management II LLC

Nyes Ledge Capital Offshore Fund, Ltd.
c/o BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate
Centre, 27 Hospital Rd., P.O. Box 1748 GT, George Town, Grand
Cayman, Cayman Islands, B.W.I.
State or country in which incorporated — Cayman Islands

RedTech QQQQ Fund LP, The
110 Wall St., New York, NY 10005
Partnership — Redwood/Technimentals Management LLC

Remedent, Inc.
Xavier De Cocklaan 42, 9831 Deurle, Belgium
State or country in which incorporated — Nevada

Rosemont Opportunities Fund, L.P.
150 Broadway, Suite 2101, New York, NY 10038
Partnership — Rosemont Capital, L.P.

Royce Fund, The - Royce Select Fund II
1414 Avenue of the Americas, New York, NY 10019

Royce Fund, The - Royce Select Fund III
1414 Avenue of the Americas, New York, NY 10019

Selectinvest Institutional Arbitrage/Relative Value Ltd.
30 Rockefeller Plaza, Suite 2800, New York, NY 10112
Partnership — Union Bancaire Privee Asset Management LLC

Selectinvest Arbitrage/Relative Value LP
c/o BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate
Center, 27 Hospital Rd., P.O. Box 1748GT, George Town, Grand
Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands

Selectinvest MultiStrategy LP
30 Rockefeller Plaza, Suite 2800, New York, NY 10112
Partnership — Union Bancaire Privee Asset Management LLC

Selectinvest PLUS 1.25X LP
30 Rockefeller Plaza, Suite 2800, New York, NY 10112
Partnership — Union Bancaire Privee Asset Management LLC

Selectinvest PLUS 1.50X LP
30 Rockefeller Plaza, Suite 2800, New York, NY 10112
Partnership — Union Bancaire Privee Asset Management LLC

Selectinvest PLUS 2.00X LP
30 Rockefeller Plaza, Suite 2800, New York, NY 10112
Partnership — Union Bancaire Privee Asset Management LLC

SLG Land Investments, L.P.
818 Washington St., Suite 204, Wilmington, DE 19801
Partnership — SLG Land Investments Management, LLC

Sonostar Capital Partners, LLC
191 King St., Chappaqua, NY 10514

Spinnerhawk Natural Resources Fund, L.P.
200 Crescent Ct., Suite 1030, Dallas, TX 75201
Partnership — Spinnerhawk Capital Management, L.P.

Texas Securities Partners, Inc.
740 Lexington Dr., Bldg. B, Plano, TX 75075
State or country in which incorporated — Texas

Triangle Old Bay Holdings, LLC
714 N. Fort Harrison Ave., Clearwater, FL 33755
State or country in which incorporated — Florida

Zedd Partners, LP
575 Madison Ave., 10th Fl., New York, NY 10022
Partnership — Zedd Capital, LLC

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY
DEALERS; BROKERS;
SYNDICATE MANAGERS

ABN AMRO Distribution Services (USA) Inc.
760 Moore Rd., King of Prussia, PA 19406
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — ABN AMRO Funds — ABN AMRO/River
Road Dynamic Equity Income Fund
Address of issuer — 161 N. Clark St., Chicago, IL 60601
State or country in which organized — Delaware

ABN AMRO Distribution Services (USA) Inc.
760 Moore Rd., King of Prussia, PA 19406
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — ABN AMRO Funds — ABN AMRO/River
Road Small Cap Value Fund
Address of issuer — 161 N. Clark St., Chicago, IL 60601
State or country in which organized — Delaware

Airgate International Corporation
153-04 Rockaway Blvd., New York, NY 11434
State or country in which incorporated — New York
Security(ies) — Common stock
Issuer of security(ies) — Airgate International Corporation
Address of issuer — Same as above
State or country in which organized — New York

Allianz Global Investors Distributors LLC
840 Newport Center Dr., Newport Beach, CA 92660
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — PIMCO Funds—PIMCO Fundamental
IndexPLUS TR Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Ariel Distributors, Inc.
200 E. Randolph Dr., Suite 2900, Chicago, IL 60601
State or country in which incorporated — Illinois
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Ariel Investment Trust—Ariel Focus Fund
Address of issuer — 200 E. Capital Management, Inc., Suite 2900,
Chicago, IL 60601
State or country in which organized — Massachusetts

Avalon HealthCare Holdings, Inc.
13719 Chestersall Dr., Tampa, FL 33624
State or country in which incorporated — Delaware
Security(ies) — Series A preferred stock

Issuer of security(ies) — Avalon HealthCare Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Avalon HealthCare Holdings, Inc.
13719 Chestersall Dr., Tampa, FL 33624
State or country in which incorporated — Delaware
Security(ies) — Series B preferred stock
Issuer of security(ies) — Avalon HealthCare Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Bank of Wilmington Corporation
1117 Military Cutoff Rd., Wilmington, NC 28405
State or country in which incorporated — North Carolina
Security(ies) — Common stock
Issuer of security(ies) — Bank of Wilmington Corporation
Address of issuer — Same as above
State or country in which organized — North Carolina

BBR Real Estate (I), L.P.
Two Grand Central Tower, 140 E. 45th St., New York, NY 10017
Security(ies) — Limited partnership interests
Issuer of security(ies) — BBR Real Estate (I), L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Belridge Energy Advisors, LP
235 Pine St., San Francisco, CA 94104
Security(ies) — Limited partnership interests
Issuer of security(ies) — Belridge Energy Advisors, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Big Top Traveling Revival Company LLC, The
c/o Snug Harbor Productions, Inc., 165 W. 46th St., Suite 400, New
York, NY 10036
State or country in which incorporated — New York limited liability
company
Security(ies) — Limited liability company membership interests
Issuer of security(ies) — The Big Top Traveling Revival Company LLC
Address of issuer — Same as above
State or country in which organized — New York

Blackwater Capital Management, LLC
89 Headquarters Plaza N, Suite 313, Morristown, NJ 07960
Security(ies) — Limited liability company interests
Issuer of security(ies) — Blackwater Global Onshore Fund, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Brightline Capital Partners, LP
c/o Brightline GP, LLC, 275 Madison Ave., 4th Fl., New York, NY
10016
Security(ies) — Partnership interests
Issuer of security(ies) — Brightline Capital Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Catalyst Investors II, L.P.

711 Fifth Ave., Suite 402, New York, NY 10022

Security(ies) — Limited partnership interest*Issuer of security(ies)* — Catalyst Investors II, L.P.*Address of issuer* — Same as above*State or country in which organized* — Delaware

Catalyst Investors II QP, L.P.

711 Fifth Ave., Suite 402, New York, NY 10022

Security(ies) — Limited partnership interest*Issuer of security(ies)* — Catalyst Investors II QP, L.P.*Address of issuer* — Same as above*State or country in which organized* — Delaware

Charles Schwab & Co., Inc.

101 Montgomery St. / 101-7 MKT, San Francisco, CA 94104

State or country in which incorporated — Massachusetts*Security(ies)* — Shares of beneficial interest*Issuer of security(ies)* — Schwab Capital Trust—Schwab Retirement Income Fund*Address of issuer* — 101 Montgomery St., San Francisco, CA 94104*State or country in which organized* — Massachusetts

Charles Schwab & Co., Inc.

101 Montgomery St. / 101-7 MKT, San Francisco, CA 94104

State or country in which incorporated — Massachusetts*Security(ies)* — Shares of beneficial interest*Issuer of security(ies)* — Schwab Capital Trust—Schwab Target 2010 Fund*Address of issuer* — 101 Montgomery St., San Francisco, CA 94104*State or country in which organized* — Massachusetts

Charles Schwab & Co., Inc.

101 Montgomery St. / 101-7 MKT, San Francisco, CA 94104

State or country in which incorporated — Massachusetts*Security(ies)* — Shares of beneficial interest*Issuer of security(ies)* — Schwab Capital Trust—Schwab Target 2020 Fund*Address of issuer* — 101 Montgomery St., San Francisco, CA 94104*State or country in which organized* — Massachusetts

Charles Schwab & Co., Inc.

101 Montgomery St. / 101-7 MKT, San Francisco, CA 94104

State or country in which incorporated — Massachusetts*Security(ies)* — Shares of beneficial interest*Issuer of security(ies)* — Schwab Capital Trust—Schwab Target 2030 Fund*Address of issuer* — 101 Montgomery St., San Francisco, CA 94104*State or country in which organized* — Massachusetts

Charles Schwab & Co., Inc.

101 Montgomery St. / 101-7 MKT, San Francisco, CA 94104

State or country in which incorporated — Massachusetts*Security(ies)* — Shares of beneficial interest*Issuer of security(ies)* — Schwab Capital Trust—Schwab Target 2040 Fund*Address of issuer* — 101 Montgomery St., San Francisco, CA 94104*State or country in which organized* — Massachusetts

Chata Biosystems, Inc.

323 S. College Ave., Suite 5, Fort Collins, CO 80524

State or country in which incorporated — Colorado*Security(ies)* — Common stock*Issuer of security(ies)* — Chata Biosystems, Inc.*Address of issuer* — Same as above*State or country in which organized* — Colorado

China Mobility Solutions, Inc.

789 W. Pender St., Suite 900, Vancouver, BC, Canada V6C 1H2

State or country in which incorporated — Florida*Security(ies)* — Convertible debentures*Issuer of security(ies)* — China Mobility Solutions, Inc.*Address of issuer* — Same as above*State or country in which organized* — Florida

Citigroup Global Markets Inc.; (2) ICICI Securities Inc.; (3) Kotak Mahindra, Inc.

388 Greenwich St., New York, NY 10013; (2) 500 Fifth Ave., New York, NY 10110; (3) 50 Main St., White Plains, NY 10606

State or country in which incorporated — (1) New York; (2-3) Delaware*Security(ies)* — Global depositary receipts*Issuer of security(ies)* — Apollo Hospitals Enterprise Limited*Address of issuer* — Ali Towers, No. 55 Greaves Rd., Chennai 600 006, India*State or country in which organized* — India

Clarion Publishing, L.P.

19416 Park Row, Suite 190, Houston, TX 77084

Security(ies) — Limited partnership interests*Issuer of security(ies)* — Clarion Publishing, L.P.*Address of issuer* — Same as above*State or country in which organized* — Texas

Claymore Securities, Inc.

2455 Corporate West Dr., Lisle, IL 60532

State or country in which incorporated — Delaware*Security(ies)* — 10,000,000 units of undivided interest*Issuer of security(ies)* — Claymore Municipal Portfolio (2011-2015), Series 6*Address of issuer* — Same as above*State or country in which organized* — Kansas

Claymore Securities, Inc.

2455 Corporate West Dr., Lisle, IL 60532

State or country in which incorporated — Delaware*Security(ies)* — 10,000,000 units of undivided interest*Issuer of security(ies)* — Dow 10SM Portfolio (15-month), 3rd Quarter 2005*Address of issuer* — Same as above*State or country in which organized* — Kansas

Claymore Securities, Inc.

2455 Corporate West Dr., Lisle, IL 60532

State or country in which incorporated — Delaware*Security(ies)* — 10,000,000 units of undivided interest*Issuer of security(ies)* — Strategic Equity Allocation Trust, Series 4*Address of issuer* — Same as above*State or country in which organized* — Kansas

Coastal Banking Company, Inc.

36 W. Sea Island Pkwy., Beaufort, SC 29902

State or country in which incorporated — South Carolina

Security(ies) — Common stock
Issuer of security(ies) — Coastal Banking Company
Address of issuer — Same as above
State or country in which organized — South Carolina

Cohanzyck Absolute Return Partners, L.P.
 427 Bedford Rd., Suite 260, Pleasantville, NY 10570
Security(ies) — Limited partner interests
Issuer of security(ies) — Cohanzyck Absolute Return Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Commonwealth Associates, L.P.
 830 Third Ave., 8th Fl., New York, NY 10022
State or country in which incorporated — New York
Security(ies) — 10% convertible unsecured notes, and warrants
Issuer of security(ies) — Liquidmetal Technologies, Inc.
Address of issuer — 25800 Commercentre Dr., Suite 100, Lake Forest, CA 92630
State or country in which organized — Delaware

Contec Innovations Inc.
 Kingsway Corporate Centre, 301-2071 Kingsway Ave., Port Coquitlam, BC, Canada V3C 6N2
State or country in which incorporated — British Columbia
Security(ies) — Units (common share plus common share warrant)-June 2005
Issuer of security(ies) — Contec Innovations Inc.
Address of issuer — Same as above
State or country in which organized — British Columbia

FDP Series, Inc.
 P.O. Box 9011, Princeton, NJ 08543-9011
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Multi-Strategy Hedge Advantage
Address of issuer — Merrill Lynch Investment Managers LLC, 222 Broadway, 27th Fl., New York, NY 10038
State or country in which organized — Delaware

First Brandon Financial Corporation
 Two Park St., Bradon, VT 05733
State or country in which incorporated — Vermont
Security(ies) — Common stock
Issuer of security(ies) — First Bradon Financial Corporation
Address of issuer — Same as above
State or country in which organized — Vermont

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 989 (Income Allocation Closed-End Portfolio, 3rd Quarter 2005 Series)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1002 (Lehman Brothers 10 Uncommon Values®, 2005 Qualified Portfolio)
Address of issuer — Same as above
State or country in which organized — Illinois

Fivex, LLC
 34 Shorehaven Rd., Norwalk, CT 06855
Security(ies) — Limited liability company membership interests
Issuer of security(ies) — Fivex, LLC
Address of issuer — Same as above
State or country in which organized — Connecticut

Focus Enhancements, Inc.
 1370 Dell Ave., Campbell, CA 95008
State or country in which incorporated — Delaware
Security(ies) — Warrants
Issuer of security(ies) — Focus Enhancements, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Funds Distributor, Inc.
 60 State St., Boston, MA 02109
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Munder Series Trust — Munder Small-Mid Cap Fund
Address of issuer — One Exchange Place, Boston, MA 02109
State or country in which organized — Delaware

Goldman, Sachs & Co.
 85 Broad St., New York, NY 10004
State or country in which incorporated — New York
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Goldman Sachs Trust — Goldman Sachs Small/Mid -Cap Growth Fund
Address of issuer — 4900 Sears Tower, Chicago, IL 60606-6303
State or country in which organized — Delaware

Half Nelson, LLC
 375 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Series A preferred membership interests
Issuer of security(ies) — Half Nelson, LLC
Address of issuer — Same as above
State or country in which organized — New York

Harbert Emerging Markets Offshore Fund, Ltd.
 Harbert Emerging Markets Offshore Fund, Ltd., c/o International Fund Services (Ireland) Limited, Third Fl., Bishop's Sq., Redmond's Hill, Dublin 2, Ireland
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares, par value \$0.01 (U.S.) per share
Issuer of security(ies) — Harbert Emerging Markets Offshore Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Harbert Emerging Markets Fund, L.P.
 555 Madison Ave., 16th Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Harbert Emerging Markets Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Hudson Trading Partners I, LP
 208 E. 51st St., Suite 390, New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Hudson Trading Partners I, LP
Address of issuer — Same as above
State or country in which organized — Delaware

ICV Partners II, L.P.

666 Third Ave., 29th Fl., New York, NY 10017

Security(ies) — Limited partnership interests*Issuer of security(ies)* — ICV Partners II, L.P.*Address of issuer* — Same as above*State or country in which organized* — Delaware

Innovive Pharmaceuticals, Inc.

555 Madison Ave., 25th Fl., New York, NY 10022

State or country in which incorporated — Delaware*Security(ies)* — Senior convertible promissory notes with warrants to purchase common stock*Issuer of security(ies)* — Innovive Pharmaceuticals, Inc.*Address of issuer* — Same as above*State or country in which organized* — Delaware

Ira & Abby Films, LLC

666 West End Ave., #8, New York, NY 10025

State or country in which incorporated — New York*Security(ies)* — Series A preferred membership interests*Issuer of security(ies)* — Ira & Abby Films, LLC*Address of issuer* — Same as above*State or country in which organized* — New York

Jacobs Entertainment, Inc.

240 Main St., Black Hawk, CO 80422

State or country in which incorporated — Delaware*Security(ies)* — 11⁷/₈% senior secured notes due 2009*Issuer of security(ies)* — Jacobs Entertainment, Inc.*Address of issuer* — Same as above*State or country in which organized* — Delaware

JLH Offshore Ltd.

Citico Fund Services (Cayman Islands) Limited, Corporate Centre, W.

Bay Rd., P.O. Box 31106 SMB, Grand Cayman, Cayman Islands

Security(ies) — Redeemable shares*Issuer of security(ies)* — JHL Offshore Ltd.*Address of issuer* — Same as above*State or country in which organized* — Cayman Islands

Joho Asia Growth Partners, L.P.

55 E. 59th St., 15th Fl., New York, NY 10022

Security(ies) — Limited partnership interests*Issuer of security(ies)* — Joho Asia Growth Partners, L.P.*Address of issuer* — Same as above*State or country in which organized* — Delaware

Lord Abnett Distributor, LLC

90 Hudson St., Jersey City, NJ 07302

State or country in which incorporated — New York limited liability company*Security(ies)* — Lord Abnett Alpha Strategy Fund*Issuer of security(ies)* — Lord Abnett Securities Trust*Address of issuer* — Same as above*State or country in which organized* — Delaware

Lord Abnett Distributor, LLC

90 Hudson St., Jersey City, NJ 07302

State or country in which incorporated — New York limited liability company*Security(ies)* — Lord Abnett Balanced Strategy Fund*Issuer of security(ies)* — Lord Abnett Investment Trust*Address of issuer* — Same as above*State or country in which organized* — Delaware

Matrix Capital Group, Inc.

630-A Fitzwatertown Rd., 2nd Fl., Willow Grove, PA 19090-1904

State or country in which incorporated — New York*Security(ies)* — Shares of beneficial interest*Issuer of security(ies)* — Blue and White Funds Trust*Address of issuer* — Same as above*State or country in which organized* — New York

Meridian Diversified Fund, Ltd.

c/o Olympia Capital (Cayman) Limited, Williams House, 20 Reid St., Hamilton HM 11, Bermuda

State or country in which incorporated — Cayman Islands*Security(ies)* — Ordinary shares*Issuer of security(ies)* — Meridian Diversified Fund, Ltd.*Address of issuer* — Same as above*State or country in which organized* — Cayman Islands

Merrill Lynch, Pierce, Fenner & Smith Incorporated ("Merrill Lynch");

(2) Friedman, Billings, Ramsey & Co., Inc. ("FBR")

Four World Financial Center, 25th Fl., New York, NY 10080; (2) 1001 19th St. N, Arlington, VA 22209

State or country in which incorporated — (1-2) Delaware*Security(ies)* — Common stock*Issuer of security(ies)* — MortgageIT Holdings, Inc.*Address of issuer* — 33 Maiden Lane, New York, NY 10038*State or country in which organized* — Maryland

Merriman Curhan Ford & Co.

600 California St., 9th Fl., San Francisco, CA 94108

State or country in which incorporated — California*Security(ies)* — Common stock*Issuer of security(ies)* — National Lampoon, Inc.*Address of issuer* — 10850 Wilshire Blvd., Suite 1000, Los Angeles, CA 90024*State or country in which organized* — Delaware

Monitor Coinvestors II, LP

Two Canal Park, Cambridge, MA 02141

State or country in which incorporated — Delaware*Security(ies)* — Limited partnership interests*Issuer of security(ies)* — Monitor Coinvestors II, LP*Address of issuer* — Same as above*State or country in which organized* — Delaware

Monitor MBG ESC, LP

Two Canal Park, Cambridge, MA 02141

State or country in which incorporated — Delaware*Security(ies)* — Limited partnership interests*Issuer of security(ies)* — Monitor MBG ESC, LP*Address of issuer* — Same as above*State or country in which organized* — Delaware

Montchanin Value Fund II LP

Two Station Way, Chadds Ford, PA 19317

Security(ies) — Limited partnership interests*Issuer of security(ies)* — Montchanin Value Fund II LP*Address of issuer* — Same as above*State or country in which organized* — Delaware

Cayman, Cayman Islands, B.W.I.
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares, par value \$0.01 (U.S.) per share
Issuer of security(ies) — Nyes Ledge Capital Offshore Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

OnFiber Communications, Inc.
 11921 N. Mopac Expwy., Suite 100, Austin, TX 78759
State or country in which incorporated — Delaware
Security(ies) — Series D preferred stock
Issuer of security(ies) — OnFiber Communications, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

OnFiber Communications, Inc.
 11921 N. Mopac Expwy., Suite 100, Austin, TX 78759
State or country in which incorporated — Delaware
Security(ies) — Stock options
Issuer of security(ies) — OnFiber Communications, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Primera Biosystems, Inc.
 One Richmond Sq., Providence, RI 02906
State or country in which incorporated — Delaware
Security(ies) — Series A-1 convertible preferred stock
Issuer of security(ies) — Primera Biosystems, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

RedTech QQQQ Fund LP, The
 110 Wall St., New York, NY 10005
Security(ies) — Limited partnership interests
Issuer of security(ies) — The RedTech QQQQ Fund LP
Address of issuer — Same as above
State or country in which organized — Delaware

Research Affiliates Capital, Ltd.
 c/o Walkers SPV Limited, P.O. Box 908GT, Walker House, Mary St.,
 George Town, Grand Cayman, Cayman Islands
Security(ies) — Interests
Issuer of security(ies) — Research Affiliates Capital, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Research Affiliates Fundamental Index – International, L.P.
 800 E. Colorado Blvd., Suite 870, Pasadena, CA 91101
Security(ies) — Interests
Issuer of security(ies) — Research Affiliates Fundamental Index –
 International, L.P.
Address of issuer — Same as above
State or country in which organized — California

Rosemont Opportunities Fund, L.P.
 150 Broadway, Suite 2101, New York, NY 10038
Security(ies) — Limited partnership interests
Issuer of security(ies) — Rosemont Opportunities Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Royce Fund, The - Royce Select Fund II
 1414 Avenue of the Americas, New York, NY 10019

Security(ies) — Shares of beneficial interest - investment class
Issuer of security(ies) — The Royce Fund - Royce Select Fund II
Address of issuer — Same as above
State or country in which organized — Delaware

Royce Fund, The - Royce Select Fund III
 1414 Avenue of the Americas, New York, NY 10019
Security(ies) — Shares of beneficial interest - investment class
Issuer of security(ies) — The Royce Fund - Royce Select Fund III
Address of issuer — Same as above
State or country in which organized — Delaware

SEI Investments Distribution Co.
 One Freedom Valley Rd., Oaks, PA 19456
State or country in which incorporated — Pennsylvania
Security(ies) — Units of beneficial interest
Issuer of security(ies) — The Advisors' Inner Circle Fund II — UCM
 Institutional Money Market Fund
Address of issuer — 101 Federal St., Boston, MA 02110
State or country in which organized — Massachusetts

Selectinvest Invest Arbitrage/Relative Value LP
 30 Rockefeller Plaza, Suite 2800, New York, NY 10112
Security(ies) — Limited partnership interests
Issuer of security(ies) — Selectinvest Arbitrage/Relative Value LP
Address of issuer — Same as above
State or country in which organized — Delaware

Selectinvest Institutional Arbitrage/Relative Value Ltd.
 c/o BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate
 Center, 27 Hospital Rd., P.O. Box 1748GT, George Town, Grand
 Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares
Issuer of security(ies) — Selectinvest Institutional Arbitrage/Relative
 Value Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Selectinvest MultiStrategy LP
 30 Rockefeller Plaza, Suite 2800, New York, NY 10112
Security(ies) — Limited partnership interests
Issuer of security(ies) — Selectinvest MultiStrategy LP
Address of issuer — Same as above
State or country in which organized — Delaware

Selectinvest PLUS 1.25X LP
 30 Rockefeller Plaza, Suite 2800, New York, NY 10112
Security(ies) — Limited partnership interests
Issuer of security(ies) — Selectinvest PLUS 1.25X LP
Address of issuer — Same as above
State or country in which organized — Delaware

Selectinvest PLUS 1.50X LP
 30 Rockefeller Plaza, Suite 2800, New York, NY 10112
Security(ies) — Limited partnership interests
Issuer of security(ies) — Selectinvest PLUS 1.50X LP
Address of issuer — Same as above
State or country in which organized — Delaware

Selectinvest PLUS 2.00X LP
 30 Rockefeller Plaza, Suite 2800, New York, NY 10112
Security(ies) — Limited partnership interests

Issuer of security(ies) — Selectinvest PLUS 2.00X LP
Address of issuer — Same as above
State or country in which organized — Delaware

SLG Land Investments, L.P.
 818 Washington St., Suite 204, Wilmington, DE 19801
Security(ies) — Class A limited partnership interests
Issuer of security(ies) — SLG Land Investments, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Sonostar Capital Partners, LLC
 191 King St., Chappaqua, NY 10514
Security(ies) — Limited liability company interests
Issuer of security(ies) — Sonostar Capital Partners, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Spinnerhawk Natural Resources Fund, L.P.
 200 Crescent Ct., Suite 1030, Dallas, TX 75201
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interests
Issuer of security(ies) — Spinnerhawk Natural Resources Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Texas Securities Partners, Inc.
 740 Lexington Dr., Bldg. B, Plano, TX 75075
State or country in which incorporated — Texas
Security(ies) — General partnership interests
Issuer of security(ies) — Northstar Las Raices Multiwell Prospect Joint Venture
Address of issuer — 740 Lexington Dr., Bldg. B, Plano, TX 75075
State or country in which organized — Texas

Thrivent Investment Management, Inc.
 625 Fourth Ave. S, Minneapolis, MN 55415
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Thrivent Mutual Funds — Thrivent Aggressive Allocation Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Thrivent Investment Management, Inc.
 625 Fourth Ave. S, Minneapolis, MN 55415
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Thrivent Mutual Funds — Thrivent Moderate Allocation Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Thrivent Investment Management, Inc.
 625 Fourth Ave. S, Minneapolis, MN 55415
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Thrivent Mutual Funds — Thrivent Moderately Aggressive Allocation Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Thrivent Investment Management, Inc.
 625 Fourth Ave. S, Minneapolis, MN 55415
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Thrivent Mutual Funds — Thrivent Moder-

ately Conservative Allocation Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Thrivent Investment Management, Inc.
 625 Fourth Ave. S, Minneapolis, MN 55415
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Thrivent Mutual Funds — Thrivent Partner Mid Cap Value Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Thrivent Investment Management, Inc.
 625 Fourth Ave. S, Minneapolis, MN 55415
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Thrivent Mutual Funds — Thrivent Partner Small Cap Growth Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Thrivent Investment Management, Inc.
 625 Fourth Ave. S, Minneapolis, MN 55415
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Thrivent Mutual Funds — Thrivent Real Estate Securities Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Triangle Old Bay Holdings, LLC
 714 N. Fort Harrison Ave., Clearwater, FL 33755
State or country in which incorporated — Florida
Security(ies) — Limited liability company interests
Issuer of security(ies) — Triangle Old Bay Holdings, LLC
Address of issuer — Same as above
State or country in which organized — Florida

Valentis, Inc.
 863A Mitten Rd., Burlingame, CA 94010
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Valentis, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Valentis, Inc.
 863A Mitten Rd., Burlingame, CA 94010
State or country in which incorporated — Delaware
Security(ies) — Warrants to purchase common stock
Issuer of security(ies) — Valentis, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Zedd Partners, LP
 575 Madison Ave., 10th Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Zedd Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware