

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

Abaca Technology Corporation
1010 Rincon Circle, San Jose, CA 95131
State or country in which incorporated — Delaware

AFA Financial Group, LLC
26637 W. Agoura Rd., Calabasas, CA 91302
State or country in which incorporated — California limited liability company

Angile Mind Educational Holdings, Inc.
1100 Main St., Suite 101, Grapevine, TX 75051
State or country in which incorporated — Delaware

Andover Medical, Inc.
510 Turnpike St., Suite 204, North Andover, MA 01845
State or country in which incorporated — Delaware

Augustus MJK Offshore Fund Ltd.
c/o Genesis Fund Services Ltd., 1st Fl., Goodman Bay Corporate Center,
W. Bay St., P.O. Box N-9058, Nassau, Bahamas

Banc of America Securities, LLC
100 N. Tryon St., Charlotte, NC 28255
State or country in which incorporated — North Carolina

Bessemer Employee Investment Fund I LLC
630 Fifth Ave., New York, NY 10111

Bessemer Investor Services, Inc.
630 Fifth Ave., New York, NY 10111
State or country in which incorporated — Delaware

Block Alert LLC
380 Madison Ave., New York, NY 10017
State or country in which incorporated — Delaware

BNY Hamilton Funds, Inc.—BNY Hamilton 100% U.S. Treasury
Securities Money Fund
3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
State or country in which incorporated — Maryland

BNY Hamilton Funds, Inc.—BNY Hamilton U.S. Government Money
Fund
3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
State or country in which incorporated — Maryland

Brantwood Partners L.P.
31 W. 52nd St., 17th Fl., New York, NY 10019
Partnership — Brantwood Management LLC

California Income Properties #8, LLC
22144 Clarendon St., Suite 240, Woodland Hills, CA 91367
State or country in which incorporated — California

Cavit Sciences, Inc.
100 E. Linton Blvd., Suite 106B, Delray Beach, FL 33483
State or country in which incorporated — Florida

CCM Income Fund, LP
6075 Poplar Ave., Suite 402, Memphis, TN 38119
Partnership — Chickasaw Capital Management, LLC

Columbus Extended Market Fund, LLC
c/o Reams Asset Management Company LLC, 227 Washington St.,
Columbus, IN 47201

ConvergEx Holdings, LLC
6100 Sears Tower, Chicago, IL 60606

Cytogel Surviving Entity, LLC
65 Locust Ave., New Canaan, CT 06840
State or country in which incorporated — Connecticut limited liability company

David DeFrancis, Manager; Steven DeFrancis, Manager
260 Peachtree St., Suite 1001, Atlanta, GA 30303

Delta Farms South Joint Venture
1848 Norwood Plaza, Suite 109, Hurst, TX 76054

Denahi Asia Focus Fund, L.P.
455 Market St., Suite 550, San Francisco, CA 94105
Partnership — Denahi Global Investments, LLC

GRT Technology, L.P.
50 Milk St., 21st Fl., Boston, MA 02109
Partnership — GRT Technology GP, L.L.C.

HC Innovations, Inc.
Six Corporate Dr., Suite 420, Shelton, CT 06484
State or country in which incorporated — Delaware

Liftoptia, Inc.
1850 Gough St., No. 201, San Francisco, CA 94109
State or country in which incorporated — California

Logical Images, Inc.
3445 Winton Place, Suite 212, Rochester, NY 14623
State or country in which incorporated — New York

MCL Financial
29858 Park Village Dr., Evergreen, CO 80439

Moreton Bay—Special Value Growth—Partnership, LP
1105 Chapala St., Suite 6, Santa Barbara, CA 93101
Partnership — Moreton Bay Capital, LLC

NMFL, LLC
c/o CFO Enterprises, 6460 Mystic St., Oakland CA 94618
State or country in which incorporated — Nevada

Orange Value Fund, L.L.C., The
721 University Ave., Suite 110, Whitman School, Syracuse, NY 13224
State or country in which incorporated — Delaware limited liability company

Outdoor Kitchen Concepts, Inc.
3221 Polaris Ave., Las Vegas, NV 89102
State or country in which incorporated — Nevada

Patton Medical Devices, LP
3500 Jefferson St., Suite 210, Austin, TX 78731
Partnership — Patton Medical Devices GP, LLC

PH Ventures, LLC
518 Olive St., Sausalito, CA 94965
State or country in which incorporated — California

Polar Capital Discovery Fund Limited
c/o BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate Centre, 5th Fl., 27 Hospital Rd., P.O. Box 1748, George Town, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands

Ridgewood Energy U Fund, LLC
947 Linwood Ave., Ridgewood, NJ 07450
State or country in which incorporated — Delaware

SCP Levered Fund Domestic, LP
One Fawcett Place, Greenwich, CT 06830
Partnership — Shumway Capital Management LLC

Seminole Capital Partners, L.P.
c/o Seminole Capital Management LLC, 150 E. 52nd St., 29th Fl., New York, NY 10022
Partnership — Seminole Capital Management LLC

Seminole Capital Partners II, L.P.
c/o Seminole Capital Management LLC, 150 E. 52nd St., 29th Fl., New York, NY 10022
Partnership — Seminole Capital Management LLC

Seminole Offshore Fund, Ltd.
c/o Seminole Capital Management LLC, 150 E. 52nd St., 29th Fl., New York, NY 10022
State or country in which incorporated — British Virgin Islands

SpectrumDNA, Inc.
136 Heber Ave., Suite 102, Park City, UT 84060
State or country in which incorporated — Delaware

Tomotherapy of Westchester, LLC
Eight Catholow Dr., Riverside, CT 06878
State or country in which incorporated — New York

Turner Global Technology & Telecom Long/Short, L.P.
1205 Westlakes Dr., Suite 100, Berwyn, PA 19312
Partnership — Willistown Partners, L.L.C.

Valara Partners, LP
108 Corporate Park Dr., Suite 218, White Plains, NY 10604
Partnership — Valara Capital Management, LLC

Vergence Entertainment LLC
655 N. Central Ave., Suite 1700, Glendale, CA 91203
Partnership — F-H-S Legacy Corporation, Manaager, limited liability company

Virtual Ventures IV, LLC
524 Second Ave., Suite 500, Seattle, WA 98104
Partnership — Roberta J. Smith and Arthur N. Budge, Jr.

Wallman Properties, LLC
175 N. Central Ave., Valley Stream, NY 11580
State or country in which incorporated — New York

Wesco Holdings, Inc.
27727 Avenue Scott, Valencia, CA 91355
State or country in which incorporated — Delaware

Westcliff Alternative Holdings, L.P.
200 Seventh Ave., Suite 105, Santa Cruz, CA 95062
Partnership — Westcliff Alternative Management, LLC

Westcliff Alternative Holdings SA, L.P.
200 Seventh Ave., Suite 105, Santa Cruz, CA 95062
Partnership — Westcliff Alternative Management, LLC

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY DEALERS; BROKERS; SYNDICATE MANAGERS

Abaca Technology Corporation
1010 Rincon Circle, San Jose, CA 95131
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Abaca Technology Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Abaca Technology Corporation
1010 Rincon Circle, San Jose, CA 95131

State or country in which incorporated — Delaware
Security(ies) — Warrants to purchase common stock
Issuer of security(ies) — Abaca Technology Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

AFA Financial Group, LLC
 26637 W. Agoura Rd., Calabasas, CA 91302
Security(ies) — Tenant in common interests in CORE Cardinal Apartments
Issuer of security(ies) — CORE Cardinal, LLC
Address of issuer — 1600 Dove St., Suite 210, Newport Beach, CA 92660
State or country in which organized — Delaware limited liability company

Agile Mind Educational Holdings, Inc.
 1100 Main St., Suite 101, Grapevine, TX 75051
State or country in which incorporated — Delaware
Security(ies) — Series A preferred stock
Issuer of security(ies) — Agile Mind Educational Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

American Burger Company, Inc.
 356 New York Ave., Huntington, NY 11743
Security(ies) — Common stock at a price of \$1.50 per share
Issuer of security(ies) — American Burger Company, Inc.
Address of issuer — Same as above
State or country in which organized — New York

Andover Medical, Inc.
 510 Turnpike St., Suite 204, North Andover, MA 01845
State or country in which incorporated — Delaware
Security(ies) — 6% convertible notes
Issuer of security(ies) — Andover Medical, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Applied Genetics Incorporated Dermatics
 205 Buffalo Ave., Freeport, NY 11520
State or country in which incorporated — Delaware
Security(ies) — Series A preferred stock
Issuer of security(ies) — Applied Genetics Incorporated Dermatics
Address of issuer — Same as above
State or country in which organized — Delaware

Augustus MJK Offshore Fund Ltd.
 c/o Genesis Fund Services Ltd., 1st Fl., Goodman Bay Corporate Center,
 W. Bay St., P.O. Box N-9058, Nassau, Bahamas
Security(ies) — Class A shares
Issuer of security(ies) — Augustus MJK Offshore Fund Ltd.
Address of issuer — Same as above
State or country in which organized — Bahamas

Banc of America Securities, LLC
 100 N. Tryon St., Charlotte, NC 28255
State or country in which incorporated — North Carolina
Security(ies) — Limited partnership
Issuer of security(ies) — BA Diversified Real Estate Fund, L.P.
Address of issuer — 40 W. 57th St., New York, NY 10019
State or country in which organized — Delaware

Bear, Stearns & Co. Inc.
 383 Madison Ave., New York, NY 10179
State or country in which incorporated — Delaware
Security(ies) — Senior floating rate notes due 2014
Issuer of security(ies) — American Entertainment Properties Corp.
Address of issuer — 2000 Las Vegas Blvd. S, Las Vegas, NV 89104
State or country in which organized — Delaware

Bear, Stearns & Co. Inc.
 383 Madison Ave., New York, NY 10179
State or country in which incorporated — Delaware
Security(ies) — Senior floating rate notes due 2014
Issuer of security(ies) — American Entertainment Properties Finance Corp.
Address of issuer — 2000 Las Vegas Blvd. S, Las Vegas, NV 89104
State or country in which organized — Delaware

Bessemer Employee Investment Fund I LLC
 630 Fifth Ave., New York, NY 10111
State or country in which incorporated — Delaware
Security(ies) — Limited liability company interests
Issuer of security(ies) — Bessemer Employee Investment Fund I LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Bessemer Investor Services, Inc.
 630 Fifth Ave., New York, NY 10111
State or country in which incorporated — Delaware
Security(ies) — Limited liability company interests
Issuer of security(ies) — Old Westbury Private Equity Fund IX, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

BNY Hamilton Funds, Inc.—BNY Hamilton 100% U.S. Treasury Securities Money Fund
 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
Security(ies) — Common stock
Issuer of security(ies) — BISYS Fund Services, L.P.
Address of issuer — Same as above
State or country in which organized — Maryland

BNY Hamilton Funds, Inc.—BNY Hamilton U.S. Government Money Fund
 3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
Security(ies) — Common stock
Issuer of security(ies) — BISYS Fund Services, L.P.
Address of issuer — Same as above
State or country in which organized — Maryland

Brantwood Partners, L.P.
 31 W. 52nd St., 17th Fl., New York, NY 10019
Security(ies) — Limited partnership interests
Issuer of security(ies) — Brantwood Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

California Income Properties #8, LLC
 22144 Clarendon St., Suite 240, Woodland Hills, CA 91367
State or country in which incorporated — California
Security(ies) — Limited liability company membership units
Issuer of security(ies) — California Income Properties #8, LLC
Address of issuer — Same as above
State or country in which organized — California

Cavit Sciences, Inc.
100 E. Linton Blvd., Suite 106B, Delray Beach, FL 33483
State or country in which incorporated — Florida
Security(ies) — Common stock, \$.01 par value
Issuer of security(ies) — Cavit Sciences, Inc.
Address of issuer — Same as above
State or country in which organized — Florida

CCM Income Fund, LP
6075 Poplar Ave., Suite 402, Memphis, TN 38119
Security(ies) — Limited partnership interests
Issuer of security(ies) — CCM Income Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Citigroup Global Markets Inc.
388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Equity Focus Trust—S&P Competitive Advantage Portfolio, 2006 Series C
Address of issuer — Same as above
State or country in which organized — New York

Citigroup Global Markets Inc.
388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Tax Exempt Securities Trust, National Trust 436
Address of issuer — Same as above
State or country in which organized — New York

Citigroup Global Markets Inc.
388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Tax Exempt Securities Trust, National Trust 437
Address of issuer — Same as above
State or country in which organized — New York

Citigroup Global Markets Inc.
388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Tax Exempt Securities Trust, National Trust 438
Address of issuer — Same as above
State or country in which organized — New York

Citigroup Global Markets Inc.
388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Tax Exempt Securities Trust, National Trust 439
Address of issuer — Same as above
State or country in which organized — New York

Columbus Extended Market Fund, LLC
c/o Reams Asset Management Company LLC, 227 Washington St., Columbus, IN 47201

Security(ies) — Limited liability company interests
Issuer of security(ies) — Columbus Extended Market Fund, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

ConvergEx Holdings, LLC
6100 Sears Tower, Chicago, IL 60606
Security(ies) — Limited liability company interests
Issuer of security(ies) — ConvergEx Holdings, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Cytogel Surviving Entity, LLC
65 Locust Ave., New Canaan, CT 06840
State or country in which incorporated — Connecticut limited liability company
Security(ies) — Convertible preferred units
Issuer of security(ies) — Cytogel Surviving Entity, LLC
Address of issuer — Same as above
State or country in which organized — Connecticut

David DeFrancis, Manager; Steven DeFrancis, Manager
260 Peachtree St., Suite 1001, Atlanta, GA 30303
Security(ies) — Rule 506 offering; membership units
Issuer of security(ies) — Biloxi Associates, LLC
Address of issuer — Same as above
State or country in which organized — Georgia

Delta Farms South Joint Venture
1848 Norwood Plaza, Suite 109, Hurst, TX 76054
Security(ies) — Joint venture interests
Issuer of security(ies) — Delta Farms South Joint Venture
Address of issuer — Same as above
State or country in which organized — Texas

Denahi Asia Focus Fund, L.P.
455 Market St., Suite 550, San Francisco, CA 94105
Security(ies) — Limited partnership interest
Issuer of security(ies) — Denahi Asia Focus Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

First Montauk Securities Corp.
Pwky. 109 Office Center, 328 Newman Springs Rd., Red Bank, NJ 07701
State or country in which incorporated — New York
Security(ies) — Common stock
Issuer of security(ies) — Shumate Industries, Inc.
Address of issuer — 12060 FM 3083, Conroe, TX 77301
State or country in which organized — Delaware

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1200 (Target Double Play Portfolio, October 2006 Series)
Address of issuer — c/o First Trust Portfolios, 1001 Warrenville Rd., Lisle, IL 60532
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1200 (Target Focus Four Portfolio, October 2006 Series)

Address of issuer — c/o First Trust Portfolios, 1001 Warrenville Rd., Lisle, IL 60532

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1200 (Target VIP Portfolio, October 2006 Series)

Address of issuer — c/o First Trust Portfolios, 1001 Warrenville Rd., Lisle, IL 60532

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1200 (The DowSM Target 10 Portfolio, October 2006 Series)

Address of issuer — c/o First Trust Portfolios, 1001 Warrenville Rd., Lisle, IL 60532

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1200 (The DowSM Target Dividend Portfolio, October 2006 Series)

Address of issuer — c/o First Trust Portfolios, 1001 Warrenville Rd., Lisle, IL 60532

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1200 (Value Line[®] Target 25 Portfolio, October 2006 Series)

Address of issuer — c/o First Trust Portfolios, 1001 Warrenville Rd., Lisle, IL 60532

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1201 (Global Target 15 Portfolio, 4th Quarter 2006 Series)

Address of issuer — c/o First Trust Portfolios, 1001 Warrenville Rd., Lisle, IL 60532

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1201 (NYSE[®] International Target 25 Portfolio, 4th Quarter 2006 Series)

Address of issuer — c/o First Trust Portfolios, 1001 Warrenville Rd., Lisle, IL 60532

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1201 (S&P Target SMid 60 Portfolio, 4th Quarter 2006 Series)

Address of issuer — c/o First Trust Portfolios, 1001 Warrenville Rd., Lisle, IL 60532

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1201 (Target 50/50 Portfolio, 4th Quarter 2006 Series)

Address of issuer — c/o First Trust Portfolios, 1001 Warrenville Rd., Lisle, IL 60532

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1201 (Target Diversified Dividend Portfolio, 4th Quarter 2006 Series)

Address of issuer — c/o First Trust Portfolios, 1001 Warrenville Rd., Lisle, IL 60532

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1201 (Target Large-Cap Portfolio, 4th Quarter 2006 Series)

Address of issuer — c/o First Trust Portfolios, 1001 Warrenville Rd., Lisle, IL 60532

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1201 (Target Small-Cap Portfolio, 4th Quarter 2006 Series)

Address of issuer — c/o First Trust Portfolios, 1001 Warrenville Rd., Lisle, IL 60532

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1201 (Target Triad Portfolio, 4th Quarter 2006 Series)

Address of issuer — c/o First Trust Portfolios, 1001 Warrenville Rd., Lisle, IL 60532

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1201 (Target VIP Conservative Equity Portfolio, 4th Quarter 2006 Series)

Address of issuer — c/o First Trust Portfolios, 1001 Warrenville Rd., Lisle, IL 60532

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1201 (The DowSM Target 5 Portfolio, 4th Quarter 2006 Series)

Address of issuer — c/o First Trust Portfolios, 1001 Warrenville Rd., Lisle, IL 60532

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1201 (The Nasdaq[®] Target 15 Portfolio, 4th Quarter 2006 Series)

Address of issuer — c/o First Trust Portfolios, 1001 Warrenville Rd., Lisle, IL 60532

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1201 (The S&P Target 24 Portfolio, 4th Quarter 2006 Series)

Address of issuer — c/o First Trust Portfolios, 1001 Warrenville Rd., Lisle, IL 60532

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1225 (Camelback III Strategic Portfolio, 4th Quarter 2006 Series)

Address of issuer — c/o First Trust Portfolios, 1001 Warrenville Rd., Lisle, IL 60532

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1230 (Income Allocation Closed-End Portfolio, 4th Quarter 2006 Series)

Address of issuer — c/o First Trust Portfolios, 1001 Warrenville Rd., Lisle, IL 60532

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1231 (Sector Allocation Portfolio, 4th Quarter 2006 Series)

Address of issuer — c/o First Trust Portfolios, 1001 Warrenville Rd., Lisle, IL 60532

State or country in which organized — Illinois

Fixed Income Securities, L.P.

18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — Advisor's Disciplined Trust 110 (New York Municipal Closed-End Portfolio, Series 3)

Address of issuer — c/o Fixed Income Securities, L.P., 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415

State or country in which organized — New York

Friedman, Billings, Ramsey & Co., Inc.

1001 Nineteenth St. N, 18th Fl., Arlington, VA 22209

State or country in which incorporated — Delaware

Security(ies) — Common stock

Issuer of security(ies) — Coleman Cable, Inc.

Address of issuer — 1530 Shields Dr., Waukegan, IL 60085

State or country in which organized — Delaware

Frontline Test Services Company, LLC

P.O. Box 7306, Charlottesville, VA 22906

Security(ies) — Class A membership interest units

Issuer of security(ies) — Frontline Test Services Company, LLC

Address of issuer — Same as above

State or country in which organized — Virginia

Goldman, Sachs & Co.; (2) Merrill Lynch, Pierce, Fenner & Smith Incorporated

85 Broad St., New York, NY 10004; (2) Four World Financial Center, New York, NY 10281

State or country in which incorporated — (1) New York partnership; (2) Delaware

Security(ies) — 0.50% convertible senior notes due 2011

Issuer of security(ies) — priceline.com Incorporated

Address of issuer — 800 Connecticut Ave., Norwalk, CT 06854

State or country in which organized — Delaware

Goldman, Sachs & Co.; (2) Merrill Lynch, Pierce, Fenner & Smith Incorporated

85 Broad St., New York, NY 10004; (2) Four World Financial Center, New York, NY 10281

State or country in which incorporated — (1) New York partnership; (2) Delaware

Security(ies) — 0.75% convertible senior notes due 2013

Issuer of security(ies) — priceline.com Incorporated

Address of issuer — 800 Connecticut Ave., Norwalk, CT 06854

State or country in which organized — Delaware

GRT Technology, L.P.

50 Milk St., 21st Fl., Boston, MA 02109

Security(ies) — Limited partnership interests

Issuer of security(ies) — GRT Technology, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Harris Associates Securities L.P.

Two N. LeSalle St., Chicago, IL 60602-3790

State or country in which incorporated — Massachusetts

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Harris Associates Investment Trust—The Oakmark Global Select Fund

Address of issuer — Two N. LaSalle St., Suite 500, Chicago, IL 60602-3790

State or country in which organized — Massachusetts

HC Innovations, Inc.

Six Corporate Dr., Suite 420, Shelton, CT 06484

State or country in which incorporated — Delaware

Security(ies) — Units consisting of (a) 50,000 shares of common stock and (b) warrants to purchase 50,000 shares of common stock

Issuer of security(ies) — HC Innovations, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Lehman Brothers Inc.

745 Seventh Ave., New York, NY 10019

State or country in which incorporated — Delaware

Security(ies) — One unit consisting of the following classes: class M and class B

Issuer of security(ies) — IndyMac Residential Mortgage-Backed Trust, Series 2006-L3

Address of issuer — 155 N. Lake Ave., Pasadena, CA 91101

State or country in which organized — Delaware

Liftoptia, Inc.

1850 Gough St., No. 201, San Francisco, CA 94109

State or country in which incorporated — California

Security(ies) — Common stock

Issuer of security(ies) — Liftoptia, Inc.

Address of issuer — Same as above

State or country in which organized — California

Logical Images, Inc.

3445 Winton Place, Suite 212, Rochester, NY 14623

State or country in which incorporated — New York

Security(ies) — Series A convertible participating preferred stock

Issuer of security(ies) — Logical Images, Inc.

Address of issuer — Same as above

State or country in which organized — New York

Mad Croc Brands, Inc.

7026 Old Katy Rd., Suite 254, Houston, TX 77024

State or country in which incorporated — Texas

Security(ies) — Common stock

Issuer of security(ies) — Mad Croc Brands, Inc.

Address of issuer — Same as above

Maxim Group LLC; (2) Chardan Capital Markets LLC

405 Lexington Ave., New York, NY 10174; (2) 17 State St., New York, NY 10004

State or country in which incorporated — (1) & (2) New York

Security(ies) — Common stock

Issuer of security(ies) — SORL Auto Parts, Inc.

Address of issuer — 1169 Yumeng Rd., Ruian City, Zhejiang Province 325200, People's Republic of China

State or country in which organized — Delaware

MCL Financial

29858 Park Village Dr., Evergreen, CO 80439

Security(ies) — One unit consisting of mineral interests, royalty and overriding royalty interests

Issuer of security(ies) — Windom Royalties, L.L.C.

Address of issuer — 15601 N. Dallas Pkwy., Suite 900, Addison, TX 75001

State or country in which organized — Texas

MedServe, Inc.

6575 West Loop S, Suite 145, Bellaire, TX 77401

State or country in which incorporated — Delaware

Security(ies) — Series C preferred stock

Issuer of security(ies) — MedServe, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

MML Distributors, LLC

1414 Main St., Springfield, MA 01144-1013

State or country in which incorporated — Delaware

Security(ies) — MassMutual Select Mid-Cap Value Fund, class A, shares of beneficial interest, no par value

Issuer of security(ies) — MassMutual Select Funds—MassMutual Select

Mid-Cap Value Fund

Address of issuer — 1295 State St., Springfield, MA 01111

State or country in which organized — Massachusetts

Moreton Bay—Special Value Growth—Partnership, LP

1105 Chapala St., Suite 6, Santa Barbara, CT 93101

Security(ies) — Units consisting of limited partnership interests

Issuer of security(ies) — Moreton Bay—Special Value

Growth—Partnership, LP

Address of issuer — Same as above

State or country in which organized — Delaware

Northern Lights Fund Trust

c/o Gemini Fund Services, LLC, 450 Wireless Blvd., Hauppauge, NY 11788

State or country in which incorporated — Delaware

Security(ies) — Units of beneficial interest—The Alpha Fund, Service Class

Issuer of security(ies) — Northern Lights Fund Trust

Address of issuer — Same as above

State or country in which organized — Delaware

Orange Value Fund, L.L.C., The

721 University Ave., Suite 110, Whitman School, Syracuse, NY 13224

State or country in which incorporated — Delaware limited liability company

Security(ies) — Limited liability company membership interest

Issuer of security(ies) — The Orange Value Fund, L.L.C.

Address of issuer — Same as above

State or country in which organized — Delaware

Outdoor Kitchen Concepts, Inc.

3221 Polaris Ave., Las Vegas, NV 89102

State or country in which incorporated — Nevada

Security(ies) — Units of preferred stock with warrants

Issuer of security(ies) — Outdoor Kitchen Concepts, Inc.

Address of issuer — Same as above

State or country in which organized — Nevada

Patton Medical Devices, LP

3500 Jefferson St., Suite 210, Austin, TX 78731

Security(ies) — Class D limited partnership units with corresponding general partner units

Issuer of security(ies) — Patton Medical Devices, LP

Address of issuer — Same as above

State or country in which organized — Texas

PH Ventures, LLC

518 Olive St., Sausalito, CA 94965

State or country in which incorporated — California

Security(ies) — Interests in limited liability company

Issuer of security(ies) — PH Ventures, LLC

Address of issuer — Same as above

State or country in which organized — California

Polar Capital Discovery Fund Limited

c/o BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate Centre, 5th Fl., 27 Hospital Rd., P.O. Box 1748, George Town, Grand Cayman, Cayman Islands, British West Indies

State or country in which incorporated — Cayman Islands

Security(ies) — Class A participating shares

Issuer of security(ies) — Polar Capital Discovery Fund Limited

Address of issuer — Same as above

State or country in which organized — Cayman Islands

Polar Capital Discovery Fund Limited
 c/o BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate
 Centre, 5th Fl., 27 Hospital Rd., P.O. Box 1748, George Town, Grand
 Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands
Security(ies) — Class B participating shares
Issuer of security(ies) — Polar Capital Discovery Fund Limited
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Polar Capital Discovery Fund Limited
 c/o BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate
 Centre, 5th Fl., 27 Hospital Rd., P.O. Box 1748, George Town, Grand
 Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands
Security(ies) — Class C participating shares
Issuer of security(ies) — Polar Capital Discovery Fund Limited
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Ridgewood Energy U Fund, LLC
 947 Linwood Ave., Ridgewood, NJ 07450
State or country in which incorporated — Delaware
Security(ies) — Membership interests
Issuer of security(ies) — Ridgewood Energy U Fund, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

SCP Levered Fund Domestic, LP
 One Fawcett Place, Greenwich, CT 06830
Security(ies) — Limited partnership interests
Issuer of security(ies) — SCP Levered Fund Domestic, LP
Address of issuer — Same as above
State or country in which organized — Delaware

SEI Investments Distribution Co.
 One Freedom Valley Rd., Oaks, PA 19456
State or country in which incorporated — Pennsylvania
Security(ies) — Units of beneficial interest
Issuer of security(ies) — The Advisors' Inner Circle Fund II—The AIC
 Fund II—Perimeter Small Cap Growth Fund
Address of issuer — 101 Federal St., Boston, MA 02110
State or country in which organized — Massachusetts

Seminole Capital Partners, L.P.
 c/o Seminole Capital Management LLC, 150 E. 52nd St., 29th Fl., New
 York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Seminole Capital Partners, L.P.
Address of issuer — Same as above
State or country in which organized — New York

Seminole Capital Partners II, L.P.
 c/o Seminole Capital Management LLC, 150 E. 52nd St., 29th Fl., New
 York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — Seminole Capital Partners II, L.P.
Address of issuer — Same as above
State or country in which organized — New York

Seminole Offshore Fund, Ltd.
 c/o Seminole Capital Management LLC, 150 E. 52nd St., 29th Fl., New
 York, NY 10022
Security(ies) — Common shares

Issuer of security(ies) — Seminole Offshore Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — British Virgin Islands

SpectrumDNA, Inc.
 136 Heber Ave., Suite 102, Park City, UT 84060
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — SpectrumDNA, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Tomotherapy of Westchester, LLC
 Eight Catholow Dr., Riverside, CT 06878
Security(ies) — Limited liability company membership interests
Issuer of security(ies) — Tomotherapy of Westchester, LLC
Address of issuer — Same as above
State or country in which organized — New York

Turner Global Technology & Telecom Long/Short, L.P.
 1205 Westlakes Dr., Suite 100, Berwyn, PA 19312
Security(ies) — Limited partnership interests
Issuer of security(ies) — Turner Global Technology & Telecom
 Long/Short, L.P.
Address of issuer — Same as above
State or country in which organized — Pennsylvania

Valara Partners, LP
 108 Corporate Park Dr., Suite 218, White Plains, NY 10604
Security(ies) — Limited partnership interests
Issuer of security(ies) — Valara Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — EAFEm Select 20 Portfolio 2006-4
Address of issuer — c/o Van Kampen Funds Inc., One Parkview Plaza,
 Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Global 45 Dividend Strategy Portfolio 2006-4
Address of issuer — c/o Van Kampen Funds Inc., One Parkview Plaza,
 Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — IPOX-30 Index Portfolio 2006-4
Address of issuer — c/o Van Kampen Funds Inc., One Parkview Plaza,
 Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Insured Municipals Income Trust, Laddered Series 19
Address of issuer — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Multi-Strategy Series: EAFE, EDVY 2006-4
Address of issuer — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Nasdaq Select 10 Portfolio 2006-4
Address of issuer — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — NYSE IPOX Focus 15 Portfolio 2006-4
Address of issuer — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Select 5 Industrial Portfolio 2006-4
Address of issuer — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Select S&P Core Portfolio 2006-4
Address of issuer — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Select S&P Industrial Portfolio 2006-4
Address of issuer — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — The Dow Jones Select Dividend Index Strategy Portfolio 2006-4
Address of issuer — c/o Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Van Kampen Equity Trust—Van Kampen Asset Allocation Conservative Fund
Address of issuer — One Parkview Plaza, P.O. Box 5555, Oakbrook Terrace, IL 60181-5555
State or country in which organized — Delaware

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Van Kampen Equity Trust—Van Kampen Asset Allocation Growth Fund
Address of issuer — One Parkview Plaza, P.O. Box 5555, Oakbrook Terrace, IL 60181-5555
State or country in which organized — Delaware

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Van Kampen Equity Trust—Van Kampen Asset Allocation Moderate Fund
Address of issuer — One Parkview Plaza, P.O. Box 5555, Oakbrook Terrace, IL 60181-5555
State or country in which organized — Delaware

Vergence Entertainment LLC
 655 N. Central Ave., Suite 1700, Glendale, CA 91203
Security(ies) — Limited liability company membership interests
Issuer of security(ies) — Vergence Entertainment LLC
Address of issuer — Same as above
State or country in which organized — California

Virtual Ventures IV, LLC
 524 Second Ave., Suite 500, Seattle, WA 98104
Security(ies) — Membership interests (class A and class B)
Issuer of security(ies) — Virtual Ventures IV, LLC
Address of issuer — Same as above
State or country in which organized — Washington

VonWin Capital Management, L.P.
 60 Madison Ave., 2nd Fl., New York, NY 10010
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interests
Issuer of security(ies) — VonWin Capital Management, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Wallman Properties, LLC
 175 N. Central Ave., Valley Stream, NY 11580
State or country in which incorporated — New York
Security(ies) — Units in 175 North Central Avenue Condominium
Issuer of security(ies) — Wallman Properties, LLC

Address of issuer — Same as above
State or country in which organized — New York

Wesco Holdings, Inc.
27727 Avenue Scott, Valencia, CA 91355
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Wesco Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Westcliff Alternative Holdings, L.P.
200 Seventh Ave., Suite 105, Santa Cruz, CA 95062
Security(ies) — Limited partnership interest
Issuer of security(ies) — Westcliff Alternative Holdings, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Westcliff Alternative Holdings SA, L.P.
200 Seventh Ave., Suite 105, Santa Cruz, CA 95062
Security(ies) — Limited partnership interest
Issuer of security(ies) — Westcliff Alternative Holdings SA, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware