

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

AD PathLabs Holdings, Inc.
1601 Dove St., Suite 105, Newport Beach, CA 92660
State or country in which incorporated — Delaware

Arlington Capital Partners II, L.P.
c/o Arlington Capital Group, 600 New Hampshire Ave., NW, Suite 660,
Washington, DC 20037
Partnership — Arlington Capital Group II, L.L.C.

Badon Hill Quest Partners, LP
277 Cognewaugh Rd., Cos Cob, CT 06807
Partnership — Badon Hill Asset Management, LLC

BITSHELTER, LLC
25 N. Moore St., #5B, New York, NY 10013
State or country in which incorporated — Delaware

Bluegrass Partners I, LLC
104 W. Front St., Monroe, MI 48161
State or country in which incorporated — Michigan

CardiacAssist, Inc.
240 Alpha Dr., Pittsburgh, PA 15238
State or country in which incorporated — Delaware

CHARLOTTE INVESTMENT FUND PARTNERS, L.P.
400 N. Church St., Suite 203, Charlotte, NC 28202
Partnership — RGA FORDE WEALTH MANAGEMENT, L.L.C.

Corporate Responsibility Long/Short Equity Fund Ltd., The
c/o Citigroup Fund Services (Bermuda) Ltd., Washington Mall I, 3rd
Fl., 22 Church St., Hamilton HM11, Bermuda
State or country in which incorporated — Bermuda

Dunham & Associates Investment Counsel, Inc.
123 Camino de la Reina, San Diego, CA 92108
State or country in which incorporated — California

Eastside Institutional Partners I, L.P.
207 E. Side Sq., Huntsville, AL 35801
Partnership — Eastside Partners GP I, LLC

Emrise Corporation
9485 Haven Ave., Suite 100, Rancho Cucamonga, CA 91730
State or country in which incorporated — Delaware

Federal Farm Credit Bank Funding Corporation
10 Exchange Place, Suite 1401, Jersey City, NJ 07302
State or country in which incorporated — United States

Four Corners Sentinel Fund, L.P.
515 S. Flower St., Suite 4310, Los Angeles, CA 90071
Partnership — FC Sentinel, LLC

Four Corners Sentinel Fund, Ltd.
c/o Walkers SPV Limited, P.O. Box 908GT, Walker House, Mary St.,
George Town, Grand Cayman, Cayman Islands, B.W.I.
State or country in which incorporated — Cayman Islands

Fox & Company Investments Inc.
5080 N. 40th St., #103, Phoenix, AZ 85018
State or country in which incorporated — Arizona

Franklin Value Investors Trust— Franklin Midcap Value Fund
c/o Legal-Blue Sky Department, One Franklin Pkwy., San Mateo, CA
94403-1906
State or country in which incorporated — Massachusetts

Gabelli Umbrella Fund, L.P., The
One Corporate Center, Rye, NY 10580-1422
Partnership — Gabelli & Partners, LLC

GRT R2 Multi-Strategy Fund, L.P.
50 Milk St., 21st Fl., Boston, MA 02109
Partnership — GRT R2 Multi-Strategy Fund GP, L.L.C.

Hillsdale Canadian Long/Shore Equity Fund LLC
c/o Admiral Administration Ltd., P.O. Box 32021 SMB, Anchorage
Centre, Grand Cayman, Cayman Islands
State or country in which incorporated — Delaware

iMedica Corporation
2250 Charleston Rd., Mountain View, CA 94043
State or country in which incorporated — California

Integrent Incorporated
325 W. Huron St., #500, Chicago, IL 60610
State or country in which incorporated — Delaware

Laeroc 2005-2006 Income Fund, L.P.
2447 Pacific Coast Hwy., Suite 201, Hermosa Beach, CA 90254
Partnership — Laeroc Partners, Inc.

LBC Credit Partners, L.P.
Two Greenville Crossing, 4005 Kennet Pike, Suite 220, Greenville, DE
19807
Partnership — LBC Credit Funding, L.P.

Logos Global Fund, L.P.
650 5th Ave., 5th Fl., New York, NY 10019
Partnership — Quix Partners LLC

Manhattan Maritime Enterprises, Inc.
645 5th Ave., New York, NY 10022
State or country in which incorporated — Delaware

Melville SC, LLC
1895 Walt Whitman Rd., Melville, NY 11747-3063
State or country in which incorporated — New York

Mezzacappa Partners, LLC
630 5th Ave., Suite 2600, New York, NY 10111

MWAM Distributors, LLC
11766 Wilshire Blvd., Suite 1580, Los Angeles, CA 90025

National Securities Corporation
875 N. Michigan Ave., Suite 1560, Chicago, IL 60611
State or country in which incorporated — Washington

Navika Capital Group LLC
1055 Stewart Ave., Suite #4, Bethpage, NY 11714
State or country in which incorporated — New York limited liability company

New Chapter, Inc.
22 High St., Brattleboro, VT 05301
State or country in which incorporated — Delaware

Oracle L Fund, Ltd.
c/o Prime Management Limited, Mechanics Bldg., 12 Church St.,
Hamilton HM 11 Bermuda
State or country in which incorporated — Cayman Islands

Palo Alto Healthcare Fund, L.P.
470 University Ave., Palo Alto, CA 94301
Partnership — Palo Alto Investors, LLC

Pershing Square Investment III, L.P.
110 E. 42nd St., 18th Fl., New York, NY 10017
Partnership — Pershing Square Holdings GP, LLC

Pinnacle Opportunity, Ltd.
c/o BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate
Centre, 27 Hospital Rd., George Town, Grand Cayman, Cayman
Islands, B.W.I.
State or country in which incorporated — Cayman Islands

Principal Investors Fund, Inc.—Equity Income Fund
The Principal Financial Group, Des Moines, Iowa 50392-0200
State or country in which incorporated — Maryland

Principal Investors Fund, Inc.—Tax-Exempt Bond Fund
The Principal Financial Group, Des Moines, Iowa 50392-0200
State or country in which incorporated — Maryland

Private Capital Solutions Fund I, L.P.
312 Walnut St., Suite 1600, Cincinnati, OH 45202
State or country in which incorporated — Ohio limited liability
company

QuadraPoint Acquisition Corp.
900 N. Michigan Ave., Chicago, IL 60606
State or country in which incorporated — Delaware

RCP Balanced Fund, LP
301 W. 57th St., Suite 38C, New York, NY 10019
Partnership — RCP General Partner, LLC

REARDEN COMMERCE, INC.
1400 Fashion Island Blvd., Suite 150, San Mateo, CA 94404
State or country in which incorporated — California

Sahale Snacks, Inc.
P.O. Box 9345, Seattle, WA 98109
State or country in which incorporated — Delaware

Sailfish Multi-Strategy Fixed Income Fund (G2), Ltd
c/o GlobeOp Financial Services (Cayman) Limited, Walker House, 87
Mary St., George Town, Grand Cayman, Cayman Islands, BWI
Partnership — Sailfish Multi-Strategy Fixed Income Fund (G2), Ltd

SIENA SQUARE PARTNERS LLC
91 Smith Ave., Mount Kisco, NY 10549
State or country in which incorporated — New York limited liability
company

SJA Real Estate LLC
84th St., Unit 10B, New York, NY 10028

SRKP 3, INC.
1900 Avenue of the Stars, Suite 301, Los Angeles, CA 90067
State or country in which incorporated — Delaware

SSI Blended Market-Neutral, L.P.
357 N. Canon Dr., Beverly Hills, CA 90210
Partnership — SSI Investment Management Inc.

Tall Pines Drilling Program
6330 LBJ Freeway, Suite 137, Dallas, TX 75244-6467

Tempo FX Fund, L.P., The
c/o R.J. O'Brien Alternative Asset Management, Inc., 222 S. Riverside
Plaza, Suite 900, Chicago, IL 60606
Partnership — R. J. O'Brien Alternative Asset Management, Inc.

Templeton Global Investment Trust—Templeton Income Fund
c/o Legal/Blue Sky Department, One Franklin Pkwy., San Mateo, CA
94403-1906
State or country in which incorporated — Delaware

Tiger Select Opportunity Fund, LP
1414 Raleigh Rd., Suite 445, Chapel Hill, NC 27517
Partnership — Tiger Select Fund Management, LLC

Tiger Select Opportunity Offshore Fund, Ltd.
c/o BISYS Hedge Fund Services (Cayman) Limited, 27 Hospital Rd.,
P.O. Box 1748 GT, Cayman Corporate Centre, George Town, Grand

Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands

US EURO Securities, Inc.
 275 Madison Ave., 6th Fl., New York, NY 10016
State or country in which incorporated — California

VERTICAL CAPITAL PARTNERS, INC.
 488 Madison Ave., New York, NY 10022
State or country in which incorporated — Delaware

Vikarta LLC
 2303 Camino Ramon, Suite 260, San Ramon, CA 94583

VILLAGEEDOCs
 14471 Chambers Rd., Suite 1035, Tustin, CA 92780
State or country in which incorporated — California

Vizable Corporation
 431 King St. W, 6th Fl., Toronto, Ontario, CA M5V 1K4
State or country in which incorporated — Canada

Wachovia Bank, N.A.
 401 S. Tryon St., TH3, Charlotte, NC 28288
State or country in which incorporated — Delaware

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
 [Art. 23-A, §359-e(8), as amended]

SECURITIES BY DEALERS; BROKERS; SYNDICATE MANAGERS

Access Worldwide Communications, Inc.
 4950 Communication Ave., Suite 300, Boca Raton, FL 33431
State or country in which incorporated — Delaware
Security(ies) — Convertible notes
Issuer of security(ies) — Access Worldwide Communications, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

AD PathLabs Holdings, Inc.
 1601 Dove St., Suite 105, Newport Beach, CA 92660
State or country in which incorporated — Delaware
Security(ies) — 8% convertible notes with warrants
Issuer of security(ies) — AD PathLabs Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Arlington Capital Partners II, L.P.
 c/o Arlington Capital Group, 600 New Hampshire Ave. NW, Suite 660,
 Washington, DC 20037
Security(ies) — Limited partnership interests
Issuer of security(ies) — Arlington Capital Partners II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Badon Hill Quest Partners, LP
 377 Cognewaugh Rd., Cos Cob, CT 06807
Security(ies) — Limited partnership interests

Issuer of security(ies) — Badon Hill Quest Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Banc of America Securities LLC
 Hearst Tower, 214 N. Tryon St., NC1-027-21-04, Charlotte, NC 28255
State or country in which incorporated — Delaware limited liability
 company
Security(ies) — Auto loan asset backed notes, class A-1
Issuer of security(ies) — Banc of America Securities Auto Trust 2005-
 WF1
Address of issuer — c/o Wilmington Trust Company, 1100 N. Market
 St., Rodney Sq. N, Wilmington, DE 19890-0001
State or country in which organized — Delaware

Banc of America Securities LLC
 Hearst Tower, 214 N. Tryon St., NC1-027-21-04, Charlotte, NC 28255
State or country in which incorporated — Delaware limited liability
 company
Security(ies) — Auto loan asset backed notes, class A-2
Issuer of security(ies) — Banc of America Securities Auto Trust 2005-
 WF1
Address of issuer — c/o Wilmington Trust Company, 1100 N. Market
 St., Rodney Sq. N, Wilmington, DE 19890-0001
State or country in which organized — Delaware

Banc of America Securities LLC
 Hearst Tower, 214 N. Tryon St., NC1-027-21-04, Charlotte, NC 28255
State or country in which incorporated — Delaware limited liability
 company
Security(ies) — Auto loan asset backed notes, class A-3
Issuer of security(ies) — Banc of America Securities Auto Trust 2005-
 WF1
Address of issuer — c/o Wilmington Trust Company, 1100 N. Market
 St., Rodney Sq. N, Wilmington, DE 19890-0001
State or country in which organized — Delaware

Banc of America Securities LLC
 Hearst Tower, 214 N. Tryon St., NC1-027-21-04, Charlotte, NC 28255
State or country in which incorporated — Delaware limited liability
 company
Security(ies) — Auto loan asset backed notes, class A-4
Issuer of security(ies) — Banc of America Securities Auto Trust 2005-
 WF1
Address of issuer — c/o Wilmington Trust Company, 1100 N. Market
 St., Rodney Sq. N, Wilmington, DE 19890-0001
State or country in which organized — Delaware

Banc of America Securities LLC
 Hearst Tower, 214 N. Tryon St., NC1-027-21-04, Charlotte, NC 28255
State or country in which incorporated — Delaware limited liability
 company
Security(ies) — Auto loan asset backed notes, class B
Issuer of security(ies) — Banc of America Securities Auto Trust 2005-
 WF1
Address of issuer — c/o Wilmington Trust Company, 1100 N. Market
 St., Rodney Sq. N, Wilmington, DE 19890-0001
State or country in which organized — Delaware

Banc of America Securities LLC
 Hearst Tower, 214 N. Tryon St., NC1-027-21-04, Charlotte, NC 28255
State or country in which incorporated — Delaware limited liability
 company

Security(ies) — Auto loan asset backed notes, class C
Issuer of security(ies) — Banc of America Securities Auto Trust 2005-WF1
Address of issuer — c/o Wilmington Trust Company, 1100 N. Market St., Rodney Sq. N, Wilmington, DE 19890-0001
State or country in which organized — Delaware

Bitshelter, LLC
 25 N. Moore St., #5B, New York, NY 10013
State or country in which incorporated — Delaware
Security(ies) — Class C-1 units
Issuer of security(ies) — Bitshelter, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Bitshelter, LLC
 25 N. Moore St., #5B, New York, NY 10013
State or country in which incorporated — Delaware
Security(ies) — Class C-2 units
Issuer of security(ies) — Bitshelter, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

CardiacAssist, Inc.
 240 Alpha Dr., Pittsburgh, PA 15238
State or country in which incorporated — Delaware
Security(ies) — Shares of series H convertible participating preferred stock
Issuer of security(ies) — CardiacAssist, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Chariot Stable Asset Fund, LP
 1800 Century Park E, Suite 600, Los Angeles, CA 90067
Security(ies) — Limited partnership interests
Issuer of security(ies) — Chariot Stable Asset Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Charlotte Investment Fund Partners, L.P.
 400 N. Church St., Suite 203, Charlotte, NC 28202
Security(ies) — Limited partnership interest
Issuer of security(ies) — Charlotte Investment Fund Partners, L.P.
Address of issuer — Same as above
State or country in which organized — North Carolina

Claymore Securities, Inc.
 2455 Corporate West Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Dreman Contrarian Value Trust, Series 2
Address of issuer — Same as above
State or country in which organized — Kansas

Corporate Responsibility Long/Short Equity Fund Ltd., The
 c/o Citigroup Fund Services (Bermuda) Ltd., Washington Mall I, 3rd Fl., 22 Church St., Hamilton HM 11, Bermuda
Security(ies) — Participating shares
Issuer of security(ies) — The Corporate Responsibility Long/Short Equity Fund Ltd.
Address of issuer — Same as above
State or country in which organized — Bermuda

CP Baker Securities, Inc.
 303 Congress St., #301, Boston, MA 02210
Security(ies) — Promissory notes
Issuer of security(ies) — On-Card, Inc.
Address of issuer — 24 N. Swinton Ave., Delray Beach, FL 33444
State or country in which organized — Delaware

Design2Launch, Inc.
 One Dock St., Suite 500, Stamford, CT 06902
State or country in which incorporated — Delaware
Security(ies) — Series A-1 preferred
Issuer of security(ies) — Design2Launch, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Deutsche Bank Securities Inc.; (2) Merrill Lynch, Pierce, Fenner & Smith Incorporated
 60 Wall St., New York, NY 10005; (2) Four World Financial Center, New York, NY 10281
State or country in which incorporated — (1) & (2) Delaware
Security(ies) — __% senior notes due 2013
Issuer of security(ies) — CCM Merger Inc.
Address of issuer — 2211 Woodward Ave., Detroit, MI 48201
State or country in which organized — Michigan

Dunham & Associates Investment Counsel, Inc.
 123 Camino de la Reina, San Diego, CA 92108
State or country in which incorporated — California
Security(ies) — Units of beneficial interest - Dunham High-Yield Bond Fund, class C
Issuer of security(ies) — AdvisorOne Funds
Address of issuer — 150 Motor Pkwy., Hauppauge, NY 11788
State or country in which organized — Delaware

Dunham & Associates Investment Counsel, Inc.
 123 Camino de la Reina, San Diego, CA 92108
State or country in which incorporated — California
Security(ies) — Units of beneficial interest - Dunham High-Yield Bond Fund, class N
Issuer of security(ies) — AdvisorOne Funds
Address of issuer — 150 Motor Pkwy., Hauppauge, NY 11788
State or country in which organized — Delaware

Eastside Institutional Partners I, LP
 207 E. Side Sq., Huntsville, AL 35801
Security(ies) — Limited partnership interest
Issuer of security(ies) — Eastside Institutional Partners I, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Edgewater Growth Capital Partners, L.P.
 900 N. Michigan Ave., Suite 1860, Chicago, IL 60611
Security(ies) — Limited partnership interests
Issuer of security(ies) — Edgewater IV Management, LLC
Address of issuer — Same as above
State or country in which organized — United States

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 995 (Basic Materials Portfolio, Series 3)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 995 (Equity Income Portfolio, Series 8)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 995 (The Key 3 Portfolio, Series 8)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 995 (Market Leaders Portfolio, Series 10)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 995 (Utilities Portfolio, Series 10)
Address of issuer — Same as above
State or country in which organized — Illinois

Fixed Income Securities, L.P.
18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — Advisor's Disciplined Trust 40 (CPI Linked Floating Rate Note Portfolio, Series 1)
Address of issuer — Same as above
State or country in which organized — New York

Fixed Income Securities, L.P.
18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — Advisor's Disciplined Trust 45 (Monument Growth Strategy Portfolio, Series 3)
Address of issuer — Same as above
State or country in which organized — New York

Four Corners Sentinel Fund, L.P.
515 S. Flower St., Suite 4310, Los Angeles, CA 90071
State or country in which incorporated — Delaware
Security(ies) — Partnership interests
Issuer of security(ies) — Four Corners Sentinel Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Four Corners Sentinel Fund, Ltd.
c/o Walkers SPV Limited, P.O. Box 908GT, Walker House, Mary St., George Town, Grand Cayman, Cayman Islands, B.W.I.
State or country in which incorporated — Cayman Islands
Security(ies) — Non-voting participating shares
Issuer of security(ies) — Four Corners Sentinel Fund, Ltd.
Address of issuer — 515 S. Flower St., Suite 4310, Los Angeles, CA 90071
State or country in which organized — Cayman Islands

Franklin Value Investors Trust—Franklin Midcap Value Fund
c/o Legal-Blue Sky Department, One Franklin Pkwy., San Mateo, CA 94403-1906
State or country in which incorporated — Massachusetts
Security(ies) — Beneficial interest, no par value
Issuer of security(ies) — Franklin Value Investors Trust—Franklin Midcap Value Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Gabelli Umbrella Fund, L.P., The
One Corporate Center, Rye, NY 10580-1422
Security(ies) — Limited partnership interests
Issuer of security(ies) — The Gabelli Umbrella Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

GRT R2 Multi-Strategy Fund, L.P.
50 Milk St., 21st Fl., Boston, MA 02109
Security(ies) — Limited partnership interests
Issuer of security(ies) — GRT R2 Multi-Strategy Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

GWA, LLC
c/o George Weiss Associates, Inc., One State St., Hartford, CT 06103
Security(ies) — Membership interests in limited liability company
Issuer of security(ies) — GWA, LLC
Address of issuer — Same as above
State or country in which organized — Connecticut

Hillsdale Canadian Long/Short Equity Fund LLC
c/o Admiral Administration Ltd., P.O. Box 32021 SMB, Anchorage Centre, Grand Cayman, Cayman Islands
State or country in which incorporated — Delaware
Security(ies) — Class A units
Issuer of security(ies) — Hillsdale Canadian Long/Short Equity Fund LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Hillsdale Canadian Long/Short Equity Fund LLC
c/o Admiral Administration Ltd., P.O. Box 32021 SMB, Anchorage Centre, Grand Cayman, Cayman Islands
State or country in which incorporated — Delaware
Security(ies) — Class B units
Issuer of security(ies) — Hillsdale Canadian Long/Short Equity Fund LLC
Address of issuer — Same as above
State or country in which organized — Delaware

iMedica Corporation
2250 Charleston Rd., Mountain View, CA 94043
State or country in which incorporated — California
Security(ies) — Series B preferred stock
Issuer of security(ies) — iMedica Corporation
Address of issuer — Same as above
State or country in which organized — California

Integrent Incorporated
325 W. Huron St., #500, Chicago, IL 60610

State or country in which incorporated — Delaware
Security(ies) — Class B non-voting common stock
Issuer of security(ies) — Integrent Incorporated
Address of issuer — Same as above
State or country in which organized — Delaware

Johnathan Altman
 84th St., Unit 10B, New York, NY 10028
Security(ies) — Membership interests
Issuer of security(ies) — SJA Real Estate LLC
Address of issuer — Same as above
State or country in which organized — New York

Ladenburg Thalmann & Co. Inc.
 590 Madison Ave., 34th Fl., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Manhattan Maritime Enterprises, Inc.
Address of issuer — 645 Fifth Ave., New York, NY 10022
State or country in which organized — Delaware

Ladenburg Thalmann & Co. Inc.
 590 Madison Ave., 34th Fl., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Units (of common stock and warrants)
Issuer of security(ies) — Manhattan Maritime Enterprises, Inc.
Address of issuer — 645 Fifth Ave., New York, NY 10022
State or country in which organized — Delaware

Ladenburg Thalmann & Co. Inc.
 590 Madison Ave., 34th Fl., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Warrants
Issuer of security(ies) — Manhattan Maritime Enterprises, Inc.
Address of issuer — 645 Fifth Ave., New York, NY 10022
State or country in which organized — Delaware

Ladenburg Thalmann & Co. Inc.
 590 Madison Ave., 34th Fl., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — QuadraPoint Acquisition Corp.
Address of issuer — 900 N. Michigan Ave., Chicago, IL 60606
State or country in which organized — Delaware

Ladenburg Thalmann & Co. Inc.
 590 Madison Ave., 34th Fl., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Units (of common stock and warrants)
Issuer of security(ies) — QuadraPoint Acquisition Corp.
Address of issuer — 900 N. Michigan Ave., Chicago, IL 60606
State or country in which organized — Delaware

Ladenburg Thalmann & Co. Inc.
 590 Madison Ave., 34th Fl., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Warrants
Issuer of security(ies) — QuadraPoint Acquisition Corp.
Address of issuer — 900 N. Michigan Ave., Chicago, IL 60606
State or country in which organized — Delaware

Laeroc 2005-2006 Income Fund, L.P.
 2447 Pacific Coast Hwy., Suite 201, Hermosa Beach, CA 90254

Security(ies) — Limited partnership interests
Issuer of security(ies) — Laeroc 2005-2006 Income Fund, L.P.
Address of issuer — Same as above
State or country in which organized — California

Laeroc 2005-2006 Income Fund, L.P.
 2447 Pacific Coast Hwy., Suite 201, Hermosa Beach, CA 90254
Security(ies) — 8.5% unsecured notes
Issuer of security(ies) — Laeroc 2005-2006 Income Fund, L.P.
Address of issuer — Same as above
State or country in which organized — California

Lane Capital Markets, LLC
 263 Queens Grant Rd., Fairfield, CT 06824
State or country in which incorporated — Connecticut
Security(ies) — Common stock, par value \$0.01
Issuer of security(ies) — NOVAVAX, Inc.
Address of issuer — 508 Lapp Rd., Malvern, PA 19355
State or country in which organized — Delaware

LBC Credit Partners, L.P.
 Two Greenville Crossing, 4005 Kennet Pike, Suite 220, Greenville, DE 19807
Security(ies) — Limited partnership interests
Issuer of security(ies) — LBC Credit Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Legg Mason Income Trust, Inc.
 100 Light St., 29th Fl., Baltimore, MD 21202
Security(ies) — Institutional class shares (capital stock, par value \$0.001)
Issuer of security(ies) — Legg Mason Income Trust, Inc.—Legg Mason High Yield Portfolio
Address of issuer — Same as above
State or country in which organized — Maryland

Legg Mason Income Trust, Inc.
 100 Light St., 29th Fl., Baltimore, MD 21202
Security(ies) — Institutional class shares (capital stock, par value \$0.001)
Issuer of security(ies) — Legg Mason Income Trust, Inc.—Legg Mason Investment Grade Income Portfolio
Address of issuer — Same as above
State or country in which organized — Maryland

Legg Mason Income Trust, Inc.
 100 Light St., 29th Fl., Baltimore, MD 21202
Security(ies) — Institutional class shares (capital stock, par value \$0.001)
Issuer of security(ies) — Legg Mason Income Trust, Inc.—Legg Mason Limited Duration Bond Portfolio
Address of issuer — Same as above
State or country in which organized — Maryland

Logos Global Fund, L.P.
 650 Fifth Ave., 5th Fl., New York, NY 10019
Security(ies) — Limited partnership interests
Issuer of security(ies) — Logos Global Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Melville SC, LLC
 1895 Walt Whitman Rd., Melville, NY 11747-3063

State or country in which incorporated — New York
 Security(ies) — Class A and class B units
 Issuer of security(ies) — Melville SC, LLC
 Address of issuer — Same as above
 State or country in which organized — New York

Mezzacappa Partners, LLC
 630 Fifth Ave., Suite 2600, New York, NY 10111
 Security(ies) — Limited liability company membership interests
 Issuer of security(ies) — Mezzacappa Partners, LLC
 Address of issuer — Same as above
 State or country in which organized — Delaware

MWAM Distributors, LLC
 11766 Wilshire Blvd., Suite 1580, Los Angeles, CA 90025
 Security(ies) — Equity
 Issuer of security(ies) — MWAM Strategic Income Fund, Ltd.
 Address of issuer — Same as above
 State or country in which organized — California

National Securities Corporation
 875 N. Michigan Ave., Suite 1560, Chicago, IL 60611
 State or country in which incorporated — Washington
 Security(ies) — Common stock
 Issuer of security(ies) — Cardium Therapeutics, Inc.
 Address of issuer — 11620 El Camino Real, San Diego, CA 92130
 State or country in which organized — Delaware

Navika Capital Group LLC
 1055 Stewart Ave., Suite 4, Bethpage, NY 11714
 State or country in which incorporated — New York limited liability company
 Security(ies) — Limited liability company interests
 Issuer of security(ies) — Navika Capital Group LLC
 Address of issuer — Same as above
 State or country in which organized — New York

New Chapter, Inc.
 22 High St., Brattleboro, VT 05301
 Security(ies) — Series A preferred stock (shares)
 Issuer of security(ies) — New Chapter, Inc.
 Address of issuer — Same as above
 State or country in which organized — Delaware

Newport Asia Advisors Fund, LP
 601 California St., Suite 600, San Francisco, CA 94108
 Security(ies) — Limited partnership interests
 Issuer of security(ies) — Newport Asia Advisors Fund, LP
 Address of issuer — Same as above
 State or country in which organized — Delaware

Newport Asia Growth & Income Fund, LP
 601 California St., Suite 600, San Francisco, CA 94108
 Security(ies) — Limited partnership interests
 Issuer of security(ies) — Newport Asia Growth & Income Fund, LP
 Address of issuer — Same as above
 State or country in which organized — Delaware

Newport Asia Partners Fund, LP
 601 California St., Suite 600, San Francisco, CA 94108
 Security(ies) — Limited partnership interests
 Issuer of security(ies) — Newport Asia Partners Fund, LP
 Address of issuer — Same as above
 State or country in which organized — Delaware

Northeast Securities, Inc.
 Omni Bldg., Suite 706, 333 Earle Ovington Blvd., Mitchel Field, NY 11553
 State or country in which incorporated — New York
 Security(ies) — Units consisting of 8% convertible redeemable series 1 preferred
 Issuer of security(ies) — Wasabi Systems, Inc.
 Address of issuer — 500 E. Main St., Suite 1520, Norfolk, VA 23510
 State or country in which organized — Delaware

Nuveen Investments, LLC
 333 W. Wacker Dr., Chicago, IL 60606-1286
 State or country in which incorporated — Delaware
 Security(ies) — Shares of beneficial interest
 Issuer of security(ies) — Nuveen Investment Trust—Nuveen NWQ Global Value Fund
 Address of issuer — Same as above
 State or country in which organized — Massachusetts

Nuveen Investments, LLC
 333 W. Wacker Dr., Chicago, IL 60606-1286
 State or country in which incorporated — Delaware
 Security(ies) — Shares of beneficial interest
 Issuer of security(ies) — Nuveen Investment Trust—Nuveen NWQ Small-Cap Value Fund
 Address of issuer — Same as above
 State or country in which organized — Massachusetts

Nuveen Investments, LLC
 333 W. Wacker Dr., Chicago, IL 60606-1286
 State or country in which incorporated — Delaware
 Security(ies) — Shares of beneficial interest
 Issuer of security(ies) — Nuveen Investment Trust—Nuveen NWQ Value Opportunities Fund
 Address of issuer — Same as above
 State or country in which organized — Massachusetts

Okoboji Financial Services, Inc.
 1019 Hwy. 71S, Okoboji, IA 51355
 State or country in which incorporated — Iowa
 Security(ies) — Limited liability company memberships
 Issuer of security(ies) — Bluegrass Partners I, LLC
 Address of issuer — 104 W. Front St., Monroe, MI 48161
 State or country in which organized — Michigan

OppenheimerFunds Distributor, Inc.
 Two World Financial Center, 225 Liberty St., 11th Fl., New York, NY 10281-1008
 State or country in which incorporated — New York
 Security(ies) — Shares of beneficial interest, par value \$.001 per share (class N shares)
 Issuer of security(ies) — Oppenheimer Dividend Growth Fund
 Address of issuer — 6803 S. Tucson Way, Centennial, CO 80112-3924
 State or country in which organized — Massachusetts

OppenheimerFunds Distributor, Inc.
 Two World Financial Center, 225 Liberty St., 11th Fl., New York, NY 10281-1008
 State or country in which incorporated — New York
 Security(ies) — Shares of beneficial interest, par value \$.001 per share (class A shares)
 Issuer of security(ies) — Oppenheimer Quest For Value Funds (Series: Oppenheimer Small- and Mid-Cap Value Fund)

Address of issuer — 6803 S. Tucson Way, Centennial, CO 80112-3924
State or country in which organized — Massachusetts

OppenheimerFunds Distributor, Inc.
 Two World Financial Center, 225 Liberty St., 11th Fl., New York, NY 10281-1008

State or country in which incorporated — New York
Security(ies) — Shares of beneficial interest, par value \$.001 per share (class B shares)

Issuer of security(ies) — Oppenheimer Quest For Value Funds (Series: Oppenheimer Small- and Mid-Cap Value Fund)

Address of issuer — 6803 S. Tucson Way, Centennial, CO 80112-3924
State or country in which organized — Massachusetts

OppenheimerFunds Distributor, Inc.
 Two World Financial Center, 225 Liberty St., 11th Fl., New York, NY 10281-1008

State or country in which incorporated — New York
Security(ies) — Shares of beneficial interest, par value \$.001 per share (class C shares)

Issuer of security(ies) — Oppenheimer Quest For Value Funds (Series: Oppenheimer Small- and Mid-Cap Value Fund)

Address of issuer — 6803 S. Tucson Way, Centennial, CO 80112-3924
State or country in which organized — Massachusetts

OppenheimerFunds Distributor, Inc.
 Two World Financial Center, 225 Liberty St., 11th Fl., New York, NY 10281-1008

State or country in which incorporated — New York
Security(ies) — Shares of beneficial interest, par value \$.001 per share (class N shares)

Issuer of security(ies) — Oppenheimer Quest For Value Funds (Series: Oppenheimer Small- and Mid-Cap Value Fund)

Address of issuer — 6803 S. Tucson Way, Centennial, CO 80112-3924
State or country in which organized — Massachusetts

Oracle L Fund, Ltd.
 c/o Prime Management Limited, Mechanics Bldg., 12 Church St., Hamilton HM 11, Bermuda

State or country in which incorporated — Cayman Islands
Security(ies) — Common shares

Issuer of security(ies) — Oracle L Fund, Ltd.

Address of issuer — Same as above
State or country in which organized — Cayman Islands

Palo Alto Healthcare Fund, L.P.
 470 University Ave., Palo Alto, CA 94301
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interest
Issuer of security(ies) — Palo Alto Healthcare Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

PAULSON INVESTMENT COMPANY, INC.
 811 SW. Naito Pkwy., Suite 200, Portland, OR 97204
State or country in which incorporated — Colorado
Security(ies) — Units, each consisting of (i) 2 shares of common stock and (ii) 2 warrants
Issuer of security(ies) — ICOP DIGITAL, INC.
Address of issuer — 16801 W. 116th St., Lenexa, KS 66219
State or country in which organized — Colorado

Pershing Square Investment III, L.P.
 110 E. 42nd St., 18th Fl, New York, NY 10017

Security(ies) — Limited partnership interest
Issuer of security(ies) — Pershing Square Investment III, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Pinnacle Opportunity, Ltd.
 c/o BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate Centre, 27 Hospital Rd., George Town, Grand Cayman, Cayman Islands, B.W.I.

State or country in which incorporated — Cayman Islands

Security(ies) — Class A non-voting participating shares

Issuer of security(ies) — Pinnacle Opportunity, Ltd.

Address of issuer — Same as above
State or country in which organized — Cayman Islands

Pinnacle Opportunity, Ltd.
 c/o BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate Centre, 27 Hospital Rd., George Town, Grand Cayman, Cayman Islands, B.W.I.

State or country in which incorporated — Cayman Islands

Security(ies) — Class B non-voting participating shares

Issuer of security(ies) — Pinnacle Opportunity, Ltd.

Address of issuer — Same as above
State or country in which organized — Cayman Islands

Principal Investors Fund, Inc. - Bond & Mortgage Securities Fund
 The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland

Security(ies) — Common stock - class A

Issuer of security(ies) — Principal Investors Fund, Inc. - Bond & Mortgage Securities Fund

Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - Bond & Mortgage Securities Fund
 The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland

Security(ies) — Common stock - class B

Issuer of security(ies) — Principal Investors Fund, Inc. - Bond & Mortgage Securities Fund

Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - Disciplined LargeCap Blend Fund
 The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland

Security(ies) — Common stock - class A

Issuer of security(ies) — Principal Investors Fund, Inc. - Disciplined LargeCap Blend Fund

Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - Disciplined LargeCap Blend Fund
 The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland

Security(ies) — Common stock - class B

Issuer of security(ies) — Principal Investors Fund, Inc. - Disciplined LargeCap Blend Fund

Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - Diversified International Fund
 The Principal Financial Group, Des Moines, IA 50392-0200

State or country in which incorporated — Maryland
Security(ies) — Common stock - class A
Issuer of security(ies) — Principal Investors Fund, Inc. - Diversified International Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - Diversified International Fund
 The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - class B
Issuer of security(ies) — Principal Investors Fund, Inc. - Diversified International Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - Equity Income Fund
 The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - class A
Issuer of security(ies) — Principal Investors Fund, Inc. - Equity Income Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - Equity Income Fund
 The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - class B
Issuer of security(ies) — Principal Investors Fund, Inc. - Equity Income Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - Government Securities Fund
 The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - class A
Issuer of security(ies) — Principal Investors Fund, Inc. - Government Securities Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - Government Securities Fund
 The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - class B
Issuer of security(ies) — Principal Investors Fund, Inc. - Government Securities Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - High Quality Short-Term Bond Fund
 The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - class A
Issuer of security(ies) — Principal Investors Fund, Inc. - High Quality Short-Term Bond Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - Inflation Protection Fund
 The Principal Financial Group, Des Moines, IA 50392-0200

State or country in which incorporated — Maryland
Security(ies) — Common stock - class A
Issuer of security(ies) — Principal Investors Fund, Inc. - Inflation Protection Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - International Emerging Markets Fund
 The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - class A
Issuer of security(ies) — Principal Investors Fund, Inc. - International Emerging Markets Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - International Emerging Markets Fund
 The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - class B
Issuer of security(ies) — Principal Investors Fund, Inc. - International Emerging Markets Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - LargeCap Growth Fund
 The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - class A
Issuer of security(ies) — Principal Investors Fund, Inc. - LargeCap Growth Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - LargeCap Growth Fund
 The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - class B
Issuer of security(ies) — Principal Investors Fund, Inc. - LargeCap Growth Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - LargeCap S&P 500 Index Fund
 The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - class A
Issuer of security(ies) — Principal Investors Fund, Inc. - LargeCap S&P 500 Index Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - LargeCap Value Fund
 The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - class A
Issuer of security(ies) — Principal Investors Fund, Inc. - LargeCap Value Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - LargeCap Value Fund
 The Principal Financial Group, Des Moines, IA 50392-0200

State or country in which incorporated — Maryland
Security(ies) — Common stock - class A
Issuer of security(ies) — Principal Investors Fund, Inc. - Principal Life Time 2050 Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - Principal Life Time Strategic Income Fund
 The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - class A
Issuer of security(ies) — Principal Investors Fund, Inc. - Principal Life Time Strategic Income Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - Real Estate Fund
 The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - class A
Issuer of security(ies) — Principal Investors Fund, Inc. - Real Estate Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - Real Estate Fund
 The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - class B
Issuer of security(ies) — Principal Investors Fund, Inc. - Real Estate Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - SmallCap Blend Fund
 The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - class A
Issuer of security(ies) — Principal Investors Fund, Inc. - SmallCap Blend Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - SmallCap Blend Fund
 The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - class B
Issuer of security(ies) — Principal Investors Fund, Inc. - SmallCap Blend Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - SmallCap Value Fund
 The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - class A
Issuer of security(ies) — Principal Investors Fund, Inc. - SmallCap Value Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - SmallCap Value Fund
 The Principal Financial Group, Des Moines, IA 50392-0200

State or country in which incorporated — Maryland
Security(ies) — Common stock - class B
Issuer of security(ies) — Principal Investors Fund, Inc. - SmallCap Value Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - Tax-Exempt Bond Fund
 The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - class A
Issuer of security(ies) — Principal Investors Fund, Inc. - Tax-Exempt Bond Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investors Fund, Inc. - Tax-Exempt Bond Fund
 The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - class B
Issuer of security(ies) — Principal Investors Fund, Inc. - Tax-Exempt Bond Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Private Capital Solutions Fund I, L.P.
 312 Walnut St., Suite 1600, Cincinnati, OH 45202
Security(ies) — Limited partnership interests
Issuer of security(ies) — Private Capital Solutions Fund I, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Profile Technologies, Inc.
 Two Park Ave., Suite 201, Manhasset, NY 11030
State or country in which incorporated — Delaware
Security(ies) — Units consisting of common stock with warrant to purchase common stock
Issuer of security(ies) — Profile Technologies, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

RCP Balanced Fund, LP
 301 W. 57th St., Suite 38C, New York, NY 10019
Security(ies) — Limited partnership interests
Issuer of security(ies) — RCP Balanced Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

REARDEN COMMERCE, INC.
 1400 Fashion Island Blvd., Suite 150, San Mateo, CA 94404
State or country in which incorporated — California
Security(ies) — Series B-1 preferred stock
Issuer of security(ies) — REARDEN COMMERCE, INC.
Address of issuer — Same as above
State or country in which organized — California

Ryan Beck & Co.
 18 Columbia Tpke., 1st Fl., Florham Park, NJ 07932
State or country in which incorporated — New Jersey
Security(ies) — Common stock, \$0.01 par value
Issuer of security(ies) — Franklin Credit Management Corporation
Address of issuer — Six Harrison St., New York, NY 10013
State or country in which organized — Delaware

SAFLINK Corporation
777 - 108th Ave., Suite 2100, Bellevue, WA 98004
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — SAFLINK Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Sahale Snacks, Inc.
P.O. Box 9345, Seattle, WA 98109
State or country in which incorporated — Delaware
Security(ies) — Series A preferred stock
Issuer of security(ies) — Sahale Snacks, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Sailfish Multi-Strategy Fixed Income Fund (G2), Ltd
c/o GlobeOp Financial Services (Cayman) Limited, Walker House, 87
Mary St., George Town, Grand Cayman, Cayman Islands, BWI
Security(ies) — Common shares par value \$0.01 (U.S.) per share
Issuer of security(ies) — Sailfish Multi-Strategy Fixed Income Fund
(G2), Ltd
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Sandler O'Neill & Partners, L.P.
919 3rd Ave., 6th Fl., New York, NY 10022
State or country in which incorporated — Pennsylvania
Security(ies) — Common stock, \$0.01 par value per share
Issuer of security(ies) — TransCommunity Financial Corporation
Address of issuer — 4235 Innslake Dr., Glen Allen, VA 23060
State or country in which organized — Virginia

SIENA SQUARE PARTNERS LLC
91 Smith Ave., Mount Kisco, NY 10549
State or country in which incorporated — New York limited liability
company
Security(ies) — Limited liability company membership interests
Issuer of security(ies) — SIENA SQUARE PARTNERS LLC
Address of issuer — Same as above
State or country in which organized — New York

Sovereign-American Securities, Inc.
1016 Collier Center Way, Suite 100, Naples, FL 34110
State or country in which incorporated — Tennessee
Security(ies) — Units of trust beneficial interest
Issuer of security(ies) — Secure Growth America Trust Series IV
Address of issuer — Same as above
State or country in which organized — Florida

SPINE MOTION, LLC
505 Park Ave., 14th Fl., New York, NY 10022
Security(ies) — Class B preferred units \$1.48 per unit
Issuer of security(ies) — SPINE MOTION, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

SRKP 3, Inc.
1900 Avenue of the Stars, Suite 301, Los Angeles, CA 90067
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — SRKP 3, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

SSI Blended Market-Neutral, L.P.
357 N. Canon Dr., Beverly Hills, CA 90210
State or country in which incorporated — California
Security(ies) — Limited partner interests
Issuer of security(ies) — SSI Blended Market-Neutral, L.P.
Address of issuer — Same as above
State or country in which organized — California

Tall Pines Drilling Program
6330 LBJ Fwy., Suite 137, Dallas, TX 75244-6467
Security(ies) — Units of joint venture interest
Issuer of security(ies) — Tall Pines Drilling Program
Address of issuer — Same as above
State or country in which organized — Texas

Tempo FX Fund, L.P., The
c/o R. J. O'Brien Alternative Asset Management, Inc., 222 S. Riverside
Plaza, Suite 900, Chicago, IL 60606
Security(ies) — Class A interests
Issuer of security(ies) — The Tempo FX Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Illinois

Tempo FX Fund, L.P., The
c/o R. J. O'Brien Alternative Asset Management, Inc., 222 S. Riverside
Plaza, Suite 900, Chicago, IL 60606
Security(ies) — Class B interests
Issuer of security(ies) — The Tempo FX Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Illinois

Templeton Global Investment Trust—Templeton Income Fund
c/o Legal/Blue Sky Department, One Franklin Pkwy., San Mateo, CA
94403-1906
State or country in which incorporated — Delaware
Security(ies) — Beneficial interest no par value
Issuer of security(ies) — Templeton Global Investment Trust—
Templeton Income Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Tiger Select Opportunity Fund, LP
1414 Raleigh Rd., Suite 445, Chapel Hill, NC 27517
Security(ies) — Limited partnership interests
Issuer of security(ies) — Tiger Select Opportunity Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Tiger Select Opportunity Offshore Fund, Ltd.
c/o BISYS Hedge Fund Services (Cayman), Limited, 27 Hospital Rd.,
P.O. Box 1748 GT, Cayman Corporate Centre, George Town, Grand
Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares, par value \$0.01 (U.S.) per share
Issuer of security(ies) — Tiger Select Opportunity Offshore Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

UGO Networks, Inc.
670 Broadway, 2nd Fl., New York, NY 10012
State or country in which incorporated — Delaware
Security(ies) — Common stock (options)
Issuer of security(ies) — UGO Networks, Inc.

Address of issuer — Same as above
State or country in which organized — Delaware

US EURO Securities, Inc.
 275 Madison Ave., 6th Fl., New York, NY 10016
State or country in which incorporated — California
Security(ies) — General and limited partner interests in limited partnership
Issuer of security(ies) — Industry Partners Energy Fund I, L.P.
Address of issuer — 351 S. Sherman St., Suite 104, Richardson, TX 75081
State or country in which organized — Delaware

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Cohen & Steers Master Income Portfolio, Series 4
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Dividend Income & Value Portfolio 2005-3
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — The Dow Jones Select Dividend Index Strategy Portfolio 2005-3
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Enhanced Index Strategies Portfolio, 2005-3
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Focus Value Portfolio, Series 16
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Insured Income Trust, Series 132
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Insured Income Trust, Series 133
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Insured Municipals Income Trust, 100th Limited Maturity Series
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Investment Grade Municipal Trust, Series 56
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Preferred Securities Portfolio, Series 8
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Select Growth Trust, July 2005 Series
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Strategic Growth Large-Cap Portfolio 2005-3
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Strategic Mid-Cap Portfolio 2005-4
Address of issuer — Same as above
State or country in which organized — New York

VERTICAL CAPITAL PARTNERS, INC.
 488 Madison Ave., New York, NY 10022
Security(ies) — Up to 6,666,667 shares of common stock at \$.30 per share
Issuer of security(ies) — OCEAN WEST HOLDING CORP.

Address of issuer — 4117 W. 16 Square, Vero Beach, FL 32967
State or country in which organized — Delaware

Vikarta LLC
2303 Camino Ramon, Suite 260, San Ramon, CA 94583
Security(ies) — Class B membership units
Issuer of security(ies) — Vikarta LLC
Address of issuer — Same as above
State or country in which organized — Delaware

VILLAGEEDOCS
14471 Chambers Rd., Suite 1035, Tustin, CA 92780
State or country in which incorporated — California
Security(ies) — Convertible notes
Issuer of security(ies) — VILLAGEEDOCS
Address of issuer — Same as above
State or country in which organized — California

Vizable Corporation
431 King St., West, 6th Fl., Toronto, Ontario, Canada M5V 1K4
State or country in which incorporated — Canada
Security(ies) — Common shares
Issuer of security(ies) — Vizable Corporation
Address of issuer — Same as above
State or country in which organized — Canada

Wachovia Bank, N.A.
401 S. Tryon St., TH3, Charlotte, NC 28288
State or country in which incorporated — Delaware
Security(ies) — Partnership interests
Issuer of security(ies) — Evergreen Private Investment Funds - Absolute Return Fund, Accredited, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Windswept Environment Group, Inc.
100 Sweeneydale Ave., Bay Shore, NY 11706
State or country in which incorporated — Delaware
Security(ies) — Secured convertible term note, option to purchase 30,395,179 shares, warrant to purchase 13,750,000 shares, common stock, par value \$.0001 per share
Issuer of security(ies) — Windswept Environmental Group, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

