

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

Alchemy Enterprises, Ltd.
2940 N. 67th Place, Suite 5, Scottsdale, AZ 85251
State or country in which incorporated — Nevada

American Securities Distressed Partners (B), L.P.
The Chrysler Center, 666 Third Ave., 29th Fl., New York, NY 10017
Partnership — American Securities Distressed Partners Associates, LLC

Aspen Balanced Equity Fund LLC
1230 Peachtree St., Suite 1750, Atlanta, GA 30309

Aspen Diversified Fund LLC
1230 Peachtree St., Suite 1750, Atlanta, GA 30309

Blue Ridge Group, Inc.
632 Adams St., Suite 700, Bowling Green, KY 42101
State or country in which incorporated — Nevada

Boston Restaurant Associates, Inc.
Stonehill Corporate Center, 999 Broadway, Suite 400, Saugus, MA 01909
State or country in which incorporated — Delaware

Brockway Moran & Partners Co-Invest Fund III, L.P.
225 NE. Mizner Blvd., Suite 700, Boca Raton, FL 33432
Partnership — Brockway Moran & Partners Management III, L.P.

CAA Partners, L.P.
20 Sunnyside Ave., Suite F, Mill Valley, CA 94941
Partnership — Lienroc Capital LLC

Cohen & Steers Institutional Global Realty Shares, Inc.
280 Park Ave., 10th Fl., New York, NY 10017
State or country in which incorporated — Maryland

Cohen & Steers Securities, LLC
280 Park Ave., 10th Fl., New York, NY 10017

DermAvance Pharmaceuticals Inc.
1055 Westlakes Dr., 3rd Fl., Berwyn, PA 19312
State or country in which incorporated — Delaware

Domestic Financial Services, Inc.
2800 Youree Dr., Suite 350, Shreveport, LA 71104
Partnership — Wilcox Operating Corporation, Managing General Partner

Edgemont Resources Corp.
112-2906 Broadway, Vancouver A1, British Columbia V6K 2G8
State or country in which incorporated — Nevada

Eldesis Capital Master Fund Ltd.
500 Fifth Ave., 56th Fl., New York, NY 10110
State or country in which incorporated — Cayman Islands

Eldesis Structured Credit Fund, Offshore Ltd.
500 Fifth Ave., 56th Fl., New York, NY 10110
State or country in which incorporated — Cayman Islands

Elevation, LLC
1535 Elizabeth Ave., Suite 203, Charlotte, NC 28204
State or country in which incorporated — North Carolina

Energi Holdings, Inc.
10 Centennial Dr., Peabody, MA 01960
State or country in which incorporated — Delaware

Ethanex Energy North America, Inc.
c/o McGuireWoods LLP, Attention: Louis W. Zehil, 1345 Avenue of the Americas, 7th Fl., New York, NY 10105
State or country in which incorporated — Delaware

Foldera, Inc.
17011 Beach Blvd., Suite 1500, Huntington Beach, CA 92647
State or country in which incorporated — Nevada

GTCR Co-Invest III, L.P.
6100 Sears Tower, Chicago, IL 60606
Partnership — GTCR Golder Rauner II, L.L.C.

Hallelujah, Baby! Limited Partnership
1560 Broadway, Suite 315, New York, NY 10036
Partnership — Regio Entertainment, Inc.

Highview Avenue Energy Fund, Ltd.
18W140 Butterfield Rd., Suite 1515, Oakbrook Terrace, IL 60181
State or country in which incorporated — Delaware

Highview Avenue Energy Fund, Ltd.
18W140 Butterfield Rd., Suite 1515, Oakbrook Terrace, IL 60181
Partnership — Highview Capital Management, L.L.C.

InterMetro Communications, Inc.
2685 Park Center Dr., Bldg. A, Simi Valley, CA 93065
State or country in which incorporated — Delaware

Indus Europe Fund, Ltd.
c/o Goldman Sachs (Cayman) Trust, Limited, P.O. Box 896 GT,
Harbour Centre, 2nd Fl., N. Church St., Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands

Indus Europe Fund, L.P.
152 W. 57th St., 28th Fl., New York, NY 10019
Partnership — Indus Partners, LLC, general partner

JK&B Capital V Special Opportunity Fund, L.P.
180 N. Stetson Ave., Suite 4500, Chicago, IL 60601
Partnership — JK&B Management V, L.P.

Kingsview Fund, LP
One Whitehall St., New York, NY 10004
Partnership — Kingsview Group, LLC, general partner

Kiron Partners, LP
2373 Broadway, Suite 1626, New York, NY 10024
Partnership — Kiron Advisors, LLC

Life Safety, LLC
3540 W. Sahara Ave., Suite 794, Las Vegas, NV 89102-5816
State or country in which incorporated — Delaware limited liability company

Mannon Oil 2006 B LP
6051B Heckel Rd., Evansville, IN 47725
Partnership — Mannon Oil LLC

Medical Connections Holdings, Inc.
2300 Glades Rd., Suite 202-E, Boca Raton, FL 33421
State or country in which incorporated — Florida

MidOcean Partners III, L.P.
320 Park Ave., Suite 1700, New York, NY 10022
Partnership — MidOcean Associates, SPC

MidOcean Investor III, L.P.
320 Park Ave., Suite 1700, New York, NY 10022
Partnership — MidOcean US Advisor Holdings, LLC

MidOcean Partners III-A, L.P.
320 Park Ave., Suite 1700, New York, NY 10022
Partnership — MidOcean Associates, SPC

MidOcean Partners III-D, L.P.
320 Park Ave., Suite 1700, New York, NY 10022
Partnership — MidOcean Associates, SPC

MidOcean Partners III-E, L.P.
320 Park Ave., Suite 1700, New York, NY 10022
Partnership — MidOcean US Advisor Holdings, LLC

Mockingbird Value Fund, LP
4307 A Fregan St., Houston, TX 77007
Partnership — Mockingbird Holdings, LLC

Mundoval Funds
1150 Silverado St., Suite 223, LaJolla, CA 92037
State or country in which incorporated — Ohio

Nexidia Inc.
3565 Piedmont Rd., Bldg. 2, Suite 400, Atlanta, GA 30305
State or country in which incorporated — Delaware

Oasis Fund, L.P., The
1125 Seventeenth St., Suite 1400, Denver, CO 80202
Partnership — Mount Yale Asset Management, LLC

Oxbridge Capital Fund VI, LLC
70 E. Sunrise Hwy., Suite 415, Valley Stream, NY 11581
State or country in which incorporated — New York limited liability company

Pilot View Fund I p.l.c.
25/28 N. Wall Quay, Dublin 1 Ireland
State or country in which incorporated — Ireland

Polonia Bancorp
3993 Huntingdon Pike, 3rd Fl., Huntingdon Valley, PA 19006
State or country in which incorporated — United States

Prairie Capital IV, L.P.
c/o Daniels & King Capital IV, L.L.C., 191 N. Wacker Dr., Suite 800,
Chicago, IL 60606
Partnership — Daniels & King Capital IV, L.L.C.

Prairie Capital IV QP, L.P.
c/o Daniels & King Capital IV, L.L.C., 191 N. Wacker Dr., Suite 800,
Chicago, IL 60606
Partnership — Daniels & King Capital IV, L.L.C.

QuantInvest Fund LP
969 Lily Pond Lane, Franklin Lakes, NJ 07417
Partnership — QuantInvest Finance USA LLC

RAM Energy Resources, Inc.
5100 E. Skelly Dr., Suite 650, Tulsa, OK 74135
State or country in which incorporated — Delaware

ReachOut Healthcare America LTD
1904 W. Parkside Lane #201, Phoenix, AZ 85027
State or country in which incorporated — Delaware

RLSEF Partners
c/o myCIO Wealth Partners, LLC, 2929 Arch St., Suite 650, Philadelphia, PA 19104
Partnership — myCIO Hedge Fund Advisors, LLC

Safir Capital Local Emerging Markets Fund, LP
15 River Rd., Suite 220, Wilton, CT 06897
Partnership — Safir Capital LLC

Scott Ballin
1217 Clint Moore Rd., Boca Raton, FL 33487

Sustainable Woodlands Fund II, L.P.
4265 San Felipe, Suite 900, Houston, TX 77027
Partnership — Sustainable Woodlands Partners, L.L.C.

UBS Financial Services, Inc.
1285 Avenue of the Americas, New York, NY 10019

Ullico Diversified Private Equity Fund I, L.P.
c/o Ullico DPEF I, LLC, 2nd Fl., 1625 Eye St., NW, Washington, DC 20006
Partnership — Ullico DPEF I, LLC

Victory Energy Partners 2006 LP
220 Airport Rd., Indiana, PA 15701
State or country in which incorporated — Pennsylvania

Waccamaw Bankshares, Inc.
110 N. Powell Blvd., Whiteville, NC 28472
State or country in which incorporated — North Carolina

Williams Jones A, Associates Hedge Fund 4 Tranche 3
c/o Williams Jones A. Associates, LLC, 717 Fifth Ave., Suite 1700, New York, NY 10022

Wilmington Trust Conduit Services, LLC
591 Broadway, 6th Fl., New York, NY 10012
State or country in which incorporated — Delaware

Winnercomm Holdings, Inc.
Two Warren Place, 6120 S. Yale, 2nd Fl., Tulsa, OK 74136

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY DEALERS; BROKERS; SYNDICATE MANAGERS

Advanced Planning Securities, Inc.
222 Middle Country Rd., Smithtown, NY 11787
State or country in which incorporated — New York
Security(ies) — Class A membership interest warrants
Issuer of security(ies) — Life Safety, LLC
Address of issuer — 3540 W. Sahara Ave., Suite 794, Las Vegas, NV 89102-5816
State or country in which organized — Delaware limited liability company

Alchemy Enterprises, Ltd.
2940 N. 67th Place, Suite 5, Scottsdale, AZ 85251
State or country in which incorporated — Nevada
Security(ies) — Common stock
Issuer of security(ies) — Alchemy Enterprises, Ltd.
Address of issuer — Same as above
State or country in which organized — Nevada

American Landmark Securities Inc.
433 E. Las Colinas Blvd., Suite 805, Irving, TX 75039

State or country in which incorporated — Texas
Security(ies) — Chicolete Creek Deep Prospect, LP
Issuer of security(ies) — Prodigy Oil and Gas LLC
Address of issuer — Same as above
State or country in which organized — Texas

American Securities Distressed Partners (B), L.P.
The Chrysler Center, 666 Third Ave., 29th Fl., New York, NY 10017
Security(ies) — Limited partnership interests
Issuer of security(ies) — American Securities Distressed Partners (B), L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Aspen Balanced Equity Fund LLC
1230 Peachtree St., Suite 1750, Atlanta, GA 30309
Security(ies) — Membership units
Issuer of security(ies) — Aspen Balanced Equity Fund LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Aspen Diversified Fund LLC
1230 Peachtree St., Suite 1750, Atlanta, GA 30309
Security(ies) — Membership units
Issuer of security(ies) — Aspen Diversified Fund LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Blue Ridge Group, Inc.
632 Adams St., Suite 700, Bowling Green, KY 42101
State or country in which incorporated — Nevada
Security(ies) — Limited partnership interest
Issuer of security(ies) — 2006 Habetz #1 PUD Re-entry, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Brockway Moran & Partners Co-Invest Fund III, L.P.
225 NE. Mizner Blvd. Suite 700, Boca Raton, FL 33432
Security(ies) — Limited partnership interests
Issuer of security(ies) — Brockway Moran & Partners Co-Invest Fund III, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Boston Restaurant Associates, Inc.
Stonehill Corporate Center, 999 Broadway, Suite 400, Saugus, MA 01909
State or country in which incorporated — Delaware
Security(ies) — Series A participating preferred stock
Issuer of security(ies) — Boston Restaurant Associates
Address of issuer — Same as above
State or country in which organized — Delaware

CAA Partners, L.P.
20 Sunnyside Ave., Suite F, Mill Valley, CA 94941
Security(ies) — Limited partnership interests
Issuer of security(ies) — CAA Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Colorado

Claymore Securities, Inc.
2455 Corporate West Dr., Lisle, IL 60532
State or country in which incorporated — Delaware

Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Canadian Royalty Trust, Series 1
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
 2455 Corporate West Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Onefolio™ Select, Series 4
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
 2455 Corporate West Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Preferred Securities Portfolio, Series 9
Address of issuer — Same as above
State or country in which organized — Kansas

Cohen & Steers Institutional Global Realty Shares, Inc.
 280 Park Ave., 10th Fl., New York, NY 10017
Security(ies) — Common shares, \$.001 par value
Issuer of security(ies) — Cohen & Steers Institutional Global Realty Shares, Inc.
Address of issuer — Same as above
State or country in which organized — Maryland

Cohen & Steers Securities, LLC
 280 Park Ave., 10th Fl., New York, NY 10017
Security(ies) — Common shares, \$.001 par value per share
Issuer of security(ies) — Cohen & Steers Asia Pacific Realty Shares, Inc.
Address of issuer — Same as above
State or country in which organized — Maryland

Credit Suisse Securities (USA) LCC; (2) UBS Securities LLC
 11 Madison Ave., New York, NY 10010; (2) 677 Washington Blvd., Stamford, CT 06901
State or country in which incorporated — (1-2) Delaware LLC
Security(ies) — __ % senior notes due 2016
Issuer of security(ies) — H&E Equipment Services, Inc.
Address of issuer — 11100 Mead Rd., Suite 200, Baton Rouge, LA 70816
State or country in which organized — Delaware

DermAvance Pharmaceuticals Inc.
 1055 Westlakes Dr., 3rd Fl., Berwyn, PA 19312
State or country in which incorporated — Delaware
Security(ies) — Series A convertible preferred stock
Issuer of security(ies) — DermAvance Pharmaceuticals Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

DLJV 2006-2
 2761 Salt Springs Rd., Youngstown, OH 44509
State or country in which incorporated — Ohio
Security(ies) — Units of joint venture interest
Issuer of security(ies) — DLJV 2006-2
Address of issuer — Same as above
State or country in which organized — Ohio

Domestic Financial Services, Inc.
 2800 Youree Dr., Suite 350, Shreveport, LA 71104
State or country in which incorporated — Louisiana
Security(ies) — Units of partnership interest in limited partnership (limited and general partners)
Issuer of security(ies) — Wilcox 2006 Pine Island Field Oil and Gas Prospect, Ltd.
Address of issuer — Same as above
State or country in which organized — Louisiana

Eaton Vance Distributors, Inc.; (2) A.G. Edwards & Sons, Inc.; (3) Atlantic Trust Co.; (4) Bank of America Investment Services; (5) Bank of America; (6) Bear Stearns & Co., Inc.; (7) Charles Schwab & Company, Inc.; (8) Citicorp Investment Services; (9) Citicorp Global Markets, Inc.; (10) Credit Suisse Securities (USA); (11) Deutsche Bank Securities, Inc.; (12) Fifth Third Bank; (13) McDonald Investments, Inc.; (14) Merrill Lynch, Pierce, Fenner & Smith Incorporated; (15) Morgan Keegan & Company, Inc.; (16) Morgan Stanley & Co., Incorporated; (17) Morgan Stanley DW Inc.; (18) Northern Trust Securities, Inc.; (19) Oppenheimer & Co., Inc.; (20) Raymond James & Associates, Inc.; (21) Raymond James Financial Services, Inc.; (22) Robert W. Baird & Co. Incorporated; (23) SunTrust Investment Services, Inc.; (24) SunTrust Securities, Inc.; (25) UBS Financial Services, Inc.; (26) US Bancorp; (27) Wachovia Bank NA; (28) Wachovia Securities Financial Network, Inc.; (29) Wachovia Securities, LLC; (30) Wells Fargo Investments, LLC; (31) Stifel, Nicolaus & Company, Inc.

c/o Eaton Vance Management, 255 State St., Boston, MA 02109; (2) One N. Jefferson Ave., St. Louis, MO 63103; (3) One S. Wacker Dr., Chicago, IL 60606-4685; (4) &(5) 101 S. Tryon St., Charlotte, NC 28255-0001; (6) 383 Madison Ave., 25th Fl., New York, NY 10179; (7) MS: SF101 MONT-23-160, 101 Montgomery St., San Francisco, CA 94104; (8) One Court Sq., 24th Fl., Long Island City, NY 11120; (9) 388 Greenwich St., 19th Fl., New York, NY 10013; (10) 11 Madison Ave., 7th Fl., New York, NY 10010; (11) 60 Wall St., New York, NY 10005; (12) 38 Fountain Square Plaza, Cincinnati, OH 45202; (13) 800 Superior Ave., Cleveland, OH 44114; (14) Four World Financial Center, New York, NY 10281; (15) 50 Front St., Morgan Keegan Tower, Memphis, TN 38103-9980; (16) 1585 Broadway, New York, NY 10036-8293; (17) 200 Westchester Ave. LD, Purchase, NY 10020; (18) 50 S. LaSalle St., BB-12, Chicago, IL 60675-0001; (19) 125 Broad St., 16th Fl., New York, NY 10004; (20) & (21) 800 Carillon Pkwy., St. Petersburg, FL 33716; (22) 777 E. Wisconsin Ave., Milwaukee, WI 53202; (23) & (24) 303 Peachtree Center Ave., Suite 140, Atlanta, GA 30303; (25) 1285 Avenue of the Americas, 37th Fl., New York, NY 10019; (26) 800 Nicollet Mall, Minneapolis, MN 55402; (27) 401 S. Tyron St., Suite 500, Charlotte, NC 28288-1295; (28) & (29) 901 E. Byrd St., Mail Code WS 2031, Richmond, VA 23219; (30) 420 Montgomery St., 5th Fl., San Francisco, CA 94104; (31) One Financial Plaza, N. Broadway, St. Louis, MO 63102

State or country in which incorporated — Delaware
Security(ies) — Interests of a limited liability company
Issuer of security(ies) — Bellbrook Capital Fund LLC
Address of issuer — c/o Eaton Vance Management, 255 State St., Boston, MA 02109
State or country in which organized — Delaware

Edgemont Resources Corp.
 112-2906 Broadway, Vancouver A1, British Columbia V6K 2G8
State or country in which incorporated — Nevada
Security(ies) — Common stock
Issuer of security(ies) — Edgemont Resources Corp.
Address of issuer — Same as above
State or country in which organized — Nevada

Edgewater Foods International, Inc.
5552 W. Island Hwy., Qualicum Beach, B.C. Canada V9K 2C8
State or country in which incorporated — Nevada
Security(ies) — Units consisting of convertible preferred stock with common stock purchase warrants
Issuer of security(ies) — Edgewater Foods International, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Eldesis Capital Master Fund Ltd.
500 Fifth Ave., 56th Fl., New York, NY 10110
State or country in which incorporated — Cayman Islands
Security(ies) — Class A shares
Issuer of security(ies) — Eldesis Capital Master Fund Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Eldesis Structured Credit Fund, Offshore Ltd.
500 Fifth Ave., 56th Fl., New York, NY 10110
State or country in which incorporated — Cayman Islands
Security(ies) — Class A shares
Issuer of security(ies) — Eldesis Structured Credit Fund, Offshore Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Energi Holdings, Inc.
10 Centennial Dr., Peabody, MA 01960
State or country in which incorporated — Delaware
Security(ies) — Class B common stock, par value \$0.01 per share
Issuer of security(ies) — Energi Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

eT Venture, LLC
100 Prospect St., Suite 201 S, Stamford, CT 06901
State or country in which incorporated — Delaware limited liability company
Security(ies) — Class B membership interest
Issuer of security(ies) — eT Venture, LLC
Address of issuer — Same as above
State or country in which organized — Delaware limited liability company

Ethanex Energy North America, Inc.
c/o McGuireWoods LLP, Att.: Louis W. Zehil, 1345 Avenue of the Americas, 7th Fl., New York, NY 10105
State or country in which incorporated — Delaware
Security(ies) — Units consisting of class B common stock and warrants to purchase class B common stock
Issuer of security(ies) — Ethanex Energy North America, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Federated Securities Corporation
Federated Investors Tower, 1001 Liberty Ave., Pittsburgh, PA 15222-3779
State or country in which incorporated — Pennsylvania
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Federated Income Securities Trust, Federated Real Return Bond Fund
Address of issuer — 5800 Corporate Dr., Pittsburgh, PA 15237-7000
State or country in which organized — Massachusetts

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1195 (Pharmaceutical Select Portfolio, Series 19)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1195 (Pharmaceutical Select Portfolio, Series 20)
Address of issuer — Same as above
State or country in which organized — Illinois

Foldera, Inc.
17011 Beach Blvd., Suite 1500, Huntington Beach, CA 92647
State or country in which incorporated — Nevada
Security(ies) — Common stock
Issuer of security(ies) — Foldera, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Foldera, Inc.
17011 Beach Blvd., Suite 1500, Huntington Beach, CA 92647
State or country in which incorporated — Nevada
Security(ies) — Warrants of common stock
Issuer of security(ies) — Foldera, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Goldman, Sachs & Co.; (2) Banc of America Securities LLC
85 Broad St., New York, NY 10004; (2) Nine W. 57th St., New York, NY 10019
State or country in which incorporated — (1) New York partnership; (2) Delaware LLC
Security(ies) — Common stock
Issuer of security(ies) — Knoll, Inc.
Address of issuer — 1235 Water St., East Greenville, PA 18041
State or country in which organized — Delaware

GREYBULL Fund LLC
1180 Peachtree St. NE, Suite 2350, Atlanta, GA 30309
State or country in which incorporated — Delaware
Security(ies) — Class 3 interests
Issuer of security(ies) — GREYBULL Fund LLC
Address of issuer — Same as above
State or country in which organized — Delaware

GTCR Co-Invest III, L.P.
6100 Sears Tower, Chicago, IL 60606
Security(ies) — Limited partnership interests
Issuer of security(ies) — GTCR Co-Invest III, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Hallelujah, Baby! Limited Partnership
1560 Broadway, Suite 315, New York, NY 10036
Security(ies) — Limited partnership interests
Issuer of security(ies) — Hallelujah, Baby! Limited Partnership
Address of issuer — Same as above

Hartford Mutual Funds, Inc., The — The Hartford Balanced Income Fund

200 Hopmeadow St., Simsbury, CT 06089

Security(ies) — Shares of common stock

Issuer of security(ies) — The Hartford Mutual Funds, Inc. — The Hartford Balanced Income Fund

Address of issuer — Same as above

State or country in which organized — Maryland

Hartford Mutual Funds, Inc., The — The Hartford MidCap Growth Fund

200 Hopmeadow St., Simsbury, CT 06089

Security(ies) — Shares of common stock

Issuer of security(ies) — The Hartford Mutual Funds, Inc. — The Hartford MidCap Growth Fund

Address of issuer — Same as above

State or country in which organized — Maryland

Hartford Mutual Funds, Inc., The — The Hartford Select SmallCap Value Fund

200 Hopmeadow St., Simsbury, CT 06089

Security(ies) — Shares of common stock

Issuer of security(ies) — The Hartford Mutual Funds, Inc. — The Hartford Select SmallCap Value Fund

Address of issuer — Same as above

State or country in which organized — Maryland

Highview Avenue Energy Fund, Ltd.

18W140 Butterfield Rd., Suite 1515, Oakbrook Terrace, IL 60181

State or country in which incorporated — Delaware

Security(ies) — Shares

Issuer of security(ies) — Highview Avenue Energy Fund, Ltd.

Address of issuer — Same as above

State or country in which organized — Delaware

Highview Avenue Energy Fund, Ltd.

18W140 Butterfield Rd., Suite 1515, Oakbrook Terrace, IL 60181

State or country in which incorporated — Delaware

Security(ies) — Limited partnership interests

Issuer of security(ies) — Highview Avenue Energy Fund, Ltd.

Address of issuer — Same as above

State or country in which organized — Delaware

I-Bankers Securities, Inc.

1451 W. Cypress Creek Rd., Suite 204, Fort Lauderdale, FL 33309

Security(ies) — Series A units consisting of one share of common stock and four redeemable class A warrants

Issuer of security(ies) — Middle Kingdom Alliance Corp.

Address of issuer — 333 Sandy Springs Circle, Suite 223, Atlanta, GA 30328

State or country in which organized — Delaware

I-Bankers Securities, Inc.

1451 W. Cypress Creek Rd., Suite 204, Fort Lauderdale, FL 33309

Security(ies) — Series B units consisting of one share of class B common stock and one redeemable class B warrant

Issuer of security(ies) — Middle Kingdom Alliance Corp.

Address of issuer — 333 Sandy Springs Circle, Suite 223, Atlanta, GA 30328

State or country in which organized — Delaware

Intellifit Corporation

702 Electronic Dr., Suite 101, Horsham, PA 19044

State or country in which incorporated — Delaware

Security(ies) — Notes

Issuer of security(ies) — Intellifit Corporation

Address of issuer — Same as above

State or country in which organized — Delaware

InterMetro Communications, Inc.

2685 Park Center Dr., Bldg. A, Simi Valley, CA 93065

State or country in which incorporated — Delaware

Security(ies) — Common stock

Issuer of security(ies) — InterMetro Communications, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

IntraDyn, Inc.

2930 Waters Rd., Suite B-190, Eagon, MN 55121

State or country in which incorporated — Delaware

Security(ies) — Secured convertible promissory note

Issuer of security(ies) — IntraDyn, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Indus Europe Fund, Ltd.

c/o Goldman Sachs (Cayman) Trust, Limited, P.O. Box 896 GT, Harbour Centre, 2nd Fl., N. Church St., Grand Cayman, Cayman Islands

State or country in which incorporated — Cayman Islands

Security(ies) — Common shares, par value \$0.01 (per share)

Issuer of security(ies) — Indus Europe Fund, Ltd.

Address of issuer — Same as above

State or country in which organized — Cayman Islands

Indus Europe Fund, L.P.

152 W. 57th St., 28th Fl., New York, NY 10019

Security(ies) — Limited partnership interests

Issuer of security(ies) — Indus Europe Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Invision IV, L.P.

22 Grenville St., St. Helier, Jersey JE48YJ

Security(ies) — Limited partnership interests

Issuer of security(ies) — Invision IV, L.P.

Address of issuer — Same as above

State or country in which organized — Jersey

Invision IV Feeder, L.P.

22 Grenville St., St. Helier, Jersey JE48YJ

Security(ies) — Limited partnership interests

Issuer of security(ies) — Invision IV Feeder, L.P.

Address of issuer — Same as above

State or country in which organized — Jersey

JK&B Capital V Special Opportunity Fund, L.P.

180 N. Stetson Ave., Suite 4500, Chicago, IL 60601

Security(ies) — Limited partnership interests

Issuer of security(ies) — JK&B Capital V Special Opportunity Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

J.P. Morgan Securities Inc.; (2) Citigroup Global Markets Inc.; (3) Goldman, Sachs & Co.

270 Park Ave., New York, NY 10017; (2) 388 Greenwich St., New

York, NY 10013; (3) 85 Broad St., New York, NY 10004
State or country in which incorporated — (1) Delaware; (2) New York; (3) New York partnership
Security(ies) — __ % second lien notes due 2013
Issuer of security(ies) — Treofan Germany GmbH & Co. KG
Address of issuer — Am Prime Parc 17, 65479 Raunheim, Germany
State or country in which organized — Germany

Kingsview Group, LP
 One Whitehall St., New York, NY 10004
Security(ies) — Partnership interests
Issuer of security(ies) — Kingsview Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Kiron Partners, LP
 2373 Broadway, Apt. 1626, New York, NY 10024
Security(ies) — Limited partnership interests
Issuer of security(ies) — Kiron Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Life Safety, LLC
 3540 W. Sahara Ave., Suite 794, Las Vegas, NV 89102-5816
State or country in which incorporated — Delaware limited liability company
Security(ies) — Class A membership interest warrants
Issuer of security(ies) — Life Safety, LLC
Address of issuer — Same as above
State or country in which organized — Delaware limited liability company

Liquidnet Holdings, Inc.
 498 Seventh Ave., New York, NY 10018
State or country in which incorporated — Delaware
Security(ies) — Common stock, par value \$.00001 per share
Issuer of security(ies) — Liquidnet Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Mannon Oil 2006 B LP
 6051B Heckel Rd., Evansville, IN 47725
Security(ies) — Limited partnership unit
Issuer of security(ies) — Mannon Oil 2006 B LP
Address of issuer — Same as above
State or country in which organized — Texas

Maxim Group LLC
 370 Lexington Ave., New York, NY 10017
Security(ies) — Units of common stock and common stock purchase warrants
Issuer of security(ies) — Energy Infrastructure Acquisition Corp.
Address of issuer — 641 Fifth Ave., New York, NY 10022
State or country in which organized — Delaware

Maxim Group, LLC
 405 Lexington Ave., New York, NY 10174
State or country in which incorporated — New York
Security(ies) — Units consisting of common stock with warrants
Issuer of security(ies) — Industrial Services Acquisition Corp.
Address of issuer — 2807 El Presidio St., Carson CA 90810
State or country in which organized — Delaware

Medical Connections Holdings, Inc.
 2300 Glades Rd., Suite 202-E, Boca Raton, FL 33431
State or country in which incorporated — Florida
Security(ies) — Common shares
Issuer of security(ies) — Medical Connections Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Florida

MidOcean Investor III, L.P.
 320 Park Ave., Suite 1700, New York, NY 10022
Security(ies) — Limited partnership interest
Issuer of security(ies) — MidOcean Investor III, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

MidOcean Partners III, L.P.
 320 Park Ave., Suite 1700, New York, NY 10022
Security(ies) — Limited partnership interest
Issuer of security(ies) — MidOcean Partners III, L.P.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

MidOcean Partners III-A, L.P.
 320 Park Ave., Suite 1700, New York, NY 10022
Security(ies) — Limited partnership interest
Issuer of security(ies) — MidOcean Partners III-A, L.P.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

MidOcean Partners III-D, L.P.
 320 Park Ave., Suite 1700, New York, NY 10022
Security(ies) — Limited partnership interest
Issuer of security(ies) — MidOcean Partners III-D, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

MidOcean Partners III-E, L.P.
 320 Park Ave., Suite 1700, New York, NY 10022
Security(ies) — Limited partnership interest
Issuer of security(ies) — MidOcean Partners III-E, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Mockingbird Value Fund, LP
 4307 A Feagan St., Houston, TX 77007
Security(ies) — Limited partnership interests in a hedge fund
Issuer of security(ies) — Mockingbird Value Fund, LP
Address of issuer — Same as above
State or country in which organized — Texas

Mundoval Funds
 1150 Silverado St., Suite 223, LaJolla, CA 92037
State or country in which incorporated — Ohio
Security(ies) — Mundoval Funds (shares of beneficial service)
Issuer of security(ies) — Mundoval Funds
Address of issuer — Same as above
State or country in which organized — Ohio

Newbridge Securities Corp.
 1451 W. Cypress Creek Rd., Suite 204, Fort Lauderdale, FL 33309
Security(ies) — Series A units consisting of one share of common stock and four redeemable class A warrants
Issuer of security(ies) — Middle Kingdom Alliance Corp.

Address of issuer — 333 Sandy Springs Circle, Suite 223, Atlanta, GA 30328

State or country in which organized — Delaware

Newbridge Securities Corp.

1451 W. Cypress Creek Rd., Suite 204, Fort Lauderdale, FL 33309
Security(ies) — Series B units consisting of one share of class B common stock and four redeemable class B warrants

Issuer of security(ies) — Middle Kingdom Alliance Corp.

Address of issuer — 333 Sandy Springs Circle, Suite 223, Atlanta, GA 30328

State or country in which organized — Delaware

Nexidia Inc.

3565 Piedmont Rd., Bldg. 2, Suite 400, Atlanta, GA 30305

State or country in which incorporated — Delaware

Security(ies) — Issuance of series E convertible preferred stock and series D convertible preferred stock warrant

Issuer of security(ies) — Nexidia Inc.

Address of issuer — 3565 Piedmont Rd., Bldg. 2, Suite 400, Atlanta, GA 30305

State or country in which organized — Delaware

Northern Funds Distributors, LLC

Four Falls Corporate Center, Sixth Fl., West Conshohocken, PA 19428-2961

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Northern Funds—Global Real Estate Index Fund

Address of issuer — P.O. Box 75986, Chicago, IL 60690-6319

State or country in which organized — Delaware

Oakley Multi-Manager Fund II, a class of shares of Oakley Multi-Manager Funds Limited

Six Front St., Hamilton, HM11, Bermuda

Security(ies) — Fund II USD series shares

Issuer of security(ies) — Oakley Multi-Manager Fund II, a class of shares of Oakley Multi-Manager Funds Limited

Address of issuer — Same as above

Oasis Fund, L.P., The

1125 Seventeenth St., Suite 1400, Denver, CO 80202

State or country in which incorporated — California limited partnership

Security(ies) — Limited partnership interests

Issuer of security(ies) — The Oasis Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Ohio Kentucky Oil Corporation/Gilbert Run Project Prog. #1

5112 Portage St., NW, N. Canton, OH 44720

Security(ies) — General partnership interests

Issuer of security(ies) — Ohio Kentucky Oil Corporation/Gilbert Run Project Prog. #1

Address of issuer — Same as above

State or country in which organized — Ohio

OppenheimerFunds Distributor, Inc.

Two World Financial Center, 225 Liberty St., 11th Fl., New York, NY 10281-1008

State or country in which incorporated — New York

Security(ies) — Shares of beneficial interest, \$.001 par value, per share (class Y shares)

Issuer of security(ies) — Oppenheimer Champion Income Fund

Address of issuer — 6803 S. Tucson Way, Centennial, CO 80112-3924

State or country in which organized — Massachusetts

Oxbridge Capital Fund VI, LLC

70 E. Sunrise Hwy., Suite 415, Valley Stream, NY 11581

State or country in which incorporated — New York limited liability company

Security(ies) — Class A membership interest

Issuer of security(ies) — Oxbridge Capital Fund VI, LLC

Address of issuer — Same as above

State or country in which organized — New York limited liability company

PDDA Holdings, LLC

8330 State Rd., Philadelphia, PA 19136

State or country in which incorporated — Pennsylvania

Security(ies) — Units of membership interest

Issuer of security(ies) — PDDA Holdings, LLC

Address of issuer — Same as above

State or country in which organized — Pennsylvania

Pilot View Fund I p.l.c.

25/28 N. Wall Quay, Dublin 1 Ireland

State or country in which incorporated — Ireland

Security(ies) — Euro shares

Issuer of security(ies) — Pilot View Fund I p.l.c.

Address of issuer — Same as above

State or country in which organized — Ireland

Polonia Bancorp

3993 Huntingdon Pike, 3rd Fl., Huntingdon Valley, PA 19006

State or country in which incorporated — United States

Security(ies) — Common stock, par value \$.01 per share

Issuer of security(ies) — Polonia Bancorp

Address of issuer — Same as above

State or country in which organized — United States

Prairie Capital IV, L.P.

c/o Daniels & King Capital IV, L.L.C., 191 N. Wacker Dr., Suite 800, Chicago, IL 60606

Security(ies) — Limited partnership interests

Issuer of security(ies) — Prairie Capital IV, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Pzena Investment Management, LLC

120 W. 45th St., 20th Fl., New York, NY 10036

Security(ies) — Units of beneficial interest in Pzena Global Value Fund (GT)

Issuer of security(ies) — Pzena Value Group Trust

Address of issuer — Same as above

State or country in which organized — Illinois

Pzena Investment Management, LLC

120 W. 45th St., 20th Fl., New York, NY 10036

Security(ies) — Units of beneficial interest in Pzena International Value Fund (DST)

Issuer of security(ies) — Pzena Value Trust

Address of issuer — Same as above

State or country in which organized — Illinois

QuantInvest Fund LP

969 Lily Pond Lane, Franklin Lakes, NJ 07417

Security(ies) — Limited partnership interests
Issuer of security(ies) — QuantInvest Fund LP
Address of issuer — Same as above
State or country in which organized — Delaware

RAM Energy Resources, Inc.
 5100 E. Skelly Dr., Suite 650, Tulsa, OK 74135
State or country in which incorporated — Delaware
Security(ies) — Common stock, par value \$0.0001
Issuer of security(ies) — RAM Energy Resources
Address of issuer — Same as above
State or country in which organized — Delaware

ReachOut Healthcare America LTD
 1904 W. Parkside Lane #201, Phoenix, AZ 85027
State or country in which incorporated — Delaware
Security(ies) — Series A preferred
Issuer of security(ies) — ReachOut Healthcare America LTD
Address of issuer — Same as above
State or country in which organized — Delaware

RLSEF Partners
 c/o myCIO Wealth Partners, LLC, 2929 Arch St., Suite 650, Philadelphia, PA 19104
Security(ies) — Limited partnership interests
Issuer of security(ies) — RLSEF Partners
Address of issuer — Same as above
State or country in which organized — Delaware

Rockwell Medical Technologies, Inc.
 30142 Wixom Rd., Wixom, MI 48393
State or country in which incorporated — Michigan
Security(ies) — Common stock
Issuer of security(ies) — Rockwell Medical Technologies, Inc.
Address of issuer — Same as above
State or country in which organized — Michigan

Safir Capital Local Emerging Markets Fund, LP
 15 River Rd., Suite 220, Wilton, CT 06897
Security(ies) — Limited partnership interests
Issuer of security(ies) — Safir Capital Local Emerging Markets Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

SAFLINK Corporation
 12413 Willows Rd. NE, Suite 300, Kirkland, WA 98034
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — SAFLINK Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

SEI Investments Distribution Co.
 One Freedom Valley Rd., Oaks, PA 19456
State or country in which incorporated — Pennsylvania
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — SEI Institutional Investments Trust—Emerging Markets Debt Fund
Address of issuer — c/o The CT Corporation System, Two Oliver St., Boston, MA 02109
State or country in which organized — Massachusetts

Siegfried Resources, LLC
 1201 Market St., Suite 700, Wilmington, DE 19801
Security(ies) — Units consisting of: (1) debentures; (2) class A-series IV units of membership interest; and (3) class B-series IV units of membership interest
Issuer of security(ies) — Siegfried Resources, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Solvay Bank Corp.
 1537 Milton Ave., Solvay, NY 13209
State or country in which incorporated — New York
Security(ies) — Solvay Bank Corp. voting common stock
Issuer of security(ies) — Solvay Bank Corp.
Address of issuer — Same as above
State or country in which organized — New York

Sparta Commercial Services, Inc.
 462 7th Ave., New York, NY 10018
State or country in which incorporated — Nevada
Security(ies) — Units consisting of term promissory notes and warrants to purchase common stock
Issuer of security(ies) — Sparta Commercial Services, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Toyota Industries Corporation
 2-1 Toyoda-cho, Kariya-shi, Aichi-ken, 448-8671, Japan
State or country in which incorporated — Japan
Security(ies) — Common stock under the 2006 TIC ESOP
Issuer of security(ies) — Toyota Industries Corporation
Address of issuer — Same as above
State or country in which organized — Japan

UBS Financial Services, Inc.
 1285 Avenue of the Americas, New York, NY 10019
State or country in which incorporated — Delaware
Security(ies) — Units of fractional undivided interest in trust
Issuer of security(ies) — Equity Opportunity Trust—Special Situations Series 13
Address of issuer — Same as above
State or country in which organized — Delaware

UBS Financial Services, Inc.
 1285 Avenue of the Americas, New York, NY 10019
State or country in which incorporated — Delaware
Security(ies) — Units of fractional undivided interest in trust
Issuer of security(ies) — Equity Opportunity Trust—Value Select Ten Series 2006E
Address of issuer — Same as above
State or country in which organized — Delaware

Ullico Diversified Private Equity Fund I, L.P.
 c/o Ullico DPEF I, LLC, 2nd Fl., 1625 Eye St. NW, Washington, DC 20006
Security(ies) — Limited partnership interests
Issuer of security(ies) — Ullico Diversified Private Equity Fund I, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Ultra Electronic Holdings, plc
 Bridport Rd., Greenford, Middlesex, UB6 8UA, United Kingdom
State or country in which incorporated — England and Wales

Security(ies) — Ordinary shares (equivalent to US common stock)
Issuer of security(ies) — Ultra Electronic Holdings, plc
Address of issuer — Same as above
State or country in which organized — England and Wales

Van Kampen Funds Inc.
One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Dent Demographics Portfolio, Series 6
Address of issuer — Same as above
State or country in which organized — New York

Victory Energy Partners 2006 LP
220 Airport Rd., Indiana, PA 15701
State or country in which incorporated — Pennsylvania
Security(ies) — Victory Energy Partners 2006 LP
Issuer of security(ies) — Victory Energy Corporation
Address of issuer — Same as above
State or country in which organized — Pennsylvania

Waccamaw Bankshares, Inc.
110 N. Powell Blvd., Whiteville, NC 28472
State or country in which incorporated — North Carolina
Security(ies) — Units consisting of common stock and warrants
Issuer of security(ies) — Waccamaw Bankshares, Inc.
Address of issuer — P.O. Box 2009, Whiteville, NC 28472
State or country in which organized — North Carolina

Wicked London LCC
c/o 321 Theatrical Management Inc., 321 W. 44th St., Suite 801, New York, NY 10036, Attn.: Nina Essman
Security(ies) — Limited liability company interests
Issuer of security(ies) — Wicked London LCC
Address of issuer — Same as above
State or country in which organized — Delaware

Williams Jones A. Associates Hedge Fund 4 Tranche 3
c/o Williams Jones A. Associates, LCC, 717 Fifth Ave., Suite 1700, New York, NY 10022
Security(ies) — Units of undivided interest
Issuer of security(ies) — Williams Jones A. Associates Hedge Fund 4 Tranche 3
Address of issuer — Same as above
State or country in which organized — New York

Wilmington Trust Conduit Services, LLC
591 Broadway, 6th Fl., New York, NY 10012
Security(ies) — Limited liability company interests of Wilmington Trust Conduit Services, LLC
Issuer of security(ies) — Wilmington Trust Conduit Services, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Winnercomm Holdings, Inc.
Two Warren Place, 6120 S. Yale, Tulsa, OK 74136
State or country in which incorporated — Delaware
Security(ies) — Series A preferred stock
Issuer of security(ies) — Winnercomm Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware