

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

Abacus Capital Partners LLC
666 Fifth Ave., 27th Fl., New York, NY 10103
State or country in which incorporated — Delaware

Altegris Investments, Inc.
1200 Prospect St., Suite 400, La Jolla, CA 92037
State or country in which incorporated — Arkansas

American Private Equity Partners II, L.P.
4151 Amon Carter Blvd., M.D. 2450, Fort Worth, TX 76155
Partnership — American Private Equity Management, L.L.C.
State or country in which incorporated —

Bathgate Capital Partners LLC
5350 S. Roslyn St., Suite 400, Greenwood Village, CO 80111
State or country in which incorporated — Colorado

Biflex Intimates Group, LLC
c/o Industrial Renaissance Inc., 2140 Sturges Hwy., Westport, CT 06880
State or country in which incorporated —

Black Capital Partners, LLC
45 W. 60th St., Suite 31D, New York, NY 10023
State or country in which incorporated — Delaware

Block Capital Offshore, Ltd.
c/o Ogier Fiduciary Services (Cayman) Limited, Queensgate House,
5th Fl., S. Church St., P.O. Box 1234GT, George Town, Grand Cayman,
Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands

Bric Retail, L.P.
12230 El Camino Real, Suite 100, San Diego, CA 92130
Partnership — Bricoleur Capital Management LLC
State or country in which incorporated —

BSMB III Executive Fund, L.P.
383 Madison Ave., New York, NY 10179
Partnership — Bear Stearns Merchant Capital III, L.P.

CDK Asia, L.P.
405 Park Ave., 11th Fl., New York, NY 10022
Partnership — CDK Capital, LLC

CDK Global Select, L.P.
405 Park Ave., 11th Fl., New York, NY 10022
Partnership — CDK Capital, LLC

Chino Commercial Bancorp
14345 Pipeline Ave., Chino, CA 91710
State or country in which incorporated — California

CMS/North Castle Partners IV, L.P.
c/o CMS Affiliated Partnerships, BalaPointe Office Centre, Suite 249,
111 Presidential Blvd., Bala Cynwyd, PA 19004
Partnership — Delaware limited partnership

Cornerstone Ministries Investments, Inc.
2450 Atlanta Hwy., Suite 904, Cumming, GA 30040
State or country in which incorporated — Georgia

D&S Residential Holdings, Inc.
225 S. Commons Ford Rd., Austin, TX 78733
State or country in which incorporated — Texas

Daktronics, Inc.
331 32nd Ave., Brookings, SD 57006
State or country in which incorporated — South Dakota

Data Systems & Software Inc.
200 Rte. 17, Mahwah, NJ 07430
State or country in which incorporated — Delaware

EAA Properties, LLC
c/o Midway Investors, L.L.C., 200 Park Ave. S, Suite 1705, New York,
NY 10003
State or country in which incorporated —

Empire Securities Corp.
10 Universal City Plaza, Studio City, CA 91608
State or country in which incorporated — California

Euro American Capital Corporation
3030 LBJ Fwy., #1320, Dallas, TX 75234
State or country in which incorporated — Texas

Fishcreek Capital Fund, L.P.
Seven Madison Ave., Summit, NJ 07901
Partnership — Fishcreek Asset Management, LLC

Giant 5 Funds—Giant 5 Total Index System
3435 Stelzer Rd., Columbus, OH 43219-8012
State or country in which incorporated — Delaware

Griffin Capital (Hookston Square) Investors, LLC
2321 Rosecrans Ave., Suite 3290, El Segundo, CA 90245
State or country in which incorporated — Delaware

Hudson Farol Capital Partners, L.P.
c/o Farol Management, LLC, 125 Half Mile Rd., Red Bank, NJ 07701
Partnership — Farol Management, LLC

International Columbia US, LLC
600 University St., Suite 2500, Seattle, WA 98101
State or country in which incorporated — Washington

JDM Capital, LLC
518 Olive St., Sausalito, CA 94965
State or country in which incorporated — California

Langlade Absolute Fund L.P.
P.O. Box 896GT, Harbour Centre, 2nd Fl., N. Church St., Grand Cayman, Cayman Islands
Partnership — Langlade General Partner Inc.

Langlade Absolute Fund L.P.
P.O. Box 896GT, Harbour Centre, 2nd Fl., N. Church St., Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands

Lau, Wai Kit
2/F, Hanwang Bldg., 5121#, Zhongguancun Software Park, Hai Dian District, Beijing 100094, PRC
State or country in which incorporated — British Virgin Islands

Leros Fund, L.P.
c/o Leros Capital, LLC, 140 Broadway, 45th Fl., New York, NY 10005
Partnership — Leros Capital, LLC

Leros International Fund, Ltd.
c/o BNY Alternative Investment Services, Ltd., Skandia House, 18 Church St., Hamilton HM 11, Bermuda
State or country in which incorporated — Bermuda

Lucas Energy Ventures II, LP
328 Newman Springs Rd., Red Bank, NJ 07701
Partnership — Lucas Energy Partners II, LLC

Mainstream Active Value Fund II, Limited Partnership
c/o Mainstream Investment Advisers, LLC, 2900 Axton Lane, Suite 3, Goshen, KY 40026
Partnership — Mainstream Investment Advisers, LLC, general partner

Monarch Capital Group LLC
500 5th Ave. 2240, New York, NY 10110

Ocean Equity Partners XI, LLLP
2400 E. Commercial Blvd., Suite 719, Fort Lauderdale, FL 33308
Partnership — IGS Capital Management Corp.

Ocean Equity Partners XII, LLLP
2400 E. Commercial Blvd., Suite 719, Fort Lauderdale, FL 33308
Partnership — IGS Capital Management Corp.

Ocean Equity Partners XIII, LLLP
2400 E. Commercial Blvd., Suite 719, Fort Lauderdale, FL 33308
Partnership — IGS Capital Management Corp.

Ocean Equity Partners XIV, LLLP
2400 E. Commercial Blvd., Suite 719, Fort Lauderdale, FL 33308
Partnership — IGS Capital Management Corp.

Ocean Partners, L.L.C.
1070 Ocean Ave., Sea Bright, NJ 07760
State or country in which incorporated — New Jersey limited liability company

Paloma Securities L.L.C.
Two American Lane, Greenwich, CT 06836-2571
Partnership — Brad Rothbaum

Piper Jaffray & Co.
405 Lexington Ave., 58th Fl., New York, NY 10174
State or country in which incorporated — Delaware

Plainfield Direct Institutional Offshore Feeder Fund Limited
c/o Spectrum Global Fund Administration (Cayman), Anchorage Center, 2nd Fl., P.O. Box 10243, APO Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands

Plainfield Direct Offshore Feeder Fund Limited
c/o Spectrum Global Fund Administration (Cayman), Anchorage Center, 2nd Fl., P.O. Box 10243, APO Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands

Plainfield Direct Onshore Feeder Fund Limited, LP
55 Railroad Ave., Plaza Level, Greenwich, CT 06830
Partnership — Plainfield Capital LLC

Ponza, LLC
511 Avenue of the Americas, Suite 500, New York, NY 10011
State or country in which incorporated — Delaware

Pope Investments LLC
5100 Poplar Ave., Suite 512, Memphis, TN 38137
State or country in which incorporated — Delaware limited liability company

Premier Indemnity Holding Company
3001 N. Rocky Point Dr., Suite 200, Tampa, FL 33607
State or country in which incorporated — Florida

Private Advisors Coinvestment Fund, L.P.
1800 Bayberry Court, Suite 300, Richmond, VA 23226
Partnership — PACIF general partners, LLC

Sionyx, Inc.
One Broadway, Cambridge, MA 02142
State or country in which incorporated — Delaware

STI Classic Funds—Seix Floating Rate High Income Fund
3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
State or country in which incorporated — Massachusetts

Striker Legacy Interests, CJ Property, LLC
4835 LBJ Fwy., Suite 200, Dallas, TX 75244

Tremblant Select LP
712 Fifth Ave., 43rd Fl., New York, NY 10019
Partnership — Tremblant Select Holdings LLC, general partner

Wachovia Securities, LLC
951 E. Byrd St., Richmond, VA 23219
State or country in which incorporated — Delaware

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(8), as amended]

**SECURITIES BY
DEALERS; BROKERS;
SYNDICATE MANAGERS**

Abacus Capital Partners LLC
666 Fifth Ave., 27th Fl., New York, NY 10103
Security(ies) — Limited liability company interests
Issuer of security(ies) — Abacus Capital Partners LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Altegris Investments, Inc.
1200 Prospect St., Suite 400, La Jolla, CA 92037
State or country in which incorporated — Arkansas
Security(ies) — Limited partnership interests
Issuer of security(ies) — APM-Appleton Currency Fund, L.P.
Address of issuer — c/o Altegris Portfolio Management, Inc., 1202 Bergen Pkwy., Suite 212, Evergreen, CO 80439
State or country in which organized — Delaware

Altegris Investments, Inc.
1200 Prospect St., Suite 400, La Jolla, CA 92037
State or country in which incorporated — Arkansas
Security(ies) — Limited partnership interests
Issuer of security(ies) — APM-MMG Macro Fund, L.P.
Address of issuer — c/o Altegris Portfolio Management, Inc., 1202 Bergen Pkwy., Suite 212, Evergreen, CO 80439
State or country in which organized — Delaware

Altegris Investments, Inc.
1200 Prospect St., Suite 400, La Jolla, CA 92037
State or country in which incorporated — Arkansas
Security(ies) — Limited partnership interests
Issuer of security(ies) — APM-Torrey Pines Fund, L.P.
Address of issuer — c/o Altegris Portfolio Management, Inc., 1202 Bergen Pkwy., Suite 212, Evergreen, CO 80439
State or country in which organized — Delaware

Altegris Investments, Inc.
1200 Prospect St., Suite 400, La Jolla, CA 92037
State or country in which incorporated — Arkansas
Security(ies) — Membership interests
Issuer of security(ies) — APM-Valhalla Resources Fund, L.P.
Address of issuer — c/o Altegris Portfolio Management, Inc., 1202 Bergen Pkwy., Suite 212, Evergreen, CO 80439
State or country in which organized — Colorado

Altegris Investments, Inc.
1200 Prospect St., Suite 400, La Jolla, CA 92037
State or country in which incorporated — Arkansas
Security(ies) — Units of limited partnership interest
Issuer of security(ies) — Clarke Worldwide Fund, L.P.
Address of issuer — c/o Altegris Portfolio Management, Inc., 1202 Bergen Pkwy., Suite 212, Evergreen, CO 80439
State or country in which organized — Colorado

Altegris Investments, Inc.
1200 Prospect St., Suite 400, La Jolla, CA 92037
State or country in which incorporated — Arkansas
Security(ies) — Limited partnership interest
Issuer of security(ies) — Winton Futures Fund, L.P.
Address of issuer — c/o Altegris Portfolio Management, Inc., 1202 Bergen Pkwy., Suite 212, Evergreen, CO 80439
State or country in which organized — Colorado

American Private Equity Partners II, L.P.
4151 Amon Carter Blvd., M.D. 2450, Fort Worth, TX 76155
State or country in which incorporated — Delaware limited partnership
Security(ies) — Limited partnership interests
Issuer of security(ies) — American Private Equity Partners II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Artisan Funds, Inc.—Artisan Emerging Markets Fund
875 E. Wisconsin Ave., Suite 800, Milwaukee, WI 53202
Security(ies) — Shares of common stock par value \$.01 per share
Issuer of security(ies) — Artisan Funds, Inc.—Artisan Emerging Markets Fund
Address of issuer — Same as above
State or country in which organized — Wisconsin

Banc of America Securities LLC; (2) Credit Suisse Securities (USA) LLC; (3) UBS Securities LLC; (4) Barclays Capital Inc.
Nine W. 57th St., New York, NY 10019; (2) 11 Madison Ave., New York, NY 10010; (3) 677 Washington Blvd., Stamford, CT 06901; (4) 200 Park Ave., New York, NY 10166
State or country in which incorporated — (1)-(3) Delaware limited liability company; (4) Connecticut
Security(ies) — % senior subordinated notes due 2014
Issuer of security(ies) — TransDigm Inc.
Address of issuer — 1301 E. 9th St., Suite 3710, Cleveland, OH 44114
State or country in which organized — Delaware

Bathgate Capital Partners LLC
5350 S. Roslyn St., Suite 400, Greenwood Village, CO 80111
State or country in which incorporated — Colorado
Security(ies) — Class A convertible preferred shares
Issuer of security(ies) — Grease Monkey China Ltd
Address of issuer — 7100 E. Belleview Ave., Suite 305, Greenwood Village, CO 80111
State or country in which organized — British Virgin Islands

Bear, Stearns & Co. Inc.
383 Madison Ave., New York, NY 10179
State or country in which incorporated — Delaware
Security(ies) — IndyMac residential mortgage-backed trust, series 2006-L2
Issuer of security(ies) — IndyMac Residential Mortgage-Backed Trust, Series 2006-L2
Address of issuer — 155 N. Lake Ave., Pasadena, CA 91101
State or country in which organized — Delaware

Biflex Intimates Group, LLC
 c/o Industrial Renaissance Inc., 2140 Sturges Hwy., Westport, CT 06880
Security(ies) — Basic percentages membership interests of the company
Issuer of security(ies) — Biflex Intimates Group, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Block Capital Offshore, Ltd.
 c/o Ogier Fiduciary Services (Cayman) Limited, Queensgate House,
 5th Fl., S. Church St., P.O. Box 1234GT, George Town, Grand Cayman,
 Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands, British West
 Indies
Security(ies) — Common shares, par value \$0.01 (U.S.) per share
Issuer of security(ies) — Block Capital Offshore, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Bric Retail, L.P.
 12230 El Camino Real, Suite 100, San Diego, CA 92130
Security(ies) — Limited partnership interests
Issuer of security(ies) — Bric Retail, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

BSMB III Executive Fund, L.P.
 383 Madison Ave., New York, NY 10179
Security(ies) — Limited partnership interests
Issuer of security(ies) — BSMB III Executive Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

CDK Asia, L.P.
 405 Park Ave., 11th Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — CDK Asia, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

CDK Global Select, L.P.
 405 Park Ave., 11th Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — CDK Global Select, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

CepTor Corporation
 200 International Circle, Suite 5100, Hunt Valley, MD 21030
State or country in which incorporated — Delaware
Security(ies) — Convertible notes
Issuer of security(ies) — CepTor Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Chino Commercial Bancorp
 14345 Pipeline Ave., Chino, CA 91710
State or country in which incorporated — California
Security(ies) — Common stock
Issuer of security(ies) — Chino Commercial Bancorp
Address of issuer — Same as above
State or country in which organized — California

Citigroup Global Markets Inc.
 388 Greenwich St., New York, NY 10013

State or country in which incorporated — New York
Security(ies) — Common stock
Issuer of security(ies) — Spirit Finance Corporation
Address of issuer — 14631 N. Scottsdale Rd., Suite 200, Scottsdale, AZ
 85254
State or country in which organized — Maryland

Claymore Securities, Inc.
 2455 Corporate West Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Closed-End Equity and Income Portfolio,
 Series 5
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities
 2455 Corporate West Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Multiple Asset Portfolio, Series 8
Address of issuer — Same as above
State or country in which organized — Kansas

CMS/North Castle Partners IV, L.P.
 c/o CMS Affiliated Partnerships, BalaPointe Office Centre, Suite 249,
 111 Presidential Blvd., Bala Cynwyd, PA 19004
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interests
Issuer of security(ies) — CMS/North Castle Partners IV, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

CoalTek, Inc.
 2189D Flintstone Dr., Tucker, GA 30084
State or country in which incorporated — Delaware
Security(ies) — Series B-1 convertible preferred stock
Issuer of security(ies) — CoalTek, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Cornerstone Ministries Investments, Inc.
 2450 Atlanta Hwy., Suite 904, Cumming, GA 30040
State or country in which incorporated — Georgia
Security(ies) — Common stock
Issuer of security(ies) — Cornerstone Ministries Investments, Inc.
Address of issuer — Same as above
State or country in which organized — Georgia

Cornerstone Ministries Investments, Inc.
 2450 Atlanta Hwy., Suite 904 Cumming, GA 30040
State or country in which incorporated — Georgia
Security(ies) — Series G bonds
Issuer of security(ies) — Cornerstone Ministries Investments, Inc.
Address of issuer — Same as above
State or country in which organized — Georgia

CUNA Brokerage Services, Inc.
 2000 Heritage Way, Waverly, IA 50677-9202
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — Members Mutual Funds—Aggressive
 Allocation Fund
Address of issuer — 5910 Mineral Point Rd., Madison, WI 53705
State or country in which organized — Delaware

CUNA Brokerage Services, Inc.
2000 Heritage Way, Waverly, IA 50677-9202
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — Members Mutual Funds—Conservative Allocation Fund
Address of issuer — 5910 Mineral Point Rd., Madison, WI 53705
State or country in which organized — Delaware

CUNA Brokerage Services, Inc.
2000 Heritage Way, Waverly, IA 50677-9202
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — Members Mutual Funds—Moderate Allocation Fund
Address of issuer — 5910 Mineral Point Rd., Madison, WI 53705
State or country in which organized — Delaware

D&S Residential Holdings, Inc.
225 S. Commons Ford Rd., Austin, TX 78733
State or country in which incorporated — Texas
Security(ies) — Junior notes with warrants
Issuer of security(ies) — D&S Residential Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Texas

Daktronics, Inc.
331 32nd Ave., Brookings, SD 57006
State or country in which incorporated — South Dakota
Security(ies) — Common stock
Issuer of security(ies) — Daktronics, Inc.
Address of issuer — Same as above
State or country in which organized — South Dakota

Data Systems & Software Inc.
200 Rte. 17, Mahwah, NJ 07430
State or country in which incorporated — Delaware
Security(ies) — Common stock with warrants
Issuer of security(ies) — Data Systems & Software Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

EAA Properties, LLC
c/o Midway Investors, L.L.C., 200 Park Ave. S, Suite 1705, New York, NY 10003
Security(ies) — Class A membership interests in a limited liability company
Issuer of security(ies) — EAA Properties, LLC
Address of issuer — Same as above
State or country in which organized — New York

Empire Securities Corp.
10 Universal City Plaza, Studio City, CA 91608
State or country in which incorporated — California
Security(ies) — Tenant in common interests
Issuer of security(ies) — Equitable DFW Office/Flex I, LP
Address of issuer — 1901 Avenue of the Stars, 2nd Fl., Los Angeles, CA 90067
State or country in which organized — Delaware

Empire Securities Corp.
10 Universal City Plaza, Studio City, CA 91608
State or country in which incorporated — California
Security(ies) — Tenant in common interests
Issuer of security(ies) — Equitable DFW Office/Flex II, LP

Address of issuer — 1901 Avenue of the Stars, 2nd Fl., Los Angeles, CA 90067
State or country in which organized — Delaware

Euro American Capital Corporation
3030 LBJ Fwy., #1320, Dallas, TX 75234
State or country in which incorporated — Texas
Security(ies) — Joint venture interests
Issuer of security(ies) — McClendon #1-H Joint Venture
Address of issuer — Same as above
State or country in which organized — Texas

First Trust Portfolio L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1187 (10 Uncommon Values®, 2006 Portfolio)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolio L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1188 (Lehman Brothers 10 Uncommon Values®, 2006 Portfolio)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolio L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1189 (Lehman Brothers 10 Uncommon Values®, 2006 Qualified Portfolio)
Address of issuer — Same as above
State or country in which organized — Illinois

Fishcreek Capital Fund, L.P.
Seven Madison Ave., Summit, NJ 07901
Security(ies) — Limited partnership interest
Issuer of security(ies) — Fishcreek Capital Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

FMR Corp.
82 Devonshire St., Boston, MA 02109
State or country in which incorporated — Delaware
Security(ies) — Series S subordinated debentures
Issuer of security(ies) — FMR Corp.
Address of issuer — Same as above
State or country in which organized — Delaware

Giant 5 Funds—Giant 5 Total Index System
3435 Stelzer Rd., Columbus, OH 43219-8012
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — BISYS Fund Services Limited Partnership
Address of issuer — 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
State or country in which organized — Delaware

Giant 5 Funds—Giant 5 Total Investment System
3435 Stelzer Rd., Columbus, OH 43219-8012
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — BISYS Fund Services Limited Partnership

Address of issuer — 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012

State or country in which organized — Delaware

Griffin Capital (Hookston Square) Investors, LLC
2321 Rosecrans Ave., Suite 3290, El Segundo, CA 90245

State or country in which incorporated — Delaware

Security(ies) — Tenancy in common interest in real estate

Issuer of security(ies) — Griffin Capital (Hookston Square) Investors, LLC

Address of issuer — Same as above

State or country in which organized — Delaware

Hudson Farol Capital Partners, L.P.
c/o Farol Management, LLC, 125 Half Mile Rd., Red Bank, NJ 07701

Security(ies) — Limited partnership interests

Issuer of security(ies) — Hudson Farol Capital Partners, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Indigo Securities LLC
780 Third Ave., Suite 2302, New York, NY 10017

State or country in which incorporated — New York

Security(ies) — 8% unsecured subordinated notes

Issuer of security(ies) — Liquidmetal Technologies, Inc.

Address of issuer — 25800 Commercentre Dr., Suite 100, Lake Forest, CA 92630

State or country in which organized — Delaware

Indigo Securities LLC
780 Third Ave., Suite 2302, New York, NY 10017

State or country in which incorporated — New York

Security(ies) — Warrants for common stock

Issuer of security(ies) — Liquidmetal Technologies, Inc.

Address of issuer — 25800 Commercentre Dr., Suite 100, Lake Forest, CA 92630

State or country in which organized — Delaware

International Columbia US, LLC
600 University St., Suite 2500, Seattle, WA 98101

State or country in which incorporated — Washington

Security(ies) — Limited liability company units

Issuer of security(ies) — International Columbia US, LLC

Address of issuer — Same as above

State or country in which organized — Washington

JDM Capital, LLC
518 Olive St., Sausalito, CA 94965

State or country in which incorporated — California

Security(ies) — Interests in limited liability company

Issuer of security(ies) — JDM Capital, LLC

Address of issuer — Same as above

State or country in which organized — California

J.P. Morgan Securities Inc.; (2) Calyon Securities (USA) Inc.
270 Park Ave., New York, NY 10017; (2) 1301 Avenue of the Americas, New York, NY 10019

State or country in which incorporated — (1) Delaware; (2) New York

Security(ies) — 7% senior notes due 2016

Issuer of security(ies) — Northwest Pipeline Corporation

Address of issuer — 295 Chipeta Way, Salt Lake City, UT 84108

State or country in which organized — Delaware

Langlade Absolute Fund L.P.
P.O. Box 896GT, Harbour Centre, 2nd Fl., N. Church St., Grand Cayman, Cayman Islands

Security(ies) — Limited partnership interests

Issuer of security(ies) — Langlade Absolute Fund L.P.

Address of issuer — Same as above

State or country in which organized — Cayman Islands

Langlade Absolute Fund L.P.
P.O. Box 896GT, Harbour Centre, 2nd Fl., N. Church St., Grand Cayman, Cayman Islands

State or country in which incorporated — Cayman Islands

Security(ies) — Redeemable voting preference shares

Issuer of security(ies) — Langlade Absolute Fund L.P.

Address of issuer — Same as above

State or country in which organized — Cayman Islands

LAU, Wai Kit
2/F, Hanwang Bldg., 5121#, Zhongguancun Software Park, Hai Dian District, Beijing 100094, PRC

State or country in which incorporated — British Virgin Islands

Security(ies) — Preference D shares

Issuer of security(ies) — Emcore Technology Inc.

Address of issuer — Same as above

State or country in which organized — British Virgin Islands

Leros Fund, L.P.
c/o Leros Capital, LLC, 140 Broadway, 45th Fl., New York, NY 10005

Security(ies) — Limited partnership interests

Issuer of security(ies) — Leros Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Leros International Fund, Ltd.
c/o BNY Alternative Investment Services, Ltd., Skandia House, 18 Church St., Hamilton HM 11, Bermuda

Security(ies) — Non-voting redeemable participating shares

Issuer of security(ies) — Leros International Fund, Ltd.

Address of issuer — Same as above

State or country in which organized — Bermuda

Lucas Energy Ventures II, LP
328 Newman Springs Rd., Red Bank, NJ 07701

Security(ies) — Limited partnership interests

Issuer of security(ies) — Lucas Energy Ventures II, LP

Address of issuer — Same as above

State or country in which organized — Delaware

Mainstream Active Value Fund II, LP
c/o Mainstream Investment Advisers, LLC, 2900 Axton Lane, Suite 3, Goshen, KY 40026

Security(ies) — Limited partnership interests

Issuer of security(ies) — Mainstream Active Value Fund II, Limited Partnership

Address of issuer — Same as above

State or country in which organized — Delaware

Monarch Capital Group LLC
500 5th Ave. 2240, New York, NY 10110

Security(ies) — Common stock

Issuer of security(ies) — Mad Crock Brands Inc.

Address of issuer — 7026 Old Katy Rd., Suite 254, Texas 77024

State or country in which organized — Texas

Ocean Equity Partners XI, LLLP
2400 E. Commercial Blvd., Suite 719, Fort Lauderdale, FL 33308
Security(ies) — Real estate limited partnership interests
Issuer of security(ies) — Ocean Equity Partners XI, LLLP
Address of issuer — Same as above
State or country in which organized — Florida

Ocean Equity Partners XII, LLLP
2400 E. Commercial Blvd., Suite 719, Fort Lauderdale, FL 33308
Security(ies) — Real estate limited partnership interests
Issuer of security(ies) — Ocean Equity Partners XII, LLLP
Address of issuer — Same as above
State or country in which organized — Florida

Ocean Equity Partners XIII, LLLP
2400 E. Commercial Blvd., Suite 719, Fort Lauderdale, FL 33308
Security(ies) — Real estate limited partnership interests
Issuer of security(ies) — Ocean Equity Partners XIII, LLLP
Address of issuer — Same as above
State or country in which organized — Florida

Ocean Equity Partners XIV, LLLP
2400 E. Commercial Blvd., Suite 719, Fort Lauderdale, FL 33308
Security(ies) — Real estate limited partnership interests
Issuer of security(ies) — Ocean Equity Partners XIV, LLLP
Address of issuer — Same as above
State or country in which organized — Florida

Ocean Partners, L.L.C.
1070 Ocean Ave., Sea Bright, NJ 07760
Security(ies) — Membership interests
Issuer of security(ies) — Ocean Partners, L.L.C.
Address of issuer — Same as above
State or country in which organized — New Jersey

Piper Jaffray & Co.
405 Lexington Ave., 58th Fl., New York, NY 10174
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Jones Soda Co.
Address of issuer — 234 Ninth Ave. N., Seattle, WA 98109
State or country in which organized — Washington

Plainfield Direct Institutional Offshore Feeder Fund Limited
c/o Spectrum Global Fund Administration (Cayman), Anchorage Center,
2nd Fl., P.O. Box 10243 APO Grand Cayman, Cayman Islands, British
West Indies
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares
Issuer of security(ies) — Plainfield Direct Institutional Offshore Feeder
Fund Limited
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Plainfield Direct Offshore Feeder Fund Limited
c/o Spectrum Global Fund Administration (Cayman), Anchorage Center,
2nd Fl., P.O. Box 10243 APO Grand Cayman, Cayman Islands, British
West Indies
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares
Issuer of security(ies) — Plainfield Direct Offshore Feeder Fund
Limited
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Plainfield Direct Onshore Feeder Fund LP
55 Railroad Ave., Plaza Level, Greenwich, CT 06830
Security(ies) — Limited partnership interests
Issuer of security(ies) — Plainfield Direct Onshore Feeder Fund LP
Address of issuer — Same as above
State or country in which organized — Delaware

Ponza, LLC
511 Avenue of the Americas, Suite 500, New York, NY 10011
State or country in which incorporated — Delaware
Security(ies) — Class B membership interests
Issuer of security(ies) — Ponza, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Pope Investments LLC
5100 Poplar Ave., Suite 512, Memphis, TN 38137
State or country in which incorporated — Delaware limited liability
company
Security(ies) — Class A membership units
Issuer of security(ies) — Pope Investments LLC
Address of issuer — Same as above
State or country in which organized — Delaware limited liability
company

Premier Indemnity Holding Company
3001 N. Rocky Point Dr., Suite 200, Tampa, FL 33607
State or country in which incorporated — Florida
Security(ies) — Common stock
Issuer of security(ies) — Premier Indemnity Holding Company
Address of issuer — Same as above
State or country in which organized — Florida

Private Advisors Coinvestment Fund, L.P.
1800 Bayberry Court, Suite 300, Richmond, VA 23226
Security(ies) — Limited partnership interests
Issuer of security(ies) — Private Advisors Coinvestment Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

SEI Investments Distribution Co.
One Freedom Valley Rd., Oaks, PA 19456
State or country in which incorporated — Pennsylvania
Security(ies) — Units of beneficial interest
Issuer of security(ies) — The Advisors' Inner Circle Fund—WHG
LargeCap Value Fund
Address of issuer — 101 Federal St., Boston, MA 02110
State or country in which organized — Massachusetts

Sionyx, Inc.
One Broadway, Cambridge, MA 02142
State or country in which incorporated — Delaware
Security(ies) — Series A preferred stock
Issuer of security(ies) — Sionyx, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Sloan Securities Corp.
444 Madison Ave., 23rd Fl., New York, NY 10022
State or country in which incorporated — New Jersey
Security(ies) — Shares of series C convertible preferred stock
Issuer of security(ies) — KnowFat Franchise Company, Inc.
Address of issuer — 20 Guest St., Suite 450
State or country in which organized — Brighton, MA 02135

STI Classic Funds—Seix Floating Rate High Income Fund
3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — BISYS Fund Services Limited Partnership
Address of issuer — Same as above
State or country in which organized — Massachusetts

Striker Legacy Interests, CJ Property, LLC
4835 LBJ Fwy., Suite 200, Dallas, TX 75244
Security(ies) — Working interests
Issuer of security(ies) — Striker Legacy Interests, CJ Property, LLC
Address of issuer — Same as above
State or country in which organized — Texas

Synta Pharmaceuticals, Inc.
45 Hartwell Ave., Lexington, MA 02421
State or country in which incorporated — Delaware
Security(ies) — Series A convertible preferred stock
Issuer of security(ies) — Synta Pharmaceuticals, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Techniscan, Inc.
1011 E. Murray-Holladay Rd., Salt Lake City, UT 84117
State or country in which incorporated — Utah
Security(ies) — Common stock
Issuer of security(ies) — Techniscan, Inc.
Address of issuer — Same as above
State or country in which organized — Utah

Tremblant Select LP
712 Fifth Ave., 43rd Fl., New York, NY 10019
Security(ies) — Limited partnership interests
Issuer of security(ies) — Tremblant Select LP
Address of issuer — Same as above
State or country in which organized — Delaware

Wachovia Bank NA; (2) Wachovia Securities LLC
401 S. Tyron St., Charlotte, NC 28288; (2) 901 E. Byrd St., WS 1042, Richmond, VA 23219
State or country in which incorporated — (1-2) Delaware
Security(ies) — A interests of a limited liability company
Issuer of security(ies) — DKR Quantitative Strategies ASW Fund
Address of issuer — 401 S. Tryon St., TH3, Charlotte, NC 28288-1157
State or country in which organized — Delaware

Wachovia Bank NA; (2) Wachovia Securities LLC
401 S. Tyron St., Charlotte, NC 28288; (2) 901 E. Byrd St., WS 1042, Richmond, VA 23219
State or country in which incorporated — (1-2) Delaware
Security(ies) — C interests of a limited liability company
Issuer of security(ies) — DKR Quantitative Strategies ASW Fund
Address of issuer — 401 S. Tryon St., TH3, Charlotte, NC 28288-1157
State or country in which organized — Delaware

Wachovia Bank NA; (2) Wachovia Securities LLC
401 S. Tyron St., Charlotte, NC 28288; (2) 901 E. Byrd St., WS 1042, Richmond, VA 23219
State or country in which incorporated — (1-2) Delaware
Security(ies) — I interests of a limited liability company
Issuer of security(ies) — DKR Quantitative Strategies ASW Fund
Address of issuer — 401 S. Tryon St., TH3, Charlotte, NC 28288-1157
State or country in which organized — Delaware

Wachovia Bank NA; (2) Wachovia Securities LLC
401 S. Tyron St., Charlotte, NC 28288; (2) 901 E. Byrd St., WS 1042, Richmond, VA 23219
State or country in which incorporated — (1-2) Delaware
Security(ies) — A interests of a limited liability company
Issuer of security(ies) — Graham Alternative Investment ASW Fund
Address of issuer — 401 S. Tryon St., TH3, Charlotte, NC 28288-1157
State or country in which organized — Delaware

Wachovia Bank NA; (2) Wachovia Securities LLC
401 S. Tyron St., Charlotte, NC 28288; (2) 901 E. Byrd St., WS 1042, Richmond, VA 23219
State or country in which incorporated — (1-2) Delaware
Security(ies) — C interests of a limited liability company
Issuer of security(ies) — Graham Alternative Investment ASW Fund
Address of issuer — 401 S. Tryon St., TH3, Charlotte, NC 28288-1157
State or country in which organized — Delaware

Wachovia Bank NA; (2) Wachovia Securities LLC
401 S. Tyron St., Charlotte, NC 28288; (2) 901 E. Byrd St., WS 1042, Richmond, VA 23219
State or country in which incorporated — (1-2) Delaware
Security(ies) — I interests of a limited liability company
Issuer of security(ies) — Graham Alternative Investment ASW Fund
Address of issuer — 401 S. Tryon St., TH3, Charlotte, NC 28288-1157
State or country in which organized — Delaware

Wachovia Bank NA; (2) Wachovia Securities LLC
401 S. Tyron St., Charlotte, NC 28288; (2) 901 E. Byrd St., WS 1042, Richmond, VA 23219
State or country in which incorporated — (1-2) Delaware
Security(ies) — A interests of a limited liability company
Issuer of security(ies) — Graham Alternative Investment II ASW Fund
Address of issuer — 401 S. Tryon St., TH3, Charlotte, NC 28288-1157
State or country in which organized — Delaware

Wachovia Bank NA; (2) Wachovia Securities LLC
401 S. Tyron St., Charlotte, NC 28288; (2) 901 E. Byrd St., WS 1042, Richmond, VA 23219
State or country in which incorporated — (1-2) Delaware
Security(ies) — C interests of a limited liability company
Issuer of security(ies) — Graham Alternative Investment II ASW Fund
Address of issuer — 401 S. Tryon St., TH3, Charlotte, NC 28288-1157
State or country in which organized — Delaware

Wachovia Bank NA; (2) Wachovia Securities LLC
401 S. Tyron St., Charlotte, NC 28288; (2) 901 E. Byrd St., WS 1042, Richmond, VA 23219
State or country in which incorporated — (1-2) Delaware
Security(ies) — I interests of a limited liability company
Issuer of security(ies) — Graham Alternative Investment II ASW Fund
Address of issuer — 401 S. Tryon St., TH3, Charlotte, NC 28288-1157
State or country in which organized — Delaware

Wachovia Bank NA; (2) Wachovia Securities LLC
401 S. Tyron St., Charlotte, NC 28288; (2) 901 E. Byrd St., WS 1042, Richmond, VA 23219
State or country in which incorporated — (1-2) Delaware
Security(ies) — A interests of a limited liability company
Issuer of security(ies) — GRE II ASW Fund
Address of issuer — 401 S. Tryon St., TH3, Charlotte, NC 28288-1157
State or country in which organized — Delaware

Wachovia Bank NA; (2) Wachovia Securities LLC
 401 S. Tyron St., Charlotte, NC 28288; (2) 901 E. Byrd St., WS 1042,
 Richmond, VA 23219
State or country in which incorporated — (1-2) Delaware
Security(ies) — C interests of a limited liability company
Issuer of security(ies) — GRE II ASW Fund
Address of issuer — 401 S. Tryon St., TH3, Charlotte, NC 28288-1157
State or country in which organized — Delaware

Wachovia Bank NA; (2) Wachovia Securities LLC
 401 S. Tyron St., Charlotte, NC 28288; (2) 901 E. Byrd St., WS 1042,
 Richmond, VA 23219
State or country in which incorporated — (1-2) Delaware
Security(ies) — I interests of a limited liability company
Issuer of security(ies) — GRE II ASW Fund
Address of issuer — 401 S. Tryon St., TH3, Charlotte, NC 28288-1157
State or country in which organized — Delaware

Wachovia Bank NA; (2) Wachovia Securities LLC
 401 S. Tyron St., Charlotte, NC 28288; (2) 901 E. Byrd St., WS 1042,
 Richmond, VA 23219
State or country in which incorporated — (1-2) Delaware
Security(ies) — A interests of a limited liability company
Issuer of security(ies) — Strategic Commodities ASW Fund
Address of issuer — 401 S. Tryon St., TH3, Charlotte, NC 28288-1157
State or country in which organized — Delaware

Wachovia Bank NA; (2) Wachovia Securities LLC
 401 S. Tyron St., Charlotte, NC 28288; (2) 901 E. Byrd St., WS 1042,
 Richmond, VA 23219
State or country in which incorporated — (1-2) Delaware
Security(ies) — C interests of a limited liability company
Issuer of security(ies) — Strategic Commodities ASW Fund
Address of issuer — 401 S. Tryon St., TH3, Charlotte, NC 28288-1157
State or country in which organized — Delaware

Wachovia Bank NA; (2) Wachovia Securities LLC
 401 S. Tyron St., Charlotte, NC 28288; (2) 901 E. Byrd St., WS 1042,
 Richmond, VA 23219
State or country in which incorporated — (1-2) Delaware
Security(ies) — I interests of a limited liability company
Issuer of security(ies) — Strategic Commodities ASW Fund C
Address of issuer — 401 S. Tryon St., TH3, Charlotte, NC 28288-1157
State or country in which organized — Delaware

Wachovia Bank NA; (2) Wachovia Securities LLC
 401 S. Tyron St., Charlotte, NC 28288; (2) 901 E. Byrd St., WS 1042,
 Richmond, VA 23219
State or country in which incorporated — (1-2) Delaware
Security(ies) — A interests of a limited liability company
Issuer of security(ies) — Strategic Commodities II ASW Fund
Address of issuer — 401 S. Tryon St., TH3, Charlotte, NC 28288-1157
State or country in which organized — Delaware

Wachovia Bank NA; (2) Wachovia Securities LLC
 401 S. Tyron St., Charlotte, NC 28288; (2) 901 E. Byrd St., WS 1042,
 Richmond, VA 23219
State or country in which incorporated — (1-2) Delaware
Security(ies) — C interests of a limited liability company
Issuer of security(ies) — Strategic Commodities II ASW Fund
Address of issuer — 401 S. Tryon St., TH3, Charlotte, NC 28288-1157
State or country in which organized — Delaware

Wachovia Bank NA; (2) Wachovia Securities LLC
 401 S. Tyron St., Charlotte, NC 28288; (2) 901 E. Byrd St., WS 1042,
 Richmond, VA 23219
State or country in which incorporated — (1-2) Delaware
Security(ies) — I interests of a limited liability company
Issuer of security(ies) — Strategic Commodities ASW II Fund
Address of issuer — 401 S. Tryon St., TH3, Charlotte, NC 28288-1157
State or country in which organized — Delaware

Wachovia Securities, LLC
 951 E. Byrd St., Richmond, VA 23219
State or country in which incorporated — (1-2) Delaware
Security(ies) — Units of beneficial interest
Issuer of security(ies) — The TAG International Equity Fund
Address of issuer — c/o Tattersall Advisory Group, Inc., 6802 Paragon
 Place, Suite 200, Richmond, VA 23230-1655
State or country in which organized — Delaware

