

# SECURITIES OFFERINGS

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## STATE NOTICES

Published pursuant to provisions of General Business Law  
[Art. 23-A, §359-e(2)]

## DEALERS; BROKERS

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2004 Allen's Prospect/That Ends Well, LLC  
800 Arbor Dr. N, Louisville, KY 40223

Achronix Semi Conductor Corporation  
333 W. San Carlos St., Suite 1050, San Jose, CA 95110  
*State or country in which incorporated* — Delaware

Advanced Capital Intelligence Global Real Estate Opportunities 2007,  
L.P.  
60 Spear St., Suite 1100, San Francisco, CA 94105  
*Partnership* — Advance Capital Intelligence, LLC

AllAfrica, Inc.  
920 M St., SE, Washington, D.C. 20003  
*State or country in which incorporated* — Delaware

Altair Nanotechnologies Inc.  
204 Edison Way, Reno, NV 89502  
*State or country in which incorporated* — Canada

Capstone Special Purpose Fund, LP  
1350 Avenue of the Americas, 24th Fl., New York, NY 10019  
*Partnership* — Capstone Capital Management, Inc.

Castle Peak Mining Ltd.  
Suite 1200, 999 W. Hastings St., Vancouver, British Columbia, Canada  
V2C 2W2  
*State or country in which incorporated* — British Columbia, Canada

Catskill Mountain Railroad Co., Inc.  
P.O. Box 46, Shokan, NY 12481  
*State or country in which incorporated* — New York

Christmas Partners, LLC  
2929 N. Thanksgiving Way #15, Lehi, UT 84043

CIG CAM, LP  
28411 Northwestern Hwy., Suite 1350, Southfield, MI 48034  
*Partnership* — CIG Partners, LLC

Club at BridgeMill, LLC, The  
The United Group of Companies, Inc., 400 Jordan Rd., Troy, NY  
12180-8348  
*State or country in which incorporated* — Delaware

CNB Bancorp, Inc.  
11407 Windsor Blvd., Windsor, VA 23487  
*State or country in which incorporated* — Virginia

Cornix Management LLC  
17 State St., New York, NY 10004  
*State or country in which incorporated* — Delaware

DNB Financial Corporation  
Four Brandywine Ave., Downingtown, PA 19335  
*State or country in which incorporated* — Pennsylvania

Enorasi Investment Partnership, L.P.  
One International Place, Suite 2401, Boston, MA 02110  
*Partnership* — Enorasi Capital Management LLC

Eurekacap Partners, Inc.  
673 Fifth Ave., 5th Fl., New York, NY 10001  
*State or country in which incorporated* — Delaware

Freeplay Music Corp.  
1650 Broadway, Suite 1108, New York, NY 10017  
*State or country in which incorporated* — New York

FS Finance Partners, LLC  
507 Carew Tower, 441 Vine St., Cincinnati, OH 45202  
*Partnership* — FS Finance Manager, LLC

Fulton Biodiesel Company, LLC  
900 Old Liverpool Rd., Bldg. 4, Liverpool, NY 13088  
*State or country in which incorporated* — Delaware

Fundamental Research Appreciation Fund, L.P.  
3535 Peachtree Rd., Suite 520-256, Atlanta, GA 30326-3287  
*Partnership* — KS Capital Management, LLC

Heitman America Real Estate Trust, L.P.  
191 N. Wacker Dr., Suite 2500, Chicago, IL 60606  
*Partnership* — Heitman America Real Estate Trust, LLC

Humor Rainbow, Inc.  
45 W. 21st St., Suite 6D, New York, NY 10010  
*State or country in which incorporated* — New York

InfoBionics, Incorporated  
756 Goodrich Ave., Saint Paul, MN  
*State or country in which incorporated* — Minnesota

InfoLogix, Inc.  
101 E. County Rd., Suite 210, Hatboro, PA 19040  
*State or country in which incorporated* — Delaware

J.A.S. Management Corp.  
Six Harbor Park Dr., Port Washington, NY 11050  
*State or country in which incorporated* — Delaware

Kriebel Wells 2006-2 Gas Production Fund, L.P.  
633 Mayfield Rd., Clarion, PA 16214  
*Partnership* — James E. Kriebel and Gregory R. Kriebel, managing general partners

Lev Pharmaceuticals, Inc.  
122 E. 42nd St., New York, NY 10168  
*State or country in which incorporated* — Delaware

MainStreet Financial Corporation  
629 W. State St., Hastings, MI 49058-1643  
*State or country in which incorporated* — United States

Meyer Fund Management, LLC  
125 Elm St., New Canaan, CT 06840  
*State or country in which incorporated* — Delaware

My Sky Communications, Inc.  
11 Parkhurst Dr., Lakeville, MA 02347  
*State or country in which incorporated* — Delaware

New York Classic Motors, LLC  
250 Hudson St., New York, NY 10013  
*Partnership* — Metro Classic Car Management, LLC

Newtown Lane Marketing, Incorporated  
Richard M. Cohen, c/o Eaton & Van Winkle LLP, Three Park Ave.,  
16th Fl., New York, NY 10016  
*State or country in which incorporated* — Delaware

Polar Capital European Forager Fund Limited  
c/o BISYS Hedge Fund Services (Cayman) Limited, P.O. Box 30362  
SMB, Third Fl., Harbour Centre, George Town, Grand Cayman,  
Cayman Islands, British West Indies  
*State or country in which incorporated* — Cayman Islands

Primedex Health Systems, Inc.  
1510 Cotner Ave., Los Angeles, CA 90025  
*State or country in which incorporated* — New York

Public Securities, Inc.  
300 N. Argonne Rd., Suite 202, Spokane, WA 99212  
*State or country in which incorporated* — Washington

RJJ Capital Management LLC  
52 Vanderbilt Blvd., New York, NY 10017

Rubincon Ventures, Inc.  
30 N. LaSalle St., Suite 3000, Chicago, IL 60602  
*State or country in which incorporated* — Delaware

Shariah Capital, Inc.  
125 Elm St., New Canaan, CT 06840  
*State or country in which incorporated* — Delaware

SouthWaste Services, Inc.  
701 N. Post Oak, Suite 207, Houston, TX 77024  
*State or country in which incorporated* — Delaware

Spotlight Times Square, LLC  
One Hughes Center Dr., Suite 306, Las Vegas, NV 89109  
*Partnership* — Upstream Enterprises, LLC

UBS Financial Services, Inc  
1285 Avenue of the Americas, New York, NY 10019  
*State or country in which incorporated* — Delaware

WexTrust Capital, LLC  
333 W. Wacker Dr., Suite 1600, Chicago, IL 60606

Xerion Avionix LLC  
160 Office Park Way, Pittsford, NY 14534  
*State or country in which incorporated* — New York

Yunzei Japan Proactive Value Fund, Ltd.  
c/o Yunzei Capital Management, LLC, 2563 152nd Ave. NE, Suite B,  
Redmond, WA 98052

#### FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law  
[Art. 23-A, §359-e(8), as amended]

#### SECURITIES BY DEALERS; BROKERS; SYNDICATE MANAGERS

1800Diapers, Inc.  
31 Valley Rd., 2nd Fl., Montclair, NJ 07402  
*State or country in which incorporated* — Delaware  
*Security(ies)* — One unit consisting of stock options to purchase shares of common stock and the common stock issuable upon the exercise thereof, and direct common stock issuances, all pursuant to the issuer's 2006 stock option/stock issuance plan  
*Issuer of security(ies)* — 1800Diapers, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

2004 Allen's Prospect/That Ends Well, LLC  
800 Arbor Dr. N, Louisville, KY 40223  
*State or country in which incorporated* —  
*Security(ies)* — Membership interests in a limited liability company  
*Issuer of security(ies)* — 2004 Allen's Prospect/That Ends Well, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Kentucky

Achronix Semi Conductor Corporation  
333 W. San Carlos St., Suite 1050, San Jose, CA 95110  
*State or country in which incorporated* — Delaware

*Security(ies)* — Common stock  
*Issuer of security(ies)* — Achronix Semi Conductor Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Achronix Semi Conductor Corporation  
 333 W. San Carlos St., Suite 1050, San Jose, CA 95110  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series A preferred stock  
*Issuer of security(ies)* — Achronix Semi Conductor Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Achronix Semi Conductor Corporation  
 333 W. San Carlos St., Suite 1050, San Jose, CA 95110  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Warrants  
*Issuer of security(ies)* — Achronix Semi Conductor Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Advanced Capital Intelligence Global Real Estate Opportunities 2007, L.P.  
 60 Spear St., Suite 1100, San Francisco, CA 94105  
*Security(ies)* — Limited partnership interest  
*Issuer of security(ies)* — Advanced Capital Intelligence Global Real Estate Opportunities 2007, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

AllAfrica, Inc.  
 920 M St. SE, Washington, D.C. 20003  
*State or country in which incorporated* — Delaware  
*Security(ies)* — OSI Convertible Note Offering  
*Issuer of security(ies)* — AllAfrica, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Altair Nanotechnologies, Inc.  
 204 Edison Way, Reno, NV 89502  
*State or country in which incorporated* — Canada  
*Security(ies)* — Common stock with warrants and units consisting of common stocks and warrants  
*Issuer of security(ies)* — Altair Nanotechnologies, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Canada

BMO Nesbitt Burns Securities Ltd., (BMO NBSL)  
 Legal Department, 21st Fl., One First Canadian Place, Toronto, Ont M5X 1H3  
*State or country in which incorporated* — Canada  
*Security(ies)* — Trinidad Energy Services (trust units)  
*Issuer of security(ies)* — Trinidad Energy Services Income Trust, Trinidad Drilling Ltd.  
*Address of issuer* — 2500, 700 9th Ave., SW, Calgary, AB T2P 3V4  
*State or country in which organized* — Canada

Capstone Special Purpose Fund, LP  
 1350 Avenue of the Americas, 24th Fl., New York, NY 10019  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Capstone Special Purpose Fund, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Castle Peak Mining Ltd.  
 Suite 1200, 999 W. Hastings St., Vancouver, British Columbia, Canada V2C 2W2  
*State or country in which incorporated* — British Columbia, Canada  
*Security(ies)* — Common shares without par value  
*Issuer of security(ies)* — Castle Peak Mining Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — British Columbia, Canada

Catskill Mountain Railroad Co, Inc.  
 P.O. Box 46, Shokan, NY 12481  
*State or country in which incorporated* — P.O. Box 46, Shokan, NY 12481  
*Security(ies)* — Class A common stock  
*Issuer of security(ies)* — Catskill Mountain Railroad Co, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Christmas Partners, LLC  
 2929 N. Thanksgiving Way #15, Lehi, UT 84043  
*Security(ies)* — Class A units of membership interest  
*Issuer of security(ies)* — Christmas Partners, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Utah

CIG CAM, LP  
 28411 Northwestern Hwy., Suite 1350, Southfield, MI 48034  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — CIG CAM, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Claymore Securities, Inc.  
 2455 Corporate West Dr., Lisle IL 60532  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 10,000,000 units of undivided interest  
*Issuer of security(ies)* — Global Water Equities Portfolio, Series 5  
*Address of issuer* — Same as above  
*State or country in which organized* — Kansas

Club at BridgeMill, LLC, The  
 c/o The United Group of Companies, Inc., 400 Jordan Rd., Troy, NY 12180-8348  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — The Club at BridgeMill, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

CNB Bancorp, Inc.  
 11407 Windsor Blvd., Windsor, VA 23487  
*State or country in which incorporated* — Virginia  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — CNB Bancorp, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Virginia

Cornix Management LLC  
 17 State St., New York, NY 10004  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Membership interests  
*Issuer of security(ies)* — Cornix Management LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Creative Enterprises International, Inc.  
825 Lafayette Rd., Bryn Mawr, PA 19010  
*State or country in which incorporated* — Nevada  
*Security(ies)* — Aggregate principal amount of \$100,000 of convertible promissory notes, convertible into shares of common stock  
*Issuer of security(ies)* — Creative Enterprises International, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Nevada

Creative Enterprises International, Inc.  
825 Lafayette Rd., Bryn Mawr, PA 19010  
*State or country in which incorporated* — Nevada  
*Security(ies)* — Units of securities, with each unit consisting of one share of common stock and one warrant to purchase common stock  
*Issuer of security(ies)* — Creative Enterprises International, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Nevada

DNB Financial Corporation  
Four Brandywine Ave., Downingtown, PA 19335  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Common stock par value \$1.00 per share  
*Issuer of security(ies)* — DNB Financial Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Pennsylvania

Enorasi Investment Partnership, L.P.  
Five Tower Bridge, Barr Harbor Dr., West Conshohocken, PA 19428-2998  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Enorasi Investment Partnership, L.P.  
*Address of issuer* — One International Place, Suite 2401, Boston, MA 02110  
*State or country in which organized* — Delaware

First Trust Portfolios L.P.  
1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1255 (High-Yield Income Closed-End Portfolio, Series 17)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1256 (Municipal Closed-End Portfolio, Series 20)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1257 (Aerospace & Defense Portfolio, Series 6)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest

*Issuer of security(ies)* — FT 1266 (Strategic Income Closed-End Portfolio, Series 11)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

Freeplay Music Corp.  
1650 Broadway, Suite 1108, New York, NY 10017  
*State or country in which incorporated* — New York  
*Security(ies)* — One unit consisting of convertible promissory note and underlying capital stock  
*Issuer of security(ies)* — Freeplay Music Corp.  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

FS Finance Partners, LLC  
507 Carew Tower, 441 Vine St., Cincinnati, OH 45202  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Limited liability company membership interest  
*Issuer of security(ies)* — FS Finance Partners, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Fulton Biodiesel Company, LLC  
900 Old Liverpool Rd., Bldg. 4, Liverpool, NY 13088  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class B membership interests  
*Issuer of security(ies)* — Fulton Biodiesel Company, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Fundamental Research Appreciation Fund, L.P.  
3535 Peachtree Rd., Suite 520-256, Atlanta, GA 30326-3287  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Fundamental Research Appreciation Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Georgia

Gateway Certifications, Inc.  
250 W. 57th St., Suite 917, New York, NY 10107  
*State or country in which incorporated* — Nevada  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Gateway Certifications, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Nevada

Goldman, Sachs & Co.  
85 Broad St., New York, NY 10004  
*State or country in which incorporated* — New York  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — Goldman Sachs Trust—Goldman Sachs Core Plus Fixed Income Fund  
*Address of issuer* — 71 S. Wacker, Suite 500, Chicago, IL 60606  
*State or country in which organized* — Delaware

GolfCar Network, Inc.  
401 E. Las Olas Blvd., Suite 1400, Fort Lauderdale, FL 33301  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — GolfCar Network, Inc.  
*Address of issuer* — Same as above

Graybar Electric Company, Inc.  
34 N. Meramec Ave., St. Louis, MO 63105

*State or country in which incorporated* — New York  
*Security(ies)* — Voting Trust Certificates of Graybar Electric Company, Inc.  
*Issuer of security(ies)* — Graybar Electric Company, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Heitman America Real Estate Trust, L.P.  
 191 N. Wacker Dr., Suite 2500, Chicago, IL 60606  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Heitman America Real Estate Trust, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Humor Rainbow, Inc.  
 45 W. 21st St., Suite 6D, New York, NY 10010  
*State or country in which incorporated* — New York  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Humor Rainbow, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Humor Rainbow, Inc.  
 45 W. 21st St., Suite 6D, New York, NY 10010  
*State or country in which incorporated* — New York  
*Security(ies)* — Series A preferred stock  
*Issuer of security(ies)* — Humor Rainbow, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Infobionics, Incorporated  
 756 Goodrich Ave., Saint Paul, MN  
*State or country in which incorporated* — Minnesota  
*Security(ies)* — Units consisting of shares of common stock and warrants  
*Issuer of security(ies)* — Infobionics, Incorporated  
*Address of issuer* — Same as above  
*State or country in which organized* — Minnesota

InfoLogix, Inc.  
 101 E. County Rd., Suite 210, Hatboro, PA 19040  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — InfoLogix, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

InnerWireless, Inc.  
 1155 Kas Dr., Suite 200, Richardson, TX 75081  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series D preferred stock  
*Issuer of security(ies)* — InnerWireless, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

InvestBio Amplimed-III, L.P.  
 135 Fifth Ave., 10th Fl., New York, NY 10010  
*Security(ies)* — Partnership interests  
*Issuer of security(ies)* — InvestBio Amplimed-III, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

J.A.S. Management Corp.  
 Six Harbor Park Dr., Port Washington, NY 11050

*State or country in which incorporated* — Delaware  
*Security(ies)* — Series C preferred stock  
*Issuer of security(ies)* — J.A.S. Management Corp.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Longhorn Holding Corp.  
 2911 Turtle Creek Blvd., Suite 1100, Dallas, TX 75219  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Stock options  
*Issuer of security(ies)* — Longhorn Holding Corp.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

MainStreet Financial Corporation  
 629 W. State St., Hastings, MI 49058-1643  
*State or country in which incorporated* — United States  
*Security(ies)* — Common stock, par value \$0.01 per share  
*Issuer of security(ies)* — MainStreet Financial Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — United States

Maxim Group LLC; Brean Murray, Carret & Co.  
 405 Lexington Ave., New York, NY 10174; (2) 570 Lexington Ave., New York, NY 10022  
*State or country in which incorporated* — (1) New York; (2) Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Ivivi Technologies, Inc.  
*Address of issuer* — 224-S Pegasus Ave., Northvale, NJ 07647  
*State or country in which organized* — New Jersey

Mercer Capital, Ltd.  
 40 Wall Street, New York, NY 10005  
*Security(ies)* — Units consisting of shares of common stock and warrants  
*Issuer of security(ies)* — Infobionics, Incorporated  
*Address of issuer* — 756 Goodrich Ave., Saint Paul, MN  
*State or country in which organized* — Minnesota

Meyer Fund Management, LLC  
 125 Elm St., New Canaan CT 06840  
*State or country in which incorporated* — Delaware limited liability company  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — Meyer Fund Management, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

My Sky Communications, Inc.  
 11 Parkhurst Dr., Lakeville, MA 02347  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Convertible secured promissory notes with warrants  
*Issuer of security(ies)* — My Sky Communications, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

New York Classic Motors, LLC  
 250 Hudson St., New York, NY 10013  
*Security(ies)* — Series A unsecured notes  
*Issuer of security(ies)* — New York Classic Motors, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

New York Classic Motors, LLC  
250 Hudson St., New York, NY 10013  
*Security(ies)* — Series B unsecured notes  
*Issuer of security(ies)* — New York Classic Motors, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

New York Classic Motors, LLC  
250 Hudson St., New York, NY 10013  
*Security(ies)* — Series C unsecured notes  
*Issuer of security(ies)* — New York Classic Motors, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Newtown Lane Marketing, Incorporated  
Richard M. Cohen, 33 Newtown Lane, East Hampton, NY 11937  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common shares  
*Issuer of security(ies)* — Newtown Lane Marketing, Incorporated  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Off Broadway Booking, LLC  
333 W. 56th St., Suite 3K, New York, NY 10019  
*State or country in which incorporated* — New York limited liability company  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — Off Broadway Booking, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Piper Jaffray & Co.; (2) Bear, Stearns & Co. Inc.; (3) First Albany Capital Inc.; (4) Jefferies & Company, Inc.  
800 Nicollet Mall, Minneapolis, MN 55402; (2) 383 Madison Ave., New York, NY 10179; (3) 677 Broadway, Albany, NY 12207; (4) 520 Madison Ave., New York, NY 10022  
*State or country in which incorporated* — (1, 2, 4) Delaware; (3) New York  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Asthmatx, Inc.  
*Address of issuer* — 1340 Space Park Way, Mountain View, CA 94043  
*State or country in which organized* — Delaware

Polar Capital European Forager Fund Limited  
c/o BISYS Hedge Fund Services (Cayman) Limited, P.O. Box 30362 SMB, Third Fl., Harbour Centre, George Town, Grand Cayman, Cayman Islands, British West Indies  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Class A 1 participating shares  
*Issuer of security(ies)* — Polar Capital European Forager Fund Limited  
*Address of issuer* — c/o BISYS Hedge Fund Services (Cayman) Limited, P.O. Box 30362 SMB, Third Fl., Harbour Centre, George Town, Grand Cayman, Cayman Islands, British West Indies  
*State or country in which organized* — Cayman Islands

Polar Capital European Forager Fund Limited  
c/o BISYS Hedge Fund Services (Cayman) Limited, P.O. Box 30362 SMB, Third Fl., Harbour Centre, George Town, Grand Cayman, Cayman Islands, British West Indies  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Class A 2 participating shares  
*Issuer of security(ies)* — Polar Capital European Forager Fund Limited  
*Address of issuer* — c/o BISYS Hedge Fund Services (Cayman)

Limited, P.O. Box 30362 SMB, Third Fl., Harbour Centre, George Town, Grand Cayman, Cayman Islands, British West Indies  
*State or country in which organized* — Cayman Islands

Polar Capital European Forager Fund Limited  
c/o BISYS Hedge Fund Services (Cayman) Limited, P.O. Box 30362 SMB, Third Fl., Harbour Centre, George Town, Grand Cayman, Cayman Islands, British West Indies  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Class B 1 participating shares  
*Issuer of security(ies)* — Polar Capital European Forager Fund Limited  
*Address of issuer* — c/o BISYS Hedge Fund Services (Cayman) Limited, P.O. Box 30362 SMB, Third Fl., Harbour Centre, George Town, Grand Cayman, Cayman Islands, British West Indies  
*State or country in which organized* — Cayman Islands

Polar Capital European Forager Fund Limited  
c/o BISYS Hedge Fund Services (Cayman) Limited, P.O. Box 30362 SMB, Third Fl., Harbour Centre, George Town, Grand Cayman, Cayman Islands, British West Indies  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Class B 2 participating shares  
*Issuer of security(ies)* — Polar Capital European Forager Fund Limited  
*Address of issuer* — c/o BISYS Hedge Fund Services (Cayman) Limited, P.O. Box 30362 SMB, Third Fl., Harbour Centre, George Town, Grand Cayman, Cayman Islands, British West Indies  
*State or country in which organized* — Cayman Islands

Polar Capital European Forager Fund Limited  
c/o BISYS Hedge Fund Services (Cayman) Limited, P.O. Box 30362 SMB, Third Fl., Harbour Centre, George Town, Grand Cayman, Cayman Islands, British West Indies  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Class C 1 participating shares  
*Issuer of security(ies)* — Polar Capital European Forager Fund Limited  
*Address of issuer* — c/o BISYS Hedge Fund Services (Cayman) Limited, P.O. Box 30362 SMB, Third Fl., Harbour Centre, George Town, Grand Cayman, Cayman Islands, British West Indies  
*State or country in which organized* — Cayman Islands

Polar Capital European Forager Fund Limited  
c/o BISYS Hedge Fund Services (Cayman) Limited, P.O. Box 30362 SMB, Third Fl., Harbour Centre, George Town, Grand Cayman, Cayman Islands, British West Indies  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Class C 2 participating shares  
*Issuer of security(ies)* — Polar Capital European Forager Fund Limited  
*Address of issuer* — c/o BISYS Hedge Fund Services (Cayman) Limited, P.O. Box 30362 SMB, Third Fl., Harbour Centre, George Town, Grand Cayman, Cayman Islands, British West Indies  
*State or country in which organized* — Cayman Islands

Primedex Health Systems, Inc.  
1510 Cotner Ave., Los Angeles, CA 90025  
*State or country in which incorporated* — New York  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Primedex Health Systems, Inc.  
*Address of issuer* — 1510 Cotner Ave., Los Angeles, CA 90025  
*State or country in which organized* — New York

Public Securities, Inc.  
300 N. Argonne Rd., Suite 202, Spokane, WA 99212  
*State or country in which incorporated* — Washington

Security(ies) — Common shares

Issuer of security(ies) — Newtown Lane Marketing, Incorporated  
Address of issuer — c/o Eaton & Van Winkle, LLP, Three Park Ave.,  
16th Fl., New York, NY 10016  
State or country in which organized — Delaware

Reich & Tang Distributors, Inc.

600 Fifth Ave., New York, NY 10020  
Security(ies) — Shares of beneficial interest  
Issuer of security(ies) — Institutional Daily Income Fund—Municipal  
Portfolio  
Address of issuer — c/o Reich & Tang Asset Management, L.P., 600  
Fifth Ave., New York, NY 10020  
State or country in which organized — Massachusetts

Reich & Tang Distributors, Inc.

600 Fifth Ave., New York, NY 10020  
Security(ies) — Shares of beneficial interest  
Issuer of security(ies) — Institutional Daily Income Fund—U.S.  
Government Portfolio  
Address of issuer — c/o Reich & Tang Asset Management, L.P., 600  
Fifth Ave., New York, NY 10020  
State or country in which organized — Massachusetts

Rodman & Renshaw, LLC

1270 Avenue of the Americas, New York, NY 10020  
Security(ies) — Units consisting of a maximum of 30,769, 231 shares  
of common stock and a maximum of 9,230,769 common stock purchase  
warrants  
Issuer of security(ies) — Lev Pharmaceuticals, Inc.  
Address of issuer — 122 E. 42nd St., New York, NY 10168  
State or country in which organized — Delaware

Rubincon Ventures Inc.

30 N. LaSalle St., Suite 3000, Chicago, IL 60602  
State or country in which incorporated — Delaware  
Security(ies) — Common stock  
Issuer of security(ies) — Rubincon Ventures Inc.  
Address of issuer — Same as above  
State or country in which organized — Delaware

Shariah Capital, Inc.

125 Elm St., New Canaan, CT 06840  
State or country in which incorporated — Delaware  
Security(ies) — Common shares  
Issuer of security(ies) — Shariah Capital, Inc.  
Address of issuer — Same as above  
State or country in which organized — Delaware

Shariah Capital, Inc.

125 Elm St., New Canaan, CT 06840  
State or country in which incorporated — Delaware  
Security(ies) — Participation interests convertible into common shares  
Issuer of security(ies) — Shariah Capital, Inc.  
Address of issuer — Same as above  
State or country in which organized — Delaware

SouthWaste Services, Inc.

701 N. Post Oak, Suite 207, Houston, TX 77024  
State or country in which incorporated — Delaware  
Security(ies) — Series A convertible preferred stock  
Issuer of security(ies) — SouthWaste Services, Inc.  
Address of issuer — Same as above  
State or country in which organized — Delaware

SpaceDev, Inc.

13855 Stowe Dr., Poway, CA 92064  
State or country in which incorporated — Colorado  
Security(ies) — Debt and common stock, \$0.0001 par value  
Issuer of security(ies) — SpaceDev, Inc.  
Address of issuer — Same as above  
State or country in which organized — Colorado

Spotlight Times Square, LLC

One Hughes Center Dr., Suite 306, Las Vegas, NV 89109  
State or country in which incorporated — Nevada limited liability  
company  
Security(ies) — Limited liability company membership interest  
Issuer of security(ies) — Spotlight Times Square, LLC  
Address of issuer — Same as above  
State or country in which organized — Nevada

S.W. Bach & Company

Six Harbor Park Drive, Port Washington, NY 11050  
State or country in which incorporated — Georgia  
Security(ies) — Series C preferred stock  
Issuer of security(ies) — J.A.S. Management Corp.  
Address of issuer — Same as above  
State or country in which organized — Delaware

UBS Financial Services, Inc.

1285 Avenue of the Americas, New York, NY 10019  
State or country in which incorporated — Delaware  
Security(ies) — Units of fractional undivided interest in trust  
Issuer of security(ies) — Equity Opportunity Trust—Dividend Income  
Value Strategy Series 2006G  
Address of issuer — Same as above  
State or country in which organized — Delaware

UBS Financial Services, Inc.

1285 Avenue of the Americas, New York, NY 10019  
State or country in which incorporated — Delaware  
Security(ies) — Units of fractional undivided interest in trust  
Issuer of security(ies) — Equity Opportunity Trust—Special Situations  
Series 14  
Address of issuer — Same as above  
State or country in which organized — Delaware

VegasWorm, Inc.

1301 Avenue of the Americas, 44th Fl., New York, NY 10019  
State or country in which incorporated — Delaware  
Security(ies) — Common stock  
Issuer of security(ies) — VegasWorm, Inc.  
Address of issuer — Same as above  
State or country in which organized — Delaware

Victory Bank, The

125 Laurel Rd., Boyertown, PA 19512  
State or country in which incorporated — Pennsylvania  
Security(ies) — Warrants to purchase common stock, par value \$1.00  
per share  
Issuer of security(ies) — The Victory Bank  
Address of issuer — Same as above  
State or country in which organized — Pennsylvania

WexTrust Capital, LLC

333 W. Wacker Dr., Suite 1600, Chicago, IL 60606  
Security(ies) — Guaranteed depository receipts  
Issuer of security(ies) — WexTrust Capital, LLC

*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

XA, Inc.  
875 N. Michigan Ave., Suite 2626, Chicago, IL 60611  
*State or country in which incorporated* — Nevada  
*Security(ies)* — Units consisting of a senior secured convertible promissory note and warrants to purchase common stock of the company  
*Issuer of security(ies)* — XA, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Nevada

Xenith, Inc.  
29 Commonwealth Ave., Boston, MA 02116  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock, \$.01 par value  
*Issuer of security(ies)* — Xenith, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware corporation

Xerion Avionix LLC  
160 Office Park Way, Pittsford, NY 14534  
*State or country in which incorporated* — New York State limited liability company  
*Security(ies)* — Units consisting of membership interests and warrants  
*Issuer of security(ies)* — Xerion Avionix LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Yunzei Japan Proactive Value Fund, Ltd.  
c/o Yunzei Capital Management, LLC, 2563 152nd Ave. NE, Suite B, Redmond, WA 98052  
*Security(ies)* — Common shares  
*Issuer of security(ies)* — Yunzei Japan Proactive Value Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands