

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

96 Rockwell LLC
c/o Integrated Capital LLC, Five W. 19th St., 7th Fl., New York, NY
10011

2004 Honour and Glory/Dazzling Dancer, LLC
800 Arbor Dr. N, Louisville, KY 40223

2004 Lear Fan/Pretty City, LLC
800 Arbor Dr. N, Louisville, KY 40223

2004 Two Punch/Proud Playmaker, LLC
800 Arbor Dr. N, Louisville, KY 40223

Alliance Affiliated Equities Corporation
One Ward Pkwy., Suite 345, Kansas City, MO 64112
State or country in which incorporated — Texas

Aqueous Fund, L.P., Series II
c/o Structured Servicing Transactions Group, L.L.C., 2215 B Renaissance Dr., Suite 5, Las Vegas, NV 89119
State or country in which incorporated — Delaware

Aqueous Offshore Fund, SPC, Portfolio II
c/o Walkers SPV Limited, Walker House, P.O. Box 908GT, Mary St.,
George Town, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands

Arsenal Capital Partners II LP
320 Park Ave., 30th Fl., New York, NY 10022
Partnership — Arsenal Capital Investment II LP

Arsenal Capital Partners QP II LP
320 Park Ave., 30th Fl., New York, NY 10022
Partnership — Arsenal Capital Investment II LP

Arsenal Capital Partners IQP II-B LP
320 Park Ave., 30th Fl., New York, NY 10022
Partnership — Arsenal Capital Investment II LP

Centale, Inc.
6700 N. Andrews Ave., Suite 605, Fort Lauderdale, FL 33309
State or country in which incorporated — New York

Chap-Cap Activist Partners, L.P.
222 N. Sepulveda Blvd., Suite 1322, El Segundo, CA 90245
Partnership — Chapman Capital GP, L.L.C.

Chap-Cap Partners II, L.P.
222 N. Sepulveda Blvd., Suite 1322, El Segundo, CA 90245
Partnership — Chapman Capital GP, L.L.C.

Citrus Capital LP
536 S. Second Ave., Suite K, Covina, CA 91723
Partnership — Citrus Capital Management LLC

Clean Water Technologies, Inc.
4030 Palos Verdes Dr. N, Suite 104, Rolling Hills Estates, CA 90274
State or country in which incorporated — Delaware

Covalent Capital Partners, LP
255 Washington St., Suite 300, Newton, MA 02458
Partnership — Covalent Capital Partners GP, LLC

Crucible Fund, LLC, The
1201 N. Orange St., Suite 723, Wilmington, DE 19801
Partnership — Christopher J. Colvin; John (Byung Joo) Lee

CSI Capital Management, Inc.
600 California St., 18th Fl., San Francisco, CA 94108
State or country in which incorporated — California

Dyno Nobel Limited
Level 20, AGL Centre, 111 Pacific Hwy., N. Sydney, NSW, 2060
Australia
State or country in which incorporated — Australia

ElitePerformance SIPS Ltd.
c/o BISYS Hedge Fund Services (Cayman) Limited, 27 Hospital Rd.,
P.O. Box 1748, GT, Cayman Corporate Centre, George Town, Grand
Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands

Energy Metals Corporation
200 Granville St., #1238, V6C1S4 Vancouver, British Columbia,
Canada
State or country in which incorporated — Canada

- Episode Inc.
P.O. Box 908GT, Walker House, Mary St., Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands
- Episode L.P.
P.O. Box 908GT, Walker House, Mary St., Grand Cayman, Cayman Islands
Partnership — M&G General Partners Inc.
- Evercore Group L.L.C.
55 E. 52nd St., 43rd Fl., New York, NY 10005
State or country in which incorporated — Delaware
- Fairfield Heathcliff Capital LLC
919 Third Ave., 11th Fl., New York, NY 10022
- Fairfield Manhasset Offshore Fund Ltd.
P.O. Box 31106 SMB, George Town, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands
- Falck Medical, Inc.
35 Washington St., Suite 2, Mystic, CT 06355
State or country in which incorporated — Delaware
- Fig & Olive USA Inc.
808 Lexington Ave., New York, NY 10021
State or country in which incorporated — Delaware
- First Bank of Delaware
Brandywine Commons II, Rocky Run Pkwy., Wilmington, DE 19083
State or country in which incorporated — Delaware
- First Investors Consolidated Corporation
95 Wall St., New York, NY 10005-4297
State or country in which incorporated — Delaware
- First Investors Life Series Funds
95 Wall St., New York, NY 10005
State or country in which incorporated — Delaware
- First Investors Tax Exempt Funds
95 Wall St., New York, NY 10005
State or country in which incorporated — Delaware
- Four Corners Absolute Return Fund, LP
515 S. Flower St., Suite 4310, Los Angeles, CA 90071
Partnership — Four Corners - Meyerhoff Investment Management, LLC
- Fox Island Place Aurora Limited Partnership
806 Greenwood St., Evanston, IL 60201
State or country in which incorporated — Illinois limited liability company
- Gottbetter Capital USA, LP
488 Madison Ave., 12th Fl., New York, NY 10022-5718
Partnership — GCU GP, LLC
- Gottbetter Capital Global, Ltd.
c/o BISYS Hedge Fund Services (Cayman) Limited, 27 Hospital Rd., P.O. Box 1748 GT, Cayman Corporate Centre, George Town, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands
- Grant Bettingen Inc.
4100 Newport Place, Suite 630, Newport Beach, CA 92660
State or country in which incorporated — California
- Greenwich Sentry Partners, L.P.
c/o GlobeOp Financial Services LLC, One South St., Harrison, NY 10528
Partnership — Fairfield Greenwich (Bermuda) Ltd.
- Hapoalim Securities USA, Inc.
One Battery Park Plaza, 2nd Fl., New York, NY 10004
State or country in which incorporated — Delaware
- Hatteras Partners, L.P.
195 Maplewood Ave., Maplewood, NJ 07040
Partnership — Maplewood GP, LLC
- Heritage Capital, L.P.
6100 N. Western Ave., Oklahoma City, OK 73118
Partnership — Heritage Capital Advisors, L.L.C.
- Influmedix, Inc.
c/o Benchmark Equity Group, 700 Gemini, Suite 100, Houston, TX 77058
State or country in which incorporated — Delaware
- Integreon Managed Solutions, Inc.
1901 Avenue of the Stars, Suite 930, Los Angeles, CA 90067
State or country in which incorporated — New York
- Intellect Neurosciences, Inc.
7-9 W. 18th St., 9th Fl., New York, NY 10011
State or country in which incorporated — Delaware
- Keystone Potato Products, LLC
2317 Shermans Mountain Rd., P.O. Box 27, Hegins, PA 17938
State or country in which incorporated — Pennsylvania
- Lansburgh Trading, Inc.
2375 NE. 30th Court, Lighthouse Point, FL 33064
State or country in which incorporated — Florida
- Lehman Brothers Prime Fund
c/o Neuberger Berman Management Inc., 605 Third Ave., 2nd Fl., New York, NY 10158
State or country in which incorporated — Delaware
- Lucas Energy Total Return Offshore, Ltd.
c/o Lucas Capital Management, LLC, 328 Newman Springs Rd., Red Bank, NJ 07701
State or country in which incorporated — Cayman Islands
- Mac Onshore, L.P.
c/o Mac Administration, LLC, c/o Highline Capital, 15 W. 48th St., 30th Fl., New York, NY 10020
Partnership — Mac Administration, LLC, general partner
- Madison Dearborn Capital Partners V Executive-A, L.P.
Three First National Plaza, Suite 3800, Chicago, IL 60602
Partnership — Madison Dearborn Partners V-A&C, L.P.
- Madison Dearborn Capital Partners V Executive-B, L.P.
Three First National Plaza, Suite 3800, Chicago, IL 60602
Partnership — Madison Dearborn Partners V-B, L.P.

Mason Sunnyside Credit Fund, LP
110 E. 59th St., 30th Fl., New York, NY 10022
Partnership — Mason Sunnyside Credit Group, LLC

Mason Sunnyside Offshore Credit Fund, Ltd.
c/o Butterfield Fund Services (Cayman) Limited Butterfield House, 68 Fort St., P.O. Box 705 GT, George Town, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands

Medmarketplace.com, Inc.
5975 N. Federal Hwy., Suite 249C, Fort Lauderdale, FL 33308
State or country in which incorporated — Florida

Moab Partners, L.P.
15 E. 62nd St., New York, NY 10022
Partnership — Moab GP, LLC

Mobiform Software, Inc.
#603-134 Abbott St., Vancouver, BC V6B 2K4 Canada
State or country in which incorporated — Delaware

New Leif Partnership, L.P.
c/o Wintergreen GP, LLC, 333 Route 46 W, Mountain Lakes, NJ 07046
Partnership — Wintergreen GP, LLC, general partner

Nicusa Capital Partners, LP
20 Exchange Place, 38th Fl., New York, NY 10005
Partnership — Nicusa Capital, LLC

North American Resources Group Inc.
219 Reynolds Rd., Glasgow, KY 42121
State or country in which incorporated — Kentucky

Oppenheimer Private Equity Fund I, L.P.
125 Broad St., New York, NY 10004
Partnership — Oppenheimer Alternative Investment Management LLC

PAF Series 8
105 Aviemore Dr., Pinehurst, NC 28374
State or country in which incorporated — Delaware limited liability company

Passport Holding Corporation
720 Cool Springs Blvd., Suite 450, Franklin TN 37067
State or country in which incorporated — Delaware

Patriot Alpha 50 Multi Cap Limited Partnership
216 Lafayette Rd., Suite #204, N. Hampton, NH 03862
Partnership — Patriot Advisory Group, general partner

PharmaFrontiers Corp.
2635 N. Crescent Ridge Dr., The Woodlands, TX 77381

PK4 Municipal Strategies, LP
c/o PK4 Fund Advisors, LLC, 129 Post Rd. E, Westport, CT 06880
Partnership — PK4 Fund Advisors LLC, general partner

Prospect Absolute Return (Japan) L.P.
6700 Kalaniana'ole Hwy., Suite 122, Honolulu, Hawaii 96825 U.S.A.
Partnership — Prospect Asset Management (Channel Islands) Limited

Quasar Distributors, LLC
615 E. Michigan St., LC-2, Milwaukee, WI 53202
State or country in which incorporated — Wisconsin

River Road Fund, LLC
c/o Marwood Alternative Asset Management, LLC, 232 Madison Ave., Suite 906, New York, NY 10016
State or country in which incorporated — Delaware

Semaphore Capital Partners, L.P.
320 Park Ave., 10th Fl., New York, NY 10022
Partnership — Otis Partners, LLC

Semaphore Investors, L.P.
320 Park Ave., 10th Fl., New York, NY 10022
Partnership — Otis Partners, LLC

Shepherd I, L.P.
c/o MSRH, LLC, 55 Liberty St., New York, NY 10005
Partnership — MSRH, LLC

Solazyme, Inc.
3475-T Edison Way, Menio Park, CA 94605
State or country in which incorporated — Delaware

Southport Energy Alternatives Fund, L.P.
354 Pequot Ave., Southport, CT 06890
Partnership — Southport Energy Management, L.P.

Southport Energy Alternatives Offshore Fund, Inc.
c/o BISYS Hedge Fund Services (Cayman) Limited, P.O. Box 1748 GT, Cayman Corporate Centre, 27 Hospital Rd., George Town, Grand Cayman, Cayman Islands

Spencer Trask Ventures, Inc.
535 Madison Ave., New York, NY 10022
State or country in which incorporated — Delaware

Storsafe Hammocks, LLC
444 Brickell Ave., Suite 900, Miami, FL 33131
State or country in which incorporated — Florida

Synthesis Energy Systems, Inc.
1111 Brickell Ave., Suite 1100, Miami, FL 33129
State or country in which incorporated — Delaware

TechTarget, Inc.
117 Kendrick St., Suite 800, Needham, MA 02494
State or country in which incorporated — Delaware

TSI Group, Inc.
94 Tide Mill Rd., Hampton, NH 03842
State or country in which incorporated — Delaware

Ursus Institutional Limited
c/o Kynikos Associates LP, 20 W. 55th St., 8th Fl., New York, NY 10019
State or country in which incorporated — Cayman Islands

Valu Flik, Inc.
163 Amsterdam Ave., #191, New York, NY 10023
State or country in which incorporated — Nevada

Veritable Private Equity Partners, L.P.
6022 W. Chester Pike, Newtown Sq., PA 19073
Partnership — Veritable Partnership Holding, Inc.

vFinance Investments, Inc.
880 Third Ave., 12th Fl., New York, NY 10022
State or country in which incorporated — United States

WestLB Mellon Global Emerging Markets Partners, LLC
100 N. Riverside, Suite 1700, Chicago, IL 60606

Williams, Jones & Associates Hedge Fund II Tranche 6
c/o Williams, Jones & Associates, Inc., 717 Fifth Ave., Suite 1700, New York, NY 10022

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY DEALERS; BROKERS; SYNDICATE MANAGERS

96 Rockwell LLC
c/o Integrated Capital LLC, Five W. 19th St., 7th Fl., New York, NY 10011
Security(ies) — Limited liability company membership interests
Issuer of security(ies) — 96 Rockwell LLC
Address of issuer — Same as above
State or country in which organized — Delaware

2004 Honour and Glory/Dazzling Dancer, LLC
800 Arbor Dr. N, Louisville, KY 40223
Security(ies) — Limited liability company interest
Issuer of security(ies) — 2004 Honour and Glory/Dazzling Dancer, LLC
Address of issuer — Same as above
State or country in which organized — Kentucky

2004 Lear Fan/Pretty City, LLC
800 Arbor Dr. N, Louisville, KY 40223
Security(ies) — Membership interests in a limited liability company
Issuer of security(ies) — 2004 Lear Fan/Pretty City, LLC
Address of issuer — Same as above
State or country in which organized — Kentucky

2004 Two Punch/Proud Playmaker, LLC
800 Arbor Dr. N, Louisville, KY 40223
Security(ies) — Membership interests in a limited liability company
Issuer of security(ies) — 2004 Two Punch/Proud Playmaker, LLC
Address of issuer — Same as above
State or country in which organized — Kentucky

AIG SunAmerica Capital Services, Inc.
Harborside Financial Center, 3200 Plaza Five, Jersey City, NJ 07311-4992
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest, par value
Issuer of security(ies) — SunAmerica Equity Funds—SunAmerica

International Small-Cap Fund
Address of issuer — AIG SunAmerica Asset Management Corp., Harborside Financial Center, 3200 Plaza 5, Jersey City, NJ 07311-4992
State or country in which organized — Massachusetts

Alesco Advisors LLC
1080 Pittsford-Victor Rd., Pittsford, NY 14534
State or country in which incorporated — New York limited liability company
Security(ies) — Membership interests
Issuer of security(ies) — Alesco Advisors LLC
Address of issuer — Same as above
State or country in which organized — New York

Alliance Affiliated Equities Corporation
560 Epsilon Dr., Pittsburgh, PA 15238
State or country in which incorporated — Texas
Security(ies) — Partnership interests
Issuer of security(ies) — Texas Keystone 2006 Natural Gas Limited Partnership I
Address of issuer — Same as above
State or country in which organized — Pennsylvania

Ameriprise Financial Services, Inc.
70100 Ameriprise Financial Center, Minneapolis, MN 55474
State or country in which incorporated — Delaware
Security(ies) — Shares of capital stock
Issuer of security(ies) — AXP International Series, Inc.—RiverSource Disciplined International Fund
Address of issuer — Same as above
State or country in which organized — Minnesota

Ameriprise Financial Services, Inc.
70100 Ameriprise Financial Center, Minneapolis, MN 55474
State or country in which incorporated — Delaware
Security(ies) — Shares of capital stock
Issuer of security(ies) — AXP Dimensions Series, Inc.—RiverSource Disciplined Small and Mid Cap Equity Fund
Address of issuer — Same as above
State or country in which organized — Minnesota

Aqueous Fund, L.P., Series II
c/o Structured Servicing Transactions Group, L.L.C., 2215 B Renaissance Dr., Suite 5, Las Vegas, NV 89119
Security(ies) — Limited partnership interests
Issuer of security(ies) — Aqueous Fund, L.P., Series II
Address of issuer — Same as above
State or country in which organized — Delaware

Aqueous Offshore Fund, SPC, Portfolio II
c/o Walkers SPV Limited, Walker House, P.O. Box 908GT, Mary St., George Town, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands
Security(ies) — Participating shares
Issuer of security(ies) — Aqueous Offshore Fund, SPC, Portfolio II
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Arsenal Capital Partners II LP
320 Park Ave., 30th Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Arsenal Capital Partners II LP
Address of issuer — Same as above
State or country in which organized — Delaware

Arsenal Capital Partners QP II LP
320 Park Ave., 30th Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Arsenal Capital Partners QP II LP
Address of issuer — Same as above
State or country in which organized — Delaware

Arsenal Capital Partners QP II-B LP
320 Park Ave., 30th Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Arsenal Capital Partners QP II-B LP
Address of issuer — Same as above
State or country in which organized — Delaware

Chap-Cap Activist Partners, L.P.
222 N. Sepulveda Blvd., Suite 1322, El Segundo, CA 90245
Security(ies) — Limited partnership interests
Issuer of security(ies) — Chap-Cap Partners II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Chap-Cap Partners II, L.P.
222 N. Sepulveda Blvd., Suite 1322, El Segundo, CA 90245
Security(ies) — Limited partnership interests
Issuer of security(ies) — Chap-Cap Partners II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Centale, Inc.
6700 N. Andrews Ave., Suite 605, Fort Lauderdale, FL 33309
State or country in which incorporated — New York
Security(ies) — Common stock
Issuer of security(ies) — Centale, Inc.
Address of issuer — Same as above
State or country in which organized — New York

Citigroup Global Markets Inc.
388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Senior convertible notes due 2013
Issuer of security(ies) — NorthernStar Natural Gas Inc.
Address of issuer — One Riverway, Suite 2060, Houston, TX 77056
State or country in which organized — Delaware

Citrus Capital LP
536 S. Second Ave., Suite K, Covina, CA 91723
Security(ies) — Limited partnership interests
Issuer of security(ies) — Citrus Capital LP
Address of issuer — Same as above
State or country in which organized — Delaware

Claymore Securities, Inc.
2455 Corporate W. Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Sabrient Large-Cap Growth Portfolio, Series 1
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
2455 Corporate W. Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest

Issuer of security(ies) — Zacks Select Portfolio, Series 2
Address of issuer — Same as above
State or country in which organized — Kansas

Covalent Capital Partners, LP
255 Washington St., Suite 300, Newton, MA 02458
Security(ies) — Limited partnership interests
Issuer of security(ies) — Covalent Capital Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Credit Suisse Securities (USA) LLC; (2) Goldman, Sachs & Co.
11 Madison Ave., New York, NY 10010; (2) 85 Broad St., New York, NY 10004
State or country in which incorporated — (1) Delaware limited liability company; (2) New York partnership
Security(ies) — Class A common stock
Issuer of security(ies) — DynCorp International Inc.
Address of issuer — 8445 Freeport Pkwy., Suite 400, Irving, TX 75063
State or country in which organized — Delaware

Crucible Fund, LLC, The
1201 N. Orange St., Suite 723, Wilmington, DE 19801
Security(ies) — Class A shares of beneficial interest
Issuer of security(ies) — The Crucible Fund, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

CSI Capital Management, Inc.
600 California St., 18th Fl, San Francisco, CA 94108
State or country in which incorporated — California
Security(ies) — Limited partnership interests
Issuer of security(ies) — Dawson-CSI Real Estate Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Curtains, L.P.
c/o 101 Productions, Inc., 260 W. 44th St., Suite 600, New York, NY 10036
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interests
Issuer of security(ies) — Curtains, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

C.V. Starr & Co., Inc.
399 Park Ave., 17th Fl., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Common stock, no par value
Issuer of security(ies) — C.V. Starr & Co., Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

David Evans and Associates, Inc.
2100 SW. River Pkwy., Portland, OR 97201
State or country in which incorporated — Oregon
Security(ies) — Common stock
Issuer of security(ies) — David Evans and Associates, Inc.
Address of issuer — Same as above
State or country in which organized — Oregon

Dreyfus Service Corporation
200 Park Ave., New York, NY 10166

State or country in which incorporated — New York
Security(ies) — Shares of common stock (par value, \$.001)
Issuer of security(ies) — Advantage Funds, Inc.—Global Alpha Fund
Address of issuer — The Dreyfus Corporation, 200 Park Ave., New York, NY 10166
State or country in which organized — Maryland

Dyno Nobel Limited
 Level 20, AGL Centre, 111 Pacific Hwy., N. Sydney, NSW, 2060 Australia
State or country in which incorporated — Australia
Security(ies) — Ordinary shares
Issuer of security(ies) — Dyno Nobel Limited
Address of issuer — Same as above
State or country in which organized — Australia

ElitePerformance SIPS Ltd.
 c/o BISYS Hedge Fund Services (Cayman) Limited, 27 Hospital Rd., P.O. Box 1748 GT, Cayman Corporate Centre, George Town, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands
Security(ies) — Participating, non-voting, redeemable shares
Issuer of security(ies) — Gottbetter Capital Global, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Energy Metals Corporation
 200 Granville St., #1238, V6C1S4, Vancouver, British Columbia, Canada
State or country in which incorporated — Canada
Security(ies) — Units, each unit consisting of 1 common stock share, and ½ common stock purchase warrant
Issuer of security(ies) — Energy Metals Corporation
Address of issuer — Same as above
State or country in which organized — Canada

Episode L.P.
 P.O. Box 908GT, Walker House, Mary St., Grand Cayman, Cayman Islands
Security(ies) — Limited partnership interests
Issuer of security(ies) — Episode L.P.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Episode L.P.
 P.O. Box 908GT, Walker House, Mary St., Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands
Security(ies) — Redeemable voting preference shares
Issuer of security(ies) — Episode L.P.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Fairfield Heathcliff Capital LLC
 919 Third Ave., 11th Fl., New York, NY 10022
Security(ies) — Class A shares, voting participating common stock
Issuer of security(ies) — Fairfield Manhasset Offshore Fund Ltd.

Address of issuer — P.O. Box 31106 SMB, George Town, Grand Cayman, Cayman Islands
State or country in which organized — Cayman Islands

Fairfield Heathcliff Capital LLC
 919 Third Ave., 11th Fl., New York, NY 10022
Security(ies) — Class B shares, voting participating common stock
Issuer of security(ies) — Fairfield Manhasset Offshore Fund Ltd.
Address of issuer — P.O. Box 31106 SMB, George Town, Grand Cayman, Cayman Islands
State or country in which organized — Cayman Islands

Fairfield Heathcliff Capital LLC
 919 Third Ave., 11th Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Greenwich Sentry Partners, L.P.
Address of issuer — c/o GlobeOp Financial Services LLC, One South St., Harrison, NY 10528
State or country in which organized — Delaware

Fairfield Manhasset Offshore Fund Ltd.
 P.O. Box 31106 SMB, George Town, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands
Security(ies) — Class A shares, voting participating common stock
Issuer of security(ies) — Fairfield Manhasset Offshore Fund Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Fairfield Manhasset Offshore Fund Ltd.
 P.O. Box 31106 SMB, George Town, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands
Security(ies) — Class B shares, voting participating common stock
Issuer of security(ies) — Fairfield Manhasset Offshore Fund Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Falck Medical, Inc.
 35 Washington St., Suite 2, Mystic, CT 06355
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Falck Medical, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Falck Medical, Inc.
 35 Washington St., Suite 2, Mystic, CT 06355
State or country in which incorporated — Delaware
Security(ies) — Unsecured subordinated notes
Issuer of security(ies) — Falck Medical, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Ferris, Baker Watts, Incorporated
 100 Light St., 8th Fl., Baltimore, MD 21202
State or country in which incorporated — Maryland
Security(ies) — Trust interests
Issuer of security(ies) — Compass Group Diversified Holdings LLC
Address of issuer — 61 Wilton Rd., Westport, CT 06880
State or country in which organized — Delaware

Fig & Olive USA Inc.
 808 Lexington Ave., New York, NY 10021

State or country in which incorporated — Delaware
 Security(ies) — Preferred stock
 Issuer of security(ies) — Fig & Olive USA Inc.
 Address of issuer — Same as above
 State or country in which organized — Delaware

First Bank of Delaware
 Brandywine Commons II, Rocky Run Pkwy., Wilmington, DE 19083
 State or country in which incorporated — Delaware
 Security(ies) — Common stock
 Issuer of security(ies) — First Bank of Delaware
 Address of issuer — Same as above
 State or country in which organized — Delaware

First Community Corp.
 5455 Sunset Blvd., Lexington, SC 29072
 State or country in which incorporated — South Carolina
 Security(ies) — Common stock
 Issuer of security(ies) — First Community Corporation
 Address of issuer — Same as above
 State or country in which organized — South Carolina

First Investors Consolidated Corporation
 95 Wall St., New York, NY 10005-4297
 Security(ies) — Class B shares of First Investors Consolidated Corporation
 Issuer of security(ies) — First Investors Consolidated Corporation
 Address of issuer — Same as above
 State or country in which organized — Delaware

First Investors Life Series Funds
 95 Wall St., New York, NY 10005
 Security(ies) — Shares of beneficial interest, no par value
 Issuer of security(ies) — First Investors Life Series Funds—First Investors Life Blue Chip Fund
 Address of issuer — Same as above
 State or country in which organized — Delaware

First Investors Life Series Funds
 95 Wall St., New York, NY 10005
 Security(ies) — Shares of beneficial interest, no par value
 Issuer of security(ies) — First Investors Life Series Funds—First Investors Life Cash Management Fund
 Address of issuer — Same as above
 State or country in which organized — Delaware

First Investors Life Series Funds
 95 Wall St., New York, NY 10005
 Security(ies) — Shares of beneficial interest, no par value
 Issuer of security(ies) — First Investors Life Series Funds—First Investors Life Discovery Fund
 Address of issuer — Same as above
 State or country in which organized — Delaware

First Investors Life Series Funds
 95 Wall St., New York, NY 10005
 Security(ies) — Shares of beneficial interest, no par value
 Issuer of security(ies) — First Investors Life Series Funds—First

Investors Life Focused Equity Fund
 Address of issuer — Same as above
 State or country in which organized — Delaware

First Investors Life Series Funds
 95 Wall St., New York, NY 10005
 Security(ies) — Shares of beneficial interest, no par value
 Issuer of security(ies) — First Investors Life Series Funds—First Investors Life Government Fund
 Address of issuer — Same as above
 State or country in which organized — Delaware

First Investors Life Series Funds
 95 Wall St., New York, NY 10005
 Security(ies) — Shares of beneficial interest, no par value
 Issuer of security(ies) — First Investors Life Series Funds—First Investors Life Growth Fund
 Address of issuer — Same as above
 State or country in which organized — Delaware

First Investors Life Series Funds
 95 Wall St., New York, NY 10005
 Security(ies) — Shares of beneficial interest, no par value
 Issuer of security(ies) — First Investors Life Series Funds—First Investors Life High Yield Fund
 Address of issuer — Same as above
 State or country in which organized — Delaware

First Investors Life Series Funds
 95 Wall St., New York, NY 10005
 Security(ies) — Shares of beneficial interest, no par value
 Issuer of security(ies) — First Investors Life Series Funds—First Investors Life International Securities Fund
 Address of issuer — Same as above
 State or country in which organized — Delaware

First Investors Life Series Funds
 95 Wall St., New York, NY 10005
 Security(ies) — Shares of beneficial interest, no par value
 Issuer of security(ies) — First Investors Life Series Funds—First Investors Life Investment Grade Fund
 Address of issuer — Same as above
 State or country in which organized — Delaware

First Investors Life Series Funds
 95 Wall St., New York, NY 10005
 Security(ies) — Shares of beneficial interest, no par value
 Issuer of security(ies) — First Investors Life Series Funds—First Investors Life Special Bond Fund
 Address of issuer — Same as above
 State or country in which organized — Delaware

First Investors Life Series Funds
 95 Wall St., New York, NY 10005
 Security(ies) — Shares of beneficial interest, no par value
 Issuer of security(ies) — First Investors Life Series Funds—First Investors Life Target Maturity 2007 Fund
 Address of issuer — Same as above
 State or country in which organized — Delaware

First Investors Life Series Funds
 95 Wall St., New York, NY 10005
 Security(ies) — Shares of beneficial interest, no par value

Issuer of security(ies) — First Investors Life Series Funds—First Investors Life Target Maturity 2010 Fund
Address of issuer — Same as above
State or country in which organized — Delaware

First Investors Life Series Funds
 95 Wall St., New York, NY 10005
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — First Investors Life Series Funds—First Investors Life Target Maturity 2015 Fund
Address of issuer — Same as above
State or country in which organized — Delaware

First Investors Life Series Funds
 95 Wall St., New York, NY 10005
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — First Investors Life Series Funds—First Investors Life Value Fund
Address of issuer — Same as above
State or country in which organized — Delaware

First Investors Tax Exempt Funds
 95 Wall St., New York, NY 10005
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — First Investors Tax Exempt Funds—First Investors Insured Intermediate Tax Exempt Fund
Address of issuer — Same as above
State or country in which organized — Delaware

First Investors Tax Exempt Funds
 95 Wall St., New York, NY 10005
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — First Investors Tax Exempt Funds—First Investors Insured Tax Exempt Fund
Address of issuer — Same as above
State or country in which organized — Delaware

First Investors Tax Exempt Funds
 95 Wall St., New York, NY 10005
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — First Investors Tax Exempt Funds—First Investors Insured Tax Exempt Fund II
Address of issuer — Same as above
State or country in which organized — Delaware

First Investors Tax Exempt Funds
 95 Wall St., New York, NY 10005
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — First Investors Tax Exempt Funds—First Investors New York Insured Tax Exempt Fund
Address of issuer — Same as above
State or country in which organized — Delaware

First Investors Tax Exempt Funds
 95 Wall St., New York, NY 10005
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — First Investors Tax Exempt Funds—First Investors Tax-Exempt Money Market Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Fordham Financial Management, Inc.
 14 Wall St., New York, NY 10005

State or country in which incorporated — Colorado
Security(ies) — Common stock
Issuer of security(ies) — Influmedix, Inc.
Address of issuer — c/o Benchmark Equity Group, 700 Gemini, Suite 100, Houston, TX 77058
State or country in which organized — Delaware

Four Corners Absolute Return Fund, LP
 515 S. Flower St., Suite 4310, Los Angeles, CA 90071
Security(ies) — Limited partnership interests
Issuer of security(ies) — Four Corners Absolute Return Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Fox Island Place Aurora Limited Partnership
 806 Greenwood St., Evanston, IL 60201
Security(ies) — Limited partnership interests
Issuer of security(ies) — Fox Island Place Aurora Limited Partnership
Address of issuer — Same as above
State or country in which organized — United States

Gottbetter Capital USA, LP
 488 Madison Ave., 12th Fl., New York, NY 10022-5718
Security(ies) — Limited partnership interests
Issuer of security(ies) — Gottbetter Capital USA, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Gottbetter Capital Global, Ltd.
 c/o BISYS Hedge Fund Services (Cayman) Limited, 27 Hospital Rd., P.O. Box 1748 GT Cayman Corporate Centre, George Town, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands
Security(ies) — Participating, voting, redeemable shares
Issuer of security(ies) — Gottbetter Capital Global, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Grant Bettingen Inc
 4100 Newport Place, Suite 630, New Port Beach, CA 92660
State or country in which incorporated — California
Security(ies) — Mammoth Vista Ltd partnership units (limited partnership units)
Issuer of security(ies) — Mammoth Vista Ltd.
Address of issuer — P.O. Box 430, Cave City, KY 42127
State or country in which organized — Kentucky

Greenwich Sentry Partners, L.P.
 c/o GlobeOp Financial Services LLC, One South St., Harrison, NY 10528
Security(ies) — Limited partnership interests
Issuer of security(ies) — Greenwich Sentry Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Hatteras Partners, L.P.
 195 Maplewood Ave., Maplewood, NJ 07040
Security(ies) — Limited partnership interests
Issuer of security(ies) — Hatteras Partners, L.P.

Address of issuer — Same as above
State or country in which organized — Delaware

Heritage Capital, L.P.
 6100 N. Western Ave., Oklahoma City, OK 73118
Security(ies) — Limited partnership interests
Issuer of security(ies) — Heritage Capital, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

ING Funds Distributor, LLC
 7337 E. Doubletree Ranch Rd., Scottsdale, AZ 85258
State or country in which incorporated — Delaware
Security(ies) — Common stock par value \$0.001
Issuer of security(ies) — ING Series Fund, Inc.—ING 130/30 Funda-
 mental Research Fund
Address of issuer — Same as above
State or country in which organized — Maryland

Integreon Managed Solutions, Inc.
 1901 Avenue of the Stars, Suite 930, Los Angeles, CA 90067
State or country in which incorporated — New York
Security(ies) — Convertible promissory notes
Issuer of security(ies) — Integreon Managed Solutions, Inc.
Address of issuer — Same as above
State or country in which organized — New York

Integreon Managed Solutions, Inc.
 1901 Avenue of the Stars, Suite 930, Los Angeles, CA 90067
State or country in which incorporated — New York
Security(ies) — Series E convertible participating preferred stock
Issuer of security(ies) — Integreon Managed Solutions, Inc.
Address of issuer — Same as above
State or country in which organized — New York

Intellect Neurosciences, Inc.
 7-9 W. 18th St., 9th Fl., New York, NY 10011
State or country in which incorporated — Delaware
Security(ies) — Units consisting of series B convertible preferred stock
 with common stock purchase warrants
Issuer of security(ies) — Intellect Neurosciences, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

InvestPrivate, Inc.
 500 Fifth Ave., 54th Fl., New York, NY 10110
State or country in which incorporated — Delaware
Security(ies) — Non-managing member interests
Issuer of security(ies) — InvestProperty Group, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Keystone Potato Products, LLC
 2317 Shermans Mountain Rd., P.O. Box 27, Hegins, PA 17938
State or country in which incorporated — Pennsylvania
Security(ies) — 2005 offering of 98 limited liability ownership interests
Issuer of security(ies) — Keystone Potato Products, LLC

Address of issuer — Same as above
State or country in which organized — Pennsylvania

Lansburgh Trading, Inc.
 2375 NE. 30th Court, Lighthouse Point, FL 33064
State or country in which incorporated — Florida
Security(ies) — Limited liability company membership interests
Issuer of security(ies) — Marlin Capital Fund, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Lehman Brothers Prime Fund
 c/o Neuberger Berman Management Inc., 605 Third Ave., 2nd Fl., New
 York, NY 10158
Security(ies) — Limited liability company interests
Issuer of security(ies) — Lehman Brothers Prime Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Lucas Energy Total Return Offshore, Ltd.
 c/o Lucas Capital Management, LLC, 328 Newman Springs Rd., Red
 Bank, NJ 07701
State or country in which incorporated — Cayman Islands
Security(ies) — Shares of stock
Issuer of security(ies) — Lucas Energy Total Return Offshore, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Mac Onshore, L.P.
 c/o Mac Administration, LLC, c/o Highline Capital, 15 W. 48th St., 30th
 Fl., New York, NY 10020
Security(ies) — Limited partnership interests
Issuer of security(ies) — Mac Onshore, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Mad Greens, LLC
 6825 E. Tennessee Ave., Suite 650, Denver, CO 80224
State or country in which incorporated — Colorado limited liability
 company
Security(ies) — Units of membership interests
Issuer of security(ies) — Mad Greens, LLC
Address of issuer — Same as above
State or country in which organized — Colorado

Madison Dearborn Capital Partners V Executive-A, L.P.
 Three First National Plaza, Suite 3800, Chicago, IL 60602
Security(ies) — Limited partnership interests
Issuer of security(ies) — Madison Dearborn Capital Partners V
 Executive-A, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Madison Dearborn Capital Partners V Executive-B, L.P.
 Three First National Plaza, Suite 3800, Chicago, IL 60602
Security(ies) — Limited partnership interests
Issuer of security(ies) — Madison Dearborn Capital Partners V
 Executive-B, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Mason Sunnyside Credit Fund, LP
110 E. 59th St., 30th Fl., New York, NY 10022
Security(ies) — Class A limited partnership interests
Issuer of security(ies) — Mason Sunnyside Credit Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Mason Sunnyside Offshore Credit Fund, Ltd.
c/o Butterfield Fund Services (Cayman) Limited Butterfield House, 68
Fort St., P.O. Box 705 GT, George Town, Grand Cayman, Cayman
Islands
State or country in which incorporated — Cayman Islands
Security(ies) — Non-voting, participating, redeemable class A shares
Issuer of security(ies) — Mason Sunnyside Offshore Credit Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Medmarketplace.com, Inc.
5975 N. Federal Hwy., Suite 249C, Fort Lauderdale, FL 33308
State or country in which incorporated — Florida
Security(ies) — Series A 10% redeemable preferred stock
Issuer of security(ies) — Medmarketplace.com, Inc.
Address of issuer — Same as above
State or country in which organized — Florida

Mellon Funds Distributor, L.P.
One Boston Place, Boston, MA 02108-4408
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest, par value
Issuer of security(ies) — Mellon Institutional Funds Investment
Trust—Mellon Equity Micro Cap Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Mellon Funds Distributor, L.P.
One Boston Place, Boston, MA 02108-4408
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest, par value
Issuer of security(ies) — Mellon Institutional Funds Investment
Trust—The Boston Company Emerging Markets Core Equity Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Mercer Capital, Ltd.
40 Wall St., New York, NY 10005
Security(ies) — Units consisting of shares of common stock
Issuer of security(ies) — Mobiform Software, Inc.
Address of issuer — #603-134 Abbott St., Vancouver, BC V6B 2K4
Canada
State or country in which organized — Delaware

Moab Partners, L.P.
15 E. 62nd St., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Moab Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Mobiform Software, Inc.
#603-134 Abbott St., Vancouver, BC V6B 2K4 Canada
State or country in which incorporated — Delaware
Security(ies) — Units consisting of shares of common stock
Issuer of security(ies) — Mobiform Software, Inc.

Address of issuer — Same as above
State or country in which organized — Delaware

New Leif Partnership, L.P.
c/o Wintergreen GP, LLC, 333 Rte. 46 W, Mountain Lakes, NJ 07046
Security(ies) — Limited partnership interests
Issuer of security(ies) — New Leif Partnership, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Nicusa Capital Partners, LP
20 Exchange Place, 38th Fl., New York, NY 10005
Security(ies) — Limited partnership interests
Issuer of security(ies) — Nicusa Capital Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

North American Resources Group, Inc.
219 Reynolds Rd., Glasgow, KY 42141
State or country in which incorporated — Kentucky
Security(ies) — General partnership interests
Issuer of security(ies) — Horseshoe Prospect Partners
Address of issuer — Same as above
State or country in which organized — Kentucky

Northeast Securities, Inc.
100 Wall St., New York, NY 10005
State or country in which incorporated — New York
Security(ies) — Units — each unit consisting of convertible notes,
common stock and warrants for common stock
Issuer of security(ies) — Clean Water Technologies, Inc.
Address of issuer — 4030 Palos Verdes Dr. N, Suite 104, Rolling Hills
Estates, CA 90274
State or country in which organized — Delaware

Northern Lights Fund Trust
% Gemini Fund Services, LLC, 450 Wireless Blvd., Hauppauge, NY
11788
Security(ies) — Units of beneficial interest — Biondo Growth Fund,
Investor Class
Issuer of security(ies) — Northern Lights Fund Trust
Address of issuer — Same as above
State or country in which organized — Delaware

Old Mutual Investment Partners
2750 Garden Lane, Greenwood Village, CO 80121
State or country in which incorporated — Pennsylvania
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Old Mutual Advisor Funds — Old Mutual
Analytic Global Defensive Equity Fund
Address of issuer — 4643 S. Ulster St., Suite 600, Denver, CO 80237
State or country in which organized — Delaware

Oppenheimer Private Equity Fund I, L.P.
125 Broad St., New York, NY 10004
Security(ies) — Units of limited partnership interests
Issuer of security(ies) — Oppenheimer Private Equity Fund I L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

PAF Series 8

105 Aviemore Dr., Pinehurst, NC 28374

State or country in which incorporated — Delaware limited liability company

Security(ies) — One unit consisting of debt obligations

Issuer of security(ies) — PAF Series 8

Address of issuer — Same as above

State or country in which organized — Delaware

Passport Holding Corporation

720 Cool Springs Blvd., Suite 450, Franklin TN 37067

State or country in which incorporated — Delaware

Security(ies) — Common stock

Issuer of security(ies) — Passport Holding Corporation

Address of issuer — Same as above

State or country in which organized — Delaware

PharmaFrontiers Corp.

2635 N. Crescent Ridge Dr., The Woodlands, TX 77381

State or country in which incorporated — Texas

Security(ies) — Units consisting of common stock and warrants

Issuer of security(ies) — PharmaFrontiers Corp.

Address of issuer — Same as above

State or country in which organized — Texas

PK4 Municipal Strategies, LP

c/o PK4 Fund Advisors, LLC, 129 Post Rd. E, Westport, CT 06880

Security(ies) — Limited partnership interests

Issuer of security(ies) — PK4 Municipal Strategies, LP

Address of issuer — Same as above

State or country in which organized — Delaware

Premier Commercial Bancorp

2400 E. Katella Ave., Suite 125, Anaheim, CA 92806

State or country in which incorporated — California

Security(ies) — Common stock

Issuer of security(ies) — Premier Commercial Bancorp

Address of issuer — Same as above

State or country in which organized — California

Prospect Absolute Return (Japan) L.P.

6700 Kalaniana'ole Hwy., Suite 122, Honolulu, Hawaii 96825 U.S.A.

Security(ies) — Limited partnership interests

Issuer of security(ies) — Prospect Absolute Return (Japan) L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Quasar Distributors, LLC

615 E. Michigan St., LC-2, Milwaukee, WI 53202

State or country in which incorporated — Wisconsin

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Underlying Fund Trust — The Convertible Bond Arbitrage

Address of issuer — % U.S. Bancorp Fund Services, LLC, 615 E. Michigan St., LC-2, Milwaukee, WI 53202

State or country in which organized — Delaware

Quasar Distributors, LLC

615 E. Michigan St., LC-2, Milwaukee, WI 53202

State or country in which incorporated — Wisconsin

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Underlying Fund Trust — The Distressed Securities & Special Situations - 1

Address of issuer — % U.S. Bancorp Fund Services, LLC, 615 E. Michigan St., LC-2, Milwaukee, WI 53202

State or country in which organized — Delaware

Quasar Distributors, LLC

615 E. Michigan St., LC-2, Milwaukee, WI 53202

State or country in which incorporated — Wisconsin

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Underlying Fund Trust — The Distressed Securities & Special Situations - 3

Address of issuer — % U.S. Bancorp Fund Services, LLC, 615 E. Michigan St., LC-2, Milwaukee, WI 53202

State or country in which organized — Delaware

Quasar Distributors, LLC

615 E. Michigan St., LC-2, Milwaukee, WI 53202

State or country in which incorporated — Wisconsin

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Underlying Fund Trust — The Fixed Income Arbitrage

Address of issuer — % U.S. Bancorp Fund Services, LLC, 615 E. Michigan St., LC-2, Milwaukee, WI 53202

State or country in which organized — Delaware

Quasar Distributors, LLC

615 E. Michigan St., LC-2, Milwaukee, WI 53202

State or country in which incorporated — Wisconsin

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Underlying Fund Trust — The Long/Short Equity - Deep Discount Value

Address of issuer — % U.S. Bancorp Fund Services, LLC, 615 E. Michigan St., LC-2, Milwaukee, WI 53202

State or country in which organized — Delaware

Quasar Distributors, LLC

615 E. Michigan St., LC-2, Milwaukee, WI 53202

State or country in which incorporated — Wisconsin

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Underlying Fund Trust — The Long/Short Equity - Earnings Revision

Address of issuer — % U.S. Bancorp Fund Services, LLC, 615 E. Michigan St., LC-2, Milwaukee, WI 53202

State or country in which organized — Delaware

Quasar Distributors, LLC

615 E. Michigan St., LC-2, Milwaukee, WI 53202

State or country in which incorporated — Wisconsin

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Underlying Fund Trust — The Long/Short Equity - Global

Address of issuer — % U.S. Bancorp Fund Services, LLC, 615 E. Michigan St., LC-2, Milwaukee, WI 53202

State or country in which organized — Delaware

Quasar Distributors, LLC

615 E. Michigan St., LC-2, Milwaukee, WI 53202

State or country in which incorporated — Wisconsin

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Underlying Fund Trust — The Long/Short Equity - International

Address of issuer — % U.S. Bancorp Fund Services, LLC, 615 E. Michigan St., LC-2, Milwaukee, WI 53202
State or country in which organized — Delaware

Quasar Distributors, LLC
615 E. Michigan St., LC-2, Milwaukee, WI 53202
State or country in which incorporated — Wisconsin
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Underlying Fund Trust — The Long/Short Equity - Momentum
Address of issuer — % U.S. Bancorp Fund Services, LLC, 615 E. Michigan St., LC-2, Milwaukee, WI 53202
State or country in which organized — Delaware

Quasar Distributors, LLC
615 E. Michigan St., LC-2, Milwaukee, WI 53202
State or country in which incorporated — Wisconsin
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Underlying Fund Trust — The Long/Short Equity - REIT
Address of issuer — % U.S. Bancorp Fund Services, LLC, 615 E. Michigan St., LC-2, Milwaukee, WI 53202
State or country in which organized — Delaware

Quasar Distributors, LLC
615 E. Michigan St., LC-2, Milwaukee, WI 53202
State or country in which incorporated — Wisconsin
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Underlying Fund Trust — The Merger Arbitrage
Address of issuer — % U.S. Bancorp Fund Services, LLC, 615 E. Michigan St., LC-2, Milwaukee, WI 53202
State or country in which organized — Delaware

River Road Fund, LLC
c/o Marwood Alternative Asset Management, LLC, 232 Madison Ave., Suite 906, New York, NY 10016
Security(ies) — Limited liability company interests
Issuer of security(ies) — River Road Fund, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Sanders Morris Harris Inc.
3100 JP Morgan Chase Tower, 600 Travis, Houston, TX 77002
State or country in which incorporated — Texas
Security(ies) — Common stock
Issuer of security(ies) — Houston American Energy Corp.
Address of issuer — 801 Travis, Suite 2020, Houston, TX 77002
State or country in which organized — Delaware

Semaphore Capital Partners, L.P.
320 Park Ave., 10th Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Semaphore Capital Partners, L.P.
Address of issuer — 320 Park Ave., 10th Fl., New York, NY 10022
State or country in which organized — Delaware

Semaphore Investors, L.P.
320 Park Ave., 10th Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Semaphore Investors, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Shepherd I, L.P.
c/o MSRH, LLC, 55 Liberty St., New York, NY 10005
Security(ies) — Limited partnership interests
Issuer of security(ies) — Shepherd I, L.P.
Address of issuer — Same as above
State or country in which organized — New York

Solazyme, Inc.
3475-T Edison Way, Menio Park, CA 94605
State or country in which incorporated — Delaware
Security(ies) — Series A preferred convertible stock
Issuer of security(ies) — Solazyme, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Solvay Bank Corp.
1537 Milton Ave., Solvay, NY 13209
State or country in which incorporated — New York
Security(ies) — Voting common stock
Issuer of security(ies) — Solvay Bank Corp.
Address of issuer — Same as above
State or country in which organized — New York

Southport Energy Alternatives Fund, L.P.
354 Pequot Ave., Southport, CT 06890
Security(ies) — Limited partnership interests
Issuer of security(ies) — Southport Energy Alternatives Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Southport Energy Alternatives Offshore Fund, Inc.
c/o BISYS Hedge Fund Services (Cayman) Limited, P.O. Box 1748 GT, Cayman Corporate Centre, 27 Hospital Rd., George Town, Grand Cayman, Cayman Islands
Security(ies) — Common shares, par value \$0.01 (U.S.) per share
Issuer of security(ies) — Southport Energy Alternatives Offshore Fund, Inc.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Spencer Clarke LLC
505 Park Ave., 4th Fl., New York, NY 10022
Security(ies) — Class C plus limited liability company membership interests
Issuer of security(ies) — Spencer Clarke Holdings LLC
Address of issuer — Same as above
State or country in which organized — New York

Spencer Trask Ventures, Inc.
535 Madison Ave., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Units consisting of series B convertible preferred stock
Issuer of security(ies) — Reflex Security, Inc.
Address of issuer — 5730 Glenridge Dr., Suite 104, Atlanta, GA 30328
State or country in which organized — Georgia

Storsafe Hammocks, LLC
Suite 900, 444 Brickell Ave., Miami, FL 33131
State or country in which incorporated — Florida
Security(ies) — Units of limited liability company interests
Issuer of security(ies) — Storsafe Hammocks, LLC
Address of issuer — Same as above
State or country in which organized — Florida

Synthesis Energy Systems, Inc.
650 Townsend St., Suite 320, San Francisco, CA 94103
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Synthesis Energy Systems, Inc.
Address of issuer — 1111 Brickell Ave., Suite 1100, Miami, FL 33129
State or country in which organized — Delaware

TechTarget, Inc.
117 Kendrick St., Suite 800, Needham, MA 02494
State or country in which incorporated — Delaware
Security(ies) — Options to purchase shares of common stock
Issuer of security(ies) — TechTarget, Inc.
Address of issuer — 117 Kendrick St., Suite 800, Needham, MA 02494
State or country in which organized — Delaware

TSI Group, Inc.
94 Tide Mill Rd., Hampton, NH 03842
State or country in which incorporated — Delaware
Security(ies) — Preferred stock
Issuer of security(ies) — TSI Group, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Tyhee Development Corp.
675 W. Hastings St., Suite 401, Vancouver, BC V6B 1N1 Canada
State or country in which incorporated — British Columbia, Canada
Security(ies) — Common shares
Issuer of security(ies) — Tyhee Development Corp.
Address of issuer — Same as above
State or country in which organized — British Columbia, Canada

UBS Securities LLC; (2) Eclipse Aviation Corporation
677 Washington Blvd., Stamford, CT 06901; (2) 2503 Clark Carr Loop SE, Albuquerque, NM 87106
State or country in which incorporated — (1 & 2) Delaware
Security(ies) — Series A common stock issuable upon conversion of the senior secured convertible notes and exercise of the redemption equity warrants
Issuer of security(ies) — Eclipse Aviation Corporation
Address of issuer — 2503 Clark Carr Loop SE, Albuquerque, NM 87106
State or country in which organized — Delaware

UBS Securities LLC; (2) Eclipse Aviation Corporation
677 Washington Blvd., Stamford, CT 06901; (2) 2503 Clark Carr Loop SE, Albuquerque, NM 87106
State or country in which incorporated — (1 & 2) Delaware
Security(ies) — Redemption equity warrants to purchase series A common stock
Issuer of security(ies) — Eclipse Aviation Corporation
Address of issuer — 2503 Clark Carr Loop SE, Albuquerque, NM 87106
State or country in which organized — Delaware

UBS Securities LLC; (2) Eclipse Aviation Corporation
677 Washington Blvd., Stamford, CT 06901; (2) 2503 Clark Carr Loop SE, Albuquerque, NM 87106
State or country in which incorporated — (1 & 2) Delaware

Security(ies) — Senior secured convertible notes
Issuer of security(ies) — Eclipse Aviation Corporation
Address of issuer — 2503 Clark Carr Loop SE, Albuquerque, NM 87106
State or country in which organized — Delaware

Unified Financial Securities, Inc.
431 N. Pennsylvania St., Indianapolis, IN 46204
State or country in which incorporated — Indiana
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Unified Series Trust, Treador Large Cap Fund
Address of issuer — Same as above
State or country in which organized — Ohio

Ursus Institutional Limited
c/o Kynikos Associates LP, 20 W. 55th St., 8th Fl., New York, NY 10019
State or country in which incorporated — Cayman Islands
Security(ies) — Class A shares
Issuer of security(ies) — Ursus Institutional Limited
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Ursus Institutional Limited
c/o Kynikos Associates LP, 20 W. 55th St., 8th Fl., New York, NY 10019
State or country in which incorporated — Cayman Islands
Security(ies) — Class B shares
Issuer of security(ies) — Ursus Institutional Limited
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Ursus Institutional Limited
c/o Kynikos Associates LP, 20 W. 55th St., 8th Fl., New York, NY 10019
State or country in which incorporated — Cayman Islands
Security(ies) — Class C shares
Issuer of security(ies) — Ursus Institutional Limited
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Ursus Institutional Limited
c/o Kynikos Associates LP, 20 W. 55th St., 8th Fl., New York, NY 10019
State or country in which incorporated — Cayman Islands
Security(ies) — Class D shares
Issuer of security(ies) — Ursus Institutional Limited
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Valu Flik, Inc.
163 Amsterdam Ave., #191, New York, NY 10023
State or country in which incorporated — Nevada
Security(ies) — Common stock
Issuer of security(ies) — Valu Flik, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Insured Municipals Income Trust, Series 512
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Utility Income Portfolio, Series 20
Address of issuer — Same as above
State or country in which organized — New York

VectorMAX Corp.
 Four Dubon Court, Farmingdale, NY 11735
Security(ies) — Common stock, no par value
Issuer of security(ies) — VectorMAX Corporation
Address of issuer — Same as above
State or country in which organized — Illinois

Veritable Private Equity Partners, L.P.
 6022 W. Chester Pike, Newtown Sq., PA 19073
Security(ies) — Limited partnership interests
Issuer of security(ies) — Veritable Private Equity Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

vFinance Investments, Inc.
 880 Third Ave., 12th Fl., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Common shares
Issuer of security(ies) — Hamptons Luxury Homes, Inc.
Address of issuer — P.O. Box 871, 367 Butter Lane, Bridgehampton, NY 11932
State or country in which organized — Delaware

Viapack, Inc.
 36-08 Review Ave., Long Island City, NY 11101
State or country in which incorporated — Delaware
Security(ies) — Series A preferred stock
Issuer of security(ies) — Viapack, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

WestLB Mellon Global Emerging Markets Partners, LLC
 100 N. Riverside, Suite 1700, Chicago, IL 60606
Security(ies) — Interests in a partnership
Issuer of security(ies) — WestLB Mellon Global Emerging Markets Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Williams, Jones & Associates Hedge Fund II Tranche 6
 c/o Williams, Jones & Associates, Inc., 717 Fifth Ave., New York, NY 10022
Security(ies) — Units of undivided interest
Issuer of security(ies) — Williams, Jones & Associates Hedge Fund II Tranche 6
Address of issuer — Same as above
State or country in which organized — New York

XO Holdings, Inc.
 11111 Sunset Hills Rd., Reston, VA 20190
State or country in which incorporated — Delaware
Security(ies) — Common stock upon exercise of options
Issuer of security(ies) — XO Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

XO Holdings, Inc.
 11111 Sunset Hills Rd., Reston, VA 20190
State or country in which incorporated — Delaware
Security(ies) — Options to purchase common stock
Issuer of security(ies) — XO Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware