

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

400 Reed Street Investors LP
130 Jefferson St., Apt. 1, Hoboken, NJ 07030
Partnership — Polygon Partners LLC

Alternative Asset Investment Management, Inc.
200 Park Ave., 39th Fl., New York, NY 10166
State or country in which incorporated — New York

AMC Delancey Investors II, L.P.
718 Arch St., #400-N, Philadelphia, PA 19105
Partnership — AMC Delancey Investors II, LLC

ara
10 Hubbard Farms Rd., Apt. #8, Orono, ME 04473
State or country in which incorporated — Maine

Archstone-Smith Operating Trust
9200 E. Panorama Circle, Suite 400, Englewood, CO 80112

Aremet Energy Offshore, Ltd.
c/o Derivatives Portfolio Management Limited, P.O. Box 2199 GT,
Grand Pavilion Commercial Centre, 802 W. Bay Rd., Suite 14, George
Town, Grand Cayman, Cayman Islands, BWI
Partnership — Aremet Energy Offshore, Ltd.

Artis Microcap Fund, L.P.
One Market Plaza, Spear St. Tower, Suite 1700, San Francisco, CA
94105
Partnership — Artis Capital Management, LLC, general partner

AssureTec Holdings, Inc.
200 Perimeter Rd., Manchester, NH 03103
State or country in which incorporated — Delaware

Avenue Asia Equity Investments, L.P.
c/o Avenue Asia Equity Investments GenPar, LLC, 535 Madison Ave.,
15th Fl., New York, NY 10022
Partnership — Avenue Asia Equity Investments, L.P.

Avica Technology Corporation
1201 Olympic Blvd., Santa Monica, CA 90404
State or country in which incorporated — California

Barossa Coffee Company, Inc.
311 S. State, #460, Salt Lake City, UT 84111
State or country in which incorporated — Nevada

Bear Stearns Merchant Banking Partners III, L.P.
383 Madison Ave., New York, NY 10179
Partnership — Bear Stearns Merchant Capital III, L.P.

Blue Point Capital Partners II, L.P.
127 Public Sq., Suite 5100, Cleveland, OH 44114-1312
Partnership — BPCP Management II, L.P.

BNY Partners Multi-Manager Hedge Fund LLC
One Jericho Plaza, Jericho, NY 11753
Partnership — Defenders Management, LLC

BNP Paribas Securities Corp.
787 Seventh Ave., New York, NY 10019
State or country in which incorporated — Delaware

Border Holdings Inc.
c/o Swander Pace Capital, 345 California St., Suite 2550, San Francisco,
CA 94105
State or country in which incorporated — Delaware

BPMS Oak Lake S, LLC
2700 S. Quincy St., Suite 420, Arlington, VA 22206

Bridge Absolute Return Portfolio, LLC
One Ferry Bldg., Suite 275, San Francisco, CA 94111

Broadband Maritime Inc.
61 Broadway, 19th Fl., New York, NY 10006
State or country in which incorporated — New Hampshire

Broadfin Healthcare Offshore Fund, Ltd.
c/o UBS Fund Services (Cayman), Ltd., UBS House, P.O. Box 852GT,
227 Elgin Ave., George Town, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands

Broadfin Healthcare Fund, LP
237 Park Ave., 9th Fl., New York, NY 10017
Partnership — Broadfin Advisors, LLC

Chastain Mine Joint Venture A
3839 Briargrove Lane, Suite 6307, Dallas, TX 75287

Click Holding Corp.
111 Eighth Ave., 10th Fl., New York, NY 10011
State or country in which incorporated — Delaware

Clinical Data, Inc.
One Gateway Center, Suite 411, Newton, MA 02458
State or country in which incorporated — Delaware

Consolidated Fire Protection Holdings, Inc.
c/o Gryphon Investors, One Embarcadero Center, Suite 2750, San Francisco, CA 94111
State or country in which incorporated — Delaware

Cottonwood Northwest Corners S, LP
6440 S. Wasatch Blvd., Suite 200, Salt Lake City, UT 84121
Partnership — Cottonwood Northwest Corners GP S, LLC

Cottonwood Scott Mountain S, LLC
6440 S. Wasatch Blvd., Suite 200, Salt Lake City, UT 84121

Delta GEMS Offshore Fund, Ltd.
c/o Meridian Fund Services Limited, P.O. Box HM 528, 73 Front St., Hamilton HM CX Bermuda
State or country in which incorporated — Cayman Islands

EnerVest Energy Institutional Co-Investment Fund X-1, L.P.
1001 Fannin, Suite 800, Houston, TX 77002
Partnership — EnerVest Management Partners, Ltd.

Gemini Lewisville Commons S, LLC
175 Fifth Ave., Suite 715, New York, NY 10010

Goldman, Sachs & Co.
85 Broad St., New York, NY 10004
State or country in which incorporated — New York

Green Mountain Equity Housing Fund II Limited Partnership
123 St. Paul St., Burlington, VT 05401
State or country in which incorporated — Vermont

Gulfstream Opportunity Fund, LP
450 Springfield Ave., 2nd Fl., Summitt, NJ 07901
Partnership — Gulfstream GP, LLC

HealthCor, L.P.
280 Park Ave., 39th Fl., New York, NY 10017
Partnership — HealthCor Capital, L.P.

Healthcor Offshore, Ltd.
280 Park Ave., 39th Fl., New York, NY 10017
State or country in which incorporated — Cayman Islands

Healthcare 250 Fund, L.P.
c/o Healthcare 250 GP, LLC, 500 Fifth Ave., Suite 2440, New York, NY 10110
Partnership — Healthcare 250 GP, LLC

HCFP/Brenner Securities, LLC
888 Seventh Ave., New York, NY 10106
State or country in which incorporated — Delaware

IA Bancorp, Inc.
1536 Oak Tree Rd., Iselin, NJ 08830
State or country in which incorporated — New Jersey

Integrated Pharmaceuticals, Inc.
310 Authority Dr., Fitchburg, MA 01420
State or country in which incorporated — Idaho

International Strategies, Inc.
11 Beacon St., Suite 1110, Boston, MA 02108
State or country in which incorporated — Massachusetts

International Thoroughbred Breeders, Inc.
1105 N. Market St., P.O. Box 8985, Suite 1300, Wilmington, DE 19899-8985

Intervolve, Inc.
3801 Lake Boone Trail, Suite 100, Raleigh, NC 27607
State or country in which incorporated — Delaware

Ivy Enhanced Income Fund
One Jericho Plaza, Jericho, NY 11753

JPMorgan Lifestyle Fund SPC Ltd.
c/o JPMorgan Chase Bank, N.A., 345 Park Ave., New York, NY 10154
State or country in which incorporated — Cayman Islands

Keystone Property Fund IIA, L.P.
One W. First Ave., Suite 400, Conshohocken, PA 19428
Partnership — Keystone Property Fund II GP, L.P.

Lazard Capital Markets LLC
30 Rockefeller Plaza, New York, NY 10020
State or country in which incorporated — Delaware limited liability company

LM isis Opportunities Partners, LP
c/o LM isis Capital Partners, LLC, 20 E. Elm St., Greenwich, CT 06870
Partnership — LM isis Capital Partners, LLC

Lotsoff Capital Management Investment Trust
20 N. Clark St., 34th Fl., Chicago, IL 60602-4109
State or country in which incorporated — Delaware

Maric Capital Fund, LP
c/o Maric Capital Fund GP, LLC, 660 Madison Ave., 18th Fl., New York, NY 10021
Partnership — Maric Capital Fund, LP

McKinley Capital International Growth Fund, L.P.
3301 C St., Suite 500, Alaska, CA 99503
Partnership — McKinley Capital Management, Inc., general partner

Merriman Curhan & Ford
601 Montgomery St., 18th Fl., San Francisco, CA 94111
State or country in which incorporated — California

MGI Funds Distributors, Inc.
Investors Way, Norwood, MA 02062

MHW Partners, L.P.
c/o MHW Capital, LLC, 30 W. 63rd St., Suite 18G, New York, NY 10023
Partnership — MHW Capital, LLC, general partner

Mindset Media, LLC
520 White Plains Rd., Suite 500, Tarrytown, NY 10591
State or country in which incorporated — Delaware

Mobility, Inc.
307 3rd Ave. S, Suite 220, Seattle, WA 98104-2686
State or country in which incorporated — Washington

Moody National Buffalo Speedway S, L.P.
6363 Woodway, Suite 110, Houston, TX 77057
Partnership — MNGP Buffalo Speedway S, LLC

New Jersey Kidney Stone Center, LLC
One Rossmoor Dr., Suite 1, Monroe Township, NJ 08831

Oberweis Fund, The
3333 Warrenville Rd., Suite 500, Lisle, IL 60532
State or country in which incorporated — Massachusetts

Pine Grove Ambulatory Surgical Center, LLC
18279 Conneaut Rd., Meadville, PA 16335
State or country in which incorporated — Pennsylvania limited liability company

Pinnacle China Fund, L.P.
4965 Preston Park Blvd., Suite 240, Plano, TX 75093
Partnership — Pinnacle China Advisers, L.P.

Palladio Partners, LP
Eight Sound Shore Dr., Greenwich, CT 06830
Partnership — Palladio Capital LLC

Prescient Partners V, LLC
7120 W. Cermak Rd., Berwyn, IL 60402

Primary Metals Inc.
306-850 W. Hastings St., Vancouver, Canada BC V6C 1E1
State or country in which incorporated — Canada

ProFunds—Short Oil & Gas ProFund
BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
State or country in which incorporated — Delaware

ProFunds—Short Real Estate ProFund
BISYS, 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
State or country in which incorporated — Delaware

Raven Credit Opportunities Fund, L.P.
195 Maplewood Ave., Maplewood, NJ 07040
State or country in which incorporated — Delaware

Reliance Bancshares, Inc.
11781 Manchester Rd., Des Peres, MO 63131
State or country in which incorporated — Missouri

Reliance Kaleidoscope Fund, LLC
147 E. 48th St., New York, NY 10017
Partnership — Reliance Kaleidoscope Fund, LLC

Renaissance Institutional Management LLC
800 Third Ave., New York, NY 10022
State or country in which incorporated — Delaware

Ridgewood Energy Q Fund, LLC
947 Linwood Ave., Ridgewood, NJ 07450
State or country in which incorporated — Delaware

Sandler Plus Domestic Fund, LP
c/o Sandler Plus GP, LLC, 711 Fifth Ave., 15th Fl., New York, NY 10022
Partnership — Sandler Plus GP, LLC

SB Condo Hotel, LLC
515 Monroe St., #5 Hoboken, NJ 07030

Selectinvest Enhanced III Ltd.
c/o BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate Center, 27 Hospital Rd., P.O. Box 1748 GT, George Town, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands

Selectinvest PLUS 1.25X Ltd.
c/o BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate Center, 27 Hospital Rd., P.O. Box 1748 GT, George Town, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands

Selectinvest Total Return LP
30 Rockefeller Plaza, Suite 2800, New York, NY 10012
Partnership — Union Bancaire Privee Asset Management LLC

Sky Capital Enterprises Inc.
110 Wall St., 2nd Fl., New York, NY 10005
State or country in which incorporated — Delaware

Sky-Wi Inc.
215 E. O'Keefe St., Suite 10, Palo Alto, CA 94303
State or country in which incorporated — Nevada

SWsoft Holdings Ltd.
13755 Sunrise Valley Dr., Suite 325, Herndon, VA 20171
State or country in which incorporated — Bermuda

Think 2020, Inc.
75 Fifth St., Suite 207, Atlanta, GA 30309
State or country in which incorporated — Georgia

Tristan Fund, L.P.
150 California St., 5th Fl., San Francisco, CA 94111
Partnership — Cannell Capital LLC

Walden Current Income Fund, LLC
One Premier Plaza, 5605 Glenridge Dr., Suite 760, Atlanta, GA 30342
State or country in which incorporated — Georgia

Wiley Bros.-Aintree Capital, LLC
40 Burton Hills Blvd., Suite 35D, Nashville, TN 37215
Partnership — Wiley Bros. Inc., David Wiley, III, David Patterson, Jr.

Wintergreen Fund, Inc
333 Rte. 46 W, Suite 204, Mountain Lake, NJ 07046
State or country in which incorporated — Maryland

Wirt LongPoint, LP
1202 Hawthorne, Houston, TX 77006
Partnership — Davash Capital, LLC

Wohl Capital Partners, L.P.
150 Josselyn Lane, Woodside, CA 94062
Partnership — Wohl Capital Management, LLC

WPS Capital Fund, LLC
1319-CC Military Cutoff Rd., Wilmington, NC 28405
State or country in which incorporated — Delaware

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY DEALERS; BROKERS; SYNDICATE MANAGERS

05JV5 Program
152 E. Reynolds Rd., #201, Lexington, KY 40517
Security(ies) — Joint venture interest (leasehold working interests) in a joint venture
Issuer of security(ies) — 05JV4
Address of issuer — Same as above
State or country in which organized — Ohio

400 Reed Street Investors LP
130 Jefferson St., Apt. 1, Hoboken, NJ 07030
Security(ies) — Limited partnership interests
Issuer of security(ies) — 400 Reed Street Investors LP
Address of issuer — Same as above
State or country in which organized — Pennsylvania

AMC Delancey Investors II, L.P.
718 Arch St., #400-N, Philadelphia, PA 19105
Security(ies) — Limited partnership interests
Issuer of security(ies) — AMC Delancey Investors II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

ara
10 Hubbard Farms Rd., Apt. #8, Orono, ME 04473
State or country in which incorporated — Maine
Security(ies) — Common stock
Issuer of security(ies) — ara
Address of issuer — Same as above
State or country in which organized — Maine

ara
10 Hubbard Farms Rd., Apt. #8, Orono, ME 04473
State or country in which incorporated — Maine
Security(ies) — Notes
Issuer of security(ies) — ara
Address of issuer — Same as above
State or country in which organized — Maine

Archstone-Smith Operating Trust
9200 E. Panorama Circle, Suite 400, Englewood, CO 80112
Security(ies) — Units of beneficial interest
Issuer of security(ies) — Archstone-Smith Operating Trust

Address of issuer — Same as above
State or country in which organized — Maryland

Aremet Energy Offshore, Ltd.
c/o Derivatives Portfolio Management Limited, P.O. Box 2199 GT,
Grand Pavilion Commercial Centre, 802 W. Bay Rd., Suite 14, George
Town, Grand Cayman, Cayman Islands, BWI
Security(ies) — Common shares, par value \$0.01 (U.S.) per share
Issuer of security(ies) — Aremet Energy Offshore, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Artis Microcap Fund, L.P.
One Market Plaza, Spear Street Tower, Suite 1700, San Francisco, CA
94105
Security(ies) — Limited partner interests
Issuer of security(ies) — Artis Microcap Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

AspenBio, Inc.
1585 S. Perry St., Castle Rock, CO 80104
State or country in which incorporated — Colorado
Security(ies) — Common stock, common stock issuable upon exercise of warrants
Issuer of security(ies) — AspenBio, Inc.
Address of issuer — Same as above
State or country in which organized — Colorado

AssureTec Holdings Inc.
200 Perimeter Rd., Manchester, NH 03103
State or country in which incorporated — Delaware
Security(ies) — Warrants for shares of common stock to be issued under a private placement offering
Issuer of security(ies) — AssureTec Holdings Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Avenue Asia Equity Investments, L.P.
c/o Avenue Asia Equity Investments GenPar, LLC, 535 Madison Ave.,
15th Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Avenue Asia Equity Investments, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Avica Technology Corporation
1201 Olympic Blvd., Santa Monica, CA 90404
State or country in which incorporated — California
Security(ies) — Common stock
Issuer of security(ies) — Avica Technology Corporation
Address of issuer — Same as above
State or country in which organized — California

Barossa Coffee Company, Inc.
311 S. State, #460, Salt Lake City, UT 84111
State or country in which incorporated — Nevada
Security(ies) — Common stock
Issuer of security(ies) — Barossa Coffee Company, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Bear Stearns Merchant Banking Partners III, L.P.
383 Madison Ave., New York, NY 10179
Security(ies) — Limited partnership interests
Issuer of security(ies) — Bear Stearns Merchant Banking Partners III, L.P.

Address of issuer — Same as above
State or country in which organized — Delaware

Bear, Stearns & Co. Inc.; (2) Banc of America Securities LLC
383 Madison Ave., New York, NY 10179; (2) Nine W. 57th St., New York, NY 10019

State or country in which incorporated — (1) Delaware; (2) Delaware limited liability company

Security(ies) — Common stock
Issuer of security(ies) — Axsys Technologies, Inc.
Address of issuer — 175 Capital Blvd., Suite 103, Rocky Hill, CT 06067

State or country in which organized — Delaware

Blue Point Capital Partners II, L.P.
127 Public Sq., Suite 5100, Cleveland, OH 44114-1312
Security(ies) — Limited partnership interests
Issuer of security(ies) — Blue Point Capital Partners II, L.P.

Address of issuer — Same as above
State or country in which organized — Delaware

BNY Partners Multi-Manager Hedge Fund LLC
One Jericho Plaza, Jericho, NY 11753
Security(ies) — Membership interests
Issuer of security(ies) — BNY Partners Multi-Manager Hedge Fund LLC

Address of issuer — Same as above
State or country in which organized — Delaware

BNP Paribas Securities Corp.
787 Seventh Ave., New York, NY 10019
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interests
Issuer of security(ies) — Cooper Neff Quantitative Strategies (Cayman) Fund, L.P.

Address of issuer — 555 Croton Rd., King of Prussia, PA 19406
State or country in which organized — Cayman Islands

Border Holdings Inc.
c/o Swander Pace Capital, 345 California St., Suite 2550, San Francisco, CA 94105

State or country in which incorporated — Delaware
Security(ies) — Series B-1 preferred stock, par value \$0.001 per share
Issuer of security(ies) — Border Holdings Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Border Holdings Inc.
c/o Swander Pace Capital, 345 California St., Suite 2550, San Francisco, CA 94105

State or country in which incorporated — Delaware
Security(ies) — Series B-2 preferred stock, par value \$0.001 per share
Issuer of security(ies) — Border Holdings Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

BPMS Oak Lake S, LLC
2700 S. Quincy St., Suite 420, Arlington, VA 22206

Security(ies) — TIC interests in Oak Lake Apartments
Issuer of security(ies) — BPMS Oak Lake S, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Bridge Absolute Return Portfolio, LLC
One Ferry Bldg., Suite 275, San Francisco, CA 94111
Security(ies) — Limited liability company interests
Issuer of security(ies) — Bridge Absolute Return Portfolio, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Broadband Maritime Inc.
61 Broadway, 19th Fl., New York, NY 10006
State or country in which incorporated — New Hampshire
Security(ies) — Preferred stock
Issuer of security(ies) — Broadband Maritime Inc.
Address of issuer — Same as above
State or country in which organized — New Hampshire

Broadband Maritime Inc.
61 Broadway, 19th Fl., New York, NY 10006
State or country in which incorporated — New Hampshire
Security(ies) — Common stock
Issuer of security(ies) — Broadband Maritime Inc.
Address of issuer — Same as above
State or country in which organized — New Hampshire

Broadfin Healthcare Offshore Fund, Ltd.
c/o UBS Fund Services (Cayman), Ltd., UBS House, P.O. Box 852GT, 227 Elgin Ave., George Town, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares, par value \$0.01 (U.S.) per share
Issuer of security(ies) — Broadfin Healthcare Offshore Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Broadfin Healthcare Offshore Fund, Ltd.
c/o UBS Fund Services (Cayman), Ltd., UBS House, P.O. Box 852GT, 227 Elgin Ave., George Town, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands
Security(ies) — Limited partnership interests
Issuer of security(ies) — Broadfin Healthcare Offshore Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Chastain Mine Joint Venture A
3839 Briargrove Lane, Suite 6307, Dallas, TX 75287
Security(ies) — Joint venture units
Issuer of security(ies) — Chastain Mine Joint Venture A
Address of issuer — Same as above
State or country in which organized — Texas

Citigroup Global Markets Inc.
388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Equity Focus Trusts—Trilogy Advisors Baby Boom Economy Portfolio, 2005 Series B
Address of issuer — Same as above
State or country in which organized — New York

Citigroup Global Markets Inc.
388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Equity Focus Trusts—Trilogy Advisors Global Communications Portfolio, 2005 Series B
Address of issuer — Same as above
State or country in which organized — New York

Citigroup Global Markets Inc.
388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Tax Exempt Securities Trust, National Trust 420
Address of issuer — Same as above
State or country in which organized — New York

Citigroup Global Markets Inc.
388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Tax Exempt Securities Trust, National Trust 421
Address of issuer — Same as above
State or country in which organized — New York

Citigroup Global Markets Inc.
388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Tax Exempt Securities Trust, National Trust 422
Address of issuer — Same as above
State or country in which organized — New York

Citigroup Global Markets Inc.
388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Tax Exempt Securities Trust, National Trust 423
Address of issuer — Same as above
State or country in which organized — New York

Citigroup Mortgage Loan Trust Inc.
390 Greenwich St., New York, NY 10013
State or country in which incorporated — Delaware
Security(ies) — Mortgage pass-through certificates, series 2005-45—class I-B3
Issuer of security(ies) — Citigroup Mortgage Loan Trust Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Citigroup Mortgage Loan Trust Inc.
390 Greenwich St., New York, NY 10013
State or country in which incorporated — Delaware
Security(ies) — Mortgage pass-through certificates, series 2005-45—class I-B4
Issuer of security(ies) — Citigroup Mortgage Loan Trust Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Citigroup Mortgage Loan Trust Inc.
390 Greenwich St., New York, NY 10013
State or country in which incorporated — Delaware
Security(ies) — Mortgage pass-through certificates, series 2005-45—class I-B5
Issuer of security(ies) — Citigroup Mortgage Loan Trust Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Citigroup Mortgage Loan Trust Inc.
390 Greenwich St., New York, NY 10013
State or country in which incorporated — Delaware
Security(ies) — Mortgage pass-through certificates, series 2005-45—class I-B6
Issuer of security(ies) — Citigroup Mortgage Loan Trust Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Citigroup Mortgage Loan Trust Inc.
390 Greenwich St., New York, NY 10013
State or country in which incorporated — Delaware
Security(ies) — Mortgage pass-through certificates, series 2005-45—class II-B3
Issuer of security(ies) — Citigroup Mortgage Loan Trust Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Citigroup Mortgage Loan Trust Inc.
390 Greenwich St., New York, NY 10013
State or country in which incorporated — Delaware
Security(ies) — Mortgage pass-through certificates, series 2005-45—class II-B4
Issuer of security(ies) — Citigroup Mortgage Loan Trust Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Citigroup Mortgage Loan Trust Inc.
390 Greenwich St., New York, NY 10013
State or country in which incorporated — Delaware
Security(ies) — Mortgage pass-through certificates, series 2005-45—class II-B5
Issuer of security(ies) — Citigroup Mortgage Loan Trust Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Citigroup Mortgage Loan Trust Inc.
390 Greenwich St., New York, NY 10013
State or country in which incorporated — Delaware
Security(ies) — Mortgage pass-through certificates, series 2005-45—class II-B6
Issuer of security(ies) — Citigroup Mortgage Loan Trust Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Citigroup Mortgage Loan Trust Inc.
390 Greenwich St., New York, NY 10013
State or country in which incorporated — Delaware
Security(ies) — Mortgage pass-through certificates, series 2005-45—class III-B3
Issuer of security(ies) — Citigroup Mortgage Loan Trust Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Claymore Securities, Inc.
2455 Corporate West Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Multiple Asset Portfolio, Series 5
Address of issuer — c/o Claymore Securities, Inc., 2455 Corporate West Dr., Lisle, IL 60532
State or country in which organized — Kansas

Claymore Securities, Inc.
2455 Corporate West Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Multiple Asset Portfolio Plus, Series 3
Address of issuer — c/o Claymore Securities, Inc., 2455 Corporate West Dr., Lisle, IL 60532
State or country in which organized — Kansas

Claymore Securities, Inc.
2455 Corporate West Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Peroni Growth Portfolio, Autumn Series 2005
Address of issuer — c/o Claymore Securities, Inc., 2455 Corporate West Dr., Lisle, IL 60532
State or country in which organized — Kansas

Claymore Securities, Inc.
2455 Corporate West Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Renewable and Alternative Energy Portfolio, Series 1
Address of issuer — c/o Claymore Securities, Inc., 2455 Corporate West Dr., Lisle, IL 60532
State or country in which organized — Kansas

Click Holding Corp.
111 Eighth Ave., 10th Fl., New York, NY 10011
State or country in which incorporated — Delaware
Security(ies) — Stock
Issuer of security(ies) — Click Holding Corp.
Address of issuer — Same as above
State or country in which organized — United States

Clinical Data, Inc.
One Gateway Center, Suite 411, Newton, MA 02458
State or country in which incorporated — Delaware
Security(ies) — Common stock with warrants to purchase common stock
Issuer of security(ies) — Clinical Data, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Clinical Data, Inc.
One Gateway Center, Suite 411, Newton, MA 02458
State or country in which incorporated — Delaware
Security(ies) — Preferred stock
Issuer of security(ies) — Clinical Data, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Consolidated Fire Protection Holdings, Inc.
c/o Gryphon Investors, One Embarcadero Center, Suite 2750, San Francisco, CA 94111
State or country in which incorporated — Delaware
Security(ies) — Class A common stock, par value \$0.001 per share
Issuer of security(ies) — Consolidated Fire Protection Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Consolidated Fire Protection Holdings, Inc.
c/o Gryphon Investors, One Embarcadero Center, Suite 2750, San Francisco, CA 94111
State or country in which incorporated — Delaware
Security(ies) — Class L common stock, par value \$0.001 per share
Issuer of security(ies) — Consolidated Fire Protection Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Cottonwood Northwest Corners S, LP
6440 S. Wasatch Blvd., Suite 200, Salt Lake City, UT 84121
Security(ies) — TIC interests in Northwest Corners Apartments
Issuer of security(ies) — Cottonwood Northwest Corners S, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Cottonwood Scott Mountain S, LLC
6440 S. Wasatch Blvd., Suite 200, Salt Lake City, UT 84121
Security(ies) — TIC interests in Scott Mountain Apartments
Issuer of security(ies) — Cottonwood Scott Mountain S, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Creative Enterprises International, Inc.
825 Lafayette Rd., Bryn Mawr, PA 19010
State or country in which incorporated — Nevada
Security(ies) — Convertible debentures
Issuer of security(ies) — Creative Enterprises International, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Credit Suisse First Boston LLC; (2) Pacific Growth Equities, LLC; (3) Lazard Capital Markets LLC
11 Madison Ave., New York, NY 10010; (2) One Bush St., San Francisco, CA 94104; (3) 30 Rockefeller Plaza, New York, NY 10020
State or country in which incorporated — (1)-(3) Delaware limited liability company
Security(ies) — Common stock
Issuer of security(ies) — Intarcia Therapeutics, Inc.
Address of issuer — 2000 Powell St., Suite 1640, Emeryville, CA 94608
State or country in which organized — Delaware

Delta GEMS Offshore Fund, Ltd.
c/o Meridian Fund Services Limited, P.O. Box HM 528, 73 Front St., Hamilton HM CX Bermuda
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares
Issuer of security(ies) — Delta GEMS Offshore Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

DSIL Investment Services LLC
536 Broadway, 7th Fl., New York, NY 10012
State or country in which incorporated — New York
Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Domini Advisor Trust—Domini European Social Equity Portfolio
Address of issuer — Same as above
State or country in which organized — Massachusetts

DSIL Investment Services LLC
 536 Broadway, 7th Fl., New York, NY 10012
State or country in which incorporated — New York
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Domini Social Investment Trust—Domini European Social Equity Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

EMI Financial Corporation
 3723 Haven Ave., Menlo Park, CA 94025
State or country in which incorporated — California
Security(ies) — Series A preferred shares
Issuer of security(ies) — EMI Financial Corporation
Address of issuer — Same as above
State or country in which organized — California

EnerVest Energy Institutional Co-Investment Fund X-1, L.P.
 1001 Fannin, Suite 800, Houston, TX 77002
Security(ies) — Limited partnership interests
Issuer of security(ies) — EnerVest Energy Institutional Co-Investment Fund X-1, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Federated Securities Corporation
 Federated Investors Tower, 1001 Liberty Ave., Pittsburgh, PA 15222-3779
State or country in which incorporated — Pennsylvania
Security(ies) — Federated institutional trust, federated intermediate government / corporate fund
Issuer of security(ies) — Shares of Beneficial Interest, No Par Value
Address of issuer — 5800 Corporate Dr., Pittsburgh, PA 15237-7000
State or country in which organized — Massachusetts

Federated Securities Corporation
 Federated Investors Tower, 1001 Liberty Ave., Pittsburgh, PA 15222-3779
State or country in which incorporated — Pennsylvania
Security(ies) — Federated equity funds, federated absolute advantage fund
Issuer of security(ies) — Shares of Beneficial Interest, No Par Value
Address of issuer — 5800 Corporate Dr., Pittsburgh, PA 15237-7000
State or country in which organized — Massachusetts
FERRIS, BAKER WATTS, INCORPORATED
 100 Light St., Baltimore, MD 21202
State or country in which incorporated — Delaware
Security(ies) — Units consisting of: one share of common stock, two warrants
Issuer of security(ies) — Cold Spring Capital, Inc.
Address of issuer — 5847 San Felipe, Suite 4350, Houston, TX 77057
State or country in which organized — Delaware

FERRIS, BAKER WATTS, INCORPORATED
 100 Light St., Baltimore, MD 21202
State or country in which incorporated — Delaware
Security(ies) — Units consisting of: one share of common stock, two warrants

Issuer of security(ies) — JK Acquisition Corp.
Address of issuer — 51 Locust Ave., Suite 302, New Canaan, CT 06840
State or country in which organized — Delaware

Fidelity Distributors Corporation
 82 Devonshire St., Boston, MA 02109
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — Fidelity California Municipal Trust, Fidelity California Municipal Income Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Fidelity Distributors Corporation
 82 Devonshire St., ZW10, Boston, MA 02109
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — Fidelity California Municipal Trust II: Fidelity California AMT Tax-Free Money Market Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Fidelity Distributors Corporation
 82 Devonshire St., Boston, MA 02109
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — Fidelity Court Street Trust, Fidelity Connecticut Municipal Income Fund
Address of issuer — 82 Devonshire St., ZW10, Boston, MA 02109
State or country in which organized — Massachusetts

Fidelity Distributors Corporation
 82 Devonshire St., Boston, MA 02109
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — Fidelity Court Street Trust, Fidelity Florida Municipal Income Fund
Address of issuer — 82 Devonshire St., ZW10, Boston, MA 02109
State or country in which organized — Massachusetts

Fidelity Distributors Corporation
 82 Devonshire St., Boston, MA 02109
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — Fidelity Court Street Trust, Fidelity New Jersey Municipal Income Fund
Address of issuer — 82 Devonshire St., ZW10, Boston, MA 02109
State or country in which organized — Massachusetts

Fidelity Distributors Corporation
 82 Devonshire St., Boston, MA 02109
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — Fidelity Court Street Trust II, Fidelity New Jersey AMT Tax-Free Money Market Fund
Address of issuer — 82 Devonshire St., ZW10, Boston, MA 02109
State or country in which organized — Delaware

Fidelity Distributors Corporation
 82 Devonshire St., Boston, MA 02109
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — Fidelity Devonshire Trust, Fidelity Tax-Free Bond Fund

Address of issuer — 82 Devonshire St., ZW10, Boston, MA 02109
State or country in which organized — Massachusetts

Fidelity Distributors Corporation
 82 Devonshire St., Boston, MA 02109
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — Fidelity Union Street Trust, Fidelity Arizona Municipal Income Fund
Address of issuer — 82 Devonshire St., ZW10, Boston, MA 02109
State or country in which organized — Massachusetts

Fidelity Distributors Corporation
 82 Devonshire St., Boston, MA 02109
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — Fidelity Union Street Trust, Fidelity Maryland Municipal Income Fund
Address of issuer — 82 Devonshire St., ZW10, Boston, MA 02109
State or country in which organized — Massachusetts

Fidelity Distributors Corporation
 82 Devonshire St., ZW10, Boston, MA 02109
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — Fidelity Union Street Trust II: Fidelity AMT Tax-Free Money Fund
Address of issuer — 82 Devonshire St., ZW10, Boston, MA 02109
State or country in which organized — Delaware

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1036 (Nasdaq-100® Securities Portfolio, Series 7)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1037 (Income Opportunity Portfolio, Series 3)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1043 (Municipal Advantage Closed-End Portfolio, Series 6)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1044 (Municipal Closed-End Portfolio, Series 15)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1046 (Strategic Income Advantage Closed-End Portfolio, Series 3)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1048 (Covered Call Closed-End Portfolio, Series)
Address of issuer — Same as above
State or country in which organized — Illinois

Fixed Income Securities, L.P.
 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415
Security(ies) — 10,000 units of undivided Interest
Issuer of security(ies) — Advisor's Disciplined Trust 48 (Senior Variable Rate Closed-End Portfolio, Series 6)
Address of issuer — Same as above
State or country in which organized — New York

Fixed Income Securities, L.P.
 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415
Security(ies) — 10,000 units of undivided Interest
Issuer of security(ies) — Advisor's Disciplined Trust 49 (High Yield Closed-End Portfolio, Series 4)
Address of issuer — Same as above
State or country in which organized — New York

Fixed Income Securities, L.P.
 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415
Security(ies) — 10,000 units of undivided Interest
Issuer of security(ies) — Advisor's Disciplined Trust 50 (High 50 Dividend Strategy Portfolio, Series 10)
Address of issuer — Same as above
State or country in which organized — New York

Gemini Lewisville Commons S, LLC
 175 Fifth Ave., Suite 715, New York, NY 10010
Security(ies) — TIC interests in Lewisville Commons shopping center
Issuer of security(ies) — Gemini Lewisville Commons S, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Goldman, Sachs & Co.
 85 Broad St., New York, NY 10004
State or country in which incorporated — New York
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Goldman Sachs Hedge Fund Strategies LLC—Goldman Sachs Hedge Fund Partners Registered Fund, LLC
Address of issuer — 4900 Sears Tower, Chicago, IL 60606-6303
State or country in which organized — Delaware

Goldman, Sachs & Co.
 85 Broad St., New York, NY 10004
State or country in which incorporated — New York
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Goldman Sachs Trust—Goldman Sachs U.S. Equity Dividend and Premium Fund

Address of issuer — 4900 Sears Tower, Chicago, IL 60606-6303
State or country in which organized — Delaware

Goldman, Sachs & Co.; (2) J.P. Morgan Securities Inc.
 85 Broad St., New York, NY 10004; (2) 270 Park Ave., New York, NY 10017

State or country in which incorporated — (1) New York partnership;
 (2) Delaware

Security(ies) — Common stock

Issuer of security(ies) — Global Cash Access Holdings, Inc.

Address of issuer — 3525 E. Post Rd., Suite 120, Las Vegas, NV 89120

State or country in which organized — Delaware

Goldman, Sachs & Co.; (2) Credit Suisse First Boston LLC
 85 Broad St., New York, NY 10004; (2) 11 Madison Ave., New York, NY 10010

State or country in which incorporated — (1) New York partnership;
 (2) Delaware limited liability company

Security(ies) — % Senior subordinated notes due 2013

Issuer of security(ies) — Euramax International Holdings B.V.

Address of issuer — 5445 Triangle Pkwy., Suite 350, Norcross, GA 30092

State or country in which organized — The Netherlands

Goldman, Sachs & Co.; (2) Credit Suisse First Boston LLC
 85 Broad St., New York, NY 10004; (2) 11 Madison Ave., New York, NY 10010

State or country in which incorporated — (1) New York partnership;
 (2) Delaware limited liability company

Security(ies) — % Senior subordinated notes due 2013

Issuer of security(ies) — Euramax International, Inc.

Address of issuer — 5445 Triangle Pkwy., Suite 350, Norcross, GA 30092

State or country in which organized — Delaware

Green Mountain Equity Housing Fund II Limited Partnership
 123 St. Paul St., Burlington, VT 05401

State or country in which incorporated — Vermont

Security(ies) — Limited partnership interests

Issuer of security(ies) — Green Mountain Equity Housing Fund II Limited Partnership

Address of issuer — Same as above

State or country in which organized — Vermont

Gulfstream Opportunity Fund, LP
 450 Springfield Ave., 2nd Fl., Summit, NJ 07901

Security(ies) — Limited partnership interests

Issuer of security(ies) — Gulfstream Opportunity Fund, LP

Address of issuer — Same as above

State or country in which organized — Delaware

HEALTHCOR OFFSHORE, LTD.

280 Park Ave., 39th Fl., New York, NY 10017

State or country in which incorporated — Cayman Islands

Security(ies) — Series A shares

Issuer of security(ies) — HEALTHCOR OFFSHORE, LTD.

Address of issuer — Same as above

State or country in which organized — Cayman Islands

HealthCor, L.P.

280 Park Ave., 39th Fl., New York, NY 10017

Security(ies) — Partnership interests

Issuer of security(ies) — HealthCor, L.P.

Address of issuer — 280 Park Ave., 39th Fl., New York, NY 10017
State or country in which organized — Delaware

Healthcare 250 Fund, L.P.

c/o Healthcare 250 GP, LLC, 500 Fifth Ave., Suite 2440, New York, NY 10110

Security(ies) — Limited Partnership Interests

Issuer of security(ies) — Healthcare 250 Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

HCFP/Brenner Securities, LLC

888 Seventh Ave., New York, NY 10106

State or country in which incorporated — Delaware

Security(ies) — The offering consists of units. Each unit consists of (I)100 shares of the company's 10% series A convertible preferred stock, and (ii) a warrant to acquire 200,000 shares of the Company's common stock

Issuer of security(ies) — Giant Motorsports, Inc.

Address of issuer — 13134 State Rte. 62, Salem, OH 44460

State or country in which organized — Nevada

Highwater Global Fund LLC

3 Barnabas Rd., Marion, MA 02738

State or country in which incorporated — Delaware

Security(ies) — Membership interests

Issuer of security(ies) — Highwater Global Fund LLC

Address of issuer — Same as above

State or country in which organized — Delaware

IA Bancorp, Inc.

1536 Oak Tree Rd., Iselin, NJ 08830

State or country in which incorporated — New Jersey

Security(ies) — Common stock

Issuer of security(ies) — IA Bancorp, Inc.

Address of issuer — 1536 Oak Tree Rd., Iselin, NJ 08830

State or country in which organized — New Jersey

Integrated Pharmaceuticals, Inc.

310 Authority Dr., Fitchburg, MA 01420

State or country in which incorporated — Idaho

Security(ies) — Common stock

Issuer of security(ies) — Integrated Pharmaceuticals, Inc.

Address of issuer — Same as above

State or country in which organized — Idaho

International Strategies, Inc.

11 Beacon St., Suite 1110, Boston, MA 02108

State or country in which incorporated — Massachusetts

Security(ies) — Series C convertible preferred stock

Issuer of security(ies) — International Strategies, Inc.

Address of issuer — Same as above

State or country in which organized — Massachusetts

International Thoroughbred Breeders, Inc.

Suite 1300, 1105 N. Market St., P.O. Box 8985, Wilmington, DE 19899-8985

State or country in which incorporated — Delaware

Security(ies) — Series C convertible preferred stock

Issuer of security(ies) — International Thoroughbred Breeders, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Intervolve, Inc.

3801 Lake Boone Trail, Suite 100, Raleigh, NC 27607

State or country in which incorporated — Delaware

Security(ies) — Series C convertible preferred stock offering

Issuer of security(ies) — Intervolve, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Ivy Enhanced Income Fund

One Jericho Plaza, Jericho, NY 11753

Security(ies) — Class C interests in tax exempt investment fund

Issuer of security(ies) — Ivy Enhanced Income Fund

Address of issuer — Same as above

State or country in which organized — New York

Ivy Enhanced Income Fund

One Jericho Plaza, Jericho, NY 11753

Security(ies) — Class D interests in tax exempt investment fund

Issuer of security(ies) — Ivy Enhanced Income Fund

Address of issuer — Same as above

State or country in which organized — New York

JPMorgan Lifestyle Fund SPC LTD

c/o JPMorgan Chase Bank, N.A., 345 Park Ave., New York, NY 10154

State or country in which incorporated — Cayman Islands

Security(ies) — Redeemable non-voting participating shares

Issuer of security(ies) — JPMorgan Lifestyle Fund SPC LTD

Address of issuer — Same as above

State or country in which organized — Cayman Islands

Keefe Bruyette & Woods, Inc.; (2) Howe Barnes Investments, Inc.; (3) SunTrust Capital Markets, Inc.

Three James Center, 1051 E. Cary St., Suite 1415, Richmond, VA 23219; (2) 222 S. Riverside Plaza, 7th Fl., Chicago, IL 60606; (3) 3333

Peachtree Rd., NE, 19th Fl., Atlanta, GA 30326

State or country in which incorporated — (1) New York; (2) Delaware; (3) Tennessee

Security(ies) — Common stock

Issuer of security(ies) — Greene County Banchares, Inc.

Address of issuer — 100 N. Main St., Greeneville, TN 37743-4992

State or country in which organized — Tennessee

Keystone Property Fund IIA, L.P.

One West First Ave., Suite 400, Conshohocken, PA 19428

Security(ies) — Limited partnership interests

Issuer of security(ies) — Keystone Property Fund IIA, L.P.

Address of issuer — Same as above

State or country in which organized — Montgomery

LM Isis Opportunities Partners, LP

c/o LM Isis Capital Partners, LLC, 20 E. Elm St., Greenwich, CT 06870

Security(ies) — Limited partnership interests

Issuer of security(ies) — LM Isis Opportunities, LP

Address of issuer — Same as above

State or country in which organized — Delaware

Lotsoff Capital Management Investment Trust

20 N. Clark St., 34th Fl., Chicago, IL 60602-4109

State or country in which incorporated — Delaware

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Lotsoff Capital Management Active Income Fund

Address of issuer — Same as above

State or country in which organized — Delaware

Security(ies) — Limited partnership interests

Issuer of security(ies) — MHW Partners, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Security(ies) — Limited partnership interests

Issuer of security(ies) — MHW Partners, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Address of issuer — Same as above

State or country in which organized — Delaware

Maric Capital Fund, LP

c/o Maric Capital Fund GP, LLC, 660 Madison Ave., 18th Fl., New York, NY 10021

Security(ies) — Limited partnership interests

Issuer of security(ies) — Maric Capital Fund, LP

Address of issuer — Same as above

State or country in which organized — Delaware

Maui Tacos International, Inc.

180 Interstate North Pkwy., SE #500, Atlanta, GA 30339

State or country in which incorporated — Georgia

Security(ies) — Common stock

Issuer of security(ies) — Maui Tacos International, Inc.

Address of issuer — Same as above

State or country in which organized — Georgia

McKinley Capital International Growth Fund, L.P.

3301 C St., Suite 500, Alaska, CA 99503

State or country in which incorporated — Delaware

Security(ies) — Limited partner interests

Issuer of security(ies) — McKinley Capital International Growth Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Security(ies) — Limited partner interests

Issuer of security(ies) — McKinley Capital International Growth Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Security(ies) — Limited partner interests

Issuer of security(ies) — McKinley Capital International Growth Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Security(ies) — Limited partner interests

Issuer of security(ies) — McKinley Capital International Growth Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Security(ies) — Limited partner interests

Issuer of security(ies) — McKinley Capital International Growth Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Security(ies) — Limited partner interests

Issuer of security(ies) — McKinley Capital International Growth Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Security(ies) — Limited partner interests

Issuer of security(ies) — McKinley Capital International Growth Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Security(ies) — Limited partner interests

Issuer of security(ies) — McKinley Capital International Growth Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Security(ies) — Limited partner interests

Issuer of security(ies) — McKinley Capital International Growth Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Security(ies) — Limited partner interests

Issuer of security(ies) — McKinley Capital International Growth Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Security(ies) — Limited partner interests

Issuer of security(ies) — McKinley Capital International Growth Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Security(ies) — Limited partner interests

Issuer of security(ies) — McKinley Capital International Growth Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Address of issuer — Same as above
State or country in which organized — Delaware

Mindset Media, LLC
 520 White Plains Rd., Suite 500, Tarrytown, NY 10591
State or country in which incorporated — Delaware
Security(ies) — Limited liability company interests
Issuer of security(ies) — Mindset Media, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Mobility, Inc.
 307 3rd Ave., South, Suite 220, Seattle, WA 98104-2686
State or country in which incorporated — Washington
Security(ies) — Class A common stock
Issuer of security(ies) — Mobility, Inc.
Address of issuer — Same as above
State or country in which organized — Washington

Moody National Buffalo Speedway S, L.P.
 6363 Woodway, Suite 110, Houston, TX 77057
Security(ies) — Tenants in common interests
Issuer of security(ies) — Moody National Buffalo Speedway S, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Morgan Keegan & Company, Inc.
 50 Front St., Morgan Keegan Tower, Memphis, TN 38103
State or country in which incorporated — Tennessee
Security(ies) — Common stock
Issuer of security(ies) — Far East Emergency Corporation
Address of issuer — 400 N. Sam Houston Pkwy., East, Suite 205, Houston, TX 77060
State or country in which organized — Nevada

New Jersey Kidney Stone Center, LLC
 One Rossmoor Dr., Suite 1, Monroe Township, NJ 08831
Security(ies) — Membership units
Issuer of security(ies) — New Jersey Kidney Stone Center, LLC
Address of issuer — Same as above
State or country in which organized — New Jersey

Oberweis Funds, The
 3333 Warrenville Rd., Suite 500, Lisle, IL 60532
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Oberweis China Opportunities Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Oberweis Funds, The
 3333 Warrenville Rd., Suite 500, Lisle, IL 60532
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Oberweis Emerging Growth Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Oberweis Funds, The
 3333 Warrenville Rd., Suite 500, Lisle, IL 60532
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Oberweis Micro-Cap Fund

Address of issuer — Same as above
State or country in which organized — Massachusetts

Oberweis Funds, The
 3333 Warrenville Rd., Suite 500, Lisle, IL 60532
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Oberweis Mid-Cap Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Ohio Kentucky Oil Corporation/W-L Field Project #1 Joint-Venture
 5112 Portage St. NW, North Canton, OH 44720
Security(ies) — General partnership interests
Issuer of security(ies) — Ohio Kentucky Oil Corporation/W-L Field Project #1 Joint-Venture
Address of issuer — Same as above
State or country in which organized — Ohio

Palladio Partners, LP
 Eight Sound Shore Dr., Greenwich, CT 06830
Security(ies) — Limited partnership interests
Issuer of security(ies) — Palladio Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Piedmont/Hawthorne Holdings, Inc.
 1524 W. 14th St., Suite 110, Tempe, AR 85281
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Piedmont/Hawthorn Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Piedmont/Hawthorne Holdings, Inc.
 1524 W. 14th St., Suite 110, Tempe, AR 85281
State or country in which incorporated — Delaware
Security(ies) — Options
Issuer of security(ies) — Piedmont/Hawthorn Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Pine Grove Ambulatory Surgical Center, LLC
 18279 Conneaut Rd., Meadville, PA 16335
Security(ies) — 7.5% unsecured promissory notes
Issuer of security(ies) — Pine Grove Ambulatory Surgical Center, LLC
Address of issuer — Same as above
State or country in which organized — Pennsylvania

Pine Grove Ambulatory Surgical Center, LLC
 18279 Conneaut Rd., Meadville, PA 16335
Security(ies) — Units of limited liability company membership interests
Issuer of security(ies) — Pine Grove Ambulatory Surgical Center, LLC
Address of issuer — Same as above
State or country in which organized — Pennsylvania

Pinnacle China Fund, L.P.
 4965 Preston Park Blvd., Suite 240, Plano, TX 75093
Security(ies) — Limited partnership interests
Issuer of security(ies) — Pinnacle China fund, L.P.
Address of issuer — Same as above
State or country in which organized — Texas

PNC Capital Markets, Inc.
 One PNC Plaza, 249 Fifth Ave., Pittsburgh, PA 15222
State or country in which incorporated — Pennsylvania
Security(ies) — Municipal bonds
Issuer of security(ies) — Sports and Exhibition Authority of Pittsburgh and Allegheny County
Address of issuer — Regional Enterprise Tower, 425 Sixth Ave., Suite 2759, Pittsburgh, PA 15219
State or country in which organized — Pennsylvania

Power2Ship, Inc.
 903 Clint Moore Rd., Boca Raton, FL 33487
State or country in which incorporated — Nevada
Security(ies) — Units consisting of common stock and warrants
Issuer of security(ies) — Power2Ship, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Prescient Partners V, LLC
 7120 W. Cermak Rd., Berwyn, IL 60402
Security(ies) — Units of limited liability company membership interests
Issuer of security(ies) — Prescient Partners V, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Prestige Financial Center, Inc.; (2) Newbridge Securities Corporation;
 (3) Clayton, Dunning & Company, Inc.
 1501 Broadway, New York, NY 10036; (2) 1451 W. Cypress Creek Rd.,
 Fort Lauderdale, FL 33309; (3) 40 Wall St., 31st Fl., New York, NY
 10005
State or country in which incorporated — (1) New York; (2) Virginia;
 (3) Florida
Security(ies) — Units consisting of common stock and warrants
Issuer of security(ies) — Power2Ship, Inc.
Address of issuer — 903 Clint Moore Rd., Boca Raton, FL 33487
State or country in which organized — Nevada

Primary Metals Inc.
 306-850 W. Hastings St., Vancouver, BC V6C 1E1, Canada
State or country in which incorporated — Canada
Security(ies) — Common shares
Issuer of security(ies) — Primary Metals Inc.
Address of issuer — Same as above
State or country in which organized — Canada

Primary Metals Inc.
 306-850 W. Hastings St., Vancouver, BC V6C 1E1, Canada
State or country in which incorporated — Canada
Security(ies) — Convertible debt
Issuer of security(ies) — Primary Metals Inc.
Address of issuer — Same as above
State or country in which organized — Canada

Primary Metals Inc.
 306-850 W. Hastings St., Vancouver, BC V6C 1E1, Canada
State or country in which incorporated — Canada
Security(ies) — Units consisting of common shares and warrants
Issuer of security(ies) — Primary Metals Inc.
Address of issuer — Same as above
State or country in which organized — Canada

ProFunds Short Oil & Gas ProFund
 BISYS 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012

Security(ies) — Units of beneficial interest
Issuer of security(ies) — ProFunds Distributors, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

ProFunds Short Real Estate ProFund
 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
Security(ies) — Units of beneficial interest
Issuer of security(ies) — ProFunds Distributors, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Putnam Retail Management Limited Partnership
 One Post Office Sq., Boston, MA 02109
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Putnam Funds Trust—Putnam Income Strategies Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Rapid Pathogen Screening, Inc.
 101 Phillips Park Dr., South Williamsport, PA 17702
State or country in which incorporated — Delaware
Security(ies) — \$1.00 par value common stock
Issuer of security(ies) — Rapid Pathogen Screening, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Raven Credit Opportunities Fund, L.P.
 195 Maplewood Ave., Maplewood, NJ 07040
Security(ies) — Limited partnership interests
Issuer of security(ies) — Raven Credit Opportunities Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

RealShare International, Inc.
 555 Madison Ave., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Five year 9% convertible notes in denominations of \$45,000 (convertible into class B non-voting common stock)
Issuer of security(ies) — RealShare International, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Reliance Bancshares, Inc.
 11781 Manchester Rd., Des Peres, MO 63131
State or country in which incorporated — Missouri
Security(ies) — Class A common stock, \$0.50 par value
Issuer of security(ies) — Reliance Bancshares, Inc.
Address of issuer — Same as above
State or country in which organized — Missouri

Reliance Kaleidoscope Fund, LLC
 147 E. 48th St., New York, NY 10017
Security(ies) — Class A membership interests
Issuer of security(ies) — Reliance Kaleidoscope Fund, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Ridgewood Energy Q Fund, LLC
 947 Linwood Ave., Ridgewood, NJ 07450
State or country in which incorporated — Delaware

Security(ies) — Membership interests
Issuer of security(ies) — Ridgewood Energy Q Fund, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Sandgrain Securities, Inc.
 1050 Franklin Ave., Suite 101, Garden City, NY 11530
State or country in which incorporated — New York
Security(ies) — Common stock
Issuer of security(ies) — Arcadia Resources, Inc.
Address of issuer — 26777 Central Park Blvd., Suite 200, Southfield, MI 48076
State or country in which organized — Nevada

Sandler Plus Domestic Fund, LP
 c/o Sandler Plus GP, LLC, 711 Fifth Ave., 15th Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Sandler Plus Domestic Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Sarkes Tarzian, Inc.
 205 N. College Ave., P.O. Box 62, Bloomington, IN 47402
Security(ies) — Common stock, par value \$4.00 per share
Issuer of security(ies) — Sarkes Tarzian, Inc.
Address of issuer — Same as above
State or country in which organized — Indiana

Save the World Air, Inc.
 5125 Lankershim Blvd., North Hollywood, CA 91601
State or country in which incorporated — Nevada
Security(ies) — Units consisting of 9% convertible notes due July 31, 2006 with warrants to purchase common stock
Issuer of security(ies) — Save the World Air, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

SB Condo Hotel, LLC
 515 Monroe St., #5, Hoboken, NJ 07030
Security(ies) — Limited liability company membership units
Issuer of security(ies) — SB Condo Hotel, LLC
Address of issuer — Same as above
State or country in which organized — Indiana

Secured Capital LLC
 11150 Santa Monica Blvd., Suite 1400, Los Angeles, CA 90025
Security(ies) — Limited partnership interests
Issuer of security(ies) — HCP Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Selectinvest Enhanced III Ltd.
 c/o BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate Center, 27 Hospital Rd., P.O. Box 1748GT, George Town, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares
Issuer of security(ies) — Selectinvest Enhanced III Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Selectinvest PLUS 1.25X Ltd.
 c/o BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate Center, 27 Hospital Rd., P.O. Box 1748GT, George Town, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares
Issuer of security(ies) — Selectinvest PLUS 1.25X Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Selectinvest Total Return LP
 30 Rockefeller Plaza, Suite 2800, New York, NY 10012
Security(ies) — Limited partnership interests
Issuer of security(ies) — Selectinvest Total Return LP
Address of issuer — Same as above
State or country in which organized — Delaware

Sky Capital, LLC
 110 Wall St., 2nd Fl., New York, NY 10005
State or country in which incorporated — Delaware
Security(ies) — Class A common stock
Issuer of security(ies) — Sky Capital Enterprises Inc.
Address of issuer — 110 Wall St., 8th Fl., New York, NY 10005
State or country in which organized — Delaware

Spencer Trask Ventures, Inc.
 535 Madison Ave., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Series A convertible preferred stock
Issuer of security(ies) — Source Food Technology, Inc.
Address of issuer — 2530 Meridian Pkwy., Suite 200, Research Triangle Park, Durham, NC 27713
State or country in which organized — Delaware

SWsoft Holdings Ltd
 13755 Sunrise Valley Dr., Suite 325, Herndon, VA 20171
State or country in which incorporated — Bermuda
Security(ies) — Preferred shares
Issuer of security(ies) — SWsoft Holdings Ltd.
Address of issuer — Same as above
State or country in which organized — Bermuda

Think 2020, Inc.
 75 Fifth St., Suite 207, Atlanta, GA 30309
State or country in which incorporated — Georgia
Security(ies) — Common stock
Issuer of security(ies) — Think 2020, Inc.
Address of issuer — Same as above
State or country in which organized — Georgia

Tristan Fund, L.P.
 150 California St., 5th Fl., San Francisco, CA 94111
Security(ies) — Limited partnership interests
Issuer of security(ies) — Tristan Fund, L.P.
Address of issuer — One Sansome St., 39th Fl., San Francisco, CA 94104
State or country in which organized — Delaware

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Central Equity Trust, Diversified Income

Series 20

Address of issuer — Same as above

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Insured Income Trust, Series 134

Address of issuer — Same as above

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Investment Grade Municipal Trust, Series 58

Address of issuer — Same as above

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — S&P Dividend Growth Opportunities Trust, Series 2

Address of issuer — Same as above

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Van Kampen Unit Trusts, Municipal Series 577 (Pennsylvania Insured Municipals Income Trust, Series 302)

Address of issuer — Same as above

State or country in which organized — New York

VectorMAX Corporation

Four Duban Court, Farmingdale, NY 11735

Security(ies) — Common stock, no par value

Issuer of security(ies) — VectorMAX Corporation

Address of issuer — Same as above

State or country in which organized — Illinois

Vyteris Holdings (Nevada), Inc.

13-01 Pollitt Dr., Fair Lawn, NJ 07410

State or country in which incorporated — Nevada

Security(ies) — Common stock, \$.001 par value per share

Issuer of security(ies) — Vyteris Holdings (Nevada), Inc.

Address of issuer — Same as above

State or country in which organized — Nevada

Vyteris Holdings (Nevada), Inc.

13-01 Pollitt Dr., Fair Lawn, NJ 07410

State or country in which incorporated — Nevada

Security(ies) — Common stock purchase warrants

Issuer of security(ies) — Vyteris Holdings (Nevada), Inc.

Address of issuer — Same as above

State or country in which organized — Nevada

Vyteris Holdings (Nevada), Inc.

13-01 Pollitt Dr., Fair Lawn, NJ 07410

State or country in which incorporated — Nevada

Security(ies) — Senior secured convertible debentures

Issuer of security(ies) — Vyteris Holdings (Nevada), Inc.

Address of issuer — Same as above

State or country in which organized — Nevada

Walden Current Income Fund, LLC

One Premier Plaza, 5605 Glenridge Dr., Suite 760, Atlanta, GA 30342

State or country in which incorporated — Georgia

Security(ies) — Preferred membership interests

Issuer of security(ies) — Walden Current Income Fund, LLC

Address of issuer — Same as above

State or country in which organized — Georgia

Wintergreen Fund, Inc.

333 Rte. 46 W, Suite 204, Mountain Lake, NJ 07046

State or country in which incorporated — Maryland

Security(ies) — \$0.001 par value/common stock

Issuer of security(ies) — Wintergreen Fund, Inc.

Address of issuer — Same as above

State or country in which organized — Maryland

Wirt LongPoint, LP

1202 Hawthorne, Houston, TX 77006

Security(ies) — Limited partnership interests

Issuer of security(ies) — Wirt LongPoint, LP

Address of issuer — Same as above

State or country in which organized — Texas

Wohl Capital Partners, L.P.

150 Josselyn Lane, Woodside, CA 94062

Security(ies) — Limited partnership interests

Issuer of security(ies) — Wohl Capital Partners, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

WPS Capital Fund, LLC

1319-CC Military Cutoff Rd., Wilmington, NC 28405

State or country in which incorporated — Delaware

Security(ies) — Limited liability company interests

Issuer of security(ies) — WPS Capital Fund, LLC

Address of issuer — Same as above

State or country in which organized — Delaware