

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

519 9th LLC

15 W. 34th St., 7th Fl., New York, NY 10001
State or country in which incorporated — New York

Abdominis, Inc.

26429 Rancho Pkwy. S, Unit 140, Lake Forest, CA 91730
State or country in which incorporated — Delaware

ABRY Senior Equity Co-Investment Fund, L.P.

111 Huntington Ave., 30th Fl., Boston, MA 02199
Partnership — ABRY Senior Equity Co-Investment GP, LLC

AC Beach Associates, LLC

% Cape Advisors, LLC, 150 Lafayette St., 9th Fl., New York, NY 10013
State or country in which incorporated — New Jersey

ACBronx LLC

% Fogarty Advisory Services LLC, 59 Hilton Ave., Garden City, New York, NY 11530

Adhesion Technologies, Inc.

5935 Carnegie Blvd., Suite 301, Charlotte, NC 28209
State or country in which incorporated — North Carolina

AFA Financial Group, LLC

26637 W. Agoura Rd., Calabasas, CA 91302
State or country in which incorporated — California limited liability company

Agave Technologies International, Inc.

701-6 Koehler Ave., Ronkonkoma, NY 11779
State or country in which incorporated — Delaware

AHS Investment Partners, LLC

1000 Ridgeway Loop Rd., Suite 103, Memphis, TN 38120
State or country in which incorporated — Delaware

AIV V, LLC

143 S. Bedford Road, Pound Ridge, NY 10575
State or country in which incorporated — New York

Blue Fund L.P., The

590 Madison Ave., 21st Fl., New York, NY 10022
State or country in which incorporated — Delaware

Boston Ventures Limited Partnership VII

One Federal St., 23rd Fl., Boston, MA 02110
Partnership — Boston Ventures Company VII, LLC

Cannasat Therapeutics Inc.

828 Richmond St. W, Toronto, ON M6J 1C9 Canada
State or country in which incorporated — Canada

Catterton Partners VI, L.P.

599 W. Putnam Ave., Suite 200, Greenwich, CT 06830
Partnership — Catterton Managing Partners VI, LLC

CBLC Golf, LLC

3131 McKinney Ave., Suite 210, Dallas, TX 75204
State or country in which incorporated — Delaware

Centar Investment Group (Asia) Ltd.

3600 S. Lake Dr., St. Francis, WI 53235
State or country in which incorporated — British Virgin Islands

Cherry Tree Core Growth Fund, LLLP

301 Carlson Pkwy., #103, Minnetonka, MN 55305
State or country in which incorporated — Minnesota

Citco Mutual Fund Distributors, Inc.

83 General Warren Blvd., Suite 200, Malvern, PA 19355
State or country in which incorporated — Delaware

CMS/Quad Education Fund Q, L.P.

BalaPointe Office Centre, Suite 249, 111 Presidential Blvd., Bala Cynwyd, PA 19004
Partnership — CMS Education Associates, L.P. and CMS 2005 Investment Partners PE-Q, L.P.

Columbia Management Distributors, Inc.

One Financial Center, Boston, MA 02111
State or country in which incorporated — Massachusetts

CondoCare, Inc.

5201 Blue Lagoon Dr., Penthouse, Miami, FL 33126
State or country in which incorporated — Delaware

Context Advantage Fund, L.P.

12626 High Bluff Dr., Suite 440, San Diego, CA 92130
Partnership — Context Capital Management, LLC

COREalpha Private Equity Partners II L.P.
30 S. Wacker Dr., Suite 3920, Chicago, IL 60606
Partnership — WP COREalpha II GP, LLC

Cowen and Company, LLC
1221 Avenue of the Americas, New York, NY 10020
State or country in which incorporated — Delaware limited liability company

CRT Capital Group LLC
262 Harbor Dr., Stamford, CT 06902

Crystal Rock Fund, LLC
2201 Waukegan Rd., Suite 245, Bannockburn, IL 60015
Partnership — Crystal Rock Capital Management, LLC

Cuadrar Offshore Fund, Ltd., The
% Cambiar Investors, LLC, 2401 E. Second Ave., #400, Denver, CO 80206
State or country in which incorporated — Cayman Islands

Daniel Stewart & Company PLC
Becket House, 36 Old Jewry, London EC2R 8DD, UK
State or country in which incorporated — UK

Dermage, Inc.
225 E. 14th St., #1C, New York, NY 10003
State or country in which incorporated — North Carolina

Diamond A Partners, L.P.
591 Redwood Hwy., Suite 2345, Mill Valley, CA 94941
Partnership — Lawndale Capital Management, LLC

Diamond Edge Capital Partners, LLC
420 Lexington Ave., Suite 1620, New York, NY 10170

Direct Invest - Route 303, LLC
211 Congress St., 8th Fl., Boston, MA 02110
State or country in which incorporated — Delaware

Disc Motion Technologies, Inc.
1900 Corporate Blvd. NE, Suite 400, Boca Raton, FL 33431
State or country in which incorporated — Florida

Diversified Trends Enhanced Fixed Income Fund, L.P.
% James Alpha Management, LLC, 152 W. 57th St., 25th Fl., New York, NY 10019
Partnership — James Alpha, LLC

EAB Private Capital, LLC
1951 NW. 19th St., Suite 200, Boca Raton, FL 33431
State or country in which incorporated — Florida

Eagle Oil #6, LLP
321 Victor Reiter Pkwy., Portland, TN 37148
Partnership — Mid America Energy, Inc.

East Coast Packaging, LLC
5905 Ramsgate Rd., Bethesda, MD 20816
State or country in which incorporated — Delaware

Eastern Platinum Limited
408-837 W. Hastings St., Vancouver, British Columbia, Canada V6C

3N6
State or country in which incorporated — Canada

Enroute Financial, LLC
7600 W. 110th St., Overland Park, KS 66210
State or country in which incorporated — Limited liability company

Evergreen Slate Company, Inc.
68 E. Potter Ave., Granville, NY 12832
State or country in which incorporated — Delaware

Excelsior Aggressive Fund, Limited Partnership
11555 Heron Blvd., Suite 305, Coral Springs, FL 33076
Partnership — Saxon Investment Corporation

Extended Care Information Network, Inc.
8700 W. Bryn Mawr Ave., Chicago, IL 60631
State or country in which incorporated — Illinois

Georgian Pine Investments, L.P.
% Georgian Pine Investments, LLC, 580 California St., San Francisco, CA 94104
Partnership — Georgian Pine Investments, LLC, general partner

Global Entertainment Corporation
4909 E. McDowell Rd., Suite 104, Phoenix, AZ 85008
State or country in which incorporated — Nevada

Golden Patriot, Corp.
1140 Reckson Plaza, Uniondale, NY 11556
State or country in which incorporated — Nevada

GPS Fund, LP
2410 Camino Ramon, Suite 128, San Ramon, CA 94583
Partnership — GPS Capital Management, LLC

Great Basin Gold Ltd.
1020-800 W. Pender St., Vancouver, British Columbia, Canada V6C 2V6
State or country in which incorporated — Canada

Great Point Energy, Inc.
One Broadway, 14th Fl., Cambridge, MA 02142
State or country in which incorporated — Delaware

GTCR Fund IX/B, L.P.
6100 Sears Tower, Chicago, IL 60606
Partnership — GTCR Partners IX, L.P.

Halcyon Real Estate Partners Parallel Fund, L.P.
One Post Office Square, Suite 3520, Boston, MA 02109
Partnership — Halcyon Capital, L.P.

Healthbridge, Inc.
Suite 2610-1066 W. Hastings St., Vancouver, BC Canada V6E 3X2
State or country in which incorporated — Texas

Highland Investor Group, LLLP
3350 Boca Raton Blvd., Suite B-2, Boca Raton, FL 33431
Partnership — HIG Management Company, LLC

HydroGen Corporation
Two Juniper St., Versailles, PA 15132
State or country in which incorporated — Nevada

Hy-Line Enterprises Holdings, LLC
2200 Ross Ave., Suite 3800, Dallas, TX 75201
State or country in which incorporated — Delaware limited liability company

Inductive Capital, L.P.
1149 Chestnut St., Suite 8, Menlo Park, CA 94025
Partnership — San Andreas Capital, LLC

IndyMac Securities Corporation
556 S. Fair Oaks Ave., #323, Pasadena, CA 91105
State or country in which incorporated — Delaware

Interplay Entertainment Corp.
100 N. Crescent Dr., Beverly Hills, CA 92010
State or country in which incorporated — Delaware

Invesco Non-U.S. Partnership Fund V, L.P.
1166 Avenue of the Americas, 27th Fl., New York, NY 10036
Partnership — IPC Partnership Associates V, L.L.C.

Invesco Partnership Fund V, L.P.
1166 Avenue of the Americas, 27th Fl., New York, NY 10036
Partnership — IPC Partnership Associates V, L.L.C.

Invesco U.S. LBO & Corporate Finance Partnership Fund V, L.P.
1166 Avenue of the Americas, 27th Fl., New York, NY 10036
Partnership — IPC Partnership Associates V, L.L.C.

Invesco U.S. Venture Partnership Fund V, L.P.
1166 Avenue of the Americas, 27th Fl., New York, NY 10036
Partnership — IPC Partnership Associates V, L.L.C.

IWCO Direct Holdings Inc.
7951 Powers Blvd., Chanhassen, MN 55317-9502
State or country in which incorporated — Delaware

JCS Value Fund, L.P.
1201 Adams St., #202, Hoboken, NJ 07030
Partnership — JCS Capital, LLC (G.P.)

Jumpnode Systems, LLC
800 Washington Ave. N, #325, Minneapolis, MN 55401
Partnership —
State or country in which incorporated — Minnesota

Keeley Funds, Inc.
401 S. LaSalle St., Suite 1201, Chicago, IL 60605
State or country in which incorporated — Maryland

Laeroc Edge Fund, L.P.
2447 Pacific Coast Hwy., Suite 201, Hermosa Beach, CA 90254
Partnership — Laeroc Partners, Inc.

Lake Hill Fund, L.P.
% Lake Hill Capital Management, LLC, 900 Third Ave., Suite 1002, New York, NY 10022
Partnership — Lake Hill Capital Partners, LLC

Lake Hill Offshore Fund, Ltd.
% BISYS Hedge Fund Services (Cayman) Limited, 27 Hospital Rd., P.O. Box 1748GT, Cayman Corporate Centre, Georgetown, Grand Cayman, Cayman Islands, BWI
State or country in which incorporated — Cayman Islands

Lake Hill Master Fund, L.P.
% BISYS Hedge Fund Services (Cayman) Limited, 27 Hospital Rd., P.O. Box 1748GT, Cayman Corporate Centre, Georgetown, Grand Cayman, Cayman Islands, BWI
State or country in which incorporated — Cayman Islands

Lehman Brothers Co-Investment Partners, L.P.
% Lehman Brothers Inc., 399 Park Ave., New York, NY 10022
Partnership — Lehman Brothers Co-Investment Associates L.P.;
Lehman Brothers Co-Investment Associates LLC

Lighthouse Diversified Fund (QP), L.P.
3801 PGA Blvd., Suite 500, Palm Beach Gardens, FL 33410
Partnership — Lighthouse Partners, L.L.C., general partner

Lighthouse Diversified Fund (QP) II, L.P.
3801 PGA Blvd., Suite 500, Palm Beach Gardens, FL 33410
Partnership — Lighthouse Partners, L.L.C., general partner

Liquid Capital Fund V, LLC
8300 Greensboro Dr., Suite 800, McLean, VA 22102

Magna Resources BD # 27-Frietsch #1 Joint Venture
Campbell Centre II, 8150 N. Central Expwy., #1700, Dallas, TX 75206

McGinn, Smith & Company, Inc.
45 Broadway, 26th Fl., New York, NY 10006
State or country in which incorporated — New York

Med-Depot Holdings, Inc.
2200 Ross Ave., Suite 3800, Dallas, TX 75201
State or country in which incorporated — Delaware

Medley Opportunity Fund LP
375 Park Ave., 33rd Fl., New York, NY 10152
Partnership — Medley GP, LLC

MedServe, Inc.
6575 W. Loop S, Suite 145, Bellaire, TX 77401

Mirae Bancorp
2140 W. Olympic Blvd., Los Angeles, CA 90006
State or country in which incorporated — California

Mobilier, Inc.
70 S. Lively Blvd., Elk Grove, IL 60007
State or country in which incorporated — Delaware

Moody National Memphis RI S, LLC
6363 Woodway, Suite 100, Houston, TX 77057

Muirfield Multi-Strategy Fund, Ltd.
% BISYS Hedge Fund Services (Cayman) Limited, 27 Hospital Rd., P.O. Box 1748 GT, George Town, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands

Nevada Global Box Investors I, LLC
5469 Kearny Villa Rd., Suite 208, San Diego, CA 92123

New River Management IV, LP
The Governor Tyler, 1881 Grove Ave., Radford, VA 24141
Partnership — Randal J. Kirk, general partner

New Science Ventures Fund I-Crozet, L.P.
645 Madison Ave., 20th Fl., New York, NY 10010
Partnership — NSV Partners LLC, general partner

New Science Ventures Fund I, L.P.
645 Madison Ave., 20th Fl., New York, NY 10010
Partnership — NSV Partners LLC, general partner

North America Sports Media, L.L.C.
520 Lake Cook Rd., Suite 375, Deerfield, IL 60015

North Broad Master, L.P.
Seven N. Broad St., #204, Ridgewood, NJ 07450-3801
Partnership — Crest Capital, LLC

Northstar Stephens # 1 Joint Venture
740 Lexington Ave., Plano, TX 75075

Novastar Resources Ltd.
8300 Greensboro Dr., Suite 800, McLean, VA 22102
State or country in which incorporated — Nevada

Omni Brokerage, Inc.
150 W. Civic Center Dr., Suite 104, Salt Lake City, UT 84070
State or country in which incorporated — Utah

Pace Petroleum Partners - Fund I, LP
3878 Oak Lawn Ave., Suite 350, Dallas, TX 75219
Partnership — Pace Petroleum Partners, LLC

Pamplona Global Partners, LP
% AIMG, LLC, Park 80 W, Plaza 2, Suite 200, Saddlebrook, NJ 07763-5836
Partnership — Pamplona Global GP, LLC

Pandora Select Fund, Ltd.
3033 Excelsior Blvd., Suite 300, Minneapolis, MN 55416
Partnership — Pandora Select Advisors, LLC

ParadigmHealth, Inc.
10 Mountainview Rd., Upper Saddle River, NJ 07458
State or country in which incorporated — Delaware

Paradigm V, LLC
380 Lexington Ave., Suite 2020, New York, NY 10168

Paulson Credit Opportunities, L.P.
590 Madison Ave., New York, NY 10022
Partnership — Paulson Advisers II LLC and Paulson Management III LLC

Potomac Select LLC, Series I
% Potomac Portfolios, LLC, 5185 MacArthur Blvd. NW, Suite 220, Washington, DC 20016
State or country in which incorporated — Delaware

Potomac Select LLC, Series II
% Potomac Portfolios, LLC, 5185 MacArthur Blvd. NW, Suite 220, Washington, DC 20016
State or country in which incorporated — Delaware

Potomac Select LLC, Series III
% Potomac Portfolios, LLC, 5185 MacArthur Blvd. NW, Suite 220, Washington, DC 20016
State or country in which incorporated — Delaware

Prime Arizona, L.P.
% Prime Group, 50 California St., San Francisco, CA 94111
State or country in which incorporated — California

Prime Washington Investments, L.P.
% Prime Group, 50 California St., San Francisco, CA 94111
State or country in which incorporated — California

ProFunds Oil Equipment, Services & Distribution UltraSector ProFund
3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
State or country in which incorporated — Delaware

Prospect Securities, L.P.
13455 Noel Rd., Suite 1710, Dallas, TX 75240
State or country in which incorporated — Delaware

Pzena Investment Management, LLC
120 W. 45th St., 20th Fl., New York, NY 10036

Retail Expansion Network, Inc.
6115 Harwood Ave., Suite 500, Oakland, CA 94618
State or country in which incorporated — Delaware

Rhapsody Acquisition Corp.
10 E. 53rd St., 35th Fl., New York, NY 10022
State or country in which incorporated — Delaware

Saturna Investment Trust
1300 N. State St., Bellingham, WA 98225
State or country in which incorporated — Washington

Shemano Group, The
3131 E. Camelback Rd., Suite 200, Phoenix, AZ 85016
State or country in which incorporated — California

Smartsheet.com, Inc.
6413 Lake Washington Blvd., Kirkland, WA 98033
State or country in which incorporated — Washington

Sports Technologies, Inc.
50 Albany Turnpike, Avon, CT 06019
State or country in which incorporated — Delaware

Stark Al-Noor Fund, Ltd.
3600 S. Lake Dr., St. Francis, WI 53235
State or country in which incorporated — British Virgin Islands

Stark Asia Fund LP
3600 S. Lake Dr., St. Francis, WI 53235
Partnership — Stark Asia Management, LLC

Stark NatCat Offshore Fund Ltd.
3600 S. Lake Dr., St. Francis, WI 53235
State or country in which incorporated — Bermuda

- Stark NatCat Onshore Fund LP
3600 S. Lake Dr., St. Francis, WI 53235
Partnership — Stark RLI Management, LLC
- Stark Quantitative Investments Currency Fund LP
3600 S. Lake Dr., St. Francis, WI 53235
Partnership — Stark Quantitative Investments, LLC
- Stark Quantitative Investments Currency Fund Ltd.
3600 S. Lake Dr., St. Francis, WI 53235
State or country in which incorporated — British Virgin Islands
- Stark Strategic Cat Fund Ltd.
3600 S. Lake Dr., St. Francis, WI 53235
State or country in which incorporated — Bermuda
- State Street Capital Partners, Inc.
221 N. LaSalle St., Suite 3000, Chicago, IL 60661
State or country in which incorporated — Illinois
- SunTrust Capital Markets, Inc.
303 Peachtree St. NE, 24th Fl., Atlanta, GA 30308
State or country in which incorporated — Tennessee
- SynergEyes, Inc.
2232 Rutherford Rd., Carlsbad, CA 92008
State or country in which incorporated — California
- Taglich Brothers, Inc.
700 New York Ave., Huntington, NY 11743
State or country in which incorporated — New York
- Targe Energy, LLC
101 Emerson Ave., Aspinwall, PA 15215
State or country in which incorporated — Delaware
- Templeton Global Investment Trust—Templeton BRIC Fund
% Legal/Blue Sky Department, One Franklin Pkwy., San Mateo, CA 94403-1906
State or country in which incorporated — Delaware
- Thesis Capital Domestic, L.P.
60 E. 42nd St., Suite 1245, New York, NY 10165
Partnership — Thesis Capital Advisors, LLC
- Thesis Capital Offshore Limited
% Goldman Sachs (Cayman) Trust, 2nd Fl., Harbour Centre, P.O. Box 896 GT, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands
- Theta Capture Fund, L.P.
% Cru Capital Management, LLC, 152 W. 57th St., 25th Fl., New York, NY 10019
Partnership — Cru Capital, LLC
- Touchstone Applied Science Associates, Inc.
Four Hardscrabble Heights, P.O. Box 382, Brewster, NY 10509
State or country in which incorporated — Delaware
- Tremblant Partners Ltd.
% Citco Fund Services (Cayman Islands) Limited, Regatta Office Park, W. Bay Rd., P.O. Box 31106, SMB, Grand Cayman, BWI
State or country in which incorporated — Cayman Islands
- Triton Distribution Systems, Inc.
One Harbor Dr., Suite 300, Sausalito, CA 94965
State or country in which incorporated — Nevada
- T. Rowe Price Institutional International Funds, Inc./T. Rowe Price Institutional Global Equity Fund (Portfolio)
100 E. Pratt St., Baltimore, MD 21202
State or country in which incorporated — Maryland
- UBS Securities LLC
1285 Avenue of the Americas, New York, NY 10019
State or country in which incorporated — Delaware limited liability company
- Vantis Health Sciences Fund II L.P.
385 E. Colorado Blvd., Suite 250, Pasadena, CA 91101
Partnership — Vantis Funds Management LLC, general partner
- Vardana Partners LP
83 S. Bedford Rd., Mt. Kisco, NY 10549
Partnership — Trident Asset Management, LLC and Trident Fund Management, LLC
- VDM Trading, LLC
45 Broadway, 29th Fl., New York, NY 10006
- Venus Special Situation Fund
99 Summer St., Suite M100, Boston, MA 02110
State or country in which incorporated — Delaware
- VerdisWealth Hedged Strategies Fund, L.P.
% VerdisWealth Management, LLC, 200 Four Falls Corporate Center, Suite 201, West Conshohocken, PA 19428
Partnership — VerdisWealth Management, LLC
- VerdisWealth Offshore Hedged Strategies Fund, Ltd.
% VerdisWealth Management, LLC, 200 Four Falls Corporate Center, Suite 201, West Conshohocken, PA 19428
State or country in which incorporated — Cayman Islands
- Vines of Argentina, LLC, The
163 Mitchells Chance Rd., #250, Edgewater, MD 20764
State or country in which incorporated — Delaware
- Vision Opportunity Capital Partners, LP
317 Madison Ave., Suite 1220, New York, NY 10017
Partnership — Vision Opportunity Capital, LLC
- Vista Drilling Program 2006-1 Limited Partnership
61 McMurray Rd., Suite 300, Pittsburgh, PA 15241
Partnership — Visa Resources, Inc., general partner
- Vittoria Fund, L.P., The
6022 W. Chester Pike, Newtown Square, PA 19073
Partnership — Veritable Partnership Holding, Inc.
- Wachovia Securities, LLC
951 E. Byrd St., Richmond, VA 23219
State or country in which incorporated — Delaware
- Walton Red Rock LLC
% 2390 E. Camelback Rd., Suite 305, Phoenix, AZ 85016
Partnership — Walton International Group (USA), Inc.

Westerly Partners, L.P.
201 Mission St., Suite 530, San Francisco, CA 94105
Partnership — Westerly Capital Management LLC

Western Canadian Coal Corp.
580 Hornby St., Suite 900, Vancouver, British Columbia V6C 3B6
Canada
State or country in which incorporated — Canada

Whitebox Diversified Convertible Arbitrage Fund, Ltd.
3033 Excelsior Blvd., Suite 300, Minneapolis, MN 55416
Partnership — Whitebox Diversified Convertible Arbitrage Advisors, LLC

Wintergreen Partners Offshore Fund, Ltd.
% Ogier Fiduciary Services (Cayman) Limited, P.O. Box 1234,
Queensgate House, S. Church St., George Town, Grand Cayman,
Cayman Islands
Partnership — Wintergreen Advisers, LLC

Yacktman Funds, Inc., The
6300 Bridgepoint Pkwy., Bldg. One, Suite 320, Austin, TX 78730
State or country in which incorporated — Maryland

Zuit Sports Inc.
2880 Scott St., Suite 101, Vista, CA 92081
State or country in which incorporated — California

Zweig-DiMenna Natural Resources, L.P.
900 Third Ave., 30th Fl., New York, NY 10022
Partnership — Zweig-DiMenna Associates LLC

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY DEALERS; BROKERS; SYNDICATE MANAGERS

519 9th LLC
15 W. 34th St., 7th Fl., New York, NY 10001
State or country in which incorporated — New York
Security(ies) — Class A membership interests
Issuer of security(ies) — 519 9th LLC
Address of issuer — Same as above
State or country in which organized — New York

519 9th LLC
15 W. 34th St., 7th Fl., New York, NY 10001
State or country in which incorporated — New York
Security(ies) — Class B membership interests
Issuer of security(ies) — 519 9th LLC
Address of issuer — Same as above
State or country in which organized — New York

a21, Inc.
7660 Centurion Pkwy., Jacksonville, FL 32256
State or country in which incorporated — Texas
Security(ies) — Secured convertible term notes

Issuer of security(ies) — a21, Inc.
Address of issuer — Same as above
State or country in which organized — Texas

Abdominis, Inc.
26429 Rancho Pkwy S, Unit 140, Lake Forest, CA 91730
State or country in which incorporated — Delaware
Security(ies) — Series A preferred stock
Issuer of security(ies) — Abdominis, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

ABRY Senior Equity Co-Investment Fund, L.P.
111 Huntington Ave., 30th Fl., Boston, MA 02199
Security(ies) — Limited partnership interest
Issuer of security(ies) — ABRY Senior Equity Co-Investment Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

ACBronx LLC
c/o Fogarty Advisory Services LLC, 59 Hilton Ave., Garden City, New York, NY 11530
Security(ies) — Limited liability company membership interests
Issuer of security(ies) — ACBronx LLC
Address of issuer — Same as above
State or country in which organized — New York

Adhesion Technologies, Inc.
5935 Carnegie Blvd., Suite 301, Charlotte, NC 28209
State or country in which incorporated — North Carolina
Security(ies) — Series B preferred stock
Issuer of security(ies) — Adhesion Technologies, Inc.
Address of issuer — Same as above
State or country in which organized — North Carolina

AC Beach Associates, LLC
c/o Cape Advisors, LLC, 150 Lafayette St., 9th Fl., New York, NY 10013
Security(ies) — Common limited liability company interests
Issuer of security(ies) — AC Beach Associates, LLC
Address of issuer — Same as above
State or country in which organized — New Jersey

AC Beach Associates, LLC
c/o Cape Advisors, LLC, 150 Lafayette St., 9th Fl., New York, NY 10013
Security(ies) — Priority limited liability company interests
Issuer of security(ies) — AC Beach Associates, LLC
Address of issuer — Same as above
State or country in which organized — New Jersey

AFA Financial Group, LLC
26637 W. Agoura Rd., Calabasas, CA 91302
State or country in which incorporated — California limited liability company
Security(ies) — Tenant in common interests in CORE Bridford Lake Apartments
Issuer of security(ies) — CORE Bridford Lake, LLC
Address of issuer — 1600 Dove St., Suite 210, Newport Beach, CA 92660
State or country in which organized — Delaware limited liability company

AFA Financial Group, LLC
26637 W. Agoura Rd., Calabasas, CA 91302
State or country in which incorporated — California limited liability company
Security(ies) — Tenant in common interests in CORE Champions Club Apartments
Issuer of security(ies) — CORE Champions Club, LLC
Address of issuer — 1600 Dove St., Suite 210, Newport Beach, CA 92660
State or country in which organized — Delaware limited liability company

Agave Technologies International, Inc.
701-6 Koehler Ave., Ronkonkoma, NY 11779
State or country in which incorporated — Delaware
Security(ies) — Preferred stock
Issuer of security(ies) — Agave Technologies International, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

AHS Investments Partners, LLC
1000 Ridgeway Loop Rd., Suite 103, Memphis, TN 38120
Security(ies) — Membership interest units (common)
Issuer of security(ies) — AHS Investments Partners, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

AIV V, LLC
143 S. Bedford Rd., Pound Ridge, NY 10576
State or country in which incorporated — New York
Security(ies) — Limited liability company interests
Issuer of security(ies) — AIV V, LLC
Address of issuer — Same as above
State or country in which organized — New York

AllianceBernstein Investments, Inc.
1345 Avenue of the Americas, New York, NY 10105
State or country in which incorporated — Delaware
Security(ies) — Shares of common stock \$.001 per share
Issuer of security(ies) — AllianceBernstein Pooling Portfolios—AllianceBernstein Global Research Growth Portfolio
Address of issuer — Same as above
State or country in which organized — Massachusetts

AllianceBernstein Investments, Inc.
1345 Avenue of the Americas, New York, NY 10105
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — AllianceBernstein Pooling Portfolios—AllianceBernstein Global Value Portfolio
Address of issuer — Same as above
State or country in which organized — Massachusetts

American Century Investments
4500 Main St., Kansas City, MO 64111
State or country in which incorporated — Maryland
Security(ies) — Common stock \$0.01 par value
Issuer of security(ies) — American Century Growth Funds, Inc.—American Century Legacy Focused Large Cap Fund
Address of issuer — Same as above
State or country in which organized — Maryland

American Century Investments
4500 Main St., Kansas City, MO 64111

State or country in which incorporated — Maryland
Security(ies) — Common stock \$0.01 par value
Issuer of security(ies) — American Century Growth Funds, Inc.—American Century Legacy Large Cap Fund
Address of issuer — Same as above
State or country in which organized — Maryland

American Century Investments
4500 Main St., Kansas City, MO 64111
State or country in which incorporated — Maryland
Security(ies) — Common stock \$0.01 par value
Issuer of security(ies) — American Century Growth Funds, Inc.—American Century Legacy Multi Cap Fund
Address of issuer — Same as above
State or country in which organized — Maryland

American Medical Alert Corp.
3265 Lawson Blvd., Oceanside, NY 11572
Security(ies) — Options to purchase common stock
Issuer of security(ies) — American Medical Alert Corp.
Address of issuer — Same as above
State or country in which organized — New York

APCA Michigan S, LLC
31 Milk St., Boston, MA 02109
Security(ies) — TIC interests in the State of Michigan Building
Issuer of security(ies) — APCA Michigan S, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Arbor Investments II, L.P.
676 N. Michigan Ave., Suite 3410, Chicago, IL 60611
Security(ies) — Limited partnership interests
Issuer of security(ies) — Arbor Investments II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Aqueous Fund, L.P., Series I
c/o Structured Servicing Transactions Group, L.L.C., 2215 B Renaissance Dr., Suite 5, Las Vegas, NV 89119
Security(ies) — Limited partnership interests
Issuer of security(ies) — Aqueous Fund, L.P., Series I
Address of issuer — Same as above
State or country in which organized — Delaware

Aqueous Offshore Fund, SPC, Portfolio I
c/o Walkers SPV Limited, Walker House, P.O. Box 908GT, Mary St., George Town, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands
Security(ies) — Participating shares
Issuer of security(ies) — Aqueous Offshore Fund, SPC, Portfolio I
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Atherton-Newport Fund 131, LLC
Four Park Plaza, Suite 1050, Irvine, CA 92614
State or country in which incorporated — Delaware limited liability company
Security(ies) — Limited liability membership interests
Issuer of security(ies) — Atherton-Newport Fund 131, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Autotask Corporation

96 Thompson Hill Rd., Rensselaer, NY 12144
State or country in which incorporated — Delaware
Security(ies) — Series C convertible preferred stock
Issuer of security(ies) — Autotask Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Avenue Asia Equity International, Ltd.

c/o Avenue Group, 535 Madison Ave., 15th Fl., New York, NY 10022
Security(ies) — Common shares
Issuer of security(ies) — Avenue Asia Equity International, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

BayStar Capital Structured Investments Fund, L.P.

80 E. Sir Francis Drake Blvd., Suite 2B, Larkspur, CA 94939
Security(ies) — Limited partnership interests
Issuer of security(ies) — BayStar Capital Structured Investments Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Black Bear Offshore Fund Limited

Citco Fund Services (Cayman Islands) Limited, Seven Mile Beach, Regatta Office Park, West Bay Rd., P.O. Box 31106 SMB, Grand Cayman, Cayman Islands
Security(ies) — Redeemable shares
Issuer of security(ies) — Black Bear Offshore Fund Limited
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Black Meridian, L.P.

Rockefeller Center, 620 Fifth Ave., Suite 201, New York, NY 10020
Security(ies) — Limited partnership interests
Issuer of security(ies) — Black Meridian, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Blue Fund L.P., The

590 Madison Ave., 21st Fl., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Limited partnership units
Issuer of security(ies) — The Blue Fund L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Boston Common International Social Fund LLC

84 State St., Boston, MA 02109
State or country in which incorporated — Delaware
Security(ies) — Membership interests
Issuer of security(ies) — Boston Common International Social Fund LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Boston Ventures Limited Partnership VII

One Federal St., 23rd Fl., Boston, MA 02110
Security(ies) — Limited partnership interests
Issuer of security(ies) — Boston Ventures Limited Partnership VII
Address of issuer — Same as above
State or country in which organized — Delaware

Bottling Group, LLC

One Pepsi Way, Somers, NY 10589
State or country in which incorporated — Delaware
Security(ies) — 5 1/2% senior notes due 2016
Issuer of security(ies) — Bottling Group, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Cannasat Therapeutics Inc.

828 Richmond St. W, Toronto, ON M6J 1C9 Canada
State or country in which incorporated — Canada
Security(ies) — Class A common shares
Issuer of security(ies) — Cannasat Therapeutics Inc.
Address of issuer — Same as above
State or country in which organized — Canada

Cannasat Therapeutics Inc.

828 Richmond St. W, Toronto, ON M6J 1C9 Canada
State or country in which incorporated — Canada
Security(ies) — Common shares
Issuer of security(ies) — Cannasat Therapeutics Inc.
Address of issuer — Same as above
State or country in which organized — Canada

Cannasat Therapeutics Inc.

828 Richmond St. W, Toronto, ON M6J 1C9 Canada
State or country in which incorporated — Canada
Security(ies) — Replacement warrants
Issuer of security(ies) — Cannasat Therapeutics Inc.
Address of issuer — Same as above
State or country in which organized — Canada

Cardiovascular Biotherapeutics, Inc.

1635 Village Center Dr., Suite 250, Las Vegas, NV 89134
State or country in which incorporated — Delaware
Security(ies) — Common stock, \$0.001 par value
Issuer of security(ies) — Cardiovascular Biotherapeutics, Inc.
Address of issuer — Same as above
State or country in which organized — United States

Catterton Partners VI, L.P.

599 W. Putnam Ave., Suite 200, Greenwich, CT 06830
Security(ies) — Limited partnership interests
Issuer of security(ies) — Catterton Partners VI, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

CBLC Golf, LLC

3131 McKinney Ave., Suite 210, Dallas, TX 75204
State or country in which incorporated — Delaware
Security(ies) — Limited liability company interests
Issuer of security(ies) — CBLC Golf, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Centar Investment Group (Asia) Ltd.

3600 S. Lake Dr., St. Francis, WI 53235
State or country in which incorporated — British Virgin Islands
Security(ies) — Common stock
Issuer of security(ies) — Centar Investment Group (Asia) Ltd.
Address of issuer — Same as above
State or country in which organized — British Virgin Islands

Cherry Tree Core Growth Fund, LLLP
301 Carlson Pkwy., #103, Minnetonka, MN
State or country in which incorporated — Minnesota
Security(ies) — Limited partnership interests
Issuer of security(ies) — Cherry Tree Core Growth Fund, LLLP
Address of issuer — Same as above
State or country in which organized — Minnesota

Citco Mutual Fund Distributors, Inc.
83 General Warren Blvd., Suite 200, Malvern, PA 19355
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — WY Funds — The Core Fund
Address of issuer — 3434 Colwell Ave., Suite 100, Tampa, FL 33614
State or country in which organized — Ohio

Claymore Securities, Inc.
2455 Corporate West Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Financial Services Defined Portfolio, Series 2
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
2455 Corporate West Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Global Real Estate Portfolio, Series 1
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
2455 Corporate West Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Multiple Asset Portfolio Plus (15-month), Series 9
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
2455 Corporate West Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Sabrient Select Growth Portfolio, Series 1
Address of issuer — Same as above
State or country in which organized — Kansas

CMS Investment Resources, Inc.
The CMS Bldg., 1926 Arch St., Philadelphia, PA 19103
State or country in which incorporated — Delaware
Security(ies) — Units of limited partnership interests
Issuer of security(ies) — CMS/Quad Education Fund Q, L.P.
Address of issuer — BalaPointe Office Centre, Suite 249, 111 Presidential Blvd., Bala Cynwyd, PA 19004
State or country in which organized — Delaware

Columbia Management Distributors, Inc.
One Financial Center, Boston, MA 02111
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Banc of America Funds Trust—Banc of America Retirement 2005 Portfolio
Address of issuer — Same as above
State or country in which organized — Delaware

Columbia Management Distributors, Inc.
One Financial Center, Boston, MA 02111
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Banc of America Funds Trust—Banc of America Retirement 2010 Portfolio
Address of issuer — Same as above
State or country in which organized — Delaware

Columbia Management Distributors, Inc.
One Financial Center, Boston, MA 02111
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Banc of America Funds Trust—Banc of America Retirement 2015 Portfolio
Address of issuer — Same as above
State or country in which organized — Delaware

Columbia Management Distributors, Inc.
One Financial Center, Boston, MA 02111
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Banc of America Funds Trust—Banc of America Retirement 2020 Portfolio
Address of issuer — Same as above
State or country in which organized — Delaware

Columbia Management Distributors, Inc.
One Financial Center, Boston, MA 02111
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Banc of America Funds Trust—Banc of America Retirement 2025 Portfolio
Address of issuer — Same as above
State or country in which organized — Delaware

Columbia Management Distributors, Inc.
One Financial Center, Boston, MA 02111
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Banc of America Funds Trust—Banc of America Retirement 2030 Portfolio
Address of issuer — Same as above
State or country in which organized — Delaware

Columbia Management Distributors, Inc.
One Financial Center, Boston, MA 02111
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Banc of America Funds Trust—Banc of America Retirement 2035 Portfolio
Address of issuer — Same as above
State or country in which organized — Delaware

Columbia Management Distributors, Inc.
One Financial Center, Boston, MA 02111
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Banc of America Funds Trust—Banc of America Retirement 2040 Portfolio
Address of issuer — Same as above
State or country in which organized — Delaware

CondoCare, Inc.
 5201 Blue Lagoon Dr., Penthouse, Miami, FL 33126
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — CondoCare, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Context Advantage Fund, L.P.
 12626 High Bluff Dr., Suite 440, San Diego, CA 92130
State or country in which incorporated — California limited partnership
Security(ies) — Limited partner interests
Issuer of security(ies) — Context Advantage Fund, L.P.
Address of issuer — Same as above
State or country in which organized — California

COREalpha Private Equity Partners II L.P.
 30 S. Wacker Dr., Suite 3920, Chicago, IL 60606
Security(ies) — Limited partnership interests
Issuer of security(ies) — COREalpha Private Equity Partners II L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

CRT Capital Group LLC
 262 Harbor Dr., Stamford, CT 06902
Security(ies) — Common stock
Issuer of security(ies) — Vistula Communications Services, Inc.
Address of issuer — 405 Park Ave., Suite 801, New York, NY 10022
State or country in which organized — Delaware

CRT Capital Group LLC
 262 Harbor Dr., Stamford, CT 06902
Security(ies) — Warrants to purchase common stock
Issuer of security(ies) — Vistula Communications Services, Inc.
Address of issuer — 405 Park Ave., Suite 801, New York, NY 10022
State or country in which organized — Delaware

Crystal Rock Fund, LLC
 2201 Waukegan Rd., Suite 245, Bannockburn, IL 60015
Security(ies) — Membership interests
Issuer of security(ies) — Crystal Rock Fund, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Cuadrar Offshore Fund, Ltd., The
 c/o Cambiar Investors, LLC 2401 E. Second Ave., #400, Denver, CO 80206
Security(ies) — Common shares, par value \$0.01 per share
Issuer of security(ies) — The Cuadrar Offshore Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

D & E Communications, Inc.
 Brossman Business Ccomplex, 124 E. Main St., Ephrata, PA 17522
State or country in which incorporated — Pennsylvania
Security(ies) — Common stock
Issuer of security(ies) — D & E Communications, Inc.
Address of issuer — Same as above
State or country in which organized — Pennsylvania

Daniel Steward & Company PLC
 Sanderum House, Oakley Rd., Chinnor, Oxfordshire OX39 GTW, UK
State or country in which incorporated — England
Security(ies) — Ordinary shares
Issuer of security(ies) — Inova Holding PLC
Address of issuer — Same as above
State or country in which organized — England

Dermage, Inc.
 225 E. 14th St. #1C, New York, NY 10003
State or country in which incorporated — North Carolina
Security(ies) — Promissory notes
Issuer of security(ies) — Dermage, Inc.
Address of issuer — Same as above
State or country in which organized — North Carolina

Diamond A. Partners, L.P.
 591 Redwood Hwy., Suite 2345, Mill Valley, CA 94941
State or country in which incorporated — California limited partnership
Security(ies) — Limited partnership interest
Issuer of security(ies) — Diamond A. Partners, L.P.
Address of issuer — Same as above
State or country in which organized — California

Diamond Edge Capital Partners, LLC
 420 Lexington Ave., Suite 1620, New York, NY 10170
Security(ies) — Undivided oil and gas working interests
Issuer of security(ies) — Kraker & Martin Energy, LLC
Address of issuer — 2431 E. 61st St., Suite 425, Tulsa, OK 74136
State or country in which organized — Oklahoma

Direct Invest - Route 303, LLC
 211 Congress St., 8th Fl., Boston, MA 02110
Security(ies) — Tenant in common interest
Issuer of security(ies) — Direct Invest - Route 303, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Disc Motion Technologies, Inc.
 1900 Corporate Blvd., Suite 400, Boca Raton, FL 33431
State or country in which incorporated — Florida
Security(ies) — Common stock
Issuer of security(ies) — Disc Motion Technologies, Inc.
Address of issuer — Same as above
State or country in which organized — Florida

Diversified Trends Enhanced Fixed Income Fund, L.P.
 c/o James Alpha Management, LLC, 152 W. 57th St., 25th Fl., New York, NY 10019
Security(ies) — Limited partnership interests
Issuer of security(ies) — Diversified Trends Enhanced Fixed Income Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

DONOBi, Inc.
 3256 Chico Way NW, Bremerton, WA 98312
State or country in which incorporated — Nevada
Security(ies) — Common stock
Issuer of security(ies) — DONOBi, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Drinks Americas Holdings, Ltd.
372 Danbury Rd., Wilton, CT 06897
State or country in which incorporated — Delaware
Security(ies) — Common stock, \$.001 par value per share
Issuer of security(ies) — Drinks Americas Holdings, Ltd.
Address of issuer — Same as above
State or country in which organized — Delaware
Drinks Americas Holdings, Ltd.
372 Danbury Rd., Wilton, CT 06897
State or country in which incorporated — Delaware
Security(ies) — Common stock, \$.001 par value per share (no commissions)
Issuer of security(ies) — Drinks Americas Holdings, Ltd.
Address of issuer — Same as above
State or country in which organized — Delaware

Drinks Americas Holdings, Ltd.
372 Danbury Rd., Wilton, CT 06897
State or country in which incorporated — Delaware
Security(ies) — Secured convertible subordinated notes
Issuer of security(ies) — Drinks Americas Holdings, Ltd.
Address of issuer — Same as above
State or country in which organized — Delaware

EAB Private Capital, LLC
1951 N.W. 19th St., Suite 200, Boca Raton, FL 33431
State or country in which incorporated — Florida
Security(ies) — Units of beneficial interest in a trust
Issuer of security(ies) — Wooden Bridge Partners GP, LLC
Address of issuer — Same as above
State or country in which organized — Florida

Eagle Oil #6, LLP
321 Victor Reiter Pkwy., Portland, TN 37148
Security(ies) — Units of limited partnership interest
Issuer of security(ies) — Eagle Oil #6, LLP
Address of issuer — Same as above
State or country in which organized — Tennessee

EarlyBirdCapital, Inc.
275 Madison Ave., 12th Fl., New York, NY 10015
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Rhapsody Acquisition Corp
Address of issuer — 10 E. 53rd St., 35th Fl., New York, NY 10022
State or country in which organized — Delaware

EarlyBirdCapital, Inc.
275 Madison Ave., 12th Fl., New York, NY 10015
State or country in which incorporated — Delaware
Security(ies) — Units of (common stock and warrants)
Issuer of security(ies) — Rhapsody Acquisition Corp
Address of issuer — 10 E. 53rd St., 35th Fl., New York, NY 10022
State or country in which organized — Delaware

EarlyBirdCapital, Inc.
275 Madison Ave., 12th Fl., New York, NY 10015
State or country in which incorporated — Delaware
Security(ies) — Warrants
Issuer of security(ies) — Rhapsody Acquisition Corp
Address of issuer — 10 E. 53rd St., 35th Fl., New York, NY 10022
State or country in which organized — Delaware

East Coast Packaging, LLC
5905 Ramsgate Rd., Bethesda, MD 20816
State or country in which incorporated — Delaware
Security(ies) — Membership units
Issuer of security(ies) — East Coast Packaging, LLC
Address of issuer — Same as above
State or country in which organized — Delaware
Eastern Platinum Limited
408-837 W. Hastings St., Vancouver, British Columbia, Canada V6C 3N6
State or country in which incorporated — Canada
Security(ies) — Subscription receipts exercisable into one common share and one-half of one warrant
Issuer of security(ies) — Eastern Platinum Limited
Address of issuer — Same as above
State or country in which organized — Canada

Edentify, Inc.
74 W. Broad St., Suite 350, Bethlehem, PA 18018
State or country in which incorporated — Nevada
Security(ies) — Units of convertible debentures and warrants
Issuer of security(ies) — Edentify, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Edgewood Services, Inc.
5800 Corporate Dr., Pittsburgh, PA 15237
State or country in which incorporated — New York
Security(ies) — Units of beneficial interest
Issuer of security(ies) — The Huntington Funds, Huntington Intermediate Government Income Fund
Address of issuer — P.O. Box 6110, Indianapolis, IN 46206-6110
State or country in which organized — Massachusetts

Enroute Financial, LLC
7600 W. 110th St., Overland Park, KS 66210
State or country in which incorporated — Kansas
Security(ies) — Limited liability company units
Issuer of security(ies) — Enroute Financial, LLC
Address of issuer — Same as above
State or country in which organized — Kansas

Evergreen Slate Company, Inc.
68 E. Potter Ave., Granville, NY 12832
State or country in which incorporated — Delaware
Security(ies) — Series C preferred stock
Issuer of security(ies) — Evergreen Slate Company, Inc.,
Address of issuer — Same as above
State or country in which organized — Delaware

Excelsior Aggressive Fund, Limited Partnership
11555 Heron Blvd, Suite 305, Coral Springs, FL 33076
Security(ies) — Limited partnership interests
Issuer of security(ies) — Excelsior Aggressive Fund, Limited Partnership
Address of issuer — Same as above
State or country in which organized — Nevada

Extended Care Information Network, Inc.
8700 W. Bryn Mawr Ave., Chicago, IL 60631
State or country in which incorporated — Illinois
Security(ies) — Series A preferred stock

Issuer of security(ies) — Extended Care Information Network, Inc.

Address of issuer — Same as above

State or country in which organized — Illinois

Fidelity Distributors Corporation

82 Devonshire St., Boston, MA 02109

State or country in which incorporated — Massachusetts

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Fidelity Aberdeen Street Trust—Fidelity

Advisor Freedom 2045 Fund

Address of issuer — Same as above

State or country in which organized — Delaware

Fidelity Distributors Corporation

82 Devonshire St., Boston, MA 02109

State or country in which incorporated — Massachusetts

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Fidelity Aberdeen Street Trust—Fidelity

Advisor Freedom 2050 Fund

Address of issuer — Same as above

State or country in which organized — Delaware

Fidelity Distributors Corporation

82 Devonshire St., Boston, MA 02109

State or country in which incorporated — Massachusetts

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Fidelity Aberdeen Street Trust—Fidelity

Freedom 2045 Fund

Address of issuer — Same as above

State or country in which organized — Delaware

Fidelity Distributors Corporation

82 Devonshire St., Boston, MA 02109

State or country in which incorporated — Massachusetts

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Fidelity Aberdeen Street Trust—Fidelity

Advisor Freedom 2050 Fund

Address of issuer — Same as above

State or country in which organized — Delaware

First Investors Equity Funds

95 Wall St., New York, NY 10005

Security(ies) — Class A shares of beneficial interest, no par value

Issuer of security(ies) — First Investors Equity Funds—First Investors

International Fund

Address of issuer — Same as above

State or country in which organized — Delaware

First Investors Equity Funds

95 Wall St., New York, NY 10005

Security(ies) — Class B shares of beneficial interest, no par value

Issuer of security(ies) — First Investors Equity Funds—First Investors

International Fund

Address of issuer — Same as above

State or country in which organized — Delaware

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1128 (Global Dividend Portfolio, Series 5)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1129 (Target VIP Portfolio, June 2006 Series)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1152 (Target Double Play Portfolio, June 2006 Series)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1152 (Target Focus Four Portfolio, June 2006 Series)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1152 (Target Triad Portfolio, June 2006 Series)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1152 (The DowSM Target 10 Portfolio, June 2006 Series)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1152 (The DowSM Target Dividend Portfolio, June 2006 Series)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1152 (Value Line[®] Target Portfolio, June 2006 Series)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1161 (India Growth Portfolio, Series 4)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1173 (Washington Crossing Advisors Equity Opportunity Portfolio, 2006)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1177 (Municipal Closed-End Portfolio, Series 19)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1178 (Municipal Select, Closed-End Portfolio, Series 3)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1191 (Municipal Advantage Closed-End Portfolio, Series 10)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1192 (Convertible & Income Closed-End Portfolio, Series 5)
Address of issuer — Same as above
State or country in which organized — Illinois

Funds Distributor, Inc.
60 State St., Boston, MA 02109
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — GMO Trust—GMO International Opportunities Equity Allocation Fund
Address of issuer — c/o GMO Trust, 40 Rowes Wharf, Boston, MA 02110
State or country in which organized — Massachusetts

Funds Distributor, Inc.
60 State St., Boston, MA 02109
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — GMO Trust—GMO Strategic Fixed Income Fund
Address of issuer — c/o GMO Trust, 40 Rowes Wharf, Boston, MA 02110
State or country in which organized — Massachusetts

Georgian Pine Investments, LP
c/o Georgian Pine Investments, LLC, 580 California St., San Francisco, CA 94101

Security(ies) — Limited partnership interests
Issuer of security(ies) — Georgian Pine Investments, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Global Entertainment Corporation
4909 E. McDowell Rd., Suite 104, Phoenix, AZ 85008
State or country in which incorporated — Nevada
Security(ies) — Units consisting of ten shares of common stock and one warrant
Issuer of security(ies) — Global Entertainment Corporation
Address of issuer — Same as above
State or country in which organized — Nevada

Global Entertainment Corporation
4909 E. McDowell Rd., Suite 104, Phoenix, AZ 85008
State or country in which incorporated — Nevada
Security(ies) — Convertible notes with warrants
Issuer of security(ies) — Global Entertainment Corporation
Address of issuer — Same as above
State or country in which organized — Nevada

Golden Patriot Corp.
1140 Reckson Plaza, Uniondale, NY 11556
State or country in which incorporated — Nevada
Security(ies) — Convertible notes with warrants
Issuer of security(ies) — Golden Patriot Corp.
Address of issuer — Same as above
State or country in which organized — Nevada

Goldman, Sachs & Co.; (2) Credit Suisse Securities (USA) LLC; (3) Cowen and Company, LLC; (4) Thomas Weisel Partners LLC
85 Broad St., New York, NY 10004; (2) 11 Madison Ave., New York, NY 10010; (3) 1221 Avenue of the Americas, New York, NY 10020; (4) One Montgomery St., San Francisco, CA 94104
State or country in which incorporated — (1) New York partnership; (2-4) Delaware LCC
Security(ies) — Ordinary shares
Issuer of security(ies) — Verigy Ltd.
Address of issuer — No. 1 Yishun Ave. 7, Singapore 768923
State or country in which organized — Singapore

GPS Fund, LP
2410 Camino Ramon, Suite 128, San Ramon CA 94583
Security(ies) — Units of partnership interest
Issuer of security(ies) — GPS Fund, LP
Address of issuer — Same as above
State or country in which organized — California

Great Basin Gold Ltd.
1020-800 W. Pender St., Vancouver, British Columbia, Canada V6C 2V6
State or country in which incorporated — Canada
Security(ies) — Common shares
Issuer of security(ies) — Great Basin Gold Ltd.
Address of issuer — Same as above
State or country in which organized — Canada

Great Point Energy, Inc.
One Broadway, 14th Fl., Cambridge, MA 02142
State or country in which incorporated — Delaware
Security(ies) — Series B preferred stock
Issuer of security(ies) — Great Point Energy, Inc.

Address of issuer — Same as above
State or country in which organized — Delaware

GTCR Fund IX/B, L.P.
 6100 Sears Tower, Chicago, IL 60606
Security(ies) — Limited partnership interests
Issuer of security(ies) — GTCR Fund IX/B, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Halcyon Real Estate Partners Parallel Fund, L.P.
 One Post Office Square, Suite 3520, Boston, MA 02109
Security(ies) — Limited partnership interests
Issuer of security(ies) — Halcyon Real Estate Partners Parallel Fund, L.P.
Address of issuer — 2320 Haverford Rd., Ardmore, PA 19003
State or country in which organized — Delaware

Harris Nesbitt Corp.
 Three Times Sq., New York, NY 10036
State or country in which incorporated — Delaware
Security(ies) — Class B subordinate voting shares
Issuer of security(ies) — Teck Cominco Limited
Address of issuer — 200 Burrard St., Suite 600, Vancouver, British Columbia V6C 63L, Canada
State or country in which organized — Canada

Healthbridge, Inc.
 1066 W. Hastings St., Suite 2610, Vancouver, BC, Canada V6E 3X2
State or country in which incorporated — Texas
Security(ies) — Common stock
Issuer of security(ies) — Healthbridge, Inc.
Address of issuer — Same as above
State or country in which organized — Texas

Highland Investor Group, LLLP
 3350 Boca Raton Blvd., Suite B-2, Boca Raton, FL 33431
State or country in which incorporated — HIG Management Company, LLC
Security(ies) — Limited partnership interest
Issuer of security(ies) — Highland Investor Group, LLLP
Address of issuer — Same as above
State or country in which organized — Florida

HydroGen Corporation
 Two Juniper St., Versailles, PA 15132
State or country in which incorporated — Nevada
Security(ies) — Common stock
Issuer of security(ies) — HydroGen Corporation
Address of issuer — Same as above
State or country in which organized — Nevada

HydroGen Corporation
 Two Juniper St., Versailles, PA 15132
State or country in which incorporated — Nevada
Security(ies) — Common stock warrant
Issuer of security(ies) — HydroGen Corporation
Address of issuer — Same as above
State or country in which organized — Nevada

Hy-Line Enterprises Holdings, LLC
 2200 Ross Ave., Suite 3800, Dallas, TX 75201
Security(ies) — Junior notes with warrants

Issuer of security(ies) — Hy-Line Enterprises Holdings, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Inductive Capital, L.P.
 1149 Chestnut St., Suite 8, Menlo Park, CA 94025
State or country in which incorporated — Delaware limited partnership
Security(ies) — Limited partnership interest
Issuer of security(ies) — Inductive Capital, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Interplay Entertainment Corp.
 100 N. Crescent Dr., Beverly Hills, CA 92010
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Interplay Entertainment Corp.
Address of issuer — Same as above
State or country in which organized — Delaware

INVESCO Non-U.S. Partnership Fund V, L.P.
 1166 Avenue of the Americas, 27th Fl., New York, NY 10036
Security(ies) — Limited partnership interests
Issuer of security(ies) — INVESCO Non-U.S. Partnership Fund V, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

INVESCO Non-U.S. Partnership Fund V, L.P.
 1166 Avenue of the Americas, 27th Fl., New York, NY 10036
Security(ies) — Limited partnership interests
Issuer of security(ies) — INVESCO Partnership Fund V, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

INVESCO Non-U.S. Partnership Fund V, L.P.
 1166 Avenue of the Americas, 27th Fl., New York, NY 10036
Security(ies) — Limited partnership interests
Issuer of security(ies) — INVESCO U.S. LBO & Corporate Finance Partnership Fund V, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

INVESCO Non-U.S. Partnership Fund V, L.P.
 1166 Avenue of the Americas, 27th Fl., New York, NY 10036
Security(ies) — Limited partnership interests
Issuer of security(ies) — INVESCO U.S. Venture Partnership Fund V, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

IWCO Direct Holdings Inc.
 7951 Powers Blvd., Chanhassen, MN 55317-9502
State or country in which incorporated — Delaware
Security(ies) — Class B common stock, par value \$.01 per share
Issuer of security(ies) — IWCO Direct Holdings Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

JCS Value Fund, L.P.
 1201 Adams St., #202, Hoboken, NJ 07030
Security(ies) — Limited partnership interests
Issuer of security(ies) — JCS Value Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Jefferies & Company, Inc.; (2) Keefe, Bruyette & Woods, Inc.; (3) Sandler O'Neill & Partners, L.P.
520 Madison Ave., New York, NY 10022; (2) 787 7th Ave., 5th Fl., New York, NY 10019; (3) 919 Third Ave., 6th Fl., New York, NY 10022

State or country in which incorporated — (1, 3) Delaware; (2) New York

Security(ies) — Common stock

Issuer of security(ies) — Sanders Morris Harris Group Inc.

Address of issuer — 600 Travis, Suite 3100, Houston, TX 77002

State or country in which organized — Texas

J.P. Morgan Securities Inc.

270 Park Ave., New York, NY 10017

State or country in which incorporated — Delaware

Security(ies) — 7.75% senior notes due 2016

Issuer of security(ies) — Edison Mission Energy

Address of issuer — 18101 Von Karman Ave., Suite 1700, Irvine, CA 92612

State or country in which organized — Delaware

J.P. Morgan Securities Inc.

270 Park Ave., New York, NY 10017

State or country in which incorporated — Delaware

Security(ies) — Common stock

Issuer of security(ies) — Global Cash Access Holdings, Inc.

Address of issuer — 3525 E. Post Rd., Suite 120, Las Vegas, NV 89120

State or country in which organized — Delaware

Jumpnode Systems, LLC

800 Washington Ave. N, #325, Minneapolis, MN 55401

State or country in which incorporated — Minnesota

Security(ies) — Series A participating preferred units

Issuer of security(ies) — Jumpnode Systems, LLC

Address of issuer — Same as above

State or country in which organized — Minnesota

Keeley Funds, Inc.

401 S. LaSalle St., Suite 1201, Chicago, IL 60605

State or country in which incorporated — Maryland

Security(ies) — Shares of common stock

Issuer of security(ies) — Keeley All Cap Value Fund

Address of issuer — Same as above

State or country in which organized — Maryland

Laeroc Edge Fund, L.P.

2447 Pacific Coast Hwy., Suite 201, Hermosa Beach, CA 90254

Security(ies) — 8.15% unsecured notes

Issuer of security(ies) — Laeroc Edge Fund Income Fund, L.P.

Address of issuer — Same as above

State or country in which organized — California

Laeroc Edge Fund, L.P.

2447 Pacific Coast Hwy., Suite 201, Hermosa Beach, CA 90254

Security(ies) — Limited partnership units

Issuer of security(ies) — Laeroc Edge Fund Income Fund, L.P.

Address of issuer — Same as above

State or country in which organized — California

Lake Hill Fund, L.P.

c/o Lake Hill Capital Managements, LL, 900 Third Ave., Suite 1002, New York, NY 10022

Security(ies) — Limited partnership interests

Issuer of security(ies) — Lake Hill Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Lake Hill Master Fund, L.P.

c/o BISYS Hedge Fund Services (Cayman) Limited, 27 Hospital Rd., P.O. Box 1748GT, Cayman Corporate Center, Georgetown, Grand Cayman, Cayman Islands

State or country in which incorporated — Cayman Islands

Security(ies) — Limited partnership interests

Issuer of security(ies) — Lake Hill Master Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Cayman Islands

Lake Hill Offshore Fund, L.P.

c/o BISYS Hedge Fund Services (Cayman) Limited, 27 Hospital Rd., P.O. Box 1748GT, Cayman Corporate Center, Georgetown, Grand Cayman, Cayman Islands, BWI

State or country in which incorporated — Cayman Islands

Security(ies) — Redeemable participating voting shares

Issuer of security(ies) — Lake Hill Offshore Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Cayman Islands

Lehman Brothers Inc.; (2) Cowen and Company, LLC; (3) Needham & Company, LLC; (4) Piper Jaffray & Co.; (5) Pacific Growth Equities, LLC

745 7th Ave., New York, NY 10019; (2) 1221 Avenue of the Americas, New York, NY 10020; (3) 445 Park Ave., New York, NY 10022; (4) 800 Nicollet Mall, Minneapolis, MN 55402; (5) One Bush St., San Francisco, CA 94104

State or country in which incorporated — (1), (4) Delaware; (2), (3), (5) Delaware limited liability company

Security(ies) — Common stock

Issuer of security(ies) — Techwell, Inc.

Address of issuer — 408 E. Plumeria Dr., San Jose, CA 95134

State or country in which organized — Delaware

Lehman Brothers Co-Investment Partners L.P.

c/o Lehman Brothers Inc., 399 Park Ave., New York, NY 10022

Security(ies) — Limited partnership interests

Issuer of security(ies) — Lehman Brothers Co-Investment Partners L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Liberator Medical Supply, Inc.

2700 S.E. Market Place, Stuart, FL 34997

State or country in which incorporated — Florida

Security(ies) — Common stock

Issuer of security(ies) — Liberator Medical Supply, Inc.

Address of issuer — Same as above

State or country in which organized — Florida

Lighthouse Diversified Fund (QP), L.P.

3801 PGA Blvd., Suite 500, Palm Beach Gardens, FL 33410

Security(ies) — Limited partnership interests

Issuer of security(ies) — Lighthouse Diversified Fund (QP), L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Lighthouse Diversified Fund II (QP), L.P.

3801 PGA Blvd., Suite 500, Palm Beach Gardens, FL 33410

Security(ies) — Limited partnership interests

Issuer of security(ies) — Lighthouse Diversified Fund II (QP), L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Liquid Capital Fund V, LLC

8300 Greensboro Dr., Suite 800, McLean, VA 22101

Security(ies) — Units consisting of class A and class B membership

Issuer of security(ies) — Liquid Capital Fund V, LLC

Address of issuer — Same as above

State or country in which organized — Delaware

Lucky Liter LLC

Two N. Riverside Dr., Suite 1433, Chicago, IL 60606

State or country in which incorporated — Delaware limited liability company

Security(ies) — Common units

Issuer of security(ies) — Lucky Liter LLC

Address of issuer — Same as above

State or country in which organized — Delaware

Magna Resources BD # 27-Frietsch #1 Joint Venture

Campbell Centre II, 8150 N. Central Expwy., #1700, Dallas, TX 75206

Security(ies) — Joint venture interests

Issuer of security(ies) — Magna Resources BD #27-Frietsch #1 Joint Venture

Address of issuer — Same as above

State or country in which organized — Texas

McGinn, Smith & Company, Inc.

45 Broadway, 26th Fl., New York, NY 10006

State or country in which incorporated — New York

Security(ies) — Units consisting of a secured convertible promissory note and 15,000 shares of common stock

Issuer of security(ies) — VidSoft, Inc.

Address of issuer — 245 First St., Suite 1800, Cambridge, MA 02142

State or country in which organized — Delaware

Med-Depot Holdings, Inc.

2200 Ross Ave., Suite 3800, Dallas, TX 75201

Security(ies) — Junior notes with warrants

Issuer of security(ies) — Med-Depot Holdings, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Medium, Inc.

1305 Pine St., Boulder, CO 80302

State or country in which incorporated — Delaware

Security(ies) — Common stock

Issuer of security(ies) — Medium, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Medley Opportunity Fund LP

375 Park Ave., 33rd Fl., New York, NY 10152

Security(ies) — Limited partnership interests

Issuer of security(ies) — Medley Opportunity Fund LP

Address of issuer — Same as above

State or country in which organized — Delaware

MedServe, Inc.

6575 W. Loop S, Suite 145, Bellaire, TX 77401

Security(ies) — Warrants to purchase common stock

Issuer of security(ies) — MedServe, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Merrill Lynch, Pierce, Fenner & Smith Incorporated; (2) LaSalle Financial Services, Inc.; (3) PNC Capital Markets LLC; (4) Goldman, Sachs & Co.; (5) Morgan Stanley & Co. Incorporated

Four World Financial Center, New York, NY 10281; (2) 135 S. LaSalle St., Chicago, IL 60603; (3) 249 5th Ave., Pittsburgh, PA 15222; (4) 85 Broad St., New York, NY 10004; (5) 1585 Broadway, New York, NY 10036

State or country in which incorporated — (1, 2 & 5) Delaware; (3) Pennsylvania limited liability company; (4) New York partnership
Security(ies) — Class A-3B commercial mortgage pass-through certificates, series 2006-C1

Issuer of security(ies) — Merrill Lynch Mortgage Trust 2006-C1

Address of issuer — % U.S. Bank National Association, Attn: Merrill Lynch Mortgage Trust 2006-C1, One Federal St., Corporation Trust Services, 3rd Fl., Boston, MA 02110

State or country in which organized — New York

MFS Fund Distributors, Inc.

500 Boylston St., Boston, MA 02116-3741

State or country in which incorporated — Massachusetts

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — MFS Series Trust XIII—MFS Diversified Income Fund

Address of issuer — Same as above

State or country in which organized — Massachusetts

MGI Funds Distributors, Inc.

Investors Way, Norwood, MA 02062

State or country in which incorporated — United States

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — MGI Funds—MGI Non-US Core Equity Fund
Address of issuer — 1166 Avenue of the Americas, New York, NY 10036

State or country in which organized — Massachusetts

Mirae Bancorp

2140 W. Olympic Blvd., Los Angeles, CA 90006

State or country in which incorporated — California

Security(ies) — Common stock

Issuer of security(ies) — Mirae Bancorp

Address of issuer — Same as above

State or country in which organized — California

Mobilier, Inc.

70 S. Lively Blvd., Elk Grove, IL 60007

State or country in which incorporated — Delaware

Security(ies) — Convertible debentures

Issuer of security(ies) — Mobilier, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Moody National Memphis RI S, LLC

6363 Woodway, Suite 100, Houston, TX 77057

Security(ies) — TIC interests

Issuer of security(ies) — Moody National Memphis RI S, LLC

Address of issuer — Same as above

State or country in which organized — Delaware

Morgan Joseph & Co., Inc.

600 Fifth Ave., New York, NY 10020

State or country in which incorporated — Delaware

Security(ies) — Units of one ordinary share and one warrant

Issuer of security(ies) — ChinaGrowth North Acquisition Corporation

Address of issuer — 1818 Cangong Rd., Fengxian Shanghai Chemical

Industry Park, Changhai, China 201417
State or country in which organized — Cayman Islands

Morgan Joseph & Co., Inc.
 600 Fifth Ave., New York, NY 10020
State or country in which incorporated — Delaware
Security(ies) — Units of one ordinary share and one warrant
Issuer of security(ies) — ChinaGrowth South Acquisition Corporation
Address of issuer — 1818 Canggong Rd., Fengxian Shanghai Chemical
 Industry Park, Changhai, China 201417
State or country in which organized — Cayman Islands

Motionbox, Inc.
 55 Broad St., 10th Fl., New York, NY 10004
State or country in which incorporated — Delaware
Security(ies) — Warrants to purchase preferred stock
Issuer of security(ies) — Motionbox, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Mountain View Funding CLO 2006-1, Ltd.
 303 Peachtree St. NE, 24th Fl., Atlanta, GA 30308
State or country in which incorporated — Tennessee
Security(ies) — 35,500 preference shares
Issuer of security(ies) — Mountain View Funding CLO 2006-1, Ltd.
Address of issuer — % Maples Finance Limited, Attn: The Directors,
 P.O. Box 1093 GT, Queensgate House, S. Church St., George Town,
 Grand Cayman, Cayman Islands
State or country in which organized — Cayman Islands

Muirfield Multi-Strategy Fund, Ltd.
 % BISYS Hedge Fund Services (Cayman) Limited, 27 Hospital Rd.,
 P.O. Box 1748 GT, George Town, Grand Cayman, Cayman Islands,
 British West Indies
Security(ies) — Common shares
Issuer of security(ies) — Muirfield Multi-Strategy Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Nevada Global Box Investors I, LLC
 5469 Kearny Villa Rd., Suite 208, San Diego, CA 92123
Security(ies) — LLC units
Issuer of security(ies) — Nevada Global Box Investors I, LLC
Address of issuer — Same as above
State or country in which organized — Nevada

New River Management IV, LP
 The Governor Tyler, 1881 Grove Ave., Radford, VA 24141
Security(ies) — Limited partnership interests
Issuer of security(ies) — New River Management IV, LP
Address of issuer — Same as above
State or country in which organized — Virginia

New Science Ventures Fund I-Crozet, L.P.
 645 Madison Ave., 20th Fl., New York, NY 10010
Security(ies) — Partnership interests
Issuer of security(ies) — New Science Ventures Fund I-Crozet, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

New Science Ventures Fund I, L.P.
 645 Madison Ave., 20th Fl., New York, NY 10010
Security(ies) — Partnership interests

Issuer of security(ies) — New Science Ventures Fund I, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

North America Sports Media, L.L.C.
 520 Lake Cook Rd., Suite 375, Deerfield, IL 60015
Security(ies) — Limited liability company interests
Issuer of security(ies) — North America Sport Media, L.L.C.
Address of issuer — Same as above
State or country in which organized — Delaware

North Broad Master, L.P.
 Seven N. Broad St., #204, Ridgewood, NJ 07450-3801
Security(ies) — Limited partnership shares
Issuer of security(ies) — North Broad Master, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Northern Funds Distributors, LLC
 Four Falls Corporate Center, Sixth Fl., West Conshohocken, PA 19428-2961
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Northern Funds—Multi-Manager International
 Equity Fund
Address of issuer — P.O. Box 75986, Chicago, IL 60690-6319
State or country in which organized — Delaware

Northern Funds Distributors, LLC
 Four Falls Corporate Center, Sixth Fl., West Conshohocken, PA 19428-2961
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Northern Funds—Multi-Manager Mid Cap
 Fund
Address of issuer — P.O. Box 75986, Chicago, IL 60690-6319
State or country in which organized — Delaware

Northern Funds Distributors, LLC
 Four Falls Corporate Center, Sixth Fl., West Conshohocken, PA 19428-2961
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Northern Funds—Multi-Manager Small Cap
 Fund
Address of issuer — P.O. Box 75986, Chicago, IL 60690-6319
State or country in which organized — Delaware

Northstar Stephens #1 Joint Venture
 740 Lexington Ave., Plano, TX 75075
Security(ies) — Joint venture partnership interests
Issuer of security(ies) — Northstar Stephens #1 Joint Venture
Address of issuer — Same as above
State or country in which organized — Texas

Novastar Resources Ltd.
 8300 Greensboro Dr., Suite 800, McLean, VA 22102
State or country in which incorporated — Nevada
Security(ies) — Common stock
Issuer of security(ies) — Novastar Resources Ltd.
Address of issuer — Same as above
State or country in which organized — Nevada

Novastar Resources Ltd.
 8300 Greensboro Dr., Suite 800, McLean, VA 22102
State or country in which incorporated — Nevada
Security(ies) — Warrants

Issuer of security(ies) — Novastar Resources Ltd.
Address of issuer — Same as above
State or country in which organized — Nevada

NTL Incorporated
 909 Third Ave., Suite 2863, New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — NTL Incorporated
Address of issuer — Same as above
State or country in which organized — Delaware

Oceanic Exploration Company
 7800 E. Dorado Place, Suite 250, Englewood, CO 80111
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Oceanic Exploration Company
Address of issuer — Same as above
State or country in which organized — Delaware

Omni Brokerage, Inc.
 150 W. Civic Center Dr., Suite 104, Salt Lake City, UT 84070
State or country in which incorporated — Utah
Security(ies) — Tenant in common interests
Issuer of security(ies) — CORE Chatham Wood, LLC
Address of issuer — 1600 Dove St., Suite 210, Newport Beach, CA 92660
State or country in which organized — Delaware limited liability company

Omni Brokerage, Inc.
 150 W. Civic Center Dr., Suite 104, Salt Lake City, UT 84070
State or country in which incorporated — Utah
Security(ies) — Tenant in common interests
Issuer of security(ies) — CORE Hickory Creek, LLC
Address of issuer — 1600 Dove St., Suite 210, Newport Beach, CA 92660
State or country in which organized — Delaware limited liability company

Omni Brokerage, Inc.
 150 W. Civic Center Dr., Suite 104, Salt Lake City, UT 84070
State or country in which incorporated — Utah
Security(ies) — Tenant in common interests
Issuer of security(ies) — CORE Madison at Adams Farm, LLC
Address of issuer — 1600 Dove St., Suite 210, Newport Beach, CA 92660
State or country in which organized — Delaware limited liability company

OppenheimerFunds Distributor, Inc.
 225 Liberty St., 11th Fl., New York, NY 10281-1008
State or country in which incorporated — New York
Security(ies) — Shares of beneficial interest, \$001 par value (classes A, B and C shares)
Issuer of security(ies) — Oppenheimer Rochester Michigan Municipal Fund
Address of issuer — 6803 S. Tuscon Way, P.O. Pox 5061, Denver, CO 80217
State or country in which organized — Massachusetts

OppenheimerFunds Distributor, Inc.
 225 Liberty St., 11th Fl., New York, NY 10281-1008

State or country in which incorporated — New York
Security(ies) — Shares of beneficial interest, \$001 par value (classes A, B and C shares)
Issuer of security(ies) — Oppenheimer Rochester Ohio Municipal Fund
Address of issuer — 6803 S. Tuscon Way, P.O. Pox 5061, Denver, CO 80217
State or country in which organized — Massachusetts

P&M Corporate Finance, LLC
 26300 Northwestern Hwy., Suite 120, Southfield, MI 48076
State or country in which incorporated — Michigan limited liability company
Security(ies) — Common stock
Issuer of security(ies) — Disc Motion Technologies, Inc.
Address of issuer — 1900 Corporate Blvd. NE, Suite 400, Boca Raton, FL 33431
State or country in which organized — Florida

Pace Petroleum Partners - Fund I, LP
 3878 Oak Lawn Ave., Suite 350, Dallas, TX 75219
Security(ies) — Limited partnership interests
Issuer of security(ies) — Pace Petroleum Partners - Fund I, LP
Address of issuer — Same as above
State or country in which organized — Texas

Pamplona Global Partners, LP
 %AIMG, LLC, Park 80 W, Plaza 2, Suite 200, Saddlebrook, NJ 07763-5836
Security(ies) — Limited partnership interests
Issuer of security(ies) — Pamplona Global Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

ParadigmHealth, Inc.
 10 Mountainview Rd., Upper Saddle River, NJ 07458
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — ParadigmHealth, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

ParadigmHealth, Inc.
 10 Mountainview Rd., Upper Saddle River, NJ 07458
State or country in which incorporated — Delaware
Security(ies) — Series C preferred stock
Issuer of security(ies) — ParadigmHealth, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

ParadigmHealth, Inc.
 10 Mountainview Rd., Upper Saddle River, NJ 07458
State or country in which incorporated — Delaware
Security(ies) — Series D preferred stock
Issuer of security(ies) — ParadigmHealth, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

ParadigmHealth, Inc.
 10 Mountainview Rd., Upper Saddle River, NJ 07458
State or country in which incorporated — Delaware
Security(ies) — Series E preferred stock
Issuer of security(ies) — ParadigmHealth, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

ParadigmHealth, Inc.
10 Mountainview Rd., Upper Saddle River, NJ 07458
State or country in which incorporated — Delaware
Security(ies) — Series F preferred stock
Issuer of security(ies) — ParadigmHealth, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

ParadigmHealth, Inc.
10 Mountainview Rd., Upper Saddle River, NJ 07458
State or country in which incorporated — Delaware
Security(ies) — Series H preferred stock
Issuer of security(ies) — ParadigmHealth, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

ParadigmHealth, Inc.
10 Mountainview Rd., Upper Saddle River, NJ 07458
State or country in which incorporated — Delaware
Security(ies) — Series J preferred stock
Issuer of security(ies) — ParadigmHealth, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

ParadigmHealth, Inc.
10 Mountainview Rd., Upper Saddle River, NJ 07458
State or country in which incorporated — Delaware
Security(ies) — Stock options
Issuer of security(ies) — ParadigmHealth, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

ParadigmHealth, Inc.
10 Mountainview Rd., Upper Saddle River, NJ 07458
State or country in which incorporated — Delaware
Security(ies) — Warrants
Issuer of security(ies) — ParadigmHealth, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Pandora Select Fund, Ltd.
3033 Excelsior Blvd., Suite 300, Minneapolis, MN 55416
Security(ies) — International Business Corporation shares
Issuer of security(ies) — Pandora Select Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — British Virgin Islands

Paradigm V, LLC
380 Lexington Ave., Suite 2020, New York, NY 10168
Security(ies) — Class B membership interests
Issuer of security(ies) — Paradigm V, LLC
Address of issuer — Same as above
State or country in which organized — New York

Paramount BioCapital, Inc.
787 Seventh Ave., 48th Fl., New York, NY 10019
State or country in which incorporated — Delaware
Security(ies) — Common stock, \$.001 par value
Issuer of security(ies) — ZIOPHARM Oncology, Inc.
Address of issuer — 1180 Avenue of the Americas, 19th Fl., New York, NY 10036
State or country in which organized — Delaware

Potomac Select LLC, Series I
c/o Potomac Portfolios, LLC, 5185 MacArthur Blvd. NW, Suite 220, Washington, DC 20016
Security(ies) — Limited liability company interests
Issuer of security(ies) — Potomac Select LLC, Series I
Address of issuer — Same as above
State or country in which organized — Delaware

Potomac Select LLC, Series II
c/o Potomac Portfolios, LLC, 5185 MacArthur Blvd. NW, Suite 220, Washington, DC 20016
Security(ies) — Limited liability company interests
Issuer of security(ies) — Potomac Select LLC, Series II
Address of issuer — Same as above
State or country in which organized — Delaware

Potomac Select LLC, Series III
c/o Potomac Portfolios, LLC, 5185 MacArthur Blvd. NW, Suite 220, Washington, DC 20016
Security(ies) — Limited liability company interests
Issuer of security(ies) — Potomac Select LLC, Series III
Address of issuer — Same as above
State or country in which organized — Delaware

Paulson Credit Opportunities, L.P.
590 Madison Ave., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Paulson Credit Opportunities, LP
Address of issuer — Same as above
State or country in which organized — Delaware

PFSweb, Inc.
500 N. Central Expwy., Plano, TX 75074
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — PFSweb, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Pond Equities Inc.
4522 Fort Hamilton Pkwy., Brooklyn, NY 11219
State or country in which incorporated — New York
Security(ies) — Units of senior secured convertible debentures and warrants
Issuer of security(ies) — Ambient Corporation
Address of issuer — 79 Chapel St., Newton, MA 02458
State or country in which organized — Delaware

Presidio Partners LLC
235 Pine St., Suite 1175, San Francisco, CA 94104
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interests
Issuer of security(ies) — RLJ Lodging Fund II, L.P.
Address of issuer — Three Bethesda Metro Center, Bethesda, MD 20814
State or country in which organized — Delaware

Prime Arizona, L.P.
% Prime Group, 50 California St., San Francisco, CA 94111
State or country in which incorporated — California
Security(ies) — Limited partnership interests
Issuer of security(ies) — Prime Arizona, L.P.

Address of issuer — Same as above

State or country in which organized — California

Prime Washington Investments, L.P.

% Prime Group, 50 California St., San Francisco, CA 94111

State or country in which incorporated — California

Security(ies) — Limited partnership interests

Issuer of security(ies) — Prime Washington Investments, L.P.

Address of issuer — Same as above

State or country in which organized — California

ProFunds Oil Equipment, Services & Distribution UltraSector ProFund

3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012

Security(ies) — Units of beneficial interest

Issuer of security(ies) — ProFunds Distributors, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Pzena Investment Management, LLC

120 W. 45th St., 20th Fl., New York, NY 10036

Security(ies) — Units of beneficial interest

Issuer of security(ies) — Pzena Value Group Trust

Address of issuer — c/o Pzena Investment Management, LLC, 120 W.

45th St., 20th Fl., New York, NY 10036

State or country in which organized — Illinois

Raymond James & Associates, Inc.; (2) RBC Capital Markets Corporation

880 Carillon Pkwy., St. Petersburg, FL 33716; (2) One Liberty Plaza, New York, NY 10006

State or country in which incorporated — (1) Florida; (2) New York

Security(ies) — Common stock

Issuer of security(ies) — Mercer International, Inc.

Address of issuer — 14900 Interurban Ave. S, Suite 282, Seattle, WA 98168

State or country in which organized — Washington

Retail Expansion Network, Inc.

6115 Harwood Ave., Suite 500, Oakland, CA 94618

State or country in which incorporated — Delaware

Security(ies) — Units consisting of series A preferred stock

Issuer of security(ies) — Retail Expansion Network, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

RGM Management, Inc.

575 S. Michigan St., Seattle, WA 98108-3316

State or country in which incorporated — Delaware

Security(ies) — Preferred stock

Issuer of security(ies) — RGM Management, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

R.G. Niederhoffer Global Fund, L.P. I

1700 Broadway, 39th Fl., New York, NY 10019

Security(ies) — Limited partnership interests

Issuer of security(ies) — R.G. Niederhoffer Global Fund, L.P. I

Address of issuer — Same as above

State or country in which organized — Delaware

Rydex Distributors, Inc.

9601 Blackwell Rd., Suite 500, Rockville, MD 20850

State or country in which incorporated — Maryland

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Rydex Dynamic Funds — Dynamic Russell 2000 Fund

Address of issuer — Same as above

State or country in which organized — Delaware

Rydex Distributors, Inc.

9601 Blackwell Rd., Suite 500, Rockville, MD 20850

State or country in which incorporated — Maryland

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Rydex Dynamic Funds — Inverse Dynamic Russell 2000 Fund

Address of issuer — Same as above

State or country in which organized — Delaware

Rydex Distributors, Inc.

9601 Blackwell Rd., Suite 500, Rockville, MD 20850

State or country in which incorporated — Maryland

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Rydex Series Funds — Russell 2000 Fund

Address of issuer — Same as above

State or country in which organized — Delaware

Rydex Distributors, Inc.

9601 Blackwell Rd., Suite 500, Rockville, MD 20850

State or country in which incorporated — Maryland

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Rydex Series Funds — S&P 500 Fund

Address of issuer — Same as above

State or country in which organized — Delaware

Saturna Investment Trust

1300 N. State St., Bellingham, WA 98225

State or country in which incorporated — Washington

Security(ies) — Idaho tax-exempt fund

Issuer of security(ies) — Saturna Investment Trust

Address of issuer — Same as above

State or country in which organized — Washington

Sequella, Inc.

9610 Medical Center Dr., Suite 200, Rockville, MD 20850

State or country in which incorporated — Delaware

Security(ies) — Common stock

Issuer of security(ies) — Sequella, Inc.

Address of issuer — Same as above

State or country in which organized — Maryland

Shemano Group, The

3131 E. Camelback Rd., Suite 200, Phoenix, AZ 85016

Security(ies) — Units of general partnership/limited partnership interests

Issuer of security(ies) — Daugherty Petroleum, Inc.

Address of issuer — 120 Prosperous Place, Suite 201, Lexington, KY 40509

State or country in which organized — Kentucky

Smartsheet.com, Inc.

6413 Lake Washington Blvd., Kirkland, WA 98033

State or country in which incorporated — Washington

Security(ies) — Series A2 preferred stock

Issuer of security(ies) — Smartsheet.com, Inc.

Address of issuer — Same as above

State or country in which organized — Washington

Sports Technologies, Inc.
50 Albany Turnpike, Avon, CT 06019
State or country in which incorporated — Delaware
Security(ies) — Convertible promissory note
Issuer of security(ies) — Sports Technologies, Inc.
Address of issuer — 50 Albany Tpk., Avon, CT 06019
State or country in which organized — Delaware

Stark Al-Noor Fund, Ltd.
3600 S. Lake Dr., St. Francis, WI 53235
State or country in which incorporated — British Virgin Islands
Security(ies) — Common stock
Issuer of security(ies) — Stark Al-Noor Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — British Virgin Islands

Stark Asia Fund LP
3600 S. Lake Dr., St. Francis, WI 53235
Security(ies) — Limited partnership interests
Issuer of security(ies) — Start Asia Fund LP
Address of issuer — Same as above
State or country in which organized — Delaware

Stark NatCat Offshore Fund LP
3600 S. Lake Dr., St. Francis, WI 53235
Security(ies) — Limited partnership interests
Issuer of security(ies) — Stark NatCat Onshore Fund LP
Address of issuer — Same as above
State or country in which organized — Delaware

Stark NatCat Onshore Fund Ltd.
3600 S. Lake Dr., St. Francis, WI 53235
Security(ies) — Redeemable fund shares
Issuer of security(ies) — Stark NatCat Offshore Fund Ltd.
Address of issuer — Same as above
State or country in which organized — Bermuda

Stark Quantitative Investments Currency Fund LP
3600 S. Lake Dr., St. Francis, WI 53235
Security(ies) — Limited partnership interests
Issuer of security(ies) — Stark Quantitative Investments Currency Fund LP
Address of issuer — Same as above
State or country in which organized — Delaware

Stark Quantitative Investments Currency Fund Ltd.
3600 S. Lake Dr., St. Francis, WI 53235
State or country in which incorporated — British Virgin Islands
Security(ies) — Common stock
Issuer of security(ies) — Stark Quantitative Investments Currency Fund Ltd.
Address of issuer — Same as above
State or country in which organized — British Virgin Islands

Stark Strategic Cat Fund Ltd.
3600 S. Lake Dr., St. Francis, WI 53235
State or country in which incorporated — Bermuda
Security(ies) — Exempted mutual fund shares
Issuer of security(ies) — Stark Strategic Cat Fund Ltd.
Address of issuer — Same as above
State or country in which organized — Bermuda

State Street Capital Partners, Inc.
221 N. LaSalle St., Suite 3000, Chicago, IL 60661

State or country in which incorporated — Illinois
Security(ies) — Limited liability company interests
Issuer of security(ies) — Millwood 2004, L.L.C.
Address of issuer — 221 N. LaSalle St., Suite 3000, Chicago, IL 60661
State or country in which organized — Michigan

SynergEyes, Inc.
2232 Rutherford Rd., Carlsbad, CA 92008
State or country in which incorporated — California
Security(ies) — Series B preferred stock
Issuer of security(ies) — SynergEyes, Inc.
Address of issuer — 2232 Rutherford Rd., Carlsbad, CA 92008
State or country in which organized — California

Taglich Brothers, Inc.
700 New York Ave., Huntington, NY 11743
Security(ies) — Common stock
Issuer of security(ies) — Park City Group, Inc.
Address of issuer — P.O. Box 5000, Park City, UT 84060
State or country in which organized — Nevada

Targe Energy, LLC
101 Emerson Ave., Aspinwall, PA 15215
Security(ies) — Class A common units
Issuer of security(ies) — Targe Energy, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Targe Energy, LLC
101 Emerson Ave., Aspinwall, PA 15215
Security(ies) — Preferred units
Issuer of security(ies) — Targe Energy, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Templeton Global Investment Trust—Templeton BRIC Fund
% Legal/Blue Sky Department, One Franklin Pkwy., San Mateo, CA 94403-1906
State or country in which incorporated — Delaware
Security(ies) — Beneficial interest no par value
Issuer of security(ies) — Templeton Global Investment Trust — Templeton BRIC Fund
Address of issuer — c/o Legal / Blue Sky Department, One Franklin Pkwy., San Mateo, CA 94403-1906
State or country in which organized — Delaware

Thesis Capital Domestic, L.P.
60 E. 42nd St., Suite 1245, New York, NY 10165
Security(ies) — Limited partnership interests
Issuer of security(ies) — Thesis Capital Domestic, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Thesis Capital Offshore Limited
% Goldman Sachs (Cayman) Trust, 2nd Fl., Harbour Centre, P.O. Box 896 GT, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares, par value \$0.01 (U.S.) per share
Issuer of security(ies) — Thesis Capital Offshore Limited
Address of issuer — c/o Goldman Sachs (Cayman) Trust, 2nd Fl., Harbour Centre, P.O. Box 896 GT, Grand Cayman, Cayman Islands
State or country in which organized — Cayman Islands

Theta Capture Fund, L.P.

% Cru Capital Management, LLC, 152 W. 57th St., 25th Fl., New York, NY 10019

Security(ies) — Limited partnership interests

Issuer of security(ies) — Theta Capture Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Touchstone Applied Science Associates, Inc.

Four Hardscrabble Heights, P.O. Box 382, Brewster, NY 10509

State or country in which incorporated — Delaware

Security(ies) — Series A convertible preferred stock

Issuer of security(ies) — Touchstone Applied Science Associate, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Tremblant Partners Ltd.

% Citco Fund Services (Cayman Islands) Limited, Regatta Office Park, W. Bay Rd., P.O. Box 31106, SMB, Grand Cayman, BWI

State or country in which incorporated — Cayman Islands

Security(ies) — Common shares

Issuer of security(ies) — Tremblant Partners Ltd.

Address of issuer — Same as above

State or country in which organized — Cayman Islands

Triton Distribution Systems, Inc.

One Harbor Dr., Suite 300, Sausalito, CA 94965

State or country in which incorporated — Nevada

Security(ies) — Common stock

Issuer of security(ies) — Triton Distribution Systems, Inc.

Address of issuer — Same as above

State or country in which organized — Nevada

T. Rowe Price Institutional International Funds, Inc./T. Rowe Price Institutional Global Equity Fund (Portfolio)

100 E. Pratt St., Baltimore, MD 21202

State or country in which incorporated — Maryland

Security(ies) — Common stock

Issuer of security(ies) — T. Rowe Price Institutional International Funds, Inc./T. Rowe Price Institutional Global Equity Fund (Portfolio)

Address of issuer — Same as above

State or country in which organized — Maryland

UBS Securities LLC

1285 Avenue of the Americas, New York, NY 10019

State or country in which incorporated — Delaware

Security(ies) — Home Equity Mortgage Loan Asset-Backed Trust, Series INDS 2006-A

Issuer of security(ies) — IndyMac MBS, Inc.

Address of issuer — 155 North Lake Ave., Pasadena, CA 91101

State or country in which organized — Delaware

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Central Equity Trust, Diversified Income Series 23

Address of issuer — Same as above

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Developing Technologies Portfolio 2006-3

Address of issuer — Same as above

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Insured Municipals Income Trust, Series 513

Address of issuer — Same as above

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — S&P Japan Enhanced Index Strategy Portfolio 2006-3

Address of issuer — Same as above

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Strategic Mid-Cap Portfolio 2006-3

Address of issuer — Same as above

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Strategic Small-Cap Portfolio 2006-3

Address of issuer — Same as above

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — The Dow Jones Select Microcap Enhanced Index Strategy Portfolio 2006-3

Address of issuer — Same as above

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Van Kampen Unit Trusts, Municipal Series 605 (Pennsylvania Insured Municipals Income Trust, Series 308)

Address of issuer — Same as above

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Enhanced Sector Strategy, Basic Materials Portfolio 2006-2

Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Enhanced Sector Strategy, Consumer Goods Portfolio 2006-2
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Enhanced Sector Strategy, Consumer Services Portfolio 2006-2
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Enhanced Sector Strategy, Energy Portfolio 2006-2
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Enhanced Sector Strategy, Health Care Portfolio 2006-2
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Enhanced Sector Strategy, Industrial Portfolio 2006-2
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Enhanced Sector Strategy, Technology Portfolio 2006-2
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Enhanced Sector Strategy, Telecommunications Portfolio 2006-2
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Enhanced Sector Strategy, Total Market Portfolio 2006-2
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Enhanced Sector Strategy, Utilities Portfolio 2006-2
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Van Kampen Preferred Securities Portfolio, Series 6
Address of issuer — Same as above
State or country in which organized — New York

Vantis Health Sciences Fund II L.P.
 385 E. Colorado Blvd., Suite 250, Pasadena, CA 91101
Security(ies) — Limited partner interests
Issuer of security(ies) — Vantis Health Sciences Fund II L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Vardana Partners LP
 83 S. Bedford Rd., Mt. Kisco, NY 10549
Security(ies) — Limited partnership interests
Issuer of security(ies) — Vardana Partners LP
Address of issuer — Same as above
State or country in which organized — Delaware

Venus Special Situation Fund
 99 Summer St., Suite M100, Boston, MA 02110
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interests
Issuer of security(ies) — Venus Special Situation Fund
Address of issuer — Same as above
State or country in which organized — Delaware

VerdisWealth Hedged Strategies Fund, L.P.
 % VerdisWealth Management, LLC, 200 Four Falls Corporate Center, Suite 201, West Conshohocken, PA 19428
Security(ies) — Limited partnership interests
Issuer of security(ies) — VerdisWealth Hedged Strategies Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

VerdisWealth Offshore Hedged Strategies Fund, Ltd.
 % VerdisWealth Management, LLC, 200 Four Falls Corporate Center, Suite 201, West Conshohocken, PA 19428
State or country in which incorporated — Cayman Islands
Security(ies) — Common stock
Issuer of security(ies) — VerdisWealth Offshore Hedged Strategies Fund, Ltd.

Address of issuer — Same as above
State or country in which organized — Cayman Islands

VegasWorm, Inc.
 1301 Avenue of the Americas, 44th Fl., New York, NY 10019
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — VegasWorm, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Vines of Argentina, LLC, The
 163 Mitchells Chance Rd., #250, Edgewater, MD 20764
State or country in which incorporated — Delaware
Security(ies) — Ownership interests designated as class B units in the company's limited liability company agreement ("class B units")
Issuer of security(ies) — Vines of Argentina, LLC, The
Address of issuer — Same as above
State or country in which organized — Delaware

Vision Opportunity Capital Partners, LP
 317 Madison Ave., Suite 1220, New York, NY 10017
Security(ies) — Limited partnership interests
Issuer of security(ies) — Vision Opportunity Capital Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Vista Drilling Program 2006-1 Limited Partnership
 61 McMurray Rd., Suite 300, Pittsburgh, PA 15241
Security(ies) — Units of general/limited partnership interests
Issuer of security(ies) — Vista Drilling Program 2006-1 Limited Partnership
Address of issuer — Same as above
State or country in which organized — Pennsylvania

Vittoria Fund, L.P., The
 6022 W. Chester Pike, Newtown Square, PA 19073
Security(ies) — Limited partnership interests
Issuer of security(ies) — The Vittoria Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Wachovia Securities, LLC
 951 E. Byrd St., Richmond, VA 23219
State or country in which incorporated — Delaware
Security(ies) — Units of beneficial interests
Issuer of security(ies) — LTB Trust
Address of issuer — c/o Tattersall Advisory Group, Inc., 6802 Paragon Place, Suite 200, Richmond, VA 23230-1655
State or country in which organized — Delaware

Wachovia Securities, LLC
 951 E. Byrd St., Richmond, VA 23219
State or country in which incorporated — Delaware
Security(ies) — Units of beneficial interests
Issuer of security(ies) — The HAT Return Fund
Address of issuer — c/o Tattersall Advisory Group, Inc., 6802 Paragon Place, Suite 200, Richmond, VA 23230-1655
State or country in which organized — Delaware

Walton Red Rock LLC
 c/o 2390 E. Camelback Rd., Suite 305, Phoenix, AZ 85016
Security(ies) — Limited liability company interests
Issuer of security(ies) — Walton Red Rock LLC

Address of issuer — Same as above
State or country in which organized — Delaware

Western Canadian Coal Corp.
 580 Hornby St., Suite 900, British Columbia V6C 3B6, Canada
State or country in which incorporated — Canada
Security(ies) — Common shares
Issuer of security(ies) — Western Canadian Coal Corp.
Address of issuer — Same as above
State or country in which organized — Canada

Westerly Partners, L.P.
 201 Misson St., Suite 530, San Francisco, CA 94105
Security(ies) — Limited partnership interest
Issuer of security(ies) — Westerly Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Whitebox Diversified Convertible Arbitrage Fund, Ltd.
 3033 Excelsior Blvd., Suite 300, Minneapolis, MN 55416
Security(ies) — Redeemable non-voting shares
Issuer of security(ies) — Whitebox Diversified Convertible Arbitrage Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Wintergreen Partners Offshore Fund, Ltd.
 % Ogier Fiduciary Services (Cayman) Limited, P.O. Box 1234, Queensgate House, S. Church St., George Town, Grand Cayman, Cayman Islands
Security(ies) — Common shares, par value \$0.01 (U.S.) per share
Issuer of security(ies) — Wintergreen Partners Offshore Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Yacktman Funds, Inc., The
 6300 Bridgepoint Pkwy., Bldg. One, Suite 320, Austin, TX 78730
State or country in which incorporated — Maryland
Security(ies) — Shares of common stock, par value \$0.0001
Issuer of security(ies) — The Yacktman Focused Fund
Address of issuer — Same as above
State or country in which organized — Maryland

Zuit Sports Inc.
 2880 Scott St., Suite 101, Vista, CA 92081
State or country in which incorporated — California
Security(ies) — 303,030 shares of series A preferred stock
Issuer of security(ies) — Zuit Sports Inc.
Address of issuer — Same as above
State or country in which organized — California

Zuit Sports Inc.
 2880 Scott St., Suite 101, Vista, CA 92081
State or country in which incorporated — California
Security(ies) — 454,545 shares of series A preferred stock
Issuer of security(ies) — Zuit Sports Inc.
Address of issuer — Same as above
State or country in which organized — California

Zweig-DiMenna Natural Resources, L.P.
 900 Third Ave., 30th Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Zweig-DiMenna Natural Resources, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware