

# SECURITIES OFFERINGS

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## STATE NOTICES

Published pursuant to provisions of General Business Law  
[Art. 23-A, §359-e(2)]

## DEALERS; BROKERS

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### aAd Partners L.P.

420 Stevens Ave., Suite 210, Solana Beach, CA 92075  
*Partnership* — aAd Capital L.P.

### ABCD Systems Corporation

1585 Broadway, Suite 25-114, New York, NY 10036  
*State or country in which incorporated* — Delaware

### Adar Investments (Offshore) Ltd.

c/o Appleby Corporate Services (Cayman) Limited, Clifton House, 75  
Fort St., P.O. Box 1350 GT, Georgetown, Grand Cayman, Cayman  
Islands

### Aetna Partners Diversified Fund, LLC

29 S. Main St., West Hartford, CT 06107  
*State or country in which incorporated* — Delaware

### Allen Konrad Management, Corp.

1877 S. Federal Hwy., Suite 101, Boca Raton, FL 33432  
*State or country in which incorporated* — United States

### Allied Financial Advisors, Inc.

4700 N. Prospect Rd., #6, Peoria Heights, IL 61616-6466  
*State or country in which incorporated* — Wisconsin

### Alpha Fund (U.S.) L.P.

150 King St. W, Suite 2600, Toronto, Ontario, Canada M5H 1J9  
*Partnership* — Groundlayer Management Inc.

### Ampak Oil Company

801 E. Plano Pkwy., Suite 230, Plano, TX 75074  
*State or country in which incorporated* — Texas

### Apogee 88 Partners, LLC

11 S. Hampshire Ct., Wilmington, DE 19807  
*Partnership* — Robert Wong and Erik Warner

### Arden Opportunity Fund, Ltd.

c/o Queensgate Bank & Trust Co., Ltd., Harbour Place, S. Church St.,  
P.O. Box 30464 SMB, Grand Cayman, Cayman Islands, British West  
Indies  
*State or country in which incorporated* — Cayman Islands

### Argentum Resources, LLC

370 Seventeenth St., Suite 5000, Denver, CO 80202  
*State or country in which incorporated* — Colorado

### Argus Capital Management, L.P.

995 Old Eagle School Rd., Wayne, PA 19087  
*Partnership* — Odysseus Capital Management Ltd., general partner

### Atlas Futures Fund, Limited Partnership

5914 North 300 W, P.O. Box C, Fremont, IN 46737  
*Partnership* — Michael P. Pacult and Ashley Capital Management, Inc.

### Banco do Brasil Securities LLC

600 Fifth Ave., 3rd Fl., New York, NY 10020-2302  
*State or country in which incorporated* — New York

### Bedrock Investment Partnership, L.P.

140 Overleigh Rd., Bernardsville, NJ 07924  
*Partnership* — Bedrock Investment Management, LLC

### Berkshire Bank

1101 Woodland Rd., Wyomissing, PA 19610  
*State or country in which incorporated* — Pennsylvania

### Blue Ridge Group, Inc.

632 Adams St., Suite 700, Bowling Green, KY 42101  
*State or country in which incorporated* — Nevada

### Bluestone Ventures Inc.

1940 Old Yale Rd., Surrey, BC, Canada V3V 3X3  
*State or country in which incorporated* — Nevada

### Caledonian Energy Auction Partners VII, LLC

72 Deer Path, Dahlonega, GA 30533  
*State or country in which incorporated* — Georgia

### Caxton Global Investments (USA) LLC

731 Alexander Rd., Bldg. 2, Princeton, NJ 08540  
*Partnership* — Caxton Associates, L.L.C.

### Cbeyond Communications, Inc.

320 Interstate North Pkwy. SE, Suite 300, Atlanta, GA 30339  
*State or country in which incorporated* — Delaware

### Clean Technology Fund II, LP

One Embarcadero Center, Suite 4100, San Francisco, CA 94111  
*Partnership* — Expansion Capital Partners II, LLC

Clipper Windpower, Inc.  
6305 Carpinteria Ave., Carpinteria, CA 93013  
*State or country in which incorporated* — Delaware

Constellation Investment Distr. Co., Inc.  
1205 Westlakes Dr., Suite 100, Berwyn, PA 19312  
*State or country in which incorporated* — New York

CTC Fund, L.L.C.  
c/o CTC Fund Management, L.L.C., 141 W. Jackson Blvd., Suite 800,  
Chicago, IL 60604

CV 163 Investment Partners, L.P.  
160 Sansome St., 18th Fl., San Francisco, CA 94104  
*Partnership* — ChinaVest, Ltd.

Daon Holdings Limited  
One Capital Place, P.O. Box 1887GT, George Town, Grand Cayman,  
Cayman Islands, British West Indies

Dawson James Securities, Inc.  
6400 NW. 6th Way, Suite 310, Fort Lauderdale, FL 33309  
*State or country in which incorporated* — Florida

DG Power Systems, Inc.  
600 Roundhouse Rd., Lewistown, PA 17048  
*State or country in which incorporated* — Pennsylvania

D. Tanner #2 Development Prospect Ltd.  
3200 Broadway Blvd., #510, Garland, TX 75043  
*Partnership* — MultiTrust Securities Corp.

DIV TIV LLC  
c/o The Davis Companies, One Appleton St., Boston, MA 02116  
*Partnership* — Jonathan G. Davis, Paul R. Marcus and Brian H.  
Kavoogian

Dynasty Fund, L.P.  
268 XiZang Zhong Rd., Suite 2502, Shanghai 200001, China  
*Partnership* — Dynasty Management, LLC

EnerNOC, Inc.  
Two Faneuil Hall Marketplace, 3rd Fl., Boston, MA 02109  
*State or country in which incorporated* — Delaware

FastShip, Inc.  
1700 Market St., Suite 2720, Philadelphia, PA 19103  
*State or country in which incorporated* — Delaware

Gemini Indian Creek, LLC  
720 Fifth Ave., 12th Fl., New York, NY 10019

Gemini Indian Creek S, LLC  
720 Fifth Ave., 12th Fl., New York, NY 10019

Geode Capital Offshore Fund Ltd.  
c/o BISYS Hedge Fund Services Limited, Hemisphere House, Nine  
Church St., Hamilton HM11, Bermuda  
*State or country in which incorporated* — Bermuda

Geode Capital Partners LP  
53 State St., 5th Fl., Boston, MA 02109  
*Partnership* — Geode Capital Management LP, general partner

Granite Financial Group, Inc.; (2) Morgan Joseph & Co. Inc.  
12220 El Camino Real, Suite 400, San Diego, CA 92103; (2) 600 Fifth  
Ave., 19th Fl., New York, NY 10020  
*State or country in which incorporated* — (1) California; (2) Delaware

Gryphon Financial Securities Corp.  
400 Royal Palm Way, Suite 200, Palm Beach, FL 33840  
*State or country in which incorporated* — Delaware

GVR Company LLC  
440 S. LaSalle St., Suite 3030, Chicago, IL 60605

H2Energy Solutions Inc.  
820 Woodend Rd., P.O. Box 666, Stratford, CT 06615  
*State or country in which incorporated* — Nevada

Hemagen Diagnostics, Inc.  
9033 Red Branch Rd., Columbia, MD 21045  
*State or country in which incorporated* — Delaware

Hudson Biscayne Partners, L.P.  
c/o Hudson Biscayne Associates, L.P., 787 Seventh Ave., Suite 936,  
New York, NY 10019  
*Partnership* — Hudson Biscayne Associates, L.P.

Hudson Housing Los Angeles Revitalization Fund II LP  
630 Fifth Ave., 23rd Fl., New York, NY 10111  
*State or country in which incorporated* — Delaware

Hudson Northern California Housing Fund LP  
630 Fifth Ave., 23rd Fl., New York, NY 10111  
*State or country in which incorporated* — Delaware

ICON Leasing Fund Eleven, LLC  
100 Fifth Ave., New York, NY 10011  
*Partnership* — ICON Capital Corp.

ID Biomedical Corporation  
200 Burrard St., Vancouver, British Columbia, Canada V6C 3L6  
*State or country in which incorporated* — Canada

Insignia Systems, Inc.,  
6470 Sycamore Ct. N, Maple Grove, MN 55369  
*State or country in which incorporated* — Minnesota

International Automotive REIT, Inc.  
18 Margaret Dr., Ballston Spa, NY 12020  
*State or country in which incorporated* — New York

Janal Partnership, L.P. (2004-1)  
4213 Saint Paul Blvd., Rochester, NY 14617

JGB Capital L.P.  
% JGB Capital Management L.P., 660 Madison Ave., 21st Fl., New  
York, NY 10021  
*Partnership* — JGB Capital Management L.P.

Jetson Systems Corporation  
1801 S. Federal Hwy., Suite 100, Delray Beach, FL 33483  
*State or country in which incorporated* — Delaware

KBL Healthcare Acquisition Corp. II  
645 Madison Ave., 14th Fl., New York, NY 10022  
*State or country in which incorporated* — Delaware

## Malbin Securities Corp.

% Gettenberg Consulting, 65 Broadway, 10th Fl., New York, NY  
*State or country in which incorporated* — New York

## MCC Energy Group, Inc.

11585 S. State St., Suite 102, Draper, UT 84020  
*State or country in which incorporated* — Delaware

## Media Rights Capital, L.P.

The Chrysler Bldg., 405 Lexington Ave., 26th Fl., New York, NY 10174

*Partnership* — MRC G.P., L.P.

## Mercator Partners Acquisition Corp.

One Fountain Sq., 11911 Freedom Dr., Suite 1080, Reston, VA 20190  
*State or country in which incorporated* — Delaware

## Mercury Partners LP

2308 Camino Robledo, Carlsbad, CA 92009  
*Partnership* — GSG Capital Advisors, LLC

## Michel Capital Sustainable Advantage Fund, LP

253 E. 71st St., New York, NY 10021  
*Partnership* — Michel Capital Advisors, LLC

## Monarch Gold Exploration, Inc.

8117 N. Division St., Suite D, Spokane, WA 99218  
*State or country in which incorporated* — Washington

## NE Asia Value Offshore Partners, Ltd.

% Forum Fund Services Ltd., Washington Mall I, 22 Church St., Hamilton HM11, Bermuda  
*State or country in which incorporated* — Cayman Islands

## NE Asia Value Onshore Partners, LP

Parker Plaza, 400 Kelby St., Fort Lee, NJ 07024  
*Partnership* — NE Asia GP, LLC

## NewMarket Capital Partners Offshore Select Fund, Ltd.

1926 Arch St., Philadelphia, PA 19103  
*State or country in which incorporated* — British Virgin Islands

## Nexstar Developing Opportunities Offshore Fund, Ltd.

BISYS Alternative Investment Services, % Hedge Fund Administration, 245 Fifth Ave., 16th Fl., New York, NY 10016  
*State or country in which incorporated* — Cayman Islands

## Offman Securities LLC

265 E. 66th St., Suite 11C, New York, NY 10021  
*State or country in which incorporated* — New York

## OraMetrix, Inc.

2350 Campbell Creek Blvd., Suite 400, Richardson, TX 75082  
*State or country in which incorporated* — Delaware

## Paice LLC

6830 Elm St., McLean, VA 22101  
*State or country in which incorporated* — Delaware limited liability company

## Partner Institutional Fund, Ltd.

% Goldman Sachs (Cayman) Trust, Limited, P.O. Box 896, Harbour Centre, 2nd Fl., N. Church St., George Town, Grand Cayman, Cayman

## Islands, B.W.I.

*Partnership* — Christopher Bowring, Philip Carter and Ian Goodall

## Punk, Ziegel &amp; Company, L.P.

520 Madison Ave., New York, NY 10022  
*State or country in which incorporated* — Delaware

## Quadriga Capital Russia Private Equity Fund II L.P.

45 Esplanade, Third Fl., St. Helier, Jersey JE2 3QB, Channel Islands  
*Partnership* — Quadriga Capital Russia General Partner L.P.

## Quasar Group, Inc.

758 Las Palmas Dr., Irvine, CA 92602  
*State or country in which incorporated* — California

## Rancho Arden, L.P.

14903 Via LaSenda, Del Mar, CA 92014  
*Partnership* — Michel Kucinski and Howebout Arden, LLC

## RealManage Holdings, Inc.

12335 Hymeadow, Suite 300, Austin, TX 78750  
*State or country in which incorporated* — Delaware

## RedSky Asymmetric Opportunity Fund, LP

800 Nicollet Mall, 25th Fl., Minneapolis, MN 55402  
*Partnership* — RedSky Management, LLC

## Reef-Corpus Christi Bay Joint Venture

1901 N. Central Expy., Suite 300, Richardson, TX 75080  
*Partnership* — Reef Partners, LLC

## Rejuvenata, L.P.

140 E. 28th St., New York, NY 10016  
*Partnership* — Qishen, L.L.C., general partner

## Ridgewood Energy O Fund, LLC

947 Linwood Ave., Ridgewood, NJ 07450  
*State or country in which incorporated* — Delaware

## Rubato Japan Offshore Fund, Ltd.

% Citco Fund Services (Australia) Pty Ltd., Level 11, Seven Macquarie Place, Sydney NSW 2000, Australia  
*State or country in which incorporated* — Cayman Islands

## Savacor, Inc.

10780 Santa Monica Blvd., Suite 401, Los Angeles, CA 90025  
*State or country in which incorporated* — Delaware

## Scion Asian Opportunity Offshore Fund, Ltd.

% Walkers SPV Limited, P.O. Box 908GT, Walker House, Mary St., George Town, Grand Cayman, Cayman Islands

## Sealy Capital LLC

535 Madison Ave., Suite 800, New York, NY 10022

## Sheridan Square Entertainment, Inc.

130 Fifth Ave., 7th Fl., New York, NY 10011  
*State or country in which incorporated* — Delaware

## Southwest Quarter Holdings, LLC

P.O. Box 2888, Savannah, GA 31402  
*State or country in which incorporated* — Delaware

Stadium Relative Value Partners, L.P.  
19785 Village Office Ct., Suite 101, Bend, OR 97702  
*Partnership* — Stadium Capital Management, LLC, general partner

Stone Arch Capital, L.P.  
120 S. Sixth St., Minneapolis, MN 55402  
*Partnership* — Stone Arch Capital Management, L.P.

Strata Partners, LLC  
219 Lake St. S, Suite C, Kirkland, WA 98033  
*State or country in which incorporated* — Washington

Surge Global Energy, Inc.  
12220 El Camino Real, Suite 410, San Diego, CA 92130  
*State or country in which incorporated* — Delaware

Tellus, LP  
% Cole Asset Management, LLC, 449 N. Wells St., Suite 2E, Chicago, IL 60610  
*Partnership* — Cole Asset Management, LLC, general partner

Tellus, Ltd.  
% Cole Asset Management, LLC, 449 N. Wells St., Suite 2E, Chicago, IL 60610  
*State or country in which incorporated* — Bahamas

Terra Nova Acquisition Corporation  
Two Bloor St. W, Suite 3400, Toronto, Ontario, Canada M4W 3E2  
*State or country in which incorporated* — Delaware

Third Coast Capital, L.P.  
5914 W. Courtyard Dr., Suite 190, Austin, TX 78730  
*Partnership* — Third Coast Capital Management, LP

Trans Coastal Partners, LLC  
17304 Preston Rd., Suite 970, Dallas, TX 75252  
*State or country in which incorporated* — Texas limited liability company

Trazer Technologies, Inc.  
30612 Salem Dr., Bay Village, OH 44140  
*State or country in which incorporated* — Ohio

Value Associates, LLC  
49 E. 96th St., Unit 17B, New York, NY 10128

van Biema Value Fund, LP  
171 Main St., P.O. Box 417, Grahamsville, NY 12740  
*Partnership* — van Biema & Company, LLC

Vantis Health Sciences Fund Ltd.  
% RK Consulting (Cayman) Ltd., P.O. Box 1748 GT, 27 Hospital Rd., George Town, Grand Cayman, Cayman Islands, B.W.I.  
*Partnership* — Peter M.O. Young, Graham H. Cook and George H. Bristol

Wardencllyffe High Yield Fund, L.P.  
370 Lexington Ave., New York, NY 10017  
*Partnership* — Wardencllyffe Capital Partners, LLC

Wesley Capital, Ltd.  
% Walkers SPV Limited, Walker House, Mary St., P.O. Box 908GT,

George Town, Grand Cayman, Cayman Islands, British West Indies  
*State or country in which incorporated* — Cayman Islands

Williams Realty Fund I, LLC  
3625 Cumberland Blvd., Suite 400, Atlanta, GA 30339  
*State or country in which incorporated* — Georgia

Windsor Alternative Investment Fund I, Ltd.  
224 Tarpon St., Tavernier, FL 33070  
*Partnership* — Windsor Alternative Investments, LLC

Wits Basin Precious Minerals Inc.  
IDS Center, 80 S. 8th St., Suite 900, Minneapolis, MN 55402  
*State or country in which incorporated* — Minnesota

### FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law  
[Art. 23-A, §359-e(8), as amended]

### SECURITIES BY DEALERS; BROKERS; SYNDICATE MANAGERS

aAD Partners L.P.  
420 Stevens Ave., Suite 210, Solana Beach, CA 92075  
*Security(ies)* — Limited partnership interest  
*Issuer of security(ies)* — aAd Partners L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

ABCD Systems Corporation  
1585 Broadway, Suite 25-114, New York, NY 10036  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — ABCD Systems Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — United States

Adar Investments (Offshore) Ltd.  
c/o Appleby Corporate Services (Cayman) Limited, Clifton House, 75 Fort St., P.O. Box 1350 GT, Georgetown, Grand Cayman, Cayman Islands  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Common shares  
*Issuer of security(ies)* — Adar Investments (Offshore) Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Adolph Coors Company  
311 10th St., Golden, CO 80401  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Options and/or rights to acquire shares of Class B common stock  
*Issuer of security(ies)* — Adolph Coors Company  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Aetna Partners Diversified Fund, LLC  
29 S. Main St., West Hartford, CT 06107

*State or country in which incorporated* — Delaware  
*Security(ies)* — Limited liability company interest  
*Issuer of security(ies)* — Aetna Partners Diversified Fund, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Alpha Fund (U.S.) L.P.  
 150 King St. W, Suite 2600, Toronto, Ontario, Canada M5H 1J9  
*Security(ies)* — Class A limited partnership units  
*Issuer of security(ies)* — Alpha Fund (U.S.) L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Altegris Investments, Inc.  
 1020 Prospect St., Suite 405, LaJolla, CA 92037  
*State or country in which incorporated* — Arkansas  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Clarke Worldwide Fund, L.P.  
*Address of issuer* — 1202 Bergen Pkwy., Suite 212, Evergreen, CO 80439  
*State or country in which organized* — Colorado

Ampak Oil Company  
 801 E. Plano Pkwy., Suite 230, Plano, TX 75074  
*State or country in which incorporated* — Texas  
*Security(ies)* — Twenty-Four JV  
*Issuer of security(ies)* — Ampak Oil Company  
*Address of issuer* — Same as above  
*State or country in which organized* — Texas

Apogee 88 Partners, LLC  
 11 S. Hampshire Ct., Wilmington, DE 19807  
*Security(ies)* — Membership interests representing percentages of the company owned  
*Issuer of security(ies)* — Apogee 88 Partners, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Arden Opportunity Fund, Ltd.  
 c/o Queensgate Bank & Trust Co. Ltd., Harbour Place, S. Church St., P.O. Box 30464 SMB, Grand Cayman, Cayman Islands, British West Indies  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Common shares, par value \$0.01 U.S. per share (the "Shares")  
*Issuer of security(ies)* — Arden Opportunity Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Argentum Resources, LLC  
 370 Seventeenth St., Suite 5000, Denver, CO 80202  
*State or country in which incorporated* — Colorado  
*Security(ies)* — Limited liability company membership interests  
*Issuer of security(ies)* — Argentum Resources, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Colorado

Argus Capital Management, L.P.  
 995 Old Eagle School Rd., Wayne, PA 19087  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Argus Capital Management, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Atlas Futures Fund, Limited Partnership  
 5914 N. 300 West, Fremont, IN 46737  
*Security(ies)* — Units of limited partnership interests  
*Issuer of security(ies)* — Atlans Futures Fund, Limited Partnership  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Bear, Stearns & Co. Inc.; (2) Jefferies & Company, Inc.; (3) BNP Paribas Securities Corp.  
 383 Madison Ave., New York, NY 10179; (2) 520 Madison Ave., New York, NY 10022; (3) 787 7th Ave., New York, NY 10019  
*State or country in which incorporated* — (1)-(3) Delaware  
*Security(ies)* — \_\_\_% senior subordinated notes due 2012  
*Issuer of security(ies)* — Atlantis Plastics, Inc.  
*Address of issuer* — 1870 The Exchange, Suite 200, Atlanta, GA 20339  
*State or country in which organized* — Florida

Bedrock Investments Partnership, L.P.  
 140 Overleigh Rd., Bernardsville, NJ 07924  
*Security(ies)* — Limited partnership interest  
*Issuer of security(ies)* — Bedrock Investment Partnership, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Berkshire Bank  
 1101 Woodland Rd., Wyomissing, PA 19610  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Berkshire Bank  
*Address of issuer* — Same as above  
*State or country in which organized* — Pennsylvania

Bislett Partners, L.P.  
 868 S. California Ave., Palo Alto, CA 94306  
*Security(ies)* — Partnership interests  
*Issuer of security(ies)* — Bislett Partners, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — California

Blue Ridge Group, Inc.  
 632 Adams St., Suite 700, Bowling Green, KY 42101  
*State or country in which incorporated* — Nevada  
*Security(ies)* — Limited partnership interest  
*Issuer of security(ies)* — Blue Ridge 2005-A Drilling Program, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Kentucky

Caledonian Energy Auction Partners VII, LLC  
 72 Deer Path, Dahlonega, GA 30533  
*State or country in which incorporated* — Georgia  
*Security(ies)* — Units of a limited liability company  
*Issuer of security(ies)* — Caledonian Energy Auction Partners VII, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Georgia

Caxton Global Investments (USA) LLC  
 731 Alexander Rd., Bldg. 2, Princeton, NJ 08540  
*State or country in which incorporated* — Delaware limited liability company  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — Caxton Global Investments (USA) LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Cbeyond Communications, Inc.  
320 Interstate North Pkwy. SE, Suite 300, Atlanta, GA 30339  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series C participating preferred stock  
*Issuer of security(ies)* — Cbeyond Communications, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

C.E. Unterberg Towbin LLC  
350 Madison Ave., New York, NY 10017  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common shares  
*Issuer of security(ies)* — Incor Pharmaceutical Co.  
*Address of issuer* — 6175 Lusk Blvd., San Diego, CA 92121  
*State or country in which organized* — Nevada

Ceriality Operators, Inc.  
2525 Arapahoe Ave., Suite E4-312, Boulder, CO 80302  
*State or country in which incorporated* — Colorado  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Ceriality Operators, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Colorado

CIBC World Markets Corp.; (2) Piper Jaffray & Co.; (3) Needham & Company, Inc.; (4) Granite Financial Group, Inc.; (5) Morgan Joseph & Co. Inc.  
425 Lexington Ave., New York, NY 10017; (2) 800 Nicollet Mall, Minneapolis, MN 55402; (3) 445 Park Ave., New York, NY 10022; (4) 12220 El Camino Real, Suite 400, San Diego, CA 92130; (5) 600 Fifth Ave., 19th Fl., New York, NY 10020  
*State or country in which incorporated* — (1)-(3), (5) Delaware; (4) California  
*Security(ies)* — 6,000,000 shares of common stock  
*Issuer of security(ies)* — Arena Pharmaceuticals, Inc.  
*Address of issuer* — 6166 Nancy Ridge Dr., San Diego, CA 92121  
*State or country in which organized* — Delaware

Citigroup Global Markets Inc.  
388 Greenwich St., New York, NY 10013  
*State or country in which incorporated* — New York  
*Security(ies)* — Units of fractional undivided interest  
*Issuer of security(ies)* — Tax Exempt Securities Trust, Intermediate Term Trust 79  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Citigroup Global Markets Inc.  
388 Greenwich St., New York, NY 10013  
*State or country in which incorporated* — New York  
*Security(ies)* — Units of fractional undivided interest  
*Issuer of security(ies)* — Tax Exempt Securities Trust, National Trust 410  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Citigroup Global Markets Inc.  
388 Greenwich St., New York, NY 10013  
*State or country in which incorporated* — New York  
*Security(ies)* — Units of fractional undivided interest  
*Issuer of security(ies)* — Tax Exempt Securities Trust, National Trust 411  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Citigroup Global Markets Inc.  
388 Greenwich St., New York, NY 10013  
*State or country in which incorporated* — New York  
*Security(ies)* — Units of fractional undivided interest  
*Issuer of security(ies)* — Tax Exempt Securities Trust, New York Trust 252  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Citigroup Global Markets Inc.  
388 Greenwich St., New York, NY 10013  
*State or country in which incorporated* — New York  
*Security(ies)* — Units of fractional undivided interest  
*Issuer of security(ies)* — Tax Exempt Securities Trust, New York Trust 253  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Claymore Securities, Inc.  
2455 Corporate West Dr., Lisle, IL 60532  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 10,000,000 units of undivided interest  
*Issuer of security(ies)* — Closed-End Convertible and Income Portfolio, Series 5  
*Address of issuer* — c/o Claymore Securities, Inc., 2455 Corporate West Dr., Lisle, IL 60532  
*State or country in which organized* — Kansas

Claymore Securities, Inc.  
2455 Corporate West Dr., Lisle, IL 60532  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 10,000,000 units of undivided interest  
*Issuer of security(ies)* — Closed-End Preferred and Income Portfolio, Series 3  
*Address of issuer* — c/o Claymore Securities, Inc., 2455 Corporate West Dr., Lisle, IL 60532  
*State or country in which organized* — Kansas

Claymore Securities, Inc.  
2455 Corporate West Dr., Lisle, IL 60532  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 10,000,000 units of undivided interest  
*Issuer of security(ies)* — Multiple Asset Portfolio Plus, Series 1  
*Address of issuer* — c/o Claymore Securities, Inc., 2455 Corporate West Dr., Lisle, IL 60532  
*State or country in which organized* — Kansas

Clean Technology Fund II, LP  
One Embarcadero Center, Suite 4100, San Francisco, CA 94111  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Clean Technology Fund II, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Clipper Windpower, Inc.  
6305 Carpinteria Ave., Carpinteria, CA 93013  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series C preferred stock  
*Issuer of security(ies)* — Clipper Windpower, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Constellation Investment Distr. Co., Inc.  
1205 Westlakes Dr., Suite 100, Berwyn, PA 19312  
*State or country in which incorporated* — New York  
*Security(ies)* — Shares of common stock  
*Issuer of security(ies)* — Constellation Institutional Portfolios—Clover Capital Institutional Fixed Income Portfolio  
*Address of issuer* — 1235 Westlakes Dr., Suite 350, Berwyn, PA 19312-2414  
*State or country in which organized* — Delaware

Constellation Investment Distr. Co., Inc.  
1205 Westlakes Dr., Suite 100, Berwyn, PA 19312  
*State or country in which incorporated* — New York  
*Security(ies)* — Shares of common stock  
*Issuer of security(ies)* — Constellation Institutional Portfolios—Clover Capital Institutional Income Plus Portfolio  
*Address of issuer* — 1235 Westlakes Dr., Suite 350, Berwyn, PA 19312-2414  
*State or country in which organized* — Delaware

Constellation Investment Distr. Co., Inc.  
1205 Westlakes Dr., Suite 100, Berwyn, PA 19312  
*State or country in which incorporated* — New York  
*Security(ies)* — Shares of common stock  
*Issuer of security(ies)* — Constellation Institutional Portfolios—Clover Capital Institutional Small Cap Value Portfolio  
*Address of issuer* — 1235 Westlakes Dr., Suite 350, Berwyn, PA 19312-2414  
*State or country in which organized* — Delaware

Constellation Investment Distr. Co., Inc.  
1205 Westlakes Dr., Suite 100, Berwyn, PA 19312  
*State or country in which incorporated* — New York  
*Security(ies)* — Shares of common stock  
*Issuer of security(ies)* — Constellation Institutional Portfolios—Sands Capital Institutional Growth Portfolio  
*Address of issuer* — 1235 Westlakes Dr., Suite 350, Berwyn, PA 19312-2414  
*State or country in which organized* — Delaware

Cropsolution, Inc.  
598 Airport Blvd., Suite 900, Morrisville, NC 27560  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series B convertible stock with warrants to purchase common stock  
*Issuer of security(ies)* — Cropsolution, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

CTC Fund, L.L.C.  
c/o CTC Fund Management, L.L.C., 141 W. Jackson Blvd., Suite 800, Chicago, IL 60604  
*Security(ies)* — Units of limited liability company interest  
*Issuer of security(ies)* — CTC Fund, L.L.C.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

CV 263 Investment Partners, L.P.  
160 Sansome St., 18th Fl., San Francisco, CA 94104  
*Security(ies)* — Units of limited partnership interest  
*Issuer of security(ies)* — CV 263 Investment Partners, L.P.

*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

D. Tanner #2 Development Prospect Ltd.  
3200 Broadway Blvd., #150, Garland, TX 75043  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — D. Tanner #2 Development Prospect Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Texas

Daon Holdings Limited  
One Capital Place, P.O. Box 1787GT, George Town, Grand Cayman, Cayman Islands  
*Security(ies)* — Daon employee share option plan (common stock)  
*Issuer of security(ies)* — Daon Holdings Limited  
*Address of issuer* — Same as above  
*State or country in which organized* — Grand Cayman, Cayman Islands, British West Indies

Dawson James Securities, Inc.  
6400 NW. 6th Way, Suite 310, Fort Lauderdale, FL 33309  
*Security(ies)* — Common stock at \$6.00 per share up to 10,000 shares or \$60,000  
*Issuer of security(ies)* — Lifesciences Opportunities Incorporated  
*Address of issuer* — Same as above  
*State or country in which organized* — Florida

DIV TIV LLC  
c/o The Davis Companies, One Appleton St., Boston, MA 02116  
*Security(ies)* — Membership interests  
*Issuer of security(ies)* — DIV TIV LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

Dynasty Fund, L.P.  
268 XI Zang Zhong Rd., Suite 2502, Shanghai 200001, China  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Dynasty Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

EarlyBirdCapital, Inc.  
600 Third Ave., 33rd Fl., New York, NY 10016  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — KBL Healthcare Acquisition Corp. II  
*Address of issuer* — 645 Madison Ave., 14th Fl., New York, NY 10022  
*State or country in which organized* — Delaware

EarlyBirdCapital, Inc.  
600 Third Ave., 33rd Fl., New York, NY 10016  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Warrants  
*Issuer of security(ies)* — KBL Healthcare Acquisition Corp. II  
*Address of issuer* — 645 Madison Ave., 14th Fl., New York, NY 10022  
*State or country in which organized* — Delaware

EarlyBirdCapital, Inc.  
600 Third Ave., 33rd Fl., New York, NY 10016  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Terra Nova Acquisition Corporation  
*Address of issuer* — Two Bloor St. W, Suite 3400, Toronto, Ontario,

Canada M4W 3E2

*State or country in which organized* — Delaware

EarlyBirdCapital, Inc.

600 Third Ave., 33rd Fl., New York, NY 10016

*State or country in which incorporated* — Delaware

*Security(ies)* — Units (of common stock and warrants)

*Issuer of security(ies)* — Terra Nova Acquisition Corporation

*Address of issuer* — Two Bloor St. W, Suite 3400, Toronto, Ontario, Canada M4W 3E2

*State or country in which organized* — Delaware

EarlyBirdCapital, Inc.

600 Third Ave., 33rd Fl., New York, NY 10016

*State or country in which incorporated* — Delaware

*Security(ies)* — Warrants

*Issuer of security(ies)* — Terra Nova Acquisition Corporation

*Address of issuer* — Two Bloor St. W, Suite 3400, Toronto, Ontario, Canada M4W 3E2

*State or country in which organized* — Delaware

EnerNOC, Inc.

Two Faneuil Hall Marketplace, 3rd Fl., Boston, MA 02109

*State or country in which incorporated* — Delaware

*Security(ies)* — Series B convertible preferred stock

*Issuer of security(ies)* — EnerNOC, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Enterprise Fund Distributors, Inc.

3343 Peachtree Rd. NE, East Tower, Suite 450, Atlanta, GA 30326

*State or country in which incorporated* — Delaware

*Security(ies)* — Shares of beneficial interest

*Issuer of security(ies)* — AXA Enterprise Multimanager Funds Trust—AXA Enterprise Aggressive Allocation Fund

*Address of issuer* — Atlanta Financial Center, 3343 Peachtree Rd. NE, East Tower, Suite 450, Atlanta, GA 30326

*State or country in which organized* — Delaware

Enterprise Fund Distributors, Inc.

3343 Peachtree Rd. NE, East Tower, Suite 450, Atlanta, GA 30326

*State or country in which incorporated* — Delaware

*Security(ies)* — Shares of beneficial interest

*Issuer of security(ies)* — AXA Enterprise Multimanager Funds Trust—AXA Enterprise Conservative Allocation Fund

*Address of issuer* — Atlanta Financial Center, 3343 Peachtree Rd. NE, East Tower, Suite 450, Atlanta, GA 30326

*State or country in which organized* — Delaware

Enterprise Fund Distributors, Inc.

3343 Peachtree Rd. NE, East Tower, Suite 450, Atlanta, GA 30326

*State or country in which incorporated* — Delaware

*Security(ies)* — Shares of beneficial interest

*Issuer of security(ies)* — AXA Enterprise Multimanager Funds Trust—AXA Moderate Allocation Fund

*Address of issuer* — Atlanta Financial Center, 3343 Peachtree Rd. NE, East Tower, Suite 450, Atlanta, GA 30326

*State or country in which organized* — Delaware

FastShip, Inc.

1700 Market St., Suite 2720, Philadelphia, PA 19103

*State or country in which incorporated* — Delaware

*Security(ies)* — Secured/convertible note w/warrants attached

*Issuer of security(ies)* — FastShip, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

*Security(ies)* — 10,000 units of undivided interest

*Issuer of security(ies)* — FT 927 (Energy Portfolio, Series 16)

*Address of issuer* — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532

*State or country in which organized* — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

*Security(ies)* — 10,000 units of undivided interest

*Issuer of security(ies)* — FT 927 (Equity Income Portfolio, Series 7)

*Address of issuer* — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532

*State or country in which organized* — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

*Security(ies)* — 10,000 units of undivided interest

*Issuer of security(ies)* — FT 927 (Financials Portfolio, Series 17)

*Address of issuer* — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532

*State or country in which organized* — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

*Security(ies)* — 10,000 units of undivided interest

*Issuer of security(ies)* — FT 927 (Pharmaceutical Portfolio, Series 18)

*Address of issuer* — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532

*State or country in which organized* — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

*Security(ies)* — 10,000 units of undivided interest

*Issuer of security(ies)* — FT 927 (Precious Metals Portfolio, Series 3)

*Address of issuer* — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532

*State or country in which organized* — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

*Security(ies)* — 10,000 units of undivided interest

*Issuer of security(ies)* — FT 927 (Technology Portfolio, Series 21)

*Address of issuer* — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532

*State or country in which organized* — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

*Security(ies)* — 10,000 units of undivided interest

*Issuer of security(ies)* — FT 930 (The Dow<sup>SM</sup> Target 10 Covered Call Portfolio, Series 5)

*Address of issuer* — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532

*State or country in which organized* — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 930 (Equity Dividend Covered Call Portfolio, Series 4)  
*Address of issuer* — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 931 (Select Core Equity Portfolio, 2005 Series)  
*Address of issuer* — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 932 (Preferred Tax-Advantaged Dividend Portfolio, Series 2)  
*Address of issuer* — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 937 (Preferred Income Portfolio, Series 19)  
*Address of issuer* — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 942 (NYSE® International Target 25 Portfolio, 1st Quarter 2005 Series B)  
*Address of issuer* — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532  
*State or country in which organized* — Illinois

Fixed Income Securities, L.P.  
 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — Advisor's Disciplined Trust 27 (New York Tax Exempt Bond Portfolio, Series 1)  
*Address of issuer* — c/o Fixed Income Securities, L.P., 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415  
*State or country in which organized* — New York

FMR Corp.  
 82 Devonshire St., Boston, MA 02109  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series R subordinated debentures  
*Issuer of security(ies)* — FMR Corp.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Forum Fund Services, LLC  
 Two Portland Sq., Portland, ME 04101  
*State or country in which incorporated* — Delaware

*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — Jordan Opportunity Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Gemini Indian Creek, LLC  
 720 Fifth Ave., 12th Fl., New York, NY 10019  
*Security(ies)* — Limited liability company membership units  
*Issuer of security(ies)* — Gemini Indian Creek, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Gemini Indian Creek S, LLC  
 720 Fifth Ave., 12th Fl., New York, NY 10019  
*Security(ies)* — TIC interests in Indian Creek Shopping Center  
*Issuer of security(ies)* — Gemini Indian Creek S, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Geode Capital Offshore Fund Ltd.  
 c/o BISYS Hedge Fund Services Limited Hemisphere House, Nine Church St., Hamilton HM11, Bermuda  
*State or country in which incorporated* — Bermuda  
*Security(ies)* — Class S shares in "Geode Market Neutral Fund"  
*Issuer of security(ies)* — Geode Capital Offshore Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Bermuda

Geode Capital Offshore Fund Ltd.  
 c/o BISYS Hedge Fund Services Limited Hemisphere House, Nine Church St., Hamilton HM11, Bermuda  
*State or country in which incorporated* — Bermuda  
*Security(ies)* — Shares in "Geode Global Market Neutral Fund"  
*Issuer of security(ies)* — Geode Capital Offshore Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Bermuda

Geode Capital Partners LP  
 53 State St., 5th Fl., Boston, MA 02109  
*Security(ies)* — Interests in Geode Global Market Neutral  
*Issuer of security(ies)* — Geode Capital Partners LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Goldman, Sachs & Co.; (2) Bear, Stearns & Co. Inc.  
 85 Broad St., New York, NY 10004; (2) 383 Madison Ave., New York, NY 10179  
*State or country in which incorporated* — (1) New York partnership; (2) Delaware  
*Security(ies)* — \_\_% senior subordinated notes due 2013  
*Issuer of security(ies)* — DI Finance Sub LLC  
*Address of issuer* — 8445 Freeport Pkwy., Suite 400, Irving, TX 75063  
*State or country in which organized* — Delaware

Goldman, Sachs & Co.; (2) Bear, Stearns & Co. Inc.  
 85 Broad St., New York, NY 10004; (2) 383 Madison Ave., New York, NY 10179  
*State or country in which incorporated* — (1) New York partnership; (2) Delaware  
*Security(ies)* — \_\_% senior subordinated notes due 2013  
*Issuer of security(ies)* — DIV Capital Corporation  
*Address of issuer* — 8445 Freeport Pkwy., Suite 400, Irving, TX 75063  
*State or country in which organized* — Delaware

Gryphon Financial Securities Corp.  
400 Royal Palm Way, Suite 200, Palm Beach, FL 33840  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Units comprised of series B preferred stock and warrants  
*Issuer of security(ies)* — SecureCare Technologies, Inc.  
*Address of issuer* — 3001 Bee Caves Rd., Suite 250, Austin, TX 78746  
*State or country in which organized* — Nevada

Gulf Atlantic Financial Group, Inc.  
10201 Centurion Pkwy. N, Suite 600, Jacksonville, FL 32256  
*State or country in which incorporated* — Florida  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Gulf Atlantic Financial Group, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Florida

Haberman Value Fund, L.P.  
900 Third Ave., 27th Fl., New York, NY 10022  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Haberman Value Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

H2Energy Solutions Inc.  
820 Woodend Rd., P.O. Box 666, Stratford, CT 06615  
*State or country in which incorporated* — Nevada  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — H2Energy Solutions Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Nevada

HCFP/Brenner Securities LLC  
888 Seventh Ave., 17th Fl., New York, NY 1016  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class B common stock  
*Issuer of security(ies)* — Mercator Partners Acquisition Corp.  
*Address of issuer* — One Fountain Sq., 11911 Freedom Dr., Suite 1080, Reston, VA 20190  
*State or country in which organized* — Delaware

HCFP/Brenner Securities LLC  
888 Seventh Ave., 17th Fl., New York, NY 1016  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class W warrants  
*Issuer of security(ies)* — Mercator Partners Acquisition Corp.  
*Address of issuer* — One Fountain Sq., 11911 Freedom Dr., Suite 1080, Reston, VA 20190  
*State or country in which organized* — Delaware

HCFP/Brenner Securities LLC  
888 Seventh Ave., 17th Fl., New York, NY 1016  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class Z warrants  
*Issuer of security(ies)* — Mercator Partners Acquisition Corp.  
*Address of issuer* — One Fountain Sq., 11911 Freedom Dr., Suite 1080, Reston, VA 20190  
*State or country in which organized* — Delaware

HCFP/Brenner Securities LLC  
888 Seventh Ave., 17th Fl., New York, NY 1016  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock

*Issuer of security(ies)* — Mercator Partners Acquisition Corp.  
*Address of issuer* — One Fountain Sq., 11911 Freedom Dr., Suite 1080, Reston, VA 20190  
*State or country in which organized* — Delaware

HCFP/Brenner Securities LLC  
888 Seventh Ave., 17th Fl., New York, NY 1016  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series A units (of common stock, class W warrants and class Z warrants)  
*Issuer of security(ies)* — Mercator Partners Acquisition Corp.  
*Address of issuer* — One Fountain Sq., 11911 Freedom Dr., Suite 1080, Reston, VA 20190  
*State or country in which organized* — Delaware

HCFP/Brenner Securities LLC  
888 Seventh Ave., 17th Fl., New York, NY 1016  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series B units (of class B common stock, class W warrants and class Z warrants)  
*Issuer of security(ies)* — Mercator Partners Acquisition Corp.  
*Address of issuer* — One Fountain Sq., 11911 Freedom Dr., Suite 1080, Reston, VA 20190  
*State or country in which organized* — Delaware

Hemagen Diagnostics, Inc.  
9033 Red Branch Rd., Columbia, MD 21045  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock with subordinated secured convertible notes  
*Issuer of security(ies)* — Hemagen Diagnostics, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Hennion & Walsh, Inc.  
2001 Rte. 46, Waterview Plaza, Parsippany, NJ 07054  
*State or country in which incorporated* — New Jersey  
*Security(ies)* — Units of fractional undivided interest  
*Issuer of security(ies)* — Smart Trust, 2005 Series A  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Hubco Exploration Inc.  
10 Plymouth Rd., Rye, NY 10580  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 8% promissory note with working interests in an oil and gas property  
*Issuer of security(ies)* — Hubco Exploration Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Hudson Biscayne Partners, L.P.  
c/o Hudson Biscayne Associates, L.P., 650 West Ave., Suite 3109, Miami Beach, FL 33139  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Hudson Biscayne Partners, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Hudson Housing Securities LLC  
630 Fifth Ave., 23rd Fl., New York, NY 10111  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Hudson Northern California Housing Fund LP

*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Hudson Housing Securities LLC  
 630 Fifth Ave., 23rd Fl., New York, NY 10111  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Hudson Housing Los Angeles Revitalization Fund II LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Hudson Housing Los Angeles Revitalization Fund II LP  
 630 Fifth Ave., 23rd Fl., New York, NY 10111  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Hudson Housing Los Angeles Revitalization Fund II LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Hudson Northern California Housing Fund LP  
 630 Fifth Ave., 23rd Fl., New York, NY 10111  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Hudson Northern California Housing Fund LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

ICON Leasing Fund Eleven, LLC  
 100 Fifth Ave., New York, NY 10011  
*State or country in which incorporated* — Delaware limited liability company  
*Security(ies)* — Shares of limited liability company interests  
*Issuer of security(ies)* — ICON Leasing Fund Eleven, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware limited liability company

ID Biomedical Corporation  
 200 Burrard St., Vancouver, British Columbia, Canada V6C 3L6,  
*State or country in which incorporated* — Canada  
*Security(ies)* — Warrants to purchase common stock  
*Issuer of security(ies)* — ID Biomedical Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Canada

Insignia Systems, Inc.  
 6470 Sycamore Ct. N, Maple Grove, MN 55369  
*State or country in which incorporated* — Minnesota  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Insignia Systems, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Minnesota

International Automotive REIT, Inc.  
 18 Margaret Dr., Ballston Spa, NY 12020  
*State or country in which incorporated* — New York  
*Security(ies)* — 10,000,000 shares of common stock  
*Issuer of security(ies)* — International Automotive REIT, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — United States

Janal Partnership, L.P. (2004-1)  
 4213 Saint Paul Blvd., Rochester, NY 14617  
*Security(ies)* — Limited partnership interest  
*Issuer of security(ies)* — Janal Partnership, L.P. (2004-1)

*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

JGB Capital L.P.  
 % JGB Capital Management L.P., 660 Madison Ave., 21st Fl., New York, NY 10021  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — JGB Capital L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Jefferies & Company, Inc.  
 520 Madison Ave., New York, NY 10022  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Encore Capital Group, Inc.  
*Address of issuer* — 8875 Aero Dr., Suite 200, San Diego, CA 92123  
*State or country in which organized* — Delaware

Jetson Systems Corporation  
 1801 S. Federal Hwy., Suite 100, Delray Beach, FL 33483  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series B preferred stock  
*Issuer of security(ies)* — Jetson Systems Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Kira Realty LLC  
 % Hofheimer Gartlir & Gross, LLP, 530 Fifth Ave., New York, NY 10036  
*Security(ies)* — Membership interests  
*Issuer of security(ies)* — Kira Realty LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — New York domestic limited liability company

MAC Worldwide, Inc.  
 1640 Terrace Way, Walnut Creek, CA 94596  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Units consisting of one share of common stock and one warrant to purchase one share of common stock  
*Issuer of security(ies)* — MAC Worldwide, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Nevada

Man Investments Inc.  
 123 N. Wacker Dr., 28th Fl., Chicago, IL 60606  
*State or country in which incorporated* — New York  
*Security(ies)* — Class 1 of limited liability company interests  
*Issuer of security(ies)* — Man IP 220 Private, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Man Investments Inc.  
 123 N. Wacker Dr., 28th Fl., Chicago, IL 60606  
*State or country in which incorporated* — New York  
*Security(ies)* — Class 2 of limited liability company interests  
*Issuer of security(ies)* — Man IP 220 Private, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Media Rights Capital, L.P.  
 405 Lexington Ave., 26th Fl., New York, NY 10174

*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Media Rights Capital, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Mercury Partners LP  
 2308 Camino Robledo, Carlsbad, CA 92009  
*Security(ies)* — Partnership interests  
*Issuer of security(ies)* — Mercury Partners LP  
*Address of issuer* — Same as above  
*State or country in which organized* — California

Michel Capital Sustainable Advantage Fund, LP  
 253 E. 71st St., New York, NY 10021  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Michel Capital Sustainable Advantage Fund, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Micro-Heat, Inc.  
 27611 Halsted Rd., Farmington Hills, MI 48331  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series C-1 preferred stock  
*Issuer of security(ies)* — Micro-Heat, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Monarch Gold Exploration, Inc.  
 8117 N. Division St., Suite D, Spokane, WA 99218  
*State or country in which incorporated* — Washington  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Monarch Gold Exploration, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Washington

NE Asia Value Offshore Partners, Ltd.  
 % Forum Fund Services Ltd., Washington Mall I, 22 Church St.,  
 Hamilton HM11, Bermuda  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Common shares, par value \$0.01 (U.S.) per share  
*Issuer of security(ies)* — NE Asia Value Offshore Partners, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

NE Asia Value Onshore Partners, LP  
 Parker Plaza, 400 Kelby St., Fort Lee, NJ 07024  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — NE Asia Value Onshore Partners, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

NewMarket Capital Partners Offshore Select Fund, Ltd.  
 1926 Arch St., Philadelphia, PA 19103  
*Security(ies)* — Class A shares  
*Issuer of security(ies)* — NewMarket Capital Partners Offshore Select Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — British Virgin Islands

NewMarket Capital Partners Offshore Select Fund, Ltd.  
 1926 Arch St., Philadelphia, PA 19103  
*Security(ies)* — Class B shares

*Issuer of security(ies)* — NewMarket Capital Partners Offshore Select Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — British Virgin Islands

Nexstar Developing Opportunities Offshore Fund, Ltd.  
 BISYS Alternative Investment Services, % Hedge Fund Administration,  
 245 Fifth Ave., 16th Fl., New York, NY 10016  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Common shares, par value \$0.01 (U.S.) per share  
*Issuer of security(ies)* — Nexstar Developing Opportunities Offshore Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Nexx Systems, Inc.  
 90 Industrial Way, Wilmington, MA 01887  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Debt convertible into series B preferred stock  
*Issuer of security(ies)* — Nexx Systems, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

OraMetrix, Inc.  
 2350 Campbell Creek Blvd., Suite 400, Richardson, TX 75082  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Convertible promissory notes  
*Issuer of security(ies)* — OraMetrix, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Paice LLC  
 6830 Elm St., McLean, VA 22101  
*Security(ies)* — Convertible securities  
*Issuer of security(ies)* — Paice LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Pali Capital, Inc.  
 650 Fifth Ave., 6th Fl., New York, NY 10019  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common shares  
*Issuer of security(ies)* — Imcor Pharmaceutical Co.  
*Address of issuer* — 6175 Lusk Blvd., San Diego, CA 92121  
*State or country in which organized* — Nevada

Partner Institutional Fund, Ltd.  
 % Goldman Sachs (Cayman) Trust, Limited, P.O. Box 896, Harbour  
 Centre, 2nd Fl., N. Church St., George Town, Grand Cayman, Cayman  
 Islands, B.W.I.  
*Security(ies)* — Redeemable shares  
*Issuer of security(ies)* — Partner Institutional Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — B.W.I.

Plum TV, LLC  
 75 Industrial Rd., P.O. Box 610, Wainscott, NY 11975  
*Security(ies)* — Units of limited liability company interests  
*Issuer of security(ies)* — Plum TV, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Punk, Ziegel & Company, L.P.  
520 Madison Ave., New York, NY 10022  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Zone 4 Play, Inc.  
*Address of issuer* — 2103 Foulk Rd., Suite 202, Wilmington, DE 19803  
*State or country in which organized* — Nevada

Quadriga Capital Russia Private Equity Fund II L.P.  
45 Esplanade, Third Fl., St. Helier, Jersey JE2 3QB, Channel Islands  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Quadriga Capital Russia Private Equity Fund II L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Channel Islands

Quasar Group, Inc.  
758 Las Palmas Dr., Irvine, CA 92602  
*State or country in which incorporated* — California  
*Security(ies)* — Units, each consisting of 150 shares of series A 10% redeemable convertible preferred stock, 20,000 shares of common stock and 10,000 common stock purchase warrants  
*Issuer of security(ies)* — Quasar Group, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — California

Rancho Arden, L.P.  
14903 Via LaSenda, Del Mar, CA 92014  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Rancho Arden, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — California

RBC Capital Markets Corporation; (2) CIBC World Markets Corp.; (3) Raymond James & Associates, Inc.; (4) D.A. Davidson & Co.  
One Liberty Plaza, New York, NY 10006; (2) 425 Lexington Ave., New York, NY 10017; (3) 880 Carillon Pkwy., St. Petersburg, FL 33716; (4) Eight Third St. N, Great Falls, MT 59401  
*State or country in which incorporated* — (1) New York; (2) Delaware; (3) Florida; (4) Montana  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — Mercer International, Inc.  
*Address of issuer* — 14900 Interurban Ave. S, Suite 282, Seattle, WA 98168  
*State or country in which organized* — Washington

RBC Capital Markets Corporation; (2) Credit Suisse First Boston LLC; (3) CIBC World Markets Corp.  
One Liberty Plaza, New York, NY 10006; (2) 11 Madison Ave., New York, NY 10010; (3) 425 Lexington Ave., New York, NY 10017  
*State or country in which incorporated* — (1) New York; (2) Delaware limited liability company; (3) Delaware  
*Security(ies)* — \_\_\_% senior notes due 2013  
*Issuer of security(ies)* — Mercer International, Inc.  
*Address of issuer* — 14900 Interurban Ave. S, Suite 282, Seattle, WA 98168  
*State or country in which organized* — Washington

RBC Dain Rauscher Inc.  
1211 Avenue of the Americas, New York, NY 10036  
*State or country in which incorporated* — Minnesota  
*Security(ies)* — Private student loan asset-backed notes, series 2005-A

*Issuer of security(ies)* — Education Loans Incorporated  
*Address of issuer* — 105 First Ave. SW, Suite 200, Aberdeen, SD 57401  
*State or country in which organized* — South Dakota

RealManage Holdings, Inc.  
12335 Hymeadow, Suite 300, Austin, TX 78750  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series A preferred stock  
*Issuer of security(ies)* — RealManage Holdings, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

RedSky Asymmetric Opportunity Fund, LP  
800 Nicollet Mall, 25th Fl., Minneapolis, MN 55402  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — RedSky Asymmetric Opportunity Fund, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — United States

Reef-Corpus Christi Bay Joint Venture  
1901 N. Central Expwy., Suite 300, Richardson, TX 75080  
*Security(ies)* — Units of joint venture interest  
*Issuer of security(ies)* — Reef-Corpus Christi Bay Joint Venture  
*Address of issuer* — Same as above  
*State or country in which organized* — Texas

Rejuvenata, L.P.  
140 E. 28th St., New York, NY 10016  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Rejuvenata, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Ridgewood Energy O Fund, LLC  
947 Linwood Ave., Ridgewood, NJ 07450  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Membership interests  
*Issuer of security(ies)* — Ridgewood Energy O Fund, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Rubato Japan Offshore Fund, Ltd.  
% Citco Fund Services (Australia) Pty Ltd., Level 11, Seven Macquarie Place, Sydney NSW 2000, Australia  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Common shares, par value \$0.01 (U.S.) per share  
*Issuer of security(ies)* — Rubato Japan Offshore Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Ruth Grow Prospect #1 Partnership  
5112 Portage St. NW, N. Canton, OH 44720  
*Security(ies)* — General partnership interests  
*Issuer of security(ies)* — Ruth Grow Prospect #1 Partnership  
*Address of issuer* — Same as above  
*State or country in which organized* — Ohio

Savacor, Inc.  
10780 Santa Monica Blvd., Suite 401, Los Angeles, CA 90025  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series C convertible preferred stock  
*Issuer of security(ies)* — Savacor, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Scion Asian Opportunity Offshore Fund, Ltd.

% Walkers SPV Limited, P.O. Box 908GT, Walker House, Mary St.,  
George Town, Grand Cayman, Cayman Islands

*Security(ies)* — Common shares

*Issuer of security(ies)* — Scion Asian Opportunity Offshore Fund, Ltd.

*Address of issuer* — Same as above

*State or country in which organized* — Cayman Islands

Sealy Capital LLC

535 Madison Ave., Suite 800, New York, NY 10022

*Security(ies)* — Limited liability company interests

*Issuer of security(ies)* — Sealy Capital LLC

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Sheridan Square Entertainment, Inc.

130 Fifth Ave., 7th Fl., New York, NY 10011

*State or country in which incorporated* — Delaware

*Security(ies)* — Units consisting of shares of 2004 series A convertible preferred stock and warrants to purchase shares of class A common stock

*Issuer of security(ies)* — Sheridan Square Entertainment, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

SinoFresh Healthcare, Inc.

516 Paul Morris Dr., Englewood, FL 34223

*State or country in which incorporated* — Florida

*Security(ies)* — Common stock

*Issuer of security(ies)* — SinoFresh Healthcare, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Florida

Solvay Bank Corp.

1537 Milton Ave., Solvay, NY 13209

*State or country in which incorporated* — New York

*Security(ies)* — Voting common stock

*Issuer of security(ies)* — Solvay Bank Corp.

*Address of issuer* — Same as above

*State or country in which organized* — New York

Sono-Tek Corporation

2012 Rte. 9W, Bldg. 3, Milton, NY 12547

*State or country in which incorporated* — New York

*Security(ies)* — Unit consisting of common stock and warrants to purchase common stock

*Issuer of security(ies)* — Sono-Tek Corporation

*Address of issuer* — Same as above

*State or country in which organized* — New York

Stadium Relative Value Partners, L.P.

19785 Village Office Ct., Suite 101, Bend, OR 97702

*State or country in which incorporated* — California limited partnership

*Security(ies)* — Limited partner interests

*Issuer of security(ies)* — Stadium Relative Value Partners, L.P.

*Address of issuer* — Same as above

*State or country in which organized* — California

Stone Arch Capital, L.P.

120 S. Sixth St., Minneapolis, MN 55402

*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — Stone Arch Capital, L.P.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Sunrise Securities Corp.

6411 Lexington Ave., 25th Fl., New York, NY 10022

*State or country in which incorporated* — New York

*Security(ies)* — Series C2 convertible preferred stock

*Issuer of security(ies)* — Infra-Com Technologies, Inc.

*Address of issuer* — 18 Haplada St., Netanya, Israel 42135

*State or country in which organized* — Delaware

Surge Global Energy, Inc.

12220 El Camino Real, Suite 410, San Diego, CA 92130

*State or country in which incorporated* — Delaware

*Security(ies)* — Common stock

*Issuer of security(ies)* — Surge Global Energy, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Tellus, LP

% Cole Asset Management, LLC, 449 N. Wells St., Suite 2E, Chicago,  
IL 60610

*Security(ies)* — Units of limited partnership interest

*Issuer of security(ies)* — Tellus, LP

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Tellus, Ltd.

% Cole Asset Management, LLC, 449 N. Wells St., Suite 2E, Chicago,  
IL 60610

*State or country in which incorporated* — Bahamas

*Security(ies)* — Class B non-voting participating shares

*Issuer of security(ies)* — Tellus Ltd.

*Address of issuer* — Same as above

*State or country in which organized* — Bahamas

Terion, Inc.

6505 Windcrest Dr., Suite 200, Plano, TX 75024

*State or country in which incorporated* — Delaware

*Security(ies)* — Offering of series C preferred stock

*Issuer of security(ies)* — Terion, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Terion, Inc.

6505 Windcrest Dr., Suite 200, Plano, TX 75024

*State or country in which incorporated* — Delaware

*Security(ies)* — Offering of series D preferred stock

*Issuer of security(ies)* — Terion, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Terion, Inc.

6505 Windcrest Dr., Suite 200, Plano, TX 75024

*State or country in which incorporated* — Delaware

*Security(ies)* — Offering of series E preferred stock

*Issuer of security(ies)* — Terion, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Third Coast Capital, L.P.

5914 W. Courtyard Dr., Suite 190, Austin, TX 78730

*State or country in which incorporated* — Delaware  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Third Coast Capital, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Third Coast Capital QP, L.P.  
 5914 W. Courtyard Dr., Suite 190, Austin, TX 78730  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Third Coast Capital QP, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Third Coast Capital Offshore Fund, Ltd.  
 5914 W. Courtyard Dr., Suite 190, Austin, TX 78730  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Class A shares  
*Issuer of security(ies)* — Third Coast Capital Offshore Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

TIMCO Aviation Services, Inc.  
 623 Radar Rd., Greensboro, NC 27410  
*Security(ies)* — Up to 321,534,890 shares of common stock, par value \$0.001 per share  
*Issuer of security(ies)* — TIMCO Aviation Services, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Tom James Company  
 263 Seaboard Lane, Franklin, TN 37064  
*State or country in which incorporated* — Tennessee  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Tom James Company  
*Address of issuer* — Same as above  
*State or country in which organized* — Tennessee

Trans Coastal Partners, LLC  
 17304 Preston Rd., Suite 970, Dallas, TX 75252  
*State or country in which incorporated* — Texas limited liability company  
*Security(ies)* — Units consisting of joint venture interest  
*Issuer of security(ies)* — Knox #1 Joint Venture  
*Address of issuer* — 17304 Preston Rd., Suite 970, Dallas, TX 75252  
*State or country in which organized* — Texas

Trazer Technologies, Inc.  
 30612 Salem Dr., Bay Village, OH 44140  
*State or country in which incorporated* — Ohio  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Trazer Technologies, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Ohio

USA Technologies, Inc.  
 100 Deerfield Lane, Suite 140, Malvern, PA 19355  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Units of debt with equity  
*Issuer of security(ies)* — USA Technologies, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Pennsylvania

Value Associates, LLC  
 49 E. 96th St., Unit 17B, New York, NY 10128  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — The Margin of Safety Fund II, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

van Biema Value Fund, LP  
 171 Main St., P.O. Box 417, Grahamsville, NY 12740  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — van Biema Value Fund, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Dividend Income & Value Portfolio 2005-1  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Insured Municipals Income Trust, Laddered Series 13  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Preferred Securities Portfolio, Series 6  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Select Growth Trust, January 2005 Series  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Van Kampen Unit Trusts, Municipal Series 545 (Pennsylvania Insured Municipals Income Trust, Series 297)  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Vantis Health Sciences Fund Ltd.  
 % RK Consulting (Cayman) Ltd., P.O. Box 1748 GT, 27 Hospital Rd., George Town, Grand Cayman, Cayman Islands, B.W.I.  
*Security(ies)* — Redeemable shares  
*Issuer of security(ies)* — Vantis Health Sciences Fund Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — B.W.I.

Wardenclyffe High Yield Fund, L.P.  
370 Lexington Ave., New York, NY 10017  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Wardenclyffe High Yield Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Wesley Capital, Ltd.  
% Walkers SPV Limited, Walker House, Mary St., P.O. Box 908GT,  
George Town, Grand Cayman, Cayman Islands, British West Indies  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Common shares, par value \$0.01 (U.S.) per share  
*Issuer of security(ies)* — Wesley Capital, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Williams Realty Fund I, LLC  
3625 Cumberland Blvd., Suite 400, Atlanta, GA 30339  
*State or country in which incorporated* — Georgia  
*Security(ies)* — Limited liability company membership interests  
*Issuer of security(ies)* — Williams Realty Fund I, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Georgia

Windsor Alternative Investment Fund I, Ltd.  
224 Tarpon St., Tavernier, FL 33070  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Windsor Alternative Investment Fund I, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Florida

Wits Basin Precious Minerals Inc.  
IDS Center, 80 S. 8th St., Suite 900, Minneapolis, MN 55402  
*State or country in which incorporated* — Minnesota  
*Security(ies)* — Units consisting of one share of common stock and one  
warrant to purchase one-half share of common stock  
*Issuer of security(ies)* — Wits Basin Precious Minerals Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Minnesota

Workstream Inc.  
495 March Rd., Suite 300, Kanata, Ontario, Canada K2K 3G1  
*State or country in which incorporated* — Canada  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Workstream Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Canada