

# SECURITIES OFFERINGS

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## STATE NOTICES

Published pursuant to provisions of General Business Law  
[Art. 23-A, §359-e(2)]

## DEALERS; BROKERS

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3D Asset Management Inc.

111 Founders Plaza, Suite 603, East Hartford, CT 06108  
*State or country in which incorporated* — Delaware

200 Franklin Trust

6363 Woodway, Suite 100, Houston, TX 77057

Afterlife Services, Inc.

4101 Ravenswood Rd., Suite 307, Ft. Lauderdale, FL 33312  
*State or country in which incorporated* — Florida

BBR Private Investment Fund - Series D, L.P.

Two Grand Central Tower, 140 E. 45th St., 26th Fl., New York, NY 10017

*Partnership* — BBR Investment Management, L.L.C.

BISYS Fund Services Limited Partnership

3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012

Blackfish Investments LP

% Blackfish Capital LLC, 204 E. High St., Charlottesville, VA 22902  
*Partnership* — Blackfish Capital, LLC

Brooklyn Proud, LLC

3617 Avenue S, Brooklyn, NY 11234

*State or country in which incorporated* — New York limited liability company

Brooklyn Proud Trademarks, LLC

3617 Avenue S, Brooklyn, NY 11234

*State or country in which incorporated* — New York limited liability company

BSMB III Family Fund, L.P.

383 Madison Ave., New York, NY 10179

*Partnership* — Bear Stearns Merchant Capital III, L.P.

California Income Properties #6 LLC

22144 Clarendon St., Suite 240, Woodland Hills, CA 91367

*State or country in which incorporated* — California

Capital Portfolio Management

54 Scott Adam Rd., Huntvalley, MDs2

*State or country in which incorporated* — Maryland

Consolidated Biofuels, Inc.

1400 Roxboro Lane, McKinney, TX 75071

*State or country in which incorporated* — Colorado

Conus Fund, L.P., The

50 Rockefeller Plaza, 2nd Fl., New York, NY 10020

*Partnership* — Conus Capital, L.L.C., general partner

Conus Fund (QP), L.P., The

50 Rockefeller Plaza, 2nd Fl., New York, NY 10020

*Partnership* — Conus Capital, L.L.C., general partner

CORE Realty Holdings, LLC

1600 Dove St., Suite 210, Newport Beach, CA 92660

CORE Westwind S, LLC

1600 Dove St., Suite 210, Newport Beach, CA 92660

Croupier Fund, L.P.

470 Park Ave. S, 4th Fl., New York, NY 10016

*Partnership* — Croupier Capital, LLC, general partner

Destination Wedding Travel, Inc.

1253 Worcester Rd., Framingham, MA 01701

*State or country in which incorporated* — Delaware

Eaton Vance Mid Cap Core Fund, LLC

% Eaton Vance Management, 255 State St., Boston, MA 02109

Eaton Vance Small Cap Core Fund, LLC

255 State St., Boston, MA 02109

Eaton Vance Small/Mid Cap Core Fund, LLC

255 State St., Boston, MA 02109

Elite Pharmaceuticals, Inc.

165 Ludlow Ave., Northvale, NJ 07647

*State or country in which incorporated* — Delaware

Emergent Music LLC

32 Pleasant St., Burnswick, ME 04011

*State or country in which incorporated* — Maine limited liability company

Emerging Sovereign Institutional Offshore Fund, Ltd.  
% Ogier Fiduciary Services (Cayman) Limited, Queensgate House, S.  
Church St., P.O. Box 1234 GT, Grand Cayman, Cayman Islands,  
British West Indies  
*State or country in which incorporated* — Cayman Islands

Empire Biofuels, LLC  
55 Fall St., Seneca Falls, NY 13148  
*State or country in which incorporated* — New York

FLI SS Private Equity Fund I, L.P.  
One Jericho Plaza, Jericho, NY 11753  
*Partnership* — FLI Management Corporation

Freedom Bank  
410 Cortez Rd. W, Bradenton, FL 34207  
*State or country in which incorporated* — Florida

GAM Futures L.P.  
330 Madison Ave., New York, NY 10017  
*Partnership* — GAM USA Inc.

GAM Multi-Global L.P.  
330 Madison Ave., New York, NY 10017  
*Partnership* — GAM USA Inc.

GAM Multi-Overseas Fund L.P.  
330 Madison Ave., New York, NY 10017  
*Partnership* — GAM USA Inc.

GAM Special Situations, L.P.  
330 Madison Ave., New York, NY 10017  
*Partnership* — GAM USA Inc.

GREEN Renewable Energy, Ethanol & Nutrition – Holding, LLC  
1904 Tollgate Rd., Plain, PA 18070

GSE Systems, Inc.  
7133 Rutherford St., Baltimore, MD 21244  
*State or country in which incorporated* — Delaware

HDS Cosmetics Lab, Inc.  
28 Wells Ave., Bldg. #3, 2nd Fl., Yonkers, NY 10701  
*State or country in which incorporated* — Delaware

Hillcrest Asset Management, Inc.  
3351 NE. 19th Ave., Oakland Park, FL 33306  
*State or country in which incorporated* — Illinois

HPD II, LLC  
P.O. Box 628, Sterling, CO 80751

Ikona Gear International, Inc.  
1850 Hartley Ave., Unit #1, Coquitlam, British Columbia V3K 7A1,  
Canada  
*State or country in which incorporated* — Nevada

Illini Bio-Energy, LLC  
3600 Wabash Ave., Suite C, Springfield, IL 62711-9600

Integrity2 Fund, LP  
6909 E. Main St., Scottsdale, AZ 85251  
*Partnership* — ICP Capital Management, LLC

Ivan Pavicic  
816 Broadway, New York, NY 10003  
*Partnership* — Pavicic 3W13 LLC

JLH Partners, L.P.  
1194 Pacific St., Suite 205, San Luis Obispo, CA 94301  
*Partnership* — Lindvall Capital Management, LLC, general partner

Kingsview Capital Partners, LP  
One Whitehall St., 15th Fl., New York, NY 10004  
*Partnership* — Kingsview Capital, LLC

Kinyan Capital, LP  
590 Madison Ave., 28th Fl., New York, NY 10022  
*Partnership* — Kinyan Capital, LLC, general partner

Kylin Fund LP  
400 Madison Ave., Suite 6A, New York, NY 10017  
*Partnership* — Kylin Partners LLC

Kylin Offshore Fund Ltd.  
% Citco Fund Services (Cayman Islands) Limited, Windward 1, Regatta  
Office Park, W. Bay Rd., P.O. Box 31106 SMB, Grand Cayman,  
Cayman Islands  
*State or country in which incorporated* — Cayman Islands

Livermore Trading Corp.  
% Gettenberg Consulting, 65 Broadway, 10th Fl., New York, NY 10006

Meridian Performance Partners ERISA Fund, Ltd.  
% Olympia Capital (Cayman) Limited, Williams House, 20 Reid St.,  
Hamilton HM 11, Bermuda  
*State or country in which incorporated* — Cayman Islands

Palm Beach Strategic Income, L.P.  
948 Tahoe Blvd., Incline Village, NV 89451  
*Partnership* — Palm Beach Capital Management, L.P.

ProFunds — UltraShort Japan ProFund  
3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012  
*State or country in which incorporated* — Delaware

Ryan Beck & Co.  
18 Columbia Tpke., Florham Park, NJ 07932  
*State or country in which incorporated* — New Jersey

Saxony Securities, Inc.  
86 Kenrick Place, St. Louis, MO 63119  
*State or country in which incorporated* — Missouri

Seasongood & Mayer, LLC  
414 Walnut St., Suite 300, Cincinnati, OH 45202  
*Partnership* — Todd Braff, Paul Stubbins, Dan Blank, R. Lee Mairose,  
Scot Perlman, Richard Reis, Lynn Goodwin and Joseph Robertson

Solutionary, Inc.  
9420 Underwood Ave., Omaha, NE 68114  
*State or country in which incorporated* — Delaware

Spencer House Capital Management Asia Fund L.P.  
P.O. Box 309, George Town, Grand Cayman, Cayman Islands  
*Partnership* — Spencer House Capital Management Asia, General  
Partner Limited, general partner

Spencer House Capital Management Japan Fund L.P.  
P.O. Box 309, George Town, Grand Cayman, Cayman Islands  
*Partnership* — Spencer House Capital Management Japan General Partner Limited, general partner

Stoneleigh Partners Acquisition Corp.  
% PLM International Inc., 200 Nyala Farms, Westport, CT 06880-6267  
*State or country in which incorporated* — Delaware

StoneWater Capital Japan LLC  
% StoneWater Capital LLC, 277 Park Ave., 49th Fl., New York, NY 10172

Superior Restaurant NYC, L.P.  
210 Elizabeth St., New York, NY 10012  
*Partnership* — Superior Restaurant, LLC, general partner

Tahl-Propp Manhattan North Investors V LLC  
% TPE Management V LLC, 405 Park Ave., Suite 1103, New York, NY 10022-4405

Tremont Double Alpha Market Neutral Portfolio Limited  
Walkers SPV Limited, Walker House, P.O. Box 908 GT, Mary St., George Town, Grand Cayman, Cayman Islands, BWI  
*State or country in which incorporated* — Cayman Islands

Tremont Portable Alpha 500 Portfolio Limited  
Walkers SPV Limited, Walker House, P.O. Box 908 GT, Mary St., George Town, Grand Cayman, Cayman Islands, BWI  
*State or country in which incorporated* — Cayman Islands

Tremont Market Neutral Portfolio Limited  
Walkers SPV Limited, Walker House, P.O. Box 908 GT, Mary St., George Town, Grand Cayman, Cayman Islands, BWI  
*State or country in which incorporated* — Cayman Islands

Triad Trading Fund L.P.  
9575 Katy Fwy., Suite 360, Houston, TX 77024  
*Partnership* — Treasury Management Services, Inc.

Tricor Pacific Capital Partners (Fund IV) US, Limited Partnership  
200 Burrard St., Suite 1615, Vancouver, B.C., Canada V6C 3L6  
*Partnership* — Tricor Pacific Capital Partners (Fund IV) ULC

UBS Securities LLC  
1285 Avenue of the Americas, New York, NY 10019  
*State or country in which incorporated* — Delaware limited liability company

UBS Funds, The—UBS U.S. Mid Cap Growth Equity Fund  
% J.P. Morgan Investor Services Co., 73 Tremont St., 8th Fl., Boston, MA 02108  
*State or country in which incorporated* — Delaware

USADATA, Inc.  
292 Madison Ave., 3rd Fl., New York, NY 10017  
*State or country in which incorporated* — New York

Waterton Fund IX Investors 2006, L.L.C.  
One N. Franklin St., Suite 1150, Chicago, IL 60606  
*Partnership* — Waterton Associates L.L.C.

Westminster Securities Corporation  
100 Wall St., 7th Fl., New York, NY 10005  
*State or country in which incorporated* — New York

Wharton "B" 2006 Joint Venture  
1848 Norwood Plaza, Suite 109, Hurst, TX 76054

Whitebox Intermarket Fund, Ltd.  
3033 Excelsior Blvd., Suite 300, Minneapolis, MN 55416  
*Partnership* — Whitebox Intermarket Advisors, LLC

ZAIS Structured Credit Offshore Feeder Fund, Ltd.  
% Deutsche Bank (Cayman) Limited, Elizabethan Sq., P.O. Box 1984GT, Grand Cayman, Cayman Islands, BWI  
*State or country in which incorporated* — Cayman Islands

**FURTHER STATE NOTICES**

Published pursuant to provisions of General Business Law  
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY  
DEALERS; BROKERS;  
SYNDICATE MANAGERS

3D Asset Management Inc.  
111 Founders Plaza, Suite 603, East Hartford, CT 06108  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Equity - preferred stock  
*Issuer of security(ies)* — 3D Asset Management Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

200 Franklin Trust  
6363 Woodway, Suite 100, Houston, TX 77057  
*Security(ies)* — Class A trust interests  
*Issuer of security(ies)* — 200 Franklin Trust  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Afterlife Services, Inc.  
4101 Ravenswood Rd., Suite 307, Ft. Lauderdale, FL 33312  
*State or country in which incorporated* — Florida  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Afterlife Services, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Florida

AIM Distributors  
P.O. Box 4739, Houston, TX 77210  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — AIM Counselor Series Trust — AIM Structured Core Fund  
*Address of issuer* — 11 Greenway Plaza, Suite 100, Houston, TX 77046  
*State or country in which organized* — Delaware

AIM Distributors  
P.O. Box 4739, Houston, TX 77210

*State or country in which incorporated* — Delaware  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — AIM Counselor Series Trust — AIM Structured Growth Fund  
*Address of issuer* — 11 Greenway Plaza, Suite 100, Houston, TX 77046  
*State or country in which organized* — Delaware

AIM Distributors  
 P.O. Box 4739, Houston, TX 77210  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — AIM Counselor Series Trust — AIM Structured Value Fund  
*Address of issuer* — 11 Greenway Plaza, Suite 100, Houston, TX 77046  
*State or country in which organized* — Delaware

AIM Distributors  
 P.O. Box 4739, Houston, TX 77210  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — AIM Investment Funds — AIM China Fund  
*Address of issuer* — 11 Greenway Plaza, Suite 100, Houston, TX 77046  
*State or country in which organized* — Delaware

AIM Distributors  
 P.O. Box 4739, Houston, TX 77210  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — AIM Investment Funds — AIM Enhanced Short Bond Fund  
*Address of issuer* — 11 Greenway Plaza, Suite 100, Houston, TX 77046  
*State or country in which organized* — Delaware

AIM Distributors  
 P.O. Box 4739, Houston, TX 77210  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — AIM Investment Funds — AIM International Bond Fund  
*Address of issuer* — 11 Greenway Plaza, Suite 100, Houston, TX 77046  
*State or country in which organized* — Delaware

AIM Distributors  
 P.O. Box 4739, Houston, TX 77210  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — AIM Investment Funds — AIM Japan Fund  
*Address of issuer* — 11 Greenway Plaza, Suite 100, Houston, TX 77046  
*State or country in which organized* — Delaware

Allianz Global Investor Distributors LLC  
 840 Newport Center Dr., Newport Beach, CA 92660  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — Allianz Funds — Allianz RCM Global Resources Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

Allianz Global Investor Distributors LLC  
 840 Newport Center Dr., Newport Beach, CA 92660  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Shares of beneficial interest

*Issuer of security(ies)* — Allianz Funds — Allianz RCM Strategic Growth Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

BBR Private Investment Fund - Series D, L.P.  
 Two Grand Central Tower, 140 E. 45th St., 26th Fl., New York, NY 10017  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — BBR Private Investment Fund - Series D, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Bear, Stearns & Co. Inc.; (2) Morgan Stanley & Co. Incorporated; (3) Banc of America Securities LLC  
 383 Madison Ave., New York, NY 10179; (2) 1585 Broadway, New York, NY 10036; (3) Nine W. 57th St., New York, NY 10019  
*State or country in which incorporated* — (1-2) Delaware; (3) Delaware limited liability company  
*Security(ies)* — \_\_\_% senior notes due 2014  
*Issuer of security(ies)* — HNS Finance Corp.  
*Address of issuer* — 11717 Exploration Lane, Germantown, MD 20876  
*State or country in which organized* — Delaware

Bear, Stearns & Co. Inc.; (2) Morgan Stanley & Co. Incorporated; (3) Banc of America Securities LLC  
 383 Madison Ave., New York, NY 10179; (2) 1585 Broadway, New York, NY 10036; (3) Nine W. 57th St., New York, NY 10019  
*State or country in which incorporated* — (1-2) Delaware; (3) Delaware limited liability company  
*Security(ies)* — \_\_\_% senior notes due 2014  
*Issuer of security(ies)* — Hughes Network Systems, LLC  
*Address of issuer* — 11717 Exploration Lane, Germantown, MD 20876  
*State or country in which organized* — Delaware

BISYS Fund Services Limited Partnership  
 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012  
*Security(ies)* — Units of beneficial interest  
*Issuer of security(ies)* — BISYS Fund Services Limited Partnership  
*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

Blackfish Investments LP  
 % Blackfish Capital LLC, 204 E. High St., Charlottesville, VA 22902  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Blackfish Investments LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Boston Equity Advisors, LLC  
 275 Turnpike St., Canton, MA 02021  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series B convertible preferred stock  
*Issuer of security(ies)* — Athletic IQ, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Brooklyn Proud, LLC  
 3617 Avenue S, Brooklyn, NY 11234  
*State or country in which incorporated* — New York limited liability company  
*Security(ies)* — Limited liability company membership units  
*Issuer of security(ies)* — Brooklyn Proud, LLC

*Address of issuer* — Same as above  
*State or country in which organized* — New York

Brooklyn Proud Trademarks, LLC  
 3617 Avenue S, Brooklyn, NY 11234  
*State or country in which incorporated* — New York limited liability company  
*Security(ies)* — Limited liability company membership units  
*Issuer of security(ies)* — Brooklyn Proud Trademarks, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

BSMB III Family Fund, L.P.  
 383 Madison Ave., New York, NY 10179  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — BSMB III Family Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

BTS Group AB (publ)  
 Grevgatan 34, 114 53 Stockholm, Sweden  
*State or country in which incorporated* — Sweden  
*Security(ies)* — Class B common shares  
*Issuer of security(ies)* — BTS Group AB (publ)  
*Address of issuer* — Same as above  
*State or country in which organized* — Sweden

California Income Properties #6 LLC  
 22144 Clarendon St., Suite 240, Woodland Hills, CA 91367  
*State or country in which incorporated* — California  
*Security(ies)* — Limited liability company membership units  
*Issuer of security(ies)* — California Income Properties #6 LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — California

Capital Portfolio Management  
 54 Scott Adam Rd., Huntvalley, MDs2  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Units of beneficial interest  
*Issuer of security(ies)* — IBEW-NECA Stable Value Pooled Investment Fund  
*Address of issuer* — The River Forum, Suite 450, 4380 SW. Macadam Ave., Portland, OR 97239  
*State or country in which organized* — Oregon

Citigroup Mortgage Loan Trust Inc.  
 390 Greenwich St., New York, NY 10013  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Citigroup mortgage loan trust 2006-AR1, mortgage-backed notes, series 2006-AR1  
*Issuer of security(ies)* — Citigroup Mortgage Loan Trust Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Citigroup Global Markets Inc.; (2) Goldman, Sachs & Co.; (3) J.P. Morgan Securities Inc.; (4) Banc of America Securities LLC; (5) Lehman Brothers Inc.  
 388 Greenwich St., New York, NY 10013; (2) 85 Broad St., New York, NY 10004; (3) 270 Park Ave., New York, NY 10017; (4) Nine W. 57th St., New York, NY 10019; (5) 745 7th Ave., New York, NY 10019  
*State or country in which incorporated* — (1) New York; (2) New York partnership (3 & 5) Delaware; (4) Delaware limited liability company  
*Security(ies)* — Common stock

*Issuer of security(ies)* — Sealy Corporation  
*Address of issuer* — One Office Pky., Trinity, NC 27370  
*State or country in which organized* — Delaware

Claymore Securities, Inc.  
 2455 Corporate W. Dr., Lisle, IL 60532  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 10,000,000 units of undivided interest  
*Issuer of security(ies)* — Onefolio™ Strategy, Series 3  
*Address of issuer* — Same as above  
*State or country in which organized* — Kansas

Claymore Securities, Inc.  
 2455 Corporate W. Dr., Lisle, IL 60532  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 10,000,000 units of undivided interest  
*Issuer of security(ies)* — Sabrient Growth Portfolio, Series 1  
*Address of issuer* — Same as above  
*State or country in which organized* — Kansas

Consolidated Biofuels, Inc.  
 1400 Roxboro Lane, McKinney, TX 75071  
*State or country in which incorporated* — Colorado  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Consolidated Biofuels, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Colorado

Conus Fund, L.P., The  
 50 Rockefeller Plaza, 2nd Fl., New York, NY 10020  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — The Conus Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Conus Fund (QP), L.P., The  
 50 Rockefeller Plaza, 2nd Fl., New York, NY 10020  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — The Conus Fund (QP), L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

CORE Realty Holdings, LLC  
 1600 Dove St., Suite 210, Newport Beach, CA 92660  
*Security(ies)* — Series 2006 9% debentures  
*Issuer of security(ies)* — CORE Realty Holdings, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

CORE Westwind S, LLC  
 1600 Dove St., Suite 210, Newport Beach, CA 92660  
*Security(ies)* — TIC interests in Westwind Office Park  
*Issuer of security(ies)* — CORE Westwind S, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Croupier Fund, L.P.  
 470 Park Ave. S, 4th Fl., New York, NY 10016  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Croupier Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Destination Wedding Travel, Inc.  
1253 Worcester Rd., Framingham, MA 01701  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series A preferred stock  
*Issuer of security(ies)* — Destination Wedding Travel, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Destination Wedding Travel, Inc.  
1253 Worcester Rd., Framingham, MA 01701  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Units of series B preferred stock and warrants  
*Issuer of security(ies)* — Destination Wedding Travel, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Dirty Rotten Producers Tour LP  
c/o West Egg Scoundrels Tour LLC, 226 W. 47th St., New York, NY 10036  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Dirty Rotten Producers Tour LP  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest, \$0.001 par value  
*Issuer of security(ies)* — DWS Advisor Funds: Cash Management Fund Investment  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest, \$0.001 par value  
*Issuer of security(ies)* — DWS Advisor Funds: DWS International Equity Fund  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest, \$0.001 par value  
*Issuer of security(ies)* — DWS Advisor Funds: DWS Mid Cap Growth Fund  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest, \$0.001 par value  
*Issuer of security(ies)* — DWS Advisor Funds: DWS Short Duration Plus Fund  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts

*Security(ies)* — Shares of beneficial interest, \$0.001 par value  
*Issuer of security(ies)* — DWS Advisor Funds: DWS Small Cap Growth Fund  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest, \$0.001 par value  
*Issuer of security(ies)* — DWS Advisor Funds: NY Tax Free Money Fund Investment  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest, \$0.001 par value  
*Issuer of security(ies)* — DWS Advisor Funds: Tax Free Money Fund Investment  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest, \$0.001 par value  
*Issuer of security(ies)* — DWS Advisor Funds: Treasury Money Fund Investment  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest, \$0.001 par value  
*Issuer of security(ies)* — DWS Advisor Funds II: DWS EAFE Equity Index Fund  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest, \$0.001 par value  
*Issuer of security(ies)* — DWS Advisor Funds II: DWS U.S. Bond Index Fund  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest, \$0.001 par value  
*Issuer of security(ies)* — DWS Advisor Funds III: DWS Lifecycle Long Range Fund  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts

*Security(ies)* — Shares of beneficial interest, \$0.001 par value  
*Issuer of security(ies)* — DWS Advisor Funds III: Money Market Fund Investment

*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Allocation Series: DWS Conservative Allocation Fund  
*Address of issuer* — Two International Place, Boston, MA 02110  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Allocation Series: DWS Growth Allocation Fund  
*Address of issuer* — Two International Place, Boston, MA 02110  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Allocation Series: DWS Growth Plus Allocation Fund  
*Address of issuer* — Two International Place, Boston, MA 02110  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Allocation Series: DWS Moderate Allocation Fund  
*Address of issuer* — Two International Place, Boston, MA 02110  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Balanced Fund  
*Address of issuer* — 222 S. Riverside Plaza, Chicago, IL 60606-5808  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Blue Chip Fund  
*Address of issuer* — 222 S. Riverside Plaza, Chicago, IL 60606-5808  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Cash Investment Trust

*Address of issuer* — Two International Place, Boston, MA 02110  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Shares of capital stock, \$.001 par value  
*Issuer of security(ies)* — DWS Communications Fund  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Maryland

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Shares of capital stock, \$.001 par value  
*Issuer of security(ies)* — DWS Equity Partners Fund  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Maryland

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Equity Trust: DWS Dreman Financial Services Fund  
*Address of issuer* — 222 S. Riverside Plaza, Chicago, IL 60606-5808  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Funds Trust: DWS Short-Term Bond Fund  
*Address of issuer* — Two International Place, Boston, MA 02110  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Shares of capital stock  
*Issuer of security(ies)* — DWS Global/International Fund, Inc.: DWS Emerging Markets Fixed Income  
*Address of issuer* — 345 Park Ave., New York, NY 10154-0010  
*State or country in which organized* — Maryland

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Shares of capital stock  
*Issuer of security(ies)* — DWS Global/International Fund, Inc.: DWS Global Bond Fund  
*Address of issuer* — 345 Park Ave., New York, NY 10154-0010  
*State or country in which organized* — Maryland

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Shares of capital stock  
*Issuer of security(ies)* — DWS Global/International Fund, Inc.: DWS Global Opportunities Fund  
*Address of issuer* — 345 Park Ave., New York, NY 10154-0010  
*State or country in which organized* — Maryland

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Shares of capital stock  
*Issuer of security(ies)* — DWS Global/International Fund, Inc.: DWS Global Thematic Fund  
*Address of issuer* — 345 Park Ave., New York, NY 10154-0010  
*State or country in which organized* — Maryland

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS High Income Series: DWS High Income Fund  
*Address of issuer* — 222 S. Riverside Plaza, Chicago, IL 60606-5808  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Income Trust: DWS GNMA Fund  
*Address of issuer* — Two International Place, Boston, MA 02110  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest, \$0.001 par value  
*Issuer of security(ies)* — DWS Institutional Funds: Cash Management Fund Institutional  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest, \$0.001 par value  
*Issuer of security(ies)* — DWS Institutional Funds: Cash Reserves Fund Institutional  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest, \$0.001 par value  
*Issuer of security(ies)* — DWS Institutional Funds: DWS Commodity Securities Fund  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest, \$0.001 par value  
*Issuer of security(ies)* — DWS Institutional Funds: DWS Equity 500 Index Fund  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest, \$0.001 par value  
*Issuer of security(ies)* — DWS Institutional Funds: DWS Inflation Protected Plus Fund  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest, \$0.001 par value  
*Issuer of security(ies)* — DWS Institutional Funds: DWS International Equity Fund  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest, \$0.001 par value  
*Issuer of security(ies)* — DWS Institutional Funds: Treasury Money Fund Institutional  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Shares of capital stock  
*Issuer of security(ies)* — DWS International Fund, Inc.: DWS Emerging Markets Equity Fund  
*Address of issuer* — 345 Park Ave., New York, NY 10154-0010  
*State or country in which organized* — Maryland

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Shares of capital stock  
*Issuer of security(ies)* — DWS International Fund, Inc.: DWS Europe Equity Fund  
*Address of issuer* — 345 Park Ave., New York, NY 10154-0010  
*State or country in which organized* — Maryland

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Shares of capital stock  
*Issuer of security(ies)* — DWS International Fund, Inc.: DWS International Fund  
*Address of issuer* — 345 Park Ave., New York, NY 10154-0010  
*State or country in which organized* — Maryland

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Shares of capital stock  
*Issuer of security(ies)* — DWS International Fund, Inc.: DWS Latin America Equity Fund  
*Address of issuer* — 345 Park Ave., New York, NY 10154-0010  
*State or country in which organized* — Maryland

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Shares of capital stock  
*Issuer of security(ies)* — DWS International Fund, Inc.: DWS Pacific Opportunities Equity Fund  
*Address of issuer* — 345 Park Ave., New York, NY 10154-0010  
*State or country in which organized* — Maryland

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Investment Trust: DWS Capital Growth Fund  
*Address of issuer* — Two International Place, Boston, MA 02110  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Investment Trust: DWS Growth & Income Fund  
*Address of issuer* — Two International Place, Boston, MA 02110  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Investment Trust: DWS Large Company Growth Fund  
*Address of issuer* — Two International Place, Boston, MA 02110  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Investment Trust: DWS S&P 500 Index Fund  
*Address of issuer* — Two International Place, Boston, MA 02110  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Investment Trust: DWS Small Cap Core Fund  
*Address of issuer* — Two International Place, Boston, MA 02110  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Shares of beneficial interest, \$0.001 par value  
*Issuer of security(ies)* — DWS Investments Trust: DWS Core Fixed-Income Fund  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Delaware

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Shares of beneficial interest, \$0.001 par value  
*Issuer of security(ies)* — DWS Investments Trust: DWS High Income Plus Fund  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Delaware

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Shares of beneficial interest, \$0.001 par value  
*Issuer of security(ies)* — DWS Investments Trust: DWS International Select Equity Fund  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Delaware

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Shares of beneficial interest, \$0.001 par value  
*Issuer of security(ies)* — DWS Investments Trust: DWS Micro Cap Fund  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Delaware

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Shares of beneficial interest, \$0.001 par value  
*Issuer of security(ies)* — DWS Investments Trust: DWS Short Duration Fund  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Delaware

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Shares of beneficial interest, \$0.001 par value  
*Issuer of security(ies)* — DWS Investments Trust: DWS Short-Term Municipal Bond Fund  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Delaware

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Shares of capital stock, \$0.001 par value  
*Issuer of security(ies)* — DWS Investors Funds, Inc.: DWS Japan Equity Fund  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Maryland

DWS Scudder Distributors, Inc.  
345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Money Funds: DWS Government & Agency Money Fund  
*Address of issuer* — 222 S. Riverside Plaza, Chicago, IL 60606-5808  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Money Funds: DWS Money Market Fund  
*Address of issuer* — 222 S. Riverside Plaza, Chicago, IL 60606-5808  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Money Funds: DWS Tax-Exempt Money Fund  
*Address of issuer* — 222 S. Riverside Plaza, Chicago, IL 60606-5808  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Money Market Trust: DWS Money Market Series  
*Address of issuer* — Two International Place, Boston, MA 02110  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Municipal Trust: DWS High-Yield Tax-Free Fund  
*Address of issuer* — Two International Place, Boston, MA 02110  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Municipal Trust: DWS Managed Municipal Bond Fund  
*Address of issuer* — Two International Place, Boston, MA 02110  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Shares of capital stock  
*Issuer of security(ies)* — DWS Mutual Funds, Inc.: DWS Gold & Precious Metals Fund  
*Address of issuer* — 345 Park Ave., New York, NY 10154-0010  
*State or country in which organized* — Maryland

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Portfolio Trust: DWS Core Plus Income Fund  
*Address of issuer* — Two International Place, Boston, MA 02110  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Shares of beneficial interest without par value  
*Issuer of security(ies)* — DWS RREEF Securities Trust: DWS RREEF Real Estate Securities Fund  
*Address of issuer* — 875 N. Michigan Ave., 41st Fl., Chicago, IL 60611  
*State or country in which organized* — Delaware

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Securities Trust: DWS Health Care Fund  
*Address of issuer* — Two International Place, Boston, MA 02110  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Securities Trust: DWS Small Cap Value Fund  
*Address of issuer* — Two International Place, Boston, MA 02110  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS State Tax-Free Income Series: DWS California Tax-Free Income Fund  
*Address of issuer* — 222 S. Riverside Plaza, Chicago, IL 60606-5808  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS State Tax-Free Income Series: DWS New York Tax-Free Income Fund  
*Address of issuer* — 222 S. Riverside Plaza, Chicago, IL 60606-5808  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS State Tax-Free Trust: DWS Massachusetts Tax-Free Fund  
*Address of issuer* — Two International Place, Boston, MA 02110  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Strategic Income Fund  
*Address of issuer* — 222 S. Riverside Plaza, Chicago, IL 60606-5808  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010

*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Target Fund: DWS Target 2006 Fund  
*Address of issuer* — 222 S. Riverside Plaza, Chicago, IL 60606-5808  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Target Fund: DWS Target 2008 Fund  
*Address of issuer* — 222 S. Riverside Plaza, Chicago, IL 60606-5808  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Target Fund: DWS Target 2010 Fund  
*Address of issuer* — 222 S. Riverside Plaza, Chicago, IL 60606-5808  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Target Fund: DWS Target 2011 Fund  
*Address of issuer* — 222 S. Riverside Plaza, Chicago, IL 60606-5808  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Target Fund: DWS Target 2012 Fund  
*Address of issuer* — 222 S. Riverside Plaza, Chicago, IL 60606-5808  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Target Fund: DWS Target 2013 Fund  
*Address of issuer* — 222 S. Riverside Plaza, Chicago, IL 60606-5808  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Target Fund: DWS Target 2014 Fund  
*Address of issuer* — 222 S. Riverside Plaza, Chicago, IL 60606-5808  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Tax-Free Money Fund  
*Address of issuer* — Two International Place, Boston, MA 02110  
*State or country in which organized* — Massachusetts  
 DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010

*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Tax Free Trust: DWS Intermediate Tax/AMT Free Fund  
*Address of issuer* — Two International Place, Boston, MA 02110  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Technology Fund  
*Address of issuer* — 222 S. Riverside Plaza, Chicago, IL 60606-5808  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS U.S. Government Securities Fund  
*Address of issuer* — 222 S. Riverside Plaza, Chicago, IL 60606-5808  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS U.S. Treasury Money Fund  
*Address of issuer* — Two International Place, Boston, MA 02110  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Shares of capital stock, \$.001 par value  
*Issuer of security(ies)* — DWS Value Builder Fund  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Maryland

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Value Equity Trust: DWS Enhanced S&P 500 Index Fund  
*Address of issuer* — Two International Place, Boston, MA 02110  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — DWS Value Equity Trust: DWS Equity Income Fund  
*Address of issuer* — Two International Place, Boston, MA 02110  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Shares of capital stock  
*Issuer of security(ies)* — DWS Value Series, Inc.: DWS Dreman Concentrated Value Fund

*Address of issuer* — 222 S. Riverside Plaza, Chicago, IL 60606-5808  
*State or country in which organized* — Maryland

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Shares of capital stock  
*Issuer of security(ies)* — DWS Value Series, Inc.: DWS Dreman High Return Equity Fund  
*Address of issuer* — 222 S. Riverside Plaza, Chicago, IL 60606-5808  
*State or country in which organized* — Maryland

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Shares of capital stock  
*Issuer of security(ies)* — DWS Value Series, Inc.: DWS Large Cap Value Fund  
*Address of issuer* — 222 S. Riverside Plaza, Chicago, IL 60606-5808  
*State or country in which organized* — Maryland

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Shares of capital stock  
*Issuer of security(ies)* — DWS Value Series, Inc.: DWS Dreman Mid Cap Value Fund  
*Address of issuer* — 222 S. Riverside Plaza, Chicago, IL 60606-5808  
*State or country in which organized* — Maryland

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Shares of capital stock  
*Issuer of security(ies)* — DWS Value Series, Inc.: DWS Dreman Small Cap Value Fund  
*Address of issuer* — 222 S. Riverside Plaza, Chicago, IL 60606-5808  
*State or country in which organized* — Maryland

Eaton Vance Mid Cap Core Fund, LLC  
 % Eaton Vance Management, 255 State St., Boston, MA 02109  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Interests of a limited liability company – restricted class  
*Issuer of security(ies)* — Eaton Vance Mid Cap Core Fund, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Eaton Vance Mid Cap Core Fund, LLC  
 255 State St., Boston, MA 02109  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Interests of a limited liability company – unrestricted class  
*Issuer of security(ies)* — Eaton Vance Mid Cap Core Fund, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Eaton Vance Small Cap Core Fund, LLC  
 255 State St., Boston, MA 02109  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Interests of a limited liability company – restricted class  
*Issuer of security(ies)* — Eaton Vance Small Cap Core Fund, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Eaton Vance Small Cap Core Fund, LLC  
 255 State St., Boston, MA 02109  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Interests of a limited liability company – unrestricted class  
*Issuer of security(ies)* — Eaton Vance Small Cap Core Fund, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Eaton Vance Small/Mid Cap Core Fund, LLC  
 255 State St., Boston, MA 02109  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Interests of a limited liability company – restricted class  
*Issuer of security(ies)* — Eaton Vance Small/Mid Cap Core Fund, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Eaton Vance Small/Mid Cap Core Fund, LLC  
 255 State St., Boston, MA 02109  
*Security(ies)* — Delaware  
*Issuer of security(ies)* — Interests of a limited liability company – unrestricted class  
*Address of issuer* — Eaton Vance Small/Mid Cap Core Fund, LLC  
*State or country in which organized* — Same as above

Elite Pharmaceuticals, Inc.  
 165 Ludlow Ave., Northvale, NJ 07647  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Preferred stock and warrants for common stock  
*Issuer of security(ies)* — Elite Pharmaceuticals, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Emergent Music LLC  
 32 Pleasant St., Brunswick, ME 04011  
*State or country in which incorporated* — Maine limited liability company  
*Security(ies)* — Limited liability company class C membership shares  
*Issuer of security(ies)* — Emergent Music LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Maine

Emerging Sovereign Institutional Offshore Fund, Ltd.  
 % Ogier Fiduciary Services (Cayman) Limited, Queensgate House, S. Church St., P.O. Box 1234 GT, Grand Cayman, Cayman Islands, British West Indies  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Common shares, par value \$0.01 US per share  
*Issuer of security(ies)* — Emerging Sovereign Institutional Offshore Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Empire Biofuels, LLC  
 55 Fall St., Seneca Falls, NY 13148  
*State or country in which incorporated* — New York  
*Security(ies)* — Limited liability company membership units  
*Issuer of security(ies)* — Empire Biofuels, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Empire Racing Associates, LLC  
 340 Broadway, Saratoga Springs, NY 12866  
*State or country in which incorporated* — New York  
*Security(ies)* — Units of membership interest

*Issuer of security(ies)* — Empire Racing Associates, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Fairfax Development Group III, LLC, The  
 16921 S. Western Ave., Suite 204, Gardena, CA 90247  
*Security(ies)* — Class B membership interests  
*Issuer of security(ies)* — The Fairfax Development Group III, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Federated Securities Corporation  
 Federated Investors Tower, 1001 Liberty Ave., Pittsburgh, PA 15237-7000  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Shares of beneficial interest, no par value  
*Issuer of security(ies)* — Federated Managed Allocation Portfolios, Federated Target ETF Fund 2015  
*Address of issuer* — 5800 Corporate Dr., Pittsburgh, PA 15237-7000  
*State or country in which organized* — Massachusetts

Federated Securities Corporation  
 Federated Investors Tower, 1001 Liberty Ave., Pittsburgh, PA 15237-7000  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Shares of beneficial interest, no par value  
*Issuer of security(ies)* — Federated Managed Allocation Portfolios, Federated Target ETF Fund 2025  
*Address of issuer* — 5800 Corporate Dr., Pittsburgh, PA 15237-7000  
*State or country in which organized* — Massachusetts

Federated Securities Corporation  
 Federated Investors Tower, 1001 Liberty Ave., Pittsburgh, PA 15237-7000  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Shares of beneficial interest, no par value  
*Issuer of security(ies)* — Federated Managed Allocation Portfolios, Federated Target ETF Fund 2035  
*Address of issuer* — 5800 Corporate Dr., Pittsburgh, PA 15237-7000  
*State or country in which organized* — Massachusetts

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1008 (Income Allocation Closed-End Portfolio, 2nd Quarter 2006)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1110 (Target Double Play Portfolio, April 2006 Series)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1110 (Target Focus Four Portfolio, April 2006 Series)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1110 (Target VIP Portfolio, April 2006 Series)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1110 (The Dow<sup>SM</sup> Target Dividend Portfolio, April 2006 Series)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1110 (The Dow<sup>SM</sup> Target 10 Portfolio, April 2006 Series)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1110 (Value Line<sup>®</sup> Target Portfolio, April 2006 Series)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1111 (Global Target 15 Portfolio, 2nd Quarter 2006 Series)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1111 (The Dow<sup>SM</sup> Target 5 Portfolio, 2nd Quarter 2006 Series)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1111 (The Nasdaq<sup>®</sup> Target 15 Portfolio, 2nd Quarter 2006 Series)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1111 (The S&P Target 24 Portfolio, 2nd Quarter 2006 Series)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1111 (Target Diversified Dividend Portfolio, 2nd Quarter 2006 Series)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1111 (Target Small-Cap Portfolio, 2nd Quarter 2006 Series)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1111 (Target Large-Cap Portfolio, 2nd Quarter 2006 Series)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1111 (Target VIP Conservative Equity Portfolio, 2nd Quarter 2006 Series)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1111 (NYSE® International Target 25 Portfolio, 2nd Quarter 2006 Series)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1111 (S&P Target SMid 60 Portfolio, 2nd Quarter 2006 Series)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1130 (Sector Allocation Portfolio, 2nd Quarter 2006 Series)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1131 (Camelback III Strategic Portfolio, 2nd Quarter 2006 Series)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1163 (Alternative Energy Select Portfolio Series)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1163 (Emerging Opportunities Select Portfolio Series)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1163 (Water Utility & Infrastructure Select Portfolio Series)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

FLI SS Private Equity Fund I, L.P.  
 One Jericho Plaza, Jericho, NY 11753  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — FLI SS Private Equity Fund I, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Foreside Fund Services, LLC  
 Two Portland Sq., Portland, ME 04101  
*State or country in which incorporated* — Maine  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — American Beacon Funds — American Beacon Small Cap Value Opportunity Fund  
*Address of issuer* — 4151 Amon Carter Blvd., MD 2450, Fort Worth, TX 76155  
*State or country in which organized* — Massachusetts

GAM Futures L.P.  
 330 Madison Ave., New York, NY 10017  
*Security(ies)* — Partnership interests  
*Issuer of security(ies)* — GAM Futures L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

GAM Multi-Global L.P.  
 330 Madison Ave., New York, NY 10017  
*Security(ies)* — Partnership interests  
*Issuer of security(ies)* — GAM Multi-Global L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

GAM Multi-Overseas Fund L.P.  
 330 Madison Ave., New York, NY 10017  
*Security(ies)* — Partnership interests  
*Issuer of security(ies)* — GAM Multi-Overseas Fund L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

GAM Special Situations, L.P.  
 330 Madison Ave., New York, NY 10017

*Security(ies)* — Partnership interests  
*Issuer of security(ies)* — GAM Special Situations, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

GREEN Renewable Energy, Ethanol & Nutrition – Holding, LLC  
 1904 Tollgate Rd., Plain, PA 18070  
*Security(ies)* — Class A units  
*Issuer of security(ies)* — GREEN Renewable Energy, Ethanol & Nutrition – Holding, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

GSE Systems, Inc.  
 7133 Rutherford St., Baltimore, MD 21244  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series A cumulative convertible preferred stock  
*Issuer of security(ies)* — GSE Systems, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

GSE Systems, Inc.  
 7133 Rutherford St., Baltimore, MD 21244  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Warrants to purchase shares of common stock, par value \$0.01 per share  
*Issuer of security(ies)* — GSE Systems, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

HCFP/Brenner Securities LLC  
 888 Seventh Ave., 17th Fl., New York, NY 10106  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Good Harbor Partners Acquisition Corp.  
*Address of issuer* — 4100 N. Fairfax Dr., Arlington, VA 22203  
*State or country in which organized* — Delaware

HCFP/Brenner Securities LLC  
 888 Seventh Ave., 17th Fl., New York, NY 10106  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Stonleigh Partners Acquisition Corp.  
*Address of issuer* — c/o PLM International Inc., 200 Nyala Farms, Westport, CT 06880-6267  
*State or country in which organized* — Delaware

HCFP/Brenner Securities LLC  
 888 Seventh Ave., 17th Fl., New York, NY 10106  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class B common stock  
*Issuer of security(ies)* — Stonleigh Partners Acquisition Corp.  
*Address of issuer* — c/o PLM International Inc., 200 Nyala Farms, Westport, CT 06880-6267  
*State or country in which organized* — Delaware

HCFP/Brenner Securities LLC  
 888 Seventh Ave., 17th Fl., New York, NY 10106  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class Z warrants  
*Issuer of security(ies)* — Stonleigh Partners Acquisition Corp.  
*Address of issuer* — c/o PLM International Inc., 200 Nyala Farms, Westport, CT 06880-6267  
*State or country in which organized* — Delaware

HCFP/Brenner Securities LLC  
 888 Seventh Ave., 17th Fl., New York, NY 10106  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series A units (of common stock and class Z warrants)  
*Issuer of security(ies)* — Stonleigh Partners Acquisition Corp.  
*Address of issuer* — c/o PLM International Inc., 200 Nyala Farms, Westport, CT 06880-6267  
*State or country in which organized* — Delaware

HDS Cosmetics Lab, Inc.  
 28 Wells Ave., Bldg. #3, 2nd Fl., Yonkers, NY 10701  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 8% series B cumulative convertible participating preferred stock  
*Issuer of security(ies)* — HDS Cosmetics Lab, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Heritage Funding, LLC  
 9799 St. Augustine Rd., Jacksonville, FL 32257  
*State or country in which incorporated* — Florida limited liability company  
*Security(ies)* — Class B membership interests  
*Issuer of security(ies)* — Heritage Funding, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Florida

HPD II, LLC  
 P.O. Box 628, Sterling, CO 80751  
*Security(ies)* — Units of membership interest in limited liability company  
*Issuer of security(ies)* — HPD II, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Ikona Gear International, Inc.  
 1850 Hartley Ave., Unit #1, Coquitlam, British Columbia V3K 7A1, Canada  
*State or country in which incorporated* — Nevada  
*Security(ies)* — Common shares  
*Issuer of security(ies)* — Ikona Gear International, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Nevada

Illini Bio-Energy, LLC  
 3600 Wabash Ave., Suite C, Springfield, IL 62711-9600  
*Security(ies)* — Capital units  
*Issuer of security(ies)* — Illini Bio-Energy, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

Incisive Surgical, Inc.; (2) Miller Johnson Kinnard Steichen, Inc.  
 14405 21st Ave. N, #130, Plymouth, MN 55447; (2) 60 S. 6th St., #3000, Minneapolis, MN 55420  
*State or country in which incorporated* — (1-2) Minnesota  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Incisive Surgical, Inc.  
*Address of issuer* — 14405 21st Ave. N, #130, Plymouth, MN 55447  
*State or country in which organized* — Minnesota

Integrated Fresh Solutions, LLC  
 22 Lower Ragsdale Dr., Suite A, Monterey, CA 93940  
*Security(ies)* — Membership interest (no classes)

*Issuer of security(ies)* — Integrated Fresh Solutions, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Integrity2 Fund, LP  
 6909 E. Main St., Scottsdale, AZ 85251  
*Security(ies)* — Limited partnership interest  
*Issuer of security(ies)* — Integrity2 Fund, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Ivan Pavicic  
 816 Broadway, New York, NY 10003  
*State or country in which incorporated* — New York  
*Security(ies)* — Condominium units consisting of two commercial and seven residential units  
*Issuer of security(ies)* — Ivan Pavicic  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

JLH Partners, L.P.  
 1194 Pacific St., Suite 205, San Luis Obispo, CA 94301  
*State or country in which incorporated* — California limited partnership  
*Security(ies)* — Limited partner interests  
*Issuer of security(ies)* — JLH Partners, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — California

Kendrick Pierce Securities, Inc.  
 324 S. Hyde Park Ave., Suite 202, Tampa, FL 33606  
*State or country in which incorporated* — Florida  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Freedom Bank  
*Address of issuer* — 410 Cortez Rd. W, Bradenton, FL 34207  
*State or country in which organized* — Florida

Kingsview Capital Partners, LP  
 One Whitehall St., 15th Fl., New York, NY 10004  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Kingsview Capital Partners, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Kinyan Capital, LP  
 590 Madison Ave., 28th Fl., New York, NY 10022  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Kinyan Capital, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Kylin Fund LP  
 400 Madison Ave., Suite 6A, New York, NY 10017  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Kylin Partners LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Kylin Offshore Fund Ltd.  
 % Citco Fund Services (Cayman Islands) Limited, Windward 1, Regatta Office Park, W. Bay Rd., P.O. Box 31106 SMB, Grand Cayman, Cayman Islands  
*Security(ies)* — Redeemable shares  
*Issuer of security(ies)* — Kylin Offshore Fund Ltd.

*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Man Investments Inc.  
 123 N. Wacker Dr., 28th Fl., Chicago, IL 60606  
*State or country in which incorporated* — New York  
*Security(ies)* — Class 1 units of limited liability company interests  
*Issuer of security(ies)* — Man IP 220 Private (Series 4), LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Man Investments Inc.  
 123 N. Wacker Dr., 28th Fl., Chicago, IL 60606  
*State or country in which incorporated* — New York  
*Security(ies)* — Class 2 units of limited liability company interests  
*Issuer of security(ies)* — Man IP 220 Private (Series 4), LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Meridian Performance Partners ERISA Fund, Ltd.  
 % Olympia Capital (Cayman) Limited, Williams House, 20 Reid St., Hamilton HM 11, Bermuda  
*State or country in which incorporated* — Shares  
*Security(ies)* — Same as above  
*Issuer of security(ies)* — Meridian Performance Partners ERISA Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Merrill Lynch & Co., Merrill Lynch, Pierce, Fenner & Smith Incorporated  
 Four World Financial Center, New York, NY 10080  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 2.5% senior subordinated convertible notes due 2013  
*Issuer of security(ies)* — BioMarin Pharmaceutical Inc.  
*Address of issuer* — 105 Digital Dr., Novato, CA 94949  
*State or country in which organized* — Delaware

Merrill Lynch & Co., Merrill Lynch, Pierce, Fenner & Smith Incorporated  
 Four World Financial Center, New York, NY 10080  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock, par value \$0.01 per share  
*Issuer of security(ies)* — BioMarin Pharmaceutical Inc.  
*Address of issuer* — 105 Digital Dr., Novato, CA 94949  
*State or country in which organized* — Delaware

Merrill Lynch, Pierce, Fenner & Smith Incorporated; (2) CIBC World Markets Corp.  
 Four World Financial Center, New York, NY 10281; (2) 300 Madison Ave., New York, NY 10017  
*State or country in which incorporated* — (1-2) Delaware  
*Security(ies)* — \_\_\_% first mortgage notes due 2014  
*Issuer of security(ies)* — French Lick Resorts & Casino Corp.  
*Address of issuer* — 401 Pennsylvania Pky., Indianapolis, IN 46280  
*State or country in which organized* — Delaware

Merrill Lynch, Pierce, Fenner & Smith Incorporated; (2) CIBC World Markets Corp.  
 Four World Financial Center, New York, NY 10281; (2) 300 Madison Ave., New York, NY 10017  
*State or country in which incorporated* — (1-2) Delaware  
*Security(ies)* — Floating rate first mortgage notes due 2013

*Issuer of security(ies)* — French Lick Resorts & Casino Corp.  
*Address of issuer* — 401 Pennsylvania Pky., Indianapolis, IN 46280  
*State or country in which organized* — Delaware

Merrill Lynch, Pierce, Fenner & Smith Incorporated; (2) CIBC World Markets Corp.  
 Four World Financial Center, New York, NY 10281; (2) 300 Madison Ave., New York, NY 10017  
*State or country in which incorporated* — (1-2) Delaware  
*Security(ies)* — \_\_\_% first mortgage notes due 2014  
*Issuer of security(ies)* — French Lick Resorts & Casino LLC  
*Address of issuer* — 401 Pennsylvania Pky., Indianapolis, IN 46280  
*State or country in which organized* — Delaware

Merrill Lynch, Pierce, Fenner & Smith Incorporated; (2) CIBC World Markets Corp.  
 Four World Financial Center, New York, NY 10281; (2) 300 Madison Ave., New York, NY 10017  
*State or country in which incorporated* — (1-2) Delaware  
*Security(ies)* — Floating rate first mortgage notes due 2013  
*Issuer of security(ies)* — French Lick Resorts & Casino LLC  
*Address of issuer* — 401 Pennsylvania Pky., Indianapolis, IN 46280  
*State or country in which organized* — Delaware

Merrill Lynch, Pierce, Fenner & Smith Incorporated; (2) Countrywide Securities Corporation; (3) EHY Securities (USA), LLC; (4) Banc of America Securities LLC; (5) Goldman, Sachs & Co.; (6) Morgan Stanley & Co. Incorporated  
 Four World Financial Center, New York, NY 10281; (2) 4500 Park Granada, Calabasas, CA 91302; (3) 1114 Avenue of the Americas, New York, NY 10036; (4) Nine W. 57th St., New York, NY 10019; (5) 85 Broad St., New York, NY 10004; (6) 1585 Broadway, New York, NY 10036  
*State or country in which incorporated* — (1, 6) Delaware; (2) California; (3, 4) Delaware limited liability company; (5) New York partnership  
*Security(ies)* — Class A-3B commercial mortgage pass-through certificates, series 2006-1  
*Issuer of security(ies)* — ML-CFC Commercial Mortgage Trust 2006-1  
*Address of issuer* — c/o LaSalle Bank National Association, 135 S. LaSalle St., Suite 1625, Chicago, IL 60603, Attn: Global Securities and Trust Services Group, ML-CFC Commercial Mortgage Trust 2006-1  
*State or country in which organized* — New York

National Distribution Group, LLC  
 22 Lower Ragsdale Dr., Suite A, Monterey, CA 93940  
*Security(ies)* — Membership interest (no classes)  
*Issuer of security(ies)* — National Distribution Group LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Neuberger Berman Equity Funds  
 605 Third Ave., 2nd Fl., New York, NY 10158-0180  
*Security(ies)* — Institutional class shares, shares of beneficial interest  
*Issuer of security(ies)* — Neuberger Berman Equity Funds — Neuberger Berman Partners Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Neuberger Berman Equity Funds  
 605 Third Ave., 2nd Fl., New York, NY 10158-0180  
*Security(ies)* — Institutional class shares, shares of beneficial interest  
*Issuer of security(ies)* — Neuberger Berman Equity Funds —

Neuberger Berman Regency Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

New West Publishing, LLC  
 415 N. Higgins Ave, Missoula, MT 59802  
*State or country in which incorporated* — Montana  
*Security(ies)* — Series A convertible preferred membership units (and underlying common units)  
*Issuer of security(ies)* — New West Publishing, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Montana

Northern Lights Fund Trust  
 c/o Gemini Fund Services, LLC, 450 Wireless Blvd., Hauppauge, NY 11788  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Units of beneficial interest – The Gaming and Casino Fund  
*Issuer of security(ies)* — Northern Lights Fund Trust  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Nuveen Investments, LLC  
 333 W. Wacker Dr., Chicago, IL 60606-1286  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Shares of beneficial interest par value  
*Issuer of security(ies)* — Nuveen Investment Trust II — Nuveen NWQ Global All-Cap Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

Osteologix, Inc.  
 425 Market St., Suite 2230, San Francisco, CA 94105  
*State or country in which incorporated* — Georgia  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Osteologix, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Georgia

Palm Beach Strategic Income, L.P.  
 948 Tahoe Blvd., Incline Village, NV 89451  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Palm Beach Strategic Income, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Pond Securities Corp.  
 4522 Fort Hamilton Pky., Brooklyn, NY 11219  
*State or country in which incorporated* — New York  
*Security(ies)* — 7% senior secured convertible debentures series 06-01C  
*Issuer of security(ies)* — Rim Semiconductor Company  
*Address of issuer* — 305 NE. 102nd Ave., Suite 105, Portland, OR 97220  
*State or country in which organized* — Utah

ProFunds — UltraShort Japan ProFund  
 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — ProFunds Distributors, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

## Ryan Beck &amp; Co.

18 Columbia Tpk., Florham Park, NJ 07932  
*State or country in which incorporated* — New Jersey  
*Security(ies)* — Common stock, par value \$0.01 per share  
*Issuer of security(ies)* — Monadnock Bancorp, Inc.  
*Address of issuer* — One Jaffrey Rd., Peterborough, NH 03458  
*State or country in which organized* — Maryland

## Saxony Securities, Inc.

86 Kenrick Place, St. Louis, MO 63119  
*State or country in which incorporated* — Missouri  
*Security(ies)* — Class A common stock  
*Issuer of security(ies)* — Applied Genetics Incorporated Dermatics  
*Address of issuer* — 205 Buffalo Ave., Freeport, NY 11520  
*State or country in which organized* — Delaware

## Schroder Fund Advisors Inc.

787 Seventh Ave., New York, NY 10019  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — Schroder Series Trust — Schroder Emerging Market Equity Fund  
*Address of issuer* — 875 Third Ave., 22nd Fl., New York, NY 10022  
*State or country in which organized* — Massachusetts

## Schroder Fund Advisors Inc.

787 Seventh Ave., New York, NY 10019  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — Schroder Series Trust — Schroder Strategic Bond Fund  
*Address of issuer* — 875 Third Ave., 22nd Fl., New York, NY 10022  
*State or country in which organized* — Massachusetts

## Schroder Fund Advisors Inc.

787 Seventh Ave., New York, NY 10019  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — Schroder Series Trust — Schroder U.S. Small and Mid Cap Opportunities Fund  
*Address of issuer* — 875 Third Ave., 22nd Fl., New York, NY 10022  
*State or country in which organized* — Massachusetts

## SEI Investments Distribution Co.

One Freedom Valley Rd., Oaks, PA 19456  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Units of beneficial interest  
*Issuer of security(ies)* — HighMark Funds — HighMark Cognitive Value Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

## SEI Investments Distribution Co.

One Freedom Valley Rd., Oaks, PA 19456  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Units of beneficial interest  
*Issuer of security(ies)* — HighMark Funds — HighMark Enhanced Growth Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

## SEI Investments Distribution Co.

One Freedom Valley Rd., Oaks, PA 19456  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Units of beneficial interest  
*Issuer of security(ies)* — HighMark Funds — HighMark International

## Opportunities Fund

*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

## Solutionary, Inc.

9420 Underwood Ave., Omaha, NE 68114  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series E preferred stock  
*Issuer of security(ies)* — Solutionary, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

## Spencer House Capital Management Asia Fund L.P.

P.O. Box 309, George Town, Grand Cayman, Cayman Islands  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Spencer House Capital Management Asia Fund L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

## Spencer House Capital Management Japan Fund L.P.

P.O. Box 309, George Town, Grand Cayman, Cayman Islands  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Spencer House Capital Management Japan Fund L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

## StoneWater Capital Japan LLC

% StoneWater Capital LLC, 277 Park Ave., 49th Fl., New York, NY 10172  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — StoneWater Capital Japan LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

## Superior Restaurant NYC, L.P.

210 Elizabeth St., New York, NY 10012  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Superior Restaurant NYC, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

## Tahl-Propp Manhattan North Investors V LLC

% TPE Management V LLC, 405 Park Ave., Suite 1103, New York, NY 10022-4405  
*Security(ies)* — Investor units in limited liability company  
*Issuer of security(ies)* — Tahl-Propp Manhattan North Investors V LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

## Tremont Double Alpha Market Neutral Portfolio Limited

Walkers SPV Limited, Walker House, P.O. Box 908 GT, Mary St., George Town, Grand Cayman, Cayman Islands, BWI  
*Security(ies)* — Ordinary shares  
*Issuer of security(ies)* — Tremont Double Alpha Market Neutral Portfolio Limited  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

## Tremont Portable Alpha 500 Portfolio Limited

Walkers SPV Limited, Walker House, P.O. Box 908 GT, Mary St., George Town, Grand Cayman, Cayman Islands, BWI

*Security(ies)* — Ordinary shares  
*Issuer of security(ies)* — Tremont Portable Alpha 500 Portfolio Limited  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Tremont Market Neutral Portfolio Limited  
 Walkers SPV Limited, Walker House, P.O. Box 908 GT, Mary St.,  
 George Town, Grand Cayman, Cayman Islands, BWI  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Ordinary shares  
*Issuer of security(ies)* — Tremont Market Neutral Portfolio Limited  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Triad Trading Fund L.P.  
 9575 Katy Fwy., Suite 360, Houston, TX 77024  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Triad Trading Fund L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Texas

Tricor Pacific Capital Partners (Fund IV) US, Limited Partnership  
 200 Burrard St., Suite 1615, Vancouver, B.C., Canada V6C 3L6  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Tricor Pacific Capital Partners (Fund IV) US,  
 Limited Partnership  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

UBS Global Asset Management (US), Inc.  
 51 W. 52nd St., 16th Fl., New York, NY 10019-6114  
*Security(ies)* — Shares of beneficial interest, par value  
*Issuer of security(ies)* — UBS PACE Select Advisors Trust — UBS  
 PACE Alternative Strategies Investments  
*Address of issuer* — 51 W. 52nd St., 23rd Fl., New York, NY 10019-  
 6114  
*State or country in which organized* — Delaware

UBS Global Asset Management (US), Inc.  
 51 W. 52nd St., 16th Fl., New York, NY 10019-6114  
*Security(ies)* — Shares of beneficial interest, par value  
*Issuer of security(ies)* — UBS PACE Select Advisors Trust — UBS  
 PACE High Yield Investments  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

UBS Funds, The—UBS U.S. Mid Cap Growth Equity Fund  
 % J.P. Morgan Investor Services Co., 73 Tremont St., 8th Fl., Boston,  
 MA 02108  
*Security(ies)* — \$.001 par value shares of beneficial interest  
*Issuer of security(ies)* — The UBS Funds — UBS U.S. Mid Cap  
 Growth Equity Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Uranium Resources, Inc.  
 650 S. Edmonds Lane, Suite 108, Lewisville, TX 75067  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Uranium Resources, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

USADATA, Inc.  
 292 Madison Ave., 3rd Fl., New York, NY 10017  
*State or country in which incorporated* — New York  
*Security(ies)* — Common stock, par value \$0.01 per share  
*Issuer of security(ies)* — USADATA, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

USADATA, Inc.  
 292 Madison Ave., 3rd Fl., New York, NY 10017  
*State or country in which incorporated* — New York  
*Security(ies)* — Series A convertible preferred stock, par value \$.01 per  
 share  
*Issuer of security(ies)* — USADATA, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — The Down Jones Select Dividend Index  
 Strategy Portfolio 2006-2  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — EAFE Select 20 Portfolio 2006-2  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Global 45 Dividend Strategy Portfolio 2006-2  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Insured Municipals Income Trust, 103rd  
 Limited Maturity Series  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Investment Grade Municipal Trust, Series 62  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest

*Issuer of security(ies)* — IPOX-30 Index Portfolio 2006-2  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Multi-Strategy Series: EAFE, EDVY 2006-2  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Nasdaq Select 10 Portfolio 2006-2  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Select 5 Industrial Portfolio 2006-2  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Strategic Growth Large-Cap Portfolio 2006-2  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Van Kampen Preferred Securities Portfolio, Series 5  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Waterton Fund IX Investors 2006, L.L.C.  
 One N. Franklin St., Suite 1150, Chicago, IL 60606  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — Waterton Fund IX Investors 2006, L.L.C.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Westminster Securities Corporation  
 100 Wall St., 7th Fl., New York, NY 10005  
*State or country in which incorporated* — New York  
*Security(ies)* — Common share purchase warrants  
*Issuer of security(ies)* — Ikona Gear International, Inc.  
*Address of issuer* — 1850 Hartley Ave., Unit #1, Coquitlam, British Columbia V3K 7A1 Canada  
*State or country in which organized* — Nevada

Westminster Securities Corporation  
 100 Wall St., 7th Fl., New York, NY 10005

*State or country in which incorporated* — New York  
*Security(ies)* — Convertible promissory notes  
*Issuer of security(ies)* — Ikona Gear International, Inc.  
*Address of issuer* — 1850 Hartley Ave., Unit #1, Coquitlam, British Columbia V3K 7A1 Canada  
*State or country in which organized* — Nevada

Wharton "B" 2006 Joint Venture  
 1848 Norwood Plaza, Suite 109, Hurst, TX 76054  
*Security(ies)* — Joint venture partnership interests  
*Issuer of security(ies)* — Wharton "B" 2006 Joint Venture  
*Address of issuer* — Same as above  
*State or country in which organized* — Texas

Whitebox Intermarket Fund, Ltd.  
 3033 Excelsior Blvd., Suite 300, Minneapolis, MN 55416  
*Security(ies)* — International business corporation shares  
*Issuer of security(ies)* — Whitebox Intermarket Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — United States

ZAIS Structured Credit Offshore Feeder Fund, Ltd.  
 % Deutsche Bank (Cayman) Limited, Elizabethan Sq., P.O. Box 1984GT, Grand Cayman, Cayman Islands, BWI  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Common shares par value \$0.01 per share  
*Issuer of security(ies)* — ZAIS Structured Credit Offshore Feeder Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands