

# SECURITIES OFFERINGS

---

## STATE NOTICES

Published pursuant to provisions of General Business Law  
[Art. 23-A, §359-e(2)]

## DEALERS; BROKERS

---

### America Asia Petroleum, Inc.

318 N. Carson St., #218, Carson City, NV 79801  
*State or country in which incorporated* — Nevada

### Arnott Opportunities Fund

c/o Walkers SPV Limited, Walker House, P.O. Box 908 GT, 87 Mary St., George Town, Grand Cayman, Cayman Islands  
*State or country in which incorporated* — Cayman Islands

### BATS Trading, Inc.

4151 N. Mulberry Dr., Suite 275, Kansas City, MO 64116  
*State or country in which incorporated* — Delaware

### Bostwick Compound Offshore, Ltd.

c/o Ogier Fiduciary Services (Cayman) Limited, Queensgate House, S. Church St., P.O. Box 1234, Grand Cayman KY1-1108, Cayman Islands, B.W.I.  
*State or country in which incorporated* — Cayman Islands

### Brazilian Emeralds Inc.

c/o Cesar Pereira, 575 Madison Ave., Suite 1006, New York, NY 10022  
*State or country in which incorporated* — Delaware

### Buttonwood Tree Value Partners, L.P.

2801 Bristol St., Suite 100, Costa Mesa, CA 92626

### China Broadband, Ltd.

1900 Ninth St., 3rd Fl., Boulder, CO 80302  
*State or country in which incorporated* — Cayman Islands

### Coeus Capital Offshore Ltd.

c/o Morgan Stanley Fund Services (Cayman) Limited, P.O. Box 2681 GT, Century Yard, 4th Fl., Cricket Sq., Hutchins Dr., George Town, Grand Cayman, Cayman Islands  
*Partnership* — Coeus Capital Management, LLC

### Commonwealth Australia Securities LLC

599 Lexington Ave., 17th Fl., New York, NY 10022  
*State or country in which incorporated* — Delaware limited liability company

### Commonwealth Income & Growth Private Fund III, LLC

Brandywine Office Park, Two Christy Dr., Suite 200, Chadds Ford, PA 19317  
*State or country in which incorporated* — Delaware

### Community Housing Alliance III L.P.

c/o Enterprise Community Investment, Inc., 10227 Wincopin Circle, Suite 810, Columbia, MD 21044  
*Partnership* — Enterprise Community Investment, Inc., general partner

### Condesa Financial Holdings, LLC

2825 E. Cottonwood Pkwy., Suite 500, Salt Lake City, UT 84121

### CS Financing Corporation

45 San Clemente Dr., Suite B210, Corte Madera, CA 94925  
*State or country in which incorporated* — Delaware

### CTC Institutional Fund, L.L.C.

141 W. Jackson Blvd., Suite 800, Chicago, IL 60604

### Dwight Intermediate Core Fund LLC

c/o Dwight Asset Management Company, 100 Bank St., Burlington, VT 05401  
*State or country in which incorporated* — Delaware

### Dwight Target 2 Fund LLC

c/o Dwight Asset Management Company, 100 Bank St., Burlington, VT 05401  
*State or country in which incorporated* — Delaware

### Dwight Target 5 Fund LLC

c/o Dwight Asset Management Company, 100 Bank St., Burlington, VT 05401  
*State or country in which incorporated* — Delaware

### Eavesdrop, LLC

c/o Chris Fetchko Management, 369 S. Mountain Blvd., Suite B2, Mountain Top, PA 18707  
*State or country in which incorporated* — New York

### ECC Regency, LLC

122 N. Harbor Blvd., Suite 200, Fullerton, CA 92832-1859  
*State or country in which incorporated* — California limited liability company

### Evergreenbancorp, Inc.

301 Eastlake Ave. E, Seattle, WA 98109-5407  
*State or country in which incorporated* — Washington

Fortis Clearing Americas LLC  
175 W. Jackson Blvd., Suite 400, Chicago, IL 60604  
*State or country in which incorporated* — Illinois limited liability company

Fulcrum Securities, Inc.  
12010 Sunset Hills Rd., 8th Fl., Reston, VA 20190  
*State or country in which incorporated* — United States

Gottaplay Interactive, Inc.  
3226 Rosedale St. NW, #200, Gig Harbor, WA 98335  
*State or country in which incorporated* — Nevada

Hampshire First Bank  
80 Canal St., Manchester, NH 03031  
*State or country in which incorporated* — New Hampshire

Herborium Group, Inc.  
Three Oak St., Teaneck, NJ 07666  
*State or country in which incorporated* — Nevada

James Advantage Funds, The  
303 Broadway, Suite 1100, Cincinnati, OH 45202  
*State or country in which incorporated* — Ohio

Laidlaw & Company (UK) Ltd.  
90 Park Ave., 31st Fl., New York, NY 10016  
*State or country in which incorporated* — United Kingdom

Late Night Writer, LLC  
611 Ashford Estates Ave., Canton, GA 30114  
*State or country in which incorporated* — Georgia

Manor Investment Funds, Inc.  
15 Chester Commons, Malvern, PA 19355  
*State or country in which incorporated* — Pennsylvania

Miles/MAXAN Deep Value Managers Fund, L.P.  
25 E. 67th St., #4E, New York, NY 10021  
*Partnership* — Miles Howland & Co. LLC

Mill Creek Investment Partners, L.P.  
Five Tower Bridge, 300 Barr Harbor Dr., Suite 220, West Conshohocken, PA 19428-2987  
*Partnership* — Beach Investment Management, LLC

Mill Valley Ventures III, LLC  
P.O. Box 114, Midway, KY 40347  
*State or country in which incorporated* — Kentucky

Moody National SHS Seattle S, LLC  
6363 Woodway, Suite 110, Houston, TX

Mountain Commerce Bancorp, Inc.  
201 S. Main Ave., Erwin, TN 37650  
*State or country in which incorporated* — Tennessee

OnCure Holdings, Inc.  
c/o Genstar Capital, Four Embarcadero Center, Suite 1900, San Francisco, CA 94111  
*State or country in which incorporated* — Delaware

Palm Beach Multi-Strategy Fund, L.P.  
3601 PGA Blvd., Suite 301, Palm Beach Gardens, FL 33410  
*Partnership* — Palm Beach Links Capital, L.P.

Passco Towns of Riverside S, LP  
96 Corporate Park, Suite 200, Irvine, CA 92606  
*Partnership* — Passco Towns of Riverside S GP, LLC

Polar Bear Fund, L.P.  
One Maritime Plaza, Suite 1425, San Francisco, CA 94111  
*Partnership* — Matthes Capital Management, LLC

Prepaid Services Group, LLC  
42 Technology Way, Nashua, NH 03060  
*State or country in which incorporated* — Delaware limited liability company

Prepaid Services Group, LLC  
Nashua Office Park, One Tara Blvd., 3rd Fl., Suite 302, Nashua, NH 03062  
*State or country in which incorporated* — Delaware limited liability company

Prime Mover Capital Partners, LP  
c/o Prime Mover Capital Management, L.P., 767 Third Ave., 15th Fl., New York, NY 10017  
*Partnership* — Prime Mover Capital, LLC

Reed Properties, LLC  
400 112th Ave. NE, Suite 330, Bellevue, WA 98004

Reef Securities, Inc.  
1901 N. Central Expwy., Suite 300, Richardson, TX 75080  
*Partnership* — Reef Oil & Gas Partners, L.P.

REMY Capital Partners III, L.P.  
1801 Century Park E, Suite 1111, Los Angeles, CA 90067  
*Partnership* — REMY Investor and Consultants Incorporated

Reynoso Options Arbitrage Fund L.P., The  
c/o Reynoso Asset Management LLC, 345 N. Canal St., # 1102, Chicago, IL 60606  
*Partnership* — Reynoso Asset Management LLC

Reynoso Options Arbitrage Fund—2X L.P., The  
c/o Reynoso Asset Management LLC, 345 N. Canal St., # 1102, Chicago, IL 60606  
*Partnership* — Reynoso Asset Management LLC

Rockwell Securities LLC  
One Penn Plaza, 36th Fl., New York, NY 10119  
*State or country in which incorporated* — Delaware

SigmaBleyzer Southeast European Fund IV, C.V.  
Locatellikade One, Parnassustoren 1076 AZ, Amsterdam, Netherlands  
*Partnership* — SEGF B.V.

Surfpark Holdings, LLC  
141 Linden Ave., Westfield, NJ 07090  
*State or country in which incorporated* — Delaware

Tremblant Global Fund LP  
767 Fifth Ave., Fl., 12A, New York, NY 10153  
*Partnership* — Tremblant Global Holdings LLC, general partner

Tremblant Global Fund Ltd.  
c/o Citco Fund Services (Cayman) Limited, Regatta Office Park, W.  
Bay Rd., P.O. Box 31106 SMB, Grand Cayman, Cayman Islands  
*State or country in which incorporated* — Cayman Islands

Triathlon Life Sciences Fund, LP  
125 Summer St., Suite 1860, Boston, MA 02110  
*Partnership* — Triathlon Advisors GP, LLC

Triathlon Life Sciences Fund (Offshore), LTD  
Morgan Stanley Fund Services (Bermuda) Ltd.  
*State or country in which incorporated* — Cayman Islands

UBS Funds, The—UBS U.S. Equity Alpha Fund  
c/o J.P. Morgan Investor Services Co., 75 Tremont St., 8th Fl., Boston,  
MA 02108  
*State or country in which incorporated* — Delaware

Urchin Capital Partners, LLC  
655 Montgomery St., Suite 900, San Francisco, CA 94111  
*Partnership* — Peter Fader

Vinfolio, Inc.  
1890 Bryant St., Suite 208, San Francisco, CA 94110  
*State or country in which incorporated* — California

Westcliff Fund, L.P.  
200 Seventh Ave., Suite 105, Santa Cruz, CA 95062  
*Partnership* — Westcliff Capital Management, LLC

WolfDom Energy Partners I, LP  
10000 Memorial Dr., Suite 550, Houston, TX 77024  
*Partnership* — WDEP GP, LLC

WysDM Software Inc.  
331 W. 57th St., Suite 547, New York, NY 10019  
*State or country in which incorporated* — Delaware

## FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law  
[Art. 23-A, §359-e(8), as amended]

### SECURITIES BY DEALERS; BROKERS; SYNDICATE MANAGERS

Aer Lingus Group plc  
Dublin Airport, County Dublin, Ireland  
*State or country in which incorporated* — Ireland  
*Security(ies)* — Ordinary shares  
*Issuer of security(ies)* — Aer Lingus Group plc  
*Address of issuer* — Same as above  
*State or country in which organized* — Ireland

AlmondNet, Inc.  
134 Spring St., Suite 302, New York, NY 10012  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series E convertible preferred stock  
*Issuer of security(ies)* — AlmondNet, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

AlmondNet, Inc.  
134 Spring St., Suite 302, New York, NY 10012  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Warrants for common stock  
*Issuer of security(ies)* — AlmondNet, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

America Asia Petroleum, Inc.  
318 N. Carson St., #28, Carson City, NV 79801  
*State or country in which incorporated* — Nevada  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — America Asia Petroleum, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Nevada

Arnott Opportunities Fund  
c/o Walkers SPV Limited, Walker House, P.O. Box 908 GT, 87 Mary  
St., George Town, Grand Cayman, Cayman Islands  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Participating shares  
*Issuer of security(ies)* — Arnott Opportunities Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

BATS Trading, Inc.  
4151 N. Mulberry Dr., Suite 275, Kansas City, MO 64116  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — BATS Trading, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Bostwick Compound Offshore, Ltd.  
c/o Ogier Fiduciary Services (Cayman) Limited, Queensgate House,  
S. Church St., P.O. Box 1234, Grand Cayman KY1-1108, Cayman  
Islands, B.W.I.  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Common shares par value \$0.01USD per share  
*Issuer of security(ies)* — Bostwick Compound Offshore, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Brazilian Emeralds Inc.  
c/o Cesar Pereira, 575 Madison Ave., Suite 1006, New York, NY 10022  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Brazilian Emeralds Inc.  
*Address of issuer* — Same as Above  
*State or country in which organized* — Delaware

Buttonwood Tree Value Partners, L.P.  
2801 Bristol St., Suite 100, Costa Mesa, CA 92626  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Buttonwood Tree Value Partners, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — California

China Broadband, Ltd.  
1900 Ninth St., 3rd. Fl., Boulder, CO 80302  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — 7% convertible promissory notes  
*Issuer of security(ies)* — China Broadband, Ltd.

*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Claymore Securities, Inc.  
 2455 Corporate West Dr., Lisle, IL 60532  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 10,000,000 units of undivided interest  
*Issuer of security(ies)* — Strategic Equity Allocation Trust, Series 9  
*Address of issuer* — Same as above  
*State or country in which organized* — Kansas

Coeus Capital Offshore Ltd.  
 c/o Morgan Stanley Fund Services (Cayman) Limited, P.O. Box 2681  
 GT, Century Yard, 4th Fl., Cricket Sq., Hutchins Dr., George Town,  
 Grand Cayman, Cayman Islands  
*Security(ies)* — Common shares, par values \$0.01 (U.S.) per share  
*Issuer of security(ies)* — Coeus Capital Offshore Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Commonwealth Income & Growth Fund Inc.  
 Brandywine Office Park, Two Christy Dr., Suite 200, Chadds Fords,  
 PA 19317  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Limited liability company units  
*Issuer of security(ies)* — Commonwealth Income & Growth Private  
 Fund III, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Pennsylvania

Community Housing Alliance III L.P.  
 c/o Enterprise Community Investment, Inc., 10227 Wincopin Circle,  
 Suite 810, Columbia, MD 21044  
*Security(ies)* — Units of limited partnership interests  
*Issuer of security(ies)* — Community Housing Alliance III L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Maryland

Condesa Financial Holdings, LLC  
 2825 E. Cottonwood Pkwy., Suite 500, Salt Lake City, UT 84121  
*Security(ies)* — Notes with warrants  
*Issuer of security(ies)* — Condesa Financial Holdings, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

CreditPointe, Inc.  
 200 Lowder Brook Dr., Suite 2200, Westwood, MA 02090  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — CreditPointe, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

CS Financing Corporation  
 45 San Clemente Dr., Suite B210, Corte Madera, CA 94925  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Five year notes-series A (subordinated)  
*Issuer of security(ies)* — CS Financing Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

CTC Institutional Fund, L.L.C.  
 141 W. Jackson Blvd., Suite 800, Chicago, IL 60604

*Security(ies)* — Limited liability company units of an open-ended  
 investment fund  
*Issuer of security(ies)* — CTC Institutional Fund, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Dwight Intermediate Core Fund LLC  
 c/o Dwight Asset Management Company, 100 Bank St., Burlington, VT  
 05401  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — Dwight Intermediate Core Fund LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Dwight Target 2 Fund LLC  
 c/o Dwight Asset Management Company, 100 Bank St., Burlington, VT  
 05401  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — Dwight Target 2 Fund LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Dwight Target 5 Fund LLC  
 c/o Dwight Asset Management Company, 100 Bank St., Burlington, VT  
 05401  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — Dwight Target 5 Fund LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

ECC REGENCY, LLC  
 122 N. Harbor Blvd., Suite 200, Fullerton, CA 92832-1859  
*State or country in which incorporated* — California limited liability  
 company  
*Security(ies)* — Membership units  
*Issuer of security(ies)* — ECC REGENCY, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — California

EURONEXT N.V.  
 Beursplein 5, 1012 JW Amsterdam, Netherlands  
*State or country in which incorporated* — Netherlands  
*Security(ies)* — Awards of ordinary shares under the Euronext N.V.  
 Executive Incentive Plan  
*Issuer of security(ies)* — EURONEXT N.V.  
*Address of issuer* — Same as above  
*State or country in which organized* — Netherlands

Evergreenbancorp, Inc.  
 301 Eastlake Ave. E, Seattle, WA 98109-5407  
*State or country in which incorporated* — Washington  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Evergreenbancorp, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Washington

Felt and Company  
 225 S. Sixth St., Minneapolis, MN 55402  
*State or country in which incorporated* — Minnesota  
*Security(ies)* — Common stock, \$.01 par value  
*Issuer of security(ies)* — Wireless Ronin Technologies, Inc.  
*Address of issuer* — 14700 Martin Dr., Eden Prairie, MN 55344  
*State or country in which organized* — Minnesota

First Trust Portfolios L.P.  
1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1226 (Regional Bank & Thrift Opportunity Portfolio, 2006 Series)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1235 (Income Opportunity Portfolio, Series 5)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1236 (Nasdaq-100® Securities Portfolio, Series 8)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1237 (Global Income Closed-End Portfolio, Series 4)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1238 (Municipal Advantage Closed-End Portfolio, Series 11)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1245 (S&P 500 Protected Portfolio<sup>SM</sup>, September 2006 Series)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1259 (Real Estate Closed-End Portfolio Series)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

Fixed Income Securities, L.P.  
18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — Advisor's Disciplined Trust 105 (Energy Infrastructure & Income Closed-End Portfolio, Series 3)  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Fixed Income Securities, L.P.  
18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — Advisor's Disciplined Trust 106 (Covered Call and Income Strategies Closed-End Portfolio, Series 3)  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Fixed Income Securities, L.P.  
18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — Advisor's Disciplined Trust 107 (Convertible and Income Closed-End Portfolio, Series 6)  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Fixed Income Securities, L.P.  
18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — Advisor's Disciplined Trust 108 (Municipal Closed-End Portfolio, Series 6)  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Fixed Income Securities, L.P.  
18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — Advisor's Disciplined Trust 109 (Global Basic Materials Portfolio, Series 5)  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Global Locate, Inc.  
3190 S. Bascom Ave., Suite 260, San Jose, CA 95124-2569  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series E convertible preferred stock with series E preferred stock warrants  
*Issuer of security(ies)* — Global Locate, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Gottaplay Interactive, Inc.  
3226 Rosedale St., #200, Gig Harbor, WA 98335  
*State or country in which incorporated* — Nevada  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Gottaplay Interactive, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Nevada

Hampshire First Bank  
80 Canal St., Manchester, NH 03031  
*State or country in which incorporated* — New Hampshire  
*Security(ies)* — Common stock, par value \$2.00 per share  
*Issuer of security(ies)* — Hampshire First Bank  
*Address of issuer* — Same as above  
*State or country in which organized* — New Hampshire

HealthpointCapital Advisers LLC  
505 Park Ave., 12th Fl., New York NY  
*State or country in which incorporated* — New York  
*Security(ies)* — Units consisting of one share of series A convertible preferred stock, par value \$0.01 per share, and a warrant to purchase one share of common stock for each 10 units purchased

*Issuer of security(ies)* — Vertebral Technologies, Inc.  
*Address of issuer* — 5909 Baker Rd., Suite 550, Minnetonka, MN 55345  
*State or country in which organized* — Minnesota

Herborium Group, Inc.  
 Three Oak St., Teaneck, NJ 07666  
*State or country in which incorporated* — Nevada  
*Security(ies)* — Common stock, par value \$.001  
*Issuer of security(ies)* — Herborium Group, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Nevada

Intellicoat Technologies, Inc.  
 150 E. 52nd St., 11th Fl., New York, NY 10022  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Intellicoat Technologies, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Intellicoat Technologies, Inc.  
 150 E. 52nd St., 11th Fl., New York, NY 10022  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Warrant to purchase common stock  
*Issuer of security(ies)* — Intellicoat Technologies, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

InvAlt Inc.  
 845 Third Ave., Sixth Fl., New York, NY 10022  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — InvAlt Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

James Advantage Funds, The  
 303 Broadway, Suite 1100, Cincinnati, OH 45202  
*State or country in which incorporated* — Ohio  
*Security(ies)* — James Mid Cap Fund - no par value shares of beneficial interest  
*Issuer of security(ies)* — The James Advantage Funds  
*Address of issuer* — Same as above  
*State or country in which organized* — Ohio

Joseph Stevens & Company, Inc.  
 59 Maiden Lane, New York, NY 10038  
*State or country in which incorporated* — New York  
*Security(ies)* — Units of common stock and warrants  
*Issuer of security(ies)* — IR BioSciences Holdings, Inc.  
*Address of issuer* — 3021 N. 75th St., Suite 201, Scottsdale, AZ 85251  
*State or country in which organized* — Delaware

J.P. Morgan Securities Inc.  
 270 Park Ave., 10th Fl., New York, NY 10017  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Auto loan asset backed notes, class A-2  
*Issuer of security(ies)* — Chase Auto Owner Trust 2006-B  
*Address of issuer* — c/o JPMorgan Chase Bank, N.A., Chase Auto Finance Corp., 900 Stewart Ave., Garden City, NY 11530  
*State or country in which organized* — Delaware

J.P. Morgan Securities Inc.  
 270 Park Ave., 10th Fl., New York, NY 10017

*State or country in which incorporated* — Delaware  
*Security(ies)* — Auto loan asset backed notes, class A-3  
*Issuer of security(ies)* — Chase Auto Owner Trust 2006-B  
*Address of issuer* — c/o JPMorgan Chase Bank, N.A., Chase Auto Finance Corp., 900 Stewart Ave., Garden City, NY 11530  
*State or country in which organized* — Delaware

J.P. Morgan Securities Inc.  
 270 Park Ave., 10th Fl., New York, NY 10017  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Auto loan asset backed notes, class A-4  
*Issuer of security(ies)* — Chase Auto Owner Trust 2006-B  
*Address of issuer* — c/o JPMorgan Chase Bank, N.A., Chase Auto Finance Corp., 900 Stewart Ave., Garden City, NY 11530  
*State or country in which organized* — Delaware

J.P. Morgan Securities Inc.  
 270 Park Ave., 10th Fl., New York, NY 10017  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Auto loan asset backed notes, class B  
*Issuer of security(ies)* — Chase Auto Owner Trust 2006-B  
*Address of issuer* — c/o JPMorgan Chase Bank, N.A., Chase Auto Finance Corp., 900 Stewart Ave., Garden City, NY 11530  
*State or country in which organized* — Delaware

Just Salad LLC  
 1840 Bay Blvd., Atlantic Beach, NY 11509  
*Security(ies)* — Limited liability company membership interests  
*Issuer of security(ies)* — Just Salad LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Laidlaw & Company (UK) Ltd.  
 90 Park Ave., 31st Fl., New York, NY 10016  
*State or country in which incorporated* — United Kingdom  
*Security(ies)* — Membership interests  
*Issuer of security(ies)* — HMDR Investors, LLC  
*Address of issuer* — 1475 S. Bascom Ave., Campbell, CA 95008  
*State or country in which organized* — California

Late Night Writer, LLC  
 611 Ashford Estates Ave., Canton, GA 30114  
*State or country in which incorporated* — Georgia  
*Security(ies)* — Limited liability company membership units  
*Issuer of security(ies)* — Late Night Writer, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Georgia

Manor Investment Funds, Inc.  
 15 Chester Commons, Malvern, PA 19355  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Manor Fund-Growth  
*Issuer of security(ies)* — Manor Investment Funds Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Pennsylvania

Merrill Lynch, Pierce, Fenner & Smith Incorporated; (2) Lehman Brothers Inc.; (3) Banc of America Securities LLC  
 Four World Financial Center, New York, NY 10281; (2) 745 Seventh Ave., New York, NY 10019; (3) Nine W. 57th St., New York, NY 10019  
*State or country in which incorporated* — (1-2) Delaware; (3) Delaware limited liability company

*Security(ies)* — \_\_\_% senior notes due 2014  
*Issuer of security(ies)* — Georgia Gulf Corporation  
*Address of issuer* — 115 Perimeter Center Place, Suite 460, Atlanta, GA 30346  
*State or country in which organized* — Delaware

Merrill Lynch, Pierce, Fenner & Smith Incorporated; (2) Lehman Brothers Inc.; (3) Banc of America Securities LLC  
 Four World Financial Center, New York, NY 10281; (2) 745 Seventh Ave., New York, NY 10019; (3) Nine W. 57th St., New York, NY 10019  
*State or country in which incorporated* — (1-2) Delaware; (3) Delaware limited liability company  
*Security(ies)* — \_\_\_% senior subordinated notes due 2016  
*Issuer of security(ies)* — Georgia Gulf Corporation  
*Address of issuer* — 115 Perimeter Center Place, Suite 460, Atlanta, GA 30346  
*State or country in which organized* — Delaware

Merrill Lynch, Pierce, Fenner & Smith Incorporated; (2) Countrywide Securities Corporation; (3) Goldman, Sachs & Co.; (4) Morgan Stanley & Co. Incorporated; (5) PNC Capital Markets LLC  
 Four World Financial Center, New York, NY 10281; (2) 4500 Park Granada, Calabasas, CA 91302; (3) 85 Broad St., New York, NY 10004; (4) 1585 Broadway, New York, NY 10036; (5) 249 Fifth Ave., Pittsburgh, PA 15222  
*State or country in which incorporated* — (1, 4) Delaware; (2) California; (3) New York partnership; (5) Pennsylvania limited liability company  
*Security(ies)* — Class XP commercial mortgage pass-through certificates, series 2006-3  
*Issuer of security(ies)* — ML-CFC Commercial Mortgage Trust 2006-3  
*Address of issuer* — c/o LaSalle Bank National Association, Attn: Global Securities and Trust Services—ML-CFC Commercial Mortgage Trust 2006-3, 135 S. LaSalle St., Suite 1625, Chicago, IL 60603  
*State or country in which organized* — New York

Miles/MAXAM Deep Value Managers Fund, L.P.  
 25 E. 67th ST., #4E, New York, NY 10021  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Miles/MAXAM Deep Value Managers Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Mill Creek Investment Partners, L.P.  
 Five Tower Bridge, 300 Barr Harbor Dr., Suite 220, West Conshohocken, PA 19428-2987  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Mill Creek Investment Partners, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Mill Valley Ventures III, LLC  
 P.O. Box 114, Midway, KY 40347  
*State or country in which incorporated* — Kentucky  
*Security(ies)* — Limited liability company units  
*Issuer of security(ies)* — Mill Valley Ventures III, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Kentucky

Moody National SHS Seattle S, LLC  
 6363 Woodway, Suite 110, Houston, TX

*Security(ies)* — Tenant in common interests  
*Issuer of security(ies)* — Moody National SHS Seattle S, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Mountain Commerce Bancorp, Inc.  
 201 S. Main Ave., Erwin, TN 37650  
*State or country in which incorporated* — Tennessee  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Mountain Commerce Bancorp, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Tennessee

Nantahala Bank & Trust Company  
 120 Siler Rd., Franklin, NC 28734  
*State or country in which incorporated* — North Carolina  
*Security(ies)* — Common stock, \$5.00 par value  
*Issuer of security(ies)* — Nantahala Bank & Trust Company  
*Address of issuer* — Same as above  
*State or country in which organized* — North Carolina

Net Cash, LLC  
 600 N. Broadway, Suite 214, North White Plains, NY 10603  
*State or country in which incorporated* — Delaware limited liability company  
*Security(ies)* — Convertible notes  
*Issuer of security(ies)* — Net Cash, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

OnCure Holdings, Inc.  
 c/o Genstar Capital, Four Embarcadero Center, Suite 1900, San Francisco, CA 94111  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock and series A preferred stock  
*Issuer of security(ies)* — OnCure Holdings, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Palm Beach Multi-Strategy Fund, L.P.  
 3601 PGA Blvd., Suite 301, Palm Beach Gardens, FL 33410  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Palm Beach Multi-Strategy Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Parking Management Fund, L.P.  
 6120 Parkland Blvd., Suite 202, Mayfield Heights, OH 44124  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Parking Management Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Passco Towns of Riverside S, LP  
 96 Corporate Park, Suite 200, Irvine, CA 92606  
*Security(ies)* — Tenant in common interests  
*Issuer of security(ies)* — Passco Towns of Riverside S, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Polar Bear Fund, L.P.  
 One Maritime Plaza, Suite 1425, San Francisco, CA 94111

*State or country in which incorporated* — California  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Polar Bear Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — California

Prepaid Services Group, LLC  
 Nashua Office Park, One Tara Blvd., 3rd Fl., Suite 302, Nashua, NH 03062  
*State or country in which incorporated* — Delaware limited liability company  
*Security(ies)* — Limited liability membership interests  
*Issuer of security(ies)* — Prepaid Services Group, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Prime Mover Capital Partners, LP  
 c/o Prime Mover Capital Management, L.P., 767 Third Ave., 15th Fl., New York, NY 10017  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Prime Mover Capital Partners, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Professional Funds Distributor, LLC  
 760 Moore Rd., King of Prussia, PA 19406  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — Allegiant Funds—Allegiant Aggressive Allocation Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

Professional Funds Distributor, LLC  
 760 Moore Rd., King of Prussia, PA 19406  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — Allegiant Funds—Allegiant Pennsylvania Intermediate Municipal Bond Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

Reed Properties, LLC  
 400 112th Ave. NE, Suite 330, Bellevue, WA 98004  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — Reed Properties, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Washington

Reef Securities, Inc.  
 1901 N. Central Expwy., Suite 300, Richardson, TX 75080  
*Security(ies)* — Joint ventures interests  
*Issuer of security(ies)* — Reef Oil & Gas Partners, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Texas

REMY Capital Partners III, L.P.  
 1801 Century Park E, Suite 1111, Los Angeles, CA 96007  
*State or country in which incorporated* — Delaware limited partnership  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — REMY Capital Partners III, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Reynoso Options Arbitrage Fund L.P., The  
 c/o Reynoso Asset Management LLC, 345 N. Canal St., #1102, Chicago, IL 60606  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — The Reynoso Options Arbitrage Fund L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

Reynoso Options Arbitrage Fund—2X L.P., The  
 c/o Reynoso Asset Management LLC, 345 N. Canal St., #1102, Chicago, IL 60606  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — The Reynoso Options Arbitrage Fund L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

Seligman Advisors, Inc.  
 100 Park Ave., New York, NY 10017  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Shares of beneficial interest; \$.001 per share  
*Issuer of security(ies)* — Seligman TargetHorizon ETF Portfolios, Inc.—Seligman TargETFund 2035  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Seligman Advisors, Inc.  
 100 Park Ave., New York, NY 10017  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Shares of beneficial interest; \$.001 per share  
*Issuer of security(ies)* — Seligman TargetHorizon ETF Portfolios, Inc.—Seligman TargETFund 2045  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

SigmaBleyzer Southeast European Fund IV, C.V.  
 Locatellikade One, Parnassustoren 1076 AZ, Amsterdam, Netherlands  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — SigmaBleyzer Southeast European Fund IV, C.V.  
*Address of issuer* — Same as above  
*State or country in which organized* — Netherlands

Surfpark Holdings, LLC  
 141 Linden Ave., Westfield, NJ 07090  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Class B membership  
*Issuer of security(ies)* — Surfpark Holdings, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Theater Xtreme Entertainment Group, Inc.  
 250 Corporate Blvd., Suites E&F, Newark, DE 19702  
*State or country in which incorporated* — Florida  
*Security(ies)* — Units (common stock, par value \$.001 per share and warrants)  
*Issuer of security(ies)* — Theater Xtreme Entertainment Group, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Florida

Tremblant Global Fund LP  
 767 Fifth Ave., Fl., 12A, New York, NY 10153  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Tremblant Global Fund LP

*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Tremblant Global Fund Ltd.  
 c/o Citco Fund Services (Cayman) Limited, Regatta Office Park, W.  
 Bay Rd., P.O. Box 31106 SMB, Grand Cayman, Cayman Islands  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Common shares, par value \$0.01 (U.S.) per share  
*Issuer of security(ies)* — Tremblant Global Fund Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Triathlon Life Sciences Fund, LP  
 125 Summer St., Suite 1860, Boston, MA 02110  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Triathlon Life Sciences Fund, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Triathlon Life Sciences Fund (Offshore), Ltd  
 Morgan Stanley Fund Services (Bermuda) Ltd.  
*Security(ies)* — Common shares  
*Issuer of security(ies)* — Triathlon Life Sciences Fund (Offshore), Ltd  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

UBS Funds, The—UBS U.S. Equity Alpha Fund  
 c/o J.P. Morgan Investor Services Co., 73 Tremont St., 8th Fl., Boston,  
 MA 02108  
*Security(ies)* — \$.001 par value shares of beneficial interest  
*Issuer of security(ies)* — The UBS Funds—UBS U.S. Equity Alpha  
 Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Ultra Electronic Holdings PLC  
 Bridport Rd., Greenford, Middlesex, UB6, \*UA, United Kingdom  
*State or country in which incorporated* — England and Wales  
*Security(ies)* — Ordinary shares (equivalent to US common stock)  
*Issuer of security(ies)* — Ultra Electronic Holdings, plc  
*Address of issuer* — Same as above  
*State or country in which organized* — England and Wales

Urchin Capital Partners, LLC  
 655 Montgomery St., Suite 900, San Francisco, CA 94111  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — Urchin Capital Partners, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interests  
*Issuer of security(ies)* — Closed-End Strategy: Cohen & Steers Master  
 Equity and Income Portfolio, Series 3  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interests

*Issuer of security(ies)* — Closed-End Strategy: Cohen & Steers Master  
 Income Portfolio, Series 9  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interests  
*Issuer of security(ies)* — Closed-End Strategy: Cohen & Steers Master  
 Income Portfolio, Series 9  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interests  
*Issuer of security(ies)* — Closed-End Strategy: Cohen & Steers Master  
 Municipal Income Portfolio-National Series 6  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interests  
*Issuer of security(ies)* — Closed-End Strategy: Senior Loan and Limited  
 Duration Portfolio, Series 10  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interests  
*Issuer of security(ies)* — Dividend Income & Value Portfolio 2006-4  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interests  
*Issuer of security(ies)* — Insured Municipals Income Trust, Series 517  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interests  
*Issuer of security(ies)* — Preferred Securities Portfolio, Series 13  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interests  
*Issuer of security(ies)* — S&P Dividend Growth Opportunities Trust,  
 Series 6  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interests  
*Issuer of security(ies)* — Select Growth Trust, October 2006 Series  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Vinfolio, Inc.  
1890 Bryant St., Suite 208, San Francisco, CA 94110  
*State or country in which incorporated* — California  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Vinfolio, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — California

Westcliff Fund, L.P.  
200 Seventh Ave., Suite 105, Santa Cruz, CA 95062  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Westcliff Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Westminster Securities Corporation  
100 Wall St., 7th Fl., New York, NY 10005  
*Security(ies)* — Series A units consisting of one (1) share of common stock and four (4) redeemable class A warrants  
*Issuer of security(ies)* — Middle Kingdom Alliance Corp.  
*Address of issuer* — 333 Sandy Springs Circle, Suite 223, Atlanta, GA 30328  
*State or country in which organized* — Delaware

Westminster Securities Corporation  
100 Wall St., 7th Fl., New York, NY 10005  
*Security(ies)* — Series B units consisting of one (1) share of class B common stock and one (1) redeemable class B warrant  
*Issuer of security(ies)* — Middle Kingdom Alliance Corp.  
*Address of issuer* — 333 Sandy Springs Circle, Suite 223, Atlanta, GA 30328  
*State or country in which organized* — Delaware

WestPark Capital, Inc.  
1900 Avenue of the Stars, Suite 310, Los Angeles, CA 90067  
*State or country in which incorporated* — Colorado  
*Security(ies)* — 7% convertible promissory notes  
*Issuer of security(ies)* — China Broadband, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

WolfDom Energy Partners I, LP  
10000 Memorial Dr., Suite 550, Houston, TX 77024  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — WolfDom Energy Partners I, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Texas

WysDM Software Inc.  
331 W. 57th St., Suite 547, New York, NY 10019  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — WysDM Software Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Xiotech Corporation  
6455 Flying Cloud, Eden Prairie, MN 55344  
*State or country in which incorporated* — Minnesota  
*Security(ies)* — Phantom stock appreciation right  
*Issuer of security(ies)* — Xiotech Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Minnesota