

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

Accela Capital Management LLC
4948 St. Elmo Ave., Suite 304, Bethesda, MD 20814
State or country in which incorporated — Delaware

AEI Accredited Investor Fund VI LP
1300 Wells Fargo Place, 30 Seventh St. E, St. Paul, MN 55101
Partnership — AEI Fund Management XVIII, Inc.

AeroVironment, Inc.
181 W. Huntington Dr., Suite 202, Monrovia, CA 91016
State or country in which incorporated — Delaware

Ariel Distributors, LLC
200 E. Randolph Dr., Suite 2900, Chicago, IL 60601
State or country in which incorporated — Delaware limited liability company

Ascio Pharmaceuticals, LLC
9295 Nesbit Lakes Dr., Alpharetta, GA 30022
Partnership — Georgia

Bandera Offshore Value Fund Ltd.
% Meridian Fund Services Limited, P.O. Box HM 528, Hamilton HM
CX, Bermuda
State or country in which incorporated — Cayman Islands

Bandera Value Fund LLC
26 Broadway, Suite 1607, New York, NY 10004
State or country in which incorporated — Delaware limited liability company

B.C. Ziegler and Company
250 E. Wisconsin Ave., Suite 2000, Milwaukee, WI 53202
State or country in which incorporated — Wisconsin

Blue Moon Financial, LLC
1099 18th St., Suite 1750, Denver, CO 80202
Partnership — Blue Moon Capital, LLC

Brazos Valley Drilling Program 2006-2
6330 LBJ Fwy., Suite 137, Dallas, TX 75244-6467

BroadVision, Inc.
585 Broadway, Redwood City, CA 94063
State or country in which incorporated — Delaware

Buy Side, Inc.
205 N. Michigan Ave., Suite 4400, Chicago, IL 60601
State or country in which incorporated — Delaware

Capazoo World Corporation
19 Cours Le Royer, Suite 100-101, Montreal, Quebec H2Y 1W4
State or country in which incorporated — Canada

Chanticleer Investors II, LLC
4500 Cameron Valley Pkwy., Suite 270, Charlotte, NC 28211
State or country in which incorporated — Nevada

Cottonwood Greenbrier S, LLC
6465 S. 3000 East, Suite 108, Salt Lake City, UT 84121

Empire Securities Corporation
10 Universal City Plaza, 20th Fl., Universal City, CA 91608
State or country in which incorporated — California

Exploration Capital Partners 2005 Limited Partnership—Global
Resource Investments Ltd.
7770 El Camino Blvd., Carlsbad, CA 92009
Partnership — Resource Capital Investment Corporation

Gentor Resources, Inc.
One Adler Gulch Rd., Virginia City, MT 59755
State or country in which incorporated — Florida

Geraty Investment Partners, LP
10400 Viking Dr., Suite 140, Eden Prairie, MN 55344
Partnership — Geraty Investment Partners GP, LLC

Golub Capital Partners V, L.P.
551 Madison Ave., 6th Fl., New York, NY 10022
Partnership — Golub GP V, LLC

I-Bankers Securities, Inc.
125 E. John Carpenter Fwy., Suite 260, Irving, TX 76180
State or country in which incorporated — Texas

Icestone, LLC
63 Flushing Ave., Unit 283, Bldg. 12, Brooklyn, NY 11205-1010
State or country in which incorporated — New York

iMed Healthcare Solutions, Inc.
32 Mill Rd., 2nd Fl., Westhampton Beach, NY 11978
State or country in which incorporated — Nevada

JPMorgan Distribution Services, Inc.
1111 Polaris Pkwy., Columbus, OH 43240

Keyhole Group, LLC, The
1518 Avalon Sq., Glen Cove, NY 11542

Knopp Neurosciences Merger Sub, Inc.
100 Technology Dr., Suite 400, Pittsburgh, PA 15219
State or country in which incorporated — Delaware

Kreido Biofuels, Inc.
88 W. 44th Ave., Vancouver, B.C., Canada V5Y 2V1
State or country in which incorporated — Nevada

Laidlaw & Company (UK) Ltd.
90 Park Ave., New York, NY 10016
State or country in which incorporated — United Kingdom

Lily Pond Currency Fund, Ltd.
% Appleby Corporate Services (Bermuda) Ltd., Canon's Court, 22
Victoria St., Hamilton HM 12, Bermuda
State or country in which incorporated — Bermuda

Lipella Pharmaceuticals Inc.
5414 Guarino Rd., Pittsburgh, PA 15217
State or country in which incorporated — Delaware

Maccabee Capital, LP
489 5th Ave., 2nd Fl., New York, NY 10017
Partnership — Maccabee Capital Partners, LLC

Managed Alternative Global Investments, LLC
% Global Hedge Strategies, LLC, 153 E. 53rd St., 3rd Fl., New York,
NY 10022
State or country in which incorporated — Delaware

Mapleton Group, LLC
54 Barnes Lane, Chappaqua, NY 10514
State or country in which incorporated — Delaware

Mezzacappa Credit Spectrum Fund, LLC
% Mezzacappa Investors, LLC, 630 Fifth Ave., Suite 2600, New York,
NY 10111

Midtown Partners & Co., LLC
257 Park Ave. S, 12th Fl., New York, NY 10010

Moody National CI Grapevine S, LLC
6363 Woodway Dr., Suite 110, Houston, TX 77057

Moriah Capital L.P.
645 W. 239th St., Apt. 513, New York, NY 10463
Partnership — Moriah Capital Partners, LLC

Neostem, Inc.
420 Lexington Ave., Suite 450, New York, NY 10170
State or country in which incorporated — Delaware

Novint Technologies, Inc.
4109 Bryan Ave. NW, Albuquerque, NM 87114
State or country in which incorporated — Delaware

Paradigm Spine, LLC
505 Park Ave., 14th Fl., New York, NY 10022
State or country in which incorporated — Delaware

P Fund L.P.
570 Lexington Ave., 25th Fl., New York, NY 10022
Partnership — Pinnacle Associates GP, LLC

Pinnacle Natural Resources, L.P.
570 Lexington Ave., 25th Fl., New York, NY 10022
Partnership — Pinnacle Associate GP, LLC

Pinnacle Opportunity, L.P.
570 Lexington Ave., 25th Fl., New York, NY 10022
Partnership — Pinnacle Associate GP, LLC

PM Manager Fund, SPC.—Segregated Portfolio 5
% Walkers SPV Limited, P.O. Box 908GT, George Town, Grand
Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands

PM Manager Fund, SPC.—Segregated Portfolio 6
% Walkers SPV Limited, P.O. Box 908GT, George Town, Grand
Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands

PNC MultiFamily Capital Institutional Fund XXXIV Limited Partner-
ship
121 SW. Morrison St., Suite 1300, Portland, OR 97204-3143
Partnership — PNC MultiFamily Capital Fund XXXIV, Inc.

Pomegranate Capital, LLC
% Pomegranate Capital Management, LLC, 145 E. 57th St., 11th Fl.,
New York, NY 10022

Primatech Corporation
130 W. 3rd St., 5th Fl., New York, NY 10012
State or country in which incorporated — Delaware

Red Fort India Real Estate Fund I, L.P.
1163 E. Ogden Ave., Suite 705-277, Naperville, IL 60563-8535
Partnership — Red Fort Capital GP, L.P.

RMG HOLDCO, INC.
% RiskMetrics Group, Inc., One Chase Manhattan Plaza, 44th Fl., New
York, NY 10005
State or country in which incorporated — Delaware

Searock Capital Offshore Ltd.
% Morgan Stanley Fund Services (Cayman) Ltd., P.O. Box 2681 GT,
Century Yard, 4th Fl., Cricket Sq., Hutchins Dr., George Town, Grand
Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands

Sterling Mining Company
2201 Government Way, Suite E, Coeur d'Alene, ID 83814
State or country in which incorporated — Idaho

Subprime Credit Strategies Fund II, L.P.
2626 Cole Ave., Suite 200, Dallas, TX 75204
Partnership — SCSF Management, L.P.

Tunnelbrook Deep Blue Fund LP
1390 S. Dixie Hwy., Suite 1304, Coral Gables, FL 33146
Partnership — Tunnelbrook Deep Blue Fund GP LLC

UBS Financial Services, Inc.
1285 Avenue of the Americas, New York, NY 10019
State or country in which incorporated — Delaware

vFinance Investments, Inc.
880 Third Ave., 12th Fl., New York, NY 10022
State or country in which incorporated — Florida

Viscogliosi & Company, Inc.
505 Park Ave., 14th Fl., New York, NY 10022
State or country in which incorporated — Delaware

Walton Street Real Estate Sidecar V, L.P.
900 N. Michigan Ave., Suite 1900, Chicago, IL 60611
Partnership — Walton Street Sidecar Managers V, L.P.

Western Copper Corporation
Suite 2050, 1111 W. Georgia St., Vancouver, B.C., Canada V6E 4M3
State or country in which incorporated — Canada

Winder Power Systems, Inc.
Five Eagle Trace, P.O. Box 867, Wolfeboro Falls, NH 03896
State or country in which incorporated — Delaware

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY DEALERS; BROKERS; SYNDICATE MANAGERS

ABN AMRO Rothschild LLC; (2) Banc of America Securities LLC;
(3) Deutsche Bank Securities Inc.; (4) Goldman, Sachs & Co.; (5) J.P.
Morgan Securities Inc.; (6) Nomura Securities International, Inc.; (7)
UBS Securities LLC

55 E. 52nd St., New York, NY 10055; (2) Nine W. 57th St., New York,
NY 10019; (3) 60 Wall St., New York, NY 10005; (4) 85 Broad St.,
New York, NY 10004; (5) 270 Park Ave., New York, NY 10017; (6)
Two World Financial Center, New York, NY 10281; (7) 677 Washing-
ton Blvd., Stamford, CT 06901

State or country in which incorporated — (1, 2, 7) Delaware limited
liability company; (3, 5) Delaware; (4) New York partnership; (6) New
York

Security(ies) — American depositary shares

Issuer of security(ies) — Infosys Technologies Limited

Address of issuer — Electronics City, Hosur Rd., Bangalore, Karnataka,
India 560 100

State or country in which organized — Republic of India

Accela Capital Management LLC
4948 St. Elmo Ave., Suite 304, Bethesda, MD 20814

State or country in which incorporated — Delaware
Security(ies) — Accela Global 2X Fund LLC
Issuer of security(ies) — Accela Global 2X Fund LLC
Address of issuer — Same as above
State or country in which organized — Delaware

AEI Securities, Inc.
1300 Wells Fargo Place, 30 Seventh St. E, St. Paul, MN 55101
State or country in which incorporated — Minnesota
Security(ies) — Limited partnership interests
Issuer of security(ies) — AEI Accredited Investor Fund VI LP
Address of issuer — Same as above
State or country in which organized — Minnesota

AeroVironment, Inc.
181 W. Huntington Dr., Suite 202, Monrovia, CA 91016
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — AeroVironment, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Ascio Pharmaceuticals, LLC
9295 Nesbit Lakes Dr., Alpharetta, GA 30022
State or country in which incorporated — Georgia
Security(ies) — Senior unsecured notes and warrants
Issuer of security(ies) — Ascio Pharmaceuticals, LLC
Address of issuer — Same as above
State or country in which organized — Georgia

AT Investor Services, Inc.
50 Rockefeller Plaza, 15th Fl., New York, NY 10020
State or country in which incorporated — New York
Security(ies) — Limited partnership interests
Issuer of security(ies) — INVESCO Real Estate Fund II, L.P.
Address of issuer — 500 Three Galleria Tower, 13155 Noel Rd., Dallas,
TX 75240
State or country in which organized — Delaware

Bandera Offshore Value Fund Ltd.
% Meridian Fund Services Limited, P.O. Box HM 528, Hamilton HM
CX, Bermuda
State or country in which incorporated — Cayman Islands
Security(ies) — Non-voting, participating, redeemable shares consisting
of class S shares and class U shares
Issuer of security(ies) — Bandera Offshore Value Fund Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Bandera Value Fund LLC
26 Broadway, Suite 1607, New York, NY 10004
State or country in which incorporated — Delaware limited liability
company
Security(ies) — Limited liability company interests consisting of class
S interests and class U interests
Issuer of security(ies) — Bandera Value Fund LLC
Address of issuer — Same as above
State or country in which organized — Delaware limited liability
company

B.C. Ziegler and Company
250 E. Wisconsin Ave., Suite 2000, Milwaukee, WI 53202
State or country in which incorporated — Wisconsin
Security(ies) — Units of limited liability company interest

Issuer of security(ies) — Ziegler Healthcare Real Estate Fund III, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Bethlehem Towers, L.L.C.
 205 Robin Rd., Suite 202, Paramus, NJ 07652
Security(ies) — Limited liability company interest
Issuer of security(ies) — Bethlehem Towers, L.L.C.
Address of issuer — Same as above
State or country in which organized — Indiana

Blackhawk Capital Group BDC, Inc.
 14 Wall St., Suite 1100B, New York, NY 10005
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Blackhawk Capital Group BDC, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Blue Moon Financial, LLC
 1099 18th St., Suite 1750, Denver, CO 80202
Security(ies) — Manhattan Property Group-917 Harkness PA (private placement in real estate)
Issuer of security(ies) — Blue Moon Capital, LLC
Address of issuer — Same as above
State or country in which organized — Colorado

Blue Moon Financial, LLC
 1099 18th St., Suite 1750, Denver, CO 80202
Security(ies) — Martinez-5354 Pulaski PA (private placement in real estate)
Issuer of security(ies) — Blue Moon Capital, LLC
Address of issuer — Same as above
State or country in which organized — Colorado

Brazos Valley Drilling Program 2006-2
 6330 LBJ Fwy., Suite 137, Dallas, TX 75244-6467
Security(ies) — Units of joint venture interest
Issuer of security(ies) — Brazos Valley Drilling Program 2006-2
Address of issuer — Same as above
State or country in which organized — Texas

BroadVision, Inc.
 585 Broadway, Redwood City, CA 94063
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — BroadVision, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Capazoo World Corporation
 19 Cours Le Royer, Suite 100-101, Montreal, Quebec H2Y 1W4
State or country in which incorporated — Canada
Security(ies) — Common stock
Issuer of security(ies) — Capazoo World Corporation
Address of issuer — Same as above
State or country in which organized — Canada

Chanticleer Investors II, LLC
 4500 Cameron Valley Pkwy., Suite 270, Charlotte, NC 28211
Security(ies) — Limited liability company interests
Issuer of security(ies) — Chanticleer Investors II, LLC
Address of issuer — Same as above
State or country in which organized — Nevada

Claymore Securities, Inc.
 2455 Corporate W. Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Delta Global Canadian Energy Trust, Series 5
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
 2455 Corporate W. Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — International Equity Dividend Income Portfolio, Series 4
Address of issuer — Same as above
State or country in which organized — Kansas

Columns of Castleton, L.L.C.
 205 Robins Rd., Suite 202, Paramus, NJ 07652
Security(ies) — Limited liability company interest
Issuer of security(ies) — Columns of Castleton, L.L.C.
Address of issuer — Same as above
State or country in which organized — Indiana

Compass Securities Corporation; (2) Moors & Cabot, Inc.; (3) PNC Capital Markets, Inc.; (4) WM Financial Services, Inc.
 One Batterymarch Park, Suite 309, Quincy, MA 02169; (2) 111 Devonshire St., Boston, MA 02109; (3) 249 Fifth Ave., 26th Fl., Pittsburgh, PA 15222; (4) P.O. Box 19399, Irvine, CA 92623-9399
State or country in which incorporated — (1, 2) Massachusetts; (3) Pennsylvania; (4) Washington
Security(ies) — Limited partnership interest
Issuer of security(ies) — PNC MultiFamily Capital Institutional Fund XXXIV Limited Partnership
Address of issuer — 121 SW. Morrison St., Suite 1300, Portland, OR 97204-3143
State or country in which organized — Delaware

Cottonwood Greenbrier S, LLC
 6465 S. 3000 East, Suite 108, Salt Lake City, UT 84121
Security(ies) — Tenant in common interests
Issuer of security(ies) — Cottonwood Greenbrier S, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Energy Associates, Inc.
 152 E. Reynolds Rd., Suite 201, Lexington, KY 40517
Security(ies) — Joint venture (leasehold working interest) in a joint venture
Issuer of security(ies) — Energy Associates, Inc.
Address of issuer — Same as above
State or country in which organized — Kentucky

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1278 (REIT Growth & Income Select Portfolio, Series 12)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1279 (Select Balanced Income Portfolio, Series)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1281 (New York Municipal Income Closed-End Portfolio, Series 13)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1282 (Greater China Portfolio, Series 9)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1284 (Balanced Income Portfolio, Series 11)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1302 (Global Markets Portfolio Series)
Address of issuer — Same as above
State or country in which organized — Illinois

Fixed Income Securities, L.P.
 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — Advisor's Disciplined Trust 118 (SCORE - All Equity Portfolio, Series 1)
Address of issuer — Same as above
State or country in which organized — New York

Fixed Income Securities, L.P.
 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — Advisor's Disciplined Trust 120 (Strategic Utility and Communications Portfolio, Series 3)
Address of issuer — Same as above
State or country in which organized — New York

Fixed Income Securities, L.P.
 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — Advisor's Disciplined Trust 121 (Canadian Energy and Income Portfolio Series 1)
Address of issuer — Same as above
State or country in which organized — New York

Fram Holdings, Inc.
 1717 Main St., Suite 900, Dallas, TX 75201
State or country in which incorporated — Delaware

Security(ies) — Series B common stock
Issuer of security(ies) — Fram Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

GangaGen, Inc.
 3729 Emerson St., Palo Alto, CA 94306
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — GangaGen, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

GangaGen, Inc.
 3729 Emerson St., Palo Alto, CA 94306
State or country in which incorporated — Delaware
Security(ies) — Series D preferred stock
Issuer of security(ies) — GangaGen, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Gentor Resources, Inc.
 One Adler Gulch Rd., Virginia City, MT 59755
State or country in which incorporated — Florida
Security(ies) — Common stock
Issuer of security(ies) — Gentor Resources, Inc.
Address of issuer — Same as above
State or country in which organized — Florida

Geraty Investment Partners, LP
 10400 Viking Dr., Suite 140, Eden Prairie, MN 55344
Security(ies) — Limited partnership interests
Issuer of security(ies) — Geraty Investment Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Goldman, Sachs & Co.
 85 Broad St., New York, NY 10004
State or country in which incorporated — New York partnership
Security(ies) — Common stock
Issuer of security(ies) — Ansys, Inc.
Address of issuer — Southpointe, 275 Technology Dr., Canonsburg, PA 15317
State or country in which organized — Delaware

Goldman, Sachs & Co.; (2) Lehman Brother Inc.; (3) Citigroup Global Markets Inc.
 85 Broad St., New York, NY 10004; (2) 745 7th Ave., New York, NY 10019; (3) 388 Greenwich St., New York, NY 10013
State or country in which incorporated — (1) New York partnership; (2) Delaware; (3) New York
Security(ies) — 1.75% convertible senior notes due 2011
Issuer of security(ies) — EMC Corporation
Address of issuer — 176 South St., Hopkinton, MA 01748
State or country in which organized — Massachusetts

Goldman, Sachs & Co.; (2) Lehman Brother Inc.; (3) Citigroup Global Markets Inc.
 85 Broad St., New York, NY 10004; (2) 745 7th Ave., New York, NY 10019; (3) 388 Greenwich St., New York, NY 10013
State or country in which incorporated — (1) New York partnership; (2) Delaware; (3) New York
Security(ies) — 1.75% convertible senior notes due 2013

Issuer of security(ies) — EMC Corporation
Address of issuer — 176 South St., Hopkinton, MA 01748
State or country in which organized — Massachusetts

Goldman, Sachs & Co.; (2) Lehman Brother Inc.; (3) Citigroup Global Markets Inc.
 85 Broad St., New York, NY 10004; (2) 745 7th Ave., New York, NY 10019; (3) 388 Greenwich St., New York, NY 10013
State or country in which incorporated — (1) New York partnership; (2) Delaware; (3) New York
Security(ies) — Common stock
Issuer of security(ies) — EMC Corporation
Address of issuer — 176 South St., Hopkinton, MA 01748
State or country in which organized — Massachusetts

Golub Capital Partners V, L.P.
 551 Madison Ave., 6th Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Golub Capital Partners V, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

GSC Group, Inc.
 300 Campus Dr., Florham Park, NJ 07932
State or country in which incorporated — Delaware
Security(ies) — Class C common shares
Issuer of security(ies) — GSC Group, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

GunnAllen Financial, Inc.
 5002 W. Waters Ave., Tampa, FL 33634
State or country in which incorporated — Florida
Security(ies) — Units consisting of one share of common stock, and a warrant to purchase one share of common stock for each 3.5 units purchased
Issuer of security(ies) — VioQuest Pharmaceuticals, Inc.
Address of issuer — 180 Mt. Airy Rd., Suite 203, Basking Ridge, NJ 07920
State or country in which organized — Delaware

Henderson Global Funds—Henderson Global Equity Income Fund
 737 N. Michigan Ave., Suite 1950, Chicago, IL 60611
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Henderson Global Funds—Henderson Global Equity Income Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Henderson Global Funds—Henderson Global Opportunities Fund
 737 N. Michigan Ave., Suite 1950, Chicago, IL 60611
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Henderson Global Funds—Henderson Global Opportunities Fund
Address of issuer — Same as above
State or country in which organized — Delaware

I-Bankers Securities, Inc.
 125 E. John Carpenter Fwy., Suite 260, Irving, TX 76180
State or country in which incorporated — Texas
Security(ies) — Units, each consisting of one share of common stock with one warrant
Issuer of security(ies) — Trans-India Acquisition Corporation

Address of issuer — 300 S. Wacker Dr., Suite 1000, Chicago, IL 60606
State or country in which organized — Delaware

Icestone LLC
 63 Flushing Ave., Unit 283, Bldg. 12, Brooklyn, NY 11205-1010
State or country in which incorporated — New York
Security(ies) — Limited liability company interests
Issuer of security(ies) — Icestone, LLC
Address of issuer — Same as above
State or country in which organized — New York

ICW Productions LLC
 1001 NE. 26th Ave., Hallandale, FL 33009
Security(ies) — Limited liability company interests
Issuer of security(ies) — ICW Productions LLC
Address of issuer — Same as above
State or country in which organized — Delaware

iMed Healthcare Solutions, Inc.
 32 Mill Rd., 2nd Fl., Westhampton Beach, NY 11978
State or country in which incorporated — Nevada
Security(ies) — Common stock
Issuer of security(ies) — iMed Healthcare Solutions, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Integrated Beverage Group, Ltd.
 Four Dubon Court, Farmingdale, NY 11735
State or country in which incorporated — New York
Security(ies) — Common stock
Issuer of security(ies) — Integrated Beverage Group, Ltd.
Address of issuer — Same as above
State or country in which organized — New York

JPMorgan Distribution Services, Inc.
 1111 Polaris Pkwy., Columbus, OH 43240
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — JPMorgan Trust I—JPMorgan International Realty Fund
Address of issuer — % J.P. Morgan Investor Services Co., 73 Tremont St., 8th Fl., Boston, MA 02108
State or country in which organized — Delaware

Keyhole Group, LLC, The
 1518 Avalon Sq., Glen Grove, NY 11542
Security(ies) — Limited liability company membership units at a purchase price of \$100,000 per unit
Issuer of security(ies) — The Keyhole Group, LLC
Address of issuer — Same as above
State or country in which organized — New York

Knopp Neurosciences Merger Sub, Inc.
 100 Technology Dr., Suite 400, Pittsburgh, PA 15219
State or country in which incorporated — Delaware
Security(ies) — Series A preferred stock
Issuer of security(ies) — Knopp Neurosciences Merge Sub, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Knopp Neurosciences Merger Sub, Inc.
 100 Technology Dr., Suite 400, Pittsburgh, PA 15219
State or country in which incorporated — Delaware
Security(ies) — Two series B warrants to purchase series B preferred stock

Issuer of security(ies) — Knopp Neurosciences Merge Sub, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Kreido Biofuels, Inc.
 88 W. 44th Ave., Vancouver, B.C., Canada V5Y 2V1
State or country in which incorporated — Nevada
Security(ies) — Units consisting of common stock and common stock purchase warrants
Issuer of security(ies) — Kreido Biofuels, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Laidlaw & Company (UK) Ltd.
 90 Park Ave., New York, NY 10016
State or country in which incorporated — United Kingdom
Security(ies) — Member interests
Issuer of security(ies) — Donut Holdings LLC
Address of issuer — Same as above
State or country in which organized — New York

Lily Pond Currency Fund, Ltd.
 % Appleby Corporate Services (Bermuda) Ltd., Canon's Court, 22 Victoria St., Hamilton HM 12 Bermuda
Security(ies) — Participating shares
Issuer of security(ies) — Lily Pond Currency Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Bermuda

Lipella Pharmaceuticals Inc.
 5414 Guarino Rd., Pittsburgh, PA 15217
State or country in which incorporated — Delaware
Security(ies) — Convertible promissory notes
Issuer of security(ies) — Lipella Pharmaceuticals Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Maccabee Capital, LP
 489 5th Ave., 2nd Fl., New York, NY 10017
Security(ies) — Limited partnership interests
Issuer of security(ies) — Maccabee Capital, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Managed Alternative Global Investments, LLC
 % Global Hedge Strategies, LLC, 153 E. 53rd St., 3rd Fl., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Limited liability company interests
Issuer of security(ies) — Managed Alternative Global Investments, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Mapleton Group, LLC
 54 Barnes Lane, Chappaqua, NY 10514
Security(ies) — Limited liability company membership interests
Issuer of security(ies) — Mapleton Group, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Maxim Group LLC
 405 Lexington Ave., New York, NY 10174
State or country in which incorporated — New York
Security(ies) — Units consisting of common stock and warrants

Issuer of security(ies) — Pinpoint Advance Corp.
Address of issuer — Four Maskit St., Herzeliya, Israel 46700
State or country in which organized — Delaware

MedicalCV, Inc.
 9725 S. Robert Trail, Inver Grove Heights, MN 55077
State or country in which incorporated — Minnesota
Security(ies) — Common stock
Issuer of security(ies) — MedicalCV, Inc.
Address of issuer — Same as above
State or country in which organized — Minnesota

Merrill Lynch, Pierce, Fenner & Smith Incorporated
 Four World Financial Center, New York, NY 10281
State or country in which incorporated — Delaware
Security(ies) — Class C (2006-C1) asset backed notes
Issuer of security(ies) — Advanta Business Card Master Trust
Address of issuer — % Advanta Business Receivables Corp., 2215 B. Renaissance Dr., Suite 5, Las Vegas, NV 89119
State or country in which organized — Delaware

Merrill Lynch, Pierce, Fenner & Smith Incorporated; (2) Citigroup Global Markets Inc.
 Four World Financial Center, New York, NY 10281; (2) 388 Greenwich St., New York, NY 10013
State or country in which incorporated — (1) Delaware; (2) New York
Security(ies) — American depositary shares
Issuer of security(ies) — Dr. Reddy's Laboratories Limited
Address of issuer — 7-1.27, Ameerpet, Hyderabad, Andhra Pradesh 500 016, India
State or country in which organized — India

Mezzacappa Credit Spectrum Fund, LLC
 % Mezzacappa Investors, LLC, 630 Fifth Ave., Suite 2600, New York, NY 10111
Security(ies) — Limited liability company membership interests
Issuer of security(ies) — Mezzacappa Credit Spectrum Fund, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Midtown Partners & Co., LLC
 257 Park Ave. S, 12th Fl., New York, NY 10010
Security(ies) — Membership units
Issuer of security(ies) — Minyanville Publishing and Multimedia LLC
Address of issuer — 800 Third Ave., 9th Fl., New York, NY 10022
State or country in which organized — Delaware

Moody National CI Grapevine S, LLC
 6363 Woodway Dr., Suite 110, Houston, TX 77057
Security(ies) — Tenant in common interests
Issuer of security(ies) — Moody National CI Grapevine S, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Morgan Stanley & Co. Incorporated
 1585 Broadway, New York, NY 10036
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — NRG Energy, Inc.
Address of issuer — 211 Carnegie Center, Princeton, NJ 08540
State or country in which organized — Delaware

Morgan Stanley & Co. Incorporated; (2) Merrill Lynch, Pierce, Fenner & Smith Incorporated

1585 Broadway, New York, NY 10036; (2) Four World Financial Center, New York, NY 10281

State or country in which incorporated — (1 & 2) Delaware

Security(ies) — 7.375% senior notes due 2017

Issuer of security(ies) — NRG Energy, Inc.

Address of issuer — 211 Carnegie Center, Princeton, NJ 08540

State or country in which organized — Delaware

Morgan Stanley & Co., Incorporated; (2) J.P. Morgan Securities Inc.; (3) Thomas Weisel Partners LLC; (4) Leerink Swann & Co., Inc.

1585 Broadway, New York, NY 10036; (2) 277 Park Ave., New York, NY 10172; (3) One Montgomery St., San Francisco, CA 94104; (4) One Federal St., Boston, MA 02210

State or country in which incorporated — (1-3) Delaware; (4) Massachusetts

Security(ies) — Common stock

Issuer of security(ies) — Hansen Medical, Inc.

Address of issuer — 380 N. Bernardo Ave., Mountain View, CA 94043

State or country in which organized — Delaware

Moriah Capital L.P.

645 W. 239th St., Apt. 513, New York, NY 10463

Security(ies) — Limited partnership interests

Issuer of security(ies) — Moriah Capital L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Neostem, Inc.

420 Lexington Ave., Suite 450, New York, NY 10170

State or country in which incorporated — Delaware

Security(ies) — Common stock

Issuer of security(ies) — Neostem, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Novint Technologies, Inc.

4109 Bryan Ave. NW, Albuquerque, NM 87114

State or country in which incorporated — Delaware

Security(ies) — Units consisting of common stock and warrants

Issuer of security(ies) — Novint Technologies, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

OppenheimerFunds Distributor, Inc.

Two World Financial Center, 225 Liberty St., 11th Fl., New York, NY 10281-1008

State or country in which incorporated — New York

Security(ies) — Shares of beneficial interest, \$.001 par value, per share (class A shares)

Issuer of security(ies) — Oppenheimer Baring China Fund

Address of issuer — 6803 S. Tuscon Way, Centennial, CO 80112-3924

State or country in which organized — Massachusetts

OppenheimerFunds Distributor, Inc.

Two World Financial Center, 225 Liberty St., 11th Fl., New York, NY 10281-1008

State or country in which incorporated — New York

Security(ies) — Shares of beneficial interest, \$.001 par value, per share (class B shares)

Issuer of security(ies) — Oppenheimer Baring China Fund

Address of issuer — 6803 S. Tuscon Way, Centennial, CO 80112-3924

State or country in which organized — Massachusetts

OppenheimerFunds Distributor, Inc.

Two World Financial Center, 225 Liberty St., 11th Fl., New York, NY 10281-1008

State or country in which incorporated — New York

Security(ies) — Shares of beneficial interest, \$.001 par value, per share (class C shares)

Issuer of security(ies) — Oppenheimer Baring China Fund

Address of issuer — 6803 S. Tuscon Way, Centennial, CO 80112-3924

State or country in which organized — Massachusetts

OppenheimerFunds Distributor, Inc.

Two World Financial Center, 225 Liberty St., 11th Fl., New York, NY 10281-1008

State or country in which incorporated — New York

Security(ies) — Shares of beneficial interest, \$.001 par value, per share (class N shares)

Issuer of security(ies) — Oppenheimer Baring China Fund

Address of issuer — 6803 S. Tuscon Way, Centennial, CO 80112-3924

State or country in which organized — Massachusetts

OppenheimerFunds Distributor, Inc.

Two World Financial Center, 225 Liberty St., 11th Fl., New York, NY 10281-1008

State or country in which incorporated — New York

Security(ies) — Shares of beneficial interest, \$.001 par value, per share (class Y shares)

Issuer of security(ies) — Oppenheimer Baring China Fund

Address of issuer — 6803 S. Tuscon Way, Centennial, CO 80112-3924

State or country in which organized — Massachusetts

OppenheimerFunds Distributor, Inc.

Two World Financial Center, 225 Liberty St., 11th Fl., New York, NY 10281-1008

State or country in which incorporated — New York

Security(ies) — Shares of beneficial interest, \$.001 par value, per share (class A shares)

Issuer of security(ies) — Oppenheimer Baring Japan Fund

Address of issuer — 6803 S. Tuscon Way, Centennial, CO 80112-3924

State or country in which organized — Massachusetts

OppenheimerFunds Distributor, Inc.

Two World Financial Center, 225 Liberty St., 11th Fl., New York, NY 10281-1008

State or country in which incorporated — New York

Security(ies) — Shares of beneficial interest, \$.001 par value, per share (class B shares)

Issuer of security(ies) — Oppenheimer Baring Japan Fund

Address of issuer — 6803 S. Tuscon Way, Centennial, CO 80112-3924

State or country in which organized — Massachusetts

OppenheimerFunds Distributor, Inc.

Two World Financial Center, 225 Liberty St., 11th Fl., New York, NY 10281-1008

State or country in which incorporated — New York

Security(ies) — Shares of beneficial interest, \$.001 par value, per share (class C shares)

Issuer of security(ies) — Oppenheimer Baring Japan Fund

Address of issuer — 6803 S. Tuscon Way, Centennial, CO 80112-3924

State or country in which organized — Massachusetts

OppenheimerFunds Distributor, Inc.

Two World Financial Center, 225 Liberty St., 11th Fl., New York, NY 10281-1008

State or country in which incorporated — New York
Security(ies) — Shares of beneficial interest, \$.001 par value, per share (class N shares)
Issuer of security(ies) — Oppenheimer Baring Japan Fund
Address of issuer — 6803 S. Tuscon Way, Centennial, CO 80112-3924
State or country in which organized — Massachusetts

OppenheimerFunds Distributor, Inc.
 Two World Financial Center, 225 Liberty St., 11th Fl., New York, NY 10281-1008
State or country in which incorporated — New York
Security(ies) — Shares of beneficial interest, \$.001 par value, per share (class Y shares)
Issuer of security(ies) — Oppenheimer Baring Japan Fund
Address of issuer — 6803 S. Tuscon Way, Centennial, CO 80112-3924
State or country in which organized — Massachusetts

Paradigm Spine, LLC
 505 Park Ave., 14th Fl., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Class D preferred units
Issuer of security(ies) — Paradigm Spine, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Paulson Investment Company, Inc.
 811 SW. Naito Pkwy., Portland, OR 97204
State or country in which incorporated — Oregon
Security(ies) — Units consisting of one share of common stock, one class A warrant and one class B warrant
Issuer of security(ies) — Vaughan Foods, Inc.
Address of issuer — 216 NE. 12th St., Moore, OK 73160
State or country in which organized — Oklahoma

Pay Lynxs, Inc.
 124 W. 93rd St., Suite 6E, New York, NY 10025
State or country in which incorporated — Delaware
Security(ies) — Common stock issued pursuant to issuer's stock option and restricted stock purchase plan
Issuer of security(ies) — Pay Lynxs, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

P Fund L.P. d/b/a Pinnacle Fund
 570 Lexington Ave., 25th Fl., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interests
Issuer of security(ies) — P Fund L.P. d/b/a Pinnacle Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Pinnacle Natural Resources, L.P.
 570 Lexington Ave., 25th Fl., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interests
Issuer of security(ies) — Pinnacle Natural Resources, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Pinnacle Opportunity, L.P.
 570 Lexington Ave., 25th Fl., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interests

Issuer of security(ies) — Pinnacle Opportunity, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Piper Jaffray & Co.; (2) Wachovia Capital Markets, LLC; (3) Jefferies & Company, Inc.; (4) Thomas Weisel Partners LLC
 800 Nicollet Mall, Minneapolis, MN 55402; (2) 301 S. College St., Charlotte, NC 28288; (3) 520 Madison Ave., New York, NY 10022; (4) One Montgomery St., San Francisco, CA 94104
State or country in which incorporated — (1-4) Delaware
Security(ies) — Common stock
Issuer of security(ies) — Vital Images, Inc.
Address of issuer — 5850 Opus Pkwy., Suite 300, Minnetonka, MN 55343
State or country in which organized — Minnesota

PM Manager Fund, SPC.—Segregated Portfolio 5
 % Walkers SPV Limited, P.O. Box 908GT, George Town, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands
Security(ies) — Shares
Issuer of security(ies) — PM Manager Fund, SPC.—Segregated Portfolio 5
Address of issuer — Same as above
State or country in which organized — Cayman Islands

PM Manager Fund, SPC.—Segregated Portfolio 6
 % Walkers SPV Limited, P.O. Box 908GT, George Town, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands
Security(ies) — Shares
Issuer of security(ies) — PM Manager Fund, SPC.—Segregated Portfolio 6
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Pomegranate Capital, LLC
 % Pomegranate Capital Management, LLC, 145 E. 57th St., 11th Fl., New York, NY 10022
Security(ies) — Limited liability company membership interests
Issuer of security(ies) — Pomegranate Capital, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Primatech Corporation
 130 W. 3rd St., 5th Fl., New York, NY 10012
State or country in which incorporated — Delaware
Security(ies) — Common stock, par value \$.00001
Issuer of security(ies) — Primatech Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Punk, Ziegel & Company
 520 Madison Ave., 4th Fl., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Aradigm Corporation
Address of issuer — 3929 Point Eden Way, Hayward, CA 94545
State or country in which organized — California

Red Fort India Real Estate Fund I, L.P.
 1163 E. Ogden Ave., Suite 705-227, Naperville, IL 60563-8535
Security(ies) — Limited partnership interests

Issuer of security(ies) — Red Fort India Real Estate Fund I, L.P.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

RMG Holdco, Inc.
 % RiskMetrics Group, Inc., One Chase Manhattan Plaza, 44th Fl., New York, NY 10005
State or country in which incorporated — Delaware
Security(ies) — Common shares
Issuer of security(ies) — RMG Holdco, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

RMG Holdco, Inc.
 % RiskMetrics Group, Inc., One Chase Manhattan Plaza, 44th Fl., New York, NY 10005
State or country in which incorporated — Delaware
Security(ies) — Options to purchase common shares
Issuer of security(ies) — RMG Holdco, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Saybrook Employee Group, LLC
 401 Wilshire Blvd., Suite 850, Santa Monica, CA 90401
State or country in which incorporated — California limited liability company
Security(ies) — Limited liability company membership interests
Issuer of security(ies) — Saybrook Employee Group, LLC
Address of issuer — Same as above
State or country in which organized — California

SCSF Management, L.P.
 2626 Cole Ave., Suite 200, Dallas, TX 75204
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interests
Issuer of security(ies) — Subprime Credit Strategies Fund II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Searock Capital Offshore Ltd.
 % Morgan Stanley Fund Services (Cayman) Ltd., P.O. Box 2681 GT, Century Yard, 4th Fl., Cricket Sq., Hutchins Dr., George Town, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares, par value \$0.01 (U.S.) per share
Issuer of security(ies) — Searock Capital Offshore Ltd.
Address of issuer — % Ogier Fiduciary Services Cayman Limited, Queens Gate House, 113 S. Church St., P.O. Box 1234, Grand Cayman, Cayman Islands, British West Indies
State or country in which organized — Cayman Islands

SEI Investments Distribution Co.
 One Freedom Valley Rd., Oaks, PA 19456
State or country in which incorporated — Pennsylvania
Security(ies) — Units of beneficial interest
Issuer of security(ies) — HighMark Funds — HighMark Diversified Equity Allocation Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Sterling Mining Company
 2201 Government Way, Suite E, Coeur d'Alene, ID 83814
State or country in which incorporated — Idaho

Security(ies) — Common stock and warrants
Issuer of security(ies) — Sterling Mining Company
Address of issuer — Same as above
State or country in which organized — Idaho

Synova Healthcare Group, Inc.
 Rose Tree Corporate Center, 1400 N. Providence Rd., Bldg. 2, Suite 6010, Media, PA 19063
State or country in which incorporated — Nevada
Security(ies) — Units consisting of common stock and warrants to purchase common stock
Issuer of security(ies) — Synova Healthcare Group, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Synova Healthcare Group, Inc.
 Rose Tree Corporate Center, 1400 N. Providence Rd., Bldg. 2, Suite 6010, Media, PA 19063
State or country in which incorporated — Nevada
Security(ies) — Units consisting of convertible notes and warrants to purchase common stock
Issuer of security(ies) — Synova Healthcare Group, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Tunnelbrook Deep Blue Fund LP
 1390 S. Dixie Hwy., Suite 1304, Coral Gables, FL 33146
Security(ies) — Limited partnership interests
Issuer of security(ies) — Tunnelbrook Deep Blue Fund LP
Address of issuer — Same as above
State or country in which organized — Delaware

UBS Financial Services, Inc.
 1285 Avenue of the Americas, New York, NY 10019
State or country in which incorporated — Delaware
Security(ies) — Units of fractional undivided interest in trust
Issuer of security(ies) — UBS Pathfinders Trust — Treasury & Growth Stock Series 31
Address of issuer — Same as above
State or country in which organized — Delaware

Unified Financial Securities, Inc.
 431 N. Pennsylvania St., Indianapolis, IN 46204
State or country in which incorporated — Indiana
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Sparrow Funds, Sparrow Growth Fund
Address of issuer — Same as above
State or country in which organized — Ohio

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Developing Technologies Portfolio 2007-1
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — The Dow Jones Select Microcap Enhanced Index Strategy Portfolio 2007-1

Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Insured Municipals Income Trust, Series 518
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Investment Grade Municipal Trust, Series 65
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Small Cap Strategy Portfolio 2007-1
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Van Kampen Unit Trust, Municipal Series 624
 (New York Insured Municipals Income Trust, Series 192)
Address of issuer — Same as above
State or country in which organized — New York

vFinance Investments, Inc.
 880 Third Ave., 12th Fl., New York, NY 10022
State or country in which incorporated — Florida
Security(ies) — 8% series B convertible preferred stock
Issuer of security(ies) — Harvey Electronics, Inc.
Address of issuer — 205 Chubb Ave., Lyndhurst, NJ 07071
State or country in which organized — New York

vFinance Investments, Inc.
 880 Third Ave., 12th Fl., New York, NY 10022
State or country in which incorporated — Florida
Security(ies) — Series A warrants to purchase common stock
Issuer of security(ies) — Harvey Electronics, Inc.
Address of issuer — 205 Chubb Ave., Lyndhurst, NJ 07071
State or country in which organized — New York

Viscogliosi & Company, Inc.
 505 Park Ave., 14th Fl., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Class D preferred units
Issuer of security(ies) — Paradigm Spine, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Walton Street Real Estate Sidecar V, L.P.
 900 N. Michigan Ave., Suite 1900, Chicago, IL 60611
Security(ies) — Limited partnership interests

Issuer of security(ies) — Walton Street Real Estate Sidecar V, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Western Copper Corporation
 1111 W. Georgia St., Suite 2050, Vancouver, B.C., Canada V6E 4M3
State or country in which incorporated — Canada
Security(ies) — Common shares
Issuer of security(ies) — Western Copper Corporation
Address of issuer — Same as above
State or country in which organized — Canada

White Pacific Securities, Inc.
 231 Sansome St., 4th Fl., San Francisco, CA 94104
State or country in which incorporated — Nevada
Security(ies) — Tenant in common interests
Issuer of security(ies) — Castle Way Apartments, LLC
Address of issuer — % Woodlark Capital, LLC, 170 Hamilton Ave.,
 White Plains, NY 10601
State or country in which organized — Delaware

White Pacific Securities, Inc.
 231 Sansome St., 4th Fl., San Francisco, CA 94104
State or country in which incorporated — Nevada
Security(ies) — Tenant in common interests
Issuer of security(ies) — Las Ventanas Apartments, LLC
Address of issuer — % Woodlark Capital, LLC, 170 Hamilton Ave.,
 White Plains, NY 10601
State or country in which organized — Delaware

Wicked California LP
 Wicked Tour Managing Partner LLLP, % Universal Pictures, 100
 Universal City Plaza, Universal City, CA 91608
Security(ies) — Limited partnership interests
Issuer of security(ies) — Wicked California LP
Address of issuer — Same as above
State or country in which organized — Delaware

Winder Power Systems, Inc.
 Five Eagle Trace, P.O. Box 867, Wolfeboro Falls, NH 03896
State or country in which incorporated — Delaware
Security(ies) — Class A preferred shares
Issuer of security(ies) — Winder Power Systems, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

