

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

7X7 Offshore, Ltd.
P.O. Box 513 G.T., 2nd Fl., Strathvale House, 90 N. Church St., George
Town, Grand Cayman, Cayman Islands
Partnership — 7X7 Asset Management LLC

180solutions, Inc.
3600 136th Place SE, Bellevue, WA 98006
State or country in which incorporated — Washington

2004 Stormy Atlantic/Carson City Sham, LLC
800 Arbor Dr. N, Louisville, KY 40223

2004 Tactical Cat/Sailing Solo, LLC
800 Arbor Dr. N, Louisville, KY 40223

2004 Touch Gold/Black Tie Lady, LLC
800 Arbor Dr. N, Louisville, KY 40223

2004 Wild Rush/Turbo Dream, LLC
800 Arbor Dr. N, Louisville, KY 40223

AHCO Bond Fund, L.L.C.
8112 Maryland Ave., St. Louis, MO 63105

AIP Alternative Strategies Funds, Beta Hedged Strategies Fund
c/o U.S. Bancorp Fund Services, LLC, 615 E. Michigan St., Milwaukee,
WI 53202
State or country in which incorporated — Delaware

A.L. Waters Capital LLC
150 Wood Rd., Suite 203, Braintree, MA 02186
State or country in which incorporated — Massachusetts

AllianceBernstein Investments, Inc.
1345 Avenue of the Americas, New York, NY 10105
State or country in which incorporated — Delaware

AlphaGen Tenro Fund Limited, The
P.O. Box 513 GT, Strathvale House, N. Church St., George Town,
Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands

AMD, Inc.
2492 Walnut Ave., Suite 100, Tustin, CA 92780
State or country in which incorporated — Delaware

American Capital Partners, LLC
205 Oser Ave., Hauppauge, NY 11788
*State or country in which incorporated — New York limited liability
company*

Ardennes Value Fund, Ltd.
c/o Goldman Sachs (Cayman) Trust, Limited, PO Box 896GT, Harbour
Center, 2nd Fl., George Town, Grand Cayman, Cayman Islands BWI
State or country in which incorporated — Cayman Islands

Asset Alliance Eastern European Fund, Ltd.
c/o Walkers SPV Limited, P.O. Box 908GT, Mary St., George Town,
Grand Cayman, Cayman Islands, BWI
State or country in which incorporated — Cayman Islands

Atherton-Newport Fund 123, LLC
Four Park Plaza, Suite 1050, Irvine, CA 92614
*State or country in which incorporated — Delaware limited liability
company*

Auto Underwriters of America, Inc.
2755 Campus Dr., Suite 155, San Mateo, CA 94403
State or country in which incorporated — California

Avista Capital Partners, L.P.
65 E. 55th St., 18th Fl., New York, NY 10022
Partnership — Avista Capital Partners, GP, LLC

Ayalogic, Inc.
530 S. Main St., Suite 1732, Akron, OH 44311
State or country in which incorporated — Delaware

Basin Water, Inc.
8731 Prestige Court, Rancho Cucamonga, CA 91730
State or country in which incorporated — Delaware

BB&T Funds—Capital Manager Equity Fund
3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
State or country in which incorporated — Massachusetts

B.C. Ziegler and Company
250 E. Wisconsin Ave., Milwaukee, WI 53202
State or country in which incorporated — Wisconsin

Bearing Venture Fund VI, LLLP
6501 E. Belleview Ave., Suite 400, Englewood, CO 80111
Partnership — Asset Management Group Investment Corp.

Behringer Securities LP
1323 N. Stemmons Fwy., Dallas, TX 75207
Partnership — Harvard Property Trust, LLC, general partner

Bell Fund I, LLC
300 N. Greene St., Suite 1000, Greensboro, NC 27401
State or country in which incorporated — North Carolina

Bioabsorbable Therapeutics, Inc.
1020 Hamilton Court, Menlo Park, CA 94025
State or country in which incorporated — Delaware

Black Gold Oil # 4, LLP
321 Victor Reitor Pkwy., Portland, TN 37148
Partnership — MidAmerica Energy, Inc.

Black Gold Oil # 5, LLP
321 Victor Reitor Pkwy., Portland, TN 37148
Partnership — MidAmerica Energy, Inc.

Black Gold Oil # 6, LLP
321 Victor Reitor Pkwy., Portland, TN 37148
Partnership — MidAmerica Energy, Inc.

Black Gold Oil # 7, LLP
321 Victor Reitor Pkwy., Portland, TN 37148
Partnership — MidAmerica Energy, Inc.

Black Gold Oil # 8, LLP
321 Victor Reitor Pkwy., Portland, TN 37148
Partnership — MidAmerica Energy, Inc.

Blue Investment Management, LLC
590 Madison Ave., 21st Fl., New York, NY 10022
State or country in which incorporated — Delaware

BNY Mezzanine Partners, L.P.
495 Park Ave., New York, NY 10022
Partnership — BNY Mezzanine Partners, GP, LLC

Brundage-1 Joint Venture, The
801 E. Plano Pkwy., Suite 230, Plano, TX 75074
Partnership — M. G. Khan

Buena Vista Management, LLC
One Maritime Plaza, San Francisco, CA 94111
State or country in which incorporated — California

Campus Tech, Inc.
803 Sycolin Rd. SE, Suite 204, Leesburg, VA 20175
State or country in which incorporated — Delaware

Chae Organics, Inc.
P.O. Box 852, La Veta, CO 81055
State or country in which incorporated — Colorado

CitiStreet Advisors LLC
400 Atrium Dr., Somerset, NJ 08873
State or country in which incorporated — New Jersey

Clinical Insight Systems, Inc.
290 Woodcliff Dr., Suite 100, Fairport, NY 14450
State or country in which incorporated — Delaware

Community Southern Bank
520 S. Florida Ave., Lakeland, FL 33802
State or country in which incorporated — Florida

Delta Europe Partners, LP
c/o Trafelet & Company Advisors, LLC, 900 Third Ave., 5th Fl., New York, NY 10022
Partnership — Trafelet & Company Advisors, LLC, general partner

Delta Europe Partners, Ltd.
c/o BNY Alternative Investment Services, Ltd., 48 Par-la-Ville Rd., Suite 464, Hamilton, HM11, Bermuda
State or country in which incorporated — Cayman Islands

EasyLink Services Corporation
33 Knightsbridge Rd., Piscataway, NJ 08854
State or country in which incorporated — Delaware

Eccentex Corporation
2465 Roscomare Rd., Los Angeles, CA 90077
State or country in which incorporated — California

Encore Atlantic Fund LLC
194 Tempo Place, Eastport, NY 11941
State or country in which incorporated — Delaware limited liability company

Endeavor Leasing Partners, LLLP
6501 E. Belleview Ave., Suite 400, Englewood, CO 80111
Partnership — Asset Management Group Investment Corp.

Entertainment Media Works, Inc.
29 W. 17th St., Penthouse, New York, NY 10011
State or country in which incorporated — Delaware

Equity Source Partners, LLC
575 Jericho Tpke., Suite 102, Jericho, NY 11753
State or country in which incorporated — New York

Fontana Capital Long/Shore Offshore Fund Limited
99 Summer St., Boston, MA 02110
State or country in which incorporated — British Virgin Islands

Futures Investment Company
5914 N. 300 W, P.O. Box C, Fremont, IN 46737
State or country in which incorporated — Illinois

Gateway Financial Agency Corporation
HC 79, Box 640, Oxford, AR 72565
State or country in which incorporated — Delaware

Gold Resource Corporation
222 Milwaukee St., Suite 301, Denver, CO 80206
State or country in which incorporated — Colorado

Greenbridge Management Inc.
841 Beckman Dr. N. Bellmore, NY 11710
State or country in which incorporated — New York

GS Eastside CDE, LLC
c/o The Goldman Sachs Group, Inc., 85 Broad St., New York, NY 10004
Partnership — GS New Markets Fund, LLC and Eastside II, LLC

Guinness Atkinson Funds—Alternative Energy Fund
c/o U.S. Bancorp Fund Services, LLC, 615 E. Michigan St., Milwaukee, WI 53202
State or country in which incorporated — Delaware

Guinness Atkinson Funds—Asia-Pacific Dividend Fund
c/o U.S. Bancorp Fund Services, LLC, 615 E. Michigan St., Milwaukee, WI 53202
State or country in which incorporated — Delaware

HarborLight Macro Fund, L.P.
5002 W. Waters Ave., Tampa, FL 33634
Partnership — HarborLight Management, L.P., general partners

HFS Phoenix 06 Fund LP
8350 Meadow Rd., Suite 281, Dallas, TX 75231
Partnership — Howard Mortgage Investors LP

Horse Head Entertainment, Inc.
4202 Barringer Dr., Charlotte, NC 28217
State or country in which incorporated — Delaware

Hudson Housing Tax Credit Fund X LP
630 Fifth Ave., 28th Fl., New York, NY 10111
State or country in which incorporated — Delaware

HYSO LLC
c/o Simon Sassoon, 809 W. 181st St., New York, NY 10003
State or country in which incorporated — Delaware

Imperiali, Inc.
529 S. Flagler Dr., Suite 29F, West Palm Beach, FL 33401
State or country in which incorporated — Florida

Indusino Offshore Fund, Ltd.
Walker House, P.O. Box 908GT, Mary St., George Town, Grand Cayman, Cayman Islands, B.W.I.
State or country in which incorporated — Cayman Islands

Indusino Partners, LLC
c/o Unterberg, Towbin LLC, 456 Montgomery St., Suite 2200, San Francisco, CA 94104
Partnership — Indusino Research and Management, LLC

Ironside Equity Long/Short, L.P.
161 Worcester Rd., Suite 602, Framingham, MA 01701
Partnership — Ironside Equity Partners LLC, general partner

Ironside Equity Relative Return, L.P.
161 Worcester Rd., Suite 602, Framingham, MA 01701
Partnership — Ironside Equity Partners LLC, general partner

Israel Growth Partners Acquisition Corp.
Yahalom Tower 28th Fl., 3a Zabolinski St., Ramat Gan 52520, Israel
State or country in which incorporated — Delaware

Jeffrey M. Canouse, JPC Capital Partners, Inc.
555 N. Point Pkwy. E, 4th Fl., Alpharetta, GA 30022

Joseph Gunnar & Co., LLC
30 Broad St., New York, NY 10004
State or country in which incorporated — Delaware

JSK Opportunity Fund L.P.
225 Windmill Lane, Southampton, NY 11968
Partnership — JSK Partners of New York LLC

LEM Real Estate Mezzanine Fund II, L.P.
Cira Centre, 2929 Arch St., Philadelphia, PA 19104-2868
Partnership — LEM Partners II, L.P.

Life Safety, LLC
3540 W. Sahara Ave., Suite 794, Las Vegas, NV 89102-5816
State or country in which incorporated — Delaware limited liability company

Lone Star Securities, Inc.
15851 Dallas Pkwy., #105, Addison, TX 75001
State or country in which incorporated — Texas

LTI Holdings, LLC
c/o Sentinel Capital Partners, 330 Madison Ave., 27th Fl., New York, NY 10017

Lumberjack Austin Chalk Horizontal Drilling Program
6330 LBJ Fwy., Suite 137, Dallas, TX 75244-6467

Mac Master Fund, L.P.
c/o Mac Administration, LLC, c/o Highline Capital, 15 W. 48th St., 30th Fl., New York, NY 10020
Partnership — Mac Administration, LLC, general partner

Malory Investments, LLC
1351 Westwood Blvd., Suite 102, Los Angeles, CA 90024
State or country in which incorporated — California

Managers AMG Funds, FQ Global Alternative Fund
c/o U.S. Bancorp Fund Services, LLC, 615 E. Michigan St., Milwaukee, WI 53202
State or country in which incorporated — Massachusetts

Managers Fund LLC, The
Wrigley Bldg., 400 N. Michigan Ave., Suite 620, Chicago, IL 60611
Partnership — TMF Capital Management LLC

Manchester Square Domestic Feeder Fund, L.P.
575 Madison Ave., Suite 1006, New York, NY 10022
Partnership — Manchester Square Investment Management LLC

Material Technologies, Inc.
11661 San Vicente Blvd., Suite 707, Los Angeles, CA 90049
State or country in which incorporated — Delaware

Max, Restaurant & Bar, LLC
466-468 Broadway, Saratoga Springs, NY 12866

M.D. Securities, L.L.C.
1185 Avenue of the Americas, New York, NY 10036
State or country in which incorporated — Delaware limited liability company

Millbrook Asset Management, L.P.

45 Front St., P.O. Box 1154, Millbrook, NY 12545

Partnership — West End Advisors L.L.C.

Montgomery Farm Garden District, LTD.

10005 Technology Blvd. W, Suite 151, Dallas, TX 75220

Partnership — Emerson Farm Company, general partner

Morgan Stanley Institutional Fund, Inc.—Systematic Active Large Cap Core Portfolio

c/o JP Morgan Investor Services Company, 73 Tremont St., Boston, MA 02108-3913

State or country in which incorporated — Maryland

Morgan Stanley Institutional Fund, Inc.—Systematic Active Small Cap Core Portfolio

c/o JP Morgan Investor Services Company, 73 Tremont St., Boston, MA 02108-3913

State or country in which incorporated — Maryland

Morgan Stanley Institutional Fund, Inc.—Systematic Active Small Cap Growth Portfolio

c/o JP Morgan Investor Services Company, 73 Tremont St., Boston, MA 02108-3913

State or country in which incorporated — Maryland

Morgan Stanley Institutional Fund, Inc.—Systematic Active Small Cap Value Portfolio

c/o JP Morgan Investor Services Company, 73 Tremont St., Boston, MA 02108-3913

State or country in which incorporated — Maryland

Morgan Stanley Institutional Fund Trust—Equities Plus Portfolio

c/o JP Morgan Investor Services Company, 73 Tremont St., Boston, MA 02108-3913

State or country in which incorporated — Pennsylvania

Nagle Funds

57 Willow Dr., Little Silver, NJ 07739

State or country in which incorporated — Ohio

Netstairs.com, Inc.

2821 E. Commercial Blvd., Suite 201, Fort Lauderdale, FL 33308

State or country in which incorporated — Florida

Niadyne Development, Inc.

1601 N. Tucson Blvd., #7, Tucson, AZ 85716

State or country in which incorporated — Delaware

Nili Lotan at Tribeca-NY, LLC

188 Duane St., New York, NY 10013

North Sound Legacy International LTD.

c/o BNY Alternative Investment Services LTD., 48 Par-la-Ville Rd., Hamilton HM11, Bermuda

State or country in which incorporated — British Virgin Islands

NYPB&T Calypso GP Access Fund LLC

c/o NYPB&T Access LLC, Six E. 43rd St., New York, NY 10017

State or country in which incorporated — Delaware

Oppenheimer & Co., Inc.

125 Broad St., 15th Fl., New York, NY 10004

State or country in which incorporated — New York

Oracle E Fund, L.P.

1010 Northern Blvd., Suite 208, Great Neck, NY 11021

Partnership — Oracle Evolution Capital, LLC

Osprey Special Values Fund, LP

250 E. 54th St., Penthouse I, New York, NY 10022

Partnership — Osprey Group Special Values Management, LLC

Pali Capital, Inc.

570 Lexington Ave., New York, NY 10022

State or country in which incorporated — Delaware

Panacea Capital Offshore LTD.

c/o BISYS Hedge Fund Services (Cayman) Limited, 27 Hospital Rd., Fifth Fl., P.O. Box 17489T, Georgetown, Grand Cayman, Cayman Islands, B.W.I.

State or country in which incorporated — Cayman Islands

Park Hill Real Estate Group LLC

345 Park Ave., 28th Fl., New York, NY 10154

Park Ridge Securities Corp.

9801 Lake Nona Rd., Orlando, FL 32827

State or country in which incorporated — Bahamas

PC Exploration Limited Partnership - 2006

502 Keystone Dr., Warrendale, PA 15086

Partnership — PC Exploration, Inc.

Perdue Securities, LLC

35 Waterview Dr., Ossining, NY 10562

State or country in which incorporated — New York limited liability company

Perennial Fund, LTD.

c/o BISYS Hedge Fund Services Limited, Hemisphere House, Nine Church St., P.O. Box HM 951, Hamilton HM DX, B.W.I.

Partnership — Perennial Fund, LTD.

Perennial Fund, LP

101 E. 52nd St., 8th Fl., New York, NY 10022

Partnership — Perennial Fund, LP

Plummer Securities, Inc.

5050 Quorum Dr., Suite 325, Dallas, TX 75254

State or country in which incorporated — Texas

PM Manager Fund, SPC - Segregated Portfolio 3

c/o Walkers SPV Limited, P.O. Box 908GT, George Town, Grand Cayman, Cayman Islands

State or country in which incorporated — Cayman Islands

PM Manager Fund, SPC - Segregated Portfolio 4

c/o Walkers SPV Limited, P.O. Box 908GT, George Town, Grand Cayman, Cayman Islands

State or country in which incorporated — Cayman Islands

PMC Income Fund Series F, Inc.

7509 Chapel Ave., Fort Worth, TX 76116

State or country in which incorporated — Florida

ProFunds—UltraEmerging Markets ProFund

3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012

State or country in which incorporated — Delaware

ProFunds—UltraInternational ProFund
3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
State or country in which incorporated — Delaware

ProFunds—UltraShort Emerging Markets ProFund
3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
State or country in which incorporated — Delaware

ProFunds—UltraShort International ProFund
3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
State or country in which incorporated — Delaware

Prudent Allocation Partners, L.P.
209 Cooper Ave., Suite 8, Upper Montclair, NJ 07043
Partnership — Prudent Allocation Management, LLC

Quaker BioVentures II, L.P.
Cira Centre, 2929 Arch St., Philadelphia, PA 19104-2868
Partnership — Quaker BioVentures Capital II, L.P.

RA Capital Biotech Fund, L.P.
111 Huntington Ave., Suite 610, Boston, MA 02199
Partnership — RA Capital Management, LLC

Right Wall Capital Fund, L.P.
320 Park Ave., 10th Fl., New York, NY 10022
Partnership — Right Wall Capital, LLC

Rockbay Capital Commitment Institutional Fund, LLC
c/o Rockbay Capital LLC, 600 Fifth Ave., 24th Fl., New York, NY 10020

Rockbay Capital Commitment Offshore Fund, LTD.
c/o Rockbay Capital LLC, 600 Fifth Ave., 24th Fl., New York, NY 10020
State or country in which incorporated — Cayman Islands

Rodman & Renshaw, LLC
1270 Avenue of the Americas, 16th Fl., New York, NY 10020

Roth Capital Partners, LLC
24 Corporate Plaza Dr., Newport Beach, CA 92660
State or country in which incorporated — California

Sailfish Global Equity Partners (G3), LP
777 Long Ridge Rd., Bldg., A, 3rd Fl., Stamford, CT 06902
Partnership — Sailfish Global Equity Partners (G3), LP

Sailfish Global Equity Fund (G3), LTD.
c/o GlobeOp Financial Services (Cayman) Limited, Walker House, Mary St., George Town, Grand Cayman, Cayman Islands, BWI
Partnership — Sailfish Global Equity Fund (G3), LTD.

SAM Systems, Inc.
1993 Tobsal Court, Warren, MI 48091
State or country in which incorporated — Michigan

Schroder International Small Companies Portfolio, a separate series of Schroder Investment Portfolios, L.P.
875 Third Ave., 22nd Fl., New York, NY 10022-6225
Partnership — Schroder Investment Management North America Inc.

Seco & Golden "100" Inc.
1600 Essex Ave., DeLand, FL 32724
State or country in which incorporated — Delaware

Sengor Fund I, L.P.
645 Fifth Ave., Suite 900, New York, NY 10022
Partnership — SGP I, LLC

Sequel Technologies, LLC
8014 Olson Memorial Hwy., PMB 401, Golden Valley, MN 55427
State or country in which incorporated — Minnesota

SheerVision, Inc.
4040 Palos Verdes Dr. N, Suite 105, Rolling Hills Estates, CA 90274
State or country in which incorporated — California

Shemano Group, The
601 California St., Suite 1150, San Francisco, CA 94108
State or country in which incorporated — California

Silver Birch Commodities Portfolio I LLC
c/o HHM Commodities LLC, 30 W. Broadway, 37th Fl., New York, NY 10004
State or country in which incorporated — Delaware

Solaris Constellation Fund, L.P.
598 Madison Ave., New York, NY 10022
Partnership — BGWS Management, LLC

Sophia PE, LLC
State or country in which incorporated — 342 N. Main St., Suite 200, West Hartford, CT 06117

Spencer Trask Ventures, Inc.
535 Madison Ave., New York, NY 10022
State or country in which incorporated — Delaware

Spinnaker Coating, LLC
518 E. Water St., Troy, OH 45373
State or country in which incorporated — Delaware limited liability company

Spongetech Delivery Systems, Inc.
350 Fifth Ave., Suite 2204, New York, NY 10118
State or country in which incorporated — Delaware

Stonnington Group, LLC
515 S. Figueroa St., Suite 1100, Los Angeles, CA 90071
State or country in which incorporated — California

Three Palms Capital, Inc.
2600 N. Flagler Dr., Suite 705, West Palm Beach, FL 33407
State or country in which incorporated — Florida

TriEqua Capital Corporation
17501 E. 17th St., #200, Tustin, CA 92780
State or country in which incorporated — United States

Trilogy International Partners LLC
155 108th Ave. NE, Suite 450, Bellevue, WA 98004
State or country in which incorporated — Washington

Trunk Asset Management, L.P.
39 Hedgerow Lane, Manalapan, NJ 07726
Partnership — TAM Investment Group, LLC

VentureChoir, LLC
6725 Sunset Blvd., Suite 200, Los Angeles, CA 90028

Verance Corporation
4435 Eastgate Mall, Suite 350, San Diego, CA 92121
State or country in which incorporated — Delaware

VRGateway, Inc.
4416 E. West Hwy., Suite 310, Bethesda, MD 20814
State or country in which incorporated — Delaware

Westcliff Ventures Fund, L.P.
200 Seventh Ave., Suite 105, Santa Cruz, CA 95062
Partnership — Westcliff Capital Management, LLC

Wilkinson O'Grady Global Equity Partners LP
520 Madison Ave., 25th Fl., New York, NY 10022
Partnership — Wilkinson O'Grady & Co., Inc.

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY DEALERS; BROKERS; SYNDICATE MANAGERS

7x7 Offshore, Ltd.
P.O. Box 513 G.T., 2nd Fl., Strathvale House, 90 N. Church St., George
Town, Grand Cayman, Cayman Islands
Security(ies) — Ordinary shares
Issuer of security(ies) — 7x7 Offshore, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

180solutions, Inc.
3600 136th Place SE, Bellevue, WA 98006
State or country in which incorporated — Washington
Security(ies) — Common stock issued pursuant to 1999 stock incentive
plan
Issuer of security(ies) — 180solutions, Inc.
Address of issuer — Same as above
State or country in which organized — Washington

180solutions, Inc.
3600 136th Place SE, Bellevue, WA 98006
State or country in which incorporated — Washington
Security(ies) — Common stock issued pursuant to 2004 equity incentive
plan
Issuer of security(ies) — 180solutions, Inc.
Address of issuer — Same as above
State or country in which organized — Washington

2004 Stormy Atlantic/Carson City Sham, LLC
800 Arbor Dr. N, Louisville, KY 40223
Security(ies) — Membership interests in a limited liability company
Issuer of security(ies) — 2004 Stormy Atlantic/Carson City Sham, LLC
Address of issuer — Same as above
State or country in which organized — Kentucky

2004 Tactical Cat/Sailing Solo, LLC
800 Arbor Dr. N, Louisville, KY 40223
Security(ies) — Membership interests in a limited liability company
Issuer of security(ies) — 2004 Tactical Cat/Sailing Solo, LLC
Address of issuer — Same as above
State or country in which organized — Kentucky

2004 Touch Gold/Black Tie Lady, LLC
800 Arbor Dr. N, Louisville, KY 40223
Security(ies) — Membership interests in a limited liability company
Issuer of security(ies) — 2004 Touch Gold/Black Tie Lady, LLC
Address of issuer — Same as above
State or country in which organized — Kentucky

2004 Wild Rush/Turbo Dream, LLC
800 Arbor Dr. N, Louisville, KY 40223
Security(ies) — Membership interests in a limited liability company
Issuer of security(ies) — 2004 Wild Rush/Turbo Dream, LLC
Address of issuer — Same as above
State or country in which organized — Kentucky

Abraxas Applications, Inc.
6845 Elm St., Suite 310, McLean, VA 22101
State or country in which incorporated — Virginia
Security(ies) — Common stock
Issuer of security(ies) — Abraxas Applications, Inc.
Address of issuer — Same as above
State or country in which organized — Virginia

AHCO Bond Fund, L.L.C.
8112 Maryland Ave., St. Louis, MO 63105
Security(ies) — Class B limited liability company units
Issuer of security(ies) — AHCO Bond Fund, L.L.C.
Address of issuer — Same as above
State or country in which organized — Missouri

AIP Alternative Strategies Funds, Beta Hedged Strategies Funds
% U.S. Bancorp Fund Services, LLC, 615 E. Michigan St., Milwaukee,
WI 53202
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — AIP Alternative Strategies Funds
Address of issuer — Same as above
State or country in which organized — Delaware

AllianceBernstein Investments, Inc.
1345 Avenue of the Americas, New York, NY 10105
State or country in which incorporated — Delaware
Security(ies) — Shares of common stock \$.001 per share
Issuer of security(ies) — AllianceBernstein Fixed-Income Shares,
Inc.—Prime STIF Portfolio
Address of issuer — Same as above
State or country in which organized — Maryland

AllianceBernstein Investments, Inc.
1345 Avenue of the Americas, New York, NY 10105

State or country in which incorporated — Delaware
Security(ies) — Shares of common stock \$.001 per share
Issuer of security(ies) — AllianceBernstein Fixed-Income Shares, Inc.—Government STIF Portfolio
Address of issuer — Same as above
State or country in which organized — Maryland

AlphaGen Tenro Fund Limited, The
P.O. Box 513 GT, Strathvale House, N. Church St., George Town,
Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands
Security(ies) — Common stock
Issuer of security(ies) — The AlphaGen Tenro Fund Limited
Address of issuer — Same as above
State or country in which organized — Cayman Islands

American Capital Partner, LLC
205 Oser Ave., Hauppauge, NY 11788
State or country in which incorporated — New York limited liability
company
Security(ies) — Common stock
Issuer of security(ies) — ShopBistro (USA) Inc.
Address of issuer — 500 N. Broadway, Jericho, NY 11753
State or country in which organized — Delaware

American Capital Partner, LLC
205 Oser Ave., Hauppauge, NY 11788
State or country in which incorporated — New York limited liability
company
Security(ies) — Series A convertible preferred stock
Issuer of security(ies) — Shop Bistro (USA) Inc.
Address of issuer — 500 N. Broadway, Jericho, NY 11753
State or country in which organized — Delaware

Ardennes Value Fund, Ltd.
% Goldman Sachs (Cayman) Trust, Limited, P.O. Box 896GT, Harbour
Center, 2nd Fl., George Town, Grand Cayman, Cayman Islands BWI
State or country in which incorporated — Cayman Islands
Security(ies) — Redeemable participating voting shares
Issuer of security(ies) — Ardennes Value Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Asset Alliance Easter European Fund, Ltd.
% Walkers SPV Limited, P.O. Box 908GT, Mary St., George Town,
Grand Cayman, Cayman Islands, BWI
State or country in which incorporated — Cayman Islands
Security(ies) — Participating, non-voting shares
Issuer of security(ies) — Asset Alliance Eastern European Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Atherton-Newport Fund 123, LLC
Four Park Plaza, Suite 1050, Irvine, CA 92614
State or country in which incorporated — Delaware limited liability
company
Security(ies) — Limited liability membership interests
Issuer of security(ies) — Atherton-Newport Fund 123, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Auto Underwriters of America, Inc.
2755 Campus Dr., Suite 155, San Mateo, CA 94403

State or country in which incorporated — California
Security(ies) — Units consisting of series A preferred stock and warrants
to purchase common stock
Issuer of security(ies) — Auto Underwriters of America, Inc.
Address of issuer — Same as above
State or country in which organized — California

Avista Capital Partners, L.P.
65 E. 55th St., 18th Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Avista Capital Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Avago Technologies Limited
350 W. Trimble Rd., San Jose, CA 95131-1008
State or country in which incorporated — Singapore
Security(ies) — Ordinary shares
Issuer of security(ies) — Avago Technologies Limited
Address of issuer — Same as above
State or country in which organized — Singapore

Ayalogic, Inc.
530 S. Main St., Suite 1732, Akron, OH 44311
State or country in which incorporated — Delaware
Security(ies) — Series A preferred stock
Issuer of security(ies) — Ayalogic, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Basin Water, Inc.
8731 Prestige Court, Rancho Cucamonga, CA 91730
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Basin Water, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Basin Water, Inc.
8731 Prestige Court, Rancho Cucamonga, CA 91730
State or country in which incorporated — Delaware
Security(ies) — Options to purchase common stock
Issuer of security(ies) — Basin Water, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Basin Water, Inc.
8731 Prestige Court, Rancho Cucamonga, CA 91730
State or country in which incorporated — Delaware
Security(ies) — Series A preferred stock
Issuer of security(ies) — Basin Water, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Basin Water, Inc.
8731 Prestige Court, Rancho Cucamonga, CA 91730
State or country in which incorporated — Delaware
Security(ies) — Series B preferred stock
Issuer of security(ies) — Basin Water, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Basin Water, Inc.

8731 Prestige Court, Rancho Cucamonga, CA 91730

State or country in which incorporated — Delaware*Security(ies)* — Warrants to purchase common stock*Issuer of security(ies)* — Basin Water, Inc.*Address of issuer* — Same as above*State or country in which organized* — Delaware

Basin Water, Inc.

8731 Prestige Court, Rancho Cucamonga, CA 91730

State or country in which incorporated — Delaware*Security(ies)* — Warrants to purchase series A preferred stock*Issuer of security(ies)* — Basin Water, Inc.*Address of issuer* — Same as above*State or country in which organized* — Delaware

Basin Water, Inc.

8731 Prestige Court, Rancho Cucamonga, CA 91730

State or country in which incorporated — Delaware*Security(ies)* — Warrants to purchase series B preferred stock*Issuer of security(ies)* — Basin Water, Inc.*Address of issuer* — Same as above*State or country in which organized* — Delaware

BB&T Funds—Capital Manager Equity Fund

3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012

Security(ies) — Shares of beneficial interest*Issuer of security(ies)* — BISYS Fund Services, L.P.*Address of issuer* — Same as above*State or country in which organized* — Massachusetts

B.C. Ziegler and Company

250 E. Wisconsin Ave., Milwaukee, WI 53202

State or country in which incorporated — Wisconsin*Security(ies)* — Limited liability company interest*Issuer of security(ies)* — Ziegler Healthcare Mezzanine Loan Fund, LLC*Address of issuer* — Executive Center #2, 1040 Broad St., 3rd Fl, Shrewsburg, NJ 07702*State or country in which organized* — Delaware

Bearing Venture Fund VI, LLLP

6501 E. Belleview Ave., Suite 400 Englewood, CO 80111

Security(ies) — Units of partnership interest*Issuer of security(ies)* — Bearing Venture Fund VI, LLLP*Address of issuer* — Same as above*State or country in which organized* — Colorado

Behringer Securities LP

1323 N. Stemmons Fwy., Dallas, TX 75207

State or country in which incorporated — Texas*Security(ies)* — Common stock, par value \$0.0001 per share*Issuer of security(ies)* — Behringer Harvard REIT I, Inc.*Address of issuer* — 15601 Dallas Pkwy., Addison, TX 75001*State or country in which organized* — Maryland

Bell Fund I, LLC

300 N. Greene St., Suite 1000, Greensboro, NC 27401

State or country in which incorporated — North Carolina*Security(ies)* — Limited liability company units representing membership interests*Issuer of security(ies)* — Bell Fund I, LLC*Address of issuer* — Same as above*State or country in which organized* — North Carolina

Bioabsorbable Therapeutics, Inc.

1020 Hamilton Court, Menlo Park, CA 94025

State or country in which incorporated — Delaware*Security(ies)* — Series A preferred stock*Issuer of security(ies)* — Bioabsorbable Therapeutics, Inc.*Address of issuer* — Same as above*State or country in which organized* — Delaware

Black Gold Oil #4, LLP

321 Victor Reitor Pkwy., Portland, TN 37148

Security(ies) — Units of limited partnership interest*Issuer of security(ies)* — Black Gold Oil #4, LLP*Address of issuer* — Same as above*State or country in which organized* — Tennessee

Black Gold Oil #5, LLP

321 Victor Reitor Pkwy., Portland, TN 37148

Security(ies) — Units of limited partnership interest*Issuer of security(ies)* — Black Gold Oil #5, LLP*Address of issuer* — Same as above*State or country in which organized* — Tennessee

Black Gold Oil #6, LLP

321 Victor Reitor Pkwy., Portland, TN 37148

Security(ies) — Units of limited partnership interest*Issuer of security(ies)* — Black Gold Oil #6, LLP*Address of issuer* — Same as above*State or country in which organized* — Tennessee

Black Gold Oil #7, LLP

321 Victor Reitor Pkwy., Portland, TN 37148

Security(ies) — Units of limited partnership interest*Issuer of security(ies)* — Black Gold Oil #7, LLP*Address of issuer* — Same as above*State or country in which organized* — Tennessee

Black Gold Oil #8, LLP

321 Victor Reitor Pkwy., Portland, TN 37148

Security(ies) — Units of limited partnership interest*Issuer of security(ies)* — Black Gold Oil #8, LLP*Address of issuer* — Same as above*State or country in which organized* — Tennessee

Blue Investment Management, LLC

590 Madison Ave., 21st Fl., New York, NY 10022

State or country in which incorporated — Delaware*Security(ies)* — Limited liability company units*Issuer of security(ies)* — Blue Investment Management, LLC*Address of issuer* — Same as above*State or country in which organized* — Delaware

BNY Mezzanine Partners, L.P.

495 Park Ave., New York, NY 10022

Security(ies) — Limited partnership interests*Issuer of security(ies)* — BNY Mezzanine Partners, L.P.*Address of issuer* — Same as above*State or country in which organized* — Delaware

The Brundage-1 Joint Venture

801 E. Plano Pkwy., Suite 230, Plano, TX 75074

Security(ies) — Joint venture*Issuer of security(ies)* — The Brundage-1 Joint Venture*Address of issuer* — Same as above*State or country in which organized* — Texas

Buena Vista Fund Management, LLC
 One Maritime Plaza, San Francisco, CA 94111
State or country in which incorporated — California
Security(ies) — Limited partnerships
Issuer of security(ies) — Buena Vista HG, L.P.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

CampusTech, Inc.
 803 Sycolin Rd. SE, Suite 204, Leesburg, VA
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — CampusTech, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Chae Organics, Inc.
 P.O. Box 852, La Veta, CO 81055
State or country in which incorporated — Colorado
Security(ies) — Convertible debt
Issuer of security(ies) — Chae Organics, Inc.
Address of issuer — Same as above
State or country in which organized — Colorado

Citco Mutual Fund Distributors, Inc.
 83 General Warren Blvd., Suite 200, Malvern, PA 19355
State or country in which incorporated — Pennsylvania
Security(ies) — Shares of common stock
Issuer of security(ies) — Quaker Investment Trust—Quaker Geewax
 Terker Core Value Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Citigroup Global Markets Inc.
 390 Greenwich St., New York, NY 10013
State or country in which incorporated — Delaware
Security(ies) — Income notes due 2020
Issuer of security(ies) — Callidus Debt Partners CLO Fund IV, Ltd.
Address of issuer — % Maples Finance Limited, P.O. Box 1093 GT,
 Queensgate House, S. Church St., George Town, Grand Cayman,
 Cayman Islands
State or country in which organized — Cayman Islands

Citigroup Global Markets Inc.
 388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Equity Focus Trusts—Strategic
 10/A+Portfolio, 2006 Series B
Address of issuer — Same as above
State or country in which organized — New York

Citigroup Global Markets Inc.
 388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Equity Focus Trusts—S&P Competitive
 Advantage Portfolio, 2006 Series B
Address of issuer — Same as above
State or country in which organized — New York

Citigroup Global Markets Inc.
 388 Greenwich St., New York, NY 10013

State or country in which incorporated — New York
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Equity Focus Trusts—Strategic S&P Industrial
 Portfolio, 2006 Series B
Address of issuer — Same as above
State or country in which organized — New York

Citigroup Global Markets Inc.
 388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Common units
Issuer of security(ies) — KKR Private Equity Investors, L.P.
Address of issuer — 9 W. 57th St., New York, NY 10019
State or country in which organized — Guernsey

Claymore Securities, Inc.
 2455 Corporate W. Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Digital Media Portfolio, Series 1
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
 2455 Corporate W. Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Health Care Portfolio, Series 3
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
 2455 Corporate W. Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Homeland Defense Portfolio, Series 2
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
 2455 Corporate W. Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — The Mendon Capital Business Development
 Company and Mortgage REITs Portfolio, Series 1
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
 2455 Corporate W. Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — S&P 100 Index Portfolio, Series 13
Address of issuer — Same as above
State or country in which organized — Kansas

Clinical Insight Systems, Inc.
 290 Woodcliff Dr., Suite 100, Fairport, NY 14450
Security(ies) — Series B convertible preferred stock
Issuer of security(ies) — Clinical Insight Systems, Inc.
Address of issuer — 14 Whisperwood Dr., Victor, NY 14564

Columbia Management Distributors, Inc.
 One Financial Center, Boston, MA 02111-2621
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Columbia Funds Institutional Trust—CMG Small Cap Growth Fund
Address of issuer — 1301 SW. Fifth Ave., P.O. Box 1350, Portland, OR 97207
State or country in which organized — Massachusetts

Commonfund Realty Investors, LLC
 15 Old Danbury Rd., Wilton, CT 06897
State or country in which incorporated — Delaware
Security(ies) — Limited liability company interests
Issuer of security(ies) — Commonfund Realty Investors, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Delta Europe Partners, LP
 % Trafelet & Company Advisors, LLC, 900 Third Ave., 5th Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Delta Europe Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Delta Europe Partners, LP
 % BNY Alternative Investment Services, Ltd., 48 Par-la-Ville Rd., Suite 464, Hamilton, HM11, Cayman Islands
Security(ies) — Common shares, par value \$0.01 (U.S.) per share
Issuer of security(ies) — Delta Europe Partners, LP
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Double Play Partners Limited Partnership
 % Eldaro Investors, 1391 Main St., Springfield, MA 01103
Security(ies) — Limited partnership interests
Issuer of security(ies) — Double Play Partners Limited Partnership
Address of issuer — 1391 Main St., Springfield, MA 01103
State or country in which organized — Massachusetts

EasyLink Services Corporation
 33 Knightsbridge Rd., Piscataway, NJ 08854
State or country in which incorporated — Delaware
Security(ies) — Class A common stock
Issuer of security(ies) — EasyLink Services Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Eccentex Corporation
 2465 Roscomare Rd., Los Angeles, CA 90077
State or country in which incorporated — California
Security(ies) — Shares of common stock with warrant
Issuer of security(ies) — Eccentex Corporation
Address of issuer — Same as above
State or country in which organized — California

Electric Sheep Company, Inc., The
 1016 16th St. NW, 2nd Fl., Washington, DC 20036
State or country in which incorporated — Delaware
Security(ies) — Units consisting of convertible promissory notes
Issuer of security(ies) — The Electric Sheep Company, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Encore Atlantic Fund LLC
 194 Tempo Place, Eastport, NY 11941
State or country in which incorporated — Delaware limited liability company
Security(ies) — Membership interests
Issuer of security(ies) — Encore Atlantic Fund LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Endeavor Leasing Partners, LLLP
 6501 E. Belleview Ave., Suite 400, Englewood, CO 80111
Security(ies) — Units of partnership interest
Issuer of security(ies) — Endeavor Leasing Partners, LLLP
Address of issuer — Same as above
State or country in which organized — Colorado

Entertainment Media Works, Inc.
 29 W. 17th St., The Penthouse, New York, NY 10011
State or country in which incorporated — Delaware
Security(ies) — Preferred stock
Issuer of security(ies) — Entertainment Media Works, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Fenway-Nokona Associates, LLC
 % Leslie B. Lewis, Watermill Ventures, Watermill Center, 800 South St., Suite 355, Waltham, MA 02453-1435
State or country in which incorporated — Massachusetts limited liability company
Security(ies) — Class B membership interests of Fenway-Nokona Associates, LLC
Issuer of security(ies) — Fenway-Nokona Associates, LLC
Address of issuer — Same as above
State or country in which organized — Massachusetts

Ferris, Baker Watts, Incorporated
 100 Light St., 8th Fl., Baltimore, MD 21202
State or country in which incorporated — Maryland
Security(ies) — Shares representing beneficial interests
Issuer of security(ies) — Compass Diversified Trust
Address of issuer — 61 Wilton Rd., Westport, CT 06880
State or country in which organized — Delaware

Ferris, Baker Watts, Incorporated
 100 Light St., 8th Fl., Baltimore, MD
State or country in which incorporated — Maryland
Security(ies) — Non-management interests
Issuer of security(ies) — Compass Group Diversified Holdings LLC
Address of issuer — 61 Wilton Rd., Westport, CT 06880
State or country in which organized — Delaware

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1129 (Target Double Play Portfolio, May 2006 Series)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1129 (Target Focus Four Portfolio, May 2006 Series)

Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1129 (Target VIP Portfolio, May 2006 Series)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1129 (The DowSM Target 10 Portfolio, May 2006 Series)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1129 (The DowSM Target Dividend Portfolio, May 2006 Series)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1129 (Value Line[®] Target Portfolio, May 2006 Series)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1140 (Access Pacific Coast 101 Growth Portfolio)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1148 (Total Equity Allocation Portfolio, Series 5)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1149 (Dow Jones Global TitansSM Portfolio, Series 8)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1160 (Morgan Keegan IDEAS Portfolio, Series 3)

Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1166 (Select DSIP Portfolio, 2nd Quarter 2006 Series)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1167 (Pioneer Portfolio Series)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1171 (Strategic Income Advantage Select, Closed-End Portfolio, Series 4)
Address of issuer — Same as above
State or country in which organized — Illinois

Fixed Income Securities, L.P.
 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — Advisor's Disciplined Trust 80 (Monument Growth Strategy Portfolio, Series 6)
Address of issuer — Same as above
State or country in which organized — New York

Fixed Income Securities, L.P.
 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — Advisor's Disciplined Trust 81 (New York Municipal Closed-End Portfolio, Series 2)
Address of issuer — Same as above
State or country in which organized — New York

Fixed Income Securities, L.P.
 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — Advisor's Disciplined Trust 82 (Global Closed-End Portfolio, Series 4)
Address of issuer — Same as above
State or country in which organized — New York

Fontana Capital Long/Short Offshore Fund Limited
 99 Summer St., Boston, MA 02110
State or country in which incorporated — British Virgin Islands
Security(ies) — Class A shares
Issuer of security(ies) — Fontana Capital Long/Short Offshore Fund Limited
Address of issuer — Same as above
State or country in which organized — British Virgin Islands

Fontana Capital Long/Short Offshore Fund Limited
 99 Summer St., Boston, MA 02110
State or country in which incorporated — British Virgin Islands
Security(ies) — Class B shares
Issuer of security(ies) — Fontana Capital Long/Short Offshore Fund Limited

Address of issuer — Same as above
State or country in which organized — British Virgin Islands
 Futures Investment Company
 5914 N. 300 W, Fremont, IN 46737
State or country in which incorporated — Illinois
Security(ies) — Units of non-managing membership interest
Issuer of security(ies) — Strategic Opportunities Fund, LLC
Address of issuer — % Evergreen Capital Management, Inc., 5914 N.
 300 W, Fremont, IN 46737
State or country in which organized — Delaware

Generous Returns Fund, LP, The
 41 Plympton Rd., Sudbury, MA 01776
Security(ies) — Limited partnership interests
Issuer of security(ies) — The Generous Returns Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Glowpoint, Inc.
 570 Lexington Ave., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — One unit consisting of senior secured convertible notes
 and warrants to purchase common stock
Issuer of security(ies) — Glowpoint, Inc.
Address of issuer — 225 Long Ave., Hillside, NJ 07205
State or country in which organized — Delaware

Gold Resource Corporation
 222 Milwaukee St., Suite 301, Denver, CO 80206
State or country in which incorporated — Colorado
Security(ies) — common stock
Issuer of security(ies) — Gold Resource Corporation
Address of issuer — Same as above
State or country in which organized — Colorado

Greenbridge Management Inc.
 841 Beckman Dr, N. Bellmore, NY 11710
State or country in which incorporated — New York
Security(ies) — Limited partnership units
Issuer of security(ies) — Voyager Partners L.P.
Address of issuer — Same as above
State or country in which organized — New York

GS Eastside CDE, LLC
 % The Goldman Sachs Group, Inc., 85 Broad St., New York, NY 10004
State or country in which incorporated — Delaware
Security(ies) — Limited liability company membership interests
Issuer of security(ies) — GS Eastside CDE, LLC
Address of issuer — Same as above
State or country in which organized — New York

Guinness Atkinson Funds, Alternative Energy Fund
 %U.S. Bancorp Fund Services, LLC, 615 E. Michigan St., Milwaukee,
 WI 53202
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Guinness Atkinson Funds
Address of issuer — Same as above
State or country in which organized — Delaware

Guinness Atkinson Funds, Asia-Pacific Dividend Fund
 %U.S. Bancorp Fund Services, LLC, 615 E. Michigan St., Milwaukee,
 WI 53202

State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Guinness Atkinson Funds
Address of issuer — Same as above
State or country in which organized — Delaware

HarborLight Macro Fund, L.P.
 5002 W. Waters Ave., Tampa, FL 33634
Security(ies) — Limited partnership interests
Issuer of security(ies) — HarborLight Macro Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Havkit Corporation
 64-31 Elwell Crescent, Rego Park, NY 11374
State or country in which incorporated — New York
Security(ies) — Units consisting of common stock with warrants to
 purchase common stock
Issuer of security(ies) — AMDL, Inc.
Address of issuer — 2492 Walnut Ave., Suite 100, Tustin, CA 92780
State or country in which organized — Delaware

HCFP/Brenner Securities LLC
 888 Seventh Ave., 17th Fl., New York, NY 10106
State or country in which incorporated — Delaware
Security(ies) — Series A units of common stock and class Z warrants
Issuer of security(ies) — Global Services Partners Acquisition Corp.
Address of issuer — 9302 Lee Hwy., 5th Fl., Fairfax, VA 22031
State or country in which organized — Delaware

HCFP/Brenner Securities LLC
 888 Seventh Ave., 17th Fl., New York, NY 10106
State or country in which incorporated — Delaware
Security(ies) — Series B units of class B common stock and class W
 warrants
Issuer of security(ies) — Global Services Partners Acquisition Corp.
Address of issuer — 9302 Lee Hwy., 5th Fl., Fairfax, VA 22031
State or country in which organized — Delaware

HCFP/Brenner Securities LLC
 888 Seventh Ave., 17th Fl., New York, NY 10106
State or country in which incorporated — Delaware
Security(ies) — Class B common stock
Issuer of security(ies) — Israel Growth Partners Acquisition Corp.
Address of issuer — Yahalom Tower, 28th Fl., 3a Zabolinski St., Ramat
 Gan 52520, Israel
State or country in which organized — Delaware

HCFP/Brenner Securities LLC
 888 Seventh Ave., 17th Fl., New York, NY 10106
State or country in which incorporated — Delaware
Security(ies) — Class W warrants
Issuer of security(ies) — Israel Growth Partners Acquisition Corp.
Address of issuer — Yahalom Tower, 28th Fl., 3a Zabolinski St., Ramat
 Gan 52520, Israel
State or country in which organized — Delaware

HCFP/Brenner Securities LLC
 888 Seventh Ave., 17th Fl., New York, NY 10106
State or country in which incorporated — Delaware
Security(ies) — Class Z warrants
Issuer of security(ies) — Israel Growth Partners Acquisition Corp.
Address of issuer — Yahalom Tower, 28th Fl., 3a Zabolinski St., Ramat

Gan 52520, Israel
State or country in which organized — Delaware
 HCFP/Brenner Securities LLC
 888 Seventh Ave., 17th Fl., New York, NY 10106
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Israel Growth Partners Acquisition Corp.
Address of issuer — Yahalom Tower, 28th Fl., 3a Zabolinski St., Ramat Gan 52520, Israel
State or country in which organized — Delaware

HCFP/Brenner Securities LLC
 888 Seventh Ave., 17th Fl., New York, NY 10106
State or country in which incorporated — Delaware
Security(ies) — Series A units of common stock and class Z warrants
Issuer of security(ies) — Israel Growth Partners Acquisition Corp.
Address of issuer — Yahalom Tower, 28th Fl., 3a Zabolinski St., Ramat Gan 52520, Israel
State or country in which organized — Delaware

HCFP/Brenner Securities LLC
 888 Seventh Ave., 17th Fl., New York, NY 10106
State or country in which incorporated — Delaware
Security(ies) — Series B units of class B common stock and class W warrants
Issuer of security(ies) — Israel Growth Partners Acquisition Corp.
Address of issuer — Yahalom Tower, 28th Fl., 3a Zabolinski St., Ramat Gan 52520, Israel
State or country in which organized — Delaware

HFS Phoenix 06 Fund LP
 8350 Meadow Rd., Suite 281, Dallas, TX 75231
Security(ies) — Limited partnership interests
Issuer of security(ies) — HFS Phoenix 06 Fund LP
Address of issuer — Same as above
State or country in which organized — Texas

HITE Hedge, LP
 215 Valentine St., Newton, MA 02465
Security(ies) — Limited partnership interests
Issuer of security(ies) — HITE Hedge, LP
Address of issuer — Same as above
State or country in which organized — Delaware

HITE Hedge Offshore, Ltd.
 % Walkers SPV Limited, P.O. Box 908GT, Walker House, Mary St., George Town, Grand Cayman, Cayman Islands
Security(ies) — Units of Cayman exempted company
Issuer of security(ies) — HITE Hedge Offshore, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Horse Head Entertainment, Inc.
 4202 Barringer Dr., Charlotte, NC 28217
Security(ies) — Units consisting of notes and warrants to purchase securities
Issuer of security(ies) — Horse Head Entertainment, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Hudson GP X LLC
 630 Fifth Ave., 28th Fl., New York, NY 10111
State or country in which incorporated — Delaware

Security(ies) — Limited partnership interests
Issuer of security(ies) — Hudson Housing Tax Credit Fund X LP
Address of issuer — Same as above
State or country in which organized — Delaware

Hudson Housing Securities, LLC
 630 Fifth Ave., 28th Fl., New York, NY 10111
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interests
Issuer of security(ies) — Hudson Housing Tax Credit Fund X LP
Address of issuer — Same as above
State or country in which organized — Delaware

HYSO LLC
 % Simon Sassoon, 809 W. 181st St., New York, NY 10003
State or country in which incorporated — Delaware
Security(ies) — Limited liability company memberships
Issuer of security(ies) — HYSO LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Imperiali, Inc.
 529 S. Flagler Dr., Suite 29F, West Palm Beach, FL 33401
State or country in which incorporated — Florida
Security(ies) — Common stock
Issuer of security(ies) — Imperiali, Inc.
Address of issuer — Same as above
State or country in which organized — Florida

Indusino Offshore Fund, Ltd.
 Walker House, P.O. Box 908GT, Mary St., George Town, Grand Cayman, Cayman Islands, B.W.I.
Security(ies) — Participating redeemable non-voting class A and B shares of par value U.S. \$0.01 per share
Issuer of security(ies) — Indusino Offshore Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands, B.W.I.

Indusino Partners, LLC
 % Unterberg, Towbin LLC, 456 Montgomery St., Suite 2200, San Francisco, CA 94104
Security(ies) — Class A interests
Issuer of security(ies) — Indusino Partners, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Ironside Equity Long/Short, L.P.
 161 Worcester Rd., Suite 602, Framingham, MA 01701
Security(ies) — Partnership interests
Issuer of security(ies) — Ironside Equity Long/Short, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Ironside Equity Relative Return, L.P.
 161 Worcester Rd., Suite 602, Framingham, MA 01701
Security(ies) — Partnership interests
Issuer of security(ies) — Ironside Equity Relative Return, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Jeffrey M. Canouse, JPC Capital Partners, Inc.
 555 N. Point Pkwy. E, 4th Fl., Alpharetta, GA 30022
Security(ies) — Convertible promissory notes with common stock warrants

Issuer of security(ies) — Next, Inc.

Address of issuer — 7625 Hamilton Park Dr., Suite 12, Chattanooga, TN 37341

State or country in which organized — Delaware

Joseph Gunnar & Co. LLC

Thirty Broad St., New York, NY 10004

State or country in which incorporated — Delaware

Security(ies) — Units comprised of promissory notes and warrants to purchase common stock

Issuer of security(ies) — Bridgeline Software, Inc.

Address of issuer — 10 Sixth Rd., Woburn, MA 01801

State or country in which organized — Delaware

JSK Opportunity Fund, L.P.

225 Windmill Lane, Southampton, NY 11968

Security(ies) — Limited partnership interest

Issuer of security(ies) — JSK Opportunity Fund, L.P.

Address of issuer — Same as above

State or country in which organized — New York

Keefe, Bruyette & Woods, Inc.; (2) SunTrust Capital Markets, Inc..
787 Seventh Ave., 5th Fl., New York, NY 10019; (2) 3333 Peachtree Rd., NE, 10th Fl., Atlanta, GA 30326

State or country in which incorporated — (1) New York; (2) Tennessee

Security(ies) — Common stock

Issuer of security(ies) — NBC Capital Corporation

Address of issuer — NBC Plaza, 301 E. Main St., Starkville, MS 39759

State or country in which organized — Mississippi

LEM Real Estate Mezzanine Fund II, L.P.

Cira Centre, 2929 Arch St., Philadelphia, PA 19104-2868

Security(ies) — Limited partnership interests

Issuer of security(ies) — LEM Real Estate Mezzanine Fund II, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Life Safety, LLC

3540 W. Sahara Ave., Suite 794, Las Vegas, NV 89102-5816

State or country in which incorporated — Delaware limited liability company

Security(ies) — 10 percent convertible promissory note

Issuer of security(ies) — Life Safety, LLC

Address of issuer — Same as above

State or country in which organized — Delaware limited liability company

Lone Star Securities, Inc.

15851 Dallas Pkwy., #105, Addison, TX 75001

State or country in which incorporated — Texas

Security(ies) — Working interests — The Three Bayou Bay Prospect

Issuer of security(ies) — Harbor Resources, L.L.C.

Address of issuer — Same as above

State or country in which organized — Texas

LTI Holdings, LLC

% Sentinel Capital Partners, 330 Madison Ave., 27th Fl., New York, NY 10017

Security(ies) — Limited liability company interest

Issuer of security(ies) — LTI Holdings, LLC

Address of issuer — Same as above

State or country in which organized — Delaware

Lumberjack Austin Chalk Horizontal Drilling Program

6330 LBJ Fwy., Suite 137, Dallas, TX 75244-6467

Security(ies) — Units of joint venture interest

Issuer of security(ies) — Lumberjack Austin Chalk Horizontal Drilling Program

Address of issuer — Same as above

State or country in which organized — Texas

MAC Master Fund, L.P.

% MAC Administration, LLC, % Highline Capital, 15 W. 48th St., 30th Fl., New York NY 10020

Security(ies) — Limited partnership interests

Issuer of security(ies) — MAC Master Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Cayman Islands

Malory Investments, LLC

1351 Westwood Blvd., Suite #102, Los Angeles, CA 90024

State or country in which incorporated — California

Security(ies) — Limited partnership units

Issuer of security(ies) — Brookstone Biotech Ventures II, LP

Address of issuer — 3800 Howard Hughes Pkwy., Suite 550, Las Vegas, NV 89109

State or country in which organized — Delaware

Managers AMG Funds, FQ Global Alternatives Fund

% U.S. Bancorp Fund Services, LLC, 615 E. Michigan St., Milwaukee, WI 53202

State or country in which incorporated — Massachusetts

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Managers AMG Funds

Address of issuer — Same as above

State or country in which organized — Massachusetts

Managers Fund LLC, The

Wrigley Bldg., 400 N. Michigan Ave., Suite 620, Chicago, IL 60611

Security(ies) — Limited liability company interests

Issuer of security(ies) — The Managers Fund LLC

Address of issuer — Same as above

State or country in which organized — United States

Manchester Square Domestic Feeder Fund, L.P.

575 Madison Ave., Suite 1006, New York, NY 10022

Security(ies) — Limited partnership interests

Issuer of security(ies) — Manchester Square Domestic Feeder Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Material Technologies, Inc.

11661 San Vicente Blvd., Suite 707, Los Angeles, CA 90049

State or country in which incorporated — Delaware

Security(ies) — Common stock

Issuer of security(ies) — Material Technologies, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Marvin & Palmer Emerging Markets Partners Fund LP

1201 N. Market St., Suite 2300, Wilmington, DE 19801-1165

State or country in which incorporated — Delaware

Security(ies) — Limited partnership interests

Issuer of security(ies) — Marvin & Palmer Emerging Markets Partners Fund LP

Address of issuer — Same as above
State or country in which organized — Delaware

Max, Restaurant & Bar, LLC
 466-468 Broadway, Saratoga Springs, NY 12866
Security(ies) — Series A preferred membership interest
Issuer of security(ies) — Max, Restaurant & Bar, LLC
Address of issuer — Same as above
State or country in which organized — New York

MDwerks, Inc.
 Windolph Center, Suite I, 1020 NW. 6th St., Deerfield Beach, FL 33442
State or country in which incorporated — Delaware
Security(ies) — Preferred stock
Issuer of security(ies) — MDwerks, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Millbrook Asset Management, L.P.
 45 Front St., P.O. Box 1154, Millbrook, NY 12545
Security(ies) — Limited partnership interests
Issuer of security(ies) — Millbrook Asset Management, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

MMR Funding, LP
 92 Portsmouth Ave., Suite 15, Exeter, NH 03833
Security(ies) — Limited partnership interest
Issuer of security(ies) — MMR Funding, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Montgomery Farm Garden District, Ltd.
 10005 Technology Blvd. W, Suite 151, Dallas, TX 75220
Security(ies) — Limited partnership interests
Issuer of security(ies) — Montgomery Farm Garden District, Ltd.
Address of issuer — Same as above
State or country in which organized — Texas

Morgan Stanley Institutional Fund, Inc. Systematic Active Large Cap Core Portfolio
 %JP Morgan Investor Services Company, 73 Tremont St., Boston, MA 02108-3913
Security(ies) — Shares of beneficial interest at no par value
Issuer of security(ies) — Systematic Active Large Cap Core Portfolio
Address of issuer — Same as above
State or country in which organized — Maryland

Morgan Stanley Institutional Fund, Inc. Systematic Active Small Cap Core Portfolio
 %JP Morgan Investor Services Company, 73 Tremont St., Boston, MA 02108-3913
Security(ies) — Shares of beneficial interest at no par value
Issuer of security(ies) — Systematic Active Small Cap Core Portfolio
Address of issuer — Same as above
State or country in which organized — Maryland

Morgan Stanley Institutional Fund, Inc. Systematic Active Small Cap Growth Portfolio
 %JP Morgan Investor Services Company, 73 Tremont St., Boston, MA 02108-3913
Security(ies) — Shares of beneficial interest at no par value
Issuer of security(ies) — Systematic Active Small Cap Growth Portfolio

Address of issuer — Same as above
State or country in which organized — Maryland

Morgan Stanley Institutional Fund, Inc. Systematic Active Small Cap Value Portfolio
 %JP Morgan Investor Services Company, 73 Tremont St., Boston, MA 02108-3913
Security(ies) — Shares of beneficial interest at no par value
Issuer of security(ies) — Systematic Active Small Cap Value Portfolio
Address of issuer — Same as above
State or country in which organized — Maryland

Morgan Stanley Institutional Fund Trust Equities Plus Portfolio
 %JP Morgan Investor Services Company, 73 Tremont St., Boston, MA 02108-3913
Security(ies) — Shares of beneficial interest at no par value
Issuer of security(ies) — Morgan Stanley Institutional Fund Trust Equities Plus Portfolio
Address of issuer — Same as above
State or country in which organized — Maryland

Moro Corporation
 994 Old Eagle School Rd., The Woods, Suite 1000, Wayne, PA 19087
State or country in which incorporated — Pennsylvania
Security(ies) — 2006 convertible subordinated debentures
Issuer of security(ies) — Moro Corporation
Address of issuer — Same as above
State or country in which organized — Pennsylvania

Nagle Funds
 57 Willow Dr., Little Silver, NJ 07739
State or country in which incorporated — Ohio
Security(ies) — Nagle Funds (shares of beneficial interest)
Issuer of security(ies) — Nagle Funds
Address of issuer — Same as above
State or country in which organized — Ohio

NE Services Corporation
 60 Burlington Mall Rd., Suite 210, Burlington, MA 01803
State or country in which incorporated — Delaware
Security(ies) — Series A-2 convertible preferred stock
Issuer of security(ies) — NE Services Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Netstairs.Com, Inc.
 2821 E. Commercial Blvd., Suite 201, Fort Lauderdale, FL 33308
State or country in which incorporated — Florida
Security(ies) — Common stock
Issuer of security(ies) — Netstairs.Com, Inc.
Address of issuer — Same as above
State or country in which organized — Florida

Niadyne Development, Inc.
 1601 N. Tucson Blvd., #7, Tucson, AZ 85716
State or country in which incorporated — Delaware
Security(ies) — Warrants to purchase common stock
Issuer of security(ies) — Niadyne Development, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Nili Lotan at Tribeca-NY, LLC
 188 Duane St., New York, NY 10013

Security(ies) — Limited liability company class B membership units
Issuer of security(ies) — Nili Lotan at Tribeca-NY, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

North Sound Legacy International Ltd.
 % BNY Alternative Investment Services Ltd., 48 Par-la-Ville Rd.,
 Hamilton HM 11, Bermuda
State or country in which incorporated — British Virgin Islands
Security(ies) — Common shares
Issuer of security(ies) — North Sound Legacy International Ltd.
Address of issuer — Same as above
State or country in which organized — British Virgin Islands

Northeast Securities, Inc.
 100 Wall St., New York, NY 10005
State or country in which incorporated — California
Security(ies) — Units—each unit consisting of \$37,500 principal amount
 of 9% convertible notes, warrants to acquire 3,750 shares of common
 stock, and 16,667 shares of common stock
Issuer of security(ies) — SheerVision, Inc.
Address of issuer — 4040 Palos Verdes Dr. N, Suite 105, Rolling Hills
 Estates, CA 90274
State or country in which organized — California

Northern Funds Distributors, LLC
 Four Falls Corporate Center, 6th Fl., West Conshohocken, PA 19428-
 2961
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Northern Funds—Emerging Markets Equity
 Fund
Address of issuer — P.O. Box 75986, Chicago, IL 60690-6319
State or country in which organized — Delaware

NYPB&T Calyspo GP Access Fund LLC
 % NYPB&T Access LLC, 6 E. 43rd St., New York, NY 10017
Security(ies) — Limited liability company interests
Issuer of security(ies) — NYPB&T Calyspo GP Access Fund LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Onstream Media Corporation
 1291 SW. 29th Ave., Pompano Beach, FL 33069
Security(ies) — \$2,500,000 in units consisting of subordinated
 convertible notes and warrants
Issuer of security(ies) — Onstream Media Corporation
Address of issuer — Same as above
State or country in which organized — Florida

Oppenheimer & Co., Inc.
 125 Broad St., 15th Fl., New York, NY 10004
State or country in which incorporated — New York
Security(ies) — Common stock
Issuer of security(ies) — Novelos Therapeutics, Inc.
Address of issuer — One Gateway Ctr., Suite 504, Newton, MA 02458
State or country in which organized — Delaware

Oppenheimer & Co., Inc.
 125 Broad St., 15th Fl., New York, NY 10004
State or country in which incorporated — New York
Security(ies) — Common stock purchase warrants
Issuer of security(ies) — Novelos Therapeutics, Inc.
Address of issuer — One Gateway Ctr., Suite 504, Newton, MA 02458
State or country in which organized — Delaware

Oracle E Fund, L.P.
 1010 Northern Blvd., Suite 208, Great Neck, NY 11021
Security(ies) — Limited partnership interests
Issuer of security(ies) — Oracle E Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Osprey Special Values Fund, LP
 250 E. 54th St., Penthouse 1, New York, NY 10022
Security(ies) — Limited partnership interest
Issuer of security(ies) — Osprey Special Values Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Panacea Capital Offshore Ltd.
 % BISYS Hedge Fund Services (Cayman) Limited, 27 Hospital Rd., 5th
 Fl., P.O. Box 17489T, Georgetown, Grand Cayman, Cayman Islands,
 B.W.I.
State or country in which incorporated — Cayman Islands
Security(ies) — Ordinary shares
Issuer of security(ies) — Panacea Capital Offshore Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Park Ridge Securities Corp.
 9801 Lake Nona Rd., Orlando, FL 32827
State or country in which incorporated — Florida
Security(ies) — Type A founder member privileges
Issuer of security(ies) — Park Ridge Securities Corp.
Address of issuer — Same as above
State or country in which organized — Bahamas

Park Ridge Securities Corp.
 9801 Lake Nona Rd., Orlando, FL 32827
State or country in which incorporated — Florida
Security(ies) — Type B founder member privileges
Issuer of security(ies) — Park Ridge Securities Corp.
Address of issuer — Same as above
State or country in which organized — Bahamas

Park Ridge Securities Corp.
 9801 Lake Nona Rd., Orlando, FL 32827
State or country in which incorporated — Florida
Security(ies) — Type C founder member privileges
Issuer of security(ies) — Park Ridge Securities Corp.
Address of issuer — Same as above
State or country in which organized — Bahamas

Park Ridge Securities Corp.
 9801 Lake Nona Rd., Orlando, FL 32827
State or country in which incorporated — Florida
Security(ies) — Type D founder member privileges
Issuer of security(ies) — Park Ridge Securities Corp.
Address of issuer — Same as above
State or country in which organized — Bahamas

PC Exploration Limited Partnership - 2006
 502 Keystone Dr., Warrendale, PA 15086
Security(ies) — Participant general partner interests
Issuer of security(ies) — PC Exploration Limited Partnership - 2006
Address of issuer — Same as above
State or country in which organized — Pennsylvania

PC Exploration Limited Partnership - 2006
 502 Keystone Dr., Warrendale, PA 15086
Security(ies) — Participant limited partner interests
Issuer of security(ies) — PC Exploration Limited Partnership - 2006
Address of issuer — Same as above
State or country in which organized — Pennsylvania

Perennial Fund, Ltd.
 % BISYS Hedge Fund Services Limited, Hemisphere House, Nine Church St., P.O. Box HM 951, Hamilton HM DX, British West Indies
Security(ies) — Common shares
Issuer of security(ies) — Perennial Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Perennial Fund, LP
 101 E. 52nd St., 8th Fl., New York, NY 10022
Security(ies) — Limited Partnership Interests
Issuer of security(ies) — Perennial Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Piper Jaffray & Co.; (2) First Albany Capital Inc.; (3) Lazard Capital Markets LLC; (4) Montgomery & Co., LLC
 800 Nicollet Mall, Minneapolis, MN 55402; (2) 222 W. Adams St., Chicago, IL 60606; (3) 30 Rockefeller Plaza, New York, NY 10020; (4) 100 Wilshire Blvd., Suite 400, Santa Monica, CA 90401
State or country in which incorporated — (1-4) Delaware
Security(ies) — Common stock
Issuer of security(ies) — Dexcom, Inc.
Address of issuer — 5555 Oberlin Dr., San Diego, CA 92121
State or country in which organized — Delaware

Plummer Securities, Inc.
 5050 Quorum Dr., Suite 325, Dallas, TX 75254
Security(ies) — Joint venture
Issuer of security(ies) — Hackberry 3D Joint Venture
Address of issuer — Same as above
State or country in which organized — Texas

PM Manager Fund, SPC - Segregated Portfolio 3
 % Walkers SPV Limited, P.O. Box 908GT, George Town, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands
Security(ies) — Shares
Issuer of security(ies) — PM Manager Fund, SPC-Segregated Portfolio 3
Address of issuer — Same as above
State or country in which organized — Cayman Islands

PM Manager Fund, SPC - Segregated Portfolio 4
 % Walkers SPV Limited, P.O. Box 908GT, George Town, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands
Security(ies) — Shares
Issuer of security(ies) — PM Manager Fund, SPC-Segregated Portfolio 4
Address of issuer — Same as above
State or country in which organized — Cayman Islands

PMC Income Fund Series F, Inc.
 7509 Chapel Ave., Forth Worth, TX 76116
State or country in which incorporated — Florida

Security(ies) — 12.5% senior secured bonds
Issuer of security(ies) — PMC Income Fund Series F, Inc.
Address of issuer — Same as above
State or country in which organized — Florida

ProFunds—UltraEmerging Markets ProFund
 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — ProFunds Distributors, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

ProFunds—UltraInternational ProFund
 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — ProFunds Distributors, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

ProFunds—UltraShort Emerging Markets ProFund
 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — ProFunds Distributors, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

ProFunds—UltraShort International ProFund
 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — ProFunds Distributors, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Prudent Allocation Partners, L.P.
 209 Cooper Ave., Suite 8, Upper Montclair, NJ 07043
Security(ies) — Limited partnership interests
Issuer of security(ies) — Prudent Allocation Partners, L.P.
Address of issuer — Same as above
State or country in which organized — New Jersey

Quaker BioVentures II, L.P.
 Circa Centre, 2929 Arch St., Philadelphia, PA 19104-2868
Security(ies) — Limited partnership interests
Issuer of security(ies) — Quaker BioVentures II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

RA Capital Biotech Fund, L.P.
 135 Beaver St., 2nd Fl., Waltham, MA 02452
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interests
Issuer of security(ies) — RA Capital Biotech Fund, L.P.
Address of issuer — 111 Huntington Ave., Suite 610, Boston, MA 02199
State or country in which organized — Delaware

Right Wall Capital Fund, LP
 320 Park Ave., 10th Fl., New York, NY 10022
Security(ies) — Right Wall Capital Fund, LLC
Issuer of security(ies) — Limited partnership interests
Address of issuer — Same as above
State or country in which organized — Delaware

Rockbay Capital Commitment Institutional Fund, LLC
% Rockbay Capital LLC, 600 Fifth Ave., 24th Fl., New York, NY 10020

Security(ies) — Limited liability company interests
Issuer of security(ies) — Rockbay Capital Commitment Institutional Fund, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Rockbay Capital Commitment Offshore Fund, Ltd.
% Rockbay Capital LLC, 600 Fifth Ave., 24th Fl., New York, NY 10020

State or country in which incorporated — Cayman Islands
Security(ies) — Participating, voting and redeemable shares
Issuer of security(ies) — Rockbay Capital Commitment Offshore Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Rodman & Renshaw, LLC
1270 Avenue of the Americas, 16th Fl., New York, NY 10020

Security(ies) — Common stock
Issuer of security(ies) — Novelos Therapeutics, Inc.
Address of issuer — One Gateway Center, Suite 504, Newton, MA 02458
State or country in which organized — Delaware

Rodman & Renshaw, LLC
1270 Avenue of the Americas, 16th Fl., New York, NY 10020

Security(ies) — Common stock purchase warrants
Issuer of security(ies) — Novelos Therapeutics, Inc.
Address of issuer — One Gateway Center, Suite 504, Newton, MA 02458
State or country in which organized — Delaware

Roth Capital Partners, LLC
24 Corporate Plaza Dr., Newport Beach, CA 92660

State or country in which incorporated — California
Security(ies) — Units consisting of common stock with common stock purchase warrants
Issuer of security(ies) — Intrinsyc Software International, Inc.
Address of issuer — 700 W. Pender St., 10th Fl., Vancouver, British Columbia, Canada V6C 1G8
State or country in which organized — Canada

Sailfish Global Equity Fund (G3), Ltd.
% GlobeOp Financial Services (Cayman) Limited, Walker House, Mary St., George Town, Grand Cayman, Cayman Islands, BWI

Security(ies) — Common shares par value \$0.01 (U.S.) per share
Issuer of security(ies) — Sailfish Global Equity Fund (G3), LP
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Sailfish Global Equity Partners (G3), LP
777 Long Ridge Rd., Bldg. A, 3rd Fl., Stamford CT 06902

Security(ies) — Limited partnership interests
Issuer of security(ies) — Sailfish Global Equity Partners (G3), LP
Address of issuer — Same as above
State or country in which organized — Delaware

SAM Systems, Inc.
1993 Tobsal Court, Warren, MI 48091
State or country in which incorporated — Michigan

Security(ies) — Common stock with warrants
Issuer of security(ies) — SAM Systems, Inc.
Address of issuer — Same as above
State or country in which organized — Michigan

Schroders International Small Companies Portfolio, a separate series of Schroder Investment Portfolios, L.P.

875 Third Ave., 22nd Fl., New York, NY 10022-6225
Security(ies) — Limited partnership interests
Issuer of security(ies) — Schroders International Small Companies Portfolio, a separate series of Schroder Investment Portfolios, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

SECO & Golden "100" Inc.
1600 Essex Ave., DeLand, FL 32724
State or country in which incorporated — Delaware
Security(ies) — Preferred stock
Issuer of security(ies) — SECO & Golden "100" Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Sengor Fund I, L.P.
645 Fifth Ave., Suite 900, New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Sengor Fund I, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Sequel Technologies, LLC
8014 Olson Memorial Hwy., PMB 401, Golden Valley, MN 55427
State or country in which incorporated — Minnesota
Security(ies) — Units representing financial rights and governance rights
Issuer of security(ies) — Sequel Technologies, LLC
Address of issuer — Same as above
State or country in which organized — Minnesota

Shemano Group, The
601 California St., Suite 1150, San Francisco, CA 94108
State or country in which incorporated — California
Security(ies) — Units consisting of common stock and warrants
Issuer of security(ies) — GlobalMedia Holdings, Inc.
Address of issuer — 8281 E. Gelding Dr., Scottsdale, AZ 85260
State or country in which organized — Delaware

Silver Birch Commodities Portfolio I LLC
% HHM Commodities LLC, 30 W. Broadway, 37th Fl., New York, NY 10004
Security(ies) — Limited liability company membership interests
Issuer of security(ies) — Silver Birch Commodities Portfolio I LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Smart Pill Corporation, The
847 Main St., Buffalo, NY 14203
State or country in which incorporated — Delaware
Security(ies) — A-2 common stock
Issuer of security(ies) — The Smart Pill Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Solaris Constellation Fund, L.P.
598 Madison Ave., New York, NY 10022

Security(ies) — Limited partnership interests
Issuer of security(ies) — Solaris Constellation Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Sophia PE, LLC
 342 N. Main St., Suite 200, West Hartford, CT 06117
Security(ies) — Limited liability company interest
Issuer of security(ies) — Sophia PE, LLC
Address of issuer — Same as above
State or country in which organized — Connecticut

Spencer Trask Ventures, Inc.
 535 Madison Ave., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Units consisting of Series B-1 convertible preferred stock and warrants to purchase series B-2 convertible preferred stock
Issuer of security(ies) — Hyperactive Technologies, Inc.
Address of issuer — D.L. Clark Bldg., 503 Martindale St., Pittsburgh, PA 15212
State or country in which organized — Delaware

Spinnaker Coating, LLC
 518 E. Water St., Troy, OH 45373
State or country in which incorporated — Delaware limited liability company
Security(ies) — Limited liability company memberships
Issuer of security(ies) — Spinnaker Coating, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Spinnerhawk Natural Resources Fund International, L.P.
 200 Crescent Court, Suite 1030, Dallas, TX 75201
Security(ies) — Limited partnership interests
Issuer of security(ies) — Spinnerhawk Natural Resources Fund International, L.P.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Spongetech Delivery Systems, Inc.
 350 Fifth Ave., Suite 2204, New York, NY 10118
State or country in which incorporated — Delaware
Security(ies) — Units consisting of common stock and class A redeemable warrants
Issuer of security(ies) — Spongetech Delivery Systems, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Teachers Personal Investors Services, Inc
 730 Third Ave., New York, NY 10017
State or country in which incorporated — Delaware
Security(ies) — Bond fund, retail class
Issuer of security(ies) — TIAA-CREF Institutional Mutual Funds
Address of issuer — Same as above
State or country in which organized — Delaware

Teachers Personal Investors Services, Inc
 730 Third Ave., New York, NY 10017
State or country in which incorporated — Delaware
Security(ies) — Bond fund, retirement class
Issuer of security(ies) — TIAA-CREF Institutional Mutual Funds
Address of issuer — Same as above
State or country in which organized — Delaware

Teachers Personal Investors Services, Inc
 730 Third Ave., New York, NY 10017
State or country in which incorporated — Delaware
Security(ies) — Equity index fund, retail class
Issuer of security(ies) — TIAA-CREF Institutional Mutual Funds
Address of issuer — Same as above
State or country in which organized — Delaware

Teachers Personal Investors Services, Inc
 730 Third Ave., New York, NY 10017
State or country in which incorporated — Delaware
Security(ies) — Equity index fund, retirement class
Issuer of security(ies) — TIAA-CREF Institutional Mutual Funds
Address of issuer — Same as above
State or country in which organized — Delaware

Teachers Personal Investors Services, Inc
 730 Third Ave., New York, NY 10017
State or country in which incorporated — Delaware
Security(ies) — Growth & income fund, retail class
Issuer of security(ies) — TIAA-CREF Institutional Mutual Funds
Address of issuer — Same as above
State or country in which organized — Delaware

Teachers Personal Investors Services, Inc
 730 Third Ave., New York, NY 10017
State or country in which incorporated — Delaware
Security(ies) — Inflation-linked bond fund, retirement class
Issuer of security(ies) — TIAA-CREF Institutional Mutual Funds
Address of issuer — Same as above
State or country in which organized — Delaware

Teachers Personal Investors Services, Inc
 730 Third Ave., New York, NY 10017
State or country in which incorporated — Delaware
Security(ies) — International equity fund, retail class
Issuer of security(ies) — TIAA-CREF Institutional Mutual Funds
Address of issuer — Same as above
State or country in which organized — Delaware

Teachers Personal Investors Services, Inc
 730 Third Ave., New York, NY 10017
State or country in which incorporated — Delaware
Security(ies) — Money market fund, retail class
Issuer of security(ies) — TIAA-CREF Institutional Mutual Funds
Address of issuer — Same as above
State or country in which organized — Delaware

Teachers Personal Investors Services, Inc
 730 Third Ave., New York, NY 10017
State or country in which incorporated — Delaware
Security(ies) — Money market fund, retirement class
Issuer of security(ies) — TIAA-CREF Institutional Mutual Funds
Address of issuer — Same as above
State or country in which organized — Delaware

Teachers Personal Investors Services, Inc
 730 Third Ave., New York, NY 10017
State or country in which incorporated — Delaware
Security(ies) — Social choice equity fund, retail class
Issuer of security(ies) — TIAA-CREF Institutional Mutual Funds
Address of issuer — Same as above
State or country in which organized — Delaware

Three Palms Capital, Inc.
2600 N. Flagler Dr., Suite 705, West Palm Beach, FL 33407
State or country in which incorporated — Florida
Security(ies) — Series A convertible preferred stock
Issuer of security(ies) — Three Palms Capital, Inc.
Address of issuer — 2600 N. Flagler Dr., Suite 705, West Palm Beach, FL 33407
State or country in which organized — Florida

Trilogy International Partners LLC
155 108th Ave. NE, Suite 450, Bellevue, WA 98004
State or country in which incorporated — Delaware
Security(ies) — Units of membership interest
Issuer of security(ies) — Trilogy International Partners LLC
Address of issuer — Same as above
State or country in which organized — Washington

True Value Company
8600 W. Bryn Mawr Ave., Chicago, IL 60631-3505
State or country in which incorporated — Delaware
Security(ies) — Class A common stock
Issuer of security(ies) — True Value Company
Address of issuer — Same as above
State or country in which organized — Delaware

Truck Asset Management, L.P.
39 Hedgerow Lane, Manalapan, NJ 07726
Security(ies) — Limited partnership interests
Issuer of security(ies) — Truck Asset Management, L.P.
Address of issuer — Same as above
State or country in which organized — New Jersey

UBS Securities LLC; (2) J.P. Morgan Securities Inc.; (3) Citigroup Global Markets Inc.; (4) Credit Suisse Securities (USA) LLC; (5) Bear, Stearns & Co. Inc.
677 Washington Blvd., Stamford, CT 06901; (2) 270 Park Ave., New York, NY 10017; (3) 388 Greenwich St., New York, NY 10013; (4) 11 Madison Ave., New York, NY 10010; (5) 383 Madison Ave., New York, NY 10179
State or country in which incorporated — (1, 4) Delaware LLC; (2, 5) Delaware; (3) New York
Security(ies) — __ % senior notes due 2014
Issuer of security(ies) — XM Satellite Radio Inc.
Address of issuer — 1500 Eckington Place NE, Washington, DC 20002
State or country in which organized — Delaware

UBS Securities LLC; (2) J.P. Morgan Securities Inc.; (3) Citigroup Global Markets Inc.; (4) Credit Suisse Securities (USA) LLC; (5) Bear, Stearns & Co. Inc.
677 Washington Blvd., Stamford, CT 06901; (2) 270 Park Ave., New York, NY 10017; (3) 388 Greenwich St., New York, NY 10013; (4) 11 Madison Ave., New York, NY 10010; (5) 383 Madison Ave., New York, NY 10179
State or country in which incorporated — (1, 4) Delaware LLC; (2, 5) Delaware; (3) New York
Security(ies) — Senior floating rate notes due 2013
Issuer of security(ies) — XM Satellite Radio Inc.
Address of issuer — 1500 Eckington Place NE, Washington, DC 20002
State or country in which organized — Delaware

Unified Financial Securities, Inc.
431 N. Pennsylvania St., Indianapolis, IN 46204
State or country in which incorporated — Indiana

Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Unified Series Trust, Tributary Income Fund
Address of issuer — 431 N. Pennsylvania St., Indianapolis, IN 46204
State or country in which organized — Ohio

Unified Financial Securities, Inc.
431 N. Pennsylvania St., Indianapolis, IN 46204
State or country in which incorporated — Indiana
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Unified Series Trust, Abacus Bull Moose Growth Fund
Address of issuer — 431 N. Pennsylvania St., Indianapolis, IN 46204
State or country in which organized — Ohio

Van Kampen Funds, Inc.
One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Cohen & Steers Global Real Estate Portfolio 2006-2
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds, Inc.
One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Dent Global Demographics Portfolio, Series 5
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds, Inc.
One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Dividend Income & Value Portfolio 2006-2
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds, Inc.
One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Insured Income Trust, Series 140
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds, Inc.
One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Preferred Securities Portfolio, Series 11
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds, Inc.
One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — The Dow Contrarian Strategy Portfolio 2006-3
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds, Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Select 10 Industrial Portfolio 2006-3
Address of issuer — Same as above
State or country in which organized — New York

Vanguard Quantitative Funds—Vanguard Structured Large-Cap Equity Fund
 % Vanguard Financial Center, P.O. Box 2600, Valley Forge, PA 19482
Security(ies) — Units of beneficial interest at no par value
Issuer of security(ies) — Vanguard Quantitative Funds—Vanguard Structured Large-Cap Equity Fund
Address of issuer — Same as above
State or country in which organized — Delaware

VentureChoir, LLC
 6725 Sunset Blvd., Los Angeles, CA 90028
Security(ies) — Class A units of limited liability interests
Issuer of security(ies) — VentureChoir, LLC
Address of issuer — Same as above
State or country in which organized — California

VentureChoir, LLC
 6725 Sunset Blvd., Los Angeles, CA 90028
Security(ies) — Class B units of limited liability interests
Issuer of security(ies) — VentureChoir, LLC
Address of issuer — Same as above
State or country in which organized — California

Verance Corporation
 4435 Eastgate Mall, Suite 350, San Diego, GA 92121
Security(ies) — Notes
Issuer of security(ies) — Verance Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Vostok Mining Limited
 32 Threadneedle St., London EC2R 8AY, United Kingdom
State or country in which incorporated — United Kingdom
Security(ies) — Ordinary shares
Issuer of security(ies) — Vostok Mining Limited
Address of issuer — 5-7 Cranwood St., London, EC1V 9EE, United Kingdom
State or country in which organized — United Kingdom

VRGateway, Inc.
 4416 E. West Hwy., Suite 310, Bethesda, MD 20814
State or country in which incorporated — Delaware
Security(ies) — Series A preferred stock
Issuer of security(ies) — VRGateway, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Westcliff Ventures Fund, L.P.
 200 Seventh Ave., Suite 105, Santa Cruz, CA 95062
Security(ies) — Limited partnership interest
Issuer of security(ies) — Westcliff Ventures Fund, L.P.
Address of issuer — Same as above
State or country in which organized — California

Wilkinson O'Grady Global Equity Partners L.P.
 520 Madison Ave., 25th Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Wilkinson O'Grady Global Equity Partners L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

