

# SECURITIES OFFERINGS

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## STATE NOTICES

Published pursuant to provisions of General Business Law  
[Art. 23-A, §359-e(2)]

## DEALERS; BROKERS

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ABL Capital Fund, LP  
% JLP Management Associates, LLC, 1033 Skokie Blvd., Suite 620,  
Northbrook, IL 60062  
*Partnership* — JLP Management Associates, LLC, general partner

ABL Capital Offshore Fund, Ltd.  
% JLP Management Associates, LLC, 1033 Skokie Blvd., Suite 620,  
Northbrook, IL 60062  
*State or country in which incorporated* — Cayman Islands

Access One Trust—Access Flex High Yield Fund  
% BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012  
*State or country in which incorporated* — Delaware

Access One Trust—Access High Yield Fund  
% BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012  
*State or country in which incorporated* — Delaware

Adaptive Strategies Fund LLC  
350 Fifth Ave., Suite 3322, New York, NY 10118  
*State or country in which incorporated* — New York

Advanced Planning Securities, Inc.  
222 Middle Country Rd., Suite 209, Smithtown, NY 11787  
*State or country in which incorporated* — New York

BBOG, LLC  
2715 E. Main St., Bexley, OH 43209  
*State or country in which incorporated* — Ohio limited liability  
company

Bioheart, Inc.  
2400 N. Commerce Pkwy., Suite 408, Weston, FL 33326  
*State or country in which incorporated* — Florida

Blackacre Institutional Partners Business Trust  
% Blackacre Institutional Capital Management, LLC, 299 Park Ave.,  
New York, NY 10171  
*State or country in which incorporated* — Massachusetts

C.E. Uterberg, Towbin LLC  
350 Madison Ave., New York, NY 10017  
*State or country in which incorporated* — Delaware

Colossus Capital Fund, Ltd.  
% Colossus Capital Management, L.L.C., 1033 Skokie Blvd., Suite 620,  
Northbrook, IL 60062  
*State or country in which incorporated* — Cayman Islands

Colossus Capital Fund, L.P.  
% Colossus Capital Management, L.L.C., 1033 Skokie Blvd., Suite 620,  
Northbrook, IL 60062  
*State or country in which incorporated* — Cayman Islands

Condor Limited Partnership  
120 Cottage Place, Charlotte, NC 28207  
*Partnership* — Sugar Creek Partners LLC

Cornerstone Ministries Investments, Inc.  
2450 Atlanta Hwy., Suite 904, Cumming, GA 30040  
*State or country in which incorporated* — Georgia

Creative Enterprises International, Inc.  
825 Lafayette Rd., Bryn Mawr, PA 19010  
*State or country in which incorporated* — Nevada

Credos Convertible Fund, L.P.  
461 Fifth Ave., 22nd Fl., New York, NY 10017  
*Partnership* — Shenkman Capital Management, Inc.

C. S. Bioscience Inc.  
56 The Circle, East Hampton, NY 11937  
*State or country in which incorporated* — New York

Defiance Offshore Fund, Ltd.  
% Goldman Sachs (Cayman) Trust, Limited, P.O. Box 896, Harbour  
Centre, 2nd Fl., George Town, Grand Cayman, Cayman Islands, British  
West Indies  
*State or country in which incorporated* — Cayman Islands

Drinks Americas Inc.  
372 Danbury Rd., Wilton, CT 06897  
*State or country in which incorporated* — Delaware

DSIL Investment Services LLC  
536 Broadway, 7th Fl., New York, NY 10012  
*State or country in which incorporated* — New York

Duncan Capital Financial Group, Inc.  
830 Third Ave., New York, NY 10022  
*State or country in which incorporated* — Delaware

Elephant Pharmacy, Inc.  
1912 Bonita Ave., Berkeley, CA 94704  
*State or country in which incorporated* — Delaware

Elliott Special GP, LLC  
712 Fifth Ave., 36th Fl., New York, NY 10019

Endeavor Real Estate Fund II, LLC  
1300 Veteran's Memorial Hwy., Hauppauge, NY 11788  
*Partnership* — Endeavor Partners LLC

Epixtar Corp.  
11900 Biscayne Blvd., Miami, FL 33181  
*State or country in which incorporated* — Florida

Ergon Capital Offshore Fund, Ltd.  
% Beacon Fund Advisors Ltd., Harbour Centre, 2nd Fl., Waterfront Dr.,  
P.O. Box 972, Road Town, Tortola, British Virgin Islands  
*State or country in which incorporated* — Cayman Islands

Ergon Capital, LP  
1525B The Greens Way, Jacksonville, FL 32250  
*Partnership* — Ergates GP, LLC

Evident Technologies, Inc.  
216 River St., Suite 200, Troy, NY 12180  
*State or country in which incorporated* — Delaware

Geokinetics Inc.  
One Riverway, Suite 2100, Houston, TX 77056  
*State or country in which incorporated* — Delaware

Harbert Fixed Income Spread Opportunities Offshore Fund, Ltd.  
% International Fund Services (Ireland) Limited, Third Fl., Bishop's Sq.,  
Redmons's Hill, Dublin 2, Ireland  
*State or country in which incorporated* — Cayman Islands

Hard Assets 2X Fund Ltd.  
% Prime Management Limited, Mechanics Bldg., P.O. Box HM 3348,  
12 Church St., Hamilton HM 11, Bermuda  
*State or country in which incorporated* — Cayman Islands

Heartwood Forestland Fund V Limited Partnership  
1512 E. Franklin St., Suite 104, Chapel Hill, NC 27514  
*Partnership* — Heartwood Forestland Advisors V, LLC

Herbert J. Sims & Co., Inc.  
3530 Post Rd., Southport, CT 06890-1169  
*State or country in which incorporated* — New York

H Partners, LP  
152 W. 57th St., 52nd Fl., New York, NY 10019  
*Partnership* — H Partners Capital, LLC

HSBC Investor Funds—HSBC Aggressive Growth Strategy Fund  
% BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012  
*State or country in which incorporated* — Massachusetts

HSBC Investor Funds—HSBC Conservative Growth Strategy Fund  
% BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012  
*State or country in which incorporated* — Massachusetts

HSBC Investor Funds—HSBC Conservative Income Strategy Fund  
% BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012  
*State or country in which incorporated* — Massachusetts

HSBC Investor Funds—HSBC Growth Strategy Fund  
% BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012  
*State or country in which incorporated* — Massachusetts

HSBC Investor Funds—HSBC Moderate Growth Strategy Fund  
% BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012  
*State or country in which incorporated* — Massachusetts

IGP Acquisition, LLC  
% Chestnut Capital Partners, 120 Worcester St., New York, NY 10012  
*State or country in which incorporated* — Delaware

InvestForClosures Ventures, LLC  
326 Railroad St., Woodstock, IL 60093

Invizeon Corporation  
2409 Dearborn Ave., Missoula, MT 59801  
*State or country in which incorporated* — Delaware

Ion Partners LLC  
521 Fifth Ave., Suite 625, New York, NY 10175  
*State or country in which incorporated* — New York

Joseph Gunnar & Co., LLC  
30 Broad St., 12th Fl., New York, NY 10004

K&E Investment Partners, LLC - 2005 PEF  
200 E. Randolph Dr., Chicago, IL 60601

Kinneret Fund, L.P.  
511 E. 20th St., Apt. 13F, New York, NY 10010-7526  
*Partnership* — Grayson Asset Management LLC

Last Licks Holdings, Inc.  
33 LeCount Place, New Rochelle, NY 10801  
*State or country in which incorporated* — Delaware

Logan Investment Corp.  
601 Main St., Keokuk, IA 52632  
*State or country in which incorporated* — Iowa

Medical Venture Fund, Ltd.  
630 W. Parker Rd., Plano, TX 75093  
*Partnership* — Medical Venture Fund GP, L.L.C., general partner

Monaco Trading Fund LLC  
% Alden Management Associates LLC, 45 Rockefeller Plaza, Suite  
2079, New York, NY 10111

Orchid Asia III, L.P.  
c/o Orchid Asia Group Management, Limited, 58/F Two International  
Finance Centre, Suite 5805, Eight Finance St., Central, Hong Kong,  
China  
*Partnership* — OAIH Holdings, L.P.

Parkland Investment Partners, L.P.  
336 Fourth Ave., 5th Fl., The Times Bldg., Pittsburgh, PA 15222  
*Partnership* — Mid Atlantic Financial Management, Inc.

Petro Capital Securities, LLC  
3838 Oak Lawn, Suite 1775, Dallas, TX 75219  
*State or country in which incorporated* — Texas

Phoenix Equity Planning Corporation  
56 Prospect St., Hartford, CT 06103  
*State or country in which incorporated* — Connecticut

Private Client Resources, LLC  
187 Danbury Rd., Suite 202, Wilton, CT 06897

ProFunds—Rising Rates Opportunity 10 ProFund  
% BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012  
*State or country in which incorporated* — Delaware

Project Horizon, Inc. d/b/a InMotion Pictures  
4801 Executive Park Ct., Bldg. 200, Suite 208, Jacksonville, FL 32216  
*State or country in which incorporated* — Florida

Providence Recovery Partners, L.P.  
730 Fifth Ave., Suite 1002, New York, NY 10019  
*Partnership* — Providence General Partner, L.L.C.

Quasar Distributors, LLC  
615 E. Michigan St., Milwaukee, WI 53202  
*State or country in which incorporated* — Wisconsin

Queens Road Securities, LLC  
100 Queens Rd., Charlotte, NC 28204  
*State or country in which incorporated* — North Carolina

Rischul, LLC  
18 Green Valley Rd., Armonk, NY 10504

Rubato Japan Fund, LP  
M&C Corporate Services Limited, Uglund House, South Church St.,  
P.O. Box 309, George Town, Grand Cayman, Cayman Islands British  
West Indies

Rushmore Recoveries VIII, LLC  
10 New King St., Suite 205, White Plains, NY 10604

Sandler Capital Structure Opportunities Fund, Ltd.  
% Olympia Capital (Cayman) Limited, Caledonian House, P.O. Box  
1043, George Town, Grand Cayman, Cayman Islands  
*State or country in which incorporated* — Cayman Islands

Scopia Long LLC  
100 Park Ave., Suite 2150, New York, NY 10017  
*Partnership* — Scopia Management, Inc.

Spring Point Opportunity Offshore Fund  
% BISYS, Hedge Fund Services (Cayman) Limited, P.O. Box 30362  
SMB, 3rd Fl., Harbour Centre, George Town, Grand Cayman, Cayman  
Islands, B.W.I.

SSI Enhanced Equity Index, L.P.  
357 N. Canon Dr., Beverly Hills, CA 90210  
*Partnership* — SSI Investment Management Inc., general partner  
Stella Capital Offshore Fund, Ltd.  
% Walkers Chambers, P.O. Box 92, Road Town, Tortola, British Virgin  
Islands  
*State or country in which incorporated* — British Virgin Islands

Stella Capital Partners, L.P.  
11 Midway Rd., Larkspur, CA 94939  
*Partnership* — Stella Capital LLC

S.W.A.N. Partners, L.P.  
222 Purchase St., Suite 300, Rye, NY 10580  
*Partnership* — Daniel L. Lofrese, Inc. and Carol A. Gabelli, Inc.

TFS Capital Investment Trust  
% Ultimus Fund Solutions, LLC, 225 Pictoria Dr., Suite 450, Cincinnati,  
OH 45246  
*State or country in which incorporated* — Ohio

Three Rivers Investment Opportunity Fund, L.P.  
336 Fourth Ave., 7th Fl., The Times Bldg., Pittsburgh, PA 15222  
*Partnership* — Three Rivers Equity Management, Inc.

Tramontana Point LP  
2546 42nd Ave., San Francisco, CA 94116  
*Partnership* — True Bearing Asset Management LP

T. Rowe Price Institutional Income Funds, Inc./T. Rowe Price Institu-  
tional Core Plus Fund (Portfolio)  
100 E. Pratt St., Baltimore, MD 21202  
*State or country in which incorporated* — Maryland

T. Rowe Price Institutional Income Funds, Inc./T. Rowe Price Institu-  
tional Emerging Markets Equity Fund (Portfolio)  
100 E. Pratt St., Baltimore, MD 21202  
*State or country in which incorporated* — Maryland

T. Rowe Price Institutional Income Funds, Inc./T. Rowe Price Institu-  
tional High Yield Fund (Portfolio)  
100 E. Pratt St., Baltimore, MD 21202  
*State or country in which incorporated* — Maryland

T. Rowe Price Institutional Income Funds, Inc./T. Rowe Price Institu-  
tional Large-Cap Core Growth Fund (Portfolio)  
100 E. Pratt St., Baltimore, MD 21202  
*State or country in which incorporated* — Maryland

T. Rowe Price Institutional Income Funds, Inc./T. Rowe Price Institu-  
tional Large-Cap Growth Fund (Portfolio)  
100 E. Pratt St., Baltimore, MD 21202  
*State or country in which incorporated* — Maryland

T. Rowe Price Institutional Income Funds, Inc./T. Rowe Price Institu-  
tional Large-Cap Value Fund (Portfolio)  
100 E. Pratt St., Baltimore, MD 21202  
*State or country in which incorporated* — Maryland

T. Rowe Price Institutional Income Funds, Inc./T. Rowe Price Institu-  
tional Mid-Cap Equity Growth Fund (Portfolio)  
100 E. Pratt St., Baltimore, MD 21202  
*State or country in which incorporated* — Maryland

T. Rowe Price Institutional Income Funds, Inc./T. Rowe Price Institu-  
tional Small-Cap Stock Fund (Portfolio)  
100 E. Pratt St., Baltimore, MD 21202  
*State or country in which incorporated* — Maryland

Union Square Entertainment LLC  
9595 Wilshire Blvd., Suite 900, Beverly Hills, CA 90212  
*State or country in which incorporated* — California

USA Briar Forest Crossing, LP  
111 Corporate Dr., Suite 210, Ladera Ranch, CA 92694  
*Partnership* — USA Briar Forest Crossing GP, LLC

Vardon Focus International BP, Ltd.  
% Admiral Administration Ltd., P.O. Box 320321 SMB, Anchorage  
Centre, 2nd Fl., Grand Cayman, Cayman Islands, B.W.I  
*State or country in which incorporated* — Cayman Islands

Vardon International BP, Ltd.  
% Admiral Administration Ltd., P.O. Box 320321 SMB, Anchorage  
Centre, 2nd Fl., Grand Cayman, Cayman Islands, B.W.I  
*State or country in which incorporated* — Cayman Islands

Walnut Creek Capital Advisors, LLC  
660 Evening Pine Lane, Alpharetta, GA 30005

Williams Jones & Associates Hedge Fund 7 Traunche 12  
% Williams, Jones & Associates, Inc., 717 Fifth Ave., New York, NY  
10022

WM Financial Services  
3351 Michelson Dr., Irvine, CA 92612

WP Main Line Fund I, L.P.  
940 Haverford Rd., Bryn Mawr, PA 19010  
*Partnership* — WP Main Line Developers I, L.P. and WP Main Line  
Developers I, Inc.

### FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law  
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY  
DEALERS; BROKERS;  
SYNDICATE MANAGERS

ABL Capital Fund, LP  
c/o JLP Management Associates, LLC, 1033 Skokie Blvd., Suite 620,  
Northbrook, IL 60062  
*Security(ies)* — Units of limited partnership interest  
*Issuer of security(ies)* — ABL Capital Fund, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

ABL Capital Offshore Fund, Ltd.  
c/o JLP Management Associates, LLC, 1033 Skokie Blvd., Suite 620,  
Northbrook, IL 60062  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Redeemable participating non-voting shares  
*Issuer of security(ies)* — ABL Capital Offshore Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Access One Trust—Access Flex High Yield Fund  
c/o BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012  
*Security(ies)* — Units of beneficial interest  
*Issuer of security(ies)* — ProFunds Distributors, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Access One Trust—Access High Yield Fund  
c/o BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012  
*Security(ies)* — Units of beneficial interest  
*Issuer of security(ies)* — ProFunds Distributors, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Adaptive Strategies Fund LLC  
350 Fifth Ave., Suite 3322, New York, NY 10118  
*State or country in which incorporated* — New York  
*Security(ies)* — Membership interests  
*Issuer of security(ies)* — Adaptive Strategies Fund LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

BBOG, LLC  
2715 E. Main St., Bexley, OH 43209  
*State or country in which incorporated* — Ohio limited liability  
company  
*Security(ies)* — Units consisting of membership interests in a limited  
liability company  
*Issuer of security(ies)* — BBOG, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Ohio

Bioheart, Inc.  
2400 N. Commerce Pkwy., Suite 408, Weston, FL 33326  
*State or country in which incorporated* — Florida  
*Security(ies)* — Common stock, par value \$.001 per share  
*Issuer of security(ies)* — Bioheart, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Florida

Blackacre Institutional Partners Business Trust  
c/o Blackacre Institutional Capital Management, LLC, 299 Park Ave.,  
New York, NY 10171  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Series A cumulative non-voting preferred shares  
*Issuer of security(ies)* — Blackacre Institutional Partners Business Trust  
*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

C.E. Uterberg, Towbin LLC  
350 Madison Ave., New York, NY 10017  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock, par value \$0.001 per share  
*Issuer of security(ies)* — Tejas Incorporated  
*Address of issuer* — 2700 Via Fortuna, Suite 400, Austin, TX 78746  
*State or country in which organized* — Delaware

Citigroup Global Markets Inc.  
388 Greenwich St., New York, NY 10013  
*State or country in which incorporated* — New York  
*Security(ies)* — Units of fractional undivided interest  
*Issuer of security(ies)* — Equity Focus Trusts — S.T.A.R.T. 2005 Series  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Citigroup Global Markets Inc.  
388 Greenwich St., New York, NY 10013  
*State or country in which incorporated* — New York  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — CitiFunds Trust I—Smith Barney Emerging

## Markets Equity Fund

*Address of issuer* — 333 Greenwich St., New York, NY 10048

*State or country in which organized* — Massachusetts

## Claymore Securities, Inc.

2455 Corporate W. Dr., Lisle, IL 60532

*State or country in which incorporated* — Delaware

*Security(ies)* — 10,000,000 units of undivided interest

*Issuer of security(ies)* — Dow 10<sup>SM</sup> Portfolio (15-month), 1st Quarter 2005

*Address of issuer* — Same as above

*State or country in which organized* — Kansas

## Claymore Securities, Inc.

2455 Corporate W. Dr., Lisle, IL 60532

*State or country in which incorporated* — Delaware

*Security(ies)* — 10,000,000 units of undivided interest

*Issuer of security(ies)* — Large-Cap Core Portfolio, Series 4

*Address of issuer* — Same as above

*State or country in which organized* — Kansas

## Claymore Securities, Inc.

2455 Corporate W. Dr., Lisle, IL 60532

*State or country in which incorporated* — Delaware

*Security(ies)* — 10,000,000 units of undivided interest

*Issuer of security(ies)* — Small-Cap Core Portfolio, Series 3

*Address of issuer* — Same as above

*State or country in which organized* — Kansas

## Claymore Securities, Inc.

2455 Corporate W. Dr., Lisle, IL 60532

*State or country in which incorporated* — Delaware

*Security(ies)* — 10,000,000 units of undivided interest

*Issuer of security(ies)* — Strategic Equity Allocation Trust, Series 2

*Address of issuer* — Same as above

*State or country in which organized* — Kansas

## Claymore Securities, Inc.

2455 Corporate W. Dr., Lisle, IL 60532

*State or country in which incorporated* — Delaware

*Security(ies)* — 10,000,000 units of undivided interest

*Issuer of security(ies)* — Tactical Enhanced Market Portfolio, Series 2

*Address of issuer* — Same as above

*State or country in which organized* — Kansas

## Colossus Capital Fund, Ltd.

% Colossus Capital Management, L.L.C., 1033 Skokie Blvd., Suite 620, Northbrook, IL 60062

*State or country in which incorporated* — Cayman Islands

*Security(ies)* — Redeemable participating voting shares

*Issuer of security(ies)* — Colossus Capital Fund, Ltd.

*Address of issuer* — Same as above

*State or country in which organized* — Cayman Islands

## Colossus Capital Fund, L.P.

% Colossus Capital Management, L.L.C., 1033 Skokie Blvd., Suite 620, Northbrook, IL 60062

*Security(ies)* — Units of limited partnership interest

*Issuer of security(ies)* — Colossus Capital Fund, L.P.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

## Condor Limited Partnership

120 Cottage Place, Charlotte, NC 28207

*Security(ies)* — Partnership interests

*Issuer of security(ies)* — Condor Limited Partnership

*Address of issuer* — Same as above

*State or country in which organized* — North Carolina

## Cornerstone Ministries Investments, Inc.

2450 Atlanta Hwy., Suite 904, Cumming, GA 30040

*State or country in which incorporated* — Georgia

*Security(ies)* — Common stock

*Issuer of security(ies)* — Cornerstone Ministries Investments, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Georgia

## Cornerstone Ministries Investments, Inc.

2450 Atlanta Hwy., Suite 904, Cumming, GA 30040

*State or country in which incorporated* — Georgia

*Security(ies)* — Series F bonds

*Issuer of security(ies)* — Cornerstone Ministries Investments, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Georgia

## Creative Enterprises International, Inc.

825 Lafayette Rd., Bryn Mawr, PA 19010

*State or country in which incorporated* — Nevada

*Security(ies)* — Convertible debentures

*Issuer of security(ies)* — Creative Enterprises International, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Nevada

## Credos Convertible Fund, L.P.

461 Fifth Ave., 22nd Fl., New York, NY 10017

*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — Credos Convertible Fund, L.P.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

## C. S. Bioscience Inc.

56 The Circle, East Hampton, NY 11937

*State or country in which incorporated* — New York

*Security(ies)* — Shares of common stock

*Issuer of security(ies)* — C.S. Bioscience Inc.

*Address of issuer* — Same as above

*State or country in which organized* — New York

## Defiance Offshore Fund, Ltd.

% Goldman Sachs (Cayman) Trust, Limited, P.O. Box 896, Harbour Centre, 2nd Fl., George Town, Grand Cayman, Cayman Islands, British West Indies

*State or country in which incorporated* — Cayman Islands

*Security(ies)* — Common shares par value \$0.01 (U.S.) per shares

*Issuer of security(ies)* — Defiance Offshore Fund, Ltd.

*Address of issuer* — Same as above

*State or country in which organized* — Cayman Islands

## Drinks Americas Inc.

372 Danbury Rd., Wilton, CT 06897

*State or country in which incorporated* — Delaware

*Security(ies)* — Convertible notes (units consisting of convertible notes and warrants)

*Issuer of security(ies)* — Drinks Americas Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

DSIL Investment Services LLC  
536 Broadway, 7th Fl., New York, NY 10012  
*State or country in which incorporated* — New York  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — Domini Institutional Trust—Domini Institutional Social Equity Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

Duncan Capital Financial Group, Inc.  
830 Third Ave., New York, NY 10022  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Duncan Capital Financial Group, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Duncan Capital Financial Group, Inc.  
830 Third Ave., New York, NY 10022  
*Security(ies)* — Units consisting of preferred stock and warrants  
*Issuer of security(ies)* — Duncan Capital Financial Group, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

E2M Value Added Fund, LP  
3401 Armstrong Ave., Dallas, TX 75205  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — E2M Value Added Fund, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Edgewood Services, Inc.  
5800 Corporate Dr., Pittsburgh, PA 15237  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — Money Market Obligations Trust—Federated Capital Reserves Fund  
*Address of issuer* — 5800 Corporate Dr., Pittsburgh, PA 15237-7000  
*State or country in which organized* — Massachusetts

Elephant Pharmacy, Inc.  
1912 Bonita Ave., Berkeley, CA 94704  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Elephant Pharmacy, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Elliott Special GP, LLC  
712 Fifth Ave., 36th Fl., New York, NY 10019  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — Elliott Special GP, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Endeavor Real Estate Fund I, LLC  
1300 Veteran's Memorial Hwy., Hauppauge, NY 11788  
*State or country in which incorporated* — New York limited liability company  
*Security(ies)* — Class A 12% membership interests with class B 10% membership interests with class C 9% membership interest  
*Issuer of security(ies)* — Endeavor Real Estate Fund II, LLC  
*Address of issuer* — 300 Motor Pkwy., Suite 200, Hauppauge, NY

11788  
*State or country in which organized* — New York limited liability company

Epixtar Corp.  
11900 Biscayne Blvd., Miami, FL 33181  
*State or country in which incorporated* — Florida  
*Security(ies)* — Units of convertible promissory notes and warrants  
*Issuer of security(ies)* — Epixtar Corp.  
*Address of issuer* — Same as above  
*State or country in which organized* — Florida

Ergon Capital Offshore Fund, Ltd.  
% Beacon Fund Advisors Ltd., Harbour Centre, 2nd Fl., Waterfront Dr., P.O. Box 972, Road Town, Tortola, British Virgin Islands  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Common shares  
*Issuer of security(ies)* — Ergon Capital Offshore Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Ergon Capital, LP  
1525B The Greens Way, Jacksonville, FL 32250  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Ergon Capital, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Evident Technologies, Inc.  
216 River St., Suite 200, Troy, NY 12180  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series C convertible preferred stock  
*Issuer of security(ies)* — Evident Technologies, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Excalibur Partners  
Three Barnabas Road, Marion, MA 02738  
*Security(ies)* — Partnership interests  
*Issuer of security(ies)* — Excalibur Partners  
*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

Fairfax Development Group II, LLC, The  
16921 S. Western Ave., Suite 204, Gardena, CA 90247  
*Security(ies)* — Class B membership interests  
*Issuer of security(ies)* — The Fairfax Development Group II, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — California

First Trust Portfolios L.P.  
1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 925 (Healthcare Portfolio, Series 7)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 925 (Leading Brands Portfolio, Series 15)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 925 (Market Leaders Portfolio, Series 9)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 925 (The Key 3 Portfolio, Series 7)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 925 (Utilities Portfolio, Series 9)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

Forum Fund Services, LLC  
Two Portland Square, Portland, ME 04101  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — Auxier Focus Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Fulcrum Pharmaceuticals, Inc.  
2794 Red Arrow Dr., Las Vegas, NV 89135  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series A convertible preferred stock, \$.001 par value per share  
*Issuer of security(ies)* — Fulcrum Pharmaceuticals, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Funds Distributor, Inc.  
60 State St., Boston, MA 02109  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — GMO Trust—GMO Global Growth Fund  
*Address of issuer* — c/o GMO Trust, 40 Rowes Wharf, Boston, MA 02110  
*State or country in which organized* — Massachusetts

Geokinetics Inc.  
One Riverway, Suite 2100, Houston, TX 77056  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series A senior convertible preferred stock  
*Issuer of security(ies)* — Geokinetics Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Harbert Fixed Income Spread Opportunities Offshore Fund, Ltd.  
% International Fund Services (Ireland) Limited, Third Fl., Bishop's Sq., Redmons's Hill, Dublin 2, Ireland  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Common shares, par value \$0.01 (U.S.) per share  
*Issuer of security(ies)* — Harbert Fixed Income Spread Opportunities Offshore Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Hard Assets 2X Fund Ltd.  
% Prime Management Limited, Mechanics Bldg., P.O. Box HM 3348, 12 Church St., Hamilton HM 11, Bermuda  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Common shares, par value \$0.01 (U.S.) per share  
*Issuer of security(ies)* — Hard Assets 2X Fund Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Heartwood Forestland Fund V Limited Partnership  
1512 E. Franklin St., Suite 104, Chapel Hill, NC 27514  
*Security(ies)* — Units of limited partnership interest  
*Issuer of security(ies)* — Heartwood Forestland Fund V Limited Partnership  
*Address of issuer* — Same as above  
*State or country in which organized* — North Carolina

Herbert J. Sims & Co., Inc.  
3530 Post Rd., Southport, CT 06890-1169  
*State or country in which incorporated* — New York  
*Security(ies)* — Revenue bonds, Rainbow Vision of Santa Fe Project Series 2004  
*Issuer of security(ies)* — Sims Rainbow Vision, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Connecticut

H Partners, LP  
152 W. 57th St., 52nd Fl., New York, NY 10019  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — H Partners, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

HSBC Investor Funds—HSBC Aggressive Growth Strategy Fund  
% BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — BISYS Fund Services, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

HSBC Investor Funds—HSBC Conservative Growth Strategy Fund  
% BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — BISYS Fund Services, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

HSBC Investor Funds—HSBC Conservative Income Strategy Fund  
% BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — BISYS Fund Services, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

HSBC Investor Funds—HSBC Growth Strategy Fund  
% BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — BISYS Fund Services, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

HSBC Investor Funds—HSBC Moderate Growth Strategy Fund  
% BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012  
*Security(ies)* — Shares of beneficial interest

*Issuer of security(ies)* — BISYS Fund Services, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

IGP Acquisition, LLC  
 % Chestnut Capital Partners, 120 Worcester St., New York, NY 10012  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Limited liability common units  
*Issuer of security(ies)* — IGP Acquisition, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

InvestForClosures Ventures, LLC  
 326 Railroad St., Woodstock, IL 60093  
*Security(ies)* — Debt  
*Issuer of security(ies)* — InvestForClosures Ventures, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

Invizeon Corporation  
 2409 Dearborn Ave., Missoula, MT 59801  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common shares—2004  
*Issuer of security(ies)* — Invizeon Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Montana

Joseph Gunnar & Co., LLC  
 30 Broad St., 12th Fl., New York, NY 10004  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Softheon, Inc.  
*Address of issuer* — 1393 Veterans Memorial Hwy., Hauppauge, NY 11788  
*State or country in which organized* — Delaware

K&E Investment Partners, LLC - 2005 PEF  
 200 E. Randolph Dr., Chicago, IL 60601  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — K&E Investment Partners, LLC - 2005 PEF  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Kinneret Fund, L.P.  
 511 E. 20th St., Apt. 13F, New York, NY 10010-7526  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Kinneret Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Georgia

Last Licks Holdings, Inc.  
 33 LeCount Place, New Rochelle, NY 10801  
*Security(ies)* — Units consisting of series A convertible preferred stock  
*Issuer of security(ies)* — Last Licks Holdings, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Logan Investment Corp.  
 601 Main St., Keokuk, IA 52632  
*State or country in which incorporated* — Iowa  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Logan Investment Corp.  
*Address of issuer* — Same as above  
*State or country in which organized* — Iowa

Medical Venture Fund, Ltd.  
 630 W. Parker Rd., Plano, TX 75093  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Medical Venture Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Texas

MFS Fund Distributors, Inc.  
 500 Boylston St., Boston, MA 02116-3741  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — MFS Series Trust X—MFS Floating Rate High Income Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

Monaco Trading Fund LLC  
 % Alden Management Associates LLC, 45 Rockefeller Plaza, Suite 2079, New York, NY 10111  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — Monaco Trading Fund LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Network-1 Security Solutions, Inc.  
 445 Park Ave., Suite 1028, New York, NY 10022  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Units (consisting of common stock and warrants)  
*Issuer of security(ies)* — Network-1 Security solutions, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Nothing Partners LLP  
 Three Barnabas Rd., Marion, MA 02738  
*Security(ies)* — Partnership interests  
*Issuer of security(ies)* — Nothing Partners LLP  
*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

Orchid Asia III, L.P.  
 % Orchid Asia Group Management, Limited, 58/F Two International Finance Centre, Suite 5805, Eight Finance St., Central, Hong Kong, China  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Orchid Asia III, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Overture Asset Managers, LLC  
 350 Madison Ave., 11th Fl., New York, NY 10017  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class A points  
*Issuer of security(ies)* — Overture Asset Managers, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Pacific Select Distributors, Inc.  
 700 Newport Center Dr., P.O. Box 9000, Newport Beach, CA 92660  
*State or country in which incorporated* — California  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — Pacific Funds — PF Lazard Mid-Cap Value Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Pacific Select Distributors, Inc.  
700 Newport Center Dr., P.O. Box 9000, Newport Beach, CA 92660  
*State or country in which incorporated* — California  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — Pacific Funds — PF Van Kampen Real Estate Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Parkland Investment Partners, L.P.  
336 Fourth Ave., 5th Fl., The Times Bldg., Pittsburgh, PA 15222  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Parkland Investment Partners, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Paulson Investment Company, Inc.  
811 Sw. Naito Pkwy., Suite 200, Portland, OR 97204  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Units consisting of common stock with warrants  
*Issuer of security(ies)* — NuVim, Inc.  
*Address of issuer* — 12 N. State Rte. 17, Paramus, NJ 07652  
*State or country in which organized* — Delaware

Periculum Advisors, LLC  
111 Monument Circle, Suite 1022, Indianapolis, IN 46204  
*State or country in which incorporated* — Indiana  
*Security(ies)* — Convertible preferred stock  
*Issuer of security(ies)* — Suros Surgical Systems, Inc.  
*Address of issuer* — Northwest Technology Center, 6100 Technology Center Dr., Indianapolis, IN 46278  
*State or country in which organized* — Delaware

Phoenix Equity Planning Corporation  
56 Prospect St., Hartford, CT 06103  
*State or country in which incorporated* — Connecticut  
*Security(ies)* — Phoenix Global Utilities Fund - units consisting of shares of beneficial interest class A and C (no par value)  
*Issuer of security(ies)* — Phoenix Investment Series Fund  
*Address of issuer* — 101 Munson St., Greenfield, MA 01301  
*State or country in which organized* — Delaware

Private Client Resources, LLC  
187 Danbury Rd., Suite 202, Wilton, CT 06897  
*Security(ies)* — Membership interests  
*Issuer of security(ies)* — Private Client Resources, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

ProFunds—Rising Rates Opportunity 10 ProFund  
% BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012  
*Security(ies)* — Units of beneficial interest  
*Issuer of security(ies)* — ProFunds Distributors, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Project Horizon, Inc. d/b/a InMotion Pictures  
4801 Executive Park Ct., Bldg. 200, Suite 208, Jacksonville, FL 32216  
*State or country in which incorporated* — Florida  
*Security(ies)* — Common stock with options to purchase common stock  
*Issuer of security(ies)* — Project Horizon, Inc. d/b/a InMotion Pictures  
*Address of issuer* — Same as above  
*State or country in which organized* — Florida

Providence Recovery Partners, L.P.  
730 Fifth Ave., Suite 1002, New York, NY 10019  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Providence Recovery Partners, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Quasar Distributors, LLC  
615 E. Michigan St., Milwaukee, WI 53202  
*State or country in which incorporated* — Wisconsin  
*Security(ies)* — Intrepid Capital Fund - shares of beneficial interest  
*Issuer of security(ies)* — Intrepid Capital Management Funds  
*Address of issuer* — % USBancorp Fund Services, LLC, 615 E. Michigan St., Milwaukee, WI 53202  
*State or country in which organized* — Delaware

Queens Road Securities, LLC  
100 Queens Rd., Charlotte, NC 28204  
*State or country in which incorporated* — North Carolina  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — Bragg Capital Trust — Queens Road Small Cap Value Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Rischul, LLC  
18 Green Valley Rd., Armonk, NY 10504  
*Security(ies)* — Membership interests  
*Issuer of security(ies)* — Rischul, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Rubato Japan Fund, LP  
M&C Corporate Services Limited, Uglan House, South Church St., P.O. Box 309, George Town, Grand Cayman, Cayman Islands British West Indies  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Rubato Japan Fund, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Rushmore Recoveries VIII, LLC  
10 New King St., Suite 205, White Plains, NY 10604  
*Security(ies)* — Membership interest  
*Issuer of security(ies)* — Rushmore Recoveries VIII, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Sandler Capital Structure Opportunities Fund, Ltd.  
% Olympia Capital (Cayman) Limited, Caledonian House, P.O. Box 1043, George Town, Grand Cayman, Cayman Islands  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Common shares, par value \$0.01 (U.S.) per share  
*Issuer of security(ies)* — Sandler Capital Structure Opportunities Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Schroder Fund Advisors Inc.  
787 Seventh Ave., New York, NY 10019  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — Schroder series trust — Schroder U.S. Core Fixed Income Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

## Scopia Long LLC

100 Park Ave., Suite 2150, New York, NY 10017  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — Scopia Long LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

## SEI Investments Distribution Co.

One Freedom Valley Rd., Oaks, PA 19456  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Units of beneficial interest  
*Issuer of security(ies)* — The Advisors' Inner Circle Fund II — Reaves Select Research Fund  
*Address of issuer* — 101 Federal St., Boston, MA 02110  
*State or country in which organized* — Massachusetts

## Spring Point Opportunity Offshore Fund

% BISYS, Hedge Fund Services (Cayman) Limited, P.O. Box 30362  
 SMB, 3rd Fl., Harbour Centre, George Town, Grand Cayman, Cayman Islands, B.W.I.  
*Security(ies)* — Redeemable shares  
*Issuer of security(ies)* — Spring Point Opportunity Offshore fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

## SSI Enhanced Equity Index, L.P.

357 N. Canon Dr., Beverly Hills, CA 90210  
*State or country in which incorporated* — California limited partnership  
*Security(ies)* — Limited partner interests  
*Issuer of security(ies)* — SSI Enhanced Equity Index, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — California

## State Street Global Markets, LLC

State Street Financial Center, One Lincoln St., Boston, MA 02111-2900  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — SSgA Funds — SSgA Directional Core Equity Fund  
*Address of issuer* — 909 A St., Tacoma, WA 98402  
*State or country in which organized* — Massachusetts

## State Street Global Markets, LLC

State Street Financial Center, One Lincoln St., Boston, MA 02111-2900  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — SSgA Funds — SSgA Enhanced Small Cap Fund  
*Address of issuer* — 909 A St., Tacoma, WA 98402  
*State or country in which organized* — Massachusetts

## Stella Capital Offshore Fund, Ltd.

% Walkers Chambers, P.O. Box 92, Road Town, Tortola, British Virgin Islands  
*State or country in which incorporated* — British Virgin Islands  
*Security(ies)* — Common shares, par value \$0.01 (U.S.) per share  
*Issuer of security(ies)* — Stella Capital Offshore Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — British Virgin Islands

## Stella Capital Partners, L.P.

11 Midway Rd., Larkspur, CA 94939  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Stella Capital Partners, L.P.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

## S.W.A.N. Partners, L.P.

222 Purchase St., Suite 300, Rye, NY 10580  
*Security(ies)* — Limited partner interests  
*Issuer of security(ies)* — S.W.A.N. Partners, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

## Teachers Personal Investors Services, Inc.

730 Third Ave., New York, NY 10017  
*Security(ies)* — Mutual funds  
*Issuer of security(ies)* — TIAA-CREF Institutional Mutual Funds - 2010 Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

## Teachers Personal Investors Services, Inc.

730 Third Ave., New York, NY 10017  
*Security(ies)* — Mutual funds  
*Issuer of security(ies)* — TIAA-CREF Institutional Mutual Funds - 2015 Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

## Teachers Personal Investors Services, Inc.

730 Third Ave., New York, NY 10017  
*Security(ies)* — Mutual funds  
*Issuer of security(ies)* — TIAA-CREF Institutional Mutual Funds - 2020 Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

## Teachers Personal Investors Services, Inc.

730 Third Ave., New York, NY 10017  
*Security(ies)* — Mutual funds  
*Issuer of security(ies)* — TIAA-CREF Institutional Mutual Funds - 2025 Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

## Teachers Personal Investors Services, Inc.

730 Third Ave., New York, NY 10017  
*Security(ies)* — Mutual funds  
*Issuer of security(ies)* — TIAA-CREF Institutional Mutual Funds - 2030 Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

## Teachers Personal Investors Services, Inc.

730 Third Ave., New York, NY 10017  
*Security(ies)* — Mutual funds  
*Issuer of security(ies)* — TIAA-CREF Institutional Mutual Funds - 2035 Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

## Teachers Personal Investors Services, Inc.

730 Third Ave., New York, NY 10017  
*Security(ies)* — Mutual funds  
*Issuer of security(ies)* — TIAA-CREF Institutional Mutual Funds - 2040 Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Techniscan, Inc.  
1011 E. Murray-Holladay Rd., Salt Lake City, UT 84117  
*State or country in which incorporated* — Utah  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Techniscan, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Utah

TFS Capital Investment Trust  
% Ultimus Fund Solutions, LLC, 225 Pictoria Dr., Suite 450, Cincinnati, OH 45246  
*Security(ies)* — Shares of beneficial interest, no par value  
*Issuer of security(ies)* — TFS Capital Investment Trust - TFS Market Neutral Fund  
*Address of issuer* — 1800 Bayberry Ct., Suite 103, Richmond, VA 23226  
*State or country in which organized* — Ohio

Three Rivers Investment Opportunity Fund, L.P.  
336 Fourth Ave., 7th Fl., The Times Bldg., Pittsburgh, PA 15222  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Three Rivers Investment Opportunity Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Tramontana Point LP  
2546 42nd Ave., San Francisco, CA 94116  
*Security(ies)* — Limited partnership interest  
*Issuer of security(ies)* — Tramontana Point LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

T. Rowe Price Institutional Income Funds, Inc./T. Rowe Price Institutional Core Plus Fund (Portfolio)  
100 E. Pratt St., Baltimore, MD 21202  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — T. Rowe Price Institutional Core Plus Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

T. Rowe Price Institutional Income Funds, Inc./T. Rowe Price Institutional Emerging Markets Equity Fund (Portfolio)  
100 E. Pratt St., Baltimore, MD 21202  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — T. Rowe Price Institutional Emerging Markets Equity Fund  
*Address of issuer* — Same as above  
*State or country in which organized* —

T. Rowe Price Institutional Income Funds, Inc./T. Rowe Price Institutional High Yield Fund (Portfolio)  
100 E. Pratt St., Baltimore, MD 21202  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — T. Rowe Price Institutional High Yield Fund  
*Address of issuer* — Same as above  
*State or country in which organized* —

T. Rowe Price Institutional Income Funds, Inc./T. Rowe Price Institutional Large-Cap Core Growth Fund (Portfolio)  
100 E. Pratt St., Baltimore, MD 21202  
*State or country in which incorporated* — Maryland

*Security(ies)* — Common stock  
*Issuer of security(ies)* — T. Rowe Price Institutional Large-Cap Core Growth Fund  
*Address of issuer* — Same as above  
*State or country in which organized* —

T. Rowe Price Institutional Income Funds, Inc./T. Rowe Price Institutional Large-Cap Growth Fund (Portfolio)  
100 E. Pratt St., Baltimore, MD 21202  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — T. Rowe Price Institutional Large-Cap Growth Fund  
*Address of issuer* — Same as above  
*State or country in which organized* —

T. Rowe Price Institutional Income Funds, Inc./T. Rowe Price Institutional Large-Cap Value Fund (Portfolio)  
100 E. Pratt St., Baltimore, MD 21202  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — T. Rowe Price Institutional Large-Cap Value Fund  
*Address of issuer* — Same as above  
*State or country in which organized* —

T. Rowe Price Institutional Income Funds, Inc./T. Rowe Price Institutional Mid-Cap Equity Growth Fund (Portfolio)  
100 E. Pratt St., Baltimore, MD 21202  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — T. Rowe Price Institutional Mid-Cap Equity Growth Fund  
*Address of issuer* — Same as above  
*State or country in which organized* —

T. Rowe Price Institutional Income Funds, Inc./T. Rowe Price Institutional Small-Cap Stock Fund (Portfolio)  
100 E. Pratt St., Baltimore, MD 21202  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — T. Rowe Price Institutional Small-Cap Stock Fund  
*Address of issuer* — Same as above  
*State or country in which organized* —

Unified Financial Securities, Inc.  
431 N. Pennsylvania St., Indianapolis, IN 46204  
*State or country in which incorporated* — Indiana  
*Security(ies)* — Shares of beneficial interest, no par value  
*Issuer of security(ies)* — TrendStar Investment Trust, TrendStar American Endeavor Fund  
*Address of issuer* — 7300 College Park Blvd., Suite 308, Overland Park, KS 66210  
*State or country in which organized* — Delaware

Unified Financial Securities, Inc.  
431 N. Pennsylvania St., Indianapolis, IN 46204  
*State or country in which incorporated* — Indiana  
*Security(ies)* — Shares of beneficial interest, no par value  
*Issuer of security(ies)* — TrendStar Investment Trust, TrendStar Small Cap Fund  
*Address of issuer* — 7300 College Park Blvd., Suite 308, Overland Park, KS 66210  
*State or country in which organized* — Delaware

Union Square Entertainment LLC  
9595 Wilshire Blvd., Suite 900, Beverly Hills, CA 90212  
*State or country in which incorporated* — California  
*Security(ies)* — Class C membership interests  
*Issuer of security(ies)* — Union Square Entertainment LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — California

USA Briar Forest Crossing, LP  
111 Corporate Dr., Suite 210, Ladera Ranch, CA 92694  
*Security(ies)* — Class A units of limited partnership interest  
*Issuer of security(ies)* — USA Briar Forest Crossing, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Texas

USA Briar Forest Crossing, LP  
111 Corporate Dr., Suite 210, Ladera Ranch, CA 92694  
*Security(ies)* — Tenant-in-common interest  
*Issuer of security(ies)* — USA Briar Forest Crossing, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Texas

Van Kampen Funds Inc.  
One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — EAFE<sup>SM</sup> Select 20 Portfolio 2005-1  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Nasdaq<sup>SM</sup> Select 10 Portfolio 2005-1  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Select 5 Industrial Portfolio 2005-1  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Select S&P<sup>SM</sup> Core Portfolio 2005-1  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Select S&P<sup>SM</sup> Industrial Portfolio 2005-1  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Vardon Focus International BP, Ltd.  
% Admiral Administration Ltd., P.O. Box 320321 SMB, Anchorage  
Centre, 2nd Fl., Grand Cayman, Cayman Islands, B.W.I

*Security(ies)* — Common shares  
*Issuer of security(ies)* — Vardon Focus International BP, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Vardon International BP, Ltd.  
% Admiral Administration Ltd., P.O. Box 320321 SMB, Anchorage  
Centre, 2nd Fl., Grand Cayman, Cayman Islands, B.W.I  
*Security(ies)* — Common shares  
*Issuer of security(ies)* — Vardon International BP, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Williams Jones & Associates Hedge Fund 7 Traunche 12  
% Williams, Jones & Associates, Inc., 717 Fifth Ave., New York, NY  
10022  
*Security(ies)* — Units of undivided interest  
*Issuer of security(ies)* — Williams Jones & Associates Hedge Fund 7  
Traunche 12  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

WM Financial Services  
3351 Michelson Dr., Irvine, CA 92612  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — WM Variable Trust — Strategic Growth  
Portfolio  
*Address of issuer* — 1201 Third Ave., 22nd Fl., Seattle, WA 98101  
*State or country in which organized* — Massachusetts

WM Financial Services  
3351 Michelson Dr., Irvine, CA 92612  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — Wm Variable Trust — Conservative Growth  
Portfolio  
*Address of issuer* — 1201 Third Ave., 22nd Fl., Seattle, WA 98101  
*State or country in which organized* — Massachusetts

WM Financial Services  
3351 Michelson Dr., Irvine, CA 92612  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — WM Variable Trust — Balanced Portfolio  
*Address of issuer* — 1201 Third Ave., 22nd Fl., Seattle, WA 98101  
*State or country in which organized* — Massachusetts

WM Financial Services  
3351 Michelson Dr., Irvine, CA 92612  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — WM Variable Trust — Conservative Balanced  
Portfolio  
*Address of issuer* — 1201 Third Ave., 22nd Fl., Seattle, WA 98101  
*State or country in which organized* — Massachusetts

WM Financial Services  
3351 Michelson Dr., Irvine, CA 92612  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — WM Variable Trust — Flexible Income  
Portfolio  
*Address of issuer* — 1201 Third Ave., 22nd Fl., Seattle, WA 98101  
*State or country in which organized* — Massachusetts

WP Main Line Fund I, L.P.  
940 Haverford Rd., Bryn Mawr, PA 19010  
*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — WP Main Line Fund I, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Pennsylvania

ZARS INC.  
1142 W. 2320 S, Suite A, Salt Lake City, UT 84119  
*State or country in which incorporated* — Utah  
*Security(ies)* — Series C preferred stock  
*Issuer of security(ies)* — ZARS INC.  
*Address of issuer* — Same as above  
*State or country in which organized* — Utah