

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

Abdiel Capital, LP
157 E. 81st St., Apt. PH, New York, NY 10028
Partnership — Abdiel Capital Management, LLC

Adaptive Strategies Fund LLC
350 Fifth Ave., Suite 3322, New York, NY 10118

AgStar, LLC
3301 Turley Rd., Corydon, IN 47112

AIV IV, LLC
143 S. Bedford Rd., Pound Ridge, NY 10576
State or country in which incorporated — New York

Alpha Radar Fund, L.P.
225 Windmill Lane, Southampton, NY 11968
Partnership — Alpha Society, LLC

Alphadyne International Partners, LP
% Alphadyne Capital, LLC, 17 State St., 20th Fl., New York, NY 10004
Partnership — Alphadyne Capital, LLC, general partner

Alps Distributors, Inc.
1625 Broadway, Suite 2200, Denver, CO 80202
State or country in which incorporated — Colorado

AmREIT Monthly Income & Growth Fund III, Ltd.
Eight Greenway Plaza, Suite 1000, Houston, TX 77046
Partnership — AmREIT Monthly Income & Growth Corp. III

Arctic Capital LLC
227 Walker Ave. N, Wayzata, MN 55391
Partnership — Minnesota limited liability company

Armonk Capital Partners, LLC
% Armonk Advisors, LLC, 11 Skyline Dr., Hawthorne, NY 10532

BA Multi-Strategy Fund, LLC
40 W. 57th St., 33rd Fl., New York, NY
State or country in which incorporated — Delaware

Battery Park High Yield Opportunity Offshore Fund, Ltd.
% Citco Fund Services (Cayman Islands) Limited, Regatta Office Park,
Winward One, W. Bay Rd., P.O. Box 31106 SMB, Grand Cayman,
Cayman Islands, BWI
State or country in which incorporated — Cayman Islands

B.C. Ziegler and Company
250 E. Wisconsin Ave., Milwaukee, WI 53202
State or country in which incorporated — Wisconsin

Brightline Capital Offshore Fund, Ltd.
% Goldman Sachs (Cayman) Trust Limited, P.O. Box 896, Harbour
Centre, George Town, Grand Cayman, Cayman Islands
Partnership — Brightline Capital Management, LLC

CAM CORE + FUND 1 LLC
433 N. Camden Dr., Suite 800, Beverly Hills, CA 90210
State or country in which incorporated — Delaware

CAM Energy Products QP, LLC
% LMP Capital, LLC, One N. End Ave., Suite 1101, World Financial
Center, New York, NY 10282
State or country in which incorporated — Delaware

Cape Coastal Trading Corporation
122 Ocean Park Blvd., Suite 307, Santa Monica, CA 90405
State or country in which incorporated — New York

Carnegie Opportunities Fund, L.P.
12802 Tampa Oaks Blvd., Suite 405, Tampa, FL 33637-1915
Partnership — High Street Capital Management, L.L.C., general
partner

Carolina Linkages, Inc.
Three Broad St., Suite 450, Charleston, SC 29401
State or country in which incorporated — Delaware

Cayuga Venture Fund III, LP
15 Thornwood Dr., Ithaca, NY 14850
Partnership — CVF Partners III, LLC

Centripetal Management, LLC
100 Prospect St., Stamford, CT 06901
State or country in which incorporated — Delaware

Ceragenix Pharmaceuticals, Inc.
1444 Wazee St., Suite # 210, Denver, CO 80202
State or country in which incorporated — Delaware

- Cleveland Unlimited, Inc.
7165 E. Pleasant Valley Rd., Independence, OH 44141
State or country in which incorporated — Delaware
- Cohen Capital Partners II, L.P.
1122 Coney Island Ave., Suite 216, Brooklyn, NY 11230
Partnership — Cohen Asset Partners LLC
- Colchester Alpha Fund (Bermuda) Limited, The
% The Bank of Bermuda, Six Front St., Hamilton HM 11, Bermuda
- Congruent Digital Economy Fund, LP
% Congruent Capital, LP, 100 First Stamford Place, Stamford, CT 06902
Partnership — Congruent Capital Partners, LLC
- Continuing Investor Holding Company, LLC
% SRK Management Company, 810 7th Ave., New York, NY 10019
Partnership — Sidney R. Knafel and Michael S. Willner
- Coventry Group, The—Boston Trust Small Cap Fund
3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
State or country in which incorporated — Massachusetts
- Daedalus Partners, L.P.
One Maritime Plaza, Suite 800, San Francisco, CA 94111
Partnership — Osterweis Capital Management, LLC
- DellaCamera Capital Partners, LP
% DellaCamera Capital Advisors GP, LLC, 237 Park Ave., Suite 800, New York, NY 10017
Partnership — DellaCamera Capital Advisors GP, LLC, general partner
- Dillon Capital, Inc.
95 Revere Dr., Suite A, Northbrook, IL 60062
State or country in which incorporated — Delaware
- DualCor Technologies, Inc.
5900 Butler Lane, Scotts Valley, CA 95066
State or country in which incorporated — California
- EAB Private Capital, LLC
1951 NW. 19th St., Suite 200, Boca Raton, FL 33431
State or country in which incorporated — Florida
- Earl Scheib, Inc.
15206 Ventura Blvd., Suite 200, Sherman Oaks, CA 91403
State or country in which incorporated — Delaware
- Energy Savings Income Fund ("the Fund")
100 King St. W, Suite 2630, Toronto, Ontario M5C 1E1, Canada
State or country in which incorporated — Canada
- EnerTeck Corporation
10701 Corporate Dr., Suite 150, Stafford, TX 77477
State or country in which incorporated — Delaware
- Evident Technologies, Inc.
216 River St., Suite 200, Troy, NY 12180
State or country in which incorporated — Delaware
- FC Holdings, Inc.
14200 Gulf Fwy., Suite 210, Houston, TX 77034
State or country in which incorporated — Texas
- Firsthand Funds — Health Sciences Fund
3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
State or country in which incorporated — Delaware
- First Titan Financial Corporation
3613 W. Pioneer Pkwy., "C", Arlington, TX 76013
State or country in which incorporated — Texas
- Gabelli European Partners, L.P.
% Gabelli & Partners, LLC, One Corporate Center, Rye, NY 10580
Partnership — Gabelli & Partners, LLC
- GAMA Select Energy Plus, L.P.
% Gabelli & Partners, LLC, One Corporate Center, Rye, NY 10580
Partnership — Gabelli & Partners, LLC
- Gel-Del Technologies, Inc.
1000 Westgate Dr., Suite 127, St. Paul, MN 55114
State or country in which incorporated — Minnesota
- Getting Ready Corporation
8990 Wembley Court, Sarasota, FL 34238
State or country in which incorporated — Delaware
- Griffiths McBurney Corp.
145 King St. W, Suite 1100, Toronto, Ontario
State or country in which incorporated — Canada
- High Street New Perspective Fund, L.P.
12802 Tampa Oaks Blvd., Suite 405, Tampa, FL 33637-1915
Partnership — High Street Capital Management, L.L.C., general partner
- Hollis Capital Partners, L.P.
One Sansome St., 39th Fl., San Francisco, CA 94104
Partnership — Hollis Capital Management, LLC
- Identica Holdings Corporation
3675 W. Shore Blvd., #260, Tampa, FL 33629
State or country in which incorporated — Nevada
- Imperium Specialty Finance Fund, L.P.
153 E. 53rd St., 29th Fl., New York, NY 10022
Partnership — Imperium GP, LLC
- Insight Acquisition Corp.
% the Carlyle Group, 520 Madison Ave., New York, NY 10022
State or country in which incorporated — Delaware
- Investors Advantage Capital Fund I, LLC
10 W. 4th St., Suite 10, Waterloo, IA 50701
State or country in which incorporated — Iowa
- ITB Premium Fund I, Ltd.
3110 S. Florida Ave., Lakeland, FL 33801
Partnership — ITB Capital Management, LLC
- JMG Capital Partners, L.P.
11601 Wilshire Blvd., Suite 2180, Los Angeles, CA 90025
Partnership — JMG Capital Management, L.L.C.

K&E Investment Partners, L.P.
200 E. Randolph Dr., Suite 5700, Chicago, IL 60601
Partnership — K&E Investment Management, LLC

Lab 9 Solutions Inc.
28 Clothier St., Unit B, P.O. Box 1439, Kemptville, Ontario, Canada K0G 1J0
State or country in which incorporated — Canada

L.A. Capital Management, Ltd.
% Lufkin Advisors, LLC, 1065 E. Hillside Blvd., Suite 228, Foster City, CA 94404
State or country in which incorporated — Cayman Islands

Lake Union Capital Fund, LP
% Lake Union Capital Management, LLC, One Union Sq., 600 University St., Suite 1520, Seattle, WA 98101
Partnership — Lake Union Capital Management, LLC

Lee's Landing Garage, LLC
203 Beale St., Suite 300, Memphis, TN 38103
State or country in which incorporated — Delaware limited liability company

LFL Partners, L.P.
500 W. Harbor Dr. #419, San Diego, CA 92101
Partnership — LFL Advisers, LLC

Lpath Therapeutics Inc.
9191 Towne Center Dr., Suite 310, San Diego, CA 92122
State or country in which incorporated — Delaware

Lubert-Adler Real Estate Fund V, L.P.
4005 Kennett Pike, Suite 200, Greenville, DE 19807
Partnership — Lubert-Adler Group V, L.P.

LURHQ Corporation
P.O. Box 50667, Myrtle Beach, SC 29579
State or country in which incorporated — Delaware

Mainstream Active Value Fund, L.P.
% Mainstream Investment Advisers, LLC, 2900 Axton Lane, Suite 3, Goshen, KY 40026
Partnership — Mainstream Investment Advisers, LLC, general partner

Martini Park, LLC
8933 Valhalla Dr., Delray Beach, FL 33446
State or country in which incorporated — Delaware

Mellon HBV U.S. Event Driven Fund LP
200 Park Ave., Suite 3300, New York, NY 10166
Partnership — Mellon HBV II, LLC

Mellon HBV Rediscovered Opportunities Fund LP
200 Park Ave., Suite 3300, New York, NY 10166
Partnership — Mellon HBV Advisors LLC

Merrill Lynch, Pierce, Fenner & Smith Incorporated
Four World Financial Center, New York, NY 10080
State or country in which incorporated — Delaware

Mindworks Learning, Inc.
3055 Plymouth Rd., Suite 204, Ann Arbor, MI 48105
State or country in which incorporated — Michigan

Minolad Master Fund, L.P.
% Walkers SPV Limited, P.O. Box 908 GT, Walker House, Mary St., George Town, Grand Cayman, Cayman Islands
Partnership — AT Global Capital LLC

MISCOR Group, Ltd.
1125 S. Walnut St., South Bend, IN 46619
State or country in which incorporated — Indiana

Morgan Stanley & Co. Incorporated
One Tower Bridge, 100 Front St., Suite 1100, West Conshohocken, PA 19428
State or country in which incorporated — Delaware

Morgan Stanley Institutional Fund, Inc. — International Growth Equity Portfolio
% JP Morgan Investor Services Company, 73 Tremont St., Boston, MA 02108-3913
State or country in which incorporated — Maryland

Murex International Luxembourg S.A.
69 A boulevard de la Pétrusse, L. 2320 Luxembourg
State or country in which incorporated — Luxembourg

Nollenberger Capital Partners Inc.
101 California St., Suite 3100, San Francisco, CA 94111
State or country in which incorporated — Delaware

Nomad Investment Company Limited, The
P.O. Box 61 GT, Harbour Centre, George Town, Grand Cayman, Cayman Islands, BWI
State or country in which incorporated — Cayman Islands

Nomad Investment Partnership L.P., The
% Marathon Asset Management (Cayman) Limited, P.O. Box 61 GT, Harbour Centre, George Town, Grand Cayman, Cayman Islands, BWI
Partnership — Marathon Asset Management (Cayman) Limited

NY Credit Securities, LLC
230 Park Ave., Suite 1150, New York, NY 10169
State or country in which incorporated — Delaware

Offshore Wave Fund, Ltd.
% Walkers SPV Limited, P.O. Box 908 GT, Walker House, Mary St., George Town, Grand Cayman, Cayman Islands B.W.I.
State or country in which incorporated — Cayman Islands

Onshore Wave Fund, LP
Four Queen St., Charleston, SC 29401
Partnership — Wave GP, LLC

Origin Agritech Limited
625 Broadway, Suite 1111, San Diego, CA 92101
State or country in which incorporated — British Virgin Islands

Paragon Capital LP
110 E. 59th St., 29th Fl., New York, NY 10022
Partnership — Paragon Capital Advisors LLC

PCS Adventures!.com, Inc.
345 Bobwhite Court, Suite 200, Boise, ID 83706
State or country in which incorporated — Idaho

Pioneer Fund Distributor, Inc.
60 State St., 19th Fl., Boston, MA 02109
State or country in which incorporated — Massachusetts

Rapid Link, Incorporated
17383 Sunset Blvd., #350, Pacific Palisades, CA 90272
State or country in which incorporated — Delaware

Right Force Orthodontics, Inc.
20104 Woodtrail Rd., Round Hill, VA 20141
State or country in which incorporated — Delaware

Rockies Region Private Limited Partnership
103 E. Main St., P.O. Box 26, Bridgeport, WV 26330
Partnership — Petroleum Development Corporation

Sarasota Main Investors LLC
% Paragon Management Group, 276 Post Rd. W, Suite 201, Westport, CT 06880
State or country in which incorporated — Delaware limited liability company

Smart Pill Corporation, The
847 Main St., Buffalo, NY 14203
State or country in which incorporated — Delaware

Southeastern Securities, Inc.
12651 S. Dixie Hwy., Suite 204, Miami, FL 33156
State or country in which incorporated — Florida

SSI Tactical Alpha Hedge 100, L.P.
357 N. Canon Dr., Beverly Hills, CA 90210
Partnership — SSI Investment Management Inc., general partner

Supernus Pharmaceuticals, Inc.
1550 E. Gude Dr., Rockville, MD 20850
State or country in which incorporated — Delaware

S.W. Bach & Company
Six Harbor Park Dr., Port Washington, NY 11050
State or country in which incorporated — Georgia

Taurus Capital Funding, LLC
118 Milk St., Second Fl., Boston, MA 02109

Towerhill International Equities, LLC
10 S. Lyle Ave., St. Louis, MO 63105
State or country in which incorporated — Delaware

Towerhill Long/Short Equity, LLC
10 S. Lyle Ave., St. Louis, MO 63105
State or country in which incorporated — Delaware

Towerhill US Equities, LLC
10 S. Lyle Ave., St. Louis, MO 63105
State or country in which incorporated — Delaware

Trend Integration, LLC
One Mall Dr., Suite 615, Cherry Hill, NJ 08002
State or country in which incorporated — New Jersey limited liability company

Trinity Street Company Limited Partnership
% DMGP1, LLC, 86 Stanton St., #6, New York, NY 10002
Partnership — Trinity Theatrical Productions, LLC and DMGP1, LLC

TrustCore Investments, Inc.
105 Westwood Place, Suite 400, Brentwood, TN 37027
State or country in which incorporated — Tennessee

United Heritage Corporation
Two N. Caddo St., Cleburne, TX 76031
State or country in which incorporated — Utah

US EURO Securities, Inc.
275 Madison Ave., 6th Fl., New York, NY 10016
State or country in which incorporated — California

Verseon LLC
2062-B2 Walsh Ave., Santa Clara, CA 95050

Vicor Technologies, Inc.
2300 Corporate Blvd. NW, Suite 123, Boca Raton, FL 33432
State or country in which incorporated — Delaware

WestHart Apartments LLC
% Stillman Management, 141 Halstead Ave., Mamaroneck, NY 10543
State or country in which incorporated — Delaware

Westmoreland Capital Corporation
2350 N. Forest Rd., Suite 12A, Getzville, NY 14068
State or country in which incorporated — New York

Wharton "B" Joint Venture
1848 Norwood Plaza, Suite 109, Hurst, TX 76054

Whitney Strategic Partners VI, L.P.
177 Broad St., Stamford, CT 06901
Partnership — J.H. Whitney Equity Partners VI, L.P.

Wi-Tron, Inc.
59 LaGrange St., Raritan, NJ 08869
State or country in which incorporated — Delaware

ZA International Fund, L.P.
P.O. Box 309, George Town, Grand Cayman, Cayman Islands
Partnership — ZA General Partner

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY
DEALERS; BROKERS;
SYNDICATE MANAGERS

1914 Holding Company
715 Kirk Dr., Kansas City, MO 64105
State or country in which incorporated — Delaware
Security(ies) — Common stock (\$0.01 par value)
Issuer of security(ies) — 1914 Holding Company

Address of issuer — Same as above
State or country in which organized — Delaware

Abdiel Capital, LP
 157 E. 81st St., Apt. PH, New York, NY 10028
Security(ies) — Limited partnership interests
Issuer of security(ies) — Abdiel Capital, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Accentia Biopharmaceuticals, Inc.
 324 S. Hyde Park Ave., Suite 350, Tampa, FL 33606
State or country in which incorporated — Florida
Security(ies) — Common stock
Issuer of security(ies) — Accentia Biopharmaceuticals, Inc.
Address of issuer — Same as above
State or country in which organized — Florida

Accentia Biopharmaceuticals, Inc.
 324 S. Hyde Park Ave., Suite 350, Tampa, FL 33606
State or country in which incorporated — Florida
Security(ies) — Series B convertible preferred stock
Issuer of security(ies) — Accentia Biopharmaceuticals, Inc.
Address of issuer — Same as above
State or country in which organized — Florida

Adaptive Strategies Fund LLC
 350 Fifth Ave., Suite 3322, New York, NY 10018
Security(ies) — Limited liability company interests
Issuer of security(ies) — Adaptive Strategies Fund LLC
Address of issuer — Same as above
State or country in which organized — Delaware

AgStar, LLC
 3301 Turley Rd., Corydon, IN 47112
State or country in which incorporated — Indiana
Security(ies) — Limited liability company unit
Issuer of security(ies) — AgStar, LLC
Address of issuer — Same as above

AIV IV, LLC
 143 S. Bedford Rd., Pound Ridge, NY 10576
State or country in which incorporated — New York
Security(ies) — Limited liability company interests
Issuer of security(ies) — AIV IV, LLC
Address of issuer — Same as above
State or country in which organized — New York

Alphadyne International Partners, LP
 % Alphadyne Capital, LLC, 17 State St., 20th Fl., New York, NY 10004
Security(ies) — Limited partnership interests
Issuer of security(ies) — Alphadyne International Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Alps Distributors, Inc.
 1625 Broadway, Suite 2200, Denver, CO 80202
State or country in which incorporated — Colorado
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Utopia Funds Trust — Utopia Core Fund
Address of issuer — 111 Cass St., Traverse City, MI 49684
State or country in which organized — Delaware

Alps Distributors, Inc.
 1625 Broadway, Suite 2200, Denver, CO 80202
State or country in which incorporated — Colorado
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Utopia Funds Trust — Utopia Core Conservative Fund
Address of issuer — 111 Cass St., Traverse City, MI 49684
State or country in which organized — Delaware

Alps Distributors, Inc.
 1625 Broadway, Suite 2200, Denver, CO 80202
State or country in which incorporated — Colorado
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Utopia Funds Trust — Utopia Growth Fund
Address of issuer — 111 Cass St., Traverse City, MI 49684
State or country in which organized — Delaware

Alps Distributors, Inc.
 1625 Broadway, Suite 2200, Denver, CO 80202
State or country in which incorporated — Colorado
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Utopia Funds Trust — Utopia Yield Income Fund
Address of issuer — 111 Cass St., Traverse City, MI 49684
State or country in which organized — Delaware

American Securities Group, Inc.
 400 S. Dixie Hwy., Bldg. 2, Boca Raton, FL 33432
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interests
Issuer of security(ies) — RFMC Echelon Fund LP
Address of issuer — Four Benedek Rd., Princeton, NJ 08540
State or country in which organized — Delaware

AmREIT Monthly Income & Growth Fund III, Ltd.
 Eight Greenway Plaza, Suite 1000, Houston, TX 77046
Security(ies) — Units of limited partnership interest
Issuer of security(ies) — AmREIT Monthly Income & Growth Fund III, Ltd.
Address of issuer — Same as above
State or country in which organized — Texas

APR Venture, LLC
 100 Prospect St., Suite 201 S, Stamford, CT 06901
State or country in which incorporated — Delaware
Security(ies) — Membership units
Issuer of security(ies) — APR Venture, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Arctic Capital LLC
 227 Walker Ave. N, Wayzata, MN 55391
State or country in which incorporated — Minnesota limited liability company
Security(ies) — Class B units
Issuer of security(ies) — Arctic Capital LLC
Address of issuer — Same as above
State or country in which organized — Minnesota

Armonk Capital Partners, LLC
 % Armonk Advisors, LLC, 11 Skyline Dr., Hawthorne, NY 10532
Security(ies) — Limited liability company membership interests
Issuer of security(ies) — Armonk Capital Partners, LLC

Address of issuer — Same as above
State or country in which organized — Delaware

BA Multi-Strategy Fund, LLC
 40 W. 57th St., 33rd Fl., New York, NY
State or country in which incorporated — North Carolina
Security(ies) — Limited liability company
Issuer of security(ies) — BA Multi-Strategy Fund, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Basin Water, Inc.
 707 Broadway, Suite 800, San Diego, CA 92101
State or country in which incorporated — California
Security(ies) — Warrants to purchase common stock
Issuer of security(ies) — Basin Water, Inc.
Address of issuer — Same as above
State or country in which organized — California

Basin Water, Inc.
 707 Broadway, Suite 800, San Diego, CA 92101
State or country in which incorporated — California
Security(ies) — Warrants to purchase series A convertible preferred stock
Issuer of security(ies) — Basin Water, Inc.
Address of issuer — Same as above
State or country in which organized — California

Basin Water, Inc.
 707 Broadway, Suite 800, San Diego, CA 92101
State or country in which incorporated — California
Security(ies) — Warrants to purchase series B convertible preferred stock
Issuer of security(ies) — Basin Water, Inc.
Address of issuer — Same as above
State or country in which organized — California

Battery Park High Yield Opportunity Offshore Fund, Ltd.
 % Citco Fund Services (Cayman Islands) Limited, Regatta Office Park,
 Winward One, W. Bay Rd., P.O. Box 31106 SMB Grand Cayman,
 Cayman Islands, B.W.I.
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares
Issuer of security(ies) — Battery Park High Yield Opportunity Offshore

Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

B.C. Zielger and Company
 250 E. Wisconsin Ave., Milwaukee, WI 53202
State or country in which incorporated — Wisconsin
Security(ies) — Units of limited liability company interests
Issuer of security(ies) — Ziegler Healthcare Real Estate Fund II, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Bear, Stearns & Co. Inc.
 383 Madison Ave., New York, NY 10179
State or country in which incorporated — Delaware
Security(ies) — Trust certificates
Issuer of security(ies) — Capital Auto Receivables, Inc., as originator
 of CARAT Certificate Trust 2005-1 Trust Certificates

Address of issuer — Corporation Trust Center, 1209 Orange St.,
 Wilmington, DE 19801
State or country in which organized — Delaware

Brightline Capital Offshore Fund, Ltd.
 % Goldman Sachs (Cayman) Trust Limited, P.O. Box 896, Harbour
 Centre, George Town, Grand Cayman, Cayman Islands
Security(ies) — Common stock, par value \$0.01 (U.S.) per share
Issuer of security(ies) — Brightline Capital Offshore Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

CAM CORE + FUND 1 LLC
 433 N. Camden Dr., Suite 800, Beverly Hills, CA 90210
State or country in which incorporated — Delaware
Security(ies) — Limited liability company membership interests
Issuer of security(ies) — CAM CORE + FUND 1 LLC
Address of issuer — Same as above
State or country in which organized — Delaware

CAM Energy Products QP, LLC
 % LMP Capital, LLC, One N. End Ave., Suite 1101, World Financial
 Center, New York, NY 10282
Security(ies) — Limited liability company interests
Issuer of security(ies) — CAM Energy Products QP, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Cape Coastal Trading Corporation
 122 Ocean Park Blvd., Suite 307, Santa Monica, CA 90405
State or country in which incorporated — New York
Security(ies) — Units consisting of common stock and warrants to
 purchase common stock
Issuer of security(ies) — Cape Coastal Trading Corporation
Address of issuer — Same as above
State or country in which organized — New York

Carnegie Opportunities Fund, L.P.
 12802 Tampa Oaks Blvd., Suite 405, Tampa, FL 33637-1915
Security(ies) — Limited partnership interests
Issuer of security(ies) — Carnegie Opportunities Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Carolina Linkages, Inc.
 Three Broad St., Suite 450, Charleston, SC 29401
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Carolina Linkages, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

CentriPetal Management LLC
 100 Prospect St., Stamford, CT 06901
State or country in which incorporated — Delaware
Security(ies) — Limited liability company membership interests
Issuer of security(ies) — ORBCOMM Venture, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Ceragenix Pharmaceuticals, Inc.
 1444 Wazee St., Suite #210, Denver, CO 80202
State or country in which incorporated — Delaware

Security(ies) — Common stock
Issuer of security(ies) — Ceragenix Pharmaceuticals, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Charles Schwab & Co., Inc.
 101 Montgomery St., San Francisco, CA 94104
State or country in which incorporated — California
Security(ies) — Limited partnership interests
Issuer of security(ies) — RFMC Echelon Fund LP
Address of issuer — Four Benedek Rd., Princeton, NJ 08540
State or country in which organized — Delaware

Chicago Investment Group, LLC
 190 S. LaSalle St., Suite 850, Chicago, IL 60603
State or country in which incorporated — Nevada
Security(ies) — Series D preferred shares
Issuer of security(ies) — Lasershield Systems, Inc.
Address of issuer — 5631 Palmer Dr., Suite C, Carlsbad, CA 92008

Citigroup Global Markets Inc.
 388 Greenwich St., New York, NY 10013
State or country in which incorporated — Delaware
Security(ies) — ___% senior subordinated convertible notes due 2035
Issuer of security(ies) — Ceradyne, Inc.
Address of issuer — 3169 Red Hill Ave., Costa Mesa, CA 92626
State or country in which organized — Delaware

Citigroup Global Markets Inc.
 388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Equity Focus Trusts — Strategic 10 Portfolio, 2006 Series D
Address of issuer — Same as above
State or country in which organized — New York

Citigroup Global Markets Inc.
 388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Equity Focus Trusts — Strategic S&P Industrial Portfolio, 2006 Series D
Address of issuer — Same as above
State or country in which organized — New York

Citigroup Global Markets Inc.
 388 Greenwich St., New York, NY 10013-2396
State or country in which incorporated — New York
Security(ies) — Trust certificates
Issuer of security(ies) — Capital Auto Receivables, Inc., as originator of CARAT Certificate Trust 2005-1 Trust Certificates
Address of issuer — Corporation Trust Center, 1209 Orange St., Wilmington, DE 19801
State or country in which organized — Delaware

Citigroup Global Markets Inc.; (2) Needham & Company, LLC; (3) Wachovia Capital Markets, LLC
 388 Greenwich St., New York, NY 10013; (2) 445 Park Ave., Third Fl., New York, NY 10022; (3) 301 S. College St., 5th Fl., Charlotte, NC 28288
State or country in which incorporated — (1-3) Delaware
Security(ies) — Common stock, par value \$0.01 per share

Issuer of security(ies) — Ceradyne, Inc.
Address of issuer — 3169 Red Hill Ave., Costa Mesa, CA 92626
State or country in which organized — Delaware

Claymore Securities, Inc.
 2455 Corporate W. Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Closed-End Equity and Income Portfolio, Series 3
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
 2455 Corporate W. Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Closed-End Preferred and Income Portfolio, Series 4
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
 2455 Corporate W. Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Onefolio Strategy, Series 2
Address of issuer — Same as above
State or country in which organized — Kansas

Cleveland Unlimited, Inc.
 7165 E. Pleasant Valley Rd., Independence, OH 44141
State or country in which incorporated — Delaware
Security(ies) — Senior secured floating rate notes due
Issuer of security(ies) — Cleveland Unlimited, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Cohen Capital Partners II, L.P.
 1122 Coney Island Ave., Suite 216, Brooklyn, NY 11230
Security(ies) — Limited partnership interests
Issuer of security(ies) — Cohen Capital Partners II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Colchester Alpha Fund (Bermuda) Limited, The
 Six Front St., Hamilton HM 11, Bermuda
Security(ies) — Units of beneficial interest
Issuer of security(ies) — The Colchester Alpha Fund (Bermuda) Limited
Address of issuer — Same as above
State or country in which organized — Bermuda

Congruent Digital Economy Fund, LP
 % Congruent Capital, LP, 100 First Stamford Place, Stamford, CT 06902
Security(ies) — Limited partnership interests
Issuer of security(ies) — Congruent Digital Economy Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Conolog Corporation
 Five Columbia Rd., Sommerville, NJ 08876
State or country in which incorporated — New Jersey
Security(ies) — Common stock

Issuer of security(ies) — Conolog Corporation
Address of issuer — Same as above
State or country in which organized — New Jersey

Conolog Corporation
 Five Columbia Rd., Sommerville, NJ 08876
State or country in which incorporated — New Jersey
Security(ies) — Common stock warrants
Issuer of security(ies) — Conolog Corporation
Address of issuer — Same as above
State or country in which organized — New Jersey

Conolog Corporation
 Five Columbia Rd., Sommerville, NJ 08876
State or country in which incorporated — New Jersey
Security(ies) — Promissory notes convertible into common stock
Issuer of security(ies) — Conolog Corporation
Address of issuer — Same as above
State or country in which organized — New Jersey

Continuing Investor Holding Company, LLC
 % SRK Management Company, 810 7th Ave., New York, NY 10019
Security(ies) — Series C non-voting units
Issuer of security(ies) — Continuing Investor Holding Company, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Coventry Group, The—Boston Trust Small Cap Fund
 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
Security(ies) — Units of fractional interest
Issuer of security(ies) — BISYS Fund Services, L.P.
Address of issuer — Same as above
State or country in which organized — Massachusetts

Credit Suisse First Boston LLC
 11 Madison Ave., New York, NY 10010
Security(ies) — GE-WMC asset-backed pass-through certificates, series 2005-2, class B-3, class B-4, class B-5
Issuer of security(ies) — GE-WMC Mortgage Securities, L.L.C.
Address of issuer — 3100 Thornton Ave., Burbank, CA 91504
State or country in which organized — Delaware

Credit Suisse First Boston LLC
 11 Madison Ave., New York, NY 10010
Security(ies) — Senior secured transition bonds, series A
Issuer of security(ies) — Center Point Energy Transition Bond Company II, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Daedalus Partners, L.P.
 One Maritime Plaza, Suite 800, San Francisco, CA 94111
State or country in which incorporated — California limited partnership
Security(ies) — Limited partnership interest
Issuer of security(ies) — Daedalus Partners, L.P.
Address of issuer — Same as above
State or country in which organized — California

DellaCamera Capital Partners, LP
 % DellaCamera Capital Advisors GP, LLC, 237 Park Ave., Suite 800, New York, NY 10017
Security(ies) — Limited partnership interests
Issuer of security(ies) — DellaCamera Capital Partners, LP

Address of issuer — Same as above
State or country in which organized — Delaware

Dillon Capital, Inc.
 95 Revere Dr., Suite A, Northbrook, IL 60062
State or country in which incorporated — Delaware
Security(ies) — Units of general partnership / limited partnership interests
Issuer of security(ies) — NGAS Partners 2005-D, Ltd.
Address of issuer — 120 Prosperous Place, Suite 201, Lexington, KY 40509
State or country in which organized — Kentucky

DualCor Technologies, Inc.
 5900 Butler Lane, Scotts Valley, CA 95066
State or country in which incorporated — California
Security(ies) — Units consisting of a convertible promissory note with a series C preferred stock warrant
Issuer of security(ies) — DualCor Technologies, Inc.
Address of issuer — Same as above
State or country in which organized — California

EAB Private Capital, LLC
 1951 NW. 19th St., Suite 200, Boca Raton, FL 33431
State or country in which incorporated — Florida
Security(ies) — Units of beneficial in a trust
Issuer of security(ies) — Nickmatdan Landbank, LLC d/b/a Jacksonville 1044
Address of issuer — Same as above
State or country in which organized — Florida

Earl Scheib Inc.
 15206 Ventura Blvd., Suite 200, Sherman Oaks, CA 91403
State or country in which incorporated — Delaware
Security(ies) — Stock options for common stock
Issuer of security(ies) — Earl Scheib, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Energy Savings Income Fund (the "Fund")
 100 King St. W, Suite 2630, Toronto, Ontario M5X 1E1, Canada
State or country in which incorporated — Ontario
Security(ies) — Units
Issuer of security(ies) — Energy Savings Income Fund
Address of issuer — Same as above
State or country in which organized — Ontario

EnerTeck Corporation
 10701 Corporate Dr., Suite 150, Stafford, TX 77477
State or country in which incorporated — Delaware
Security(ies) — Common stock with warrants
Issuer of security(ies) — EnerTeck Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Evergreen Investment Services, Inc.
 200 Berkeley St., Boston, MA 02116-5034
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Evergreen Equity Trust — Evergreen Envision Growth Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Evergreen Investment Services, Inc.
200 Berkeley St., Boston, MA 02116-5034
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Evergreen Equity Trust — Evergreen Envision Growth and Income Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Evergreen Investment Services, Inc.
200 Berkeley St., Boston, MA 02116-5034
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Evergreen Equity Trust — Evergreen Envision Income Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Evident Technologies, Inc.
216 River St., Suite 200, Troy, NY 12180
State or country in which incorporated — Delaware
Security(ies) — Series D preferred stock
Issuer of security(ies) — Evident Technologies, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

FC Holdings, Inc.
14200 Gulf Fwy., Suite 210, Houston, TX 77034
State or country in which incorporated — Texas
Security(ies) — Shares of common stock, \$0.01 par value per share
Issuer of security(ies) — FC Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Texas

Fidelity Distributors Corporation
82 Devonshire St., Boston, MA 02109
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Fidelity Concord Street Trust — Spartan Intermediate Treasury Bond Index Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Fidelity Distributors Corporation
82 Devonshire St., Boston, MA 02109
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Fidelity Concord Street Trust — Spartan Long-Term Treasury Bond Index Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Fidelity Distributors Corporation
82 Devonshire St., Boston, MA 02109
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Fidelity Concord Street Trust — Spartan Short-Term Treasury Bond Index Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Firsthand Funds — Health Sciences Fund
3435 Stelzer Rd., Suite 100, Columbus, OH 43219-8012

Security(ies) — Shares of beneficial interest
Issuer of security(ies) — BISYS Fund Services, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

First Titan Financial Corporation
3613 W. Pioneer Pkwy, "C", Arlington, TX 76013
State or country in which incorporated — Texas
Security(ies) — Working interests / Southwestern Madisonville Prospect
Issuer of security(ies) — Titan Partners Corporation
Address of issuer — Same as above
State or country in which organized — Texas

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1106 (Municipal Select, Closed-End Portfolio Series)
Address of issuer — Same as above
State or country in which organized — Illinois

First Income Securities, L.P.
18925 Base Camp Rd., Suite 203, Monument, CO 80132
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — Advisor's Disciplined Trust 62 (Closed-End Portfolio, Series 4)
Address of issuer — Same as above
State or country in which organized — New York

First Income Securities, L.P.
18925 Base Camp Rd., Suite 203, Monument, CO 80132
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — Advisor's Disciplined Trust 64 (CPI Linked Note Portfolio, Series 2)
Address of issuer — Same as above
State or country in which organized — New York

FSC Securities Corporation
2300 Windy Ridge Pkwy., Suite 1100, Atlanta, GA 30339
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interests
Issuer of security(ies) — RFMC Echelon Fund LP
Address of issuer — Four Benedek Rd., Princeton, NJ 08540
State or country in which organized — Delaware

Gabelli European Partners, L.P.
% Gabelli & Partners, LLC, One Corporate Center, Rye, NY 10580
Security(ies) — Limited partnership interests
Issuer of security(ies) — Gabelli European Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

GAMA Select Energy Plus, L.P.
% Gabelli & Partners, LLC, One Corporate Center, Rye, NY 10580
Security(ies) — Limited partnership interests
Issuer of security(ies) — GAMA Select Energy Plus, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Gel-Del Technologies, Inc.
1000 Westgate Dr., Suite 127, St. Paul, MN 55114
State or country in which incorporated — Minnesota
Security(ies) — Series B convertible preferred stock

Issuer of security(ies) — Gel-Del Technologies, Inc.
Address of issuer — Same as above
State or country in which organized — Minnesota

Geneos Wealth Management, Inc.
 4700 S. Syracuse Hwy., Suite 1000, Denver, CO 80237
State or country in which incorporated — Colorado
Security(ies) — Limited partnership interests
Issuer of security(ies) — RFMC Echelon Fund LP
Address of issuer — Four Benedek Rd., Princeton, NJ 08540
State or country in which organized — Delaware

Getting Ready Corporation
 8990 Wembley Court, Sarasota, FL 34238
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Getting Ready Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Glickenhau & Co.
 546 Fifth Ave., New York, NY 10036
State or country in which incorporated — New York
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Empire State Municipal Exempt Trust, Guaranteed Series 183
Address of issuer — Same as above
State or country in which organized — New York trust

Goldman, Sachs & Co.
 85 Broad St., New York, NY 10004
State or country in which incorporated — New York
Security(ies) — 10.75% senior subordinated notes due 2015
Issuer of security(ies) — LPL Holdings, Inc.
Address of issuer — One Beacon St., 22nd Fl., Boston, MA 02108
State or country in which organized — Massachusetts

Griffiths McBurney Corp.
 145 King St. W, Suite 1100, Toronto, Ontario
State or country in which incorporated — Canada
Security(ies) — Common shares
Issuer of security(ies) — Delphi Energy Corp.
Address of issuer — 444 - 5th Ave. SW, Suite 1500, Calgary, Alberta T2P 2T8
State or country in which organized — Canada

Guild, LLC
 931 E. Main St., Madison, WI 53703
State or country in which incorporated — Delaware limited liability company
Security(ies) — Common stock
Issuer of security(ies) — The Guild, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

H. Beck, Inc.
 11140 Rockville Pike, 4th Fl., Rockville, MD 20852
State or country in which incorporated — Maryland
Security(ies) — Limited partnership interests
Issuer of security(ies) — RFMC Echelon Fund LP
Address of issuer — Four Benedek Rd., Princeton, NJ 08540
State or country in which organized — Delaware

Heineken N.V.
 Vijzelstraat 72, 1017 HL Amsterdam, The Netherlands
State or country in which incorporated — The Netherlands
Security(ies) — Ordinary Shares
Issuer of security(ies) — Heineken N.V.
Address of issuer — Same as above
State or country in which organized — The Netherlands

Hennion & Walsh, Inc.
 2001 Rte. 46, Waterview Plaza, Parsippany, NJ 07054
State or country in which incorporated — New Jersey
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Smart Trust, Smart 13 Trust, Series 2
Address of issuer — Same as above
State or country in which organized — New York

High Street New Perspective Fund, L.P.
 12802 Tampa Oaks Blvd., Suite 405, Tampa, FL 33637-1915
Security(ies) — Limited partnership interests
Issuer of security(ies) — High Street New Perspective Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Hollis Capital Partners, L.P.
 One Sansome St., 39th Fl., San Francisco, CA 94104
Security(ies) — Units of partnership interest
Issuer of security(ies) — Hollis Capital Partners, L.P.
Address of issuer — Same as above
State or country in which organized — California

Home Properties, L.P.
 850 Clinton Sq., Rochester, NY 14604
Security(ies) — Limited partnership units
Issuer of security(ies) — Home Properties, L.P.
Address of issuer — Same as above
State or country in which organized — New York

Identica Holdings Corporation
 3675 W. Shore Blvd., #260, Tampa, FL 33629
State or country in which incorporated — Nevada
Security(ies) — Promissory notes
Issuer of security(ies) — Identica Holdings Corporation
Address of issuer — Same as above
State or country in which organized — Nevada

Imperium Specialty Finance Fund, L.P.
 153 E. 53rd St., 29th Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Imperium Specialty Finance Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Insight Acquisition Corp.
 c/o The Carlyle Group, 520 Madison Ave., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Class C preferred stock
Issuer of security(ies) — Insight Acquisition Corp.
Address of issuer — Same as above
State or country in which organized — Delaware

Investors Advantage Capital Fund I, LLC
 10 W. Fourth St., Suite 10, Waterloo, IA 50701

State or country in which incorporated — Iowa
 Security(ies) — Limited liability company membership interests
 Issuer of security(ies) — Investors Advantage Capital Fund I, LLC
 Address of issuer — Same as above
 State or country in which organized — Iowa

ITB Premium Fund I, Ltd.
 311 S. Florida Ave., Lakeland, FL 33801
 Security(ies) — Limited partnership interest
 Issuer of security(ies) — ITB Premium Fund I, Ltd.
 Address of issuer — Same as above
 State or country in which organized — Florida

JMG Capital Partners, L.P.
 11601 Wilshire Blvd., Suite 2180, Los Angeles, CA 90025
 State or country in which incorporated — California Limited partnership
 Security(ies) — Limited partnership interests
 Issuer of security(ies) — JMG Capital Partners, L.P.
 Address of issuer — Same as above
 State or country in which organized — California

J.P. Morgan Securities Inc.
 270 Park Ave., New York, NY 10017
 State or country in which incorporated — Delaware
 Security(ies) — 7.875% senior notes due 2015
 Issuer of security(ies) — Gerresheimer Holdings GmbH
 Address of issuer — Benrather Strasse 18-20, 40213 Dusseldorf, Germany
 State or country in which organized — Germany

K&E Investment Partners, L.P.
 200 E. Randolph Dr., Suite 5700, Chicago, IL 60601
 Security(ies) — Limited partnership interests
 Issuer of security(ies) — K&E Investment Partners, L.P.
 Address of issuer — Same as above
 State or country in which organized — Delaware

Keefe, Bruyette & Woods, Inc.
 787 Seventh Ave., New York, NY 10019
 State or country in which incorporated — New York
 Security(ies) — Common stock
 Issuer of security(ies) — Gateway Financial Holdings, Inc.
 Address of issuer — 1145 N. Road St., Elizabeth City, NC 27909
 State or country in which organized — North Carolina

Lab 9 Solutions Inc.
 28 Clothier St., Unit B, Box 1439, Kemptville, Ontario, Canada K0G 1J0
 State or country in which incorporated — Canada
 Security(ies) — Common shares
 Issuer of security(ies) — Lab 9 Solutions Inc.
 Address of issuer — Box 1439, Kemptville, Ontario, Canada K0G1J0
 State or country in which organized — Canada

L.A. Capital Management, Ltd.
 c/o Lufkin Advisors, LLC, 1065 E. Hillside Blvd., Suite 228, Foster City, CA 94404
 State or country in which incorporated — Cayman Islands
 Security(ies) — Shares of stock
 Issuer of security(ies) — L.A. Capital Management, Ltd.
 Address of issuer — Same as above
 State or country in which organized — Cayman Islands

Lake Union Capital Fund, LP
 c/o Lake Union Capital Management, LLC, One Union Sq., 600 University St., Suite 1520, Seattle, WA 98101
 Security(ies) — Limited partnership interests
 Issuer of security(ies) — Lake Union Capital Fund, LP
 Address of issuer — Same as above
 State or country in which organized — Delaware

Lee's Landing Garage
 203 Beale St., Suite 300, Memphis, TN 38103
 State or country in which incorporated — Delaware limited liability company
 Security(ies) — Class A membership interests
 Issuer of security(ies) — Lee's Landing Garage, LLC
 Address of issuer — Same as above
 State or country in which organized — Delaware

LFL Partners, L.P.
 500 W. Harbor Dr., #419, San Diego, CA 92101
 State or country in which incorporated — Delaware limited partnership
 Security(ies) — Limited partnership interest
 Issuer of security(ies) — LFL Partners, L.P.
 Address of issuer — Same as above
 State or country in which organized — Delaware

Living Independently Group, Inc.
 767 Third Ave., 14th Fl., New York, NY 10017
 Security(ies) — Series B convertible redeemable preferred stock
 Issuer of security(ies) — Living Independently Group, Inc.
 Address of issuer — Same as above
 State or country in which organized — Delaware

Lpath Therapeutics Inc.
 9191 Towne Center Dr., Suite 310, San Diego, CA 92122
 State or country in which incorporated — Delaware
 Security(ies) — Common stock, \$.001 par value
 Issuer of security(ies) — Lpath Therapeutics Inc.
 Address of issuer — Same as above

Lpath Therapeutics Inc.
 9191 Towne Center Dr., Suite 310, San Diego, CA 92122
 State or country in which incorporated — Delaware
 Security(ies) — Warrants (for purchase of common stock, par value \$0.001)
 Issuer of security(ies) — Lpath Therapeutics Inc.
 Address of issuer — Same as above

Lubert-Adler Real Estate Fund V, L.P.
 4005 Kennett Pike, Suite 220, Greenville, DE 19807
 Security(ies) — Limited partnership interests
 Issuer of security(ies) — Lubert-Adler Real Estate Fund V, L.P.
 Address of issuer — Same as above
 State or country in which organized — Delaware

LURHQ Corporation
 P.O. Box 50667, Myrtle Beach, SC 29579
 State or country in which incorporated — Delaware
 Security(ies) — One unit consisting of non-qualified stock options to purchase common shares; stock appreciation rights; stock awards; other stock-based awards

Issuer of security(ies) — LURHQ Corporation
 Address of issuer — Same as above
 State or country in which organized — Delaware

Mainstream Active Value Fund, L.P.
c/o Mainstream Investment Advisers, LLC, 2900 Axton Lane, Suite 3,
Goshen, KY 40026

Security(ies) — Limited partnership interests
Issuer of security(ies) — Mainstream Active Value Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Martini Park, LLC
8933 Valhalla Dr., Delray Beach, FL 33446
State or country in which incorporated — Delaware
Security(ies) — Units consisting of 10 preferred membership interests
Issuer of security(ies) — Martini Park, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Maxim Group LLC
405 Lexington Ave., New York, NY 10174
State or country in which incorporated — New York
Security(ies) — Limited partnership interests
Issuer of security(ies) — Maxim Diversified Equity LP
Address of issuer — 99 Sunnyside Blvd., Woodbury, NY 11797
State or country in which organized — New York

Mellon Funds Distributor, L.P.
One Boston Place, Boston, MA 02108-4408
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest, par value
Issuer of security(ies) — Mellon Institutional Funds Investment Trust—Mellon Equity Large Cap Growth Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Mellon Funds Distributor, L.P.
One Boston Place, Boston, MA 02108-4408
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest, par value
Issuer of security(ies) — Mellon Institutional Funds Investment Trust—Mellon Institutional Market Neutral Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Mellon Funds Distributor, L.P.
One Boston Place, Boston, MA 02108-4408
State or country in which incorporated — Massachusetts
Security(ies) — shares of beneficial interest, par value
Issuer of security(ies) — Mellon Institutional Funds Investment Trust—Newton International Equity Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Mellon HBV U.S. Event Driven Fund LP
200 Park Ave., Suite 3300, New York, NY 10166
Security(ies) — Partnership interests
Issuer of security(ies) — Mellon HBV U.S. Event Driven Fund LP
Address of issuer — Same as above
State or country in which organized — Delaware

Mellon HBV Rediscovered Opportunities Fund LP
200 Park Ave., Suite 3300, New York, NY 10166
Security(ies) — Partnership interests
Issuer of security(ies) — Mellon HBV Rediscovered Opportunities Fund LP

Address of issuer — Same as above
State or country in which organized — Delaware

Merrill Lynch, Pierce, Fenner & Smith Incorporated
Four World Financial Center, New York, NY 10080
State or country in which incorporated — Delaware
Security(ies) — Notes, due 2036 together with 44,400 preferred shares
Issuer of security(ies) — ALESCO Preferred Funding IX, Ltd.
Address of issuer — P.O. Box 908 GT, George Town, Grand Caymans,
Cayman Islands
State or country in which organized — Cayman Islands

Merrill Lynch, Pierce, Fenner & Smith Incorporated
Four World Financial Center, New York, NY 10080
State or country in which incorporated — Delaware
Security(ies) — Notes, due 2036 together with 44,400 preferred shares
Issuer of security(ies) — ALESCO Preferred Funding IX, Inc.
Address of issuer — c/o Puglisi & Associates, 850 Liberty Ave., Suite
204, Newark, DE 19711
State or country in which organized — Delaware

Mindworks Learning, Inc.
3055 Plymouth Rd., Suite 204, Ann Arbor, MI 48105
State or country in which incorporated — Michigan
Security(ies) — Common stock
Issuer of security(ies) — Mindworks Learning, Inc.
Address of issuer — Same as above
State or country in which organized — Michigan

Minolad Master Fund, L.P.
c/o Walkers SPV Limited, P.O. Box 908 GT, Walker House, Mary St.,
George Town, Grand Cayman, Cayman Islands
Security(ies) — Limited partnership interests
Issuer of security(ies) — Minolad Master Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

MISCOR Group, Ltd.
1125 S. Walnut St., South Bend, IN 46619
State or country in which incorporated — Indiana
Security(ies) — Common stock
Issuer of security(ies) — MISCOR Group, Ltd.
Address of issuer — Same as above
State or country in which organized — Indiana

Morgan Beaumont, Inc.
6015 31st St., East Bradenton, FL 34203
State or country in which incorporated — Nevada
Security(ies) — Common stock
Issuer of security(ies) — Morgan Beaumont, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Morgan Stanley & Co. Incorporated
One Tower Bridge, 100 Front St., Suite 1100, West Conshohocken, PA
19428
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Alternative Investment Partners Absolute
Return Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Morgan Stanley Institutional Fund, Inc.—International Growth Equity Portfolio
c/o JP Morgan Investor Services Company, 73 Tremont St., Boston, MA 02108-3913

State or country in which incorporated — Common stock, \$.001 par value

Security(ies) — Common Stock, \$.001 par value

Issuer of security(ies) — Morgan Stanley Institutional Fund, Inc.—International Growth Equity Portfolio

Address of issuer — Same as above

State or country in which organized — Maryland

Multi-Financial Securities Corporation

1290 Broadway, 14th Fl., Denver, CO 80203

State or country in which incorporated — Colorado

Security(ies) — Limited partnership interests

Issuer of security(ies) — RFMC Echelon Fund LP

Address of issuer — Four Benedek Rd., Princeton, New Jersey 08540

State or country in which organized — Delaware

Murex International Luxembourg S.A.

69 A boulevard de la Petrusse, L. 2320 Luxembourg

State or country in which incorporated — Luxembourg

Security(ies) — Stock options

Issuer of security(ies) — Murex International Luxembourg S.A.

Address of issuer — Same as above

State or country in which organized — Luxembourg

National Financial Services, LLC

200 Liberty St., New York, NY 10281

Security(ies) — Limited partnership interests

Issuer of security(ies) — RFMC Echelon Fund LP

Address of issuer — Four Benedek Rd., Princeton, NJ 08540

State or country in which organized — Delaware

Neuberger Berman Income Funds

605 Third Ave., 2nd Fl., New York, NY 10158-0180

Security(ies) — Neuberger Berman Income Funds—Lehman Brothers New York Municipal Money Fund—Investor Class—shares of beneficial interest (par value \$0.001 per share)

Issuer of security(ies) — Neuberger Berman Income Funds—Lehman Brothers New York Municipal Money Fund

Address of issuer — Same as above

State or country in which organized — Delaware

Neuberger Berman Income Funds

605 Third Ave., 2nd Fl., New York, NY 10158-0180

Security(ies) — Neuberger Berman Income Funds—National Municipal Money Fund—Reserve Class—shares of beneficial interest (par value \$0.001 per share)

Issuer of security(ies) — Neuberger Berman Income Funds—National Municipal Money Fund

Address of issuer — Same as above

State or country in which organized — Delaware

Neuberger Berman Income Funds

605 Third Ave., 2nd Fl., New York, NY 10158-0180

Security(ies) — Neuberger Berman Income Funds—Tax-Free Money Fund—Reserve Class—shares of beneficial interest (par value \$0.001 per share)

Issuer of security(ies) — Neuberger Berman Income Funds—Tax-Free Money Fund

Address of issuer — Same as above

State or country in which organized — Delaware

Noesis Capital Corp.

1801 Clint Moore Rd., Suite 100, Boca Raton, FL 33487

State or country in which incorporated — Florida

Security(ies) — Class H LLC units

Issuer of security(ies) — InSite MediaCom, LLC

Address of issuer — 1317 Beverly Estate Dr., Beverly Hills, CA 90210

State or country in which organized — Delaware

Nollenberger Capital Partners Inc.

101 California St., Suite 3100, San Francisco, CA 94111

State or country in which incorporated — Delaware

Security(ies) — Non-voting common stock

Issuer of security(ies) — Nollenberger Capital Partners Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Nomad Investment Partnership, L.P., The

c/o Marathon Asset Management (Cayman) Limited, P.O. Box 61 GT,

Harbour Centre, George Town, Grand Cayman, Cayman Islands, BWI

Security(ies) — Limited partnership interests

Issuer of security(ies) — The Nomad Investment Partnership L.P.

Address of issuer — Same as above

State or country in which organized — Cayman Islands

Nomad Investment Company Limited, The

P.O. Box 61 GT, Harbour Centre, George Town, Grand Cayman,

Cayman Islands, BWI

State or country in which incorporated — Cayman Islands

Security(ies) — Ordinary shares

Issuer of security(ies) — The Nomad Investment Company Limited

Address of issuer — Same as above

State or country in which organized — Cayman Islands

Northern Funds Distributors, LLC

Four Falls Corporate Center, 6th Fl., West Conshohocken, PA 19428-2961

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Northern Funds—Enhanced Large Cap Fund

Address of issuer — P.O. Box 75986, Chicago, IL 60690-6319

State or country in which organized — Delaware

Offshore Wave Fund, Ltd.

c/o Walkers SPV Limited, P.O. Box 908 GT, Walker House, Mary St.,

George Town, Grand Cayman, Cayman Islands B.W.I.

State or country in which incorporated — Cayman Islands

Security(ies) — Ordinary shares

Issuer of security(ies) — Offshore Wave Fund, Ltd.

Address of issuer — Same as above

State or country in which organized — Cayman Islands

Onshore Wave Fund, LP

Four Queen St., Charleston, SC 29401

Security(ies) — Limited partnership interests

Issuer of security(ies) — Onshore Wave Fund, LP

Address of issuer — Same as above

State or country in which organized — Delaware

Origin Agritech Limited

625 Broadway, Suite 1111, San Diego, CA 92101

State or country in which incorporated — British Virgin Islands

Security(ies) — Common stock

Issuer of security(ies) — Origin Agritech Limited

Address of issuer — Same as above

State or country in which organized — British Virgin Islands

Paragon Capital LP
110 E. 59th St., 29th Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Paragon Capital LP
Address of issuer — Same as above
State or country in which organized — Delaware

PCS Edventures!.Com, Inc
345 Bobwhite Ct., Suite 200, Boise, ID 83706
State or country in which incorporated — Idaho
Security(ies) — Common stock
Issuer of security(ies) — PCS Edventures!.Com, Inc
Address of issuer — Same as above
State or country in which organized — Idaho

Pioneer Funds Distributor, Inc.
60 State St., 19th Fl., Boston, MA 02109
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Pioneer Fundamental Growth Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Pioneer Funds Distributor, Inc.
60 State St., 19th Fl., Boston, MA 02109
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Pioneer Series Trust V—Pioneer Global Select Equity Fund
Address of issuer — Same as above
State or country in which organized — Delaware

PrimeVest Financial Services, Inc.
400 First St. S, Suite 300, St. Cloud, MN 56301
State or country in which incorporated — Minnesota
Security(ies) — Limited partnership interests
Issuer of security(ies) — RFMC Echelon Fund, LP
Address of issuer — Four Benedek Rd., Princeton, NJ 08540
State or country in which organized — Delaware

Professional Funds Distributor, LLC
760 Moore Rd., King of Prussia, PA 19406
State or country in which incorporated — Delaware
Security(ies) — Share of beneficial interest, par value
Issuer of security(ies) — WT Mutual Fund—Wilmington Aggressive Asset Allocation Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Professional Funds Distributor, LLC
760 Moore Rd., King of Prussia, PA 19406
State or country in which incorporated — Delaware
Security(ies) — Share of beneficial interest, par value
Issuer of security(ies) — WT Mutual Fund—Wilmington Conservative Asset Allocation Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Professional Funds Distributor, LLC
760 Moore Rd., King of Prussia, PA 19406
State or country in which incorporated — Delaware
Security(ies) — Share of beneficial interest, par value
Issuer of security(ies) — WT Mutual Fund—Wilmington ETF Allocation Fund

Address of issuer — Same as above
State or country in which organized — Delaware

Professional Funds Distributor, LLC
760 Moore Rd., King of Prussia, PA 19406
State or country in which incorporated — Delaware
Security(ies) — Share of beneficial interest, par value
Issuer of security(ies) — WT Mutual Fund—Wilmington Mid-Cap Core Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Professional Funds Distributor, LLC
760 Moore Rd., King of Prussia, PA 19406
State or country in which incorporated — Delaware
Security(ies) — Share of beneficial interest, par value
Issuer of security(ies) — WT Mutual Fund—Wilmington Moderate Asset Allocation Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Professional Funds Distributor, LLC
760 Moore Rd., King of Prussia, PA 19406
State or country in which incorporated — Delaware
Security(ies) — Share of beneficial interest, par value
Issuer of security(ies) — WT Mutual Fund—Wilmington Small-Cap Growth Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Professional Funds Distributor, LLC
760 Moore Rd., King of Prussia, PA 19406
State or country in which incorporated — Delaware
Security(ies) — Share of beneficial interest, par value
Issuer of security(ies) — WT Mutual Fund—Wilmington Small-Cap Value Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Rapid Link, Incorporated
17383 Sunset Blvd., #350, Pacific Palisades, CA 90272
State or country in which incorporated — Delaware
Security(ies) — Convertible notes with warrants
Issuer of security(ies) — Rapid Link, Incorporated
Address of issuer — Same as above
State or country in which organized — Delaware

RBC Capital Markets Corporation
One Liberty Plaza, 4th Fl., New York, NY 10006
State or country in which incorporated — New York
Security(ies) — Common stock
Issuer of security(ies) — Geokinetics Inc.
Address of issuer — One Riverway, Suite 2100, Houston, TX 77056
State or country in which organized — Delaware

Return Path, Inc.
304 Park Ave., 7th Fl., New York, NY 10010
State or country in which incorporated — Delaware
Security(ies) — Series C-1 preferred stock
Issuer of security(ies) — Return Path, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Right Force Orthodontics, Inc.
20104 Woodtrail Rd., Round Hill, VA 20141
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Right Force Orthodontics, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Rockies Region Private Limited Partnership
103 E. Main St., P.O. Box 26, Bridgeport, WV 26330
Security(ies) — General/limited partnership interest
Issuer of security(ies) — Rockies Region Private Limited Partnership
Address of issuer — Same as above
State or country in which organized — West Virginia

Rockwell Asset Management, LLC
% Alpha Society, LLC, 175 Pinelawn Rd., Suite 100, Melville, NY 11747
Security(ies) — Units of limited partnership interests
Issuer of security(ies) — Alpha Radar Fund, L.P.
Address of issuer — 225 Windmill Lane, Southampton, NY 11968
State or country in which organized — New York

Royce Fund, The - Pennsylvania Mutual Fund
1414 Avenue of the Americas, New York, NY 10019
Security(ies) — Shares of beneficial interest - consultant class
Issuer of security(ies) — The Royce Fund - Pennsylvania Mutual Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Royce Fund, The - Royce Select Fund I
1414 Avenue of the Americas, New York, NY 10019
Security(ies) — Shares of beneficial interest - financial intermediary class
Issuer of security(ies) — The Royce Fund - Royce Select Fund I
Address of issuer — Same as above
State or country in which organized — Delaware

Royce Fund, The - Pennsylvania Mutual Fund
1414 Avenue of the Americas, New York, NY 10019
Security(ies) — Shares of beneficial interest - investment class
Issuer of security(ies) — The Royce Fund - Pennsylvania Mutual Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Sanders Morris Harris Inc.
600 Travis, Suite 3100, Houston, TX 77002
State or country in which incorporated — Texas
Security(ies) — Limited partnership interest
Issuer of security(ies) — RFMC Echelon Fund LP
Address of issuer — Four Benedek Rd., Princeton, NJ 08540
State or country in which organized — Delaware

Sanders Morris Harris, Inc.
527 Madison Ave., New York, NY 10022
Security(ies) — Units consisting of common stock and warrants
Issuer of security(ies) — SiriCOMM, Inc.
Address of issuer — 2900 Davis Blvd., Suite 130, Joplin, MO 64804
State or country in which organized — Delaware

Sarasota Main Investors LLC
% Paragon Management Group, 276 Post Rd. W, Suite 201, Westport, CT 06880

State or country in which incorporated — Delaware limited liability company
Security(ies) — Limited liability company interests
Issuer of security(ies) — Sarasota Main Investors LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Smart Pill Corporation, The
847 Main St., Buffalo, NY 14203
State or country in which incorporated — Delaware
Security(ies) — Series E preferred stock with warrants to purchase series E preferred stock
Issuer of security(ies) — The Smart Pill Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Stelco Inc.
386 Wilcox St., Hamilton, Ontario, Canada L8L 8K5
State or country in which incorporated — Canada
Security(ies) — Secured floating rate notes due 2016
Issuer of security(ies) — Stelco Inc.
Address of issuer — Same as above
State or country in which organized — Canada

Stelco Inc.
386 Wilcox St., Hamilton, Ontario, Canada L8L 8K5
State or country in which incorporated — Canada
Security(ies) — Warrants to purchase common shares
Issuer of security(ies) — Stelco Inc.
Address of issuer — Same as above
State or country in which organized — Canada

Strategic Financial Alliance, Inc., The
3384 Peachtree Rd., Suite 900, Atlanta, GA 30326
State or country in which incorporated — Georgia
Security(ies) — Limited partnership interests
Issuer of security(ies) — RFMC Echelon Fund, LP
Address of issuer — Four Benedek Rd., Princeton, NJ 08540
State or country in which organized — Delaware

SSI Tactical Alpha Hedge 100, L.P.
357 N. Canon Dr., Beverly Hills, CA 90210
State or country in which incorporated — California limited partnership
Security(ies) — Limited partner interests
Issuer of security(ies) — SSI Tactical Alpha Hedge 100, L.P.
Address of issuer — Same as above
State or country in which organized — California

Supernus Pharmaceutical Inc.
1550 E. Gude Dr., Rockville, MD 20850
State or country in which incorporated — Delaware
Security(ies) — Series A convertible preferred
Issuer of security(ies) — Supernus Pharmaceuticals, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

S.W. Bach & Company
Six Harbor Park Dr., Port Washington, NY 11050
Security(ies) — Common stock
Issuer of security(ies) — Colorep, Inc.
Address of issuer — 9119 Mulikan Ave., Rancho Cucamonga, CA 91730
State or country in which organized — California

Taurus Capital Funding, LLC
 118 Milk St., Second Fl., Boston, MA 02109
Security(ies) — 36 units of limited partnership interest
Issuer of security(ies) — Taurus Hornbach Investors Limited Partnership
Address of issuer — Same as above
State or country in which organized — Delaware

Towerhill International Equities, LLC
 10 S. Lyle Ave., St. Louis, MO 63105
State or country in which incorporated — Delaware
Security(ies) — Member interests
Issuer of security(ies) — Towerhill International Equities, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Towerhill Long/Short Equity, LLC
 10 S. Lyle Ave., St. Louis, MO 63105
State or country in which incorporated — Delaware
Security(ies) — Member interests
Issuer of security(ies) — Towerhill Long/Short Equity, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Towerhill US Equities, LLC
 10 S. Lyle Ave., St. Louis, MO 63105
State or country in which incorporated — Delaware
Security(ies) — Member interests
Issuer of security(ies) — Towerhill US Equities, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Trend Integration, LLC
 One Mall Dr., Suite 615, Cherry Hill, NJ 08002
State or country in which incorporated — New Jersey limited liability company
Security(ies) — Limited liability company units
Issuer of security(ies) — Trend Integration, LLC
Address of issuer — Same as above

Trinity Street Company Limited Partnership
 % DMGP1, LLC, 86 Stanton St., #6, New York, NY 10002
State or country in which incorporated — New York limited partnership
Security(ies) — Limited partnership interests
Issuer of security(ies) — Trinity Street Company Limited Partnership
Address of issuer — Same as above
State or country in which organized — New York

Two and Only Broadway LLC, The
 % Gindi Theatrical Management, Inc., 311 W. 43rd St., Suite 605, New York, NY 10036
Security(ies) — Limited liability company interests
Issuer of security(ies) — The Two and Only Broadway LLC
Address of issuer — Same as above
State or country in which organized — Delaware

UHY Advisors, Inc.
 303 W. Madison, Suite 1100, Chicago, IL 60606
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — UHY Advisors, Inc.

Address of issuer — Same as above
State or country in which organized — Delaware

Uhlmann Price Securities, LLC
 141 W. Jackson Blvd., Suite 1340-A, Chicago, IL 60604
Security(ies) — Limited partnership interests
Issuer of security(ies) — RFMC Echelon Fund LP
Address of issuer — Four Benedek Rd., Princeton, NJ 08540
State or country in which organized — Delaware

United Heritage Corporation
 Two N. Caddo St., Cleburne, TX 76031
State or country in which incorporated — Utah
Security(ies) — Common stock with warrants to purchase common stock
Issuer of security(ies) — United Heritage Corporation
Address of issuer — Same as above
State or country in which organized — Utah

US EURO Securities, Inc.
 275 Madison Ave., 6th Fl., New York, NY 10016
State or country in which incorporated — California
Security(ies) — General and limited partner interests in limited partnership
Issuer of security(ies) — Global Energy Partners 1, L.P.
Address of issuer — 351 S. Sherman St., Suite 104, Richardson, TX 75081
State or country in which organized — Delaware

US EURO Securities, Inc.
 275 Madison Ave., 6th Fl., New York, NY 10016
State or country in which incorporated — California
Security(ies) — General and limited partner interests in limited partnership
Issuer of security(ies) — Industry Partners Energy Fund II, L.P.
Address of issuer — 351 S. Sherman St., Suite 104, Richardson, TX 75081
State or country in which organized — Delaware

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Insured Municipals Income Trust, 102nd Limited Maturity Series
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Morgan Stanley Technology IndexSM Portfolio 2006-1
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Van Kampen Unit Trusts, Municipal Series 591 (Pennsylvania Insured Municipals Income Trust, Series 305)

Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — S&P Japan Enhanced Index Strategy Portfolio 2006-1
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Van Kampen Equity Trust II — Van Kampen International Growth Fund
Address of issuer — Sone Parkview Plaza, P.O. Box 5555, Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Verseon, LLC
 2062-B2 Walsh Ave., Santa Clara, CA 95050
Security(ies) — Series A limited liability company interest
Issuer of security(ies) — Verseon, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

vFinance Investments, Inc.
 880 Third Ave., Twelfth Fl., New York, NY 10022
State or country in which incorporated — Florida
Security(ies) — Series A preferred stock with common stock purchase warrants
Issuer of security(ies) — Sequiam Corporation
Address of issuer — 300 Sunport Lane, Orlando, FL 32809
State or country in which organized — California

Vicor Technologies, Inc.
 2300 Corporate Blvd. NW, Suite 123, Boca Raton, FL 33432
State or country in which incorporated — Delaware
Security(ies) — Convertible bridge promissory notes
Issuer of security(ies) — Vicor Technologies, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Wachovia Securities, Inc.
 901 E. Byrd St., Richmond, VA 23219
State or country in which incorporated — North Carolina
Security(ies) — Limited partnership interests
Issuer of security(ies) — RFMC Echelon Fund LP
Address of issuer — Four Benedek Rd., Princeton, NJ 08540
State or country in which organized — Delaware

Wachovia Capital Markets, LLC; (2) J.P. Morgan Securities Inc.
 301 S. College St., Charlotte, NC 28288; (2) 270 Park Ave., New York, NY 10017
State or country in which incorporated — (1) Delaware limited liability company; (2) Delaware
Security(ies) — 7.00% series O cumulative redeemable preferred stock
Issuer of security(ies) — AMB Property Corporation
Address of issuer — Pier 1, Bay 1, San Francisco, CA 94111
State or country in which organized — Maryland

Waveland Capital Partners, LLC
 19100 Von Karman Ave., Suite 480, Irvine, CA 92612

State or country in which incorporated — Delaware
Security(ies) — Waveland Drilling Partners 2005-B, L.P. partnership units
Issuer of security(ies) — Waveland Capital Partners LLC
Address of issuer — Same as above
State or country in which organized — Delaware

WestHart Apartments LLC
 % Stillman Management, 141 Halstead Ave., Mamaroneck, NY 10543
State or country in which incorporated — Delaware
Security(ies) — Class A and B membership interests of a limited liability company
Issuer of security(ies) — WestHart Apartments LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Westmoreland Capital Corporation
 2350 N. Forest Rd., Suite 12A, Getzville, NY 14068
State or country in which incorporated — New York
Security(ies) — Partner units
Issuer of security(ies) — Platinum Energy Partners 2005 D LP
Address of issuer — Same as above
State or country in which organized — Delaware

Wharton "B" Joint Venture
 1848 Norwood Plaza, Suite 109, Hurst, TX 76054
Security(ies) — Joint venture interests
Issuer of security(ies) — Wharton "B" Joint Venture
Address of issuer — Same as above
State or country in which organized — Texas

Whitney Strategic Partners VI, L.P.
 177 Broad St., Stamford, CT 06901
Security(ies) — Limited partnership interests
Issuer of security(ies) — Whitney Strategic Partners VI, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Wi-Tron, Inc.
 59 LaGrange St., Raritan, NJ 08869
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Wi-Tron, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

ZA International Fund, L.P.
 P.O. Box 309 George Town, Grand Cayman, Cayman Islands
Security(ies) — Limited partnership interests
Issuer of security(ies) — ZA International Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

