

# SECURITIES OFFERINGS

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## STATE NOTICES

Published pursuant to provisions of General Business Law  
[Art. 23-A, §359-e(2)]

## DEALERS; BROKERS

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### 4 S Ranch, LLLP

281 Metcalf, Suite 207, Avon, CO 81620  
*Partnership* — EBM Property Management, LLC

### A T Funds, LLC

300 Pacific Coast Hwy., Suite 305, Huntington Beach, CA 92648  
*State or country in which incorporated* — Delaware

### Amphion Capital Partners, LLC

330 Madison Ave., New York, NY 10017

### Axiom Capital Management, Inc.

780 Third Ave., New York, NY 10017  
*State or country in which incorporated* — Delaware

### Bioheart, Inc.

13794 NW. 4th St., Suite 212, Sunrise, FL 33325  
*State or country in which incorporated* — Florida

### Bionovo, Inc.

2200 Powell St., Suite 675, Emeryville, CA 94608  
*State or country in which incorporated* — Delaware

### Blaylock & Company, Inc.

399 Park Ave., 15th Fl., New York, NY 10022  
*State or country in which incorporated* — Delaware

### Celerity LLC,

48 Woodhurst Rd., Williamsville, NY 14221  
*State or country in which incorporated* — Delaware

### Colchester Real Return Bond Fund, The

c/o Colchester Global Investors Limited, Healthcoat House, 20 Savile  
Row, 5th Fl., London W1S 3PR, England, United Kingdom

### Conсор Capital II, L.P.

475 Gate Five Rd., Suite 320, Sausalito, CA 94965  
*State or country in which incorporated* — Delaware

Deltec Emerging Market Focused Fund, L.P.  
645 Fifth Ave., 18th Fl., New York, NY 10022  
*Partnership* — Deltec Partners, LLC

### DEMF Offshore Fund, Ltd.

c/o Deltec Asset Management, LLC, 645 Fifth Ave., 18th Fl., New  
York, NY 10022  
*State or country in which incorporated* — Cayman Islands

### Federate Strategic Investment Series Fund, LP; (2) Federated Enhanced Reserves Fund

5800 Corporate Dr., Pittsburgh, PA 15237  
*State or country in which incorporated* — Delaware

### Fidelity Cap IV, LLC

c/o Fidelity Partners, Inc., 556 Commercial St., Suite 300, San Fran-  
cisco, CA 94111  
*State or country in which incorporated* — California

### Flexpoint Fund, L.P.

676 N. Michigan Ave., Suite 3300, Chicago, IL 60611  
*Partnership* — Flexpoint Management, L.P.

### Frontier LifeScience Partners, L.P.

30 Rockefeller Center, 27th Fl., New York, NY 10112  
*Partnership* — Frontier LifeScience Advisors, LLC

### Gemfield Investment Capital, LTD

300 Tice Blvd., Woodcliff Lake, NJ 07677

### Genius Products, Inc.

740 Lomas Santa Fe Dr., Solana Beach, CA 92075  
*State or country in which incorporated* — Delaware

### Golden Northwest Aluminum Holding Company

3313 W. Second St., The Dalles, OR 97058  
*State or country in which incorporated* — Delaware

### Grey K Offshore Fund, Ltd.

c/o BISYS Hedge Fund Services Cayman Limited, Cayman Corporate  
Centre, 27 Hospital Rd., Georgetown, Grand Cayman, Cayman Islands,  
B.W.I.  
*State or country in which incorporated* — Cayman Islands

### Hallmark Investments, Inc.

420 Lexington Ave., New York, NY 10170  
*State or country in which incorporated* — New York

Hellaburger, LLC  
44 Wall St., 2nd Fl., New York, NY 10005

Infini Corp.  
118 South St., #4A, Boston, MA 02110  
*State or country in which incorporated* — Delaware

J.H. Whitney VI, L.P.  
177 Broad St., Stamford CT 06901  
*Partnership* — J.H. Whitney Equity Partners VI, L.P.

KRG Capital Fund III, L.P.  
1515 Arapahoe St., Tower One, Suite 1500, Denver, CO 80202  
*Partnership* — KRG Capital, LLC

Long Point Offshore Fund Limited  
c/o Hedge Funds Services (BVI) Ltd., P.O. Box 761, James Frett Bldg.,  
Wickham's Cay 1, Road Town, Tortola, B.V.I.  
*State or country in which incorporated* — British Virgin Islands

Meridian Equity Partners, Inc.  
c/o Gettenberg Consulting, 65 Broadway, 10th Fl., New York, NY  
*State or country in which incorporated* — New York

MT Global Opportunities Fund, LP  
535 W. 34th St., Suite 406, New York, NY 10001  
*Partnership* — MW Thompson Capital Partners, LLC

North American Resource Group, Inc. - Managing General Partner of  
Gilreath Mill Prospect Partners  
219 Reynolds Rd., Glasgow, KY 42141  
*State or country in which incorporated* — Kentucky

NWJ Equity Investment Fund I, L.P.  
1600 Market St., Suite 1410, Philadelphia, PA 19103  
*Partnership* — NWJ Equity Investment Fund I, LLC

PTR Capital L.P.  
11380 Prosperity Farms Rd., Suite 216, Palm Beach Gardens, FL 33410  
*Partnership* — PTR Capital Management LLC

Red Rock Fund, L.P.  
8275 Tournament Dr., Suite 186, Memphis, TN 38125  
*Partnership* — Red Rock Partners, LLC  
*State or country in which incorporated* — Delaware

RORS Appreciation Fund LP  
34 Berkshire St., Whippany, NJ 07981  
*Partnership* — RORS Management & Research LLC

SCP Levered Fund Domestic, LP  
600 Steamboat Rd., Greenwich, CT 06830  
*Partnership* — Shumway Capital Management, LLC

SCP Levered Fund Overseas, Ltd.  
c/o Bank of Bermuda Cayman Limited, P.O. Box 513GT, Strathvale  
House, 2nd Fl., N. Church St., George Town, Grand Cayman, Cayman  
Islands  
*State or country in which incorporated* — Cayman Islands

SMART System Technologies, Inc.  
317 Madison Ave., Suite 1220, New York, NY 10017  
*State or country in which incorporated* — Delaware

SoLapharm, Inc.  
1000 S. Pine Island Rd., Suite 230, Plantation, FL 33324  
*State or country in which incorporated* — Florida

Summit Partners Private Equity Fund VII-A, L.P.  
222 Berkeley St., 18th Fl., Boston, MA 02116  
*Partnership* — Summit Partners PE VII, L.P.

Summit Partners Private Equity Fund VII-B, L.P.  
222 Berkeley St., 18th Fl., Boston, MA 02116  
*Partnership* — Summit Partners PE VII, L.P.

Summit Partners Venture Capital Fund II-A, L.P.  
222 Berkeley St., 18th Fl., Boston, MA 02116  
*Partnership* — Summit Partners VC II, L.P.

Summit Partners Venture Capital Fund II-B, L.P.  
222 Berkeley St., 18th Fl., Boston, MA 02116  
*Partnership* — Summit Partners VC II, L.P.

TSI Group, Inc.  
94 Tide Mill Rd., Hampton, NH 03842  
*State or country in which incorporated* — Delaware

Vista Drilling Program 2005-1 Limited Partnership  
61 McMurray Rd., Suite 300, Pittsburgh, PA 15241  
*Partnership* — Vista Resources, Inc., general partner

Waypoint Partners L.P.  
2683 Via De La Valle, #G528, Del Mar, CA 92014  
*Partnership* — Sebago Holdings LLC

Xmark Opportunity Fund, L.P.  
301 Tresser Blvd., Suite 1320, Stamford, CT 06901  
*Partnership* — Xmark Opportunity GP, LLC

Xmark Opportunity Fund, Ltd.  
c/o Xmark Opportunity MC, LLC, 301 Tresser Blvd., Suite 1320,  
Stamford, CT 06901  
*State or country in which incorporated* — Cayman Islands

## FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law  
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY  
DEALERS; BROKERS;  
SYNDICATE MANAGERS

4 S Ranch, LLLP  
281 Metcalf, Suite 207, Avon, CO 81620  
*Security(ies)* — Limited Partnership Interests  
*Issuer of security(ies)* — 4 S Ranch, LLLP  
*Address of issuer* — P.O. Box 5559 Avon, CO 81620  
*State or country in which organized* — Colorado

A T Funds Investment Trust  
300 Pacific Coast Hwy., Suite 305, Huntington Beach, CA 92648

*State or country in which incorporated* — Delaware  
*Security(ies)* — Shares of common stock  
*Issuer of security(ies)* — A T Funds Investment Trust  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Amphion Capital Partners LLC  
 330 Madison Ave., New York, NY 10017  
*Security(ies)* — Series B preferred stock  
*Issuer of security(ies)* — Amphion Capital Partners LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Axiom Capital Management, Inc.  
 780 Third Ave., New York, NY 10017  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Limited liability company units  
*Issuer of security(ies)* — Icurie Bridge Financing, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Banc of America Securities, LLC  
 Hearst Tower, 214 N. Tryon St., Charlotte, NC 28255-0001  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class A-2a asset backed notes  
*Issuer of security(ies)* — Capital Auto Receivables Asset Trust 2005-SN1  
*Address of issuer* — c/o Deutsche Bank Trust Company, Delaware, Montgomery Bldg., 1011 Centre Rd., Suite 200, Wilmington, DE 19805  
 - Attn: Corporate Trust Department  
*State or country in which organized* — Delaware

Banc of America Securities, LLC  
 Hearst Tower, 214 N. Tryon St., Charlotte, NC 28255-0001  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class A-2b asset backed notes  
*Issuer of security(ies)* — Capital Auto Receivables Asset Trust 2005-SN1  
*Address of issuer* — c/o Deutsche Bank Trust Company Delaware, Montgomery Bldg., 1011 Centre Rd., Suite 200, Wilmington, DE 19805  
 - Attn: Corporate Trust Department  
*State or country in which organized* — Delaware

Banc of America Securities, LLC  
 Hearst Tower, 214 N. Tryon St., Charlotte, NC 28255-0001  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class A-3a asset backed notes  
*Issuer of security(ies)* — Capital Auto Receivables Asset Trust 2005-SN1  
*Address of issuer* — c/o Deutsche Bank Trust Company Delaware, Montgomery Bldg., 1011 Centre Rd., Suite 200, Wilmington, DE 19805  
 - Attn: Corporate Trust Department  
*State or country in which organized* — Delaware

Banc of America Securities, LLC  
 Hearst Tower, 214 N. Tryon St., Charlotte, NC 28255-0001  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class A-3b asset backed notes  
*Issuer of security(ies)* — Capital Auto Receivables Asset Trust 2005-SN1

*Address of issuer* — c/o Deutsche Bank Trust Company Delaware, Montgomery Bldg., 1011 Centre Rd., Suite 200, Wilmington, DE 19805  
 - Attn: Corporate Trust Department  
*State or country in which organized* — Delaware

Banc of America Securities, LLC  
 Hearst Tower, 214 N. Tryon St., Charlotte, NC 28255-0001  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class A-4 asset backed notes  
*Issuer of security(ies)* — Capital Auto Receivables Asset Trust 2005-SN1  
*Address of issuer* — c/o Deutsche Bank Trust Company Delaware, Montgomery Bldg., 1011 Centre Rd., Suite 200, Wilmington, DE 19805  
 - Attn: Corporate Trust Department  
*State or country in which organized* — Delaware

Banc of America Securities, LLC  
 Hearst Tower, 214 N. Tryon St., Charlotte, NC 28255-0001  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class B-1 asset backed notes  
*Issuer of security(ies)* — Capital Auto Receivables Asset Trust 2005-SN1  
*Address of issuer* — c/o Deutsche Bank Trust Company Delaware, Montgomery Bldg., 1011 Centre Rd., Suite 200, Wilmington, DE 19805  
 - Attn: Corporate Trust Department  
*State or country in which organized* — Delaware

Banc of America Securities, LLC  
 Hearst Tower, 214 N. Tryon St., Charlotte, NC 28255-0001  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class B-2 asset backed notes  
*Issuer of security(ies)* — Capital Auto Receivables Asset Trust 2005-SN1  
*Address of issuer* — c/o Deutsche Bank Trust Company Delaware, Montgomery Bldg., 1011 Centre Rd., Suite 200, Wilmington, DE 19805  
 - Attn: Corporate Trust Department  
*State or country in which organized* — Delaware

Banc of America Securities, LLC  
 Hearst Tower, 214 N. Tryon St., Charlotte, NC 28255-0001  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class C-1 asset backed notes  
*Issuer of security(ies)* — Capital Auto Receivables Asset Trust 2005-SN1  
*Address of issuer* — c/o Deutsche Bank Trust Company Delaware, Montgomery Bldg., 1011 Centre Rd., Suite 200, Wilmington, DE 19805  
 - Attn: Corporate Trust Department  
*State or country in which organized* — Delaware

Banc of America Securities, LLC  
 Hearst Tower, 214 N. Tryon St., Charlotte, NC 28255-0001  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class C-2 asset backed notes  
*Issuer of security(ies)* — Capital Auto Receivable Asset Trust 2005-SN1  
*Address of issuer* — c/o Deutsche Bank Trust Company Delaware, Montgomery Bldg., 1011 Centre Rd., Suite 200, Wilmington, DE 19805  
 - Attn: Corporate Trust Department  
*State or country in which organized* — Delaware

Bear, Stearns & Co. Inc.; (2) Lehman Brother Inc.  
 383 Madison Ave., New York, NY 10179; (2) 745 7th Ave., New York, NY 10019  
*State or country in which incorporated* — (1 & 2) Delaware

*Security(ies)* — Senior secured floating rate notes due 2012  
*Issuer of security(ies)* — Ziff Davis Media Inc.  
*Address of issuer* — 28 E. 28th St., New York, NY 10016  
*State or country in which organized* — Delaware

Bentley Securities Corporation  
 101 Park Ave., 22nd Fl., New York, NY 10178-0002  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Strength Capital Partners II, L.P.  
*Address of issuer* — c/o Strength Capital Partners, LLC, 555 S. Old Woodward Ave., Suite 755, Birmingham, MI 48009  
*State or country in which organized* — Delaware

Bioheart, Inc.  
 13794 NW. 4th St., Suite 212, Sunrise, FL 33325  
*State or country in which incorporated* — Florida  
*Security(ies)* — Common stock, par value \$.001 per share  
*Issuer of security(ies)* — Bioheart, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — United States

BIONOVO, INC  
 2200 Powell St., Suite 675, Emeryville, CA 94608  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 100 units each consisting of 200,000 shares of common stock with warrants to purchase 50,000 shares of common stock  
*Issuer of security(ies)* — Bionovo, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Celerity LLC  
 48 Woodhurst Rd., Williamsville, NY 14221  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Limited liability company membership units  
*Issuer of security(ies)* — Celerity LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Colchester Real Return Bond Fund, The  
 c/o Colchester Global Investors Limited Heathcoat House, 20 Savile Row, 5th Fl., London W1S 3PR, England, United Kingdom  
*Security(ies)* — Units of beneficial interest in a business trust  
*Issuer of security(ies)* — The Colchester Real Return Bond Fund  
*Address of issuer* — c/o Colchester Global Investors Inc., 450 Park Ave., Suite 2304, New York, NY 10022  
*State or country in which organized* — Delaware

Confluent Surgical, Inc.  
 101A First Ave., Waltham, MA 02451  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series D convertible preferred stock  
*Issuer of security(ies)* — Confluent Surgical, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Conсор Capital II, L.P.  
 475 Gate Five Rd., Suite 320, Sausalito, CA 94965  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Conсор Capital II, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Deltec Emerging Market Focused Fund, L.P.  
 645 5th Ave., 18th Fl., New York, NY 10022  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Deltec Emerging Market Focused Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

DEMF Offshore Fund, Ltd.  
 c/o Deltec Asset Management, LLC, 645 5th Ave., 18th Fl., New York, NY 10002  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Common shares  
*Issuer of security(ies)* — DEMF Offshore Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Federate Strategic Investment Series Fund, L.P.; (2) Federated Enhanced Reserves Fund  
 5800 Corporate Dr., Pittsburgh, PA 15237  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Limited partnership  
*Issuer of security(ies)* — Federate Strategic Investment Series Fund, L.P.; (2) Federated Enhanced Reserves Fund  
*Address of issuer* — 5800 Corporate Dr., Pittsburgh, PA 15237-7000  
*State or country in which organized* — Delaware

Federate Strategic Investment Series Fund, L.P.; (2) Federated Enhanced Reserves Rated Fund  
 5800 Corporate Dr., Pittsburgh, PA 15237  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Limited partnership  
*Issuer of security(ies)* — Federate Strategic Investment Series Fund, L.P.; (2) Federated Enhanced Reserves Rated Fund  
*Address of issuer* — 5800 Corporate Dr., Pittsburgh, PA 15237-7000  
*State or country in which organized* — Delaware

Fidelity Cap IV, LLC  
 c/o Fidelity Partners, Inc., 556 Commercial St., Suite 300, San Francisco, CA 94111  
*State or country in which incorporated* — California  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — Fidelity Cap IV, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — California

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 955 (International Portfolio, Series 6)  
*Address of issuer* — c/o First Trust Portfolios, L.P., 1001 Warrenville Rd., Lisle, IL 60532  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 955 (Large-Cap Growth Portfolio, Series 6)  
*Address of issuer* — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532  
*State or country in which organized* — Illinois

## First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

*Security(ies)* — 10,000 units of undivided interest*Issuer of security(ies)* — FT 955 (Large-Cap Value Portfolio, Series 6)*Address of issuer* — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532*State or country in which organized* — Illinois

## First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

*Security(ies)* — 10,000 units of undivided interest*Issuer of security(ies)* — FT 955 (Mid-Cap Portfolio, Series 6)*Address of issuer* — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532*State or country in which organized* — Illinois

## First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

*Security(ies)* — 10,000 units of undivided interest*Issuer of security(ies)* — FT 955 (Small-Cap Portfolio, Series 6)*Address of issuer* — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532*State or country in which organized* — Illinois

## First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

*Security(ies)* — 10,000 units of undivided interest*Issuer of security(ies)* — FT 969 (Total Equity Allocation Portfolio, Series 3)*Address of issuer* — c/o First Trust Portfolios L.P., 1001 Warrenville Rd., Lisle, IL 60532*State or country in which organized* — Illinois

## Fixed Income Securities, L.P.

18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415

*Security(ies)* — 10,000 units of undivided interest*Issuer of security(ies)* — Advisor's Disciplined Trust 35 (Convertible and Income Closed-End Portfolio, Series 3)*Address of issuer* — c/o Fixed Income Securities, L.P., 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415*State or country in which organized* — New York

## Flexpoint Fund, L.P.

676 N. Michigan Ave., Suite 3300, Chicago, IL 60611

*Security(ies)* — Limited partnership interests*Issuer of security(ies)* — Flexpoint Fund, L.P.*Address of issuer* — Same as above*State or country in which organized* — Delaware

## Forward Funds, Inc.—Forward Legato Funds

433 California St., Suite 1010, San Francisco, CA 94101

*State or country in which incorporated* — Massachusetts*Security(ies)* — Shares of common stock, \$.001 par value*Issuer of security(ies)* — Forward Funds, Inc.—Forward Legato Funds*Address of issuer* — Same as above*State or country in which organized* — Maryland

## Frontier LifeScience Partners, L.P.

30 Rockefeller Center, 27th Fl., New York, NY 10112

*Security(ies)* — Limited partnership interests*Issuer of security(ies)* — Frontier LifeScience Partners, L.P.*Address of issuer* — Same as above*State or country in which organized* — Delaware

## GAM Services, Inc.

135 E. 57th St., New York, NY 10022

*State or country in which incorporated* — Maryland*Security(ies)* — Common stock class A shares*Issuer of security(ies)* — GAM Funds, Inc., GAM American Focus Equity*Address of issuer* — Same as above*State or country in which organized* — Maryland

## GAM Services, Inc.

135 E. 57th St., New York, NY 10022

*State or country in which incorporated* — Maryland*Security(ies)* — Common stock class C shares*Issuer of security(ies)* — GAM Funds, Inc., GAM American Focus Equity*Address of issuer* — Same as above*State or country in which organized* — Maryland

## GAM Services, Inc.

135 E. 57th St., New York, NY 10022

*State or country in which incorporated* — Maryland*Security(ies)* — Common stock class A shares*Issuer of security(ies)* — GAM Funds, Inc., GAM Asia-Pacific Equity*Address of issuer* — Same as above*State or country in which organized* — Maryland

## GAM Services, Inc.

135 E. 57th St., New York, NY 10022

*State or country in which incorporated* — Maryland*Security(ies)* — Common stock class C shares*Issuer of security(ies)* — GAM Funds, Inc., GAM Asia-Pacific Equity*Address of issuer* — Same as above*State or country in which organized* — Maryland

## GAM Services, Inc.

135 E. 57th St., New York, NY 10022

*State or country in which incorporated* — Maryland*Security(ies)* — Common stock class A shares*Issuer of security(ies)* — GAM Funds, Inc., GAMerica*Address of issuer* — Same as above*State or country in which organized* — Maryland

## GAM Services, Inc.

135 E. 57th St., New York, NY 10022

*State or country in which incorporated* — Maryland*Security(ies)* — Common stock class C shares*Issuer of security(ies)* — GAM Funds, Inc., GAMerica*Address of issuer* — Same as above*State or country in which organized* — Maryland

## GAM Services, Inc.

135 E. 57th St., New York, NY 10022

*State or country in which incorporated* — Maryland*Security(ies)* — Common stock class A shares*Issuer of security(ies)* — GAM Funds, Inc., GAM European Equity*Address of issuer* — Same as above*State or country in which organized* — Maryland

## GAM Services, Inc.

135 E. 57th St., New York, NY 10022

*State or country in which incorporated* — Maryland*Security(ies)* — Common stock class C shares*Issuer of security(ies)* — GAM Funds, Inc., GAM European Equity

*Address of issuer* — Same as above

*State or country in which organized* — Maryland

GAM Services, Inc.

135 E. 57th St., New York, NY 10022

*State or country in which incorporated* — Maryland

*Security(ies)* — Common stock class A shares

*Issuer of security(ies)* — GAM Funds, Inc., GAM Gabelli Long/Short

*Address of issuer* — Same as above

*State or country in which organized* — Maryland

GAM Services, Inc.

135 E. 57th St., New York, NY 10022

*State or country in which incorporated* — Maryland

*Security(ies)* — Common stock class C shares

*Issuer of security(ies)* — GAM Funds, Inc., GAM Gabelli Long/Short

*Address of issuer* — Same as above

*State or country in which organized* — Maryland

GAM Services, Inc.

135 E. 57th St., New York, NY 10022

*State or country in which incorporated* — Maryland

*Security(ies)* — Common stock class A shares

*Issuer of security(ies)* — GAM Funds, Inc., GAM International Equity

*Address of issuer* — Same as above

*State or country in which organized* — Maryland

GAM Services, Inc.

135 E. 57th St., New York, NY 10022

*State or country in which incorporated* — Maryland

*Security(ies)* — Common stock class C shares

*Issuer of security(ies)* — GAM Funds, Inc., GAM International Equity

*Address of issuer* — Same as above

*State or country in which organized* — Maryland

Gemfield Investment Capital, LTD

300 Tice Blvd., Woodcliff Lake, NJ 07677

*Security(ies)* — Limited liability company interests

*Issuer of security(ies)* — Gemfield Investment Capital, LTD

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Genius Products, Inc.

740 Lomas Santa Fe, Suite 210, Solana Beach, CA 92075

*State or country in which incorporated* — Delaware

*Security(ies)* — Common stock

*Issuer of security(ies)* — Genius Products, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Golden Northwest Aluminum Holding Company

3313 W. Second St., The Dalles, OR 97058

*State or country in which incorporated* — Delaware

*Security(ies)* — 8% senior secured notes due 2010 with common stock

*Issuer of security(ies)* — Golden Northwest Aluminum Holding Company

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Grey K Offshore Fund, Ltd.

c/o BISYS Hedge Fund Services Cayman Limited, Cayman Corporate Centre, 27 Hospital Rd., Georgetown, Grand Cayman, Cayman Islands, B.W.I.

*State or country in which incorporated* — Cayman Islands

*Security(ies)* — Common shares

*Issuer of security(ies)* — Grey K Offshore Fund, Ltd.

*Address of issuer* — Same as above

*State or country in which organized* — Cayman Islands

Hellaburger, LLC

44 Wall St., 2nd Fl., New York, NY 10005

*Security(ies)* — Units consisting of investments interests

*Issuer of security(ies)* — Hellaburger LLC

*Address of issuer* — Same as above

*State or country in which organized* — California

INFICON Holding AG

Two Technology Place, E. Syracuse, NY 13057

*State or country in which incorporated* — Switzerland

*Security(ies)* — Stock appreciation rights pursuant to the IPO leveraged share plan

*Issuer of security(ies)* — INFICON Holding AG

*Address of issuer* — Same as above

*State or country in which organized* — Switzerland

Infini Corp.

118 South St., #4A, Boston, MA 02110

*State or country in which incorporated* — Delaware

*Security(ies)* — Seed convertible preferred stock

*Issuer of security(ies)* — Infini Corp.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Integrated Beverage Group, Ltd.; (2) Lighthouse Financial Group, LLC

Four Dubon Ct., Farmingdale, NY 11735; (2) 420 Lexington Ave., Suite 360, Farmingdale, NY 10170

*State or country in which incorporated* — (1) New York; (2) Delaware

*Security(ies)* — Common stock \$.00001 par value

*Issuer of security(ies)* — Integrated Beverage Group, Ltd.

*Address of issuer* — Four Dubon Ct., Farmingdale, NY 11735

*State or country in which organized* — New York

J.H. Whitney VI, L.P.

177 Broad St., Stamford, CT 06901

*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — J.H. Whitney VI, L.P.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

KRG Capital Fund III, L.P.

1515 Arapahoe St., Tower One, Suite 1500, Denver, CO 80202

*Security(ies)* — Limited partnership interest

*Issuer of security(ies)* — KRG Capital Fund III, L.P.

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

Long Point Offshore Fund Limited

c/o Hedge Funds Services (BVI) Ltd., P.O. Box 761, James Frett Bldg., Wickham's Cay 1, Road Town, Tortola B.V.I.

*State or country in which incorporated* — British Virgin Islands

*Security(ies)* — Common shares

*Issuer of security(ies)* — Long Point Offshore Fund Limited

*Address of issuer* — Same as above

*State or country in which organized* — British Virgin Islands

Maxim Group LLP  
405 Lexington Ave., New York, NY 10174  
*Security(ies)* — Units consisting of convertible notes and warrants  
*Issuer of security(ies)* — Ivivi Technologies, Inc.  
*Address of issuer* — 224 S. Pegasus Ave., Northvale, NJ 07547  
*State or country in which organized* — United States

Merrill Lynch, Pierce, Fenner & Smith Incorporated  
Four World Financial Center, 250 Vesey St., New York, NY 10080  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class A-2a asset backed notes  
*Issuer of security(ies)* — Capital Auto Receivables Asset Trust 2005-SN1  
*Address of issuer* — c/o Deutsche Bank Trust Company Delaware, Montgomery Bldg., 1011 Centre Rd., Suite 200, Wilmington, DE 19805  
- Attention: Corporate Trust Department  
*State or country in which organized* — Delaware

Merrill Lynch, Pierce, Fenner & Smith Incorporated  
Four World Financial Center, 250 Vesey St., New York, NY 10080  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class A-2b asset backed notes  
*Issuer of security(ies)* — Capital Auto Receivables Asset Trust 2005-SN1  
*Address of issuer* — c/o Deutsche Bank Trust Company Delaware, Montgomery Bldg., 1011 Centre Rd., Suite 200, Wilmington, DE 19805  
- Attention: Corporate Trust Department  
*State or country in which organized* — Delaware

Merrill Lynch, Pierce, Fenner & Smith Incorporated  
Four World Financial Center, 250 Vesey St., New York, NY 10080  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class A-3a asset backed notes  
*Issuer of security(ies)* — Capital Auto Receivables Asset Trust 2005-SN1  
*Address of issuer* — c/o Deutsche Bank Trust Company Delaware, Montgomery Bldg., 1011 Centre Rd., Suite 200, Wilmington, DE 19805  
- Attention: Corporate Trust Department  
*State or country in which organized* — Delaware

Merrill Lynch, Pierce, Fenner & Smith Incorporated  
Four World Financial Center, 250 Vesey St., New York, NY 10080  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class A-3b asset backed notes  
*Issuer of security(ies)* — Capital Auto Receivables Asset Trust 2005-SN1  
*Address of issuer* — c/o Deutsche Bank Trust Company Delaware, Montgomery Bldg., 1011 Centre Rd., Suite 200, Wilmington, DE 19805  
- Attention: Corporate Trust Department  
*State or country in which organized* — Delaware

Merrill Lynch, Pierce, Fenner & Smith Incorporated  
Four World Financial Center, 250 Vesey St., New York, NY 10080  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class A-4 asset backed notes  
*Issuer of security(ies)* — Capital Auto Receivables Asset Trust 2005-SN1  
*Address of issuer* — c/o Deutsche Bank Trust Company Delaware, Montgomery Bldg., 1011 Centre Rd., Suite 200, Wilmington, DE 19805  
- Attention: Corporate Trust Department  
*State or country in which organized* — Delaware

Merrill Lynch, Pierce, Fenner & Smith Incorporated  
Four World Financial Center, 250 Vesey St., New York, NY 10080  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class B-1 asset backed notes  
*Issuer of security(ies)* — Capital Auto Receivables Asset Trust 2005-SN1  
*Address of issuer* — c/o Deutsche Bank Trust Company Delaware, Montgomery Bldg., 1011 Centre Rd., Suite 200, Wilmington, DE 19805  
- Attention: Corporate Trust Department  
*State or country in which organized* — Delaware

Merrill Lynch, Pierce, Fenner & Smith Incorporated  
Four World Financial Center, 250 Vesey St., New York, NY 10080  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class B-2 asset backed notes  
*Issuer of security(ies)* — Capital Auto Receivables Asset Trust 2005-SN1  
*Address of issuer* — c/o Deutsche Bank Trust Company Delaware, Montgomery Bldg., 1011 Centre Rd., Suite 200, Wilmington, DE 19805  
- Attention: Corporate Trust Department  
*State or country in which organized* — Delaware

Merrill Lynch, Pierce, Fenner & Smith Incorporated  
Four World Financial Center, 250 Vesey St., New York, NY 10080  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class C-1 asset backed notes  
*Issuer of security(ies)* — Capital Auto Receivables Asset Trust 2005-SN1  
*Address of issuer* — c/o Deutsche Bank Trust Company Delaware, Montgomery Bldg., 1011 Centre Rd., Suite 200, Wilmington, DE 19805  
- Attention: Corporate Trust Department  
*State or country in which organized* — Delaware

Merrill Lynch, Pierce, Fenner & Smith Incorporated  
Four World Financial Center, 250 Vesey St., New York, NY 10080  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class C-2 asset backed notes  
*Issuer of security(ies)* — Capital Auto Receivables Asset Trust 2005-SN1  
*Address of issuer* — c/o Deutsche Bank Trust Company Delaware, Montgomery Bldg., 1011 Centre Rd., Suite 200, Wilmington, DE 19805  
- Attention: Corporate Trust Department  
*State or country in which organized* — Delaware

MT Global Opportunities Fund, LP  
535 W. 34th St., Suite 406, New York, NY 10001  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — MT Global Opportunities Fund, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

North American Resource Group, Inc. - Managing General Partner of  
Gilreath Mill Prospect Partner  
219 Reynolds Rd., Glasgow, KY 42141  
*State or country in which incorporated* — Kentucky  
*Security(ies)* — General partnership interests  
*Issuer of security(ies)* — Gilreath Mill Prospect Partners  
*Address of issuer* — Same as above  
*State or country in which organized* — Kentucky

NWJ Equity Investment Fund I, L.P.  
1600 Market St., Suite 1410, Philadelphia, PA 19103  
*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — NWJ Equity Investment Fund I, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Pacific Gold Corp.  
 157 Adelaide St. W, Suite 600, Toronto, Ontario, Canada M5H 4E7  
*State or country in which incorporated* — Nevada  
*Security(ies)* — Convertible debentures  
*Issuer of security(ies)* — Pacific Gold Corp.  
*Address of issuer* — Same as above  
*State or country in which organized* — Nevada

Pacific Gold Corp.  
 157 Adelaide St. W, Suite 600, Toronto, Ontario, Canada M5H 4E7  
*State or country in which incorporated* — Nevada  
*Security(ies)* — Warrants  
*Issuer of security(ies)* — Pacific Gold Corp.  
*Address of issuer* — Same as above  
*State or country in which organized* — Nevada

PTR Capital, L.P.  
 11380 Prosperity Farms Rd., Suite 216, Palm Beach Gardens, FL 33410  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — PTR Capital, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Red Rock Fund, L.P.  
 8275 Tournament Dr., Suite 186, Memphis, TN 38125  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Red Rock Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

RORS Appreciation Fund LP  
 34 Berkshire St., Whippany, NJ 07981  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — RORS Appreciation Fund LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

SCP Levered Fund Domestic, LP  
 600 Steamboat Rd., Greenwich, CT 06830  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — SCP Levered Fund Domestic, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

SCP Levered Fund Overseas, Ltd.  
 c/o Bank of Bermuda Cayman Limited, P.O. Box 513GT, Strathvale House, 2nd Fl., N. Church St., George Town, Grand Cayman, Cayman Islands  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Common shares  
*Issuer of security(ies)* — SCP Levered Fund Overseas, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

SMART System Technologies, Inc.  
 317 Madison Ave., Suite 1220, New York, NY 10017  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Convertible demand notes and series A preferred stock warrants

*Issuer of security(ies)* — SMART System Technologies, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

SoLapharm, Inc.  
 1000 S. Pine Island Rd., Suite 230, Plantation, FL 33324  
*State or country in which incorporated* — Florida  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — SoLapharm, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Florida

Spencer Trask Ventures, Inc.  
 535 Madison Ave., New York, NY 10022  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series 2 convertible preferred stock  
*Issuer of security(ies)* — APTAS, INC.  
*Address of issuer* — 1517 Blake St., Suite 200, Denver, CO 80202  
*State or country in which organized* — Delaware

Summit Partners Private Equity Fund VII-A, L.P.  
 222 Berkeley St., 18th Fl., Boston, MA 02116  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Summit Partners Private Equity Fund VII-A, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Summit Partners Private Equity Fund VII-B, L.P.  
 222 Berkeley St., 18th Fl., Boston, MA 02116  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Summit Partners Private Equity Fund VII-B, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Summit Partners Venture Capital Fund II-A, L.P.  
 222 Berkeley St., 18th Fl., Boston, MA 02116  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Summit Partners Venture Capital Fund II-A, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Summit Partners Venture Capital Fund II-B, L.P.  
 222 Berkeley St., 18th Fl., Boston, MA 02116  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Summit Partners Venture Capital Fund II-B, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

True Value Company  
 8600 W. Bryn Mawr Ave., Chicago, IL 60631-3505  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class B common stock  
*Issuer of security(ies)* — True Value Company  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

TSI Group, Inc.  
 94 Tide Mill Rd., Hampton, NH 03842  
*State or country in which incorporated* — Delaware

*Security(ies)* — Common stock  
*Issuer of security(ies)* — TSI Group, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

TSI Group, Inc.  
 94 Tide Mill Rd., Hampton, NH 03842  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series A preferred stock  
*Issuer of security(ies)* — TSI Group, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

UBS Securities LLC  
 1285 Avenue of the Americas, New York, NY 10019  
*Security(ies)* — Asset backed notes, series 2004-4, class M-8  
*Issuer of security(ies)* — New Century Home Equity Loan Trust 2004-4  
*Address of issuer* — 18400 Von Karman Ave., Suite 1000, Irvine, CA 92612  
*State or country in which organized* — Delaware

UBS Securities LLC  
 1285 Avenue of the Americas, New York, NY 10019  
*Security(ies)* — Asset backed notes, series 2004-4, class M-9  
*Issuer of security(ies)* — New Century Home Equity Loan Trust 2004-4  
*Address of issuer* — 18400 Von Karman Ave., Suite 1000, Irvine, CA 92612  
*State or country in which organized* — Delaware

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Birinyi Equity Select Trust, Series 10  
*Address of issuer* — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Cohen & Steers Master Income Portfolio, Series 3  
*Address of issuer* — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Enhanced Index Strategies Portfolio, 2005-2  
*Address of issuer* — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest

*Issuer of security(ies)* — Focus Value Portfolio, Series 15  
*Address of issuer* — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Insured Municipals Income Trust, Series 501  
*Address of issuer* — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Strategic Growth Large-Cap Portfolio 2005-1  
*Address of issuer* — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Strategic Growth Large-Cap Portfolio 2005-2  
*Address of issuer* — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which organized* — New York

Vista Drilling Program 2005-1 Limited Partnership  
 61 McMurray Rd., Suite 300, Pittsburgh, PA 15241  
*Security(ies)* — Units of general limited partnership interests  
*Issuer of security(ies)* — Vista Drilling Program 2005-1 Limited Partnership  
*Address of issuer* — Same as above  
*State or country in which organized* — Pennsylvania

Vonage Holdings Corp.  
 2147 State Route 27, Edison, NJ 08817  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series E convertible preferred stock  
*Issuer of security(ies)* — Vonage Holdings Corp.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Waypoint Partners L.P.  
 2683 Via De La Valle, #G528, Del Mar, CA 92014  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Waypoint partners L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Xmark Opportunity Fund, L.P.  
 301 Tresser Blvd., Suite 1320, Stamford, CT 06901  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Xmark Opportunity Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Xmark Opportunity Fund, Ltd.  
c/o Xmark Opportunity MC, LLC, 301 Tresser Blvd., Suite 1320,  
Stamford, CT 06901

*State or country in which incorporated* — Cayman Islands

*Security(ies)* — Common shares - class A common shares

*Issuer of security(ies)* — Xmark Opportunity Fund, Ltd.

*Address of issuer* — Same as above

*State or country in which organized* — Cayman Islands

Xmark Opportunity Fund, Ltd.  
c/o Xmark Opportunity MC, LLC, 301 Tresser Blvd., Suite 1320,  
Stamford, CT 06901

*State or country in which incorporated* — Cayman Islands

*Security(ies)* — Common shares - class B common shares

*Issuer of security(ies)* — Xmark Opportunity Fund, Ltd.

*Address of issuer* — Same as above

*State or country in which organized* — Cayman Islands