

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

5th Epoch Publishing LLC
597 Bellevue Ave. N, Yonkers, NY 10703

250 Bowery Project LLC
515 Canal St., New York, NY 10013
State or country in which incorporated — New York limited liability
company

1903 Offshore Debt Fund, Ltd.
% Ogier Fiduciary Services (Cayman) Limited, Queensgate House, P.O.
Box 1234GT, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands

ADG CAPITAL LLC
645 Madison Ave., New York, NY 10021
State or country in which incorporated — Delaware

AFA Financial Group, LLC
26637 W. Agoura Rd., Calabasas, CA 91302
State or country in which incorporated — California limited liability
company

AIP Japan Recovery Fund IV, LP
4350 S. Monaco St., Suite 500, Denver, CO 80237
Partnership — Japan Recovery Partners IV, LLC, general partner

Alerian Opportunity Partners II, L.P.
45 Rockefeller Plaza, Suite 2000, New York, NY 10111
Partnership — Alerian Opportunity Advisors II, LLC

Alerian Opportunity Partners III, L.P.
45 Rockefeller Plaza, Suite 2000, New York, NY 10111
Partnership — Alerian Opportunity Advisors II, LLC

Altius Associates Limited
20 Grosvenor Place, London, UK SW1X7HN
State or country in which incorporated — United Kingdom

American Securities Distressed Partners, L.P.
The Chrysler Center, 666 Third Ave., 29th Fl., New York, NY 10017
Partnership — American Securities Distressed Partners Associates,
LLC

APC 2006-B Private Drilling Program
4150 Belden Village Ave. NW, Suite 410, Canton, OH 44718-2553
Partnership — Alliance Petroleum Corporation

Aquanaut Offshore Fund, Ltd.
% dms Management Ltd., P.O. Box 31910 SMB, 2nd Fl., Ansbacher
House, 20 Genesis Close, George Town, Grand Cayman, Cayman
Islands
Partnership — Aquanaut Capital Management, L.P.

Aquanaut Partners, L.P.
% Aquanaut GP, L.P., 700 Louisiana, Suite 4260, Houston, TX 77002
Partnership — Aquanaut GP, L.P., general partner

Ashburn Hill Corp.
3701 Carbtex Rd., Angleton, TX 77515
State or country in which incorporated — Delaware

Asscher Capital, LP
2225 Kingston Rd., York, PA 17402
Partnership — Asscher Capital GP, LLC

Bathgate Capital Partners LLC
5350 S. Roslyn St., Suite 400, Greenwood Village, CO 80111
State or country in which incorporated — Colorado

Bayswater Uranium Corporation
510 Burrard St., Suite 510, Vancouver, British Columbia V6C 3A8
State or country in which incorporated — Canada

Boris Castellanos
74-399 Hwy. 111, Suite M, Palm Desert, CA 92260

Bunker Hill Commodity Fund, LP
415 Bunker Hill, Houston, TX 77024
Partnership — Bunker Hill Funds Management, LLC, general partner

California Income Properties #7, LLC
22144 Clarendon St., Suite 240, Woodland Hills, CA 91367
State or country in which incorporated — California

Cardiovascular Systems, Inc.
651 Campus Dr., St. Paul, MN 55112
State or country in which incorporated — Minnesota

Casino Broadcast Network, LLC
1100 Jericho Tpke., Westbury, NY 11590
State or country in which incorporated — New York

CCMP Capital Investors II, L.P.
1221 Avenue of the Americas, 40th Fl., New York, NY 10020
Partnership — CCMP Capital Associates, L.P.

CCMP II Employee Feeder, L.P.
245 Park Ave., 16th Fl., New York, NY 10017
Partnership — CCMP Capital Associates, L.P.

C.E. Unterberg, Towbin, LLC
350 Madison Ave., New York, NY 10017
State or country in which incorporated — Delaware

Chartwell Augusta, LLC
Seven Wells Ave., Newton, MA 02459
State or country in which incorporated — Delaware

Clean Burn Fuels, LLC
211 W. Martin St., Suite 210, Raleigh, NC 27601

Controlled Contamination Services Holdings, Inc.
2200 Ross Ave., Suite 3800, Dallas, TX 75201
State or country in which incorporated — Delaware

Court Square Capital Partners II, L.P.
399 Park Ave., 14th Fl., New York, NY 10043
Partnership — Court Square Capital GP, LLC

Diversified Trends Enhanced Equity Fund, L.P.
% James Alpha Management, LLC, 152 W. 57th St., 25th Fl., New York, NY 10019
Partnership — James Alpha, LLC

Earthwater Global, LLC
419 Lafayette St., Fl. Two, New York, NY 10003
State or country in which incorporated — Delaware

EM Navigator Global Fund, Ltd.
% Bank of Bermuda (Cayman) Limited, P.O. Box 513 GT, Strathvale House, N. Church St., George Town, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands

Feingold O'Keefe Select Opportunities, L.P.
One International Place, 23rd Fl., Boston, MA 02110
Partnership — Feingold O'Keefe Capital, L.L.C.

Fortigent-RIEF Feeder Fund, LLC
% Fortigent, Inc. 2600 Towers Oaks Blvd., Suite 300, Rockville, MD 20852
Partnership — Fortigent, Inc.

Franklin Templeton Fund Allocator Series—Franklin Templeton 2015 Retirement Target Fund
% Legal-Blue Sky Department, One Franklin Pkwy., San Mateo, CA 94403-1906
State or country in which incorporated — Delaware

Franklin Templeton Fund Allocator Series—Franklin Templeton 2025 Retirement Target Fund
% Legal-Blue Sky Department, One Franklin Pkwy., San Mateo, CA 94403-1906
State or country in which incorporated — Delaware

Franklin Templeton Fund Allocator Series—Franklin Templeton 2035 Retirement Target Fund
% Legal-Blue Sky Department, One Franklin Pkwy., San Mateo, CA 94403-1906
State or country in which incorporated — Delaware

Franklin Templeton Fund Allocator Series—Franklin Templeton 2045 Retirement Target Fund
% Legal-Blue Sky Department, One Franklin Pkwy., San Mateo, CA 94403-1906
State or country in which incorporated — Delaware

GAM Institutional Multi-Strategy, LLC
330 Madison Ave., New York, NY 10017

Gemini River Ridge, LLC
175 Fifth Ave., Suite 715, New York, NY 10010

Hillview Special Opportunities Fund, LP
700 The Times Building, Ardmore, PA 19003
Partnership — Hillview Capital Advisors, LLC

Innovative Healthcare Fund I, LP
1040 Broad St., Suite 3B, Shrewsbury, NJ 07702
Partnership — Douglas A. Korey, Eric M. Smith, John P. O'Brien, Jr.

International Stem Cell Corporation
157 Surfview Dr., Pacific Palisades, CA 90272
State or country in which incorporated — California

J.B. Hanauer & Co.
Four Gatehall Dr., Parsippany, NJ 07054
State or country in which incorporated — New Jersey

Jefferson National Securities Corporation
9920 Corporate Campus Dr., Suite 1000, Louisville, KY 40223
State or country in which incorporated — Delaware

JMB Capital Partners, L.P.
1999 Avenue of the Stars, Suite 2040, Los Angeles, CA 90067
Partnership — Smithwood Partners, LLC

Kinderhook Capital Fund II, L.P.
888 Seventh Ave., Suite 1600, New York, NY 10106
Partnership — Kinderhook Capital Fund II GP, L.L.C.

Mangrove Systems, Inc.
10 Fairfield Blvd., Wallingford, CT 06492
State or country in which incorporated — Delaware

Massachusetts Green Energy Fund I, L.P.
% Massachusetts Green Energy Fund Management I, LLC, 320 Washington St., Brookline, MA 02445
Partnership — Massachusetts Green Energy Fund Management I, LLC

Matrix Capital Group, Inc.
630-A Fitzwatertown Rd., 2nd Fl., Willow Grove, PA 19090-1904
State or country in which incorporated — New York

Nascent Wine Company, Inc.
2355-A Paseo de las Americas, San Diego, CA 92154
State or country in which incorporated — Nevada

National Retirement Partners, Inc.
34700 Coast Hwy., Suite 302, Capistrano Beach, CA 92624
State or country in which incorporated — Delaware

New Atlantic Venture Fund III, L.P.
11600 Sunrise Valley Dr., #420, Reston, VA 20191
Partnership — New Atlantic Fund III, LLC

nextBridge Arc Fund, L.P.
50 Cragwood Rd., Suite 100, South Plainfield, NJ 07080
Partnership — Mukesh M. Patel, Manish C. Shah

Nine Group II LLC
4321 W. Flamingo Rd., Las Vegas, NV 89103
State or country in which incorporated — Delaware

Northstar McCord Two Well Joint Venture
740 Lexington Ave., Plano, TX 75075

NSX Holdings, Inc.
One Financial Place, 440 S. LaSalle St., Suite 2600, Chicago, IL 60605
State or country in which incorporated — Delaware

NUCON-RF, INC.
% J. Holt Smith, 6171 W. Century Blvd., 2nd Fl., Los Angeles, CA 90045
State or country in which incorporated — Nevada

NutraBella, LLC
1875 S. Grand St., Suite 305, San Mateo, CA 94402

Ocean Gate Life Settlement Program LP
% OGLS GP, 156 Washington St., Suite 3, Marblehead, MA 01945
Partnership — OGLS, GP, LP

Orlando Italy Special Situations SICAR (SCA)
% Orlando Italy Management SA, Lugano Branch, Via Maggio, 6900 (Lugano), Switzerland
Partnership — Orlando Italy Management SA

Pacific Northwest Partners Limited
P.O. Box 28051 W. Pender St., Vancouver, BC V6C 3T7
State or country in which incorporated — Canada

Parish Capital Europe I, L.P. Incorporated
Alexander House, 13-15 Victoria Rd., St. Peter Port, Guernser, GY11ND, Channel Islands
Partnership — Parish Capital Europe I GP, L.P.

Passco Moorings S, LP
96 Corporate Park, Suite 200, Irvine, CA 92606
Partnership — Passco Moorings S GP, LLC

PCS Distributors LLC
3200 Mellon Bank Center, 1735 Market St., Philadelphia, PA 19103
State or country in which incorporated — Pennsylvania

Phibro Animal Health Corporation
65 Challenger Rd., Ridgefield Park, NJ 07660
State or country in which incorporated — New York

Phoenix Data Security LLC
10325 N. Port Washington Rd., Suite 250, Mequon, WI 53092

State or country in which incorporated — Wisconsin limited liability company

Pine Grove Global Active Value Fund, L.P.
25 DeForest Ave., Summit, NJ 07901
Partnership — Pine Grove Capital Management Company, LLC

Pine Grove Global Active Value Fund Ltd.
% Ogier Fiduciary Services (Cayman) Ltd., Queensgate House, 113 S. Church St., P.O. Box 1234GT, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands

Platte River Ventures I, L.P.
1225 Seventeenth St., Suite 1750, Denver, CO 80202
Partnership — PRV Investors I, LLC

Polar Capital Lotus Fund Limited
% BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate Centre, Fifth Fl., 27 Hospital Rd., P.O. Box 1748, George Town, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands

Power Container Corp.
33 Schoolhouse Rd., Somerset, NJ 08873
State or country in which incorporated — New Jersey

Preferred Fund of Funds QP LLC
% Morgan Keegan & Company, Inc., 50 N. Front St., 17th Fl., Memphis, TN 38103
State or country in which incorporated — Delaware

Priva Technologies, Inc.
875 N. Michigan Ave., Suite 1404, Chicago, IL 60611
State or country in which incorporated — Delaware

Rearden Commerce, Inc.
1400 Fashion Island Blvd., Suite 150, San Mateo, CA 94404
State or country in which incorporated — California

Rockies Region 2006 Limited Partnership
103 E. Main St., P.O. Box 26, Bridgeport, WV 26330
Partnership — Petroleum Development Corporation

Savile Opportunity Fund, LP
Mack Cali Center II, 2nd Fl., 650 From Rd., Paramus, NJ 07652
Partnership — Savile Partners LLC

Selectinvest ARV PLUS 1.25X Ltd.
% BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate Center, 27 Hospital Rd., P.O. Box 1748GT, George Town, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands

Selectinvest ARV PLUS 1.50X LP
30 Rockefeller Plaza, Suite 2800, New York, NY 10012
Partnership — Union Bancaire Privee Asset Management LLC

Selectinvest MultiStrategy MC Ltd.
% BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate Center, 27 Hospital Rd., P.O. Box 1748GT, George Town, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands

Senium Fund, L.P.

5355 Mira Sorrento Place, Suite 230, San Diego, CA 92121

Partnership — Carmel Capital Partners, LLC

Southport Energy Alternatives SPV Fund, L.P.

354 Pequot Ave., Southport, CT 06890

Partnership — Southport Energy Management, L.P., general partner

Southport Energy Alternatives SPV Offshore Fund, Inc.

%BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate Center, 27 Hospital Rd., P.O. Box 1748GT, George Town, Grand Cayman, Cayman Islands, British West Indies

Stuyvesant Partners LP

200 Business Park Dr., Suite 300, Armonk, NY 10504

Partnership — Stuyvesant Capital Partners LLC

Sundance Capital Partners, L.P.

600 W. Germantown Pike, Suite 400, Plymouth Meeting, PA 19462

Partnership — Sundance Capital LLC

Tatum, LLC

303 Peachtree St. NE, Suite 440, Atlanta, GA 30308

Tenfold Capital Partners, L.P.

4199 Campus Dr., Suite 550, Irvine, CA 92612

Partnership — Tenfold Capital Management, Inc.

Terrenew, LLC

Cornell Agriculture and Food Tech, 630 W. North St., Geneva, NY 14456

Thales International Fund II, Ltd.

% BNY Alternative Investment Services Ltd., Ingham & Wilkinson Bldg., 129 Front St., HM 12, Bermuda

State or country in which incorporated — Bermuda

Thornburg Investment Trust

119 E. Marcy St., Suite 202, Santa Fe, NM 85750

Partnership — Massachusetts business trust

Tremont Long/Short Equity Portfolio Limited

% Walkers SPV Limited, Walkers House, P.O. Box 908 GT, Mary St., George Town, Grand Cayman, Cayman Islands

State or country in which incorporated — Cayman Islands

Ullico Diversified International Equity Fund, L.P.

% Ullico DIEF, LLC, 1625 Eye St. NW, Washington, DC 20006

Partnership — Ullico DIEF, LLC

uPlay Me, Inc.

30 Crosby St., Unit 3B, New York, NY 10013

State or country in which incorporated — Delaware

Valores Fund, L.P.

1400 Broadfield, Suite 120, Houston, TX 77084

Partnership — Valores Capital Management, LLC

Vineyard Lending Partners, LLC

Four Financial Plaza, Napa, CA 94558

Partnership — Premier Pacific Vineyards, L.P.

Waiting Room Solutions, LLLP

Eight Lake View Dr., Goshen, NY 10924

Partnership — Lawrence J. Gordon, M.D.

Waterton Tactical Real Estate Fund I, L.L.C.

One N. Franklin St., Suite 1150, Chicago, IL 60606

Partnership — Waterton Tactical Managers, L.L.C.

WJA Acorn Fund VI

% Williams, Jones & Associates, LLC, 717 Fifth Ave., Suite 1700, New York, NY 10022

Wood Creek Multi-Asset Fund, LP

Connecticut Financial Center, 157 Church St., 20th Fl., New Haven, CT 06510

Partnership — Wood Creek Capital Management, LLC

YourGenie LLC

605 S. Main St., Fairfield, IA 52556

ZF Partners, L.P.

One Ferry Building, Suite 255, San Francisco, CA 94111

Partnership — ZF Ventures, L.L.C., general partner**FURTHER STATE NOTICES**Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(8), as amended]SECURITIES BY
DEALERS; BROKERS;
SYNDICATE MANAGERS

5th Epoch Publishing LLC

597 Bellevue Ave. N, Yonkers, NY 10703

Security(ies) — Class C membership units*Issuer of security(ies)* — 5th Epoch Publishing LLC*Address of issuer* — Same as above*State or country in which organized* — New York

250 Bowery Project LLC

515 Canal St., New York, NY 10013

State or country in which incorporated — New York limited liability company*Security(ies)* — Membership interests*Issuer of security(ies)* — 250 Bowery Project LLC*Address of issuer* — Same as above*State or country in which organized* — New York

1903 Offshore Debt Fund, Ltd.

% Ogier Fiduciary Services (Cayman) Limited, Queensgate House, P.O. Box 1234GT, Grand Cayman, Cayman Islands

State or country in which incorporated — Cayman Islands*Security(ies)* — Common shares, par value \$0.01 (U.S.) per share*Issuer of security(ies)* — 1903 Offshore Debt Fund, Ltd.*Address of issuer* — Same as above*State or country in which organized* — Cayman Islands

Adaptiv Technologies, LLC

Attn: Adam Gold, 200 E. 36th St., Suite 17E, New York, NY 10016

State or country in which incorporated — Delaware limited liability company*Security(ies)* — Units of Class B Nonvoting Capital

Issuer of security(ies) — Adaptiv Technologies, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

ADG Capital LLC
 645 Madison Ave., New York, NY 10021
State or country in which incorporated — Delaware
Security(ies) — Limited liability interests
Issuer of security(ies) — ADG Capital LLC
Address of issuer — Same as above
State or country in which organized — Delaware

AFA Financial Group, LLC
 26637 W. Agoura Rd., Calabasas, CA 91302
State or country in which incorporated — California limited liability company
Security(ies) — \$6,600,000 in tenant in common interests in CORE Deerwood Meadow Apartments
Issuer of security(ies) — CORE Deerwood Meadows, LLC
Address of issuer — 1600 Dove St., Suite 210, Newport Beach, CA 92660
State or country in which organized — Delaware limited liability company

AFA Financial Group, LLC
 26637 W. Agoura Rd., Calabasas, CA 91302
State or country in which incorporated — California limited liability company
Security(ies) — Tenant in common interests in CORE Park West Apartments
Issuer of security(ies) — CORE Park West, LLC
Address of issuer — 1600 Dove St., Suite 210, Newport Beach, CA 92660
State or country in which organized — Delaware limited liability company

AIP Japan Recovery Fund IV, LP
 4350 S. Monaco St., Suite 500, Denver, CO 80237
Security(ies) — Limited partnership interests
Issuer of security(ies) — AIP Japan Recovery Fund IV, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Alerian Opportunity Partners II, L.P.
 45 Rockefeller Plaza, Suite 2000, New York, NY 10111
Security(ies) — Limited partnership interests
Issuer of security(ies) — Alerian Opportunity Partners II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Alerian Opportunity Partners III, L.P.
 45 Rockefeller Plaza, Suite 2000, New York, NY 10111
Security(ies) — Limited partnership interests
Issuer of security(ies) — Alerian Opportunity Partners III, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Allianz Global Investors Dist. LLC
 840 Newport Center Dr., Newport Beach, CA 92660
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — PIMCO Funds—PIMCO High Yield Municipal Bond Fund

Address of issuer — Same as above
State or country in which organized — Massachusetts

Allianz Global Investors Dist. LLC
 840 Newport Center Dr., Newport Beach, CA 92660
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — PIMCO Funds—PIMCO Small Cap StocksPLUS TR Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Allianz Global Investors Dist. LLC
 840 Newport Center Dr., Newport Beach, CA 92660
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — PIMCO Funds—PIMCO StocksPLUS Strategy TR Short Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

Altius Associates Limited
 20 Grosvenor Place, London, UK SW1X7HN
Security(ies) — Shares of Altius Associates Limited
Issuer of security(ies) — Altius Associates Limited
Address of issuer — Same as above
State or country in which organized — United Kingdom

American Securities Distressed Partners, L.P.
 The Chrysler Center, 666 Third Ave., 29th Fl., New York, NY 10017
Security(ies) — Limited partnership interests
Issuer of security(ies) — American Securities Distressed Partners (B), L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

APC 2006-B Private Drilling Program
 4150 Belden Village Ave. NW, Suite 410, Canton, OH 44718-2553
Security(ies) — General partnership
Issuer of security(ies) — Alliance Petroleum Corporation
Address of issuer — Same as above
State or country in which organized — Ohio

Aquanaut Offshore Fund, Ltd.
 % dms Management Ltd., P.O. Box 31910 SMB, 2nd Fl., Ansbacher House, 20 Genesis Close, George Town, Grand Cayman, Cayman Islands
Security(ies) — Common stock, par value \$0.01 (U.S.) per share
Issuer of security(ies) — Aquanaut Offshore Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Aquanaut Partners, L.P.
 % Aquanaut GP, L.P., 700 Louisiana, Suite 4260, Houston, TX 77002
Security(ies) — Limited partnership interests
Issuer of security(ies) — Aquanaut Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Ashburn Hill Corp.
 3701 Carbtex Rd., Angleton, TX 77515
State or country in which incorporated — Delaware
Security(ies) — Class A common stock

Issuer of security(ies) — Ashburn Hill Corp.

Address of issuer — Same as above

State or country in which organized — Delaware

Asscher Capital, L.P.

% First York Securities, 850 Third Ave., New York, NY 10022

Security(ies) — Limited partnership interests

Issuer of security(ies) — Asscher Capital, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Babcock & Brown Limited

Level 39, The Chifley Tower, Two Chifley Square, Sydney, NSW 2000, Australia

State or country in which incorporated — Australia

Security(ies) — Options to purchase common stock pursuant to an equity incentive plan

Issuer of security(ies) — Babcock & Brown Limited

Address of issuer — Same as above

State or country in which organized — Australia

Barclays Capital Inc.

200 Park Ave., 5th Fl., New York, NY 10166

State or country in which incorporated — New York

Security(ies) — Auto lease asset backed notes, class A-1

Issuer of security(ies) — Volkswagen Auto Lease Trust 2006-A

Address of issuer — % VW Credit, Inc., 3800 Hamlin Rd., Auburn Hills, MI 48326

State or country in which organized — Delaware

Barclays Capital Inc.

200 Park Ave., 5th Fl., New York, NY 10166

State or country in which incorporated — New York

Security(ies) — Auto lease asset backed notes, class A-2

Issuer of security(ies) — Volkswagen Auto Lease Trust 2006-A

Address of issuer — % VW Credit, Inc., 3800 Hamlin Rd., Auburn Hills, MI 48326

State or country in which organized — Delaware

Barclays Capital Inc.

200 Park Ave., 5th Fl., New York, NY 10166

State or country in which incorporated — New York

Security(ies) — Auto lease asset backed notes, class A-3

Issuer of security(ies) — Volkswagen Auto Lease Trust 2006-A

Address of issuer — % VW Credit, Inc., 3800 Hamlin Rd., Auburn Hills, MI 48326

State or country in which organized — Delaware

Barclays Capital Inc.

200 Park Ave., 5th Fl., New York, NY 10166

State or country in which incorporated — New York

Security(ies) — Auto lease asset backed notes, class A-4

Issuer of security(ies) — Volkswagen Auto Lease Trust 2006-A

Address of issuer — % VW Credit, Inc., 3800 Hamlin Rd., Auburn Hills, MI 48326

State or country in which organized — Delaware

Bathgate Capital Partners LLC

5350 S. Roslyn St., Suite 400, Greenwood Village, CO 80111

State or country in which incorporated — Colorado

Security(ies) — Units consisting of convertible preferred stock and warrants to acquire common stock

Issuer of security(ies) — Daybreak Oil and Gas, Inc.

Address of issuer — 601 W. Main Ave., Suite 1017, Spokane, WA 99201-0613

State or country in which organized — Washington

Bayswater Uranium Corporation

510 Burrard St., Suite 510, Vancouver, BC V6C 3A8

State or country in which incorporated — Canada

Security(ies) — Common shares

Issuer of security(ies) — Bayswater Uranium Corporation

Address of issuer — Same as above

State or country in which organized — Canada

Boris Castellanos

74-399 Hwy. 111, Suite M, Palm Desert, CA 92260

Security(ies) — Limited liability company membership interests

Issuer of security(ies) — YV 35 LLC

Address of issuer — Same as above

State or country in which organized — California

Bunker Hill Commodity Fund, LP

415 Bunker Hill, Houston, TX 77024

Security(ies) — Commodity trading interests in limited partnership

Issuer of security(ies) — Bunker Hill Commodity Fund, LP

Address of issuer — Same as above

State or country in which organized — Delaware

California Income Properties #7, LLC

22144 Clarendon Street, Suite 240, Woodland Hills, CA 91367

State or country in which incorporated — California

Security(ies) — Limited liability company membership units

Issuer of security(ies) — California Income Properties #7, LLC

Address of issuer — Same as above

State or country in which organized — California

Cardiovascular Systems, Inc.; (2) Piper Jaffray, Inc.

651 Campus Dr., St. Paul, MN 55112; (2) 800 Nicollet Mall, Minneapolis, MN 55402

State or country in which incorporated — (1) Minnesota; (2) Delaware

Security(ies) — Units consisting of series A stocks and warrants to purchase series A stock

Issuer of security(ies) — Cardiovascular Systems, Inc.

Address of issuer — 651 Campus Dr., St. Paul, MN 55112

State or country in which organized — Minnesota

Casino Broadcast Network, LLC

1100 Jericho Tpke., Westbury, NY 11590

State or country in which incorporated — New York

Security(ies) — Class A membership interests

Issuer of security(ies) — Casino Broadcast Network, LLC

Address of issuer — Same as above

State or country in which organized — New York

CCMP Capital Investors II, L.P.

1221 Avenue of the Americas, 40th Fl., New York, NY 10020

Security(ies) — Limited partnership interests

Issuer of security(ies) — CCMP Capital Investors II, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

CCMP II Employee Feeder, L.P.

245 Park Ave., 16th Fl., New York, NY 10017

Security(ies) — Limited partnership interests

Issuer of security(ies) — CCMP II Employee Feeder, L.P.

Address of issuer — Same as above
State or country in which organized — Delaware

C.E. Unterberg, Towbin, LLC
 350 Madison Ave., New York, NY 10017
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Alfacell Corporation
Address of issuer — 225 Belleville Ave., Bloomfield, NJ 07003
State or country in which organized — Delaware

C.E. Unterberg, Towbin, LLC
 350 Madison Ave., New York, NY 10017
State or country in which incorporated — Delaware
Security(ies) — Series A-1 common stock purchase warrants
Issuer of security(ies) — Alfacell Corporation
Address of issuer — 225 Belleville Ave., Bloomfield, NJ 07003
State or country in which organized — Delaware

C.E. Unterberg, Towbin, LLC
 350 Madison Ave., New York, NY 10017
State or country in which incorporated — Delaware
Security(ies) — Series A-2 common stock purchase warrants
Issuer of security(ies) — Alfacell Corporation
Address of issuer — 225 Belleville Ave., Bloomfield, NJ 07003
State or country in which organized — Delaware

Chartwell Augusta, LLC
 Seven Wells Ave., Newton, MA 02459
Security(ies) — Limited liability company membership interests
Issuer of security(ies) — Chartwell Augusta, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Claymore Securities, Inc.
 2455 Corporate W. Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Delta Global Canadian Energy Trust, Series 1
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
 2455 Corporate W. Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Diversified Dividend and Income Portfolio, Series 3
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
 2455 Corporate W. Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Equity Dividend Income Portfolio (2-year), Series 16
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
 2455 Corporate W. Dr., Lisle, IL 60532

State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Zacks Select Portfolio, Series 3
Address of issuer — Same as above
State or country in which organized — Kansas

Clean Burn Fuels, LLC
 211 W. Martin St., Suite 210, Raleigh, NC 27601
Security(ies) — Class A units
Issuer of security(ies) — Clean Burn Fuels, LLC
Address of issuer — Same as above
State or country in which organized — North Carolina

Controlled Contamination Services Holdings, Inc.
 2200 Ross Ave., Suite 3800, Dallas, TX 75201
Security(ies) — Junior notes with warrants
Issuer of security(ies) — Controlled Contamination Services Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Court Square Capital Partners II, L.P.
 399 Park Ave., 14th Fl., New York, NY 10043
Security(ies) — Limited partnership interests
Issuer of security(ies) — Court Square Capital Partners II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Deutsche Bank Securities Inc.; (2) Lehman Brothers Inc.
 60 Wall St., New York, NY 10005; (2) 745 7th Ave., New York, NY 10019
State or country in which incorporated — (1)-(2) Delaware
Security(ies) — Common stock
Issuer of security(ies) — Boyd Gaming Corporation
Address of issuer — 2950 Industrial Rd., Las Vegas NV 89109
State or country in which organized — Nevada

Diversified Trends Enhanced Equity Fund, L.P.
 % James Alpha Management, LLC, 152 W. 57th St., 25th Fl., New York, NY 10019
Security(ies) — Limited partnership interests
Issuer of security(ies) — Diversified Trends Enhanced Equity Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Earthwater Global, LLC
 419 Lafayette St., Fl. 2, New York, NY 10003
State or country in which incorporated — Delaware
Security(ies) — Convertible promissory notes
Issuer of security(ies) — Earthwater Global, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

EM Navigator Global Fund, Ltd.
 % Bank of Bermuda (Cayman) Limited, P.O. Box 513 GT, Strathvale House, N. Church St., George Town, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares, par value \$0.01 (U.S.) per share
Issuer of security(ies) — EM Navigator Global Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

ESCO Corporation
1650 NW. Naito Pkwy., Suite 200, Portland, OR 97210
State or country in which incorporated — Oregon
Security(ies) — Options to purchase class A common stock
Issuer of security(ies) — ESCO Corporation
Address of issuer — Same as above
State or country in which organized — Oregon

Evergreen Investment Services, Inc.
200 Berkeley St., Boston, MA 02116-5034
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Evergreen Equity Trust—Evergreen Intrinsic Value Fund
Address of issuer — Same as above
State or country in which organized — Delaware

FAM Distributors, Inc.
P.O. Box 9011, Princeton, NJ 08543
State or country in which incorporated — Maryland
Security(ies) — Common stock; par value \$0.10 per share
Issuer of security(ies) — Merrill Lynch Municipal Bond Fund, Inc.—High Yield Portfolio
Address of issuer — 800 Scudders Mill Rd., Plainsboro, NJ 08536
State or country in which organized — Maryland

Feingold O'Keefe Select Opportunities, L.P.
One International Place, 23rd Fl., Boston, MA 02110
Security(ies) — Limited partnership interests
Issuer of security(ies) — Feingold O'Keefe Select Opportunities, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Fidelity Property Income Trust
82 Devonshire St., Boston, MA 02109
State or country in which incorporated — Maryland
Security(ies) — Common shares of beneficial interest, par value \$0.01 per share
Issuer of security(ies) — Fidelity Property Income Trust
Address of issuer — Same as above
State or country in which organized — Maryland

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1157 (Target Double Play Portfolio, August 2006 Series)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1157 (Target Focus Four Portfolio, August 2006 Series)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1157 (Target VIP Portfolio, August 2006 Series)

Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1157 (The DowSM Target 10 Portfolio, August 2006 Series)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1157 (The DowSM Target Dividend Portfolio August 2006 Series)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1157 (Value Line[®] Target 25 Portfolio August 2006 Series)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1179 (ETF Equity Allocation Portfolio)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1180 (ETF Growth and Income Portfolio)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1185 (Identity Security Portfolio)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1199 (Structured Portfolio Plan Defined Portfolio, August 2006 Series)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1204 (Value Architects Health Care SCORE Portfolio, Series 2006)
Address of issuer — Same as above
State or country in which organized — Illinois

Foothills Resources, Inc.
4540 California Ave., Suite 550, Bakersfield, CA 93309
State or country in which incorporated — Nevada
Security(ies) — Units consisting of common stock with warrants to purchase common stock
Issuer of security(ies) — Foothills Resources, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Fortigent-RIEF Feeder Fund, LLC
% Fortigent, Inc., 2600 Tower Oaks Blvd., Suite 300, Rockville, MD 20852
Security(ies) — Limited liability company interests
Issuer of security(ies) — Fortigent-REIF Feeder Fund, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Franklin Templeton Fund Allocator Series—Franklin Templeton 2015 Retirement Target Fund
% Legal-Blue Sky Department, One Franklin Pkwy., San Mateo, CA 94403-1906
State or country in which incorporated — Delaware
Security(ies) — Beneficial interest no par value
Issuer of security(ies) — Franklin Templeton Fund Allocator Series—Franklin Templeton 2015 Retirement Target Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Franklin Templeton Fund Allocator Series—Franklin Templeton 2025 Retirement Target Fund
% Legal-Blue Sky Department, One Franklin Pkwy., San Mateo, CA 94403-1906
State or country in which incorporated — Delaware
Security(ies) — Beneficial interest no par value
Issuer of security(ies) — Franklin Templeton Fund Allocator Series—Franklin Templeton 2025 Retirement Target Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Franklin Templeton Fund Allocator Series—Franklin Templeton 2035 Retirement Target Fund
% Legal-Blue Sky Department, One Franklin Pkwy., San Mateo, CA 94403-1906
State or country in which incorporated — Delaware
Security(ies) — Beneficial interest no par value
Issuer of security(ies) — Franklin Templeton Fund Allocator Series—Franklin Templeton 2035 Retirement Target Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Franklin Templeton Fund Allocator Series—Franklin Templeton 2045 Retirement Target Fund
% Legal-Blue Sky Department, One Franklin Pkwy., San Mateo, CA 94403-1906
State or country in which incorporated — Delaware
Security(ies) — Beneficial interest no par value
Issuer of security(ies) — Franklin Templeton Fund Allocator Series—Franklin Templeton 2045 Retirement Target Fund
Address of issuer — Same as above
State or country in which organized — Delaware

GAM Institutional Multi-Strategy, LLC
330 Madison Ave., New York, NY 10017

Security(ies) — Membership units
Issuer of security(ies) — GAM Institutional Multi-Strategy, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Gemini River Ridge, LLC
175 Fifth Ave., Suite 715, New York, NY 10010
Security(ies) — Tenant in common interests
Issuer of security(ies) — Gemini River Ridge, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Goldman, Sachs & Co.
85 Broad St., New York, NY 10004
Security(ies) — Transition bonds, service 2006-A
Issuer of security(ies) — JCP&L Transition Funding II LLC
Address of issuer — % FirstEnergy Service Company, 76 S. Main St., Akron, OH 44308-1890
State or country in which organized — Delaware

Great Point Partners I GP, LLC
Jeffrey Jay % Great Point Partners LLC, 165 Mason St., Greenwich, CT 06830
Security(ies) — Limited partnership interests
Issuer of security(ies) — Great Point Partners I, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Hillview Special Opportunities Fund, LP
700 The Times Bldg., Ardmore, PA 19003
Security(ies) — Limited partnership interests
Issuer of security(ies) — Hillview Special Opportunities Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Innovative Healthcare Fund I, LP
W. 1040 Broad St., Suite 3B, Shrewsbury, NJ 07702
Security(ies) — Limited partnership interests
Issuer of security(ies) — Innovative Healthcare Fund I, LP
Address of issuer — Same as above
State or country in which organized — Delaware

International Stem Cell Corporation
157 Surfview Dr., Pacific Palisades, CA 90272
State or country in which incorporated — California
Security(ies) — Common stock
Issuer of security(ies) — International Stem Cell Corporation
Address of issuer — Same as above
State or country in which organized — California

Janus Distributors LLC
151 Detroit St., Denver, CO 80206
State or country in which incorporated — Colorado
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Janus Adviser Series—Janus Adviser Long/Short Fund
Address of issuer — Same as above
State or country in which organized — Delaware

J.B. Hanauer & Co.
Four Gatehall Dr., Parsippany, NJ 07054
State or country in which incorporated — New Jersey
Security(ies) — Common stock, no par value

Issuer of security(ies) — J.B. Hanauer & Co.
Address of issuer — Same as above
State or country in which organized — New Jersey

JMB Capital Partners, L.P.
 1999 Avenue of the Stars, Suite 2040, Los Angeles, CA 90067
State or country in which incorporated — California limited partnership
Security(ies) — Limited partnership interests
Issuer of security(ies) — JMB Capital Partners, L.P.
Address of issuer — Same as above
State or country in which organized — California

Kinderhook Capital Fund II, L.P.
 888 Seventh Ave., Suite 1600, New York, NY 10106
Security(ies) — Limited partnership interest
Issuer of security(ies) — Kinderhook Capital Fund II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Lehman Brothers Inc.; (2) UBS Securities LLC; (3) Merrill Lynch, Pierce, Fenner & Smith Incorporated
 745 Seventh Ave., New York, NY 10019; (2) 677 Washington Blvd., Stamford, CT 06901; (3) Four World Financial Center, New York, NY 10281
State or country in which incorporated — (1), (3) Delaware; (2) Delaware limited liability company
Security(ies) — Common stock
Issuer of security(ies) — GNC Corporation
Address of issuer — 300 Sixth Ave., Pittsburgh, PA 15222
State or country in which organized — Delaware

Lescarden, Inc.
 420 Lexington Ave., Suite 212, New York, NY 10170
State or country in which incorporated — New York
Security(ies) — Common stock, par value \$.01 per share
Issuer of security(ies) — Lescarden, Inc.
Address of issuer — Same as above
State or country in which organized — New York

Mabel Butley LLC, The
 % E. I. McCann LLC, 234 W. 44th St., New York, NY 10036
Security(ies) — Limited liability company interests
Issuer of security(ies) — The Mabel Butley LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Mangrove Systems, Inc.
 10 Fairfield Blvd., Wallingford, CT 06492
State or country in which incorporated — Delaware
Security(ies) — Series 1 convertible preferred stock
Issuer of security(ies) — Mangrove Systems, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Massachusetts Green Energy Fund I, L.P.
 % Massachusetts Green Energy Fund Management I, LLC, 320 Washington St., Brookline, MA 02445
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interests
Issuer of security(ies) — Massachusetts Green Energy Fund I, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Material Technologies, Inc.
 11661 San Vicente Blvd., Suite 707, Los Angeles, CA 90049
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Material Technologies, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Matrix Capital Group Inc.
 630 A Fitzwatertown Rd., 2nd Fl., Willow Grove, PA 19090-1904
State or country in which incorporated — New York
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — CatalysteValue Fund
Address of issuer — Same as above
State or country in which organized — Ohio

Maxim Group LLC
 405 Lexington Ave., New York, NY 10017
State or country in which incorporated — New York
Security(ies) — Units of series C convertible preferred stock and warrants to purchase common stock
Issuer of security(ies) — XStream Beverage Network, Inc.
Address of issuer — Two S. University Dr., Suite 220, Plantation, FL 33324
State or country in which organized — Nevada

Mercer Capital, Ltd.
 40 Wall St., New York, NY 10005
Security(ies) — Units consisting of shares of convertible notes and warrants
Issuer of security(ies) — Nucon-RF, Inc.
Address of issuer — % J. Holt Smith, 6171 W. Century Blvd., 2nd Fl., Los Angeles, CA 90045
State or country in which organized — Nevada

Merrill Lynch, Pierce, Fenner & Smith Incorporated; (2) IXIS Securities North America Inc.; (3) Goldman, Sachs & Co.; (4) Morgan Stanley & Co. Incorporated
 Four World Financial Center, New York, NY 10281; (2) Nine W. 57th St., New York, NY 10019; (3) 85 Broad St., New York, NY 10004; (4) 1585 Broadway, New York, NY 10036
State or country in which incorporated — (1), (4) Delaware; (2) New York; (3) New York partnership
Security(ies) — Class A-1 commercial mortgage pass-through certificates, series 2006-C2
Issuer of security(ies) — Merrill Lynch Mortgage Trust 2006-C2
Address of issuer — % LaSalle Bank National Association, 135 S. LaSalle St., Suite 1625, Chicago, IL 60603, Attn: Global Securities and Trust Services - Merrill Lynch Mortgage Trust 2006-C2
State or country in which organized — New York

Merrill Lynch, Pierce, Fenner & Smith Incorporated; (2) IXIS Securities North America Inc.; (3) Goldman, Sachs & Co.; (4) Morgan Stanley & Co. Incorporated
 Four World Financial Center, New York, NY 10281; (2) Nine W. 57th St., New York, NY 10019; (3) 85 Broad St., New York, NY 10004; (4) 1585 Broadway, New York, NY 10036
State or country in which incorporated — (1), (4) Delaware; (2) New York; (3) New York partnership
Security(ies) — Class A-1A commercial mortgage pass-through certificates, series 2006-C2
Issuer of security(ies) — Merrill Lynch Mortgage Trust 2006-C2
Address of issuer — % LaSalle Bank National Association, 135 S.

Issuer of security(ies) — Merrill Lynch Mortgage Trust 2006-C2
Address of issuer — % LaSalle Bank National Association, 135 S. LaSalle St., Suite 1625, Chicago, IL 60603, Attn: Global Securities and Trust Services - Merrill Lynch Mortgage Trust 2006-C2
State or country in which organized — New York

Merrill Lynch, Pierce, Fenner & Smith Incorporated; (2) J.P. Morgan Securities Inc.
 Four World Financial Center, New York, NY 10281; (2) 270 Park Ave., New York, NY 10017
State or country in which incorporated — (1)-(2) Delaware
Security(ies) — Floating rate notes due 2009
Issuer of security(ies) — Brunswick Corporation
Address of issuer — One N. Field Court, Lake Forest, IL 60045
State or country in which organized — Delaware

MTI Technology Corporation
 17595 Cartwright Rd., Irvine, CA 92614
State or country in which incorporated — Delaware
Security(ies) — Common stock with options
Issuer of security(ies) — MTI Technology Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Nascent Wine Company, Inc.
 2355-A Paseo de las Americas, San Diego, CA 92154
State or country in which incorporated — Nevada
Security(ies) — Common stock
Issuer of security(ies) — Nascent Wine Company, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

National Retirement Partners, Inc.
 34700 Coast Hwy., Suite 302, Capistrano Beach, CA 92624
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — National Retirement Partners, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Neuberger Berman Equity Funds
 605 Third Ave., 2nd Fl., New York, NY 10158-0180
Security(ies) — Institutional class - shares of beneficial interest (par value \$0.001 par share)
Issuer of security(ies) — Neuberger Berman Equity Funds - Neuberger Berman International Large Cap Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Neuronyx, Inc.
 One Great Valley Pkwy., Suite 20, Malvern, PA 19355
State or country in which incorporated — Delaware
Security(ies) — Convertible preferred stock with warrants
Issuer of security(ies) — Neuronyx, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

New Atlantic Venture Fund III LP
 11600 Sunrise Valley Dr., #420, Reston, VA 20191
Security(ies) — Limited partnership interests
Issuer of security(ies) — New Atlantic Venture Fund III, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

nextBridge Arc Fund, L.P.
 50 Cragwood Rd., Suite 100, South Plainfield, NJ 07080
Security(ies) — Partnership interests
Issuer of security(ies) — nextBridge Arc Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Nine Group II LLC
 4321 W. Flamingo Rd., Las Vegas, NV 89103
Security(ies) — Limited liability company interests
Issuer of security(ies) — Nine Group II LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Northstar McCord Two Well Joint Venture
 740 Lexington Ave., Plano, TX 75075
Security(ies) — Joint venture partnership interests
Issuer of security(ies) — Northstar McCord Two Well Joint Venture
Address of issuer — Same as above
State or country in which organized — Texas

NSX Holdings, Inc.
 One Financial Place, 440 S. LaSalle St., Suite 2600, Chicago, IL 60605
Security(ies) — Shares of common stock
Issuer of security(ies) — NSX Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Nucon-RF, Inc.
 % J. Holt Smith, 6171 W. Century Blvd., 2nd Fl., Los Angeles, CA 90045
State or country in which incorporated — Nevada
Security(ies) — Units consisting of shares of convertible notes and warrants
Issuer of security(ies) — Nucon-RF, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

NutraBella, LLC
 1875 S. Grand St., Suite 305, San Mateo, CA 94402
Security(ies) — Units consisting of limited liability membership shares
Issuer of security(ies) — NutraBella, LLC
Address of issuer — Same as above
State or country in which organized — California

Ocean Gate Life Settlement Program LP
 % OGLS GP, 156 Washington St., Suite 3, Marblehead, MA 01945
Security(ies) — Limited partnership interests
Issuer of security(ies) — Ocean Gate Life Settlement Program LP
Address of issuer — Same as above
State or country in which organized — Delaware

Ohio Kentucky Oil Corporation/Shaw Creek Program #1 Joint Venture
 5112 Portage St. NW, North Canton, OH 44720
Security(ies) — General partnership interests
Issuer of security(ies) — Ohio Kentucky Oil Corporation/Shaw Creek Program #1 Joint Venture
Address of issuer — Same as above
State or country in which organized — Ohio

Orlando Italy Special Situations SICAR (SCA)
 Orlando Italy Management SA, Via Maggio, 6900 (Lugano), Switzerland

State or country in which incorporated — Luxembourg
Security(ies) — Limited partnership interests
Issuer of security(ies) — Orlando Italy Special Situations SICAR (SCA)
Address of issuer — Same as above
State or country in which organized — Luxembourg

Pacific Northwest Partners Limited
 P.O. Box 20851, W. Pender St., Vancouver, BC V6C 3T7
State or country in which incorporated — Canada
Security(ies) — Common shares
Issuer of security(ies) — Pacific Northwest Partners Limited
Address of issuer — Same as above
State or country in which organized — Canada

Parish Capital Europe I, L.P. Incorporated
 Alexander House, 13-15 Victoria Rd., St. Peter Port, Guernsey, GY11ND, Channel Islands
Security(ies) — Limited partnership interests
Issuer of security(ies) — Parish Capital Europe I, L.P. Incorporated
Address of issuer — Same as above
State or country in which organized — Guernsey

Passco Moorings S, LP
 96 Corporate Park, Suite 200, Irvine, CA 92606
Security(ies) — Tenant in common interests
Issuer of security(ies) — Passco Moorings S, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Phibro Animal Health Corporation
 65 Challenger Rd., Ridgefield Park, NJ 07660
State or country in which incorporated — New York
Security(ies) — 13% senior subordinated notes due 2014
Issuer of security(ies) — Phibro Animal Health Corporation
Address of issuer — Same as above
State or country in which organized — New York

Phoenix Data Security LLC
 10325 N. Port Washington Rd., Suite 250, Mequon, WI 53092
Security(ies) — Units of limited liability company membership interest
Issuer of security(ies) — Phoenix Data Security LLC
Address of issuer — Same as above
State or country in which organized — Wisconsin

Pine Grove Global Active Value Fund, L.P.
 25 DeForest Ave., Summit, NJ 07901
Security(ies) — Limited partnership interests
Issuer of security(ies) — Pine Grove Global Active Value Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Pine Grove Global Active Value Fund Ltd.
 % Ogier Fiduciary Services (Cayman) Ltd., Queensgate House, 113 S. Church St., P.O. Box 1234GT, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares par value \$0.01 (U.S.) per share
Issuer of security(ies) — Pine Grove Global Active Value Fund Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Platte River Ventures I, L.P.
 1225 17th St., Suite 1750, Denver, CO 80202
Security(ies) — Limited partnership interests

Issuer of security(ies) — Platte River Ventures I, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Polar Capital Lotus Fund Limited
 % BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate Centre, 5th Fl., 27 Hospital Rd., P.O. Box 1748, George Town, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands
Security(ies) — Class A participating shares
Issuer of security(ies) — Polar Capital Lotus Fund Limited
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Polar Capital Lotus Fund Limited
 % BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate Centre, 5th Fl., 27 Hospital Rd., P.O. Box 1748, George Town, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands
Security(ies) — Class B participating shares
Issuer of security(ies) — Polar Capital Lotus Fund Limited
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Polar Capital Lotus Fund Limited
 % BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate Centre, 5th Fl., 27 Hospital Rd., P.O. Box 1748, George Town, Grand Cayman, Cayman Islands, British West Indies
State or country in which incorporated — Cayman Islands
Security(ies) — Class C participating shares
Issuer of security(ies) — Polar Capital Lotus Fund Limited
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Power Container Corp.
 33 Schoolhouse Rd., Somerset, NJ 08873
State or country in which incorporated — New Jersey
Security(ies) — Common stock
Issuer of security(ies) — Power Container Corp.
Address of issuer — Same as above
State or country in which organized — New Jersey

Preferred Fund of Funds QP LLC
 % Morgan Keegan & Company, Inc., 50 N. Front St., 17th Fl., Memphis, TN 38103
Security(ies) — Beneficial interests
Issuer of security(ies) — Preferred Fund of Funds QP LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Principal Investor Funds, Inc. - LargeCap Growth Fund
 The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - institutional class
Issuer of security(ies) — Principal Investors Funds, Inc. - LargeCap Growth Fund
Address of issuer — Same as above
State or country in which organized — Iowa

Principal Investor Funds, Inc. - LargeCap Value Fund
 The Principal Financial Group, Des Moines, IA 50392-0200
State or country in which incorporated — Maryland
Security(ies) — Common stock - institutional class

Issuer of security(ies) — Principal Investors Funds, Inc. - LargeCap Value Fund

Address of issuer — Same as above

State or country in which organized — Iowa

Principal Investor Funds, Inc. - Principal Life Time 2010 Fund

The Principal Financial Group, Des Moines, IA 50392-0200

State or country in which incorporated — Maryland

Security(ies) — Common stock - institutional class

Issuer of security(ies) — Principal Investors Funds, Inc. - Principal Life Time 2010 Fund

Address of issuer — Same as above

State or country in which organized — Iowa

Principal Investor Funds, Inc. - Principal Life Time 2020 Fund

The Principal Financial Group, Des Moines, IA 50392-0200

State or country in which incorporated — Maryland

Security(ies) — Common stock - institutional class

Issuer of security(ies) — Principal Investors Funds, Inc. - Principal Life Time 2020 Fund

Address of issuer — Same as above

State or country in which organized — Iowa

Principal Investor Funds, Inc. - Principal Life Time 2030 Fund

The Principal Financial Group, Des Moines, IA 50392-0200

State or country in which incorporated — Maryland

Security(ies) — Common stock - institutional class

Issuer of security(ies) — Principal Investors Funds, Inc. - Principal Life Time 2030 Fund

Address of issuer — Same as above

State or country in which organized — Iowa

Principal Investor Funds, Inc. - Principal Life Time 2040 Fund

The Principal Financial Group, Des Moines, IA 50392-0200

State or country in which incorporated — Maryland

Security(ies) — Common stock - institutional class

Issuer of security(ies) — Principal Investors Funds, Inc. - Principal Life Time 2040 Fund

Address of issuer — Same as above

State or country in which organized — Iowa

Principal Investor Funds, Inc. - Principal Life Time 2050 Fund

The Principal Financial Group, Des Moines, IA 50392-0200

State or country in which incorporated — Maryland

Security(ies) — Common stock - institutional class

Issuer of security(ies) — Principal Investors Funds, Inc. - Principal Life Time 2050 Fund

Address of issuer — Same as above

State or country in which organized — Iowa

Principal Investor Funds, Inc. - Principal Life Time Strategic Income Fund

The Principal Financial Group, Des Moines, IA 50392-0200

State or country in which incorporated — Maryland

Security(ies) — Common stock - institutional class

Issuer of security(ies) — Principal Investors Funds, Inc. - Principal Life Time Strategic Income Fund

Address of issuer — Same as above

State or country in which organized — Iowa

Principal Investor Funds, Inc. - SmallCap Growth Fund

The Principal Financial Group, Des Moines, IA 50392-0200

State or country in which incorporated — Maryland

Security(ies) — Common stock - institutional class

Issuer of security(ies) — Principal Investors Funds, Inc. - SmallCap Growth Fund

Address of issuer — Same as above

State or country in which organized — Iowa

Priva Technologies, Inc.

875 N. Michigan Ave., Suite 1404, Chicago, IL 60611

State or country in which incorporated — Delaware

Security(ies) — Convertible preferred stock

Issuer of security(ies) — Priva Technologies

Address of issuer — Same as above

State or country in which organized — Delaware

PROACTIVENET, INC.

2055 Laurelwood Rd., Suite 130, Santa Clara, CA 95054

State or country in which incorporated — California

Security(ies) — Series A-1 preferred stock

Issuer of security(ies) — PROACTIVENET, Inc.

Address of issuer — Same as above

State or country in which organized — California

Protalex, Inc.

145 Union Sq., Dr., New Hope, PA 18938-1365

State or country in which incorporated — Delaware

Security(ies) — Units consisting of common stock and warrants

Issuer of security(ies) — Protalex, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Rearden Commerce Inc.

1400 Fashion Island Blvd., Suite 150, San Mateo, CA 94404

State or country in which incorporated — California

Security(ies) — Series D-1 preferred stock

Issuer of security(ies) — Rearden Commerce, Inc.

Address of issuer — Same as above

State or country in which organized — California

Research Affiliates Capital, L.P.

155 N. Lake Ave., Suite 900, Pasadena, CA 91101

Security(ies) — Limited partnership interests

Issuer of security(ies) — Research Affiliates Capital, L.P.

Address of issuer — Same as above

State or country in which organized — California

Research Affiliates Fundamental Index, L.P.

155 N. Lake Ave., Suite 900, Pasadena, CA 91101

Security(ies) — Limited partnership interests

Issuer of security(ies) — Research Affiliates Fundamental Index, L.P.

Address of issuer — Same as above

State or country in which organized — California

Rockies Region 2006 Limited Partnership

103 E. Main St., P.O. Box 26, Bridgeport, WV 26330

State or country in which incorporated — West Virginia

Security(ies) — General/limited partnership interests

Issuer of security(ies) — Rockies Region 2006 Limited Partnership

Address of issuer — Same as above

State or country in which organized — West Virginia

Savile Opportunity Fund, LP

Mack Cali Center II, 2nd Fl., 650 From Rd., Paramus, NJ 07652

Security(ies) — Limited partnership interests

Issuer of security(ies) — Savile Opportunity Fund, LP

Address of issuer — Same as above

State or country in which organized — Delaware

Selectinvest ARV PLUS 1.25X Ltd.

%BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate Center, 27 Hospital Rd., P.O. Box 1748GT, George Town, Grand Cayman, Cayman Islands, British West Indies

State or country in which incorporated — Cayman Islands

Security(ies) — Common shares

Issuer of security(ies) — Selectinvest ARV PLUS 1.25X Ltd.

Address of issuer — Same as above

State or country in which organized — Cayman Islands

Selectinvest ARV PLUS 1.50X LP

30 Rockefeller Plaza, Suite 2800, New York, NY 10012

Security(ies) — Limited partnership interests

Issuer of security(ies) — Selectinvest ARV PLUS 1.50X LP

Address of issuer — Same as above

State or country in which organized — Delaware

Selectinvest MultiStrategy MC Ltd.

%BISYS Hedge Fund Services (Cayman) Limited, Cayman Corporate Center, 27 Hospital Rd., P.O. Box 1748GT, George Town, Grand Cayman, Cayman Islands, British West Indies

State or country in which incorporated — Cayman Islands

Security(ies) — Common shares

Issuer of security(ies) — Selectinvest MultiStrategy MC Ltd.

Address of issuer — Same as above

State or country in which organized — Cayman Islands

Senium Fund, L.P.

5355 Mira Sorrento Place, Suite 230, San Diego, CA 92121

State or country in which incorporated — California limited partnership

Security(ies) — Limited partnership interests

Issuer of security(ies) — Senium Fund, L.P.

Address of issuer — Same as above

State or country in which organized — California

Southport Energy Alternatives SPV Fund, L.P.

354 Pequot Ave., Southport, CT 06890

Security(ies) — Limited partnership interests

Issuer of security(ies) — Southport Energy Alternatives SPV Fund, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Southport Energy Alternatives SPV Offshore Fund, Inc.

%BISYS Hedge Fund Services (Cayman) Limited, P.O. Box 1748GT, Cayman Corporate Centre, 27 Hospital Rd., George Town, Grand Cayman, Cayman Islands

Security(ies) — Common shares, par value \$0.01 (U.S.) per share

Issuer of security(ies) — Southport Energy Alternatives SPV Offshore Fund, Inc.

Address of issuer — Same as above

State or country in which organized — Cayman Islands

Stuyvesant Partners LP

200 Business Park Dr., Suite 300, Armonk, NY 10504

Security(ies) — Partnership interests

Issuer of security(ies) — Stuyvesant Partners LP

Address of issuer — Same as above

State or country in which organized — Delaware

Sundance Capital Partners, L.P.

600 W. Germantown Pike, Suite 400, Plymouth Meeting, PA 19462

State or country in which incorporated — Delaware limited partnership

Security(ies) — Limited partnership interests

Issuer of security(ies) — Sundance Capital Partners, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Sunshine Acquisition Corporation

80 Lambertson Rd. Windsor, CT 06095-2136

State or country in which incorporated — Delaware

Security(ies) — Options to purchase common stock

Issuer of security(ies) — Sunshine Acquisition Corporation

Address of issuer — Same as above

State or country in which organized — Delaware

Tatum, LLC

303 Peachtree St. NE, Suite 4400, Atlanta, GA 30308

Security(ies) — Offering of class A membership units

Issuer of security(ies) — Tatum, LLC

Address of issuer — Same as above

State or country in which organized — Delaware

Tenfold Capital Partners, L.P.

4199 Campus Dr., Suite 550, Irvine, CA 92612

Security(ies) — Limited partnership interests

Issuer of security(ies) — Tenfold Capital Partners, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Terrenew, LLC

Cornell Agriculture and Food Tech, 630 W. North St., Geneva, NY 14456

Security(ies) — Common shares

Issuer of security(ies) — Terrenew, LLC

Address of issuer — Same as above

State or country in which organized — Delaware

Thales International Fund II, Ltd

% BNY Alternative Investment Services Ltd., Ingham & Wilkinson Bldg., 129 Front St., HM 12, Bermuda

State or country in which incorporated — Bermuda

Security(ies) — Non-voting, redeemable, participating shares

Issuer of security(ies) — Thales International Fund II, Ltd

Address of issuer — Same as above

State or country in which organized — Bermuda

Thornburg Investment Trust

119 E. Marcy St., Suite 202, Santa Fe, NM 87501

Security(ies) — Shares of beneficial interest class A, 1

Issuer of security(ies) — Thornburg Global Opportunities Fund

Address of issuer — Same as above

State or country in which organized — Massachusetts

Tremont Long/Shore Equity Portfolio Limited

% Walkers SPV Limited, Walkers House, P.O. Box 908 GT, Mary St., George Town, Grand Cayman, Cayman Islands

State or country in which incorporated — Cayman Islands

Security(ies) — Ordinary shares

Issuer of security(ies) — Tremont Long/Short Equity Portfolio Limited

Address of issuer — Same as above

State or country in which organized — Cayman Islands

Ullico Diversified International Equity Fund, L.P.
% Ullico DIEF, LLC, 1625 Eye St. NW, 2nd Fl., Washington, DC 20006

Security(ies) — Limited partnership interests
Issuer of security(ies) — Ullico Diversified International Equity Fund, L.P.

Address of issuer — Same as above
State or country in which organized — Delaware

United Business Media PLC

245 Blackfriars Rd., London SE1 9UY United Kingdom
State or country in which incorporated — England and Wales
Security(ies) — Common stock under the performance share plan
Issuer of security(ies) — United Business Media PLC
Address of issuer — Same as above
State or country in which organized — England and Wales

uPlay Me, Inc.

30 Crosby St., Unit 3B, New York, NY 10013
State or country in which incorporated — Delaware
Security(ies) — Series A-1 preferred stock
Issuer of security(ies) — uPlay Me, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Valores Fund, L.P.

1400 Broadfield, Suite 120, Houston, TX 77084
Security(ies) — Limited partnership interests
Issuer of security(ies) — Valores Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Oklahoma

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Dividend Income & Value Portfolio 2006-3
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Insured Municipals Income Trust, Series 515
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Van Kampen Preferred Securities Portfolio, Series 7
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Van Kampen Unit Trusts, Municipal Series 614 (New York Insured Municipals Income: Series 190)

Address of issuer — Same as above
State or country in which organized — New York

Vineyard Lending Partners, LLC
Four Financial Plaza, Napa, CA 94558
Security(ies) — Unregistered limited liability company interests
Issuer of security(ies) — Vineyard Lending Partners, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Waterton Tactical Real Estate Fund I, L.L.C.
One N. Franklin St., Suite 1150, Chicago, IL 60606
Security(ies) — Limited liability company interests
Issuer of security(ies) — Watertown Tactical Real Estate Fund I, L.L.C.
Address of issuer — Same as above
State or country in which organized — Delaware

WJA Acorn Fund VI
% William, Jones & Assoc, LLC, 717 5th Ave., Suite 1700, New York, NY 10022
Security(ies) — Units of undivided interest
Issuer of security(ies) — WJA Acorn Fund VI
Address of issuer — Same as above
State or country in which organized — New York

Wood Creek Multi-Asset Fund, LP
Connecticut Financial Center, 157 Church St., 20th Fl., New Haven, CT 06510
Security(ies) — Series A limited partnership interests
Issuer of security(ies) — Wood Creek Multi-Asset Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Wood Creek Multi-Asset Fund, LP
Connecticut Financial Center, 157 Church St., 20th Fl., New Haven, CT 06510
Security(ies) — Series B limited partnership interests
Issuer of security(ies) — Wood Creek Multi-Asset Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Wood Creek Multi-Asset Fund, LP
Connecticut Financial Center, 157 Church St., 20th Fl., New Haven, CT 06510
Security(ies) — Series C limited partnership interests
Issuer of security(ies) — Wood Creek Multi-Asset Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

W.W. Norton & Company, Inc.
500 Fifth Ave., New York, NY 10110
State or country in which incorporated — New York
Security(ies) — Class B common stock
Issuer of security(ies) — W.W. Norton & Company, Inc.
Address of issuer — Same as above
State or country in which organized — New York

YourGenie LLC
605 S. Main St., Fairfield, IA 52556
Security(ies) — Class B membership units
Issuer of security(ies) — YourGenie LLC
Address of issuer — Same as above
State or country in which organized — Iowa

Zenprise Inc.

6120 Stevenson Blvd., Fremont, CA 94538

State or country in which incorporated — Delaware

Security(ies) — One unit consisting of stock option to purchase shares of common stock and the common stock issuable upon the exercise thereof, and direct common stock issuances, all pursuant to the issuer's 2004 stock option/stock issuance plan

Issuer of security(ies) — Zenprise Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

ZF Partners, L.P.

One Ferry Bldg., Suite 255, San Francisco, CA 94111

Security(ies) — Limited partnership interests

Issuer of security(ies) — ZF Partners, L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

