

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

AdRoll, Inc.

332 Pine St., 8th Fl., San Francisco, CA 94104
State or country in which incorporated — Delaware

American Core Real Estate Investment Trust

801 N. Brand Blvd., Suite 800, Glendale, CA 91203
State or country in which incorporated — Maryland

Antigua Bay Yacht Club Partners, Ltd.

102 W. Whiting St., Suite 600, Tampa, FL 33602
Partnership — Antigua Bay Yacht Club Partners, LLC

Apis Capital, LP

53 Forest Ave., Suite 103, Old Greenwich, CT 06870
Partnership — Apis Capital Advisors, LLC

Apis Global Deep Value, LP

53 Forest Ave., Suite 103, Old Greenwich, CT 06870
Partnership — Apis Capital Advisors, LLC

Apis Offshore Capital, Ltd.

c/o Apis Capital Advisors, LLC, 53 Forest Ave., Suite 103, Old
Greenwich, CT 06870
State or country in which incorporated — Cayman Islands

Asia Music Investors LLC

1875 Century Park E, Suite 300, Los Angeles, CA 90067
State or country in which incorporated — Delaware

Atlantic Property Investors, LLC

118-35 Queens Blvd., Forest Hills, NY 11375

Augustus MJK Fund, L.P.

110 Pondview Lane, New Rochelle, NY 10804
Partnership — Augustus Capital Management, LLC

Blue Vista Capital, LLC

One N. Franklin St., Suite 450, Chicago, IL 60606

Bodri Capital Offshore Fund Ltd.

c/o Morgan Stanley Fund Services (Bermuda) Ltd., Clarendon House,

Two Church St., Hamilton HM DX, Bermuda

Partnership — David Bree, CPA and Roger H. Hanson

Boston Restaurant Associates, Inc.

Stonehill Corporate Center, 999 Broadway, Suite 400, Saugus, MA
01909

State or country in which incorporated — Delaware

Bubble Flow, Inc.

1576 Sweet Home Rd., Baird Research Park, Amherst, NY 14228
State or country in which incorporated — Delaware

CA Holdco, LLC

598 Madison Ave., New York, NY 10022
State or country in which incorporated — Delaware

Chartwell BanCapital Fund I, L.P.

22 Commerce Way, Suite 7, Bethlehem, PA 18017
Partnership — CBCF Partners, LP

Cyber Merchants Exchange, Inc.

936A Beachland Blvd., Suite 13, Vero Beach, FL 32963
State or country in which incorporated — California

Dunham & Associates Investment Counsel, Inc.

123 Camino de la Reina, San Diego, CA 92108
State or country in which incorporated — California

Eidesis Special Opportunities, L.P.

500 Fifth Ave., 56th Fl., New York, NY 10110
Partnership — Eidesis Special Opportunities GP, LLC

Eidesis Special Opportunities Master Fund, Inc.

500 Fifth Ave., 56th Fl., New York, NY 10110
State or country in which incorporated — Cayman Islands

Entertainment Cruises Co-Investment LLC

666 Third Ave., 29th Fl., New York, NY 10017
State or country in which incorporated — Delaware limited liability
company

Flatiron Trust

c/o Flatiron Fund Management Ltd., Four King St. W, Suite 1310,
Toronto, Ontario Canada M5H 1B6

Florida Capital Fund LLC

888 E. Las Olas Blvd., Suite 601, Fort Lauderdale, FL 33301
State or country in which incorporated — Florida

GFP Managed Equities I LP
Trade Center, 4th Fl., 24 Airport Rd., W. Lebanon, NH 03784
Partnership — GFP Capital Management LLC

GG&A Crossroads Center, L.P.
124 Johnson Ferry Rd., Atlanta, GA 30328
Partnership — GG&A Crossroads, LLC, general partner

Gretna Realty Associates, LLC
4400 PGA Blvd., Suite 305, Palm Beach Gardens, FL 33410

HT Atlantic Fund I, LLC
1312 Vinetree Dr., Brandon, FL 33510
Partnership — HT Funds, LLC, managing member

iCardiac Technologies, Inc.
312 Susquehanna Rd., Rochester, NY 14618
State or country in which incorporated — Delaware

Intrepid Capital Multi-Sector Fund, LP
360 Madison Ave., 21st Fl., New York, NY 10017
Partnership — Intrepid Capital Advisors, LLC

Intrepid Capital Fund (Offshore), Ltd.
Morgan Stanley Fund Services (Bermuda) Ltd. f/b/o Bluenose Capital Fund (Offshore), Ltd, Clarendon House, Two Church St., Hamilton HM DX, Bermuda
State or country in which incorporated — Cayman Islands

Intrepid Enhanced Alpha Fund (QP), LP
360 Madison Ave., 21st Fl., New York, NY 10017
Partnership — Intrepid Capital Advisors, LLC

Investment Partnership TNP On the Road II
3-6-1 Shin-yokohama, Yokohama-City, Kanagawa 222-0033 Japan
Partnership — TNP On the Road Corporation, general partner

Ionic Fusion Corporation
105 S. Sunset, Suite T, Longmont, CO 80501
State or country in which incorporated — Delaware

IQMax, Inc.
3440 Toringdon Way, Suite 106, Charlotte, NC 28277
State or country in which incorporated — Delaware

JAM 5740, LLC
253 S. 20th St., Philadelphia, PA 19103

Kabouter Fund II, LLC
One East Wacker Dr., Suite 2505, Chicago, IL 60601
State or country in which incorporated — Delaware limited liability company

Lexam Explorations Inc.
99 George St., 3rd Fl., Toronto, Ontario M5A 2N4
State or country in which incorporated — Ontario, Canada

Luxury Brand Consulting, Inc.
11965 Venice Blvd., Suite 409, Los Angeles, CA 90066
State or country in which incorporated — California

Malory Investments, LLC
1351 Westwood Blvd., Suite 102, Los Angeles, CA 90024
State or country in which incorporated — California

MemphisFirst Corporation
4230 Elvis Presley Blvd., Memphis, TN 38116
State or country in which incorporated — Tennessee

MKVP LLC
1360 N. Crescent Height Blvd., Suite 2C, West Hollywood, CA 90046

Morgan Stanley Disributors
1221 Avenue of the Americas, New York, NY 10020
State or country in which incorporated — Delaware

Morgan Stanley Institutional Fund Trust—Long Duration Fixed Income Portfolio
c/o JP Morgan Investor Services Company, 73 Tremont St., 11th Fl., Boston, MA 02108
State or country in which incorporated — Pennsylvania

NewWest Gold Corporation
1658 Cole Blvd., Bldg. 6, Suite 210, Lakewood, CO 80401
State or country in which incorporated — British Columbia, Canada

Northeast Securities, Inc.
100 Wall St., 8th Fl., New York, NY 10005
State or country in which incorporated — New York

Oxford One, LLC
260 E. Brown St., Suite 310, Birmingham, MI 48009

Patio Shops Investors, LLC
5377 Kings Hwy., Brooklyn, NY 11203
Partnership — Jacob Deckelbaum, managing member

Patriot Renewable Fuels, LLC
313 N. Canal Street, P.O. Box 560, Annawan, IL 61234
State or country in which incorporated — Illinois

Phoenix Enhanced Opportunities Trust (Series A)
56 Prospect St., Hartford, CT 06115

Phoenix Enhanced Opportunities Trust (Series B)
56 Prospect St., Hartford, CT 06115

Phoenix Enhanced Opportunities Trust (Series C)
56 Prospect St., Hartford, CT 06115

Phoenix Enhanced Opportunities Trust (Series D)
56 Prospect St., Hartford, CT 06115

PNC MultiFamily Capital Institutional Fund XXXIII Limited Partnership
121 SW. Morrison St., Suite 1300, Portland, OR 97204-3143
Partnership — PNC MultiFamily Capital Fund XXXIII, Inc.

Pomeroy Partners, LP
666 Third Ave., 8th Fl., New York, NY 10017
Partnership — Pomeroy Advisors, LLC

Premier Funding LCC
700 New York Ave., Huntington, NY 11743

Private Advisors Small Company Buyout Fund III, L.P.
1800 Bayberry Court, Suite 300, Richmond, VA 23226
Partnership — PASCBF III GP, LLC

Ralph Parks Portfolios Trust
Meadowgate Office Park, 101 Sullys Trail, Bldg. 10, Pittsford, NY 14534
State or country in which incorporated — Delaware

RC/CRR Investors, LLC
c/o RINET Company, LLC, 101 Federal St., 14th Fl., Boston, MA 02110

Rivanna Partners, L.P.
600 Peter Jefferson Pkwy., Suite 370, Charlottesville, VA 22911
Partnership — Rivanna Capital, limited liability company

RM-Trion Shoppes at Vero Beach, LLLP
3325 S. University Dr., Suite 210, Davie, FL 33328
Partnership — RM-Trion Shoppes at Vero Beach GP, LLC, general partner; Ross Matz Investments Shoppes Vero Beach LLC, managing member of general partner; LMK Shoppes at Vero Beach Associates, LLC, managing member of general partner

Roth Capital Partners, LLC
24 Corporate Plaza Dr., Newport Beach, CA 92660
Partnership — Byron Roth, Gordon Roth and Arnold Kraus

Selectinvest Total Return Ltd.
c/o BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate Center, 27 Hospital Rd., P.O. Box 1748GT, George Town, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands

Sollucet Partners L.P.
412 Sonoma Aisle, Irvine, CA 92618
Partnership — Sollucet Capital LLC

Stable Fund Cayman, Ltd., The
c/o Goldman Sachs Administration Services Co., Hardwicke House, 2nd Fl., Hatch St., Dublin 2, Ireland
State or country in which incorporated — Cayman Islands

Stable Fund U.S., LCC, The
Two Executive Blvd., Suite 308, Suffern, NY 10901

Tamarack Funds Trust—Tamarack Treasury Plus Money Market Fund
3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
State or country in which incorporated — Delaware

TESS Skincare, Inc.
411 E. Carrillo St., Santa Barbara, CA 93101
State or country in which incorporated — Delaware

TMR Bayhead Securities, LLC
29 Hulse Landing, Brick, NJ 08723
State or country in which incorporated — New Jersey limited liability company

Triumph Investments Fund II, LP
Cold Stream Park, 116B S. River Rd., Bedford, NH 03310
Partnership — Triumph Investment Partners II, LLC

U.S. Silver Corporation
301 Central Ave., Suite 384, Hilton Head, SC 29926
State or country in which incorporated — Delaware

Vault, L.P., The
c/o Robert Daleo, President, 2178 W. Street, Brooklyn, NY 11223
Partnership — The Vault Night Club, Inc., general partner

Veeker Corporation
460 Bryant St., 3rd Fl., San Francisco, CA 94107
State or country in which incorporated — Delaware

Welund Fund, Inc.
1940 Zinfandel Dr., Suite R, Rancho Cordova, CA 95670
State or country in which incorporated — Nevada

Westmoreland Capital Corporation
2350 N. Forest Rd., Suite 12A, Getzville, NY 14068
State or country in which incorporated — New York

White Oak Guggenheim Aerospace and Defense Fund (FF), L.P.
1175 Peachtree St., NE, 100 Colony Sq., Suite 2100, Atlanta, GA 30361
Partnership — White Oak Guggenheim Capital Partners, LLC

Ze-gen, Inc.
70 Franklin St., 3rd Fl., Boston, MA 02110
State or country in which incorporated — Delaware

ZS SCI L.P.
54 Morris Lane, Scarsdale, NY 10583
Partnership — ZS SCI L.L.C.

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY
DEALERS; BROKERS;
SYNDICATE MANAGERS

AARP Funds—AARP Income Fund
601 E. St., NW, Washington, DC 20004
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — AARP Funds—AARP Income Fund
Address of issuer — Same as above
State or country in which organized — Delaware

AdRoll, Inc.
332 Pine St., 8th Fl., San Francisco, CA 94104
State or country in which incorporated — Delaware
Security(ies) — Series A preferred stock
Issuer of security(ies) — AdRoll, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

American Core Real Estate Investment Trust
801 N. Brand Blvd., Suite 800, Glendale, CA 91203
State or country in which incorporated — Maryland

Security(ies) — American core real estate investment trust common stock

Issuer of security(ies) — American Core Real Estate Investment Trust

Address of issuer — Same as above

State or country in which organized — Maryland

Antigua Bay Yacht Club Partners, Ltd.

102 W. Whiting St., Suite 600, Tampa, FL 33602

State or country in which incorporated — Florida

Security(ies) — Class A limited partnership interests

Issuer of security(ies) — Antigua Bay Yacht Club Partners, Ltd.

Address of issuer — Same as above

State or country in which organized — Florida

Apis Offshore Capital, Ltd.

c/o Apis Capital Advisors, LLC, 53 Forest Ave., Suite 103, Old Greenwich, CT 06870

State or country in which incorporated — Cayman Islands

Security(ies) — Shares of stock

Issuer of security(ies) — Apis Offshore Capital, Ltd.

Address of issuer — Same as above

State or country in which organized — Cayman Islands

Atlantic American Capital Advisors, LLC

101 E. Kennedy, Suite 3300, Tampa, FL 33602

State or country in which incorporated — Delaware

Security(ies) — Class A limited partnership interests

Issuer of security(ies) — Antigua Bay Yacht Club Partners, Ltd.

Address of issuer — 102 W. Whiting St., Suite 600, Tampa, FL 33602

State or country in which organized — Delaware

Atlantic Property Investors, LLC

118-35 Queens Blvd., Forest Hills, NY 11375

Security(ies) — Membership interests

Issuer of security(ies) — Atlantic Property Investors, LLC

Address of issuer — Same as above

State or country in which organized — New York

Augustus MJK Fund, L.P.

110 Pondview Lane, New Rochelle, NY 10804

Security(ies) — Limited partnership interests

Issuer of security(ies) — Augustus MJK Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Blue Vista Capital, LLC

One N. Franklin St., Suite 450, Chicago, IL 60606

Security(ies) — Units of limited liability company interest

Issuer of security(ies) — Blue Vista Sponsor Equity Fund II, LLC

Address of issuer — Same as above

State or country in which organized — Delaware

BMO Nesbitt Burns Securities Ltd.

One First Canadian Place, Legal Dept., 21st Fl., Toronto, Ontario M5X 1H3

State or country in which incorporated — Canada

Security(ies) — Common shares

Issuer of security(ies) — Hudbay Minerals Inc.

Address of issuer — 201 Portage Ave. #1906, Winnipeg, Manitoba, Canada, R3B 3K6

State or country in which organized — Canada

Bodri Capital Offshore Fund Ltd.

c/o Morgan Stanley Fund Services (Bermuda) Ltd., Clarendon House, Two Church St., Hamilton HM DX, Bermuda

Security(ies) — Redeemable shares

Issuer of security(ies) — Bodri Capital Offshore Fund Ltd.

Address of issuer — Same as above

State or country in which organized — Cayman Islands, B.W.I.

Boston Restaurant Associates, Inc.

Stonehill Corporate Center, 999 Broadway, Suite 400, Saugus, MA 01901

State or country in which incorporated — Delaware

Security(ies) — Series B preferred stock

Issuer of security(ies) — Boston Restaurant Associates, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Bubble Flow, Inc.

1576 Sweet Home Rd., Baird Research Park, Amherst, NY 14228

State or country in which incorporated — Delaware

Security(ies) — Common stock, par value \$0.01

Issuer of security(ies) — Bubble Flow, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

CA Holdco, LLC

598 Madison Ave., New York, NY 10022

Security(ies) — Units consisting of class C units of CA Holdco, LLC

Issuer of security(ies) — CA Holdco, LLC

Address of issuer — Same as above

State or country in which organized — Delaware

Canaccord Adams Inc.

99 High St., Suite 1200, Boston, MA 02110

State or country in which incorporated — Vancouver, British Columbia

Security(ies) — Common shares

Issuer of security(ies) — NewWest Gold Corporation

Address of issuer — 1658 Cole Blvd., Bldg. 6, Suite 210, Lakewood, CO 80401

State or country in which organized — Canada

Chartwell BanCapital Fund I, L.P.

22 Commerce Way, Suite 7, Bethlehem, PA 18017

Security(ies) — Limited partnership interests

Issuer of security(ies) — Chartwell BanCapital Fund I, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Compass Securities Corporation; (2) Moors & Cabot, Inc.; (3) PNC Capital Markets, Inc.; (4) WM Financial Services, Inc.

One Batterymarch Park, Suite 309, Quincy, MA 02169; (2) 111 Devonshire St., Boston, MA 02109; (3) 249 Fifth Ave., 26th Fl., Pittsburgh, PA 15222; (4) P.O. Box 19399, Irvine, CA 92623-9399

State or country in which incorporated — (1)-(2) Massachusetts; (3) Pennsylvania; (4) Washington

Security(ies) — Limited partnership interest

Issuer of security(ies) — PNC MultiFamily Capital Institutional Fund XXXIII Limited Partnership

Address of issuer — 121 SW Morrison St., Suite 1300, Portland OR 97204-3143

State or country in which organized — Delaware

Cyber Merchants Exchange, Inc.

936A Beachland Blvd., Suite 13, Vero Beach, FL 32963

State or country in which incorporated — California
Security(ies) — Series B convertible preferred stock and warrants
Issuer of security(ies) — Cyber Merchants Exchange, Inc.
Address of issuer — Same as above
State or country in which organized — California

Dunham & Associates Investment Counsel, Inc.
 123 Camino de la Reina, San Diego, CA 92108
State or country in which incorporated — California
Security(ies) — Units of beneficial interest—Dunham high-yield bond fund, class N
Issuer of security(ies) — AdvisorOne Funds
Address of issuer — 150 Motor Pkwy., Hauppauge, NY 11788
State or country in which organized — Delaware

Eidesis Special Opportunities, L.P.
 500 Fifth Ave., 56th Fl., New York, NY 10110
Security(ies) — Partnership interests
Issuer of security(ies) — Eidesis Special Opportunities, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Eidesis Special Opportunities Master Fund, Ltd.
 500 Fifth Ave., 56th Fl., New York, NY 10110
State or country in which incorporated — Cayman Islands
Security(ies) — Common stock
Issuer of security(ies) — Eidesis Special Opportunities Master Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Entertainment Cruises Co-Investment LLC
 666 Third Ave., 29th Fl., New York, NY 10017
State or country in which incorporated — Delaware Limited Liability Company
Security(ies) — Limited liability company units
Issuer of security(ies) — Entertainment Cruises Co-Investment LLC
Address of issuer — Same as above
State or country in which organized — Delaware

ERM Group Holdings Limited
 7700 Chevy Chase Dr., Suite 110, Austin, TX 78752
State or country in which incorporated — England and Wales
Security(ies) — Class A ordinary shares and class A preference shares (sold as a unit)
Issuer of security(ies) — ERM Group Holdings Limited
Address of issuer — Same as above
State or country in which organized — England and Wales

Falfurrias Capital Partners, LP
 100 N. Tryon St., Suite 5120, Charlotte, NC 28202
State or country in which incorporated — Delaware limited partnership
Security(ies) — Limited partnership interest
Issuer of security(ies) — Falfurrias Capital Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Federated Securities Corporation
 Federated Investors Tower, 1001 Liberty Ave., Pittsburgh, PA 15222-3779
State or country in which incorporated — Pennsylvania
Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Federated Managed Pool Series, Federated High Yield Strategy Portfolio
Address of issuer — 5800 Corporate Dr., Pittsburgh, PA 15237-7000
State or country in which organized — Massachusetts

Federated Securities Corporation
 Federated Investors Tower, 1001 Liberty Ave., Pittsburgh, PA 15222-3779
State or country in which incorporated — Pennsylvania
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Federated Managed Pool Series, Federated Mortgage Strategy Portfolio
Address of issuer — 5800 Corporate Dr., Pittsburgh, PA 15237-7000
State or country in which organized — Massachusetts

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1224 (Global Real Estate Select Portfolio Series)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1232 (Growth & Income Allocation Portfolio, Series 2)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1239 (Dividend and Income Select Closed-End Portfolio Series)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1241 (Canadian Energy & Income Select Portfolio, Series 2)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1242 (Covered Call Closed-End Portfolio, Series 4)
Address of issuer — Same as above
State or country in which organized — Illinois

Fixed Income Securities, L.P.
 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — Advisor's Disciplined Trust 99 (Senior Variable Rate Closed-End Portfolio, Series 8)
Address of issuer — Same as above
State or country in which organized — New York

Fixed Income Securities, L.P.

18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415

Security(ies) — 10,000 units of undivided interest*Issuer of security(ies)* — Advisor's Disciplined Trust 100 (High Yield Closed-End Portfolio, Series 6)*Address of issuer* — Same as above*State or country in which organized* — New York

Florida Capital Fund LLC

888 East Las Olas Blvd., Suite 601, Fort Lauderdale, FL 33301

State or country in which incorporated — Florida*Security(ies)* — Loan participation interests*Issuer of security(ies)* — Florida Capital Fund LLC*Address of issuer* — Same as above*State or country in which organized* — Florida

Flatiron Trust

c/o Flatiron Fund Management Ltd., Four King St. W, Suite 1310, Toronto, Ontario Canada M5H 1B6

Security(ies) — Redeemable units*Issuer of security(ies)* — Flatiron Trust*Address of issuer* — Same as above*State or country in which organized* — Canada

GFP Managed Equities I LP

Trade Center, 4th Fl., 24 Airport Rd., West Lebanon, NH 03784

State or country in which incorporated — Delaware*Security(ies)* — Limited partnership interests*Issuer of security(ies)* — GFP Management Equities I LP*Address of issuer* — Same as above*State or country in which organized* — Delaware

GG&A Crossroads Center, L.P.

124 Johnson Ferry Rd., Atlanta, GA 30328

State or country in which incorporated — Delaware limited partnership*Security(ies)* — Limited partnership interests*Issuer of security(ies)* — GG&A Crossroads Center, L.P.*Address of issuer* — Same as above*State or country in which organized* — Delaware

Glickenhau & Co.

546 Fifth Ave., New York, NY 10036

State or country in which incorporated — New York*Security(ies)* — Units of fractional undivided interest*Issuer of security(ies)* — Empire State Municipal Exempt Trust, Guaranteed Series 185*Address of issuer* — Same as above*State or country in which organized* — New York Trust

Glickenhau & Co.

546 Fifth Ave., New York, NY 10022

State or country in which incorporated — New York*Security(ies)* — Units of fractional undivided interest*Issuer of security(ies)* — Empire State Municipal Exempt Trust, Guaranteed Series 186*Address of issuer* — Same as above*State or country in which organized* — New York Trust

Gretna Realty Associates, LLC

4400 PGA Blvd., Suite 305, Palm Beach Gardens, FL 33410

State or country in which incorporated — Delaware*Security(ies)* — Units of membership interest*Issuer of security(ies)* — Gretna Realty Associates, LLC*Address of issuer* — Same as above*State or country in which organized* — Delaware

H T Atlantic Fund I, LLC

1312 Vinetree Dr., Brandon, FL 33510

State or country in which incorporated — Delaware limited liability company*Security(ies)* — Limited liability company interests*Issuer of security(ies)* — H T Atlantic Fund I, LLC*Address of issuer* — Same as above*State or country in which organized* — Delaware

HCFP/Brenner Securities, LLC

888 Seventh Ave., New York, NY 10106-1799

Security(ies) — Units consisting of series C convertible preferred stock and common stock purchase warrants*Issuer of security(ies)* — GigaBeam Corporation*Address of issuer* — 470 Springpark Place, Suite 900, Herndon, VA 20170*State or country in which organized* — Delaware

iCardiac Technologies, Inc.

312 Susquehanna Rd., Rochester, NY 14618

State or country in which incorporated — Delaware*Security(ies)* — Series A preferred stock*Issuer of security(ies)* — iCardiac Technologies, Inc.*Address of issuer* — Same as above*State or country in which organized* — Delaware

IMS Securities, Inc.

1500 City W. Blvd., Suite 500, Houston, TX 77042

State or country in which incorporated — Texas*Security(ies)* — Units of limited partnership interest*Issuer of security(ies)* — United Development Funding III, L.P.*Address of issuer* — 1702 N. Collins Blvd., Suite 100, Richardson, TX 75080*State or country in which organized* — Delaware

Intrepid Capital Fund (Offshore), Ltd.

Morgan Stanley Fund Services (Bermuda) Ltd., f/b/o Bluenose Capital Fund (Offshore), Ltd, Clarendon House, Two Church St., Hamilton HM DX, Bermuda

Security(ies) — Common shares*Issuer of security(ies)* — Intrepid Capital Fund (Offshore), Ltd.*Address of issuer* — Same as above*State or country in which organized* — Cayman Islands

Intrepid Enhanced Alpha Fund (QP), LP

360 Madison Ave., 21st Fl., New York, NY 10017

Security(ies) — Limited partnership interests*Issuer of security(ies)* — Intrepid Enhanced Alpha Fund (QP), LP*Address of issuer* — Same as above*State or country in which organized* — Delaware

Intrepid Capital Multi-Sector Fund, LP

360 Madison Ave., 21st Fl., New York, NY 10017

Security(ies) — Limited partnership interests*Issuer of security(ies)* — Intrepid Capital Multi-Sector Fund, LP*Address of issuer* — Same as above*State or country in which organized* — Delaware

Inverness Medical Innovations, Inc.

51 Sawyer Rd., Suite 200, Waltham, MA 02453-3448

State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Inverness Medical Innovations, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Investment Partnership TNP On the Road II
 3-6-1 Shin-yokohama, Yokohama-City, Kanagawa 222-0033 Japan
Security(ies) — Limited partnership equity interests
Issuer of security(ies) — Investment Partnership TNP On the Road II
Address of issuer — Same as above
State or country in which organized — Japan

Ionic Fusion Corporation
 105 S. Sunset, Suite T, Longmont, CO 80501
State or country in which incorporated — Delaware
Security(ies) — Series B convertible preferred stock
Issuer of security(ies) — Ionic Fusion Corporation
Address of issuer — 105 S. Sunset, Suite T, Longmont, CO 80501
State or country in which organized — Delaware

IQMax, Inc.
 3440 Toringdon Way, Suite 106, Charlotte, NC 28277
State or country in which incorporated — Delaware
Security(ies) — 8.5% Subordinated Convertible Debentures
Issuer of security(ies) — IQMax, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

J M Smith Corporation
 101 W. Saint John St., Suite 305, Spartanburg, SC 29306
State or country in which incorporated — South Carolina
Security(ies) — Preferred stock
Issuer of security(ies) — J M Smith Corporation
Address of issuer — Same as above
State or country in which organized — South Carolina

JAM 5740, LLC
 253 S. 20th St., Philadelphia, PA 19103
Security(ies) — Up to \$300,000 of limited liability company membership units
Issuer of security(ies) — JAM 5740, LLC
Address of issuer — Same as above
State or country in which organized — Pennsylvania

Jeffrey Balash, via Comstock Partners LLC; and Stephen Ste. Marie
 1875 Century Park E, Suite 300, Los Angeles, CA 90067
State or country in which incorporated — Delaware
Security(ies) — Membership interests
Issuer of security(ies) — Asia Music Investors LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Kabouter Fund II, LLC
 One E. Wacker Dr., Suite 2505, Chicago, IL 60601
State or country in which incorporated — Delaware limited liability company
Security(ies) — Limited liability company interests
Issuer of security(ies) — Kabouter Fund II, LLC
Address of issuer — 75 E. Wacker Dr., Suite 610, Chicago, IL 60606
State or country in which organized — Delaware

Lexam Explorations
 99 George St., 3rd Fl., Toronto, Ontario M5A 2N4

State or country in which incorporated — Ontario, Canada
Security(ies) — 1 unit = 1 common share, 1/2 common share warrant
Issuer of security(ies) — Lexam Explorations
Address of issuer — Same as above
State or country in which organized — Ontario, Canada

Luxury Brand Consulting, Inc. d/b/a Bossa Nova Beverage Company, Inc.
 11965 Venice Blvd., Suite 409, Los Angeles, CA 90066
State or country in which incorporated — California
Security(ies) — Bridge note
Issuer of security(ies) — Luxury Brand Consulting, Inc. d/b/a Bossa Nova Beverage Company
Address of issuer — Same as above
State or country in which organized — California

Luxury Brand Consulting, Inc. d/b/a Bossa Nova Beverage Company, Inc.
 11965 Venice Blvd., Suite 409, Los Angeles, CA 90066
State or country in which incorporated — California
Security(ies) — Common stock purchase warrant
Issuer of security(ies) — Luxury Brand Consulting, Inc. d/b/a Bossa Nova Beverage Company
Address of issuer — Same as above
State or country in which organized — California

Malory Investments, LLC
 1351 Westwood Blvd., Suite 102, Los Angeles, CA 90024
State or country in which incorporated — California
Security(ies) — Limited partnership units
Issuer of security(ies) — Progressive Oil & Gas Income Fund, LP
Address of issuer — 227 Broadway, Suite 200, Santa Monica, CA 90401
State or country in which organized — California

MediaStrands, Inc.
 760 SW Madison, Suite 106, Corvallis, OR 97333
State or country in which incorporated — Delaware
Security(ies) — Options of common stock
Issuer of security(ies) — MediaStrands, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

MemphisFirst Corp.
 4230 Elvis Presley Blvd., Memphis TN 38116
State or country in which incorporated — Tennessee
Security(ies) — Common stock
Issuer of security(ies) — MemphisFirst Corporation
Address of issuer — Same as above
State or country in which organized — Tennessee

MicroNets Inc.
 770 Broadway, Second Fl., New York, NY 10003
State or country in which incorporated — Delaware
Security(ies) — Stock options to purchase shares of common stock
Issuer of security(ies) — MicroNets, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

MKVP LLC
 1360 N. Crescent Height Blvd., Suite 2C, West Hollywood, CA 90046
Security(ies) — Class B membership interests
Issuer of security(ies) — MKVP LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Morgan Stanley Distributors
1221 Avenue of the Americas, New York, NY 10020
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Morgan Stanley Institutional Strategies Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Morgan Stanley Institutional Fund Trust—Long Duration Fixed Income Portfolio
c/o JP Morgan Investor Services Company, 73 Tremont St., 11th Fl., Boston, MA 02108
Security(ies) — Shares of beneficial interest at no par value
Issuer of security(ies) — Morgan Stanley Institutional Fund Trust—Long Duration Fixed Income Portfolio
Address of issuer — Same as above
State or country in which organized — Pennsylvania

Northeast Securities, Inc.
100 Wall St., 8th Fl., New York, NY 10005
State or country in which incorporated — New York
Security(ies) — The offering consists of units. Each unit consists of a \$50,000 principal amount 10% senior unsecured convertible note and a common stock purchase warrant to purchase 20% of the underlying shares of the company's common stock at a price of \$1.25
Issuer of security(ies) — UniPixel, Inc.
Address of issuer — 8708 Technology Forest Place, Suite 100, The Woodlands, TX 77381
State or country in which organized — Delaware

Oxford One, LLC
260 E. Brown St., Suite 310, Birmingham, MI 48009
Security(ies) — Limited liability company membership interests
Issuer of security(ies) — Oxford One, LLC
Address of issuer — Same as above
State or country in which organized — Michigan

Parity Energy, Inc.
368 Broadway, Suite 501, New York, NY 10013
State or country in which incorporated — Delaware
Security(ies) — 1,000,000 shares of common stock (to be issued pursuant to the issuer's 2006 stock plan)
Issuer of security(ies) — Parity Energy, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Patio Shops Investors, LLC.
5377 Kings Hwy., Brooklyn, NY 11203
State or country in which incorporated — New Jersey
Security(ies) — Membership interests in a limited liability company
Issuer of security(ies) — Patio Shops Investors, LLC
Address of issuer — Same as above
State or country in which organized — New Jersey

Patriot Renewable Fuels, LLC
313 N. Canal St., P.O. Box 560, Annawan, IL 61234
State or country in which incorporated — Illinois
Security(ies) — Limited liability company membership interests (units)
Issuer of security(ies) — Patriot Renewable Fuels, LLC
Address of issuer — 313 N. Canal St., P.O. Box 560, Annawan, IL 61234
State or country in which organized — Illinois

Phoenix Enhanced Opportunities Trust (Series A)
56 Prospect St., Hartford, CT 06115
Security(ies) — Statutory trust units
Issuer of security(ies) — Phoenix Enhanced Opportunities Trust (Series A)
Address of issuer — Same as above
State or country in which organized — Delaware

Phoenix Enhanced Opportunities Trust (Series B)
56 Prospect St., Hartford, CT 06115
Security(ies) — Statutory trust units
Issuer of security(ies) — Phoenix Enhanced Opportunities Trust (Series B)
Address of issuer — Same as above
State or country in which organized — Delaware

Phoenix Enhanced Opportunities Trust (Series C)
56 Prospect St., Hartford, CT 06115
Security(ies) — Statutory trust units
Issuer of security(ies) — Phoenix Enhanced Opportunities Trust (Series C)
Address of issuer — Same as above
State or country in which organized — Delaware

Phoenix Enhanced Opportunities Trust (Series D)
56 Prospect St., Hartford, CT 06115
Security(ies) — Statutory trust units
Issuer of security(ies) — Phoenix Enhanced Opportunities Trust (Series D)
Address of issuer — Same as above
State or country in which organized — Delaware

Pomeroy Partners, LP
666 Third Ave., 8th Fl., New York, NY 10017
Security(ies) — Limited partnership interests
Issuer of security(ies) — Pomeroy Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Premier Funding LLC
700 New York Ave., Huntington, NY 11743
Security(ies) — Membership interests
Issuer of security(ies) — Premier Funding LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Private Advisors Small Company Buyout Fund III, L.P.
1800 Bayberry Court, Suite 300, Richmond, VA 23226
Security(ies) — Limited partnership interests
Issuer of security(ies) — Private Advisors Small Company Buyout Fund III, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Quasar Distributors, LLC
615 E. Michigan St., Milwaukee, WI 53202
State or country in which incorporated — Maryland
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Harding, Loevner Funds, Inc.—Institutional Emerging Markets Portfolio
Address of issuer — 600 Fifth Ave., 26th Fl., New York, NY 10020
State or country in which organized — Massachusetts

Ralph Parks Portfolios Trust
Meadowgate Office Park, 101 Sullys Trail, Bldg. 10, Pittsford, NY 14534

State or country in which incorporated — Delaware
Security(ies) — Units of beneficial interest, Ralph Parks portfolios trust, Ralph Parks cyclical equity fund
Issuer of security(ies) — Ralph Parks Portfolios Trust
Address of issuer — Same as above
State or country in which organized — Delaware

RC/CRR Investors, LLC
c/o RINET Company, LLC, 101 Federal St., 14th Fl., Boston, MA 02110

Security(ies) — Class A membership interests
Issuer of security(ies) — RC/CRR Investors, LLC
Address of issuer — Same as above
State or country in which organized — Massachusetts

Rivanna Partners, L.P.
600 Peter Jefferson Pkwy., Suite 370, Charlottesville, VA 22911

Security(ies) — Limited partnership interests
Issuer of security(ies) — Rivanna Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

RM-Trion Shoppes at Vero Beach, LLLP
3325 S. University Dr., Suite 210, Davie, FL 33328

Security(ies) — Limited partnership interests, each unit representing a 2.57% interest in the partnership
Issuer of security(ies) — RM-Trion Shoppes at Vero Beach, LLLP
Address of issuer — Same as above
State or country in which organized — Florida

Selectinvest Total Return Ltd.
c/o BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate Center, 27 Hospital Rd., P.O. Box 1748GT, George Town, Grand Cayman, Cayman Islands, British West Indies

State or country in which incorporated — Cayman Islands
Security(ies) — Common shares
Issuer of security(ies) — Selectinvest Total Return Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Sollucet Partners L.P.
412 Sonoma Aisle, Irvine, CA 92618

State or country in which incorporated — Delaware limited partnership
Security(ies) — Limited partnership interests
Issuer of security(ies) — Sollucet Partners L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Stable Fund Cayman, Ltd., The
% Goldman Sachs Administration Services Co., Hardwicke House, 2nd Fl., Hatch St., Dublin 2, Ireland

State or country in which incorporated — Cayman Islands limited liability
Security(ies) — Class A redeemable non-voting preference shares
Issuer of security(ies) — The Stable Fund Cayman, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands limited liability

Stable Fund U.S., LLC, The
Two Executive Blvd., Suite 308, Suffern, NY 10901

Security(ies) — Membership interests
Issuer of security(ies) — The Stable Fund U.S., LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Tamarack Funds Trust—Tamarack Treasury Plus Money Market Fund
3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012

Security(ies) — Shares of common stock
Issuer of security(ies) — Tamarack Distributors Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

TESS Skincare, Inc.
411 E. Carrillo St., Santa Barbara, CA 93101

State or country in which incorporated — Delaware
Security(ies) — Series B preferred stock
Issuer of security(ies) — TESS Skincare, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

TMR Bayhead Securities LLC
29 Hulse Landing, Brick, NJ 08723

Security(ies) — Limited partnership interests (class A common and class B preferred)
Issuer of security(ies) — Aegis SMB Fund II, LP
Address of issuer — 27 Hulse Landing, Brick, NJ 08723
State or country in which organized — Delaware

Tom James Company
263 Seaboard Lane, Franklin, TN 37064

State or country in which incorporated — Tennessee
Security(ies) — Common stock
Issuer of security(ies) — Tom James Company
Address of issuer — Same as above
State or country in which organized — Tennessee

Triumph Investment Fund II, LP
Cold Stream Park, 116B S. River Rd., Bedford, NH 03310

Security(ies) — Limited partnership interest
Issuer of security(ies) — Triumph Investment Fund II, LP
Address of issuer — Same as above
State or country in which organized — Delaware

U.S. Silver Corporation
301 Central Ave., Suite 384, Hilton Head, SC 29926

State or country in which incorporated — Delaware
Security(ies) — Units composed of one share of common stock and one-half of one warrant to purchase one share of common stock
Issuer of security(ies) — U.S. Silver Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

USuggest Corporation
3428 E. Manso St., Phoenix, AZ 85044

State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) —
Address of issuer — Same as above
State or country in which organized — Delaware

Van Kampen Funds Inc.
One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Ardour Global Alternative Energy Portfolio 2006-3
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Enhanced Sector Strategy, Basic Materials Portfolio 2006-3
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Enhanced Sector Strategy, Consumer Goods Portfolio 2006-3
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Enhanced Sector Strategy, Consumer Services Portfolio 2006-3
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Enhanced Sector Strategy, Energy Portfolio 2006-3
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Enhanced Sector Strategy, Financials Portfolio 2006-3
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Enhanced Sector Strategy, Health Care Portfolio 2006-3
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware

Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Enhanced Sector Strategy, Industrials Portfolio 2006-3
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Enhanced Sector Strategy, Technology Portfolio 2006-3
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Enhanced Sector Strategy, Telecommunications Portfolio 2006-3
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Enhanced Sector Strategy, Utilities Portfolio 2006-3
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Insured Municipals Income Trust, Series 516
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Investment Grade Municipal Trust, Series 64
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Select 10 Industrial Portfolio 2006-5
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — The Dow Contrarian Strategy Portfolio 2006-5

Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — The Dow Jones Total Market Portfolio
 Enhanced Index Strategy 2006-3
Address of issuer — Same as above
State or country in which organized — New York

Vault, L.P., The
 The Vault Nightclub, Inc., c/o Robert Daleo, President, 2178 W. St.,
 Brooklyn, NY 11223
Security(ies) — Partnership interests
Issuer of security(ies) — The Vault, L.P.
Address of issuer — Same as above
State or country in which organized — Pennsylvania

Veeker Corporation
 460 Bryant St., 3rd Fl., San Francisco, CA 94107
State or country in which incorporated — Delaware
Security(ies) — Convertible promissory notes
Issuer of security(ies) — Veeker Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Veeker Corporation
 460 Bryant St., 3rd Fl., San Francisco, CA 94107
State or country in which incorporated — Delaware
Security(ies) — Series A preferred stock
Issuer of security(ies) — Veeker Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Welund Fund, Inc.; (2) Roth Capital Partners, LLC
 1940 Zinfandel Dr., Suite R, Rancho Cordova, CA 95670; (2) 24
 Corporate Plaza Dr., Newport Beach, CA 92660
State or country in which incorporated — Nevada
Security(ies) — Common stock
Issuer of security(ies) — Welund Fund, Inc.
Address of issuer — 1940 Zinfandel Dr., Suite R, Rancho Cordova, CA
 95670
State or country in which organized — Nevada

Western New York Energy, LLC
 P.O. Box 188, Mount Morris, NY 14510
Security(ies) — Class B common units
Issuer of security(ies) — Western New York Energy, LLC
Address of issuer — Same as above
State or country in which organized — New York

Western New York Energy, LLC
 P.O. Box 188, Mount Morris, NY 14510
Security(ies) — Investment units comprised of \$2.00 subordinated debt
 & one warrant to purchase one class B common unit
Issuer of security(ies) — Western New York Energy, LLC
Address of issuer — Same as above
State or country in which organized — New York

Westmoreland Capital Corporation
 2350 N. Forest Rd., Suite 12A, Getzville, NY 14068

State or country in which incorporated — New York
Security(ies) — Partner units
Issuer of security(ies) — Platinum Energy Partners 2006 B LP
Address of issuer — Same as above
State or country in which organized — Delaware

White Oak Guggenheim Aerospace and Defense Fund (FF), L.P.
 1175 Peachtree St., NE, 100 Colony Sq., Suite 2100, Atlanta, GA 30361
Security(ies) — Limited partnership interest
Issuer of security(ies) — White Oak Guggenheim Aerospace and
 Defense Fund (FF), L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Ze-gen, Inc.
 70 Franklin St., 3rd Fl., Boston, MA 02110
State or country in which incorporated — Delaware
Security(ies) — Series A preferred stock
Issuer of security(ies) — Ze-gen, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

ZS SCI L.P.
 54 Morris Lane, Scarsdale, NY 10483
Security(ies) — Limited partnership interests
Issuer of security(ies) — ZS SCI L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

