

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

683 Capital Partners, LP
595 Madison Ave., 17th Fl., New York, NY 10022
Partnership — 683 Capital GP, LLC

2004 Untubbable/Aloha Baby, LLC
800 Arbor Dr. N, Louisville, KY 40223

Accelerize New Media, Inc.
6477 Hwy. 93 S, Suite 303, Whitefish, MT 59937
State or country in which incorporated — Montana

Adfast Media LLC
41 E. 11th St., New York, NY 10003
State or country in which incorporated — New York

ADG-SOHO PLEDGOR LLC
645 Madison Ave., New York, NY 10022
State or country in which incorporated — Delaware

AFA Financial Group, LLC
26637 W. Agoura Rd., Calabasas, CA 91302
State or country in which incorporated — California

Alerian Focus Partners, LP
45 Rockefeller Plaza, Suite 2000, New York, NY 10111
Partnership — Alerian Focus Advisors, LLC

Allegro Multimedia, Inc.
11510 Valencia Dr., Suite A, Seffner, FL 33584
State or country in which incorporated — Florida

AMA Private Equity Fund 2006 (Q.P.), L.P.
3801 PGA Blvd., Suite 555, Palm Beach Gardens, FL 33410
Partnership — Asset Management Advisors, L.L.C., general partner

American Independence Funds Trust—Kansas Tax-Exempt Bond Fund
3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
State or country in which incorporated — Delaware

Applied Voice & Speech Technologies, Inc.
27042 Towne Centre Dr., Suite 200, Foothill Ranch, CA 92610
State or country in which incorporated — Delaware

Archer SPV, L.P.
1999 Avenue of the Stars, Suite 2040, Los Angeles, CA 90067
Partnership — Alta Bear Partners, LLC

Ascend Partners Fund I, Ltd.
% Bison Financial Services Limited, Bison Court, Road Town, Tortola,
British Virgin Islands
State or country in which incorporated — British Virgin Islands

AST Collective Investment Trust
2800 N. Central Ave., Suite 900, Phoenix, AZ 85004
State or country in which incorporated — New York

AST Collective Investment Trust—DCM Small Cap Equity Fund
% AST Trust Company, 2390 E. Camelback Rd., Suite 240, Phoenix,
AZ 85016

Atlas Funds
794 Davis St., San Leandro, CA 94577
State or country in which incorporated — Delaware

AXES Holdings, Inc.
25 W. 45th St., 16th Fl., New York, NY 10036
State or country in which incorporated — Delaware

Balance Asset Fund II, LP
152 W. 57th St., 6th Fl., New York, NY 10019
Partnership — Balance Asset Management GP, LLC

Banc of America Investment Services, Inc.
100 S. Tryon St., 33rd Fl., Charlotte, NC 28255
State or country in which incorporated — North Carolina

Bank of the Carolinas Corporation
135 Boxwood Village Dr., Mocksville, NC 27208
State or country in which incorporated — North Carolina

Bay Club Members, LLC
63 County Rd., Mattapoisett, MA 02739

B.C. Ziegler and Company
250 E. Wisconsin Ave., Milwaukee, WI 53202
State or country in which incorporated — Wisconsin

Behringer Harvard Holdings, LLC
15601 Dallas Pkwy., Suite 600, Addison, TX 75001
State or country in which incorporated — Delaware limited liability
company

Black Mesa Fund, LLC, The
125 Lincoln Ave., Suite 200, Santa Fe, NM 87501

Black Mesa Fund, Ltd., The
% Goldman Sachs (Cayman) Trust Limited, P.O. Box 896GT, Harbour
Centre, 2nd Fl., George Town, Grand Cayman, Cayman Islands, British
West Indies
State or country in which incorporated — Cayman Islands limited
liability

Branson Airport, LLC
95B Rowayton Ave., Greenwich, CT 06853
State or country in which incorporated — Delaware limited liability
corporation

Bric Healthcare, L.P.
12230 El Camino Real, Suite 100, San Diego, CA 92130
Partnership — Bricoleur Capital Management LLC

BSC Employment Fund VIII, L.P., The
383 Madison Ave., New York, NY 10179
Partnership — Bear Stearns Merchant Capital III, L.P.

Captran Reinsurance Ltd.
105 Aviemore Dr., Pinehurst, NC 28374
State or country in which incorporated — British Virgin Islands

Carsunlimited.com, Inc.
305 Madison Ave., Suite 4510, New York, NY 10165
State or country in which incorporated — Nevada

C.E. Unterberg, Towbin
350 Madison Ave., New York, NY 10017
State or country in which incorporated — Delaware limited liability
company

Celsense, Inc.
100 Technology Dr., Suite 400, Pittsburgh, PA 15219
State or country in which incorporated — Delaware

Command Alkon Holdings, Inc.
598 Madison Ave., New York, NY 10022
State or country in which incorporated — Delaware

Construction Insurance and Risk Captive of America, Limited
Canon's Ct., 22 Victoria St., Hamilton HM EX, Bermuda
State or country in which incorporated — Bermuda

Contour Venture Partners, L.P.
330 Madison Ave., New York, NY 10017
Partnership — Contour Investments, LLC

Copernico Special Situations Fund
% Butterfield Bank (Cayman) Limited, Butterfield House, P.O. Box
705GT, Fort St., Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands

Cutanea Life Sciences, Inc.
Five Great Valley Pkwy., Malvern, PA 19355
State or country in which incorporated — Delaware

Cynvec, LLC
230 Park Ave., Suite 2430, New York, NY 10169

State or country in which incorporated — Delaware limited liability
company

Cypress Investment Grade Convertible Bond Fund, L.P.
218 Royal Palm Way, Palm Beach, FL 33480
Partnership — Cypress Convertible Investors, LLC

Diamond Tree Energy Ltd.
111 - 5th Ave. SW, Suite 1200, Calgary, Alberta, Canada T2P 3Y6
State or country in which incorporated — Canada

Direct Invest-2 & 3 University, LLC
211 Congress St., 8th Fl., Boston, MA 02110
State or country in which incorporated — Delaware

Dragon Capital Group, Corp.
335 Guoding Rd., Bldg. 2, Suite 2009, Shanghai, China
State or country in which incorporated — Nevada

Dresdner Kleinwort Wasserstein Securities LLC
1301 Avenue of the Americas, New York, NY 10019
State or country in which incorporated — Delaware LLC

Eesa Corporation
30 Foundry St., P.O. Box 323, Waterbury, VT 05676

Emgold Mining Corporation
570 Granville St., Suite 1400, British Columbia, Canada V6C 3P1
State or country in which incorporated — Canada

Empire Securities Corporation
10 Universal City Plaza, 20th Fl., Universal City, CA 91608
State or country in which incorporated — California

Enviro World Technologies, Inc.
1978 Business Pkwy., Merced, CA 95340
State or country in which incorporated — Nevada

Feingold O'Keeffe Credit Value Fund, L.P.
One International Place, 23rd Fl., Boston, MA 02110
Partnership — Feingold O'Keeffe Capital, LLC

Feingold O'Keeffe Credit Value Fund, Ltd.
% Fortis Prime Fund Solutions (Cayman) Limited, 802 W. Bay Rd.,
Grand Pavillon Commercial Centre, Grand Cayman, Cayman Islands,
P.O. Box 2003GT, British West Indies
State or country in which incorporated — Cayman Islands

Forest City Master Associates III, LLC
1360 Terminal Tower, 50 Public Sq., Cleveland, OH 44113-2203

GAM Asian Hedge Investments, Inc.
Georges Quay House, 43 Townsend St., Dublin, Ireland
State or country in which incorporated — Dublin, Ireland

GAM Avalon Lancelot, LLC
330 Madison Ave., New York, NY 10017
State or country in which incorporated — Delaware

GAM European Hedge Inc.
Georges Quay House, 43 Townsend St., Dublin, Ireland
State or country in which incorporated — Dublin, Ireland

Golden Harvest Drilling Program, L.P.
1105 Travis Circle S, Irving, TX 75038
Partnership — Bayard, Inc.

Green Coast Offshore Limited
% Citco Fund Services (Cayman Islands) Limited, Regatta Office Park,
P.O. Box 31106 SMB, W. Bay Rd., Grand Cayman, Cayman Islands

Griffin Capital (Carlsbad Pointe) Investors, LLC
2321 Rosecrans Ave., Suite 3290, El Segundo, CA 90245
State or country in which incorporated — Delaware

GRINrx Corporation
3755 Carillon Point, Kirkland, WA 98033
State or country in which incorporated — Delaware

Handheld Entertainment, Inc.
539 Bryant St., Suite 403, San Francisco, CA 94107
State or country in which incorporated — Delaware

Hommage, Inc.
4747 E. Pinewood Place, #100, Littleton, CO 80121
State or country in which incorporated — Colorado

Horizon Capital Fund, Lp
75 Maiden Lane, Suite 215, New York, NY 10038
Partnership — DAS Advisors, LLC

Horizon Multi-Strategy Fund, LP
470 Park Ave. S, 4th Fl., New York, NY 10022
Partnership — Horizon Multi-Strategy GP, LLC

HOVR Incorporated
33 W. 19th St., 3rd Fl., New York, NY 10011
State or country in which incorporated — Delaware

IHC Lombard LLC
55 E. Jackson Blvd., 21st Fl., Chicago, IL 60604

Intelecom, Inc.
2421 E. Washington St., Indianapolis, IN 46201
State or country in which incorporated — Nevada

IOTA Partners Limited Partnership, Jack Harbeston
15600 NE. 8th St., B1-462, Bellevue, WA 98008
Partnership — HFP, Inc., general partner

iSense Corporation
15055 SW. Sequoia Pkwy., Suite 130, Portland, OR 97224
State or country in which incorporated — Oregon

K2 Insurance Levered Fund, LLC
% K2 Advisors, L.L.C., 300 Atlantic St., 12th Fl., Stamford, CT 06901

Kestrel Investment Fund, L.P.
411 Borel Ave., Suite 403, San Mateo, CA 94402
Partnership — Kestrel Investment Management Corporation

Lab Entertainment Group, LLC
3230 Laurel Canyon Blvd., Studio City, CA 91604
State or country in which incorporated — California

Laidlaw & Company (UK) Ltd.
90 Park Ave., New York, NY 10016
State or country in which incorporated — United Kingdom

LJH Flex Fund Financial Services, LLC
Collier Place II, 3001 Tamiami Trail N, Suite 302, Naples, FL 34103
Partnership — LJH Global Investments, LLC

Longview Fund, L.P.
600 Montgomery St., 44th Fl., San Francisco, CA 94111
Partnership — Viking Asset Management, Ltd.

Lotus Partners, L.P.
275 Madison Ave., Suite 423, New York, NY 10016
Partnership — Lotus Advisory, LLC

Malibu Holdings, L.P.
% Horizon Holdings, LLC, Three Embarcadero Center, Suite 2360, San
Francisco, CA 94111
Partnership — Horizon Holdings, LLC, general partner

Mayer Partners, L.P.
880 Culebra Rd., Hillsborough, CA 94010
Partnership — Mayer Capital Management LLC

MCR Real Estate Investment Trust, Inc.
152 W. 57th St., 46th Fl., New York, NY 10019
State or country in which incorporated — Maryland

MDdatacor, Inc.
11625 Rainwater Dr., Suite 360, Alpharetta, GA 30004
State or country in which incorporated — Georgia

Mesquite Energy Fund, LP
% Mesquite Investments, LP, 113 Falls Court, Suite 500, Boerne, TX
78006
Partnership — Mesquite Investments, LP

Metalex Ventures Ltd.
2600B Enterprise Way, Kelowna, British Columbia, Canada V1X 7Y5
State or country in which incorporated — Canada

Northern Lights Fund Trust
% Gemini Fund Services, LLC, 450 Wireless Blvd., Hauppauge, NY
11788

Odyne Corporation
89 Cabot Court, Suite L, Hauppauge, NY 11788
State or country in which incorporated — New York

Offline Consulting, Inc.
1166 E. 14th St., Brooklyn, NY 11230
State or country in which incorporated — Nevada

OyaGen, Inc.
500 Linden Oaks, Rochester, NY 14625
State or country in which incorporated — Delaware

Pantheon China Acquisition Corp.
3106B, Office Tower A, Beijing Fortune Plaza 7, Dongsanhuan
Zhonglu, Chaoyang District, Beijing 10020
State or country in which incorporated — Delaware

- Pentech Holdings, Inc.
% Pentech Infusions, Inc., 106 Chelsea Pkwy., Boothwyn, PA 19061
State or country in which incorporated — Delaware
- Pepe's Joint Venture Company, LLC
10 Woodberry Hill Dr., Southington, CT 06489
- Persimmon Growth Partners Fund, L.P.
1777 Sentry Pkwy. W, Gwynedd Hall, Suite 102, Blue Bell, PA 19422
Partnership — Persimmon GP, LLC
- Persimmon Research Partners, L.P.
% Persimmon GP II, LLC, 1777 Sentry Pkwy. W, Gwynedd Hall, Suite 102, Blue Bell, PA 19422
Partnership — Persimmon GP II, LLC
- PilotRock Investment Partners, L.P.
1700 E. Putnam Ave., Old Greenwich, CT 06870
State or country in which incorporated — Delaware
- PilotRock Investment Partners II, L.P.
1700 E. Putnam Ave., Old Greenwich, CT 06870
State or country in which incorporated — Delaware
- Pinnacle Reach Fund, L.P.
1529 52nd St., Brooklyn, NY 11219
State or country in which incorporated — Delaware limited partnership
- Providence Select Fund, Limited Partnership
505 Brookfield Dr., Dover, DE 19901
Partnership — Michael P. Pacult and White Oak Financial Services, Inc.
- Quad Partners II LP
650 Fifth Ave., 31st Fl., New York, NY 10019
Partnership — Quad Partners II LP
- Quad Partners SBIC II LP
650 Fifth Ave., 31st Fl., New York, NY 10019
Partnership — Quad SBIC II LP Inc.
- Remote Lands, Inc.
124 E. 74th St., New York, NY 10021
State or country in which incorporated — Delaware
- Reservoir Fund, LLC, The
63 Reid Ave., Port Washington, NY 11050
Partnership — Water Investment Advisors, LLC
- Saffie Investment Services Corp.
360 Madison Ave., New York, NY 10017
State or country in which incorporated — New York
- Sand Spring Capital II, LLC
% Commonwealth Advisors, Inc., 247 Florida St., Baton Rouge, LA 70801
State or country in which incorporated — Delaware
- Schoolnet, Inc.
525 Seventh Ave., 4th Fl., New York, NY 10018
State or country in which incorporated — Delaware
- Seasons—Capital Real Estate LLC
717 Seventeenth St., Suite 2000, Denver, CO 80202
- Selectinvest MultiStrategy PLUS 1.25X Ltd.
% BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate Center, 27 Hospital Rd., P.O. Box 174GT, George Town, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands
- Selectinvest MultiStrategy PLUS 2.00X Ltd.
% BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate Center, 27 Hospital Rd., P.O. Box 174GT, George Town, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands
- Silvergraph International, Inc.
11919 Burke St., Santa Fe Springs, CA 90670
State or country in which incorporated — Nevada
- Silverhawk PR I, L.P.
% James Cook, 660 Steamboat Rd., Greenwich, CT 06830
Partnership — Silverhawk Capital Partners GP, LLC
- Sonz Partners, L.P.
1001 Second St., Suite 255, Napa, CA 94559
Partnership — Sonz Partners Holdings, L.P.
- South Pacific Partners, Ltd.
510 Bering Dr., Suite 323, Houston, TX 77057
Partnership — South Pacific Management, LLC
- StemCyte, Inc.
400 Roslyn Place, Arcadia, CA 91007
State or country in which incorporated — Delaware
- Suemandy Properties, LLC
450 N. Roxbury Dr., Suite 1050, Beverly Hills, CA 90210
- Swedbank First Securities, LLC
% Gilbride, Tusa, Last & Spellane LLC, 708 Third Ave., 26th Fl., New York, NY 10017
State or country in which incorporated — New York
- Symmetry Partners LLC
13 Chestnut St., Boston, MA 02108
State or country in which incorporated — Massachusetts
- Synergy Byron, LLC
40 L-Brooke Farms, LLC, 7275 Byron Rd., Box 189, Byron, NY 14422
State or country in which incorporated — New York limited liability company
- Taglich Brothers, Inc.
405 Lexington Ave., 51st Fl., New York, NY 10174
State or country in which incorporated — New York
- Texas Securities, Inc.
4024 Nazarene Dr., Suite #B, Carrollton, TX 75010
State or country in which incorporated — Texas
- Texas Securities Partners, Inc.
101 E. Park Blvd., Suite 300, Plano, TX 75074
State or country in which incorporated — Texas
- Tropical Timber Fund L.P.
119 E. Court St., Cincinnati, OH 45202
Partnership — Nica Investment Partners LLC

Trouble With Romance, LLC
8987 Norma Place, W. Hollywood, CA 90069
State or country in which incorporated — California

Tuscan Investments, L.P.
425 Market St., Suite 900, San Francisco, CA 94105
Partnership — The Tuscan Group, L.P.

Tuscan Investments II, L.P.
425 Market St., Suite 900, San Francisco, CA 94105
Partnership — The Tuscan Group, L.P.

Tuscan Investments Offshore Fund, Ltd.
% Walkers SPV Limited, P.O. Box 908 GT, Walker House, Mary St.,
George Town, Grand Cayman, Cayman Islands

University of the Republic, LLC
3108 Circle Hill Rd., Alexandria, VA 22305
State or country in which incorporated — Delaware limited liability
company

Validea Hedge Fund, LLC
83 Clinton Ave., Westport, CT 06880
Partnership — Validea Hedge Management, LLC

Vercuity Holdings, Inc.
5889 S. Greenwood Village Plaza Rd., Suite 300, Greenwood Village,
CO 80111
State or country in which incorporated — Delaware

VisuaLimits, LLC
2505 Anthem Village Dr., Suite E-430, Henderson, NV 89052
State or country in which incorporated — Nevada

Warsowe Acquisition Corporation
2787 E. Oakland Park Blvd., Suite 411, Fort Lauderdale, FL 33306
State or country in which incorporated — Florida

Wireless Directory Network, Inc.
9003 Main St., Village West #2, Carrabassett, ME 04947-9729
State or country in which incorporated — Maine

XS Ranch Fund VI, L.P.
388 Market St., Suite 1700, San Francisco, CA 94111
Partnership — XS Ranch VI Manager, L.P., general partner

YellowJacket Software, Inc.
55 Broad St., 10th Fl., New York, NY 10004
State or country in which incorporated — Delaware

ZAIS Opportunity Domestic Feeder Fund, LP
The Galleria Bldg. Three, Second Fl., Two Bridge Ave., Red Bank, NJ
07701-1106
Partnership — ZAIS Group, LLC

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY
DEALERS; BROKERS;
SYNDICATE MANAGERS

683 Capital Partners, LP
595 Madison Ave., 17th Fl., New York, NY 10022
Security(ies) — Limited partnership interests
Issuer of security(ies) — 683 Capital Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

2004 Untutttable/Aloha Baby, LLC
800 Arbor Dr. N, Louisville, KY 40223
Security(ies) — Membership interests in a limited liability company
Issuer of security(ies) — 2004 Untutttable/Aloha Baby, LLC
Address of issuer — Same as above
State or country in which organized — Kentucky

Accelerize New Media, Inc.
6477 Highway 93 S, Suite 303 Whitefish, MT 59937
State or country in which incorporated — Montana
Security(ies) — Units consisting of convertible preferred stock and
warrants
Issuer of security(ies) — Accelerize New Media, Inc.
Address of issuer — Same as above
State or country in which organized — Montana

Adfast Media LLC
41 E. 11th St., New York, NY 10003
State or country in which incorporated — New York
Security(ies) — Series A preferred membership units
Issuer of security(ies) — Adfast Media LLC
Address of issuer — Same as above
State or country in which organized — New York

ADG-SOHO PLEDGOR LLC
645 Madison Ave., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Class A-1 limited liability interests
Issuer of security(ies) — ADG-SOHO PLEDGOR LLC
Address of issuer — Same as above
State or country in which organized — Delaware

AFA Financial Group, LLC
26637 W. Agoura Rd., Calabasas, CA 91302
State or country in which incorporated — California
Security(ies) — Tenant in common interests
Issuer of security(ies) — Stratford Brittany Point LLC
Address of issuer — % Franklin Capital, 118 King St., Suite 200,
Alexandria, VA 22314
State or country in which organized — Delaware

Alerian Focus Partners, LP
45 Rockefeller Plaza, Suite 2000, New York, NY 10111
Security(ies) — Limited partnership interests
Issuer of security(ies) — Alerian Focus Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Allegro Multimedia, Inc.
11510 Valencia Dr., Suite A, Seffner, FL 33584
State or country in which incorporated — Florida
Security(ies) — Common stock
Issuer of security(ies) — Allegro Multimedia, Inc.
Address of issuer — Same as above
State or country in which organized — Florida

AMA Private Equity Fund 2006 (Q.P.), L.P.
3801 PGA Blvd., Suite 555, Palm Beach Gardens, FL 33410
Security(ies) — Limited partnership interests
Issuer of security(ies) — AMA Private Equity fund 2006 (Q.P.), L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

American Independence Funds Trust—Kansas Tax-Exempt Bond Fund
3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — BISYS Fund Services, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Applied Voice & Speech Technologies, Inc.
27042 Towne Centre Dr., Suite 200, Foothill Ranch, CA 92610
State or country in which incorporated — Delaware
Security(ies) — Common stock issued pursuant to 2003 stock option plan
Issuer of security(ies) — Applied Voice & Speech Technologies, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Archer SPV, L.P.
1999 Avenue of the Stars, Suite 2040, Los Angeles, CA 90067
State or country in which incorporated — Delaware limited partnership
Security(ies) — Limited partnership interest
Issuer of security(ies) — Archer SPV, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Ascend Partners Fund I, Ltd.
% Bison Financial Services Limited, Bison Court, Road Town, Tortola, British Virgin Islands
State or country in which incorporated — British Virgin Islands
Security(ies) — Common shares, par value U.S. \$.01 per share
Issuer of security(ies) — Ascend Partners Fund I, Ltd.
Address of issuer — Same as above
State or country in which organized — British Virgin Islands

AST Collective Investment Trust
2800 N. Central Ave., Suite 900, Phoenix, AZ 85004
State or country in which incorporated — New York
Security(ies) — Units of beneficial interest
Issuer of security(ies) — AST Collective Investment Trust—Avatar Aggressive Growth Collective Fund
Address of issuer — % AST Trust Company, 2390 E. Camelback Rd., Suite 240, Phoenix, AZ 85016
State or country in which organized — New York

AST Collective Investment Trust
2800 N. Central Ave., Suite 900, Phoenix, AZ 85004
State or country in which incorporated — New York
Security(ies) — Units of beneficial interest
Issuer of security(ies) — AST Collective Investment Trust—Avatar Capital Preservation Collective Fund
Address of issuer — % AST Trust Company, 2390 E. Camelback Rd., Suite 240, Phoenix, AZ 85016
State or country in which organized — New York

AST Collective Investment Trust
2800 N. Central Ave., Suite 900, Phoenix, AZ 85004
State or country in which incorporated — New York
Security(ies) — Units of beneficial interest

Issuer of security(ies) — AST Collective Investment Trust—Avatar Growth Collective Fund
Address of issuer — % AST Trust Company, 2390 E. Camelback Rd., Suite 240, Phoenix, AZ 85016
State or country in which organized — New York

AST Collective Investment Trust
2800 N. Central Ave., Suite 900, Phoenix, AZ 85004
State or country in which incorporated — New York
Security(ies) — Units of beneficial interest
Issuer of security(ies) — AST Collective Investment Trust—Avatar Income & Growth Collective Fund
Address of issuer — % AST Trust Company, 2390 E. Camelback Rd., Suite 240, Phoenix, AZ 85016
State or country in which organized — New York

AST Collective Investment Trust—DCM Small Cap Equity Fund
% AST Trust Company, 2390 E. Camelback Rd., Suite 240, Phoenix, AZ 85016
State or country in which incorporated — New York
Security(ies) — Units of beneficial interest
Issuer of security(ies) — AST Collective Investment Trust—DCM Small Cap Equity Fund
Address of issuer — % AST Trust Company, 2390 E. Camelback Rd., Suite 240, Phoenix, AZ 85016
State or country in which organized — New York

Atlas Funds
794 Davis St., San Leandro, CA 94577
State or country in which incorporated — Delaware
Security(ies) — Units of beneficial interest - Atlas Dual Focus Fund
Issuer of security(ies) — Atlas Funds
Address of issuer — Same as above
State or country in which organized — Delaware

AXES Holdings, Inc.
25 W. 45th St., 16th Fl., New York, NY 10036
State or country in which incorporated — Delaware
Security(ies) — Series A preferred stock
Issuer of security(ies) — AXES Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Balance Asset Fund II, LP
152 W. 57th St., 6th Fl., New York, NY 10019
Security(ies) — Limited partnership interests
Issuer of security(ies) — Balance Asset Fund II, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Banc of America Investment Services, Inc.
100 S. Tryon St., 33rd Fl., Charlotte, NC 28255
State or country in which incorporated — North Carolina
Security(ies) — Limited partnership
Issuer of security(ies) — BA Private Equity Direct, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Bank Holdings, The
9990 Double R Blvd., Reno, NV 89521
State or country in which incorporated — Nevada
Security(ies) — Common stock
Issuer of security(ies) — The Bank Holdings
Address of issuer — Same as above
State or country in which organized — Nevada

Bank of the Carolinas Corporation
135 Boxwood Village Dr., Mocksville, NC 27208
State or country in which incorporated — North Carolina
Security(ies) — Common stock
Issuer of security(ies) — Bank of the Carolinas Corporation
Address of issuer — Same as above
State or country in which organized — North Carolina

Bathgate Capital Partners LLC
5350 S. Roslyn St., Suite 400, Greenwood Village, CO 80111
State or country in which incorporated — Colorado
Security(ies) — Units consisting of convertible preferred stock and warrants to acquire common stock
Issuer of security(ies) — Daybreak Oil and Gas, Inc.
Address of issuer — 601 W. Main Ave., Suite 1017, Spokane, WA 99201-0613
State or country in which organized — Washington

Bay Club Members, LLC
63 County Rd., Mattapoisett, MA 02739
Security(ies) — Limited liability company units
Issuer of security(ies) — Bay Club Members, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

B.C. Ziegler and Company
250 E. Wisconsin Ave., Milwaukee, WI 53202
State or country in which incorporated — Wisconsin
Security(ies) — Units of limited liability company interest
Issuer of security(ies) — Ziegler Equity Funding V, LLC
Address of issuer — Same as above
State or country in which organized — Wisconsin

Behringer Harvard Holdings, LLC
15601 Dallas Pkwy., Suite 600, Addison, TX 75001
Security(ies) — Deferred compensation obligations
Issuer of security(ies) — Behringer Harvard Holdings, LLC
Address of issuer — Same as above
State or country in which organized — Delaware limited liability company

Black Mesa Fund, LLC, The
125 Lincoln Ave., Suite 200, Santa Fe, NM 87501
Security(ies) — Membership interests
Issuer of security(ies) — The Black Mesa Fund, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Black Mesa Fund, Ltd., The
% Goldman Sachs (Cayman) Trust Limited, P.O. Box 896GT, Harbour Centre, 2nd Fl., George Town, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands limited liability
Security(ies) — Class A redeemable non-voting preference shares
Issuer of security(ies) — The Black Mesa Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

BP Capital Energy Equity Fund II, L.P.
260 Preston Commons W, 8117 Preston Rd., Dallas, TX 75225
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interests

Issuer of security(ies) — BP Capital Energy Equity Fund II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Branson Airport, LLC
95B Rowayton Ave., Greenwich, CT 06853
Security(ies) — Class AA membership interests
Issuer of security(ies) — Branson Airport, LLC
Address of issuer — Same as above
State or country in which organized — Delaware limited liability company

Bric Healthcare, L.P.
12230 El Camino Real, Suite 100, San Diego, CA 92130
Security(ies) — Limited partnership interests
Issuer of security(ies) — Bric Healthcare, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Brill Street + Company, Inc.
1144 Randolph St., Chicago, IL 60607
State or country in which incorporated — Delaware
Security(ies) — Units consisting of series A preferred stock and non-voting common stock combined
Issuer of security(ies) — Brill Street + Company, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

BSC Employment Fund VIII, L.P., The
383 Madison Ave., New York, NY 10179
Security(ies) — Limited partnership interest
Issuer of security(ies) — The BSC Employment Fund VIII, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Captran Reinsurance Ltd.
105 Aviemore Dr., Pinehurst, NC 28374
State or country in which incorporated — British Virgin Islands
Security(ies) — \$1,500,000 class D non-voting stock
Issuer of security(ies) — Captran Reinsurance Ltd.
Address of issuer — Same as above
State or country in which organized — British Virgin Islands

Carsunlimited.com, Inc.
305 Madison Ave., Suite 4510, New York, NY 10165
State or country in which incorporated — Nevada
Security(ies) — Common stock
Issuer of security(ies) — Carsunlimited.com, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

C.E. Unterberg, Towbin
350 Madison Ave., New York, NY 10017
State or country in which incorporated — Delaware limited liability company
Security(ies) — Common stock
Issuer of security(ies) — Force Protection, Inc.
Address of issuer — 9801 Hwy. 78, Bldg. 1, Ladson, SC 29456
State or country in which organized — Nevada

Celsense, Inc.
100 Technology Dr., Suite 400, Pittsburgh, PA 15219
State or country in which incorporated — Delaware
Security(ies) — Series A convertible preferred stock

Issuer of security(ies) — Celsense, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Citigroup Global Markets Inc.
 388 Greenwich St., New York, NY 10013
State or country in which incorporated — New York
Security(ies) — Guarantees of the ___% senior notes due 2016
Issuer of security(ies) — Constellation Brands, Inc.
Address of issuer — 370 Woodcliff Dr., Suite 300, Fairport, NY 14450
State or country in which organized — Delaware

Citigroup Global Markets Inc.; (2) Merrill Lynch, Pierce, Fenner & Smith Incorporated
 388 Greenwich St., New York, NY 10013; (2) Four World Financial Center, New York, NY 10080
State or country in which incorporated — (1) New York; (2) Delaware
Security(ies) — 4.125% exchangeable senior debentures due 2026
Issuer of security(ies) — Digital Realty Trust, L.P.
Address of issuer — 560 Mission St., Suite 2900, San Francisco, CA 94105
State or country in which organized — Maryland

Citigroup Global Markets Inc.; (2) Merrill Lynch, Pierce, Fenner & Smith Incorporated
 388 Greenwich St., New York, NY 10013; (2) Four World Financial Center, New York, NY 10080
State or country in which incorporated — (1) New York; (2) Delaware
Security(ies) — Common stock
Issuer of security(ies) — Digital Realty Trust, L.P.
Address of issuer — 560 Mission St., Suite 2900, San Francisco, CA 94105
State or country in which organized — Maryland

Claymore Securities, Inc.
 2455 Corporate West Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Delta Global Canadian Energy Trust, Series 2
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
 2455 Corporate West Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Multiple Asset Portfolio Plus (15-month), series 10
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
 2455 Corporate West Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Sabrient Select Growth Portfolio, Series 2
Address of issuer — Same as above
State or country in which organized — Kansas

Cleanwire Corporation
 5808 Lake Washington Blvd. NE, Suite 300, Kirkland, WA 98033
State or country in which incorporated — Delaware
Security(ies) — Class A common stock

Issuer of security(ies) — Clearwire Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Command Alkon Holdings, Inc.
 598 Madison Ave., New York, NY 10022
Security(ies) — Common stock
Issuer of security(ies) — Command Alkon Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Command Alkon Holdings, Inc.
 598 Madison Ave., New York, NY 10022
Security(ies) — Subordinated debt
Issuer of security(ies) — Command Alkon Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Community First Bancorp, Inc.
 P.O. Box 736, Madisonville, KY 42431
State or country in which incorporated — Maryland
Security(ies) — Common stock, \$.01 par value
Issuer of security(ies) — Community First Bancorp, Inc.
Address of issuer — Same as above
State or country in which organized — Maryland

Construction Insurance and Risk Captive of America, Limited
 Canon's Court, 22 Victoria St., Hamilton HM EX, Bermuda
Security(ies) — Common stock
Issuer of security(ies) — Construction Insurance and Risk Captive of America, Limited
Address of issuer — Same as above
State or country in which organized — Bermuda

Contour Venture Partners, L.P.
 330 Madison Ave., New York, NY 10017
Security(ies) — Limited partnership interest
Issuer of security(ies) — Contour Investments, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Copernico Special Situations Fund
 % Butterfield Bank (Cayman) Limited, Butterfield House, P.O. Box 705GT, Fort St., Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares, par value \$0.01 (U.S.) per share
Issuer of security(ies) — Copernico Special Situations Fund
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Credit Suisse Securities (USA) LLC; (2) Deutsch Bank Securities Inc.; (3) J.P. Morgan Securities Inc.; (4) Barclays Capital Inc.; (5) Dresdner Kleinwort Wasserstein Securities LLC
 11 Madison Ave., New York, NY 10010; (2) 60 Walls St., New York, NY 10005; (3) 270 Park Ave., New York, NY 10017; (4) 200 Park Ave., New York, NY 10166; (5) 1301 Avenue of the Americas, New York, NY 10019
State or country in which incorporated — (1 & 5) Delaware limited liability company; (2-3) Delaware; (4) Connecticut
Security(ies) — Floating rate notes due 2016
Issuer of security(ies) — BCM Ireland Finance Limited
Address of issuer — Uglund House, S. Church St., George Town, Grand Cayman, Cayman Islands
State or country in which organized — Cayman Islands

Credit Suisse Securities (USA) LLC; (2) Lehman Brothers Inc.; (3) Merrill Lynch, Pierce, Fenner & Smith Incorporated; (4) First Albany Capital Inc.

11 Madison Ave., New York, NY 10010; (2) 745 7th Ave., New York, NY 10019; (3) Four World Financial Center, New York, NY 10281; (4) 677 Broadway, Albany, NY 12207

State or country in which incorporated — (1) Delaware limited liability company; (2-3) Delaware; (4) New York

Security(ies) — Common stock

Issuer of security(ies) — InfraSource Services, Inc.

Address of issuer — 100 W. Sixth St., Suite 300, Media, PA 19063

State or country in which organized — Delaware

Cutanea Life Sciences, Inc.

Five Great Valley Pkwy., Malvern, PA 19355

State or country in which incorporated — Delaware

Security(ies) — Senior convertible promissory notes with warrants

Issuer of security(ies) — Cutanea Life Sciences, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Cynvec, LLC

230 Park Ave., Suite 2430, New York, NY 10169

State or country in which incorporated — Delaware limited liability company

Security(ies) — Limited liability company units

Issuer of security(ies) — Cynvec, LLC

Address of issuer — Same as above

State or country in which organized — Delaware

Cypress Investment Grade Convertible Bond Fund, L.P.

218 Royal Palm Way, Palm Beach, FL 33480

Security(ies) — Limited partnership interests

Issuer of security(ies) — Cypress Investment Grade Convertible Bond Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

DFA Securities, Inc.

3200 Horizon Dr., King of Prussia, PA 19406

State or country in which incorporated — Maryland

Security(ies) — Common stock, par value \$.01 per share

Issuer of security(ies) — DFA Investment Dimensions Group Inc.—Emerging Markets Social Core Portfolio

Address of issuer — 1299 Ocean Ave., 11th Fl., Santa Monica, CA 90401

State or country in which organized — Maryland

Diamond Tree Energy Ltd.

111 - 5th Ave. SW, Suite 1200, Calgary, Alberta T2P 3Y6 Canada

State or country in which incorporated — Canada

Security(ies) — Common shares

Issuer of security(ies) — Diamond Tree Energy Ltd.

Address of issuer — Same as above

State or country in which organized — Canada

Direct Invest-2 & 3 University, LLC

211 Congress St., 8th Fl., Boston, MA 02110

State or country in which incorporated — Delaware

Security(ies) — Tenants-in-common interests

Issuer of security(ies) — Direct Invest-2 & 3 University, LLC

Address of issuer — Same as above

State or country in which organized — Delaware

Dragon Capital Group, Corp.

335 Guoding Rd., Bldg. 2, Suite 2009, Shanghai, China

State or country in which incorporated — Nevada

Security(ies) — Units consisting of common stock with warrants to purchase common stock

Issuer of security(ies) — Dragon Capital Group, Corp.

Address of issuer — Same as above

State or country in which organized — Nevada

DWS Scudder Distributors, Inc.

222 S. Riverside Plaza, Chicago, IL 60606-5808

State or country in which incorporated — Massachusetts

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — DWS Equity Trust—DWS Core Plus Allocation Fund

Address of issuer — Same as above

State or country in which organized — Massachusetts

EarlyBirdCapital, Inc.

275 Madison Ave., 12th Fl., New York, NY 10016

State or country in which incorporated — Delaware

Security(ies) — Common stock

Issuer of security(ies) — Pantheon China Acquisition Corp.

Address of issuer — 3106B, Office Tower A, Beijing Fortune Plaza 7, Dongsanhuan Zhonglu, Chaoyang District, Beijing 10020

State or country in which organized — Delaware

EarlyBirdCapital, Inc.

275 Madison Ave., 12th Fl., New York, NY 10016

State or country in which incorporated — Delaware

Security(ies) — Units (of common stock and warrants)

Issuer of security(ies) — Pantheon China Acquisition Corp.

Address of issuer — 3106B, Office Tower A, Beijing Fortune Plaza 7, Dongsanhuan Zhonglu, Chaoyang District, Beijing 10020

State or country in which organized — Delaware

EarlyBirdCapital, Inc.

275 Madison Ave., 12th Fl., New York, NY 10016

State or country in which incorporated — Delaware

Security(ies) — Warrants

Issuer of security(ies) — Pantheon China Acquisition Corp.

Address of issuer — 3106B, Office Tower A, Beijing Fortune Plaza 7, Dongsanhuan Zhonglu, Chaoyang District, Beijing 10020

State or country in which organized — Delaware

Eesa Corporation

30 Foundry St., P.O. Box 323, Waterbury, VT 05676

State or country in which incorporated — Vermont

Security(ies) — Series A convertible preferred stock

Issuer of security(ies) — Eesa Corporation

Address of issuer — Same as above

State or country in which organized — Vermont

Emgold Mining Corporation

570 Granville St., Suite 1400, British Columbia, Canada V6C 3P1

State or country in which incorporated — Canada

Security(ies) — Units, each consisting of one fully paid and non-assessable common share of the issuer and one-half of one non-transferable common share purchase warrant

Issuer of security(ies) — Emgold Mining Corporation

Address of issuer — Same as above

State or country in which organized — Canada

Empire Securities Corporation
10 Universal City Plaza, 20th Fl., Universal City, CA 91608
State or country in which incorporated — California
Security(ies) — Units
Issuer of security(ies) — South Central Region Private Limited Partnership
Address of issuer — 5300 Town and Country Blvd., Suite 110, Frisco, TX 75034
State or country in which organized — Texas

Enviro World Technologies, Inc.
1978 Business Pkwy., Merced, CA 95340
State or country in which incorporated — Nevada
Security(ies) — Series B preferred stock shares with its underlying shares of common stock into which shares may be converted
Issuer of security(ies) — Enviro World Technologies, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Exchangeblvd.com, Inc.
6470 E. Johns Crossing, Suite 390, Duluth, GA 30097
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Exchangeblvd.com, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Feingold O'Keeffe Credit Value Fund, L.P.
One International Place, 23rd Fl., Boston, MA 02110
Security(ies) — Limited partnership interests
Issuer of security(ies) — Feingold O'Keeffe Credit Value Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Feingold O'Keeffe Credit Value Fund, Ltd.
% Fortis Prime Fund Solutions (Cayman) Limited, 802 W. Bay Rd., Grand Pavilion Commercial Centre, Grand Cayman, Cayman Islands, P.O. Box 2003 GT, British West Indies
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares par value \$0.01 (U.S.) per share
Issuer of security(ies) — Feingold O'Keeffe Credit Value Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1207 (Tarantula Portfolio, Series 5)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1208 (Value with a Catalyst Portfolio, Series 7)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1210 (Agribusiness Portfolio, Series 2)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1210 (Basic Materials Portfolio, Series 5)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1210 (Homeland Security Portfolio, Series 3)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1210 (Precious Metals Portfolio, Series 5)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1210 (Utilities Portfolio, Series 12)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1211 (High-Yield Income Closed-End Portfolio, Series 16)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1212 (Strategic Income Advantage Closed-End Portfolio, Series 5)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1213 (Strategic Income Advantage Select, Closed-End Portfolio, Series 5)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1214 (Strategic Income Closed-End Portfolio, Series 10)
Address of issuer — Same as above
State or country in which organized — Illinois

Fixed Income Securities, L.P.
18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415
Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — Advisor's Disciplined Trust 91 (Monument Growth Strategy Portfolio, Series 7)
Address of issuer — Same as above
State or country in which organized — New York

Fixed Income Securities, L.P.
 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — Advisor's Disciplined Trust 92 (Castle Rock Growth Strategy Portfolio, Series 2)
Address of issuer — Same as above
State or country in which organized — New York

Fixed Income Securities, L.P.
 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — Advisor's Disciplined Trust 93 (Strategic CORE Portfolio, Series 3)
Address of issuer — Same as above
State or country in which organized — New York

Fixed Income Securities, L.P.
 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — Advisor's Disciplined Trust 94 (International High 30 Dividend Portfolio, Series 7)
Address of issuer — Same as above
State or country in which organized — New York

Fixed Income Securities, L.P.
 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — Advisor's Disciplined Trust 96 (Strategic Utility and Communications Portfolio, Series 2)
Address of issuer — Same as above
State or country in which organized — New York

Fixed Income Securities, L.P.
 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — Advisor's Disciplined Trust 97 (Tactical Income Closed-End Portfolio, Series 1)
Address of issuer — Same as above
State or country in which organized — New York

Fixed Income Securities, L.P.
 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — Advisor's Disciplined Trust 98 (Equity Dividend & Income Closed-End Portfolio, Series 1)
Address of issuer — Same as above
State or country in which organized — New York

Forest City Master Associates III, LLC
 1360 Terminal Tower, 50 Public Square, Cleveland, OH 44113-2203
Security(ies) — Class A common units
Issuer of security(ies) — Forest City Master Associates III, LLC
Address of issuer — Same as above
State or country in which organized — New York

Forest City Master Associates III, LLC
 1360 Terminal Tower, 50 Public Square, Cleveland, OH 44113-2203
Security(ies) — Class B common units
Issuer of security(ies) — Forest City Master Associates III, LLC

Address of issuer — Same as above
State or country in which organized — New York

Fram Holdings, Inc.
 1717 Main St., Suite 900, Dallas, TX 75201
State or country in which incorporated — Delaware
Security(ies) — Series B common stock
Issuer of security(ies) — Fram Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Funds Distributor, Inc.
 100 Summer St., Boston, MA 02110
State or country in which incorporated — Massachusetts
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — GMO Trust—Short-Duration Collateral Share Fund
Address of issuer — % GMO Trust, 40 Rowes Wharf, Boston, MA 02110
State or country in which organized — Massachusetts

GAM Asian Hedge Investments, Inc.
 Georges Quay House, 43 Townsend St., Dublin, Ireland
State or country in which incorporated — Ireland
Security(ies) — Equity - common stock
Issuer of security(ies) — GAM Asian Hedge Investments, Inc.
Address of issuer — Same as above
State or country in which organized — Ireland

GAM Avalon Lancelot, LLC
 330 Madison Ave., New York, NY 10017
Security(ies) — Membership units
Issuer of security(ies) — GAM Avalon Lancelot, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

GAM European Hedge, Inc.
 Georges Quay House, 43 Townsend St., Dublin, Ireland
State or country in which incorporated — Ireland
Security(ies) — Equity - common stock
Issuer of security(ies) — GAM European Hedge, Inc.
Address of issuer — Same as above
State or country in which organized — Ireland

Global MailExpress, Inc.
 3330 Cumberland Blvd., Suite 500, Atlanta, GA 30339
State or country in which incorporated — Delaware
Security(ies) — Options for shares of common stock, no par value
Issuer of security(ies) — Global MailExpress, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Golden Harvest Drilling Program, L.P.
 1105 Travis Circle S, Irving, TX 75038
State or country in which incorporated — Texas
Security(ies) — Limited partnership interest
Issuer of security(ies) — Golden Harvest Drilling Program, L.P.
Address of issuer — Same as above
State or country in which organized — Texas

Goldman, Sachs & Co.; (2) UBS Securities LLC
 85 Broad St., New York, NY 10004; (2) 677 Washington Blvd., Stamford, CT 06901
State or country in which incorporated — (1) New York partnership;

(2) Delaware limited liability company

Security(ies) — Common stock

Issuer of security(ies) — NewPage Holding Corporation

Address of issuer — Courthouse Plaza, NE, Dayton, OH 45463

State or country in which organized — Delaware

Green Coast Offshore Limited

% Citco Fund Services (Cayman Islands) Limited, Regatta Office Park,
P.O. Box 31106 SMB, W. Bay Rd., Grand Cayman, Cayman Islands

Security(ies) — Common shares

Issuer of security(ies) — Green Coast Offshore Limited

Address of issuer — Same as above

State or country in which organized — Cayman Islands

Griffin Capital (Carlsbad Pointe) Investors, LLC

2321 Rosecrans Ave., Suite 3290, El Segundo, CA 90245

State or country in which incorporated — Delaware

Security(ies) — Tenancy in common interest in real estate

Issuer of security(ies) — Griffin Capital (Carlsbad Pointe) Investors,
LLC

Address of issuer — Same as above

State or country in which organized — Delaware

GRINrx Corporation

3755 Carillon Point, Kirkland, WA 98033

State or country in which incorporated — Delaware

Security(ies) — Convertible notes

Issuer of security(ies) — GRINrx Corporation

Address of issuer — 3755 Carillon Point, Kirkland, WA 98033

State or country in which organized — Delaware

Groxis B Partners LLP

Three Barnabas Rd., Marion, MA 02738

Security(ies) — Partnership interests

Issuer of security(ies) — Groxis B Partners LLP

Address of issuer — Same as above

State or country in which organized — Massachusetts

Handheld Entertainment, Inc.

539 Bryant St., Suite 403, San Francisco, CA 94107

Security(ies) — Unit, each consisting of one share of common stock,
par value .0001 per share and one common stock purchase warrant

Issuer of security(ies) — Handheld Entertainment, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Hommage, Inc.

4747 E. Pinewood Place, #100, Littleton, CO 80121

State or country in which incorporated — Colorado

Security(ies) — Series A preferred stock

Issuer of security(ies) — Hommage, Inc.

Address of issuer — Same as above

State or country in which organized — Colorado

Horizon Capital Fund, LP

75 Maiden Lane, Suite 215, New York, NY 10038

Security(ies) — Limited partnership interests

Issuer of security(ies) — Horizon Capital Fund, LP

Address of issuer — Same as above

State or country in which organized — New York

Horizon Multi-Strategy Fund, LP

470 Park Ave. S, 4th Fl., New York, NY 10022

State or country in which incorporated — Delaware

Security(ies) — Limited partnership interests

Issuer of security(ies) — Horizon Multi-Strategy Fund, LP

Address of issuer — Same as above

State or country in which organized — Delaware

IHC Lombard LLC

55 E. Jackson Blvd., 21st Fl., Chicago, IL 60604

Security(ies) — Preferred membership interests

Issuer of security(ies) — IHC Lombard LLC

Address of issuer — Same as above

State or country in which organized — Illinois

Imperial Capital Group, LLC

150 S. Rodeo Dr., Suite 100, Beverly Hills, CA 90212

Security(ies) — Limited liability company interests

Issuer of security(ies) — Imperial Capital Group, LLC

Address of issuer — Same as above

State or country in which organized — Delaware

InSite Vision Incorporated

965 Atlantic Ave., Alameda, CA 94501

State or country in which incorporated — Delaware

Security(ies) — \$6,275,000 common stock, par value \$0.01 per share
and warrants for up to \$1,446,602.65 of common stock

Issuer of security(ies) — InSite Vision Incorporated

Address of issuer — Same as above

State or country in which organized — Delaware

Intelecom, Inc.

2421 E. Washington St., Indianapolis, IN 46201

State or country in which incorporated — Nevada

Security(ies) — Class B common stock

Issuer of security(ies) — Intelecom, Inc.

Address of issuer — Same as above

State or country in which organized — Nevada

iSense Corporation

15055 SW. Sequoia Pkwy., Suite 130, Portland, OR 97224

State or country in which incorporated — Oregon

Security(ies) — Convertible debt

Issuer of security(ies) — iSense Corporation

Address of issuer — Same as above

Joseph Stevens & Company, Inc.

59 Maiden Lane, New York, NY 10038

State or country in which incorporated — New York

Security(ies) — Series B convertible preferred stock

Issuer of security(ies) — Soup Kitchen International, Inc.

Address of issuer — 28 W. 44th St., Suite 1108, New York, NY 10036

State or country in which organized — Delaware

K2 Insurance Levered Fund, LLC

c/o K2 Advisors, L.L.C., 300 Atlantic St., 12th Fl., Stamford, CT 06901

Security(ies) — Membership interests

Issuer of security(ies) — K2 Insurance Levered Fund, LLC

Address of issuer — Same as above

State or country in which organized — Delaware

Kaizen Management, L.P.

4200 Montrose, Suite 510, Houston, TX 77006

State or country in which incorporated — Delaware

Security(ies) — Limited partnership interests

Issuer of security(ies) — Select Contrarian Value Partners, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Kestrel Investment Fund, L.P.

411 Borel Ave., Suite 403, San Mateo, CA 94402
Security(ies) — Limited partnership interest
Issuer of security(ies) — Kestrel Investment Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Lab Entertainment Group, LLC

3230 Laurel Canyon Blvd., Studio City, CA 91604
State or country in which incorporated — California
Security(ies) — Class A and class B membership interests
Issuer of security(ies) — Lab Entertainment Group, LLC
Address of issuer — Same as above
State or country in which organized — California

Laidlaw & Company (UK) Ltd.

90 Park Ave., New York, NY 10016
State or country in which incorporated — United Kingdom
Security(ies) — Units consisting of a 11% senior subordinated secured convertible promissory note and warrants to purchase common stock of the company
Issuer of security(ies) — XA, Inc.
Address of issuer — 875 N. Michigan Ave., Suite 2626, Chicago, IL 60611
State or country in which organized — Nevada

Leg. Mason Investor Services, LLC

100 Light St., Baltimore, MD 21202
State or country in which incorporated — Maryland
Security(ies) — Shares of capital stock
Issuer of security(ies) — Western Asset Funds, Inc.—Western Asset Absolute Return Portfolio
Address of issuer — Same as above
State or country in which organized — Maryland

LJH Flex Fund Financial Services, LLC

Collier Place II, 3001 Tamiami Trail N, Suite 302, Naples, FL 34103
Security(ies) — Limited liability company interests
Issuer of security(ies) — LJH Flex Fund Financial Services, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Longview Fund, L.P.

600 Montgomery St., 44th Fl., San Francisco, CA 94111
Security(ies) — Units of partnership interest
Issuer of security(ies) — Longview Fund, L.P.
Address of issuer — Same as above
State or country in which organized — California

Lotus Partners, L.P.

275 Madison Ave., Suite 423, New York, NY 10016
Security(ies) — Limited partnership interests
Issuer of security(ies) — Lotus Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Lyford Access Fund LLC

Lyford Manor, West Bldg., P.O. Box N-7776, Slot 193, Nassau, N.P. Bahamas
Security(ies) — Lyford Access Fund (1x leverage) membership interests
Issuer of security(ies) — Lyford Access Fund LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Lyford Access Fund LLC

Lyford Manor, West Bldg., P.O. Box N-7776, Slot 193, Nassau, N.P. Bahamas
Security(ies) — Lyford Access Fund (2x leverage) membership interests
Issuer of security(ies) — Lyford Access Fund LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Lyford Access Fund LLC

Lyford Manor, West Bldg., P.O. Box N-7776, Slot 193, Nassau, N.P. Bahamas
Security(ies) — Lyford Access Fund (3x leverage) membership interests
Issuer of security(ies) — Lyford Access Fund LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Malibu Holdings, L.P.

% Horizon Holdings, LLC, Three Embarcadero Center, Suite 2360, San Francisco, CA 94111
Security(ies) — Limited partnership interests
Issuer of security(ies) — Malibu Holdings, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Mayer Partners L.P.

880 Culebra Rd., Hillsborough, CA 94010
Security(ies) — Limited partnership interests
Issuer of security(ies) — Mayer Partners L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

McKinnon & Company, Inc.

999 Waterside Dr., Suite 1200, Norfolk, VA 23510
State or country in which incorporated — Virginia
Security(ies) — Common stock, \$.01 par value
Issuer of security(ies) — Community First Bancorp, Inc.
Address of issuer — P.O. Box 736, Madisonville, KY 42431
State or country in which organized — Maryland

MCR Real Estate Investment Trust, Inc.

152 W. 57th St., 46th Fl., New York, NY 10019
State or country in which incorporated — Maryland
Security(ies) — Common stock
Issuer of security(ies) — MCR Real Estate Investment Trust, Inc.
Address of issuer — Same as above
State or country in which organized — Maryland

MDdatacor, Inc.

11625 Rainwater Dr., Suite 360, Alpharetta, GA 30004
State or country in which incorporated — Georgia
Security(ies) — Series B preferred stock
Issuer of security(ies) — MDdatacor, Inc.
Address of issuer — Same as above
State or country in which organized — Georgia

Merrill Lynch, Pierce, Fenner & Smith Incorporated

250 Vesey St., Four World Financial Center, New York, NY 10080
State or country in which incorporated — Delaware
Security(ies) — 11.25% investment trust certificates (Sukuk)
Issuer of security(ies) — East Cameron Gas Company, as Trustee of the East Cameron Gas Company Sukuk Trust
Address of issuer — P.O. Box 908 GT, Walker House, Mary St., Grand Cayman, Cayman Islands
State or country in which organized — Cayman Islands

Merrill Lynch, Pierce, Fenner & Smith Incorporated
 P.O. Box 9051, Princeton, NJ 08543-9051
State or country in which incorporated — Delaware
Security(ies) — Units of fractional undivided interest in trust
Issuer of security(ies) — Government Securities Income Fund, U.S.
 Zero Coupon Bond Series 3, Defined Asset Funds
Address of issuer — Same as above
State or country in which organized — Delaware

Merrill Lynch, Pierce, Fenner & Smith Incorporated
 P.O. Box 9051, Princeton, NJ 08543-9051
State or country in which incorporated — Delaware
Security(ies) — Units of fractional undivided interest in trust
Issuer of security(ies) — The Merrill Lynch Fund of Stripped ("Zero")
 U.S. Treasury Securities Series G
Address of issuer — Same as above
State or country in which organized — Delaware

Merrill Lynch, Pierce, Fenner & Smith Incorporated
 P.O. Box 9051, Princeton, NJ 08543-9051
State or country in which incorporated — Delaware
Security(ies) — Units of fractional undivided interest in trust
Issuer of security(ies) — The Merrill Lynch Fund of Stripped ("Zero")
 U.S. Treasury Securities Series K
Address of issuer — Same as above
State or country in which organized — Delaware

Mesquite Energy Fund, LP
 % Mesquite Investments, LP, 113 Falls Court, Suite 500, Boerne, TX
 78006
Security(ies) — Limited partnership interests
Issuer of security(ies) — Mesquite Energy Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Message Secure Corporation
 59 Composite Way, Suite 401, Lowell, MA 01851-5150
State or country in which incorporated — Delaware
Security(ies) — Units consisting of convertible notes and warrants
Issuer of security(ies) — Message Secure Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Metalex Ventures Ltd.
 2600B Enterprise Way, Kelowna, British Columbia, Canada V1X 7Y5
State or country in which incorporated — Canada
Security(ies) — Units exercisable into one common share and on-half
 of one warrant
Issuer of security(ies) — Metalex Ventures Ltd.
Address of issuer — Same as above
State or country in which organized — Canada

Morgan Stanley & Co. Incorporated
 1585 Broadway, New York, NY 10036
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — NRG Energy, Inc.
Address of issuer — 211 Carnegie Center, Princeton, NJ 08540
State or country in which organized — Delaware

Neuberger Berman Equity Funds
 605 Third Ave., 2nd Fl., New York, NY 10158-0180
Security(ies) — Neuberger Berman Equity Funds—Neuberger Berman
 All Cap Growth Fund - Institutional Class shares of beneficial interest,

(par value \$0.001 per share)
Issuer of security(ies) — Neuberger Berman Equity Funds—Neuberger
 Berman All Cap Growth Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Neuberger Berman Equity Funds
 605 Third Ave., 2nd Fl., New York, NY 10158-0180
Security(ies) — Neuberger Berman Equity Funds—Neuberger Berman
 All Cap Growth Fund - Trust Class shares of beneficial interest, (par
 value \$0.001 per share)
Issuer of security(ies) — Neuberger Berman Equity Funds—Neuberger
 Berman All Cap Growth Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Northern Lights Fund Trust
 % Gemini Fund Services, LLC, 450 Wireless Blvd., Hauppauge, NY
 11788
State or country in which incorporated — Delaware
Security(ies) — Units of beneficial interest - Arrow DWA Balanced
 Fund, advisor class shares
Issuer of security(ies) — Northern Lights Fund Trust
Address of issuer — Same as above
State or country in which organized — Delaware

Northern Lights Fund Trust
 % Gemini Fund Services, LLC, 450 Wireless Blvd., Hauppauge, NY
 11788
State or country in which incorporated — Delaware
Security(ies) — Units of beneficial interest - Arrow DWA Balanced
 Fund, class A shares
Issuer of security(ies) — Northern Lights Fund Trust
Address of issuer — Same as above
State or country in which organized — Delaware

Odyne Corporation
 89 Cabot Court, Suite L, Hauppauge, NY 11788
State or country in which incorporated — New York
Security(ies) — Units of preferred stock and warrants of common stock
Issuer of security(ies) — Odyne Corporation
Address of issuer — Same as above
State or country in which organized — New York

Offline Consulting, Inc.
 1166 E. 14th St., Brooklyn, NY 11230
State or country in which incorporated — Nevada
Security(ies) — Common stock
Issuer of security(ies) — Offline Consulting, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

OppenheimerFunds Distributor, Inc.
 225 Liberty St., 11th Fl., New York, NY 10281-1008
State or country in which incorporated — New York
Security(ies) — Shares of beneficial interest, \$.001 par value (class A
 shares)
Issuer of security(ies) — Oppenheimer Absolute Return Fund
Address of issuer — 6803 S. Tucson Way, P.O. Box 5061, Denver, CO
 80217
State or country in which organized — Massachusetts

OppenheimerFunds Distributor, Inc.
 Two World Financial Center, 225 Liberty St., 11th Fl., New York, NY
 10281-1008

OppenheimerFunds Distributor, Inc.
Two World Financial Center, 225 Liberty St., 11th Fl., New York, NY 10281-1008

State or country in which incorporated — New York
Security(ies) — Shares of beneficial interest, \$.001 par value per share (class N shares)

Issuer of security(ies) — Oppenheimer Transition 2020 Fund
Address of issuer — 6803 W. Tucson Way, Centennial, CO 80112-3924
State or country in which organized — Massachusetts

OppenheimerFunds Distributor, Inc.
Two World Financial Center, 225 Liberty St., 11th Fl., New York, NY 10281-1008

State or country in which incorporated — New York
Security(ies) — Shares of beneficial interest, \$.001 par value per share (class Y shares)

Issuer of security(ies) — Oppenheimer Transition 2020 Fund
Address of issuer — 6803 W. Tucson Way, Centennial, CO 80112-3924
State or country in which organized — Massachusetts

OppenheimerFunds Distributor, Inc.
Two World Financial Center, 225 Liberty St., 11th Fl., New York, NY 10281-1008

State or country in which incorporated — New York
Security(ies) — Shares of beneficial interest, \$.001 par value per share (class A shares)

Issuer of security(ies) — Oppenheimer Transition 2030 Fund
Address of issuer — 6803 W. Tucson Way, Centennial, CO 80112-3924
State or country in which organized — Massachusetts

OppenheimerFunds Distributor, Inc.
Two World Financial Center, 225 Liberty St., 11th Fl., New York, NY 10281-1008

State or country in which incorporated — New York
Security(ies) — Shares of beneficial interest, \$.001 par value per share (class B shares)

Issuer of security(ies) — Oppenheimer Transition 2030 Fund
Address of issuer — 6803 W. Tucson Way, Centennial, CO 80112-3924
State or country in which organized — Massachusetts

OppenheimerFunds Distributor, Inc.
Two World Financial Center, 225 Liberty St., 11th Fl., New York, NY 10281-1008

State or country in which incorporated — New York
Security(ies) — Shares of beneficial interest, \$.001 par value per share (class C shares)

Issuer of security(ies) — Oppenheimer Transition 2030 Fund
Address of issuer — 6803 W. Tucson Way, Centennial, CO 80112-3924
State or country in which organized — Massachusetts

OppenheimerFunds Distributor, Inc.
Two World Financial Center, 225 Liberty St., 11th Fl., New York, NY 10281-1008

State or country in which incorporated — New York
Security(ies) — Shares of beneficial interest, \$.001 par value per share (class N shares)

Issuer of security(ies) — Oppenheimer Transition 2030 Fund
Address of issuer — 6803 W. Tucson Way, Centennial, CO 80112-3924
State or country in which organized — Massachusetts

OppenheimerFunds Distributor, Inc.
Two World Financial Center, 225 Liberty St., 11th Fl., New York, NY 10281-1008

State or country in which incorporated — New York

Security(ies) — Shares of beneficial interest, \$.001 par value per share (class Y shares)

Issuer of security(ies) — Oppenheimer Transition 2030 Fund
Address of issuer — 6803 W. Tucson Way, Centennial, CO 80112-3924
State or country in which organized — Massachusetts

OyaGen, Inc.
500 Linden Oaks, Rochester, NY 14625
State or country in which incorporated — Delaware

Security(ies) — Series A preferred stock
Issuer of security(ies) — OyaGen, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Pentech Holdings, Inc.
% Pentech Infusions, Inc., 106 Chelsea Pkwy., Boothwyn, PA 19061
State or country in which incorporated — Delaware

Security(ies) — Series A convertible preferred stock
Issuer of security(ies) — Pentech Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Pepe's Joint Venture Company, LLC
10 Woodberry Hill Dr., Southington, CT 06489
Security(ies) — Units
Issuer of security(ies) — Pepe's Joint Venture Company, LLC
Address of issuer — Same as above
State or country in which organized — Connecticut

Persimmon Growth Partners Fund, L.P.
1777 Sentry Pkwy. W, Gwynedd Hall, Suite 102, Blue Bell, PA 19422
Security(ies) — Limited partnership interests
Issuer of security(ies) — Persimmon Growth Partners Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Persimmon Research Partners, L.P.
% Persimmon GP II, LLC, 1777 Sentry Pkwy. W, Gwynedd Hall, Suite 102, Blue Bell, PA 19422
Security(ies) — Limited partnership interests
Issuer of security(ies) — Persimmon Research Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

PilotRock Investment Partners, L.P.
1700 E. Putnam Ave., Old Greenwich, CT 06870
Security(ies) — Limited partnership interests
Issuer of security(ies) — PilotRock Investment Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

PilotRock Investment Partners II, L.P.
1700 E. Putnam Ave., Old Greenwich, CT 06870
Security(ies) — Limited partnership interests
Issuer of security(ies) — PilotRock Investment Partners II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Pinnacle Reach Fund, L.P.
1529 52nd St., Brooklyn, NY 11219
State or country in which incorporated — Delaware limited partnership
Security(ies) — Limited partnership interests
Issuer of security(ies) — Pinnacle Reach Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Plum TV, LLC

419 Lafayette St., 7th Fl., New York, NY 10003
Security(ies) — Units of (I) 7.36% convertible promissory note, convertible into membership interests (conversion units) and (ii) a five-year warrant to purchase 20% of conversion units
Issuer of security(ies) — Plum TV, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Providence Select Fund, Limited Partnership

505 Brookfield Dr., Dover, DE 19901
Security(ies) — Limited partnership units
Issuer of security(ies) — Providence Select Fund, Limited Partnership
Address of issuer — Same as above
State or country in which organized — Delaware

Proxy Aviation Systems, Inc.

12850 Middlebrook Rd., Suite 250, Germantown, MD 20874
State or country in which incorporated — Delaware
Security(ies) — Series B convertible preferred stock
Issuer of security(ies) — Proxy Aviation Systems, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Quad Partners II LP

650 Fifth Ave., 31st Fl., New York, NY 10019
Security(ies) — Limited partnership interests
Issuer of security(ies) — Quad Partners II LP
Address of issuer — Same as above
State or country in which organized — Delaware

Quad Partners SBIC II LP

650 Fifth Ave., 31st Fl., New York, NY 10019
Security(ies) — Limited partnership interests
Issuer of security(ies) — Quad Partners SBIC II LP
Address of issuer — Same as above
State or country in which organized — Delaware

Quasar Distributors, LLC

c/o U.S. Bancorp Fund Services, LLC, 615 E. Michigan St., LC-2, Milwaukee, WI 53202
State or country in which incorporated — Wisconsin
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Underlying Fund Trust—Long/Short Equity Healthcare Biotech Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Remote Lands, Inc.

124 E. 74th St., New York, NY 10021
State or country in which incorporated — Delaware
Security(ies) — Series A preferred stock
Issuer of security(ies) — Remote Lands, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Reservoir Fund, LLC, The

63 Reid Ave., Port Washington, NY 11050
Security(ies) — Class A membership interests
Issuer of security(ies) — The Reservoir Fund, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Rodman & Renshaw LLC

1270 Avenue of the Americas, 16th Fl., New York, NY 10017
State or country in which incorporated — Delaware
Security(ies) — Common stock, \$0.0001 par value per share
Issuer of security(ies) — BioSante Pharmaceuticals, Inc.
Address of issuer — 111 Barclay Blvd., Lincolnshire, IL 60069
State or country in which organized — Delaware

Safdie Investment Services Corp.

360 Madison Ave., New York, NY 10017
State or country in which incorporated — New York
Security(ies) — Units consisting of common shares with warrants
Issuer of security(ies) — Action Minerals Inc.
Address of issuer — 1255 W. Pender St., Vancouver, BC, Canada V6E 2V1
State or country in which organized — Canada

Sand Spring Capital II, LLC

c/o Commonwealth Advisors, Inc., 247 Florida St., Baton Rouge, LA 70801
Security(ies) — Limited liability company interests
Issuer of security(ies) — Sand Spring Capital II, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Schoolnet, Inc.

525 Seventh Ave., 4th Fl., New York, NY 10018
State or country in which incorporated — Delaware
Security(ies) — Subordinated secured promissory notes
Issuer of security(ies) — Schoolnet, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Schoolnet, Inc.

525 Seventh Ave., 4th Fl., New York, NY 10018
State or country in which incorporated — Delaware
Security(ies) — Warrants for common stock
Issuer of security(ies) — Schoolnet, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Seasons—Capital Real Estate LLC

717 Seventeenth St., Suite 2000, Denver, CO 80202
Security(ies) — Tenant-in-common interests in Seasons at Horsetooth Crossing, an apartment community in Fort Collins, CO
Issuer of security(ies) — Seasons—Capital Real Estate LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Selectinvest MultiStrategy PLUS 1.25X Ltd.

%BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate Center, 27 Hospital Rd., P.O. Box 1748GT, George Town, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares
Issuer of security(ies) — Selectinvest MultiStrategy PLUS 1.25X Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Selectinvest MultiStrategy PLUS 2.00X Ltd.

%BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate Center, 27 Hospital Rd., P.O. Box 1748GT, George Town, Grand Cayman, Cayman Islands, British West Indies

State or country in which incorporated — Cayman Islands
Security(ies) — Common shares
Issuer of security(ies) — Selectinvest MultiStrategy PLUS 2.00X Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Silvergraph International, Inc.
 11919 Burke St., Santa Fe Springs, CA 90670
State or country in which incorporated — Nevada
Security(ies) — Convertible notes with warrant for common stock
Issuer of security(ies) — Silvergraph International, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Silverhawk PR I, L.P.
 % James Cook, 660 Steamboat Rd., Greenwich, CT 06830
Security(ies) — Limited partnership interests
Issuer of security(ies) — Silverhawk Capital Partners GP, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Skyebanc, Inc.
 1151 Broad St., Suite 115, Shrewsbury, NJ 07702
State or country in which incorporated — California
Security(ies) — Units consisting of convertible preferred stock and warrants
Issuer of security(ies) — Accelerize New Media, Inc.
Address of issuer — 6477 Hwy. 93 S, Suite 303, Whitefish, MT 59937
State or country in which organized — Montana

Smartpaper Networks Corporation
 5930 Great Star Dr., Suite 403, Clarksville, MD 21029
State or country in which incorporated — Delaware
Security(ies) — Series E preferred stock
Issuer of security(ies) — Smartpaper Networks Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Sonz Partners, L.P.
 1001 Second St., Suite 255, Napa, CA 94559
Security(ies) — Limited partnership interests
Issuer of security(ies) — Sonz Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

StemCyte, Inc.
 400 Roslyn Place, Arcadia, CA 91007
State or country in which incorporated — Delaware
Security(ies) — Series 3 convertible preferred stock
Issuer of security(ies) — StemCyte, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Suemandy Properties, LLC
 450 N. Roxbury Dr., Suite 1050, Beverly Hills, CA 90210
Security(ies) — Tenant in common interests
Issuer of security(ies) — Suemandy Properties, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Synergy Byron, LLC
 40 L-Brook Farms, LLC, 7275 Byron Rd., Box 189, Byron, NY 14422
State or country in which incorporated — New York limited liability company

Security(ies) — Class B voting units and class B NV common units
Issuer of security(ies) — Synergy Byron, LLC
Address of issuer — Same as above
State or country in which organized — New York

Texas Securities, Inc.
 4024 Nazarene Dr., Suite #B, Carrollton, TX 75010
State or country in which incorporated — Texas
Security(ies) — Joint venture interests
Issuer of security(ies) — Crown Browder #1 joint venture
Address of issuer — Same as above
State or country in which organized — Texas

Tropical Timber Fund L.P.
 119 E. Court St., Cincinnati, OH 45202
State or country in which incorporated — Ohio
Security(ies) — Limited partnership interests
Issuer of security(ies) — Tropical Timber Fund L.P.
Address of issuer — Same as above
State or country in which organized — Ohio

Trouble With Romance, LLC
 8987 Norma Place, West Hollywood, CA 90069
State or country in which incorporated — California
Security(ies) — Membership interests
Issuer of security(ies) — Trouble With Romance, LLC
Address of issuer — Same as above
State or country in which organized — California

Taglich Brothers, Inc.
 405 Lexington Ave., 51st Fl., New York, NY 10174
State or country in which incorporated — New York
Security(ies) — Units
Issuer of security(ies) — DMP Acquisition LLC
Address of issuer — % Taglich Brothers, Inc., 405 Lexington Ave., 51st Fl., New York, NY 10174
State or country in which organized — Delaware

Texas Securities Partners, Inc.
 101 E. Park Blvd., Suite 300, Plano, TX 75074
State or country in which incorporated — Texas
Security(ies) — Joint venture partnership interests
Issuer of security(ies) — Northstar Konvicka #1 Joint Venture
Address of issuer — 740 Lexington Dr., Plano, TX 75075
State or country in which organized — Texas

Third Coast Capital Offshore Fund, Ltd.
 5914 W. Courtyard Dr., Suite 190, Austin, TX 78730
State or country in which incorporated — Cayman Islands
Security(ies) — Class A shares
Issuer of security(ies) — Third Coast Capital Offshore Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Tuscan Investments, L.P.
 425 Market St., Suite 900, San Francisco, CA 94105
Security(ies) — Limited partnership interest
Issuer of security(ies) — Tuscan Investments, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Tuscan Investments II, L.P.
 425 Market St., Suite 900, San Francisco, CA 94105
Security(ies) — Limited partnership interest

Issuer of security(ies) — Tuscan Investments II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Tuscan Investments Offshore Fund, Ltd.
 % Walkers SPV Limited, P.O. Box 908 GT, Walker House, Mary St.,
 George Town, Grand Cayman, Cayman Islands
Security(ies) — Common shares
Issuer of security(ies) — Tuscan Investments Offshore Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Universal Capital Management, Inc.
 2601 Annand Dr., Suite 16, Wilmington, DE 19808
State or country in which incorporated — Delaware
Security(ies) — Common stock, par value \$0.001 per share
Issuer of security(ies) — Universal Capital Management, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

University of the Republic, LLC
 3108 Circle Hill Rd., Alexandria, VA 22305
State or country in which incorporated — Delaware limited liability
 company
Security(ies) — Series A voting units of membership interest
Issuer of security(ies) — University of the Republic, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Validea Hedge Fund, LLC
 83 Clinton Ave., Westport, CT 06880
Security(ies) — Units of membership interest
Issuer of security(ies) — Validea Hedge Fund, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Cohen & Steers Global Real Estate Portfolio
 2006-3
Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza,
 Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Cohen & Steers REIT Income Portfolio 2006-3
Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza,
 Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Diversified Healthcare Portfolio, Series 36
Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza,
 Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Energy Portfolio, Series 19
Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza,
 Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Financial Institutions Portfolio, Series 31
Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza,
 Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Insured Income Trust, Series 143
Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza,
 Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Insured Laddered Trust, Series 9
Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza,
 Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Insured Municipals Income Trust, 104th
 Limited Maturity Series
Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza,
 Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Investment Grade Trust, Series 25
Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza,
 Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Preferred Securities Portfolio, Series 12
Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza,
 Oakbrook Terrace, IL 60181-5555
State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest

Issuer of security(ies) — Van Kampen Unit Trusts, Municipal Series 616 (Pennsylvania Insured Municipals Income Trust, Series 310)

Address of issuer — % Van Kampen Funds Inc., One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181

State or country in which incorporated — Delaware

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Van Kampen Equity Trust II—Van Kampen Equity Premium Income Fund

Address of issuer — One Parkview Plaza, P.O. Box 5555, Oakbrook Terrace, IL 60181-5555

State or country in which organized — Delaware

VantageMed Corporation

11060 White Rock Rd., Suite 210, Rancho Cordova, CA 95670

State or country in which incorporated — Delaware

Security(ies) — Common stock, warrants to purchase common stock

Issuer of security(ies) — VantageMed Corporation

Address of issuer — Same as above

State or country in which organized — Delaware

Verance Corporation

4435 Eastgate Mall, Suite 350, San Diego, CA 92121

State or country in which incorporated — Delaware

Security(ies) — Convertible securities

Issuer of security(ies) — Verance Corporation

Address of issuer — Same as above

State or country in which organized — Delaware

Vercuity Holdings, Inc.

5889 S. Greenwood Village Plaza Rd., Suite 300, Greenwood Village, CO 80111

State or country in which incorporated — Delaware

Security(ies) — Series B preferred stock

Issuer of security(ies) — Vercuity Holdings, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Wachovia Capital Markets, LLC; (2) Deutsche Bank Securities Inc.

301 S. College St., 4th Fl., Charlotte, NC 28288-0735; (2) 60 Wall St., New York, NY 10005

State or country in which incorporated — (1) Delaware limited liability company; (2) Delaware

Security(ies) — 4.125% convertible senior notes due 2026

Issuer of security(ies) — BRE Properties, Inc.

Address of issuer — 525 Market St., 4th Fl., San Francisco, CA 94105

State or country in which organized — Maryland

Warsowe Acquisition Corporation

2787 E. Oakland Park Blvd., Suite 411, Fort Lauderdale, FL 33306

State or country in which incorporated — Florida

Security(ies) — Series 2006 senior secured debentures

Issuer of security(ies) — Warsowe Acquisition Corporation

Address of issuer — Same as above

State or country in which organized — Florida

Wireless Directory Network Inc.

9003 Main St., Village West #2, Carrabassett, ME 04947-9729

State or country in which incorporated — Maine

Security(ies) — Class B non-voting common stock

Issuer of security(ies) — Wireless Directory Network Inc.

Address of issuer — Same as above

State or country in which organized — Maine

XS Ranch Fund VI, L.P.

388 Market St., Suite 1700, San Francisco, CA 94111

State or country in which incorporated — Delaware limited partnership

Security(ies) — Limited partner interest

Issuer of security(ies) — XS Ranch Fund VI, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

YellowJacket Software, Inc.

55 Broad St., 10th Fl., New York, NY 10004

State or country in which incorporated — Delaware

Security(ies) — Series A preferred stock

Issuer of security(ies) — YellowJacket Software, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

ZAIS Opportunity Domestic Feeder Fund, LP

The Galleria Bldg. Three, Second Fl., Two Bridge Ave., Red Bank, NJ 07701-1106

Security(ies) — Limited partnership interests

Issuer of security(ies) — ZAIS Opportunity Domestic Feeder Fund, LP

Address of issuer — Same as above

State or country in which organized — Delaware