

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

AllianceBernstein Invmt. Research and Mngmt., Inc.
1345 Avenue of the Americas, New York, NY 10105
State or country in which incorporated — Maryland

Applied Genetics Incorporated Dermatics
205 Buffalo Ave., Freeport, NY 11520
State or country in which incorporated — Delaware

Arsenal Real Estate Fund IA, L.P.
1776 on the Green, 6th Fl., 67 Park Place E, Morristown, NJ 07960
Partnership — AREF One, L.P.

Blue Ridge Group, Inc.
632 Adams St., Suite 700, Bowling Green, KY 42101
State or country in which incorporated — Nevada

Bridge-Weston Partners, LLC
One Ferry Bldg., Suite 275, CA 94111

Bromwell Financial Fund, Limited Partnership
101 N. Fairfield Dr., Dover, DE 19901
Partnership — Belmont Capital Management, Inc., general partner

Brown Advisory Investors 2005-CEF, LLLP
901 Bond St., Suite 400, Baltimore, MD 21231
Partnership — BAT Commingled Fund Manager, Inc.

Carlyle/Riverstone River Partners II, L.P.
c/o Walkers SPV Limited, Walker House, P.O. Box 908GT, George
Town, Grand Cayman, Cayman Islands
Partnership — Carlyle/Riverstone Energy GP II Ltd., Daniel A.
D'Aniello

CommonAngels Co-Investment Fund II, LLC
One Cranberry Hill, Suite 6, Lexington, MA 02421

Dixie Land Partners, LP
6303 Beverly Hill, #210, Houston, TX 77057
Partnership — Dixie Land Production, LLC

Freeman Associates Market Neutral Fund I, L.P.
P.O. Box 9210, Rancho Santa Fe, CA 92067
Partnership — Freeman Management LLC

Giraffe Capital, L.P.
780 Third Ave., 45th Fl., New York, NY 10017
Partnership — Kingsley Capital Management, LLC

Health Sciences Group, Inc.
6080 Center Dr., 6th Fl., Los Angeles, CA 90045
State or country in which incorporated — Colorado

HydroGen LLC
1801 Rte. 51 S, Jefferson Hills, PA 15025
State or country in which incorporated — Ohio limited liability
company

Infoscape Corporation
P.O. Box 2908, Redmond, WA 98073
State or country in which incorporated — Washington

JLF Partners II, L.P.
2775 Via de la Valle, Suite 204, Del Mar, CA 92014
Partnership — JLF Capital, L.L.C.

KSA Capital Partners, LP
Four Essex Ave., Suite 403, Bernardsville, NJ 07924
Partnership — KSA Capital, LLC

Life Recovery Systems HD, L.L.C.
3311 Prescott Rd., Suite 112, Alexandria, LA 71301
State or country in which incorporated — Louisiana

Mayfly Fund II, LP, The
1130 First St., Suite 229, Napa, CA 94559
Partnership — Wood, Hat & Silver, L.L.C.

Monsoon India Inflection Fund 2, L.P.
One Broadway, 14th Fl., Cambridge, MA 02142
Partnership — Monsoon Capital, LLC

MSK/Stoltz Community Development Fund, L.P.
725 Conshohocken State Rd., Bala Cynwyd, PA 19004
Partnership — MSK/Stoltz CDF GP, LLC

NDM Fund, L.P., The
1130 First St., Suite 229, Napa, CA 94559
Partnership — Wood, Hat & Silver, L.L.C.

NetREIT

365 S. Rancho Santa Fe Rd., Suite 300, San Marcos, CA 92078

State or country in which incorporated — California

NYLIM Institutional Floating Rate Fund L.P.

51 Madison Ave., Second Fl., New York, NY 10010

Partnership — NYLIM GP, LLC

NYLIM Large-Cap Enhanced Index Fund L.P.

51 Madison Ave., Second Fl., New York, NY 10010

Partnership — NYLIM GP, LLC

Panama & Stine JK Investment, LLC

5469 Kearny Villa Rd., Suite 208, San Diego, CA 92123

Pipeline Trading Systems, Inc.

60 E. 42nd St., Suite 624, New York, NY 10165

State or country in which incorporated — Delaware

Plant Holdings, Inc.

c/o Golden Gate Capital, One Embarcadero Center, 33rd Fl., San Francisco, CA 94111

State or country in which incorporated — Delaware

Protalex, Inc.

145 Union Square Dr., New Hope, PA 18938-1365

State or country in which incorporated — Delaware

Ridgewood Energy P Fund, LLC

947 Linwood Ave., Ridgewood, NJ 07450

State or country in which incorporated — Delaware

Rockyview Energy Inc.

2100, 144-4th Ave. SW, Calgary, Alberta, T2P 3N4

State or country in which incorporated — Alberta

S.E. Rayne #1 Joint Venture

1848 Norwood Plaza, Suite 109, Hurst, TX 76054

Sequiam Corporation

300 Sunport Lane, Orlando, FL 32809

State or country in which incorporated — California

Soundpost Capital, LP

152 W. 57th St., 33rd Fl., New York, NY 10019

Partnership — Soundpost Capital, LP

TAG Diversified Strategies Fund, L.P.

75 Rockefeller Plaza, Suite 900, New York, NY 10019-6908

Partnership — TAG Portfolio Management, LLC

Texas Keystone 2005 Natural Gas Limited Partnership II

120 5th Ave., Suite 2500, Pittsburgh, PA 15222

Partnership — Texas Keystone Inc.

UBS Financial Services Inc.

1285 Avenue of the Americas, New York, NY 10019

State or country in which incorporated — Delaware limited liability company

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY
DEALERS; BROKERS;
SYNDICATE MANAGERS

AllianceBernstein Invmt. Research and Mngmt., Inc.

1345 Avenue of the Americas, New York, NY 10105

State or country in which incorporated — Maryland

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — AllianceBernstein Pooling Portfolios—Alliance Bernstein Global Real Estate Investment Portfolio

Address of issuer — Same as above

State or country in which organized — Massachusetts

AllianceBernstein Invmt. Research and Mngmt., Inc.

1345 Avenue of the Americas, New York, NY 10105

State or country in which incorporated — Maryland

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — AllianceBernstein Pooling Portfolios—Alliance Bernstein High-Yield Portfolio

Address of issuer — Same as above

State or country in which organized — Massachusetts

AllianceBernstein Invmt. Research and Mngmt., Inc.

1345 Avenue of the Americas, New York, NY 10105

State or country in which incorporated — Maryland

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — AllianceBernstein Pooling Portfolios—Alliance Bernstein Inflation Protected Securities Portfolio

Address of issuer — Same as above

State or country in which organized — Massachusetts

AllianceBernstein Invmt. Research and Mngmt., Inc.

1345 Avenue of the Americas, New York, NY 10105

State or country in which incorporated — Maryland

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — AllianceBernstein Pooling Portfolios—Alliance Bernstein Intermediate Duration Bond Portfolio

Address of issuer — Same as above

State or country in which organized — Massachusetts

AllianceBernstein Invmt. Research and Mngmt., Inc.

1345 Avenue of the Americas, New York, NY 10105

State or country in which incorporated — Maryland

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — AllianceBernstein Pooling Portfolios—Alliance Bernstein International Growth Portfolio

Address of issuer — Same as above

State or country in which organized — Massachusetts

AllianceBernstein Invmt. Research and Mngmt., Inc.

1345 Avenue of the Americas, New York, NY 10105

State or country in which incorporated — Maryland

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — AllianceBernstein Pooling Portfolios—Alliance Bernstein International Value Portfolio

Address of issuer — Same as above

State or country in which organized — Massachusetts

AllianceBernstein Invmt. Research and Mngmt., Inc.
1345 Avenue of the Americas, New York, NY 10105
State or country in which incorporated — Maryland
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — AllianceBernstein Pooling Portfolios—Alliance Bernstein Short Duration Bond Portfolio
Address of issuer — Same as above
State or country in which organized — Massachusetts

AllianceBernstein Invmt. Research and Mngmt., Inc.
1345 Avenue of the Americas, New York, NY 10105
State or country in which incorporated — Maryland
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — AllianceBernstein Pooling Portfolios—Alliance Bernstein Small-Mid Cap Growth Portfolio
Address of issuer — Same as above
State or country in which organized — Massachusetts

AllianceBernstein Invmt. Research and Mngmt., Inc.
1345 Avenue of the Americas, New York, NY 10105
State or country in which incorporated — Maryland
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — AllianceBernstein Pooling Portfolios—Alliance Bernstein Small-Mid Cap Value Portfolio
Address of issuer — Same as above
State or country in which organized — Massachusetts

AllianceBernstein Invmt. Research and Mngmt., Inc.
1345 Avenue of the Americas, New York, NY 10105
State or country in which incorporated — Maryland
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — AllianceBernstein Pooling Portfolios—Alliance Bernstein U.S. Large Cap Growth Portfolio
Address of issuer — Same as above
State or country in which organized — Massachusetts

AllianceBernstein Invmt. Research and Mngmt., Inc.
1345 Avenue of the Americas, New York, NY 10105
State or country in which incorporated — Maryland
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — AllianceBernstein Pooling Portfolios—Alliance Bernstein U.S. Value Portfolio
Address of issuer — Same as above
State or country in which organized — Massachusetts

Applied Genetics Incorporated Dermatics
205 Buffalo Ave., Freeport, NY 11520
State or country in which incorporated — Delaware
Security(ies) — Class A common stock
Issuer of security(ies) — Applied Genetics Incorporated Dermatics
Address of issuer — Same as above
State or country in which organized — Delaware

Arsenal Real Estate Fund IA, L.P.
1776 on the Green, 6th Fl., 67 Park Place E, Morristown, NJ 07960
Security(ies) — Limited partnership interests
Issuer of security(ies) — Arsenal Real Estate Fund IA, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Aspen Aerogels, Inc.
30 Forbes Rd., Bldg. B, Northborough, MA 01532
State or country in which incorporated — Delaware

Security(ies) — Offering of warrants to purchase common stock
Issuer of security(ies) — Aspen Aerogels, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Aspen Aerogels, Inc.
30 Forbes Rd., Bldg. B, Northborough, MA 01532
State or country in which incorporated — Delaware
Security(ies) — Offering of senior secured notes
Issuer of security(ies) — Aspen Aerogels, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Aspen Aerogels, Inc.
30 Forbes Rd., Bldg. B, Northborough, MA 01532
State or country in which incorporated — Delaware
Security(ies) — Offering of series D preferred stock
Issuer of security(ies) — Aspen Aerogels, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Babson Securities Corp.
One Memorial Dr., Cambridge, MA 02142
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — The MassMutual Premier Funds—MassMutual Premier Capital Appreciation Fund
Address of issuer — One Memorial Dr., Cambridge, MA 02142
State or country in which organized — United States

Babson Securities Corp.
One Memorial Dr., Cambridge, MA 02142
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — The MassMutual Premier Funds—MassMutual Premier Main Street Fund
Address of issuer — One Memorial Dr., Cambridge, MA 02142
State or country in which organized — United States

Babson Securities Corp.
One Memorial Dr., Cambridge, MA 02142
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — The MassMutual Premier Funds—MassMutual Premier Strategic Income Fund
Address of issuer — One Memorial Dr., Cambridge, MA 02142
State or country in which organized — United States

Babson Capital Securities Inc.
One Memorial Dr., Cambridge, MA 02142
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — The MassMutual Premier Funds—MassMutual Premier Enhanced Index Value Fund II
Address of issuer — One Memorial Dr., Cambridge, MA 02142
State or country in which organized — United States

Banc of America Securities LLC
214 N. Tryon St., Charlotte, NC 28255
Security(ies) — Option One Mortgage Loan Trust 2005-1, Asset-Backed Certificates, Series 2005-1, Class M-7
Issuer of security(ies) — Option One Mortgage Acceptance Corporation
Address of issuer — Three Ada, Irvine, CA 92618
State or country in which organized — Delaware

Banc of America Securities LLC
214 N. Tryon St., Charlotte, NC 28255
Security(ies)—Option One Mortgage Loan Trust 2005-1, Asset-Backed Certificates, Series 2005-1, Class M-8
Issuer of security(ies)—Option One Mortgage Acceptance Corporation
Address of issuer—Three Ada, Irvine, CA 92618
State or country in which organized—Delaware

Banc of America Securities LLC
214 N. Tryon St., Charlotte, NC 28255
Security(ies)—Option One Mortgage Loan Trust 2005-1, Asset-Backed Certificates, Series 2005-1, Class M-9
Issuer of security(ies)—Option One Mortgage Acceptance Corporation
Address of issuer—Three Ada, Irvine, CA 92618
State or country in which organized—Delaware

Blue Ridge Group, Inc.
632 Adams St., Suite 700, Bowling Green, KY 42101
State or country in which incorporated—Nevada
Security(ies)—Limited partnership interest
Issuer of security(ies)—Blue Ridge 2005-B Drilling Program, Ltd.
Address of issuer—Same as above
State or country in which organized—Kentucky

Bridge Absolute Return Portfolio, LLC
One Ferry Bldg., Suite 275, CA 94111
Security(ies)—Limited liability company interests
Issuer of security(ies)—Bridge Absolute Return Portfolio, LLC
Address of issuer—Same as above
State or country in which organized—Delaware

Bromwell Financial Fund, Limited Partnership
101 N. Fairfield Dr., Dover, DE 19901
Security(ies)—Units of limited partnership interest
Issuer of security(ies)—Bromwell Financial Fund, Limited Partnership
Address of issuer—c/o Belmont Capital Management, Inc., 5914 N. 300 West, Fremont, IN 46737
State or country in which organized—Delaware

Brown Advisory Investors 2005-CEF, LLLP
901 Bond St., Suite 400, Baltimore, MD 21231
Security(ies)—Offering of partnership interests
Issuer of security(ies)—Brown Advisory Investors 2005-CEF, LLLP
Address of issuer—Same as above
State or country in which organized—Maryland

Carlyle/Riverstone River Partners II, L.P.
c/o Walkers SPV Limited, Walker House, P.O. Box 908GT, George Town, Grand Cayman, Cayman Islands
Security(ies)—Limited partnership interests
Issuer of security(ies)—Carlyle/Riverstone River Partners II, L.P.
Address of issuer—Same as above
State or country in which organized—Cayman Islands

Citadel Security Software Inc.
Two Lincoln Centre, Suite 1600, 5420 LBJ Freeway, Dallas, TX 75240
State or country in which incorporated—Delaware
Security(ies)—Series B convertible preferred stock
Issuer of security(ies)—Citadel Security Software Inc.
Address of issuer—Same as above
State or country in which organized—Delaware

Citigroup Global Markets Inc.
388 Greenwich St., New York, NY 10013

State or country in which incorporated—New York
Security(ies)—Units of fractional undivided interest
Issuer of security(ies)—Tax Exempt Securities Trust, New York Trust 255
Address of issuer—Same as above
State or country in which organized—New York

Citigroup Global Markets Inc.
388 Greenwich St., New York, NY 10013
State or country in which incorporated—New York
Security(ies)—Units of fractional undivided interest
Issuer of security(ies)—Tax Exempt Securities Trust, New York Trust 256
Address of issuer—Same as above
State or country in which organized—New York

CommonAngels Co-Investment Fund II, LLC
One Cranberry Hill, Suite 6, Lexington, MA 02421
Security(ies)—Limited liability company interests
Issuer of security(ies)—CommonAngels Co-Investment Fund II, LLC
Address of issuer—Same as above
State or country in which organized—Delaware

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies)—10,000 units of undivided interest
Issuer of security(ies)—FT 979 (Diversified Income & Growth Portfolio, Summer 2005 Series)
Address of issuer—Same as above
State or country in which organized—Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies)—10,000 units of undivided interest
Issuer of security(ies)—FT 976 (Value with a Catalyst Portfolio)
Address of issuer—Same as above
State or country in which organized—Illinois

Freeman Associates Market Neutral Fund I, L.P.
P.O. Box 9210, Rancho Santa Fe, CA 92067
Security(ies)—Limited partnership interests
Issuer of security(ies)—Freeman Associates Market Neutral Fund I, L.P.
Address of issuer—Same as above
State or country in which organized—Delaware

Giraffe Capital, L.P.
780 Third Ave., 45th Fl., New York, NY 10017
Security(ies)—Limited partnership interests
Issuer of security(ies)—Giraffe Capital, L.P.
Address of issuer—Same as above
State or country in which organized—Delaware

Health Sciences Group, Inc.
6080 Center Dr., 6th Fl., Los Angeles, CA 90045
State or country in which incorporated—Colorado
Security(ies)—Series C convertible preferred stock and warrants to purchase common stock
Issuer of security(ies)—Health Sciences Group, Inc.
Address of issuer—Same as above
State or country in which organized—Colorado

Infoscape Corporation
P.O. Box 2908, Redmond, WA 98073

State or country in which incorporated — Washington
Security(ies) — Common shares
Issuer of security(ies) — Infoscape Corporation
Address of issuer — Same as above
State or country in which organized — Washington

Infoscape Corporation
 P.O. Box 2908, Redmond, WA 98073
State or country in which incorporated — Washington
Security(ies) — Common share purchase warrants
Issuer of security(ies) — Infoscape Corporation
Address of issuer — Same as above
State or country in which organized — Washington

JLF Partners II, L.P.
 2775 Via de la Valle, Suite 204, Del Mar, CA 92014
Security(ies) — Limited partnership interests
Issuer of security(ies) — JLF Partners II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Keefe, Bruyette & Woods, Inc.; (2) SunTrust Capital Markets, Inc.
 787 Seventh Ave., 4th Fl., New York, NY 10019; (2) 3333 Peachtree Rd. NE, 10th Fl., South Tower, Atlanta, GA 30326
State or country in which incorporated — (1) New York; (2) Georgia
Security(ies) — Common stock
Issuer of security(ies) — CenterState Banks of Florida, Inc.
Address of issuer — 1101 First St. S, Suite 202, Winter Haven, FL 33880
State or country in which organized — Florida

KSA Capital Partners, LP
 Four Essex Ave., Suite 403, Bernardsville, NJ 07924
Security(ies) — Limited partnership interests
Issuer of security(ies) — KSA Capital Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Life Recovery Systems HD, L.L.C.
 3311 Prescott Rd., Suite 112, Alexandria, LA 71301
State or country in which incorporated — Louisiana
Security(ies) — Units consisting of class A common membership interests together with class B common membership interests
Issuer of security(ies) — Life Recovery Systems HD, L.L.C.
Address of issuer — Same as above
State or country in which organized — Louisiana

Maxim Group LLP
 405 Lexington Ave., New York, NY 10174
Security(ies) — Units consisting of convertible notes and warrants
Issuer of security(ies) — ADM Tronics Unlimited, Inc.
Address of issuer — Same as above
State or country in which organized — United States

Mayfly Fund II, LP, The
 1130 First St., Suite 229, Napa, CA 94559
Security(ies) — Units of partnership interest
Issuer of security(ies) — The Mayfly Fund II, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Mercer Capital Ltd.
 45 Broadway, 30th Fl., New York, NY 10006

State or country in which incorporated — Minnesota
Security(ies) — Common stock
Issuer of security(ies) — Nevelos Therapeutics, Inc.
Address of issuer — One Gateway Center, Suite 504, Newton, MA 02458
State or country in which organized — Delaware

Mercer Capital Ltd.
 45 Broadway, 30th Fl., New York, NY 10006
State or country in which incorporated — Minnesota
Security(ies) — Warrant
Issuer of security(ies) — Nevelos Therapeutics, Inc.
Address of issuer — One Gateway Center, Suite 504, Newton, MA 02458
State or country in which organized — Delaware

Mercer Capital Ltd.
 45 Broadway, 30th Fl., New York, NY 10006
State or country in which incorporated — Minnesota
Security(ies) — Unit
Issuer of security(ies) — Nevelos Therapeutics, Inc.
Address of issuer — One Gateway Center, Suite 504, Newton, MA 02458
State or country in which organized — Delaware

MML Distributors, LLC
 1414 Main St., Springfield, MA 01144-1013
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — The MassMutual Select Funds—MassMutual Select Diversified Value Fund
Address of issuer — 1295 State St., Springfield, MA 01111
State or country in which organized — United States

MML Distributors, LLC
 1414 Main St., Springfield, MA 01144-1013
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — The MassMutual Select Funds—MassMutual Select Strategic Bond Fund
Address of issuer — 1295 State St., Springfield, MA 01111
State or country in which organized — United States

Monsoon India Inflection Fund 2, L.P.
 One Broadway, 14th Fl., Cambridge, MA 02142
Security(ies) — Limited partnership interests
Issuer of security(ies) — Monsoon India Inflection Fund 2, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Morgan Joseph & Co. Inc.
 2600 Fifth Ave., 19th Fl., New York, NY 10020
State or country in which incorporated — Delaware
Security(ies) — Units, comprised of common stock and warrants
Issuer of security(ies) — Oakmont Acquisition Corp.
Address of issuer — 33 Bloomfield Hills Pkwy., Suite 240, Bloomfield Hills, MI 48304
State or country in which organized — Delaware

Morgan Joseph & Co. Inc.
 2600 Fifth Ave., 19th Fl., New York, NY 10020
State or country in which incorporated — Delaware
Security(ies) — Common stock

Issuer of security(ies) — Oakmont Acquisition Corp.
Address of issuer — 33 Bloomfield Hills Pkwy., Suite 240, Bloomfield Hills, MI 48304
State or country in which organized — Delaware

MSK/Stoltz Community Development Fund, L.P.
 725 Conshohocken State Rd., Bala Cynwyd, PA 19004
Security(ies) — Limited partnership interests
Issuer of security(ies) — MSK/Stoltz Community Development Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

NDM Fund, L.P., The
 1130 First St., Suite 229, Napa, CA 94559
Security(ies) — Units of partnership interest
Issuer of security(ies) — The NDM Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

NetREIT
 365 S. Rancho Santa Fe Rd., Suite 300, San Marcos, CA 92078
State or country in which incorporated — California
Security(ies) — Units, each unit consisting of two shares of common stock and one warrant to purchase one share of common stock
Issuer of security(ies) — NetREIT
Address of issuer — Same as above
State or country in which organized — California

NovaDel Pharma Inc.
 25 Minneakoning Rd., Flemington, NJ 08822
State or country in which incorporated — Delaware
Security(ies) — Common stock and warrants to purchase common stock
Issuer of security(ies) — NovaDel Pharma Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

NYLIM Institutional Floating Rate Fund L.P.
 51 Madison Ave., Second Fl., New York, NY 10010
Security(ies) — Limited partnership interests
Issuer of security(ies) — NYLIM Institutional Floating Rate Fund L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

NYLIM Large-Cap Enhanced Index Fund L.P.
 51 Madison Ave., Second Fl., New York, NY 10010
Security(ies) — Limited partnership interests
Issuer of security(ies) — NYLIM Large-Cap Enhanced Index Fund L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Panama & Stine JK Investment, LLC
 5469 Kearny Villa Rd., Suite 208, San Diego, CA 92123
Security(ies) — LLC units
Issuer of security(ies) — Panama & Stine JK Investment, LLC
Address of issuer — Same as above
State or country in which organized — California

Pipeline Trading Systems, Inc.
 60 E. 42nd St., Suite 624, New York, NY 10165
State or country in which incorporated — Delaware
Security(ies) — Series C convertible preferred stock

Issuer of security(ies) — Pipeline Trading Systems, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Plant Holdings, Inc.
 c/o Golden Gate Capital, One Embarcadero Ctr., 33rd Fl., San Francisco, CA 94111
State or country in which incorporated — Delaware
Security(ies) — Class L common stock, par value \$0.01 per share
Issuer of security(ies) — Plant Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Plant Holdings, Inc.
 c/o Golden Gate Capital, One Embarcadero Ctr., 33rd Fl., San Francisco, CA 94111
State or country in which incorporated — Delaware
Security(ies) — Class A common stock, par value \$0.01 per share
Issuer of security(ies) — Plant Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Protalex, Inc.
 145 Union Square Dr., New Hope, PA 18938-1365
State or country in which incorporated — Delaware
Security(ies) — Units consisting of common stock and warrants
Issuer of security(ies) — Protalex, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Ridgewood Energy P Fund, LLC
 947 Linwood Ave., Ridgewood, NJ 07450
State or country in which incorporated — Delaware
Security(ies) — Membership interests
Issuer of security(ies) — Ridgewood Energy P Fund, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Rockyview Energy Inc.
 2100, 144-4th Ave. SW, Calgary, Alberta, T2P 3N4
State or country in which incorporated — Alberta
Security(ies) — Common shares
Issuer of security(ies) — Rockyview Energy Inc.
Address of issuer — Same as above
State or country in which organized — Alberta

Rydex Distributors, Inc.
 9601 Blackwell Rd., Suite 500, Rockville, MD 20850
State or country in which incorporated — Maryland
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Rydex Series Funds—Commodities Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Rydex Distributors, Inc.
 9601 Blackwell Rd., Suite 500, Rockville, MD 20850
State or country in which incorporated — Maryland
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Rydex Series Funds—Strengthening Dollar Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Rydex Distributors, Inc.
 9601 Blackwell Rd., Suite 500, Rockville, MD 20850
State or country in which incorporated — Maryland
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Rydex Series Funds—Weakening Dollar Fund
Address of issuer — Same as above
State or country in which organized — Delaware

S.E. Rayne #1 Joint Venture
 1848 Norwood Plaza, Suite 109, Hurst, TX 76054
Security(ies) — Partnership interests
Issuer of security(ies) — S.E. Rayne #1 Joint Venture
Address of issuer — Same as above
State or country in which organized — Texas

Sequiam Corporation
 390 Sunport Lane, Orlando, FL 32809
State or country in which incorporated — California
Security(ies) — Warrant
Issuer of security(ies) — Sequiam Corporation
Address of issuer — Same as above
State or country in which organized — California

Soundpost Capital, LP
 152 W. 57th St., 33rd Fl., New York, NY 10019
Security(ies) — Limited partnership interests
Issuer of security(ies) — Soundpost Capital, LP
Address of issuer — Same as above
State or country in which organized — Delaware

TAG Diversified Strategies Fund, L.P.
 75 Rockefeller Plaza, Suite 900, New York, NY 10019-6908
Security(ies) — Limited partnership interests
Issuer of security(ies) — TAG Diversified Strategies Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Tech Ventures III, L.P.
 % Ruttonjee House, 11 Duddell St., 12th Fl., Central, Hong Kong
Security(ies) — Limited partnership units
Issuer of security(ies) — Tech Ventures III, L.P.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Texas Keystone 2005 Natural Gas Limited Partnership
 120 5th Ave., Suite 2500, Pittsburgh, PA 15222
Security(ies) — Class A partnership interests
Issuer of security(ies) — Texas Keystone 2005 Natural Gas Limited Partnership
Address of issuer — Same as above
State or country in which organized — Pennsylvania

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Strategic Closed-End Trust, Series 4 (Senior Loan and Limited Duration Portfolio)
Address of issuer — Same as above
State or country in which organized — New York

vFinance Investments, Inc.
 880 Third Ave., 12th Fl., New York, NY 10022

State or country in which incorporated — Florida
Security(ies) — Unit
Issuer of security(ies) — Novelos Therapeutics, Inc.
Address of issuer — One Gateway Center, Suite 504, Newton, MA 02458
State or country in which organized — Delaware

vFinance Investments, Inc.
 880 Third Ave., 12th Fl., New York, NY 10022
State or country in which incorporated — Florida
Security(ies) — Common stock
Issuer of security(ies) — Novelos Therapeutics, Inc.
Address of issuer — One Gateway Center, Suite 504, Newton, MA 02458
State or country in which organized — Delaware

vFinance Investments, Inc.
 880 Third Ave., 12th Fl., New York, NY 10022
State or country in which incorporated — Florida
Security(ies) — Warrant
Issuer of security(ies) — Novelos Therapeutics, Inc.
Address of issuer — One Gateway Center, Suite 504, Newton, MA 02458
State or country in which organized — Delaware

