

# SECURITIES OFFERINGS

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## STATE NOTICES

Published pursuant to provisions of General Business Law  
[Art. 23-A, §359-e(2)]

## DEALERS; BROKERS

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Access America Fund, LP  
1800 W. Loop S, Suite 485, Houston, TX

Adera Mines Limited  
20710 Lassen St., Chatsworth, CA 91311

Aegis SMB Fund II, LP  
27 Hulse Landing, Brick, NJ 08723  
*Partnership* — Aegis SMB Fund II GP, LLC

AFA Financial Group, LLC  
26637 W. Agoura Rd., Calabasas, CA 91302  
*State or country in which incorporated* — California limited liability company

Alkeon Select Series SPC Fund, Ltd.  
c/o BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate Centre, 27 Hospital Rd., P.O. Box 1748 GT, George Town, Grand Cayman, Cayman Islands  
*State or country in which incorporated* — Cayman Islands

Anthem Music and Media Fund, LLC  
45 Rockefeller Center, 630 Fifth Ave., Suite 1701, New York, NY 10111

Apis Capital (QP), LP  
53 Forest Ave., Suite 103, Old Greenwich, CT, 06870  
*Partnership* — Apis Capital Advisors, LLC

Atlas Trading LLC  
50 Broad St., #1602, New York, NY 10004

Access, Inc.  
15 Sullivan St., Goldens Bridge, NY 10526  
*State or country in which incorporated* — Delaware

Axonyx Inc.  
500 Seventh Ave., 10th Fl., New York, NY 10018  
*State or country in which incorporated* — Nevada

Bass Creek Advisors Ltd  
2193 Chatfield Dr., Cleveland Heights, OH 44106  
*State or country in which incorporated* — Ohio

BHC Winton Fund, L.P.  
301 Tresser Blvd., Stamford, CT 06901-3239  
*Partnership* — BCH Winton Fund Management, L.L.C.

Blackfoot Real Estate Partners I, L.P.  
The Katonah Professional Bldg., 51 Bedford Rd., Katonah, NY 10536  
*Partnership* — Blackfoot Capital Advisors, LLC, general partner

BlueShore Equity Fund, L.P.  
909 Third Ave., 29th Fl., New York, NY 10022  
*Partnership* — East Avenue Capital Partners, LLC

CharterAuction.com, Inc.  
300 Congress St., Quincy, MA 02169  
*State or country in which incorporated* — Delaware

Coeus Capital LP  
c/o Coeus Partners LLC, 9 Greenwich Office Park, Greenwich, CT 06831  
*Partnership* — Coeus Partners, LLC, general partner

Coeus Capital Partners LP  
c/o Coeus Partners LLC, 9 Greenwich Office Park, Greenwich, CT 06831  
*Partnership* — Coeus Partners, LLC, general partner

Connected Energy Corp.  
Four Commercial St., Rochester, NY 14614  
*State or country in which incorporated* — Delaware

CP Affordable Housing Value Fund I, L.P.  
1801 Century Park E, Suite 1200, Los Angeles, CA 90067  
*Partnership* — CP Fund I GP, LLC

Draper Fisher Juvetson Growth Fund 2006, L.P.  
2882 Sand Hill Rd., Suite 150, Menlo Park, CA 94025  
*Partnership* — Draper Fisher Juvetson Growth Fund 2006 Partners, L.P., general partner; DFJ Growth Fund 2006, Ltd., general partner

EIP Growth and Income Fund, a Series of EIP Investment Trust  
49 Riverside Ave., Westport, CT 06880  
*State or country in which incorporated* — Delaware

ETR Pasco Fund, LLC  
13131 SW. 132nd St., Suite 202, Miami, FL 33186

Florida Capital Group, Inc.  
10151 Deerwood Park Blvd., Bldg. 100, Suite 250, Jacksonville, FL  
32256

*State or country in which incorporated* — Florida

Gemini Special Opportunities Fund, L.P.  
12220 El Camino Real, Suite 400, San Diego, CA 92130  
*Partnership* — Gemini Strategies, LLC

Greenhurst Village, Inc.  
105 Greenhurst Village Dr., Jamestown, NY 14701  
*State or country in which incorporated* — New York

GRT United Alpha European Fund, L.P.  
50 Milk St., 21st Fl., Boston, MA 02190  
*Partnership* — GRT United AlphaGP I, L.L.C.

Headway Corporate Resources, Inc.  
One Bank of America Plaza, 421 Fayetteville St., Suite 1020, Raleigh,  
NC 27601

*State or country in which incorporated* — Delaware

Herborium, Inc.  
Three Oak St., Teaneck, NJ 07666  
*State or country in which incorporated* — Delaware

HorseTV Media Group, Inc.  
26850 Agoura Rd., Calabasas, CA 91301  
*State or country in which incorporated* — Delaware

Ibis Asia Fund, LP  
600 Madison Ave., 16th Fl., New York, NY 10022  
*Partnership* — Ibis Investments, LLC, general partner

Innovative Clinical Solutions, Inc.  
35 Oceanview Pkwy., Southampton, NY 11968  
*State or country in which incorporated* — New York

Juniper Catastrophe Fund, Ltd.  
Three Burnaby St., Hamilton HM 12, Bermuda  
*State or country in which incorporated* — Bermuda

Kuhns Brothers Securities Corporation  
558 Lime Rock Rd., Lakeville, CT 06039  
*State or country in which incorporated* — Connecticut

Laidlaw & Company (UK) Ltd.  
90 Park Ave., 31st Fl., New York, NY 10016  
*State or country in which incorporated* — United Kingdom

Lotus Bancorp, Inc.  
18239 W. Twelve Mile Rd., Lathrup Village, MI 48076  
*State or country in which incorporated* — Michigan

MIL Equity Partners, L.P.  
P.O. Box 8445, Boston, MA 02114-0034  
*Partnership* — EquityInvest, LLC & Colliers International

MLP Real Estate Securities, Inc.  
555 Capital Mall, Suite 766, Sacramento, CA 95814  
*State or country in which incorporated* — California

Money Centers of America, Inc.  
700 S. Henderson Rd., King of Prussia, PA 19406  
*State or country in which incorporated* — Delaware

Nautic Partners VI, L.P.  
50 Kennedy Plaza, 12th Fl., Providence, RI 02903  
*Partnership* — Nautic Management VI, L.P.

Nautic Partners VI-A, L.P.  
50 Kennedy Plaza, 12th Fl., Providence, RI 02903  
*Partnership* — Nautic Management VI, L.P.

Neopolitan Networks, Inc.  
260 Sheridan Ave., Suite 400, Palo Alto, CA 94306  
*State or country in which incorporated* — California

NMH Small Cap Value Fund I, L.P.  
11924 Forest Hill Blvd., Suite 22, #132, Wellington, Florida 33414  
*Partnership* — NMH Management, L.P., NMH Investments, LLC,  
general partners

Owlstone Nanotech, Inc.  
600 Lexington Ave., New York, NY 10022  
*State or country in which incorporated* — Delaware

Palo Alto Healthcare Fund II, L.P.  
470 University Ave., Palo Alto, CA 94301  
*Partnership* — Palo Alto Investors, LLC

PAF Series 9 LLC  
105 Aviemore Dr., Pinehurst, NC 28374  
*State or country in which incorporated* — Delaware limited liability  
company

Protocall Technologies Incorporated  
47 Mall Dr., Commack, NY 11725  
*State or country in which incorporated* — Nevada

Rushmore Recoveries XIV, LLC  
10 New King St., Suite 205, White Plains, NY 10604

Sawteeth, Inc.  
255 South B St., San Mateo, CA 94401  
*State or country in which incorporated* — California

SMB Capital Partners, L.P.  
17 Duck Pond Lane, Ramsey, NJ 07446  
*Partnership* — KSLB Advisors, L.L.C.

Spruce House Partners LP  
435 E. 52nd St., Suite 18C, New York, NY 10022  
*Partnership* — Spruce House Capital LLC, general partner

Stern Brothers & Co.  
8000 Maryland Ave., Suite 800, St. Louis, MO 63105  
*State or country in which incorporated* — Missouri

Strikeforce Technologies, Inc.  
1090 King Georges Post Rd., Suite 108, Edison, NJ 08837  
*State or country in which incorporated* — New Jersey

Summer Street Capital II, L.P.  
70 W. Chippewa St., Suite 500, Buffalo, NY 14202  
*Partnership* — Summer Street Capital II Advisors, LLC

Sun News Holdings, LLC  
9601 Wilshire Blvd., Penthouse, Beverly Hills, CA 90210  
*State or country in which incorporated* — Delaware

T. Rowe Price Institutional Equity Funds Inc./T. Rowe Price Institutional Concentrated Large Cap Value Fund  
100 E. Pratt St., Baltimore, MD 21202  
*State or country in which incorporated* — Maryland

UBS Financial Services, Inc.  
1285 Avenue of the Americas, New York, NY 10019  
*State or country in which incorporated* — Delaware

USEngineering Solutions Corporation  
179 Allyn St., Suite #407, Hartford, CT 06103  
*State or country in which incorporated* — Delaware

Valley Forge Composite Technologies, Inc.  
50 E. River Center Blvd., Suite 820, Covington, KY 41011  
*State or country in which incorporated* — Florida

VDM Structured Products, LLC  
45 Broadway, 29th Fl., New York, NY 10006

Viewray Incorporated  
101 SE. Second Place, Suite 201-D, Gainesville, FL 32601  
*State or country in which incorporated* — Florida

Westminster Securities Corp.  
11605 Studt Ave., Suite 120, St. Louis, MO 63141  
*State or country in which incorporated* — New York

Westport Main Street Retail L.L.C.  
c/o Wien & Malkin LLP, 60 E. 42nd St., New York, NY 10165  
*Partnership* — Malkin Westport L.L.C.

ZAIS Scepticus Fund I, Ltd.  
c/o Ogier Fiduciary Services (Cayman) Limited, P.O. Box 1234 GT, Queensgate House, S. Church St., George Town, Grand Cayman, KY1-1108, Cayman Islands  
*State or country in which incorporated* — Cayman Islands

**FURTHER STATE NOTICES**

Published pursuant to provisions of General Business Law  
[Art. 23-A, §359-e(8), as amended]

**SECURITIES BY  
DEALERS; BROKERS;  
SYNDICATE MANAGERS**

Access America Fund, LP  
1800 W. Loop S, Suite 485, Houston, TX 77027  
*Security(ies)* — Partnership interests  
*Issuer of security(ies)* — Access America Fund, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Adera Mines Limited  
20710 Lassen St., Chatsworth, CA 91311  
*State or country in which incorporated* — Nevada  
*Security(ies)* — One unit consisting of common stock and warrants to purchase common stock

*Issuer of security(ies)* — Adera Mines Limited  
*Address of issuer* — Same as above  
*State or country in which organized* — Nevada

Aegis SMB Fund II, LP  
27 Hulse Landing, Brick, NJ 08723  
*State or country in which incorporated* — Delaware limited partnership  
*Security(ies)* — Limited partnership interests (class A common)  
*Issuer of security(ies)* — Aegis SMB Fund II, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Aegis SMB Fund II, LP  
27 Hulse Landing, Brick, NJ 08723  
*State or country in which incorporated* — Delaware limited partnership  
*Security(ies)* — Limited partnership interests (class B preferred)  
*Issuer of security(ies)* — Aegis SMB Fund II, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

AFA Financial Group, LLC  
26637 W. Agoura Rd., Calabasas, CA 91302  
*Security(ies)* — Limited liability company interests in CORE Sonoma Ridge  
*Issuer of security(ies)* — CORE Sonoma Ridge, LLC  
*Address of issuer* — 1600 Dove St., Suite 210, Newport Beach, CA 92660  
*State or country in which organized* — Delaware limited liability company

AFA Financial Group, LLC  
26637 W. Agoura Rd., Calabasas, CA 91302  
*Security(ies)* — Tenant in common interests in CORE Sonoma Ridge Apartments  
*Issuer of security(ies)* — CORE Sonoma Ridge, LLC  
*Address of issuer* — 1600 Dove St., Suite 210, Newport Beach, CA 92660  
*State or country in which organized* — Delaware limited liability company

Alkeon Select Series SPC Fund, LTD.  
c/o BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate Centre, 27 Hospital Rd., P.O. Box 1748 GT, George Town, Grand Cayman, Cayman Islands  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Common shares, par value \$0.01 (U.S.) per share  
*Issuer of security(ies)* — Alkeon Select Series SPC Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

American Landmark Securities Inc.  
433 E. Las Colinas Blvd., Suite 805, Irving, TX 75039  
*State or country in which incorporated* — Texas  
*Security(ies)* — Teal Prospect, LP  
*Issuer of security(ies)* — Prodigy Oil and Gas LLC  
*Address of issuer* — 433 E. Las Colinas Blvd., Suite 800, Irving, TX 75039  
*State or country in which organized* — Texas

Anthem Music and Media Fund, LLC  
45 Rockefeller Center, 630 Fifth Ave., Suite 1701, New York, NY 10111  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — Anthem Music and Media Fund, LLC

*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Axcess, Inc.  
 15 Sullivan St., Goldens Bridge, NY 10526  
*Security(ies)* — Convertible debenture  
*Issuer of security(ies)* — Axcess, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Axonyx Inc.  
 500 Seventh Ave., 10th Fl., New York, NY 10018  
*State or country in which incorporated* — Nevada  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Axonyx Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Nevada

Axonyx Inc.  
 500 Seventh Ave., 10th Fl., New York, NY 10018  
*State or country in which incorporated* — Nevada  
*Security(ies)* — Warrants to purchase common stock  
*Issuer of security(ies)* — Axonyx Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Nevada

BHC Winton Fund L.P.  
 301 Tresser Blvd., Stamford, CT 06901-3239  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — BHC Winton Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Blackfoot Real Estate Partners I, L.P.  
 The Katonah Professional Bldg., 51 Bedford Rd., Katonah, NY 10536  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Blackfoot Real Estate Partners I, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

BlueShore Equity Fund, L.P.  
 909 Third Ave., 29th Fl., New York, 10022  
*Security(ies)* — Limited partnership unit  
*Issuer of security(ies)* — BlueShore Equity Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

BP Capital Energy Equity Fund II, L.P.  
 260 Preston Commons West, 8117 Preston Rd., Dallas, TX 75225  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — BP Capital Energy Equity Fund II, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

CharterAuction.com, Inc.  
 300 Congress St., Quincy, MA 02169  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Unit consisting of series B convertible preferred stock with warrants  
*Issuer of security(ies)* — CharterAuction.com, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Claymore Securities, Inc.  
 2455 Corporate West Dr., Lisle, IL 60532  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 10,000,000 units of undivided interest  
*Issuer of security(ies)* — Multiple Asset Portfolio, Series 9  
*Address of issuer* — Same as above  
*State or country in which organized* — Kansas

Claymore Securities, Inc.  
 2455 Corporate West Dr., Lisle, IL 60532  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 10,000,000 units of undivided interest  
*Issuer of security(ies)* — Peroni Growth Portfolio, Autumn 2006 Series  
*Address of issuer* — Same as above  
*State or country in which organized* — Kansas

Claymore Securities, Inc.  
 2455 Corporate West Dr., Lisle, IL 60532  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 10,000,000 units of undivided interest  
*Issuer of security(ies)* — Strategic Income Portfolio, Series 6  
*Address of issuer* — Same as above  
*State or country in which organized* — Kansas

Coeus Capital LP  
 c/o Coeus Partners LLC, Nine Greenwich Office Park, Greenwich, CT 06831  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Coeus Capital LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Coeus Capital Partners LP  
 c/o Coeus Partners LLC, Nine Greenwich Office Park, Greenwich, CT 06831  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Coeus Capital Partners LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Connected Energy Corp.  
 4 Commercial St., Rochester, NY 14614  
*State or country in which incorporated* — Delaware  
*Security(ies)* — One unit consisting of notes and warrants  
*Issuer of security(ies)* — Connected Energy Corp.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

CPA: 14 Holdings Inc.  
 50 Rockefeller Plaza, Second Fl., New York, NY 10020  
*State or country in which incorporated* — Maryland  
*Security(ies)* — common stock  
*Issuer of security(ies)* — CPA: 14 Holdings Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Maryland

CP Affordable Housing Value Fund I, L.P.  
 1801 Century Part East, Suite 1200, Los Angeles, CA 90067  
*Security(ies)* — LP interests  
*Issuer of security(ies)* — CP Affordable Housing Value Fund I, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Credit Suisse Securities (USA) LLC  
 11 Madison Ave., New York, NY 10010  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 5.75% note due 2017  
*Issuer of security(ies)* — Corporacion Andina de Fomento  
*Address of issuer* — Torre CAF Av. Luis Roche, Altamira Venezuela

Draper Fisher Jurvetson Growth Fund 2006, L.P.  
 2882 Sand Hill Rd., Suite 150, Menlo Park, CA 94025  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Draper Fisher Jurvetson Growth Fund 2006, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest, \$0.001 par value  
*Issuer of security(ies)* — DWS Advisor Funds, DWS Short Duration Fund  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Massachusetts

DWS Scudder Distributors, Inc.  
 345 Park Ave., New York, NY 10154-0010  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest, \$0.001 par value  
*Issuer of security(ies)* — DWS Advisor Funds, NY Tax Free Money Fund  
*Address of issuer* — One South St., Baltimore, MD 21202  
*State or country in which organized* — Massachusetts

EIP Growth and Income Fund, a Series of EIP Investment Trust  
 49 Riverside Ave., Westport, CT 06880  
*Security(ies)* — Fund shares  
*Issuer of security(ies)* — EIP Growth and Income Fund, a Series of EIP Investment Trust  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

ETR Pasco Fund, LLC  
 13131 SW. 132nd St., Suite 202, Miami, FL 33186  
*Security(ies)* — Membership interests  
*Issuer of security(ies)* — ETR Pasco Fund, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Florida

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1233 (Alternative Energy Select Portfolio, Series 2)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1233 (Energy Select Portfolio, Series 20)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1233 (Energy Portfolio, Series 20)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1233 (Healthcare Select Portfolio, Series 2)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1233 (Water Utility & Infrastructure Portfolio, Series 4)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1233 (Water Utility & Infrastructure Select Portfolio, Series 2)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1234 (Industrials Portfolio, Series 3)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1234 (Regional Bank & Thrift Portfolio, Series 10)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First Trust Portfolios L.P.  
 1001 Warrenville Rd., Lisle, IL 60532  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1243 (Municipal Select, Closed-End Portfolio, Series 4)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

Fixed Income Securities, L.P.  
 18925 Base Camp Rd., Suite 203, Monument, CO 80132  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — Advisor's Disciplined Trust 101 (CPI Linked Note Portfolio, Series 5)  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Fixed Income Securities, L.P.  
18925 Base Camp Rd., Suite 203, Monument, CO 80132  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — Advisor's Disciplined Trust 102 (Preferred Dividend Income Portfolio, Series 6)  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Fixed Income Securities, L.P.  
18925 Base Camp Rd., Suite 203, Monument, CO 80132  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — Advisor's Disciplined Trust 103 (High 50 Dividend Strategy Portfolio, Series 14)  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Fixed Income Securities, L.P.  
18925 Base Camp Rd., Suite 203, Monument, CO 80132  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — Advisor's Disciplined Trust 104 (Real Estate Closed-End Portfolio, Series 1)  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Florida Capital Group, Inc.  
10151 Deerwood Park Blvd., Bldg. 100, Suite 250, Jacksonville, FL 32256  
*State or country in which incorporated* — Florida  
*Security(ies)* — common stock  
*Issuer of security(ies)* — Florida Capital Group, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Florida

Galway Resources Ltd.  
475 W. George St., Suite 920, Vancouver, British Columbia, Canada V6B 4 M9  
*State or country in which incorporated* — Canada  
*Security(ies)* — Units consisting of one fully-paid and non-assessable common share and one-half of one non-transferable common share purchase warrant  
*Issuer of security(ies)* — Galway Resources Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Canada

Goldman, Sachs & Co.  
85 Broad St., New York, NY 10004  
*State or country in which incorporated* — New York partnership  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — priceline.com Incorporated  
*Address of issuer* — 800 Connecticut Ave., Norwalk, CT 06854  
*State or country in which organized* — Delaware

Greenhurst Village, Inc.  
105 Greenhurst Village Dr., Jamestown, NY 14701  
*State or country in which incorporated* — New York  
*Security(ies)* — Shares of cooperative stock  
*Issuer of security(ies)* — Greenhurst Village, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

GRT United Alpha European Fund, L.P.  
50 Milk St., 21st Fl., Boston, MA 02190  
*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — GRT United Alpha European Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

H.C. Wainwright & Co., Inc.  
52 Vanderbilt Ave., 12th Fl., New York, NY 10017  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Units consisting of common stock and warrants  
*Issuer of security(ies)* — Harbor Business Acquisition Corp.  
*Address of issuer* — 200 Highpoint Dr., Suite 215, Chalfont, PA 18914-3922  
*State or country in which organized* — Delaware

Headway Corporate Resources, Inc.  
One Bank of America Plaza, 421 Fayetteville St., Suite 1020, Raleigh, NC 27601  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Headway Corporate Resources, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Herborium, Inc.  
Three Oak St., Teaneck, NJ 07666  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Herboirum, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

HorseTV Media Group, Inc.  
26850 Agoura Rd., Calabasas, CA 91301  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series B preferred stock  
*Issuer of security(ies)* — HorseTV Media Group, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Ibis Asia Fund, LP  
600 Madison Ave., 16th Fl., New York, NY 10022  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Ibis Asia Fund, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Innovative Clinical Solutions, Inc.  
35 Oceanview Pkwy., Southampton, NY 11968  
*State or country in which incorporated* — New York  
*Security(ies)* — Preferred stock  
*Issuer of security(ies)* — Innovative Clinical Solutions, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Jones Financial Companies, L.L.L.P., The  
12555 Manchester Rd., St. Louis, MO 63131-3729  
*Security(ies)* — Employee limited partnership interests  
*Issuer of security(ies)* — The Jones Financial Companies, L.L.L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Missouri

Juniper Catastrophe Fund, Ltd.  
Three Burnaby St., Hamilton HM 12, Bermuda  
*State or country in which incorporated* — Bermuda

*Security(ies)* — Class A common non-voting shares, \$0.01 par value  
*Issuer of security(ies)* — Juniper Catastrophe Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Bermuda

Keating Investments, LLC  
 5251 DTC Pkwy., Suite 1090, Greenwood Village, CO 80111  
*Security(ies)* — One unit consisting of series B preferred stock and warrants to purchase common stock  
*Issuer of security(ies)* — Cyber Merchants Exchange, Inc.  
*Address of issuer* — 126-140 Texaco Rd., QPL Industrial Bldg., 5th Fl., Tsuen Wan, Hong Kong  
*State or country in which organized* — California

Kuhns Brothers Securities Corp.  
 558 Lime Rock Rd., Lakeville, CT 06039  
*State or country in which incorporated* — Connecticut  
*Security(ies)* — Series B common stock with detachable warrants  
*Issuer of security(ies)* — Dolce Ventures, Inc.  
*Address of issuer* — 118 Chatham Rd., Syracuse, NY 13203  
*State or country in which organized* — Utah

Laidlaw & Company (UK) Ltd.  
 90 Park Ave., 31st Fl., New York, NY 10016  
*State or country in which incorporated* — United Kingdom  
*Security(ies)* — Units consisting of one hundred (100) shares of series A convertible preferred stock  
*Issuer of security(ies)* — Ultraguard Corporation  
*Address of issuer* — One Selleck St., Norwalk, CT 06855  
*State or country in which organized* — Delaware

Lotus Bancorp, Inc.  
 18239 W. Twelve Mile Rd., Lathrup Village, MI 48076  
*State or country in which incorporated* — Michigan  
*Security(ies)* — Shares of common stock  
*Issuer of security(ies)* — Lotus Bancorp, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Michigan

MIL Equity Partners, L.P.  
 P.O. Box 8445, Boston, MA 02114-0034  
*Security(ies)* — Partnership interests  
*Issuer of security(ies)* — MIL Equity Partners, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Money Centers of America, Inc.  
 700 S. Henderson Rd., King of Prussia, PA 19406  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Money Centers of America, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Nautic Partners VI, L.P.  
 50 Kennedy Plaza, 12th Fl., Providence, RI 02903  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Nautic Partners VI, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Nautic Partners VI-A, L.P.  
 50 Kennedy Plaza, 12th Fl., Providence, RI 02903

*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Nautic Partners VI-A, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Neopolitan Networks, Inc.  
 260 Sheridan Ave., Suite 400, Palo Alto, CA 94306  
*State or country in which incorporated* — California  
*Security(ies)* — Units consisting of series A preferred stock, common stock issuable upon conversion, and warrants to purchase common stock  
*Issuer of security(ies)* — Neopolitan Networks, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — California

NMH Small Cap Value Fund, L.P.  
 11924 Forest Hill Blvd., Suite 22, #132, Wellington, FL 33414  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — NMH Small Cap Value Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Northern Lights Fund Trust  
 c/o Gemini Fund Services, LLC, 450 Wireless Blvd., Hauppauge, NY 11788  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Units of beneficial interest—Changing Parameters Fund  
*Issuer of security(ies)* — Northern Lights Fund Trust  
*Address of issuer* — 450 Wireless Blvd., Hauppauge, NY 11788  
*State or country in which organized* — Delaware

Owlstone Nanotech, Inc.  
 600 Lexington Ave., New York, NY 10022  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock, par value \$.001  
*Issuer of security(ies)* — Owlstone Nanotech, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Palo Alto Healthcare Fund II, L.P.  
 470 University Ave., Palo Alto, CA 94301  
*State or country in which incorporated* — Delaware limited partnership  
*Security(ies)* — Limited partnership interest  
*Issuer of security(ies)* — Palo Alto Healthcare Fund II, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

PAF Series 9 LLC  
 105 Aviemore Dr., Pinehurst, NC 28374  
*State or country in which incorporated* — Delaware limited liability company  
*Security(ies)* — One unit consisting of debt obligations  
*Issuer of security(ies)* — PAF Series 9 LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Protocall Technologies Incorporated  
 47 Mall Dr., Commack, NY 11725  
*State or country in which incorporated* — Nevada  
*Security(ies)* — Convertible notes with warrants to purchase common stock  
*Issuer of security(ies)* — Protocall Technologies incorporated  
*Address of issuer* — Same as above  
*State or country in which organized* — Nevada

Rushmore Recoveries XIV, LLC  
 10 New King St., Suite 205, White Plains, NY 10604  
*Security(ies)* — Membership interests  
*Issuer of security(ies)* — Rushmore Recoveries XIV, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Sawteeth, Inc.  
 255 South B St., San Mateo, CA 94401  
*State or country in which incorporated* — California  
*Security(ies)* — Series A preferred stock  
*Issuer of security(ies)* — Sawteeth, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — California

SEI Investments Distribution Co.  
 One Freedom Valley Rd., Oaks, PA 19456  
*State or country in which incorporated* — Pennsylvania  
*Security(ies)* — Units of beneficial interest  
*Issuer of security(ies)* — The Advisors' Inner Circle Fund—WHG  
 Balanced Fund  
*Address of issuer* — 101 Federal St., Boston, MA 02110  
*State or country in which organized* — Massachusetts

SMB Capital Partners, L.P.  
 17 Duck Pond Lane, Ramsey, NJ 07446  
*Security(ies)* — Limited partnership interest  
*Issuer of security(ies)* — SMB Capital partners, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Spruce House Partners LP  
 435 E. 52nd St., Suite 18C, New York, NY 10022  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Spruce House Partners LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Strikeforce Technologies, Inc.  
 1090 King Georges Post Rd., Suite 108, Edison, NJ 08837  
*State or country in which incorporated* — New Jersey  
*Security(ies)* — Units consisting of a \$25,000 promissory note and  
 250,000 shares of common stock  
*Issuer of security(ies)* — Strikeforce Technologies, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — New Jersey

Strikeforce Technologies, Inc.  
 1090 King Georges Post Rd., Suite 108, Edison, NJ 08837  
*State or country in which incorporated* — New Jersey  
*Security(ies)* — Units consisting of common stock and warrants  
*Issuer of security(ies)* — Strikeforce Technologies, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — New Jersey

Summer Street Capital II, L.P.  
 70 Chippewa St., Suite 500, Buffalo, NY 14202  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Summer Street Capital II, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Sun News Holdings, LLC  
 9601 Wilshire Blvd., Penthouse, Beverly Hills, CA 90210  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Limited liability company membership interests  
*Issuer of security(ies)* — Sun News Holdings, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

TCW Brokerage Services  
 865 S. Figueroa St., Suite 1800, Los Angeles, CA 90017  
*State or country in which incorporated* — California  
*Security(ies)* — Shares of common stock (\$0.001 par value)  
*Issuer of security(ies)* — TCW Funds, Inc.—TCW Growth Insights Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Maryland

T. Row Price Institutional Equity Funds Inc./T. Row Price Institutional  
 Concentrated Large Cap  
 100 E. Pratt St., Baltimore, MD 21202  
*State or country in which incorporated* — Maryland  
*Security(ies)* — common stock  
*Issuer of security(ies)* — T. Rowe Price Institutional Equity Funds Inc./T.  
 Rowe Price Institutional Concentrated Large Cap Value Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Maryland

UBS Financial Services, Inc.  
 1285 Avenue of the Americas, New York, NY 10019  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Units of fractional undivided interest in trust  
*Issuer of security(ies)* — Equity Opportunity Trust—Value S&P  
 Industrial Series 2006A  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

UBS Financial Services, Inc.  
 1285 Avenue of the Americas, New York, NY 10019  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Units of fractional undivided interest in trust  
*Issuer of security(ies)* — Equity Opportunity Trust—Value Select Ten  
 Series 2006F  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

USEngineering Solutions Corporation  
 179 Allyn St., Suite 407, Hartford, CT 06103  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series A preferred stock  
*Issuer of security(ies)* — USEngineering solutions Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Valley Forge Composite Technologies, Inc.  
 50 E. River Center Blvd., Suite 820, Covington, KY 41011  
*State or country in which incorporated* — Florida  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Valley Forge Composite Technologies, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Florida

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware

*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — BRIC Opportunity Portfolio 2006-4  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Central Equity Trust, Diversified Income Series 24  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Closed-End Strategy: Cohen & Steers Master Municipal Income Portfolio—New York Series 4  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Morgan Stanley Technology Index<sup>SM</sup> Portfolio, Series 36  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Morgan Stanley U.S. Multinational 50 Index<sup>SM</sup> Portfolio, Series 21  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — S&P Japan Enhanced Index Strategy Portfolio 2006-4  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Van Kampen Unit Trusts, Municipal Series 618 (New York Insured Municipals Income Trust, Series 191)  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Viewray Incorporated  
 101 SE. Second Place, Suite 201-D, Gainesville, FL 32601  
*State or country in which incorporated* — Florida  
*Security(ies)* — Series A convertible preferred stock, no par value

*Issuer of security(ies)* — Viewray Incorporated  
*Address of issuer* — Same as above  
*State or country in which organized* — Florida

Westminster Securities Corp.  
 11605 Studt Ave., Suite 120, St. Louis, MO 63141  
*State or country in which incorporated* — New York  
*Security(ies)* — Class A 4% cumulative convertible preferred shares  
*Issuer of security(ies)* — Petrocom Energy limited  
*Address of issuer* — 11F 80 Gloucester Rd., Wan Chai, Hong Kong  
*State or country in which organized* — Cayman Islands

Westport Main Street Retail L.L.C.  
 c/o Wien & Malkin LLP, 60 E. 42nd St., New York, NY 10165  
*Security(ies)* — Class A member interests  
*Issuer of security(ies)* — Westport Main Street Retail L.L.C.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware limited liability company

Wien & Malkin Securities Corp.  
 60 E. 42nd St., New York, NY 10165  
*State or country in which incorporated* — New York  
*Security(ies)* — Class A member interests  
*Issuer of security(ies)* — Westport Main Street Retail L.L.C.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware limited liability company

ZAIS Scepticus Fund I, Ltd.  
 c/o Ogier Fiduciary Services (Cayman) Limited, P.O. Box 1234 GT, Queensgate House, S. Church St., George Town, Grand Cayman, KY1-1108, Cayman Islands  
*State or country in which incorporated* — Cayman Islands, British West Indies  
*Security(ies)* — common shares par value \$0.01 U.S. per share  
*Issuer of security(ies)* — ZAIS Scepticus Fund I, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

