

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

1129 20th Street Member LLC
230 Park Ave., Suite 1831, New York, NY 10169
State or country in which incorporated — Delaware

AARP Funds
601 E St. NW, Washington, DC 20004
State or country in which incorporated — Delaware

Alphatec Holdings, Inc.
c/o Alphatec Spine, Inc., 2051 Palomar Airport Rd., Suite 100, Carlsbad,
CA 92011
State or country in which incorporated — Delaware

Amalgamated Pictures Corp.
2132 Mears Pkwy., Margate, FL 33063
State or country in which incorporated — Florida

AMBI LLC
55 Washington Ave., Suite 814, Brooklyn, NY 11201
State or country in which incorporated — Delaware

Ariston Pharmaceuticals, Inc.
205 Newbury St., Suite 407, Framingham, MA 01701
State or country in which incorporated — Delaware

Ash Access Technology, Inc.
3601 Sagamore Pkwy. N, Suite B, Lafayette, IN 47904
State or country in which incorporated — Indiana

B.C. Ziegler and Company
250 E. Wisconsin Ave., Milwaukee, WI 53202
State or country in which incorporated — Wisconsin

BioVentures Investors III Limited Partnership
245 First St., 14th Fl., Cambridge, MA 02142
Partnership — BioVentures Investors III Management Limited
Partnership

Broadband National, Inc.
2770 Indian River Blvd., Suite 501, Vero Beach, FL 32960

Catapult Technology Fund 2005, LLC
400 E. 90th St., #21A, New York, NY 10128
Partnership — Sebastian Oghlidis

Claren Road Credit Fund, Ltd.
Ugland House, S. Church St., P.O. Box 309 GT, George Town, Grand
Cayman, Cayman Islands
Partnership — Claren Road Asset Management, LLC

Claren Road Credit Partners, LP
c/o Claren Road Capital, LLC, 900 Third Ave., Suite 1401, New York,
NY 10022
Partnership — Claren Road Capital, LLC, general partner

Diversified Strategy Hedge Fund, LLC
480 Pierce St., Birmingham, MI 48009

Diversified Strategy Hedge Fund, Ltd.
c/o Ogier Fiduciary Services (Cayman) Limited, Queensgate House,
113 S. Church St., P.O. Box 1234GT, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands

Dividend Plus Capital Partners, L.P.
30 Federal St., 6th Fl., Boston, MA 02110-2508
Partnership — Dividend Plus Capital Management, LLC, V. Kent
Green

Draper Fisher Jurvetson Growth Fund 2005, L.P.
2882 Sand Hill Rd., Suite 150, Melo Park, CA 94025
Partnership — Draper Fisher Jurvetson Growth Fund 2005 Partners,
L.P., DFJ Growth Fund 2005, Ltd., general partners

DynTek, Inc.
19700 Fairchild Rd., Suite 230, Irvine, CA 92612
State or country in which incorporated — Delaware

EIC Corporation Ltd.
Continental Bldg., 25 Church St., P.O. Box HM 3033, Hamilton HM
NX Bermuda
State or country in which incorporated — Bermuda

Ethanol Grain Processors, LLC
P.O. Box 95, Obion, TN 38240
FirstEnergy Capital Corp.

1100, 311-6th Ave. SW, Calgary, Alberta, Canada T2P 3H2
State or country in which incorporated — Canada

Forbes Trinchera Ranch LLC
60 Fifth Ave., New York, NY 10011

GK Debt Opportunity International, Ltd.
c/o BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate
Centre, 27 Hospital Rd., P.O. Box 1748GT, George Town, Grand
Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands

GK Debt Opportunity Partners, LP
910 Sylvan Ave., Englewood Cliffs, NJ 07632
Partnership — GK Debt Opportunity Partners, LP

GlobeFlex International Partners (QP), L.P.
4365 Executive Dr., Suite 720, San Diego, CA 92121
Partnership — GlobeFlex Capital, L.P.

Grosvenor Street Global Special Situations L.P.
Ugland House, S. Church St., P.O. Box 309GT, George Town, Grand
Cayman, Cayman Islands
Partnership — Grosvenor Street Investment Services, general partner

Health Net, Inc.
21650 Oxnard St., Woodland Hills, CA 91367
State or country in which incorporated — Delaware

Healthwatchsystems, Inc.
8280 Fontana Lane, Sarasota, FL 34238
State or country in which incorporated — Florida

Herrema-kimmel, LLC
17410 Lamplight Dr., Monument, CO 80132
Partnership — Kenton Kimmel, Markus D. Herrema

HITS Holding Corporation
319 Main St., Saugerties, NY 12477
State or country in which incorporated — Delaware

HSD Holdings, Inc.
c/o Genstar Capital LLC, Four Embarcadero Center, Suite 1900, San
Francisco, CA 94111
State or country in which incorporated — Delaware

IGS Revitalized Housing Income Partners, LLLP
2400 E. Commercial Blvd., Suite 719, Fort Lauderdale, FL 33308
Partnership — IGS Capital Management Corp.

Iguazu Partners, L.P.
75 State St., Boston, MA 02109
Partnership — Wellington Hedge Management, LLC

InkStop, Inc.
760 Beta Dr., Suite F, Cleveland, OH 44143
State or country in which incorporated — Ohio

ION Capital Inc.
485 Metro Place S, Suite 300, Dublin, OH 43017
State or country in which incorporated — Ohio

iPort Acquisition, LLC
1333 N. Kingsbury, #206, Chicago, IL 60622

Jade Special Strategy LLC
400 Park Ave., New York, NY 10022
State or country in which incorporated — Delaware

J.L. Halsey Corporation
103 Foulk Rd., Suite 205Q, Wilmington, DE 19803
State or country in which incorporated — Delaware

JMP Securities LLC
600 Montgomery St., San Francisco, CA 94111
State or country in which incorporated — Delaware limited liability
company

Lattanzio Chen Offshore Ltd.
c/o Fulcrum Limited, Suite 193, 49 Par-la-Ville Rd., Hamilton HM11,
Bermuda

Leisure Fund, L.P., The
30 Federal St., 6th Fl., Boston, MA 02110-2508
Partnership — The Leisure Fund Capital Management, LLC, V. Kent
Green

Magna Resources BD #23 - Karber #11 Joint Venture
Campbell Centre II, 8150 N. Central Expwy., Suite 1700, Dallas, TX
75206

Managed Account Services, LLC
565 E. Swedesford Rd., Suite 310, Wayne, PA 19087
State or country in which incorporated — Delaware limited liability
company

Mondrian Emerging Markets Equity Fund, L.P.
1105 N., Market St., Suite 1118, Wilmington, DE 19801
Partnership — Mondrian Investment Group (US), Inc.

Neuralstem, Inc.
9700 Great Seneca Hwy., Rockville, MD 20850
State or country in which incorporated — Delaware

North America Resources Group, Inc.
219 Reynolds Rd., Glasgow, KY 42121
State or country in which incorporated — Kentucky

Palo Alto Small Cap Fund, L.P.
470 University Ave., Palo Alto, CA 94301
Partnership — Palo Alto Investors, LLC

Partner Healthcare Principals Fund, L.P.
One Market Plaza, Steuart Tower, 22nd Fl., San Francisco, CA 94105
Partnership — Partner Asset Management, LLC, general partner
State or country in which incorporated —

PEM Thistle Landing S, LLC
10303 NW. Freeway, Suite 300, Houston, TX 77092

Periculum Advisors, LLC
111 Monument Circle, Suite 1022, Indianapolis, IN 46204-5176
State or country in which incorporated — Indiana

PGE Partner Fund II, L.P.
One Bush St., Suite 1700, San Francisco, CA 94104
Partnership — Pacific Growth Equity Management LLC

Pierce Diversified Strategy Holding Fund, LLC
480 Pierce St., Birmingham, MI 48009

Pinot Noir Drilling Company
475 Northern Blvd., Suite 20, Great Neck, NY 10021
Partnership — George G. Coleman

Pomegranate Capital, LLC
40 E. 88th St., Apt. 9C, New York, NY 10128

Pomegranate Capital Offshore, Ltd.
c/o Citco Fund Services (Cayman Islands) Limited, Windward One, 2nd Fl., Regatta Office Park, W. Bay Rd., P.O. Box 31106 SMB, George Town, Grand Cayman, Cayman Islands, B.W.I.
State or country in which incorporated — Cayman Islands

Practical Instruments, Inc.
131 N. San Gabriel Blvd., Suite 103, Pasadena, CA 91107
State or country in which incorporated — California

Qvest III (Cayman), Ltd.
P.O. Box 30592 S.M.B., Cayside, 2nd Fl., Harbour Dr., Grand Cayman, Cayman Islands, BWI

Raritan Global Equity Income Fund, L.P.
c/o Harding, Loevner Management, L.P., 50 Division St., Suite 401, Somerville, NJ 08876
Partnership — GEI Fund Management, L.L.C.

Reindeer Trek, L.P.
2257 Larkspur Landing Circle, Suite C, Larkspur, CA 94939
Partnership — Trek Capital Management, LLC

River Light V, L.P.
598 Madison Ave., 11th Fl., New York, NY 10022
Partnership — CRB, LLC

Rockyview Energy, Inc.
2250, 801-6th Ave. SW, Calgary, Alberta, Canada T2P 3W2
State or country in which incorporated — Canada

Sanders Morris Harris, Inc.
320 Park Ave., New York, NY 10022
State or country in which incorporated — Texas

Scion Value Fund
20400 Stevens Creek Blvd., Suite 840, Cupertino, CA 95014

Scruggs #1-H Joint Venture
3030 LBJ Fwy., #1320, Dallas, TX 75234

SCP Omni Fund, Ltd.
c/o Morgan Stanley Fund Services (Bermuda) Ltd., Clarendon House, Two Church St., Hamilton, HM DX Bermuda
State or country in which incorporated — Cayman Islands

SCP Omni Fund, LP
600 Steamboat Rd., Greenwich, CT 06830
Partnership — Shumway Capital Management, LLC

Selectinvest Private Equity Access Fund, Ltd.
c/o Citco Fund Services (Cayman) Limited, Regatta Office Park, W. Bay Rd., P.O. Box 31006 SMB, George Town, Grand Cayman, Cayman

Islands, British West Indies
State or country in which incorporated — Cayman Islands

Shark Asset Management, L.L.C.
5012 Village Green Pkwy., #5, Bradenton, FL 34209
State or country in which incorporated — Florida limited liability company

ShopBistro (USA) Inc.
500 N. Broadway, Suite 255, Jericho, NY 11753
State or country in which incorporated — Delaware

SilverDeer Carolinas Caribbean I LLC
5922-A Six Forks Rd., Raleigh, NC 27609
Partnership — SilverDeer Management, LLC

Silverhawk WS I, L.P.
660 Steamboat Rd., Greenwich, CT 06830
Partnership — Silverhawk Capital Partners GP, LLC

Striker Petroleum, LLC Rework Program 2005
Heritage Square II, 5001 LBJ Fwy., Suite 875, Dallas, TX 75244
Partnership — Striker Petroleum, LLC

SNRE III, LLC
20 N. Raymond Ave., Suite 250, Pasadena, CA 91103
State or country in which incorporated — California limited liability company

Targeted Technologies, LLC
273 Azalea Rd., Bldg. One, Suite 515, Mobile, AL 36609
State or country in which incorporated — Alabama limited liability company

TechCycle Fund, L.P.
23 Old Kings Hwy. S, Darien, CT 06820
Partnership — Rock Crest Capital, L.L.C.

Terra Nova LLC
Nine W. Hillover Rd., Hampton Bays, NY 11946

Tory Burch LLC
598 Madison Ave., 11th Fl., New York, NY 10022
Partnership — J. Christopher Burch and Tory Burch

Tower Bancorp, Inc.
40 Center Sq., Greencastle, PA 17225
State or country in which incorporated — Pennsylvania

Trigran Investments, L.P.
3201 Old Glenview Rd., #235, Wilmette, IL 60091
Partnership — Trigran Investments, Inc.

Trigran Investments, L.P. II
3201 Old Glenview Rd., #235, Wilmette, IL 60091
Partnership — Trigran Investments, Inc.

TriStar Oil & Gas Ltd.
205 5th Ave. SW, Suite 3900, Calgary, Alberta, Canada T2P 2V7
State or country in which incorporated — Canada

Underground Solutions, Inc.
229 Howes Run Rd., Sarver, PA 16055
State or country in which incorporated — Delaware

U.S. Wireless Data, Inc.
2121 Avenue of the Stars, Suite 1650, Los Angeles, CA 90067
State or country in which incorporated — Delaware

Vista Point Value Fund, LP
342 E. 67th St., Apt. 4B, New York, NY 10021
Partnership — Vista Point Advisors, LLC

Weiss Fund, L.P., The
Two Rector St., 16th Fl., New York, NY 10006
Partnership — Weiss Advisors, LLC

Western Forest Products Inc.
435 Trunk Rd., 3rd Fl., Duncan, British Columbia, V9L 2P9

Williams Jones & Associates Hedge Fund II Traunche 2
c/o Williams, Jones and Associates, 717 Fifth Ave., Suite 170, New York, NY 10022

Williams Jones & Associates Hedge Fund II Traunche 4
c/o Williams, Jones and Associates, 717 Fifth Ave., Suite 170, New York, NY 10022

Windmill Multi Strategy Fund, LP
250 E. 54th St., PH1, New York, NY 10022
Partnership — Further Lane Asset Management, LLC

Wolff Creek Fund, L.P.
1660 17th St., Suite 350, Denver, CO 80202
State or country in which incorporated — Delaware

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY DEALERS; BROKERS; SYNDICATE MANAGERS

1129 20th Street Member LLC
230 Park Ave., Suite 1831, New York, NY 10169
State or country in which incorporated — Delaware
Security(ies) — Membership interests
Issuer of security(ies) — 1129 20th Street Member LLC
Address of issuer — Same as above
State or country in which organized — Delaware

AARP Funds — AARP Aggressive Fund
601 E. St. NW, Washington, DC 20004
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — AARP Funds — AARP Aggressive Fund

Address of issuer — Same as above
State or country in which organized — Delaware
AARP Funds — AARP Conservative Fund
601 E. St. NW, Washington, DC 20004
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — AARP Funds — AARP Conservative Fund
Address of issuer — Same as above
State or country in which organized — Delaware

AARP Funds — AARP Moderate Fund
601 E. St. NW, Washington, DC 20004
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — AARP Funds — AARP Moderate Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Access Worldwide Communications, Inc.
4950 Communication Ave., Suite 300, Boca Raton, FL 33431
State or country in which incorporated — Delaware
Security(ies) — Convertible notes
Issuer of security(ies) — Access Worldwide Communications, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

AIG SunAmerica Capital Services, Inc.
Harborside Financial Center, 3200 Plaza 5, Jersey City, NJ 07311-4992
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — AIG Series Trust — Long Horizon Fund
Address of issuer — AIG SunAmerica Asset Management Corp., Harborside Financial Center, 3200 Plaza 5, Jersey City, NJ 07311-4992
State or country in which organized — Delaware

AIG SunAmerica Capital Services, Inc.
Harborside Financial Center, 3200 Plaza 5, Jersey City, NJ 07311-4992
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — AIG Series Trust — Short Horizon Income Fund
Address of issuer — AIG SunAmerica Asset Management Corp., Harborside Financial Center, 3200 Plaza 5, Jersey City, NJ 07311-4992
State or country in which organized — Delaware

Alphatec Holdings, Inc.
% Alphatec Spine, Inc., 2051 Palomar Airport Rd., Suite 100, Carlsbad, CA 92011
State or country in which incorporated — Delaware
Security(ies) — Series C common stock
Issuer of security(ies) — Alphatec Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Amalgamated Pictures Corp.
2132 Mears Pky., Margate, FL 33063
State or country in which incorporated — Florida
Security(ies) — Units consisting of one share of common stock and one class A warrant
Issuer of security(ies) — Amalgamated Pictures Corp.
Address of issuer — Same as above
State or country in which organized — Florida

AMBI LLC

55 Washington Ave., Suite 814, Brooklyn, NY 11201
State or country in which incorporated — Delaware
Security(ies) — Convertible notes and warrants, issued together as units
Issuer of security(ies) — AMBI LLC
Address of issuer — Same as above

AMBI LLC

55 Washington Ave., Suite 814, Brooklyn, NY 11201
State or country in which incorporated — Delaware
Security(ies) — Warrants and convertible note, issued together as units
Issuer of security(ies) — AMBI LLC
Address of issuer — Same as above

American Capital Partners, LLC

490 Wheeler Rd., Suite 106, Hauppauge, NY 11788
State or country in which incorporated — New York limited liability company
Security(ies) — Common stock
Issuer of security(ies) — ShopBistro (USA) Inc.
Address of issuer — 500 N. Broadway, Suite 255, Jericho, NY 11753
State or country in which organized — Delaware

American Capital Partners, LLC

490 Wheeler Rd., Suite 106, Hauppauge, NY 11788
State or country in which incorporated — New York limited liability company
Security(ies) — Series A convertible preferred stock
Issuer of security(ies) — ShopBistro (USA) Inc.
Address of issuer — 500 N. Broadway, Suite 255, Jericho, NY 11753
State or country in which organized — Delaware

Antenna Software, Inc.

111 Pavonia ave., Jersey City, NJ 07310
State or country in which incorporated — Delaware
Security(ies) — Series C-1 convertible preferred stock
Issuer of security(ies) — Antenna Software, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Ariston Pharmaceuticals, Inc.

205 Newbury St., Suite 407, Framingham, MA 01701
State or country in which incorporated — Delaware
Security(ies) — Senior convertible promissory notes with warrants
Issuer of security(ies) — Ariston Pharmaceuticals, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Ash Access Technology, Inc.

3601 Sagamore Pky. N, Suite B, Lafayette, IN 47904
State or country in which incorporated — Indiana
Security(ies) — Series B convertible preferred stock with convertible promissory notes
Issuer of security(ies) — Ash Access Technology, Inc.
Address of issuer — Same as above
State or country in which organized — Indiana

Ash Access Technology, Inc.

3601 Sagamore Pky. N, Suite B, Lafayette, IN 47904
State or country in which incorporated — Indiana
Security(ies) — Warrants for common stock

Issuer of security(ies) — Ash Access Technology, Inc.

Address of issuer — Same as above

State or country in which organized — Indiana

Bank of America LLC

NC1-027-21-02, 214 N. Tryon St., 21st Fl., Hearst Tower, Charlotte, NC 28255
Security(ies) — Option one mortgage loan trust 2005-5, asset backed certificates, series 2005-5, one unit consisting of the following classes: class M-1, class M-2, class M-3, class M-4, class M-5, class M-6, class M-7, class M-8, class M-9, class M-10 and class M-11
Issuer of security(ies) — Option One Mortgage Acceptance Corporation
Address of issuer — Three Ada, Irvine, CA 92618
State or country in which organized — Delaware

B.C. Ziegler and Company

250 E. Wisconsin Ave., Milwaukee, WI 53202
State or country in which incorporated — Wisconsin
Security(ies) — Units of limited liability company interest
Issuer of security(ies) — Ziegler Equity Funding IV, LLC
Address of issuer — Same as above
State or country in which organized — Wisconsin

BioVentures Investors III Limited Partnership

245 First St., 14th Fl., Cambridge, MA 02142
Security(ies) — Limited partnership interests
Issuer of security(ies) — BioVentures Investors III Limited Partnership
Address of issuer — Same as above
State or country in which organized — Delaware

Broadband National, Inc.

2770 Indian River Blvd., Suite 501, Vero Beach, FL 32960
State or country in which incorporated — Delaware
Security(ies) — Series C convertible preferred stock
Issuer of security(ies) — Broadband National, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Catapult Technology Fund 2005, LLC

400 E. 90th St., #21A, New York, NY 10128
State or country in which incorporated — Delaware
Security(ies) — Membership interests
Issuer of security(ies) — Catapult Technology Fund 2005, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Cayenne Medical, Inc.

8541 E. Anderson, Suite 100, Scottsdale, AZ 85255
State or country in which incorporated — Delaware
Security(ies) — Series A preferred stock
Issuer of security(ies) — Cayenne Medical, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

CIBC World Markets Corp.; (2) Piper Jaffray & Co.; (3) JMP Securities LLC

300 Madison Ave., New York, NY 10017; (2) 800 Nicollet Mall, Minneapolis, MN 55402; (3) 600 Montgomery St., San Francisco, CA 94111
State or country in which incorporated — (1-2) Delaware; (3) Delaware limited liability company

Security(ies) — Common stock
Issuer of security(ies) — SGX Pharmaceuticals, Inc.
Address of issuer — 10505 Roselle St., San Diego, CA 92121
State or country in which organized — Delaware

Citigroup Mortgage Loan Trust Inc.
 390 Greenwich St., New York, NY 10013
State or country in which incorporated — Delaware
Security(ies) — Mortgage pass-through certificates, series 2005-9, units consisting of the class I-B3 and class II-B3
Issuer of security(ies) — Citigroup Mortgage Loan Trust Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Citigroup Mortgage Loan Trust Inc.
 390 Greenwich St., New York, NY 10013
State or country in which incorporated — Delaware
Security(ies) — Mortgage pass-through certificates, series 2005-10 — class I-B3
Issuer of security(ies) — Citigroup Mortgage Loan Trust Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Citigroup Mortgage Loan Trust Inc.
 390 Greenwich St., New York, NY 10013
State or country in which incorporated — Delaware
Security(ies) — Series 2005-CB8, C-BASS mortgage loan asset-backed certificates
Issuer of security(ies) — Citigroup Mortgage Loan Trust Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Claren Road Credit Fund, Ltd.
 P.O. Box 309 GT, Uglund House, S. Church St., George Town, Grand Cayman, Cayman Islands
Security(ies) — Common stock, par value \$0.01 (U.S.) per share
Issuer of security(ies) — Claren Road Credit Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Claren Road Credit Partners, LP
 c/o Claren Road Capital, LLC, 900 Third Ave., Suite 1401, New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Claren Road Credit Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Claymore Securities, Inc.
 2455 Corporate W. Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Closed-End Covered Call and Income Portfolio, Series 2
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
 2455 Corporate W. Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest

Issuer of security(ies) — Diversified Dividend and Income Portfolio, Series 2
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
 2455 Corporate W. Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Dreman Contrarian Value Trust, Series 3
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
 2455 Corporate W. Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Equity Dividend Income Portfolio (2-year), Series 14
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
 2455 Corporate W. Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Equity Dividend Income Portfolio (5-year), Series 15
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
 2455 Corporate W. Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Mendon Capital Bank and Thrift M&A Portfolio, Series 2
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
 2455 Corporate W. Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Multiple Asset Portfolio Plus, Series 5
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
 2455 Corporate W. Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Multiple Asset Portfolio Plus, (2-year), Series 6
Address of issuer — Same as above
State or country in which organized — Kansas

Core Plus Real Estate Fund - Q, L.P.
 % Townsend Group, Inc., 500 E. Eighth Ave., Suite 300, Denver, CO 80203
Security(ies) — Limited partnership interests

Issuer of security(ies) — Core Plus Real Estate Fund - Q, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Credit Suisse First Boston LLC

11 Madison Ave., New York, NY 10010

State or country in which incorporated — Delaware

Security(ies) — Asset-backed pass-through certificates, series 2005-R11

Issuer of security(ies) — Ameriquest Mortgage Securities Inc.

Address of issuer — 1100 Town & Country Rd., Suite 1100, Orange, CA 92868-4653

State or country in which organized — Delaware

D.A. Davidson & Co.

Eight Third St. N, Great Falls, MT 59401

State or country in which incorporated — Montana

Security(ies) — Shares of common stock

Issuer of security(ies) — Sterling Construction Company, Inc.

Address of issuer — 20810 Fernbush Lane, Houston, TX 77073

State or country in which organized — Delaware

Data Return LLC

222 W. Las Colinas Blvd., Suite 350E, Irving, TX 75039

State or country in which incorporated — Delaware

Security(ies) — Class A common units

Issuer of security(ies) — Data Return LLC

Address of issuer — Same as above

State or country in which organized — Delaware

Data Return LLC

222 W. Las Colinas Blvd., Suite 350E, Irving, TX 75039

State or country in which incorporated — Delaware

Security(ies) — Option to purchase class A common units

Issuer of security(ies) — Data Return LLC

Address of issuer — Same as above

State or country in which organized — Delaware

Deutsche Bank Securities Inc.

101 California St., 48th Fl., San Francisco, CA 94111

State or country in which incorporated — Delaware

Security(ies) — Common stock

Issuer of security(ies) — Thermogenesis Corp.

Address of issuer — 2711 Citrus Rd., Rancho Cordova, CA 95742

State or country in which organized — Delaware

Deutsche Bank Securities Inc.

60 Wall St., New York, NY 10005

State or country in which incorporated — Delaware

Security(ies) — Home equity mortgage loan asset-backed trust, series INABS 2005-D, class M-8

Issuer of security(ies) — IndyMac ABS Inc.

Address of issuer — 155 N. Lake Ave., Pasadena, CA 91101

State or country in which organized — Delaware

Deutsche Bank Securities Inc.

60 Wall St., New York, NY 10005

State or country in which incorporated — Delaware

Security(ies) — Home equity mortgage loan asset-backed trust, series INABS 2005-D, class M-9

Issuer of security(ies) — IndyMac ABS Inc.

Address of issuer — 155 N. Lake Ave., Pasadena, CA 91101

State or country in which organized — Delaware

Diversified Strategy Hedge Fund, LLC

480 Pierce St., Birmingham, MI 48009

Security(ies) — Limited liability company interests

Issuer of security(ies) — Diversified Strategy Hedge Fund, LLC

Address of issuer — Same as above

State or country in which organized — Delaware

Diversified Strategy Hedge Fund, Ltd

% Ogier Fiduciary Services (Cayman) Limited, Queensgate House, 113

S. Church St., P.O. Box 1234 GT, Grand Cayman, Cayman Islands

State or country in which incorporated — Cayman Islands

Security(ies) — Common shares, par value \$.01 (U.S.) per share

Issuer of security(ies) — Diversified Strategy Hedge Fund, Ltd.

Address of issuer — Same as above

State or country in which organized — Cayman Islands

Dividend Plus Capital Partners, L.P.

30 Federal St., 6th Fl., Boston, MA 02110-2508

Security(ies) — Limited partnership interest

Issuer of security(ies) — Dividend Plus Capital Partners, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Draper Fisher Jurvetson Growth Fund 2005, L.P.

2882 Sand Hill Rd., Suite 150, Menlo Park, CA 94025

Security(ies) — Limited partnership interests

Issuer of security(ies) — Draper Fisher Jurvetson Growth Fund 2005, L.P.

Address of issuer — Same as above

State or country in which organized — Cayman Islands

DynTek, Inc.

19700 Fairchild Rd., Suite 230, Irvine, CA 92612

State or country in which incorporated — Delaware

Security(ies) — Rights to purchase common stock

Issuer of security(ies) — DynTek, Inc.

Address of issuer — 19700 Fairchild Rd., Suite 230, Irvine, CA 92612

State or country in which organized — Delaware

Double Play Partners Limited Partnership

% Eldaro Investors, LLC, 1391 Main St., Springfield, MA 01103

Security(ies) — Limited partnership interests

Issuer of security(ies) — Double Play Partners Limited Partnership

Address of issuer — Same as above

State or country in which organized — Massachusetts

EIC Corporation Ltd.

P.O. Box HM 3033, Continental Bldg., 25 Church St., Hamilton, HM NX Bermuda

State or country in which incorporated — Bermuda

Security(ies) — Class A common

Issuer of security(ies) — EIC Corporation Ltd.

Address of issuer — Same as above

State or country in which organized — Bermuda

Ethanol Grain Processors, LLC

P.O. Box 95, Obion, TN 38240

Security(ies) — Capital units

Issuer of security(ies) — Ethanol Grain Processors, LLC

Address of issuer — Same as above

State or country in which organized — Tennessee

Ferris, Baker Watts, Incorporated; (2) Wedbush Morgan Securities, Inc.
100 Light St., 8th Fl., Baltimore, MD 21202; (2) 1000 Wiltshire Blvd.,
Los Angeles, CA 90017

State or country in which incorporated — (1) Delaware; (2) California
Security(ies) — Preferred stock

Issuer of security(ies) — Willis Lease Finance Corporation
Address of issuer — 2320 Marinship Way, Suite 300, Sausalito, CA
94965

State or country in which organized — Delaware

FirstEnergy Capital Corp.

1100, 311-6th Ave. SW, Calgary, Alberta, Canada T2P 3H2

State or country in which incorporated — Canada

Security(ies) — Series A warrants

Issuer of security(ies) — Temple Energy, Inc.

Address of issuer — 1100, 530-8th Ave. SW, Calgary, Alberta, Canada
T2P 358

State or country in which organized — Canada

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1089 (Research Equity Portfolio, Series
2)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1093 (Equity Income Portfolio, Series 9)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1093 (Financials Portfolio, Series 18)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1093 (Healthcare Portfolio, Series 9)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1093 (Precious Metals Portfolio, Series 4)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1093 (Technology Portfolio, Series 22)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1096 (Financials Select Portfolio, Series
17)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1096 (Technology Select Portfolio, Series
21)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1097 (Homeland Security Portfolio, Series
2)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1098 (Merger & Acquisition Portfolio,
Series 3)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1105 (Morgan Keegan 2006 Select IDEAS
Portfolio)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1107 (Municipal Closed-End Portfolio,
Series 17)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1108 (Municipal Advantage Closed-End
Portfolio, Series 8)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1109 (Senior Loan Closed-End Portfolio,
Series 5)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest*Issuer of security(ies)* — FT 1112 (Strategic Dividend Portfolio, Series 2)*Address of issuer* — Same as above*State or country in which organized* — Illinois

Fixed Income Securities, L.P.

18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415

Security(ies) — 10,000 units of undivided interest*Issuer of security(ies)* — Advisor's Disciplined Trust 65 (Short Duration Agency Portfolio, Series 2)*Address of issuer* — Same as above*State or country in which organized* — New York

Fixed Income Securities, L.P.

18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415

Security(ies) — 10,000 units of undivided interest*Issuer of security(ies)* — Advisor's Disciplined Trust 66 (Monument Growth Strategy Portfolio, Series 5)*Address of issuer* — Same as above*State or country in which organized* — New York

Forside Fund Services, LLC

Two Portland Sq., Portland, ME 04101

State or country in which incorporated — Delaware*Security(ies)* — Shares of beneficial interest*Issuer of security(ies)* — Brown Advisory Opportunity Fund*Address of issuer* — Same as above*State or country in which organized* — Delaware

Forbes Trinchera Ranch LLC

60 Fifth Ave., New York, NY 10011

Security(ies) — Limited liability company interests*Issuer of security(ies)* — Forbes Trinchera Ranch LLC*Address of issuer* — Same as above*State or country in which organized* — Colorado

GK Debt Opportunity International, Ltd.

% BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate Centre, 27 Hospital Rd., P.O. Box 1748GT, George Town, Grand Cayman, Cayman Islands

State or country in which incorporated — Cayman Islands*Security(ies)* — Common shares, par value \$1,000 (U.S.) per share*Issuer of security(ies)* — GK Debt Opportunity International, Ltd.*Address of issuer* — Same as above*State or country in which organized* — Cayman Islands

GK Debt Opportunity Partners, LP

910 Sylvan Ave., Englewood Cliffs, NJ 07632

Security(ies) — Limited partnership interests*Issuer of security(ies)* — GK Debt Opportunity Partners, LP*Address of issuer* — Same as above*State or country in which organized* — Delaware

GlobeFlex International Partners (QP), L.P.

4365 Executive Dr., Suite 720, San Deigo, CA 92121

Security(ies) — Limited partnership interests*Issuer of security(ies)* — GlobeFlex International partners (QP), L.P.*Address of issuer* — Same as above*State or country in which organized* — California

Grosvenor Street Global Special Situations L.P.

P.O. Box 309GT, Ugland House, S. Church St., George Town, Grand Cayman, Cayman Islands

Security(ies) — Limited partnership interests*Issuer of security(ies)* — Grosvenor Street Global Special Situations L.P.*Address of issuer* — Same as above*State or country in which organized* — Cayman Islands

Guggenheim Capital Markets, LLC

135 E. 57th St., 8th Fl., New York, NY 10022

Security(ies) — Fund interests*Issuer of security(ies)* — GPAM Core Plus Fixed Income Fund, LLC*Address of issuer* — 100 Wilshire Blvd., 5th Fl., Santa Monica, CA 90401*State or country in which organized* — United States

GWA, LLC

% George Weiss Associates, Inc., One State St., Hartford, CT 06103

Security(ies) — Membership interests in limited liability company*Issuer of security(ies)* — GWA, LLC*Address of issuer* — Same as above*State or country in which organized* — Connecticut

Health Net, Inc.

21650 Oxnard St., Woodland Hills, CA 91367

State or country in which incorporated — Delaware*Security(ies)* — Common stock under the 401(k) savings plan*Issuer of security(ies)* — Health Net, Inc.*Address of issuer* — Same as above*State or country in which organized* — Delaware

Healthwatchsystems, Inc.

8280 Fontana Lane, Sarasota, FL 34238

State or country in which incorporated — Florida*Security(ies)* — Common stock with class D warrants*Issuer of security(ies)* — Healthwatchsystems, Inc.*Address of issuer* — Same as above*State or country in which organized* — Florida

Highwater Global Fund LLC

Three Barnabas Rd., Marion, MA 02738

State or country in which incorporated — Delaware*Security(ies)* — Membership interests*Issuer of security(ies)* — Highwater Global Fund LLC*Address of issuer* — Same as above*State or country in which organized* — Delaware

HITS Holding Corporation

319 Main St., Saugerties, NY 12477

State or country in which incorporated — Delaware*Security(ies)* — Common stock*Issuer of security(ies)* — HITS Holding Corporation*Address of issuer* — Same as above*State or country in which organized* — Delaware

HSD Holdings, Inc.

c/o Genstar Capital LLC, Four Embarcadero Center, Suite 1900, San Francisco, CA 94111

State or country in which incorporated — Delaware*Security(ies)* — Common stock*Issuer of security(ies)* — HSD Holdings, Inc.

Address of issuer — Same as above
State or country in which organized — Delaware

IGS Revitalized Housing Income Partners, LLLP
 2400 E. Commercial Blvd., Suite 719, Fort Lauderdale, FL 33308
Security(ies) — Real estate limited partnership interests
Issuer of security(ies) — IGS Revitalized Housing Income Partners, LLLP
Address of issuer — Same as above
State or country in which organized — Florida

Iguazu Partners, L.P.
 75 State St., Boston, MA 02109
Security(ies) — Limited partnership interests
Issuer of security(ies) — Iguazu Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

InkStop, Inc.
 760 Beta Dr., Suite F, Cleveland, OH 44143
State or country in which incorporated — Ohio
Security(ies) — Series A convertible preferred stock
Issuer of security(ies) — InkStop, Inc.
Address of issuer — Same as above
State or country in which organized — Ohio

ION Capital Inc.
 485 Metro Place S, Suite 300, Dublin, OH 43017
State or country in which incorporated — Ohio
Security(ies) — Common stock
Issuer of security(ies) — ION Capital Inc.
Address of issuer — Same as above
State or country in which organized — Ohio

ION Capital Inc.
 485 Metro Place S, Suite 300, Dublin, OH 43017
State or country in which incorporated — Ohio
Security(ies) — Preferred stock
Issuer of security(ies) — ION Capital Inc.
Address of issuer — Same as above
State or country in which organized — Ohio

iPort Acquisition, LLC
 1333 N. Kingsbury, #206, Chicago, IL 60622
Security(ies) — Limited liability company interests
Issuer of security(ies) — iPort Acquisition, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Jade Special Strategy LLC
 400 Park Ave., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Shares of membership interest
Issuer of security(ies) — Jade Special Strategy LLC
Address of issuer — Same as above
State or country in which organized — Delaware

J.L. Halsey Corporation
 103 Foulk Rd., Suite 205Q, Wilmington, DE 19803
State or country in which incorporated — Delaware
Security(ies) — Common stock, par value \$0.01
Issuer of security(ies) — J.L. Halsey Corporation

Address of issuer — Same as above
State or country in which organized — Delaware

Joseph Stevens & Company
 59 Maiden Lane, 32nd Fl., New York, NY 10038
State or country in which incorporated — New York
Security(ies) — Units consisting of shares of common stock
Issuer of security(ies) — Mind Sports Nutrition Inc.
Address of issuer — 2970 Shafto Rd., Tinton Falls, NJ 07753
State or country in which organized — New Jersey

Keystone Equities Group, L.P., The
 1005 Egypt Rd., Box 1155, Oaks, PA 19456-1155
Security(ies) — Common stock
Issuer of security(ies) — Kildare's Inc.
Address of issuer — 18-22 W. Gay St., West Chester, PA 19380
State or country in which organized — Delaware

Keystone Equities Group, L.P., The
 1005 Egypt Rd., Box 1155, Oaks, PA 19456-1155
Security(ies) — Series A convertible preferred stock
Issuer of security(ies) — Kildare's Inc.
Address of issuer — 18-22 W. Gay St., West Chester, PA 19380
State or country in which organized — Delaware

Lattanzio Chen Offshore Ltd.
 c/o Fulcrum Limited, Suite 193, 49 Par-la-Ville Rd., Hamilton HM11, Bermuda
Security(ies) — Common shares
Issuer of security(ies) — Lattanzio Chen Offshore Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Leisure Fund, L.P., The
 30 Federal St., 6th Fl., Boston, MA 02110-2508
Security(ies) — Limited partnership interest
Issuer of security(ies) — The Leisure Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Massachusetts

Magna Resources BD #23-Karber #11 Joint Venture
 Campbell Centre II, 8150 N. Central Expwy., Suite 1700, Dallas, TX 75206
Security(ies) — Joint venture interests
Issuer of security(ies) — Magna Resources BD #23-Karber #11 Joint Venture
Address of issuer — Same as above
State or country in which organized — Texas

Magnolia Broadband, Inc.
 550 Hills Dr., Bedminster, NJ 07921
State or country in which incorporated — Delaware
Security(ies) — Series D preferred stock
Issuer of security(ies) — Magnolia Broadband, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Marco Hi-Tech JV Ltd.
 One Penn Plaza, Suite 2514, New York, NY 10119
State or country in which incorporated — New York
Security(ies) — Common stock

Issuer of security(ies) — Marco Hi-Tech JV Ltd.
Address of issuer — Same as above
State or country in which organized — New York

MedicalCV, Inc.
 9725 S. Robert Trail, Inver Grove Heights, MN 55077
State or country in which incorporated — Minnesota
Security(ies) — Common stock
Issuer of security(ies) — MedicalCV, Inc.
Address of issuer — Same as above
State or country in which organized — Minnesota

Medrium, Inc.
 703 Market St., Suite 535, San Francisco, CA 94103
State or country in which incorporated — Delaware
Security(ies) — Series A preferred stock
Issuer of security(ies) — Medrium, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Medrium, Inc.
 703 Market St., Suite 535, San Francisco, CA 94103
State or country in which incorporated — Delaware
Security(ies) — Series B preferred stock
Issuer of security(ies) — Medrium, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Midtown Partners & Co., LLC
 7491 Estrella Circle, Boca Raton, FL 33433
Security(ies) — Units consisting of unsecured promissory note & common stock warrant
Issuer of security(ies) — IntraDyn, Inc.
Address of issuer — 2930 Waters Rd., Suite B-190, Eagan, MN 55121
State or country in which organized — Delaware

Mondrian Emerging Markets Equity Fund, L.P.
 1105 N., Market St., Suite 1118, Wilmington, DE 19801
Security(ies) — Limited partnership interests
Issuer of security(ies) — Mondrian Emerging Markets Equity Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Morgan Joseph & Co. Inc.
 600 Fifth Ave., 19th Fl., New York, NY 10020
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — HD Partners Acquisition Corporation
Address of issuer — 2601 Ocean Park Blvd., Suite 320, Santa Monica, CA 90405
State or country in which organized — Delaware

Morgan Joseph & Co. Inc.
 600 Fifth Ave., 19th Fl., New York, NY 10020
State or country in which incorporated — Delaware
Security(ies) — Units, comprised of common stock and warrants
Issuer of security(ies) — HD Partners Acquisition Corporation
Address of issuer — 2601 Ocean Park Blvd., Suite 320, Santa Monica, CA 90405
State or country in which organized — Delaware

Morgan Stanley & Co. Incorporated
 1585 Broadway, New York, NY 10036
Security(ies) — Asset backed pass-through certificates, series 2005-C, class M-8
Issuer of security(ies) — New Century Home Equity Loan Trust 2005-C
Address of issuer — 18400 Von Karman Ave., Suite 1000, Irvine, CA 92612
State or country in which organized — Delaware

Morgan Stanley & Co. Incorporated
 1585 Broadway, New York, NY 10036
Security(ies) — Asset backed pass-through certificates, series 2005-C, class M-9
Issuer of security(ies) — New Century Home Equity Loan Trust 2005-C
Address of issuer — 18400 Von Karman Ave., Suite 1000, Irvine, CA 92612
State or country in which organized — Delaware

Morgan Stanley & Co. Incorporated
 1585 Broadway, New York, NY 10036
Security(ies) — Asset backed pass-through certificates, series 2005-C, class M-10
Issuer of security(ies) — New Century Home Equity Loan Trust 2005-C
Address of issuer — 18400 Von Karman Ave., Suite 1000, Irvine, CA 92612
State or country in which organized — Delaware

Morgan Stanley & Co. Incorporated.; (2) Citigroup Global Markets Inc.; (3) UBS Securities LLC
 1585 Broadway, New York, NY 10036; (2) 388 Greenwich St., New York, NY 10013; (3) 677 Washington Blvd., Stamford, CT 06901
State or country in which incorporated — (1) Delaware; (2) New York; (3) Delaware limited liability company
Security(ies) — Common stock
Issuer of security(ies) — Alpha Natural Resources, Inc.
Address of issuer — One Alpha Place, Abingdon, VA 24212
State or country in which organized — Delaware

North American Resource Group, Inc.
 219 Reynolds Rd. Glasgow, KY 42141
State or country in which incorporated — Kentucky
Security(ies) — General partnership interests
Issuer of security(ies) — Widow Creek Prospect Partners
Address of issuer — Same as above
State or country in which organized — Kentucky

Palo Alto Small Cap Fund, L.P.
 470 University Ave., Palo Alto, CA 94301
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interest
Issuer of security(ies) — Palo Alto Small Cap Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Partner Healthcare Principals Fund, L.P.
 One Market Plaza, Steuart Tower, 22nd Fl., San Francisco, CA 94105
State or country in which incorporated — Delaware
Security(ies) — Limited partner interests
Issuer of security(ies) — Partner Healthcare Principals Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

PEM Thistle Landing S, LLC
 10303 NW. Fwy., Suite 300, Houston, TX 77092
Security(ies) — TIC interests
Issuer of security(ies) — PEM Thistle Landing S, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Periculum Advisors, LLC
 111 Monument Circle, Suite 1022, Indianapolis, IN 46204-5176
State or country in which incorporated — Indiana
Security(ies) — Series B convertible preferred stock with convertible promissory notes
Issuer of security(ies) — Ash Access Technology, Inc.
Address of issuer — 3601 Sagamore Pkwy. N, Suite B, Lafayette, IN 47904
State or country in which organized — Indiana

Periculum Advisors, LLC
 111 Monument Circle, Suite 1022, Indianapolis, IN 46204-5176
State or country in which incorporated — Indiana
Security(ies) — Warrants for common stock
Issuer of security(ies) — Ash Access Technology, Inc.
Address of issuer — 3601 Sagamore Pkwy. N, Suite B, Lafayette, IN 47904
State or country in which organized — Indiana

PGE Partner Fund II, L.P.
 One Bush St., Suite 1700, San Francisco, CA 94104
Security(ies) — Limited partnership interest
Issuer of security(ies) — PGE Partner Fund II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Pierce Diversified Strategy Holding Fund, LLC
 480 Pierce St., Birmingham, MI 48009
Security(ies) — Limited liability company interests
Issuer of security(ies) — Pierce Diversified Strategy Holding Fund, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Phase III Medical, Inc.; (2) WestPark Capital, Inc.
 330 South Service Rd., Suite 120, Melville, NY 11747; (2) 1900 Avenue of the Stars, #310, Los Angeles, CA 90067
State or country in which incorporated — (1) Delaware; (2) Colorado
Security(ies) — Common stock purchase warrants
Issuer of security(ies) — Phase III Medical, Inc.
Address of issuer — 330 South Service Rd., Suite 120, Melville, NY 11747
State or country in which organized — Delaware

Phase III Medical, Inc.; (2) WestPark Capital, Inc.
 330 South Service Rd., Suite 120, Melville, NY 11747; (2) 1900 Avenue of the Stars, #310, Los Angeles, CA 90067
State or country in which incorporated — (1) Delaware; (2) Florida
Security(ies) — Convertible notes
Issuer of security(ies) — Phase III Medical, Inc.
Address of issuer — 330 South Service Rd., Suite 120, Melville, NY 11747
State or country in which organized — Delaware

Pinot Noir Drilling Company
 475 Northern Blvd., Suite 20, Great Neck, NY 10021

Security(ies) — Partnership interests
Issuer of security(ies) — Pinot Noir Drilling Company
Address of issuer — 55 Babylon Tpke., Freeport, NY 11520
State or country in which organized — New York

Planet Group, Inc.
 670 Long Beach Blvd., Long Beach, NY 11561
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Planet Group, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Planet Group, Inc.
 670 Long Beach Blvd., Long Beach, NY 11561
State or country in which incorporated — Delaware
Security(ies) — Promissory notes, with warrants
Issuer of security(ies) — Planet Group, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Pomegranate Capital, LLC
 40 E. 88th St., Apt. 9C, New York, NY 10128
Security(ies) — Limited liability company membership interests
Issuer of security(ies) — Pomegranate Capital, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Pomegranate Capital Offshore, Ltd.
 c/o Citco Fund Services (Cayman Islands) Limited, Windward 1, 2nd Fl., Regatta Office Park, West Bay Rd., P.O. Box 31106 SMB, George Town, Grand Cayman, Cayman Islands, B.W.I.
State or country in which incorporated — Cayman Islands
Security(ies) — Ordinary shares
Issuer of security(ies) — Pomegranate Capital Offshore, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands
 Practical Instruments, Inc.
 131 N. San Gabriel, Suite 103, Pasadena, CA 91107
State or country in which incorporated — California
Security(ies) — Common stock
Issuer of security(ies) — Practical Instruments, Inc.
Address of issuer — Same as above
State or country in which organized — California

Practical Instruments, Inc.
 131 N. San Gabriel, Suite 103, Pasadena, CA 91107
State or country in which incorporated — California
Security(ies) — Convertible promissory notes
Issuer of security(ies) — Practical Instruments, Inc.
Address of issuer — Same as above
State or country in which organized — California

Practical Instruments, Inc.
 131 N. San Gabriel, Suite 103, Pasadena, CA 91107
State or country in which incorporated — California
Security(ies) — Warrants
Issuer of security(ies) — Practical Instruments, Inc.
Address of issuer — Same as above
State or country in which organized — California

QVEST III (Cayman), Ltd.
 P.O. Box 30592 SMB, Cayside, 2nd Fl., Harbour Dr., Grand Cayman, Cayman Islands, BWI

Security(ies) — Common shares
Issuer of security(ies) — QVEST III (Cayman), Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Raritan Global Equity Income Fund, L.P.
 c/o Harding, Loevner Management, LP, 50 Division St., Suite 401,
 Somerville, NJ 08876
Security(ies) — Limited partnership interests
Issuer of security(ies) — Raritan Global Equity Income Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Reindeer Trek, L.P.
 2257 Larkspur Landing Circle, Suite C, Larkspur, CA 94939
State or country in which incorporated — Delaware limited partnership
Security(ies) — Limited partnership interest
Issuer of security(ies) — Reindeer Trek, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

River Light V, L.P.
 598 Madison Ave., 11th Fl., New York, NY 10022
Security(ies) — Partnership interests
Issuer of security(ies) — River Light V, L.P.
Address of issuer — Same as above

Rockyview Energy Inc.
 2250, 801 – 6th Ave. SW, Calgary, Alberta Canada T2P 3W2
State or country in which incorporated — Canada
Security(ies) — Common shares
Issuer of security(ies) — Rockyview Energy Inc.
Address of issuer — Same as above
State or country in which organized — Canada

Royce Fund, The — Royce Micro-Cap Fund
 1414 Avenue of the Americas, New York, NY 10019
Security(ies) — Shares of beneficial interest - consultant class
Issuer of security(ies) — The Royce Fund - Royce Micro-Cap Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Royce Fund, The — Royce Micro-Cap Fund
 1414 Avenue of the Americas, New York, NY 10019
Security(ies) — Shares of beneficial interest - financial intermediary
 class
Issuer of security(ies) — The Royce Fund - Royce Micro-Cap Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Royce Fund, The — Royce Opportunity Fund
 1414 Avenue of the Americas, New York, NY 10019
Security(ies) — Shares of beneficial interest - financial intermediary
 class
Issuer of security(ies) — The Royce Fund - Royce Opportunity Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Sanders Morris Harris, Inc.
 320 Park Ave., New York, NY 10022
State or country in which incorporated — Texas

Security(ies) — 3% series B convertible preferred stock
Issuer of security(ies) — Alliance Distributors Holding Inc.
Address of issuer — 15-15 132nd St., College Point, NY 11356
State or country in which organized — New York

SCION Value Fund
 20400 Stevens Creek Blvd., Suite 840, Cupertino, CA 95014
Security(ies) — Limited liability company interests
Issuer of security(ies) — SCION Value Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Scruggs #1-H Joint Venture
 3030 LBJ Frwy., #1320, Dallas, TX 75234
Security(ies) — Joint venture interests
Issuer of security(ies) — Scruggs #1-H Joint Venture
Address of issuer — Same as above
State or country in which organized — Texas

SCP Omni Fund, Ltd.
 c/o Morgan Stanley Fund Services (Bermuda) Ltd., Clarendon House,
 Two Church St., Hamilton, HM DX, Bermuda
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares
Issuer of security(ies) — SCP Omni Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

SCP Omni Fund, LP
 600 Steamboat Rd., Greenwich, CT 06830
Security(ies) — Limited partnership interests
Issuer of security(ies) — SCP Omni Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Selectinvest Private Equity Access Fund, Ltd.
 c/o Citco Fund Services (Cayman) Limited, Regatta Office Park, W.
 Bay Rd., P.O. Box 31006 SMB, George Town, Grand Cayman, Cayman
 Islands, British West Indies
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares
Issuer of security(ies) — Selectinvest Private Equity Access Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Shark Asset Management, L.L.C.
 501 Village Green Pky., #5, Bradenton, FL 34209
Security(ies) — Limited liability company interests
Issuer of security(ies) — Shark Asset Management, L.L.C.
Address of issuer — Same as above
State or country in which organized — Florida limited liability company

SilverDeer Carolinas Caribbean I LLC
 5922-A Six Forks Rd., Raleigh, NC 27609
State or country in which incorporated — Delaware
Security(ies) — Units consisting of preferred membership interests
Issuer of security(ies) — SilverDeer Carolinas Caribbean I LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Silverhawk WS I, L.P.
 660 Steamboat Rd., Greenwich, CT 06830

Security(ies) — Limited partnership interests
Issuer of security(ies) — Silverhawk Capital Partners GP, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Striker Petroleum, LLC Rework Program 2005
 Heritage Square II, 5001 LBJ Fwy., Suite 875, Dallas, TX 75244
State or country in which incorporated — Texas
Security(ies) — Workings interests
Issuer of security(ies) — Striker Petroleum, LLC Rework Program 2005
Address of issuer — Same as above
State or country in which organized — Texas

SNRE III, LLC
 20 N. Raymond Ave., Suite 250, Pasadena, CA 91103
Security(ies) — Limited liability company membership interests
Issuer of security(ies) — SNRE III, LLC
Address of issuer — Same as above
State or country in which organized — California

Targeted Technologies, LLC
 273 Azalea Rd., Bldg. One, Suite 515, Mobile, AL 36609
Security(ies) — Common stock
Issuer of security(ies) — Targeted Technologies, LLC
Address of issuer — Same as above
State or country in which organized — Alabama limited liability company

TechCycle Fund, L.P.
 23 Old Kings Hwy. S, Darien, CT 06820
Security(ies) — Limited partnership interests
Issuer of security(ies) — TechCycle Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Terra Nova LLC
 Nine W. Hillover Rd., Hampton Bays, NY 11946
Security(ies) — Units in miramar condominium
Issuer of security(ies) — Terra-Nova LLC
Address of issuer — Same as above
State or country in which organized — New York

Third Coast Capital QP, L.P.
 5914 W. Courtyard Dr., Suite 190, Austin, TX 78730
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interests
Issuer of security(ies) — Third Coast Capital QP, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Tory Burch LLC
 598 Madison Ave., 11th Fl., New York, NY 10022
Security(ies) — Membership interests
Issuer of security(ies) — Tory Burch LLC
Address of issuer — Same as above

Tower Bancorp, Inc.
 40 Center Square, Greencastle, PA 17225
State or country in which incorporated — Pennsylvania
Security(ies) — Common stock
Issuer of security(ies) — Tower Bancorp, Inc.
Address of issuer — Same as above

State or country in which organized — Pennsylvania
 Trigran Investments, L.P.
 3201 Old Glenview Rd., #235, Wilmette, IL 60091
State or country in which incorporated — Illinois
Security(ies) — Limited partnership interests
Issuer of security(ies) — Trigran Investments, L.P.
Address of issuer — Same as above
State or country in which organized — Illinois

Trigran Investments, L.P. II
 3201 Old Glenview Rd., #235, Wilmette, IL 60091
State or country in which incorporated — Illinois
Security(ies) — Limited partnership interests
Issuer of security(ies) — Trigran Investments, L.P. II
Address of issuer — Same as above
State or country in which organized — Illinois

T.R. Winston & Company, LLC
 1999 Avenue of the Stars, Suite 2530, Los Angeles, CA 90067
State or country in which incorporated — Delaware
Security(ies) — Units comprised of one share of common stock and ½ "A" warrant and ½ "B" warrant
Issuer of security(ies) — Neuralstem, Inc.
Address of issuer — 9700 Great Seneca Hwy., Rockville, MD 20850
State or country in which organized — Delaware

Tucek Partners II, L.P.
 81 Holly Hill Rd., Suite 100, Greenwich, CT 06830
Security(ies) — Partnership interests
Issuer of security(ies) — Tucek Partners II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Underground Solutions, Inc.
 229 Howes Run Rd., Sarver, PA 16055
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Underground Solutions, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Unified Financial Securities, Inc.
 431 N. Pennsylvania St., Indianapolis, IN 46204
State or country in which incorporated — Indiana
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Unified Series Trust, Symphony Wealth Management Ovation Fund
Address of issuer — Same as above
State or country in which organized — Ohio

U.S. Wireless Data, Inc.
 2121 Avenue of the Stars, Suite 1650, Los Angeles, CA 90067
State or country in which incorporated — Delaware
Security(ies) — Units consisting of common stock and warrants
Issuer of security(ies) — U.S. Wireless Data, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Cohen & Steers Global Real Estate Portfolio 2006-1

Address of issuer — Same as above

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Enhanced Index Strategies Portfolio 2006-1

Address of issuer — Same as above

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Focus Value Portfolio, Series 18

Address of issuer — Same as above

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Preferred Securities Portfolio, Series 10

Address of issuer — Same as above

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Select Growth Trust, January 2006 Series

Address of issuer — Same as above

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Strategic Growth Large-Cap Portfolio 2006-1

Address of issuer — Same as above

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Van Kampen Unit Trusts, Municipal Series 592 (New York Insured Municipals Income Trust, Series 187)

Address of issuer — Same as above

State or country in which organized — New York

Vista Point Value Fund, LP

342 E. 67th St., Apt. 4B, New York, NY 10021

Security(ies) — Limited partnership interests

Issuer of security(ies) — Vista Point Value Fund, LP

Address of issuer — Same as above

State or country in which organized — Delaware

Weiss Fund, L.P., The

Two Rector St., 16th Fl., New York, NY 10006

Security(ies) — Limited partnership interests

Issuer of security(ies) — The Weiss Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Western Forest Products Inc.

435 Trunk Rd., 3rd Fl., Duncan British Columbia, V9L 2P9

State or country in which incorporated — Canada

Security(ies) — Rights to acquire subscription receipts

Issuer of security(ies) — Western Forest Products Inc.

Address of issuer — Same as above

State or country in which organized — Canada

Williams Jones & Associates Hedge Fund II Traanche 2

c/o Williams, Jones & Associates, Inc., 717 Fifth Ave., Suite 1700, New York, NY 10022

Security(ies) — Units of undivided interest

Issuer of security(ies) — Williams Jones & Associates Hedge Fund II Traanche 2

Address of issuer — Same as above

State or country in which organized — New York

Williams Jones & Associates Hedge Fund II Traanche 4

c/o Williams, Jones & Associates, Inc., 717 Fifth Ave., Suite 1700, New York, NY 10022

Security(ies) — Units of undivided interest

Issuer of security(ies) — Williams Jones & Associates Hedge Fund II Traanche 4

Address of issuer — Same as above

State or country in which organized — New York

Windmill Multi Strategy Fund, LP

250 E. 54th St., PH1, New York, NY 10022

Security(ies) — Limited partnership interests

Issuer of security(ies) — Windmill Multi Strategy Fund, LP

Address of issuer — Same as above

State or country in which organized — Delaware

Wolff Creek Fund, L.P.

1660 17th St., Suite 350, Denver, CO 80202

State or country in which incorporated — Delaware

Security(ies) — Limited partnership interests

Issuer of security(ies) — Wolff Creek Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

