

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

3 Notch Capital Partners, L.P.

485 Madison Ave., 22nd Fl., New York, NY 10022
Partnership — 3 Notch Capital, L.L.C.

Aldabra Acquisition Corporation

405 Park Ave., Suite 502, New York, NY 10022
State or country in which incorporated — Delaware

Ambient Corporation

79 Chapel Newton, MA 02458
State or country in which incorporated — Delaware

Anglian Commodities US Feeder Fund LLC

375 Park Ave., 29th Fl., New York, NY 10152
Partnership — Vega Managing Member LLC

Aperture Capital II, L.P.

500 Park Ave., Suite 510, New York, NY 10022
Partnership — Aperture Venture Partners, LLC

Assurance Investments, LLC

c/o Porter Capital Management, LLC, 38A Grove St., Ridgefield, CT
06877

Partnership — Porter Capital Management, LLC

AT Oak Hill CP Fund LLC

50 Rockefeller Plaza, 15th Fl., New York, NY 10020
State or country in which incorporated — Delaware

Baird Patrick & Co., Inc.

20 Exchange Place, New York, NY 10005
State or country in which incorporated — Delaware

Basu BioSciences, LP

350 Park Ave., 11th Fl., New York, NY 10022
Partnership — Basu Capital, LLC

Calcine Partners, LP

280 Park Ave., New York, NY 10017
Partnership — Calcine GP, LLC

Cambridge Heart, Inc.

One Oak Park Dr., Bedford, MA 01730
State or country in which incorporated — Delaware

Catalyst Credit Opportunity Master Fund, L.P., The

CIBC Bank and Trust Company (Cayman) Limited, 11 Dr. Roy's Dr.,
P.O. 694 GT, Grand Cayman, Cayman Islands
Partnership — Catalyst Capital Management, L.L.C., general partner

Catalyst Fund, L.P., The

100 Mill Plain Rd., Danbury, CT 06811
Partnership — Catalyst Fund GP, LLC

Church Street Securities Corp.

45 Church St., Suite 10, Freeport, NY 11520
State or country in which incorporated — New York

Citigroup Derivatives Markets Inc.

388 Greenwich St., New York, NY 10013
State or country in which incorporated — Delaware

Connecticut Capital Partners QP, L.P.

137 Rowayton Ave., Suite 230, Rowayton, CT 06853
Partnership — Connecticut Capital Management LLC

Draper Fisher Jurvetson Fund VIII, L.P.

2882 Sand Hill Rd., Suite 150, Menlo Park, CA 94025
Partnership — Draper Fisher Jurvetson Fund VIII Partners, L.P.,
general partner

Dunham & Associates Investment Counsel, Inc.

123 Camino de la Reina, San Diego, CA 92108
State or country in which incorporated — California

EAC Partners, LP

654 Madison Ave., Suite 810, New York, NY 10021
Partnership — EAC GP, LLC

EAC Partners, Ltd.

c/o Citco Fund Services (Cayman Islands) Ltd., West Bay Rd., P.O. Box
31106 SMB, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands

Eagle Capital, L.P.

3000 Sand Hill Rd., Bldg. 2, Suite 245, Menlo Park, CA 94025
Partnership — R. Eliot King & Associates Incorporated, general
partner

Enhance Biotech, Inc.
712 Fifth Ave., New York, NY 10019
State or country in which incorporated — Delaware

FedFirst Financial Corporation
Donner at Sixth St., Monessen, PA 15062
State or country in which incorporated — United States

Fisker Couchbuild, LLC
38 Rollins Place, Laguna Niguel, CA 92677
State or country in which incorporated — California

FriedbergMilstein Private Capital Fund I LLC
c/o Puglisi & Associates, 850 Library Ave., Suite 204, Newark, DE 19711
State or country in which incorporated — Delaware

Global Asset Institutional Fund, LP
100 Colonial Center Pkwy., Suite 140, Lake Mary, FL 32746
Partnership — Hirst Investment Management Inc.

Going Upriver Fund LLC
101 E. 52nd St., 9th Fl., New York, NY 10022

Guanacaste Development LLC
1820 Lancaster St., Suite 120, Baltimore, MD 21231
State or country in which incorporated — Delaware

Hirst Currency Fund, LP
100 Colonial Center Pkwy., Suite 140, Lake Mary, FL 32746
Partnership — Hirst Investment Management Inc.

HSMR Capital Partners (QP), LP
20 Dayton Ave., Greenwich, CT 06830
Partnership — HSMR Advisors, LLC

Icarus Partners, L.P.
One Maritime Plaza, Suite 800, San Francisco, CA 94111
Partnership — Osterweis Capital Management, LLC

Imarx Therapeutics, Inc.
1635 E. 18th St., Tucson, AZ 85719
State or country in which incorporated — Delaware

Incap Securities Inc.
630 A Fitzwatertown Rd., Willow Grove, PA 19090-1904
State or country in which incorporated — Pennsylvania

Integrated Security Systems, Inc.
8200 Springwood Dr., Suite 230, Irving, TX 75063
State or country in which incorporated — Delaware

International Dispensing Corporation
7502 Connelley Dr., Suite 100, Hanover, MD 21076
State or country in which incorporated — Delaware

IXIS Asset Management Distributors, L.P.
399 Boylston St., 6th Fl., Boston, MA 02116
Partnership — IXIS Asset Management Distribution Corp.

J. Giordano Securities
80 Pine St., 33rd Fl., New York, NY 10005
State or country in which incorporated — New York

Jazz Fund, L.P.
555 California St., Suite 2975, San Francisco, CA 94104
Partnership — Symphony Asset Management LLC, general partner

J Giordano Securities Group
1234 Summer St., Stamford, CT 06905

Laidlaw & Company (UK), Ltd.
90 Park Ave., 39th Fl., New York, NY 10016
State or country in which incorporated — United Kingdom

Lake Union Capital Fund, LP
c/o Lake Union Capital Management, LLC, Seattle City Centre, 1420 5th Ave., 22nd Fl., Seattle, WA 98101
Partnership — Lake Union Capital Management, LLC

Lehman Brothers Institutional Liquidity Series
605 Third Ave., 2nd Fl., New York, NY 10158-0180
State or country in which incorporated — Delaware

Lime Financial Services, Ltd.
5885 SW. Meadows Rd., Suite 600, Lake Oswego, OR 97035
State or country in which incorporated — Oregon

MAC Worldwide Inc.
1640 Terrace Way, Walnut Creek, CA 94596
State or country in which incorporated — Delaware

Malory Investments, LLC
520 S. Sepulveda Blvd., Suite 30, Los Angeles, CA 90049
State or country in which incorporated — California

Maple Key + LLC
10 Exchange Place, 26th Fl., Jersey City, NJ 07302
State or country in which incorporated — Delaware limited liability company

Maple Leaf Partners I, L.P.
450 Laurel St., Suite 2105, Baton Rouge, LA 70801
Partnership — Maple Leaf Capital I, L.L.C.

MB Partners LLC
12147 Riverside Dr., North Hollywood, CA 91607
State or country in which incorporated — Nevada

Merrill Lynch, Pierce, Fenner & Smith Incorporated
Four World Financial Center, New York, NY 10080
State or country in which incorporated — Delaware

Monitor Ventures Coinvestors, LP
Two Canal Park, Cambridge, MA 02141
Partnership — Monitor Ventures Coinvestors, LP

Montauk Point Partners L.P.
1055 Franklin Ave., Suite 300, Garden City, NY 11530
Partnership — Kings Point Management L.L.C., general partner

Nortek, Inc.
50 Kennedy Plaza, Providence, RI 02903
State or country in which incorporated — Delaware

Neuberger Berman Institutional Liquidity Series
605 Third Ave., 2nd Fl., New York, NY 10158-0180
State or country in which incorporated — Delaware

OLC Capital Markets, LLC
P.O. Box 2242, Lee's Summit, MO 64063
State or country in which incorporated — Missouri limited liability company

OMG Opportunities Fund LP
169 Piccadilly, Dudley House, London, England W1J 9EH
Partnership — OMG Capital LLC

Pearl Street Fund, LP
c/o Pearl Street Capital, LLC, 7733 Forsyth Blvd., Suite 1901, Saint Louis, MO 63105
Partnership — Pearl Street Capital, LLC

Prosetta Corporation
2185 Union St., San Francisco, CA 94123
State or country in which incorporated — Delaware

Raleigh Fund, L.P., The
c/o Raleigh Capital Management, Inc., 1747 N. Cleveland, Chicago, IL 60614
Partnership — Raleigh Capital Management, Inc.

Retaligent Solutions, Inc.
1050 Crown Pointe Pkwy., Atlanta, GA 30338
State or country in which incorporated — Delaware

Robbins Opportunity Partners, L.P.
Three Ravinia Dr., Suite 1700, Atlanta, GA 30346
Partnership — Robbins Management, L.P., sole general partner

Slater Equity Partners, L.P.
153 E, 53rd St., 26th Fl., New York, NY 10022
Partnership — Slater Asset Management, L.L.C.

Sparta Commercial Services, Inc.
462 Seventh Ave., 20th Fl., New York, NY 10018
State or country in which incorporated — Nevada

Sports Source, Inc.
421 Seventh Ave., New York, NY 10001
State or country in which incorporated — Delaware

Steakhouse Partners, Inc.
10200 Willow Creek Rd., San Diego, CA 92131
State or country in which incorporated — Delaware

Strategic Partners Diversified Fund LLC
233 S. Wacker Dr., Suite 2300, Chicago, IL 60606
State or country in which incorporated — Delaware limited liability company

Sunstone Partners, LLC
c/o Katahdin Capital, 600 Third Ave., 17th Fl., New York, NY 10016
Partnership — Snowy Owls, LLC

Superior Galleries, Inc.
9478 W. Olympic Blvd., Beverly Hills, CA 90212
State or country in which incorporated — Delaware

Titus Financial, Inc.
13649 Montfort Dr., Suite 200, Dallas, TX 75240
State or country in which incorporated — Texas

Tourmaline Partners, L.P.
680 Fifth Ave., Suite 1300, New York, NY 10019
Partnership — Tourmaline Capital, LLC

Two for Seven, LLC
Space 37, Pittsford Plaza, 3349 Monroe Ave., Rochester, NY 14623
State or country in which incorporated — New York

Universal Delivery Solutions, Inc.
9404 Fox Trot Lane, Boca Raton, FL 33496
State or country in which incorporated — Nevada

Viking Energy Royalty Trust
330 5th Ave., Suite 400, Calgary Place, Calgary, Alberta, Canada T2P 0L4

Vision Asset Management, Inc.
6111 N. River Rd., 8th Fl., Rosemont, IL 60018
State or country in which incorporated — Illinois

Vision Investment Services, Inc.
6111 N. River Rd., 8th Fl., Rosemont, IL 60018
State or country in which incorporated — Illinois

Warrior A.T.V. Golf, L.L.C.
15 Mason-B, Irvine, CA 92618
State or country in which incorporated — California

Waterton Fund VIII Investors, L.L.C.
One N. Franklin St., Suite 1150, Chicago, IL 60606
Partnership — Waterton Associates L.L.C.

Waud Capital Partners II, L.P.
560 Oakwood Ave., Suite 203, Lake Forest, IL 60045
Partnership — Waud Capital Partners Management II, L.P.

Waud Capital Partners QP II, L.P.
560 Oakwood Ave., Suite 203, Lake Forest, IL 60045
Partnership — Waud Capital Partners Management II, L.P.

Zaxis Equity Neutral, L.P.
25 Orinda Way, Suite 300, Orinda, CA 94563
Partnership — Apex Capital, LLC, general partner

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY
DEALERS; BROKERS;
SYNDICATE MANAGERS

3 Notch Capital Partners, L.P.
485 Madison Ave., 22nd Fl., New York, NY 10022

Security(ies) — Limited partnership interests
Issuer of security(ies) — 3 Notch Capital Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Amedica Corporation
 2116 S. Lakeline Dr., Salt Lake City, UT 84109
State or country in which incorporated — Delaware
Security(ies) — Series B convertible preferred
Issuer of security(ies) — Amedica Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Ambient Corporation
 79 Chapel, Newton, MA 02458
State or country in which incorporated — Delaware
Security(ies) — 6% convertible debentures series 04-1 with warrants
Issuer of security(ies) — Ambient Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Anderson & Strudwick Incorporated
 707 E. Main St., 20th Fl., Richmond, VA 23219
State or country in which incorporated — Virginia
Security(ies) — Shares of common stock
Issuer of security(ies) — Veri-Tek International Corp.
Address of issuer — c/o Veri-Tek Corporation, 50120 Pontiac Trail, Wixom, MI 48393
State or country in which organized — Michigan

Anglian Commodities US Feeder Fund LLC
 375 Park Ave., 29th Fl., New York, NY 10152
Security(ies) — Limited liability company interests
Issuer of security(ies) — Anglian Commodities US Feeder Fund LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Aperture Capital II, L.P.
 500 Park Ave., Suite 510, New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Aperture Capital II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Assurance Investments, LLC
 c/o Porter Capital Management, LLC, 38A Grove St., Ridgefield, CT 06877
Security(ies) — Limited liability company interest
Issuer of security(ies) — Assurance Investments, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

AT Oak Hill CP Fund LLC
 50 Rockefeller Plaza, 15th Fl., New York, NY 10020
State or country in which incorporated — Delaware
Security(ies) — Limited liability company membership interests
Issuer of security(ies) — AT Oak Hill CP Fund LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Baird Patrick & Co., Inc.
 20 Exchange Place, New York, NY 10005
State or country in which incorporated — Delaware

Security(ies) — Unit(s) comprised of one share of common stock and one common stock purchase warrant
Issuer of security(ies) — Coates International, Ltd.
Address of issuer — 2100 Hwy. #34 & Ridgewood Rd., Wall Twp., NJ
State or country in which organized — Delaware

Basu BioSciences, LP
 350 Park Ave., 11th Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Basu BioSciences, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Bear, Stearns & Co. Inc.; (2) Banc of America Securities LLC; (3) Wachovia Capital Markets, LLC
 383 Madison Ave., New York, NY 10179; (2) Nine W. 57th St., New York, NY 10019; (3) 301 S. College St., Charlotte, NC 28288
State or country in which incorporated — (1) Delaware; (2) & (3) Delaware limited liability company
Security(ies) — 6⁷/₈% senior subordinated notes due 2013
Issuer of security(ies) — DRS Technologies, Inc.
Address of issuer — Five Sylvan Way, Parsippany, NJ 07054
State or country in which organized — Delaware

Berthel, Fisher & Company Financial Services, Inc.
 701 Tama St., Bldg. B, Marion, IA 52302-0609
State or country in which incorporated — Iowa
Security(ies) — Limited liability company membership units
Issuer of security(ies) — Strategic Partners Diversified Fund LLC
Address of issuer — 233 S. Wacker Dr., Suite 2300, Chicago, IL 60606
State or country in which organized — Delaware

Calcine Partners, LP
 280 Park Ave., New York, NY 10017
Security(ies) — Limited partnership interests
Issuer of security(ies) — Calcine Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Cambridge Heart, Inc.
 One Oak Park Dr., Bedford, MA 01730
State or country in which incorporated — Delaware
Security(ies) — Series B convertible preferred stock
Issuer of security(ies) — Cambridge Heart, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Cambridge Heart, Inc.
 One Oak Park Dr., Bedford, MA 01730
State or country in which incorporated — Delaware
Security(ies) — Warrants to purchase shares of common stock
Issuer of security(ies) — Cambridge Heart, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Cambridge Heart, Inc.
 One Oak Park Dr., Bedford, MA 01730
State or country in which incorporated — Delaware
Security(ies) — Warrants to purchase shares of series B convertible preferred stock
Issuer of security(ies) — Cambridge Heart, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Catalyst Credit Opportunity Master Fund, L.P., The
CIBC Bank and Trust Company (Cayman) Limited, 11 Dr. Roy's Dr.,
P.O. Box 694 GT, Grand Cayman, Cayman Islands
Security(ies) — Limited partner interests
Issuer of security(ies) — The Catalyst Credit Opportunity Master Fund,
L.P.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Catalyst Fund, L.P., The
100 Mill Plain Rd., Danbury, CT 06811
Security(ies) — Limited partnership interests
Issuer of security(ies) — The Catalyst Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

CIS Securities, Inc.
233 S. Wacker Dr., Suite 2300, Chicago, IL 60606
State or country in which incorporated — Delaware
Security(ies) — Limited liability company membership units
Issuer of security(ies) — Strategic Partners Diversified Fund LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Citigroup Global Markets Inc.
388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Equity Focus Trusts—Strategic 10 Portfolio,
2004 series D
Address of issuer — Same as above
State or country in which organized — New York

Citigroup Global Markets Inc.
388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Equity Focus Trusts—Strategic S&P Industrial
Portfolio, 2004 Series D
Address of issuer — Same as above
State or country in which organized — New York

Cole Credit Corporation
2555 E. Camelback Rd., Suite 400, Phoenix, AZ 85016
State or country in which incorporated — Arizona
Security(ies) — Common stock, par value \$0.01
Issuer of security(ies) — Cole Credit Property Trust II, Inc.
Address of issuer — Same as above
State or country in which organized — Maryland

Connecticut Capital Partners QP, L.P.
137 Rowayton Ave., Suite 230, Rowayton, CT 06853
Security(ies) — Limited partnership interests
Issuer of security(ies) — Connecticut Capital Partners QP, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Cornerstone Realty Fund, Inc.
4590 MacArthur Blvd., Suite 610, Newport Beach, CA 92660
State or country in which incorporated — Maryland
Security(ies) — Common stock
Issuer of security(ies) — Cornerstone Realty Fund, Inc.
Address of issuer — Same as above

State or country in which organized — Maryland

CPE, LLC - d/b/a C.P. Eaton & Associates; (2) Chatsworth Securities
LLC
143 Rowayton Ave., Rowayton, CT 06853; (2) 95 E. Putnam Ave.,
Greenwich, CT 06830
State or country in which incorporated — (1) & (2) Connecticut
Security(ies) — Limited partnership interests
Issuer of security(ies) — Permal East River Fund, L.P.
Address of issuer — 900 Third Ave., 28th Fl., New York, NY 10022
State or country in which organized — Delaware

Draper Fisher Jurveston Fund VIII, L.P.
2882 Sand Hill Rd., Suite 150, Menlo Park, CA 94025
State or country in which incorporated — Cayman Islands
Security(ies) — Limited partnership interests
Issuer of security(ies) — Draper Fisher Jurvetson Fund VIII, L.P.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Dresser-Rand Group Inc.
Paul Clark Dr., Olean, NY 14760
State or country in which incorporated — Delaware
Security(ies) — Common stock, par value \$.01 per share
Issuer of security(ies) — Dresser-Rand Group Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Dunham & Associates Investment Counsel, Inc.
123 Camino de la Reina, San Diego, CA 92108
Security(ies) — Units of beneficial interest—Dunham Appreciation &
Income Fund, Class C
Issuer of security(ies) — AdvisorOne Funds
Address of issuer — 150 Motor Pkwy., Hauppauge, NY 11788
State or country in which organized — Delaware

Dunham & Associates Investment Counsel, Inc.
123 Camino de la Reina, San Diego, CA 92108
Security(ies) — Units of beneficial interest—Dunham Appreciation &
Income Fund, Class N
Issuer of security(ies) — AdvisorOne Funds
Address of issuer — 150 Motor Pkwy., Hauppauge, NY 11788
State or country in which organized — Delaware

Dunham & Associates Investment Counsel, Inc.
123 Camino de la Reina, San Diego, CA 92108
Security(ies) — Units of beneficial interest—Dunham
Corporate/Government Bond Fund, Class C
Issuer of security(ies) — AdvisorOne Funds
Address of issuer — 150 Motor Pkwy., Hauppauge, NY 11788
State or country in which organized — Delaware

Dunham & Associates Investment Counsel, Inc.
123 Camino de la Reina, San Diego, CA 92108
Security(ies) — Units of beneficial interest—Dunham
Corporate/Government Bond Fund, Class N
Issuer of security(ies) — AdvisorOne Funds
Address of issuer — 150 Motor Pkwy., Hauppauge, NY 11788
State or country in which organized — Delaware

Dunham & Associates Investment Counsel, Inc.
123 Camino de la Reina, San Diego, CA 92108
Security(ies) — Units of beneficial interest—Dunham Emerging

EAC Partners, LP
654 Madison Ave., Suite 810, New York, NY 10021
Security(ies) — Limited partnership interests
Issuer of security(ies) — EAC Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

EAC Partners, Ltd.
% Citco Fund Services (Cayman Islands) Ltd., W. Bay Rd., P.O. Box 31106, SMB Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares
Issuer of security(ies) — EAC Partners, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Eagle Capital, L.P.
3000 Sand Hill Rd., Bldg. 2, Suite 245, Menlo Park, CA 94025
State or country in which incorporated — California limited partnership
Security(ies) — Limited partner interests
Issuer of security(ies) — Eagle Capital, L.P.
Address of issuer — Same as above
State or country in which organized — California

Enhance Biotech, Inc.
712 Fifth Ave., 19th Fl., New York, NY 10019
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Enhance Biotech, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

European Aeronautic Defence and Space Company EADS, N.V.
% EADS, Inc., 1616 N. Fort Myers Dr., Suite 1500, Arlington, VA 22209
State or country in which incorporated — The Netherlands
Security(ies) — Ordinary shares (to be issued in connection with the issuer 2004 stock option plan)
Issuer of security(ies) — European Aeronautic Defence and Space Company EADS, N.V.
Address of issuer — Same as above
State or country in which organized — The Netherlands

FedFirst Financial Corporation
Donner at Sixth St., Monessen, PA 15062
State or country in which incorporated — United States
Security(ies) — Common stock, par value \$0.01 per share
Issuer of security(ies) — FedFirst Financial Corporation
Address of issuer — Same as above
State or country in which organized — United States

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 901 (Massachusetts Municipal Income Closed-End Portfolio)
Address of issuer — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 928 (Global Income Closed-End Portfolio)
Address of issuer — % First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

First Montauk Securities Corporation
328 Newman Springs Rd., Red Bank, NJ 07701
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — ImaRx Therapeutics, Inc.
Address of issuer — 1635 E. 18th St., Tucson, AZ 85719
State or country in which organized — Delaware

Fisker Coachbuild, LLC
38 Rollins Place, Laguna Niguel, CA 92677
State or country in which incorporated — California
Security(ies) — LLC membership units
Issuer of security(ies) — Fisker Coachbuild, LLC
Address of issuer — Same as above
State or country in which organized — California

Fortress Technologies, Inc.
4025 Tampa Rd., Suite 1111, Oldsmar, FL 35677
State or country in which incorporated — Delaware
Security(ies) — Series D preferred stock, par value \$0.01 per share
Issuer of security(ies) — Fortress Technologies, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

FriedbergMilstein Private Capital Fund I LLC
% Puglisi & Associates, 850 Library Ave., Suite 204, Newark, DE 19711
State or country in which incorporated — Delaware
Security(ies) — 119,900 Mandatorily redeemable preference shares
Issuer of security(ies) — FriedbergMilstein Private Capital Fund I LLC
Address of issuer — Same as above
State or country in which organized — Delaware

FriedbergMilstein Private Capital Fund I LLC
% Puglisi & Associates, 850 Library Ave., Suite 204, Newark, DE 19711
State or country in which incorporated — Delaware
Security(ies) — U.S. \$10,000,000 aggregate face amount of combination securities due 2019
Issuer of security(ies) — FriedbergMilstein Private Capital Fund I LLC
Address of issuer — Same as above
State or country in which organized — Delaware

FriedbergMilstein Private Capital Fund I LLC
% Puglisi & Associates, 850 Library Ave., Suite 204, Newark, DE 19711
State or country in which incorporated — Delaware
Security(ies) — U.S. \$12,750,000 class D-2 fourth priority secured deferrable fixed rate notes due 2019
Issuer of security(ies) — FriedbergMilstein Private Capital Fund I LLC
Address of issuer — Same as above
State or country in which organized — Delaware

FriedbergMilstein Private Capital Fund I LLC
% Puglisi & Associates, 850 Library Ave., Suite 204, Newark, DE 19711
State or country in which incorporated — Delaware
Security(ies) — U.S. \$21,000,000 class D-1 Fourth priority secured

deferrable floating rate notes due 2019

Issuer of security(ies) — FriedbergMilstein Private Capital Fund I LLC

Address of issuer — Same as above

State or country in which organized — Delaware

Genelink, Inc.

100 S. Thurlow Ave., Margate, NJ 08402

State or country in which incorporated — Pennsylvania

Security(ies) — Units consisting of common stock with warrants

Issuer of security(ies) — Genelink, Inc.

Address of issuer — Same as above

State or country in which organized — Pennsylvania

Global Asset Institutional Fund, LP

100 Colonial Center Pkwy., Suite 140, Lake Mary, FL 32746

Security(ies) — Partnership interests

Issuer of security(ies) — Global Asset Institutional Fund, LP

Address of issuer — Same as above

State or country in which organized — Delaware

Going Upriver Fund LLC

101 E. 52nd St., 9th Fl., New York, NY 10022

Security(ies) — Limited liability company membership interests

Issuer of security(ies) — Going Upriver Fund LLC

Address of issuer — Same as above

State or country in which organized — New York

Goldman, Sachs & Co.

85 Broad St., New York, NY 10004

State or country in which incorporated — New York partnership

Security(ies) — 12.00% senior discount notes due February 15, 2015

Issuer of security(ies) — Stanadyne Holdings, Inc.

Address of issuer — 92 Deerfield Rd., Windsor, CT 06095

State or country in which organized — Delaware

Guanacaste Development LLC

1820 Lancaster St., Suite 120, Baltimore, MD 21231

State or country in which incorporated — Maryland

Security(ies) — Class B units comprised of voting limited liability company membership interests

Issuer of security(ies) — Guanacaste Development LLC

Address of issuer — Same as above

State or country in which organized — Maryland

Hartford Series Fund, Inc.

200 Hopemeadow St., Simsbury, CT 06089

State or country in which incorporated — United States

Security(ies) — Shares of common stock

Issuer of security(ies) — Hartford Series Fund, Inc.—Hartford Growth HLS Fund

Address of issuer — Same as above

State or country in which organized — Maryland

Hartford HLS Series Fund II, Inc.

200 Hopemeadow St., Simsbury, CT 06089

State or country in which incorporated — United States

Security(ies) — Shares of common stock

Issuer of security(ies) — Hartford HLS Series Fund II, Inc.—Hartford MidCap Stock HLS Fund

Address of issuer — Same as above

State or country in which organized — Maryland

Hartford HLS Series Fund II, Inc.

200 Hopemeadow St., Simsbury, CT 06089

State or country in which incorporated — United States

Security(ies) — Shares of common stock

Issuer of security(ies) — Hartford HLS Series Fund II, Inc.—Hartford Value Opportunities HLS Fund

Address of issuer — Same as above

State or country in which organized — Maryland

HeartWare Limited

Level 1, Blight St., Sydney, NSW 2000, Australia

State or country in which incorporated — Australia

Security(ies) — Ordinary shares

Issuer of security(ies) — HeartWare Limited

Address of issuer — Same as above

State or country in which organized — Australia

Hirst Currency Fund, LP

100 Colonial Center Pkwy., Suite 140, Lake Mary, FL 32746

Security(ies) — Partnership interests

Issuer of security(ies) — Hirst Currency Fund, LP

Address of issuer — Same as above

State or country in which organized — Delaware

HSMR Capital Partners (QP), LP

20 Dayton Ave., Greenwich, CT 06830

Security(ies) — Limited partnership interests

Issuer of security(ies) — HSMR Capital Partners (QP), LP

Address of issuer — Same as above

State or country in which organized — Delaware

Icarus Partners, L.P.

One Maritime Plaza, Suite 800, San Francisco, CA 94111

State or country in which incorporated — California limited partnership

Security(ies) — Limited partnership interests

Issuer of security(ies) — Icarus Partners, L.P.

Address of issuer — Same as above

State or country in which organized — California

Incap Securities Inc.

630A Fitzwatertown Rd., Willow Grove, PA 19090-1904

State or country in which incorporated — Pennsylvania

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Commonwealth International Series Trust—Commonwealth Real Estate Securities Fund

Address of issuer — 5847 San Felipe, Suite 850, Houston, TX 77057

State or country in which organized — Massachusetts

Informedix Holdings, Inc.

Georgetowne Park, 5880 Hubbard Dr., Rockville, MD 20852-4821

Security(ies) — Common stock

Issuer of security(ies) — Informedix Holdings, Inc.

Address of issuer — Same as above

State or country in which organized — Nevada

Integrated Security Systems, Inc.

8200 Springwood Dr., Suite 230, Irving, TX 75063

State or country in which incorporated — Delaware

Security(ies) — Subordinated 10% convertible promissory notes

Issuer of security(ies) — Integrated Security Systems, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

International Dispensing Corporation

7502 Connelley Dr., Suite 100, Hanover, MD 21076

State or country in which incorporated — Delaware corporation
 Security(ies) — Common stock
 Issuer of security(ies) — International Dispensing Corporation
 Address of issuer — Same as above
 State or country in which organized — Delaware

Jazz Fund, L.P.
 555 California St., Suite 2975, San Francisco, CA 94104
 State or country in which incorporated — California limited partnership
 Security(ies) — Limited partner interests
 Issuer of security(ies) — Jazz Fund, L.P.
 Address of issuer — Same as above
 State or country in which organized — California

J Giordano Securities Group
 1234 Summer St., Stamford, CT 06905
 Security(ies) — Series A preferred stock
 Issuer of security(ies) — Architron Systems, Inc.
 Address of issuer — 5777 W. Century Blvd., Suite 890, Los Angeles, CA 90045
 State or country in which organized — Delaware

J. Giordano Securities
 80 Pine St., 33rd Fl., New York, NY 10005
 Security(ies) — Units consisting of up to \$8.4 million notes/common stock and warrants
 Issuer of security(ies) — Pharma Frontiers Corp
 Address of issuer — 835 Greens Pkwy., Houston, TX 77067
 State or country in which organized — Texas

Laidlaw & Company (UK), Inc.
 90 Park Ave., 39th Fl., New York, NY 10016
 State or country in which incorporated — United Kingdom
 Security(ies) — Units consisting of 100,000 shares of common stock and warrants to purchase 50,000 shares of common stock
 Issuer of security(ies) — Steakhouse Partners, Inc.
 Address of issuer — 10200 Willow Creek Rd., San Diego, CA 92131
 State or country in which organized — Delaware

Lake Union Capital Fund, LP
 % Lake Union Capital Management, LLC, Seattle City Centre, 1420 5th Ave., 22nd Fl., Seattle, WA 98101
 Security(ies) — Limited partnership interests
 Issuer of security(ies) — Lake Union Capital Fund, LP
 Address of issuer — Same as above
 State or country in which organized — Delaware

Lazard Funds, Inc., The
 30 Rockefeller Plaza, New York, NY 10112
 State or country in which incorporated — United States
 Security(ies) — Shares of common stock
 Issuer of security(ies) — The Lazard Funds, Inc.—Lazard U.S. Strategic Equity Portfolio
 Address of issuer — Same as above
 State or country in which organized — Maryland

Lehman Brothers, Inc.
 399 Park Ave., New York, NY 10022
 State or country in which incorporated — Delaware
 Security(ies) — Limited partnership interests
 Issuer of security(ies) — Lehman Brothers Real Estate Fund II, L.P.
 Address of issuer — Same as above
 State or country in which organized — Delaware

Lehman Brothers, Inc.
 399 Park Ave., New York, NY 10022
 State or country in which incorporated — Delaware
 Security(ies) — Limited partnership interests
 Issuer of security(ies) — Lehman Brothers Real Estate Pension Partners II, L.P.
 Address of issuer — Same as above
 State or country in which organized — Delaware

Lehman Brothers Institutional Liquidity Series
 605 Third Ave., 2nd Fl., New York, NY 10158-0180
 Security(ies) — Shares of beneficial interest, par value \$0.001 per share
 Issuer of security(ies) — Lehman Brothers Institutional Liquidity Series—Lehman Brothers Institutional Liquidity Fund
 Address of issuer — Same as above
 State or country in which organized — Delaware

Lehman Brothers Institutional Liquidity Series
 605 Third Ave., 2nd Fl., New York, NY 10158-0180
 Security(ies) — Shares of beneficial interest, par value \$0.001 per share
 Issuer of security(ies) — Lehman Brothers Institutional Liquidity Series—Lehman Brothers Prime Money Fund
 Address of issuer — Same as above
 State or country in which organized — Delaware

Lehman Brothers Institutional Liquidity Series
 605 Third Ave., 2nd Fl., New York, NY 10158-0180
 Security(ies) — Shares of beneficial interest, par value \$0.001 per share
 Issuer of security(ies) — Lehman Brothers Institutional Liquidity Series—Lehman Brothers U.S. Treasury Fund
 Address of issuer — Same as above
 State or country in which organized — Delaware

Lime Financial Services, Ltd.
 5885 SW. Meadows Rd., Suite 600, Lake Oswego, OR 97035
 State or country in which incorporated — Oregon
 Security(ies) — Series B preferred
 Issuer of security(ies) — Lime Financial Services, Ltd.
 Address of issuer — Same as above
 State or country in which organized — Oregon

MAC Worldwide, Inc.
 1640 Terrace Way, Walnut Creek, CA 94596
 State or country in which incorporated — Delaware
 Security(ies) — Common stock
 Issuer of security(ies) — MAC Worldwide, Inc.
 Address of issuer — Same as above
 State or country in which organized — Delaware

Malory Investments, LLC
 520 S. Sepulveda Blvd., Suite 30, Los Angeles, CA 90049
 State or country in which incorporated — California
 Security(ies) — Limited partnership units
 Issuer of security(ies) — Advance Body Imaging, Limited Partnership
 Address of issuer — 1339 Katella, Suite 299, Orang, CA 92867
 State or country in which organized — Nevada

Malory Investments, LLC
 520 S. Sepulveda Blvd., Suite 30, Los Angeles, CA 90049
 State or country in which incorporated — California
 Security(ies) — Joint venture units
 Issuer of security(ies) — Powell Production Joint Venture
 Address of issuer — 9249 Lexington Rd., Lancaster, KY 40444
 State or country in which organized — California

Maple Key + LLC
 10 Exchange Place, 26th Fl., Jersey City, NJ 07302
State or country in which incorporated — Delaware limited liability company
Security(ies) — Units of limited liability company membership interest
Issuer of security(ies) — Maple Key + LLC
Address of issuer — Same as above
State or country in which organized — Delaware limited liability company

Maple Leaf Partners I, L.P.
 450 Laurel St., Suite 2105, Baton Rouge, LA 70801
Security(ies) — Limited partnership interests
Issuer of security(ies) — Maple Leaf Partners I, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

MB Partners LLC
 12147 Riverside Dr., North Hollywood, CA 91607
State or country in which incorporated — Nevada
Security(ies) — Limited liability interests
Issuer of security(ies) — MB Partners LLC
Address of issuer — Same as above
State or country in which organized — Nevada

Merrill Lynch, Pierce, Fenner & Smith Incorporated
 Four World Financial Center, New York, NY 10080
State or country in which incorporated — Delaware
Security(ies) — Notes, due 2035, together with 62,300 shares of preferred stock
Issuer of security(ies) — ALESCO Preferred Funding VI, Inc.
Address of issuer — % Corporation Trust Company, 1209 Orange St., Wilmington, DE 19801
State or country in which organized — Delaware

Merrill Lynch, Pierce, Fenner & Smith Incorporated
 Four World Financial Center, New York, NY 10080
State or country in which incorporated — Delaware
Security(ies) — Notes, due 2035, together with 62,300 shares of preferred stock
Issuer of security(ies) — ALESCO Preferred Funding VI, Ltd.
Address of issuer — P.O. Box 908 GT, George Town, Grand Cayman, Cayman Islands
State or country in which organized — The Cayman Islands

Monitor Ventures Coinvestors, LP
 Two Canal Park, Cambridge, MA 02141
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interests
Issuer of security(ies) — Monitor Ventures Coinvestors, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Montauk Point Partners L.P.
 1055 Franklin Ave., Suite 300, Garden City, NY 11530
State or country in which incorporated — Delaware limited partnership
Security(ies) — Limited partner interests
Issuer of security(ies) — Montauk Point Partners L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Morgan Joseph & Co. Inc.
 600 Fifth Ave., 19th Fl., New York, NY 10020

State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Aldabra Acquisition Corporation
Address of issuer — 405 Park Ave., Suite 502, New York, NY 10022
State or country in which organized — Delaware

Morgan Joseph & Co. Inc.
 600 Fifth Ave., 19th Fl., New York, NY 10020
State or country in which incorporated — Delaware
Security(ies) — Units (common stock and warrants)
Issuer of security(ies) — Aldabra Acquisition Corporation
Address of issuer — 405 Park Ave., Suite 502, New York, NY 10022
State or country in which organized — Delaware

Morgan Joseph & Co. Inc.
 600 Fifth Ave., 19th Fl., New York, NY 10020
State or country in which incorporated — Delaware
Security(ies) — Warrants
Issuer of security(ies) — Aldabra Acquisition Corporation
Address of issuer — 405 Park Ave., Suite 502, New York, NY 10022
State or country in which organized — Delaware

Neuberger Berman Institutional Liquidity Series
 605 Third Ave., 2nd Fl., New York, NY 10158-0180
Security(ies) — Shares of beneficial interest, par value \$0.001 per share
Issuer of security(ies) — Neuberger Berman Institutional Liquidity Series—Neuberger Berman Prime Money Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Neuberger Berman Institutional Liquidity Series
 605 Third Ave., 2nd Fl., New York, NY 10158-0180
Security(ies) — Shares of beneficial interest, par value \$0.001 per share
Issuer of security(ies) — Neuberger Berman Institutional Liquidity Series—Neuberger Berman Institutional Cash Fund
Address of issuer — Same as above
State or country in which organized — Delaware

NexMed, Inc.
 350 Corporate Blvd., Robbinsville, NJ 08691
State or country in which incorporated — Nevada
Security(ies) — Warrants to purchase shares of common stock, par value \$0.01, issued in connection with an exempt issuance of common stock
Issuer of security(ies) — NexMed, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Nortek, Inc.
 50 Kenneday Plaza, Providence, RI 02903
State or country in which incorporated — Delaware
Security(ies) — Senior subordinated notes due 2014
Issuer of security(ies) — Nortek, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

OMG Opportunities Fund LP
 169 Piccadilly, Dudley House, Longon, W1J 9EH, England
Security(ies) — Limited partnership interests
Issuer of security(ies) — OMG Opportunities Fund LP
Address of issuer — Same as above
State or country in which organized — Delaware

Palladium Capital Advisors, LLC
 485 Seventh Ave., Suite 608, New York, NY 10018

State or country in which incorporated — Delaware limited liability company

Security(ies) — Units of limited liability company membership interest

Issuer of security(ies) — Maple Key + LLC

Address of issuer — 10 Exchange Place, 26th Fl., Jersey City, NJ 07302

State or country in which organized — Delaware limited liability company

Pearl Street Fund, LP

c/o Pearl Street Capital, LLC, 7733 Forsyth Blvd., Suite 1901, St. Louis, MO 63105

Security(ies) — Limited partnership interests

Issuer of security(ies) — Pearl Street Fund, LP

Address of issuer — Same as above

State or country in which organized — Delaware

Prosetta Corporation

2185 Union St., San Francisco, CA 94123

State or country in which incorporated — Delaware

Security(ies) — 6% convertible note with warrants

Issuer of security(ies) — Prosetta Corporation

Address of issuer — Same as above

State or country in which organized — Delaware

Raleigh Fund, L.P., The

% Raleigh Capital Management, Inc., 1747 N. Cleveland, Chicago, IL 60614

Security(ies) — Partnership interests

Issuer of security(ies) — The Raleigh Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Illinois

Retaligent Solutions, Inc.

1050 Crown Pointe Pkwy., Atlanta, GA 30338

State or country in which incorporated — Delaware

Security(ies) — Units, each consisting of a \$100,000 8% convertible promissory note and a warrant to purchase 50,000 shares of common stock

Issuer of security(ies) — Retaligent Solutions, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Robbins Opportunity Partners, L.P.

Three Ravinia Dr., Suite 1700, Atlanta, GA 30346

Security(ies) — Limited partnership interests

Issuer of security(ies) — Robbins Opportunity Partners, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

SafeHarbor Technology Corporation

1326 Fifth Ave., Suite 660, Seattle, WA 98101

State or country in which incorporated — Washington

Security(ies) — Series 3 preferred stock

Issuer of security(ies) — SafeHarbor Technology Corporation

Address of issuer — Same as above

State or country in which organized — Washington

Salman Partners (USA) Inc.

885 W. Georgia St., Suite 2230, Vancouver, B.C., Canada V6C 3E8

State or country in which incorporated — Canada

Security(ies) — Common stock

Issuer of security(ies) — NEMI Northern Energy & Mining, Inc.

Address of issuer — 200-1055 W. Hastings St., Vancouver, B.C.,

Canada V6E 2E9

State or country in which organized — Canada

Salman Partners (USA) Inc.

885 W. Georgia St., Suite 2230, Vancouver, B.C., Canada V6C 3E8

State or country in which incorporated — Canada

Security(ies) — Units

Issuer of security(ies) — NEMI Northern Energy & Mining, Inc.

Address of issuer — 200-1055 W. Hastings St., Vancouver, B.C.,

Canada V6E 2E9

State or country in which organized — Canada

Salman Partners (USA) Inc.

885 W. Georgia St., Suite 2230, Vancouver, B.C., Canada V6C 3E8

State or country in which incorporated — Canada

Security(ies) — Warrants

Issuer of security(ies) — NEMI Northern Energy & Mining, Inc.

Address of issuer — 200-1055 W. Hastings St., Vancouver, B.C.,

Canada V6E 2E9

State or country in which organized — Canada

SinoFresh Healthcare, Inc.

516 Paul Morris Dr., Englewood, FL 34223

State or country in which incorporated — Florida

Security(ies) — Common stock purchase warrants

Issuer of security(ies) — SinoFresh Healthcare, Inc.

Address of issuer — Same as above

State or country in which organized — Florida

SinoFresh Healthcare, Inc.

516 Paul Morris Dr., Englewood, FL 34223

State or country in which incorporated — Florida

Security(ies) — Debentures

Issuer of security(ies) — SinoFresh Healthcare, Inc.

Address of issuer — Same as above

State or country in which organized — Florida

Sloan Securities Corp.

Two Executive Dr., 5th Fl., Fort Lee, NJ 07024-3308

Security(ies) — Units consisting of senior secured notes with common stock

Issuer of security(ies) — Format Health, Inc.

Address of issuer — 4270 Promenade Way, Suite 226, Marina Del Ray, CA 90290

State or country in which organized — Delaware

Slater Equity Partners, L.P.

153 E. 53rd St., 26th Fl., New York, NY 10022

State or country in which incorporated — Delaware limited partnership

Security(ies) — Limited partnership interests

Issuer of security(ies) — Slater Equity Partners, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Sparta Commercial Services, Inc.; (2) Maxim Group LLC

462 Seventh Ave., 20th Fl., New York, NY 10018; (2) 99 Sunnyside Blvd., Woodbury, NY 11797

State or country in which incorporated — (1) Nevada; (2) New York

Security(ies) — Units consisting of preferred stock with common stock purchase warrants

Issuer of security(ies) — Sparta Commercial Services, Inc.

Address of issuer — 462 Seventh Ave., 20th Fl., New York, NY 10018

State or country in which organized — Nevada

Sports Source, Inc.

421 Seventh Ave., New York, NY 10001

State or country in which incorporated — Delaware*Security(ies)* — Common stock*Issuer of security(ies)* — Sports Source, Inc.*Address of issuer* — Same as above*State or country in which organized* — Delaware

Steakhouse Partners, Inc.

10200 Willow Creek Rd., San Diego, CA 92131

State or country in which incorporated — Delaware*Security(ies)* — Units consisting of 100,000 shares of common stock and warrants to purchase 50,000 shares of common stock*Issuer of security(ies)* — Steakhouse Partners, Inc.*Address of issuer* — Same as above*State or country in which organized* — Delaware

Strategic Partners Diversified Fund LLC

233 S. Wacker Dr., Suite 2300, Chicago, IL 60606

State or country in which incorporated — Delaware limited liability company*Security(ies)* — Limited liability company membership units*Issuer of security(ies)* — Strategic Partners Diversified Fund LLC*Address of issuer* — Same as above*State or country in which organized* — Delaware

Sunstone Partners, LLC

% Katahdin Capital, 600 Third Ave., 17th Fl., New York, NY 10016

State or country in which incorporated — Delaware limited liability company*Security(ies)* — Limited liability company interests*Issuer of security(ies)* — Sunstone Partners, LLC*Address of issuer* — Same as above*State or country in which organized* — Delaware limited liability company

Superior Galleries, Inc.

9478 W. Olympic Blvd., Beverly Hills, CA 90212

State or country in which incorporated — Delaware*Security(ies)* — Common stock*Issuer of security(ies)* — Superior Galleries, Inc.*Address of issuer* — Same as above*State or country in which organized* — Delaware

TagStream, Inc.

6489 Calle Real, Suite B, Goleta, CA 93117

State or country in which incorporated — Delaware*Security(ies)* — 8% convertible subordinated notes, second series*Issuer of security(ies)* — TagStream, Inc.*Address of issuer* — Same as above*State or country in which organized* — Delaware

Titus Financial, Inc.

13649 Montfort Dr., Suite 200, Dallas, TX 75240

State or country in which incorporated — Texas*Security(ies)* — Working interest*Issuer of security(ies)* — Titus Financial, Inc.*Address of issuer* — Same as above*State or country in which organized* — Texas

Tourmaline Partners, L.P.

680 Fifth Ave., Suite 1300, New York, NY 10019

Security(ies) — Limited partnership interests*Issuer of security(ies)* — Tourmaline Partners, L.P.*Address of issuer* — Same as above*State or country in which organized* — Delaware

Two For Seven, LLC

Space 37, Pittsford Plaza, 3349 Monroe Ave., Rochester, NY 14623

Security(ies) — Units consisting of class B membership units and subordinated promissory notes*Issuer of security(ies)* — Two for Seven, LLC*Address of issuer* — Same as above*State or country in which organized* — New York

Universal Delivery Solutions, Inc.

9404 Fox Trot Lane, Boca Raton, FL 33496

State or country in which incorporated — Nevada*Security(ies)* — Common stock*Issuer of security(ies)* — Universal Delivery Solutions, Inc.*Address of issuer* — Same as above*State or country in which organized* — Nevada

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware*Security(ies)* — 75,000 units of undivided interest*Issuer of security(ies)* — Morgan Stanley Technology IndexSM Portfolio 2005-1*Address of issuer* — Same as above*State or country in which organized* — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware*Security(ies)* — 75,000 units of undivided interest*Issuer of security(ies)* — Insured Municipals Income Trust, Series 498*Address of issuer* — Same as above*State or country in which organized* — New York

Viking Energy Royalty Trust

330 5th Ave. SW, Suite 400, Calgary Place, Calgary, Alberta, Canada T2P 0L4

Security(ies) — Trust units*Issuer of security(ies)* — Viking Energy Royalty Trust*Address of issuer* — Same as above*State or country in which organized* — Province of Alberta, Canada

Wasatch Funds, Inc.

150 Social Hall Ave., 4th Fl., Salt Lake City, UT 84111

State or country in which incorporated — United States*Security(ies)* — Shares of common stock*Issuer of security(ies)* — Wasatch Funds, Inc.—Wasatch International Opportunities Fund*Address of issuer* — Same as above*State or country in which organized* — Minnesota

Waterton Fund VIII Investors, L.L.C.

One North Franklin St., Suite 1150, Chicago, IL 60606

Security(ies) — Limited liability company interests*Issuer of security(ies)* — Waterton Fund VIII Investors, L.L.C.*Address of issuer* — Same as above*State or country in which organized* — Delaware

Waud Capital Partners II, L.P.

560 Oakwood Ave., Suite 203, Lake Forest, IL 60045

Security(ies) — Limited partnership interests
Issuer of security(ies) — Waud Capital Partners II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Waud Capital Partners QP II, L.P.
560 Oakwood Ave., Suite 203, Lake Forest, IL 60045
Security(ies) — Limited partnership interests
Issuer of security(ies) — Waud Capital Partners QP II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Zaxis Equity Neutral, L.P.
25 Orinda Way, Suite 300, Orinda, CA 94563
State or country in which incorporated — California limited partnership
Security(ies) — Limited partner interests
Issuer of security(ies) — Zaxis Equity Neutral, L.P.
Address of issuer — Same as above
State or country in which organized — California