

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

- Abtech Industries, Inc.
4110 N. Scottsdale Rd., Suite 235, Scottsdale, AZ 85251
State or country in which incorporated — Delaware
- Alacrity Biosciences, Inc.
23046 Avenida de la Carlota, Suite 360, Laguna Hills, CA 92653
State or country in which incorporated — Delaware
- Ameriscape, Inc.
7375 NW. Roy Rd., Cornelius, OR 97113
State or country in which incorporated — Delaware
- Attorneys Abstract and Settlement, Corp.
1233 Walt Whitman Rd., Suite 2, Melville, NY 11747
State or country in which incorporated — New York
- Battery Park Distressed Opportunity Fund, L.P.
Two World Financial Center, Bldg. B, New York, NY 10281
Partnership — Battery Park GP III, LLC
- BayStar Capital International Structured Investment Fund, Ltd.
c/o Beacon Fund Advisors Ltd., Harbour House, Waterfront Dr., Road
Town, Tortola, British Virgin Islands
State or country in which incorporated — British Virgin Islands
- Belle Haven Aggressive Muni, L.P.
Five Greenwich Office Park, Greenwich, CT 06831
Partnership — Belle Haven Capital Management, Inc.
- Benevolent Partners II, L.P.
40-26th Ave., Venice, CA 90291
Partnership — Benevolent Capital Management, LLC
- Berkshire Resources, LLC
109 E. 17th St., Suite 46, Cheyenne, WY 82001
Partnership — Jason T. Rose
- Brockton Capital Corp.
Suite 604-750 W. Pender St., Vancouver, British Columbia, Canada
V6C 2T7
State or country in which incorporated — British Columbia
- Bullion River Gold Corp.
3500 Lakeside Court, Suite 200, Reno, NV 89509
State or country in which incorporated — Nevada
- BV Parcel 5B4, LLC
5700 Saddlebrook Way, Rm. 903, Wesley Chapel, FL 33543
Partnership — RJW Management, LLC
- Capricor, Inc.
2415 Old Bosley Rd., Lutherville, MD 21093
State or country in which incorporated — Delaware
- Care Management International, Inc.
50 Harrison St., Suite 119, Hoboken, NJ 07030
State or country in which incorporated — Delaware
- Channel M, Inc.
2015 S. Westgate Ave., Los Angeles, CA 90025
State or country in which incorporated — Delaware
- Charles Morgan Securities, Inc.
120 Wall St., 16th Fl., New York, NY 10005
State or country in which incorporated — New York
- Chatham Investment Fund III, LLC
400 Galleria Pkwy., Suite 1950, Atlanta, GA 30339
Partnership — Chatham Credit Management III, LLC
- Chatham Investment Fund QP III, LLC
400 Galleria Pkwy., Suite 1950, Atlanta, GA 30339
Partnership — Chatham Credit Management III, LLC
- Cima NanoTech, Inc.
1000 Westgate Dr., Suite 100, St. Paul, MN 55114
State or country in which incorporated — Minnesota
- Cirsio Alternative Fund Limited
P.O. Box 309, Uglund House, George Town, Grand Cayman, Cayman
Islands, British West Indies
State or country in which incorporated — Cayman Islands
- Clearview Trading Advisors LLC
900 Third Ave., Suite 200, New York, NY 10022
- Clover Capital Core Fixed Income Common Fund, LLC
400 Meridian Centre, Suite 200, Rochester, NY 14618
State or country in which incorporated — Delaware

Clover Capital Core Fixed Income Fund Group Trust, LLC
400 Meridian Centre, Suite 200, Rochester, NY 14618
State or country in which incorporated — Delaware

Clover Capital Enhanced Small Cap Value Equity Fund, LLC
400 Meridian Centre, Suite 200, Rochester, NY 14618
State or country in which incorporated — Delaware

Clover Capital Enhanced Small Cap Value Equity Fund Group Trust, LLC
400 Meridian Centre, Suite 200, Rochester, NY 14618
State or country in which incorporated — Delaware

Clover Capital Mid Cap Value Equity Common Fund, LLC
400 Meridian Centre, Suite 200, Rochester, NY 14618
State or country in which incorporated — Delaware

Clover Capital Mid Cap Value Equity Fund Group Trust, LLC
400 Meridian Centre, Suite 200, Rochester, NY 14618
State or country in which incorporated — Delaware

Clover Capital Multi Cap Value Equity Common Fund, LLC
400 Meridian Centre, Suite 200, Rochester, NY 14618
State or country in which incorporated — Delaware

Clover Capital Multi Cap Value Equity Fund Group Trust, LLC
400 Meridian Centre, Suite 200, Rochester, NY 14618
State or country in which incorporated — Delaware

Clover Capital Tax-Managed Fixed Income Common Fund, LLC
400 Meridian Centre, Suite 200, Rochester, NY 14618
State or country in which incorporated — Delaware

CMS Platinum Fund, L.P.
c/o CMS Companies, 308 E. Lancaster Ave., Suite 300, Wynnewood, PA 19096-2145
Partnership — CMS Platinum Associates, L.P.

Continental Minerals Corporation
1200-800 W. Pender St., Vancouver, British Columbia, Canada V6C 2V6
State or country in which incorporated — Canada

Craig-Hallum Capital Group LLC
222 S. 9th St., Suite 350, Minneapolis, MN 55402
State or country in which incorporated — Minnesota

Creditex Securities Corporation
875 Third Ave., 29th Fl., New York, NY 10022
State or country in which incorporated — Delaware

Cura Compass Partners, LP
c/o Cura Compass Capital (GP) LLC, 1270 Avenue of the Americas, 27th Fl., New York, NY 10020
Partnership — Cura Compass Capital (GP) LLC, general partner

Dente & Bomba, LLC
20 Broad St., 7th Fl., New York, NY 10005
State or country in which incorporated — New York

Florham Consulting Corp.
64 Beaver St., Suite 233, New York, NY 10004
State or country in which incorporated — Delaware

Forbes Lake Investor, LLC
c/o CFO Enterprises, 6460 Mystic St., Oakland, CA 94618
State or country in which incorporated — Nevada

Fox River Corporate Center 2006 LLC
7501 S. Lemont Rd., Suite 300, Woodridge, IL 60517

Geocommand, Inc.
3700 Airport Rd., Suite 410, Boca Raton, FL 33431
State or country in which incorporated — Florida

GoldenHawk Partners, LP
600 Grant St., US Steel Tower, Suite 3210, Pittsburgh, PA 15219
Partnership — GoldenHawk Capital, LLC

Graybar Electric Company, Inc.
34 N. Meramec Ave., St. Louis, MO 63105

Guidance Software, Inc.
215 N. Marengo Ave., Pasadena, CA 91101
State or country in which incorporated — Delaware

Interactive Supercomputing, Inc.
135 Beaver St., Waltham, MA 02452
State or country in which incorporated — Delaware

J.P. Turner & Company, L.L.C.
3340 Peachtree Rd., Suite 2300, Atlanta, GA 30326
State or country in which incorporated — Georgia

L&B Diversified Strategy Partners, L.P.
8750 N. Central Expwy., Suite 800, Dallas, TX 75231-6437
Partnership — L&B Realty Advisors, LLP

Longnook Capital Partners, L.P.
c/o Longnook Capital, LLC, 111 John St., Suite 2601, New York, NY 10038
Partnership — Longnook Capital, LLC, general partner

Loyal TV, Inc.
121 W. 27th St., Suite 903, New York, NY 10001

LRI Holdings, Inc.
Bruckmann, Rosser, Sherrill & Co., Inc., 126 E. 56th St., 29th Fl., New York, NY 10022

Luminetx Corporation
1256 Union Ave., Memphis, TN 38104
State or country in which incorporated — Tennessee

Macbay Partners L.P.
61 Broadway, 31st Fl., New York, NY 10006
Partnership — Macbay Capital L.L.C.

MagazineRadar, Inc.
14 E. 4th St., No. 1113, New York, NY 10012
State or country in which incorporated — Delaware

Marblehead Partners, LP
c/o Marblehead Asset Management, LLC, 14 Vanderverter Ave., Suite 201, Port Washington, NY 11050
Partnership — Marblehead Asset Management, LLC

Marsico Investment Fund, The
1200 17th St., Suite 1600, Denver, CO 80202
State or country in which incorporated — Delaware

Matthew Burwood
580 Crespi Dr., Suite A6 #334, Pacifica, CA 94044

Microporous Holding Corporation
100 Spear St., Suite 100, San Francisco, CA 94111
State or country in which incorporated — Delaware

Milestone Real Estate Investors II L.P.
Three W. 57th St., 10th Fl., New York, NY 10019
Partnership — MREI GP II LLC, general partner

National Securities Corporation
875 N. Michigan Ave., Suite 1560, Chicago, IL 60611
State or country in which incorporated — Delaware

Nelson Energy Programs, Inc.
2220 MacNaughton Lane, Lake Stevens, WA 98258
State or country in which incorporated — Washington

New England Savings Bank
259 North St., Bldg. A, 2nd Fl., Hyannis, MA 02601

Newlin Energy Partners II, L.P.
44 Nassau St., Suite 365, Princeton, NJ 08542
Partnership — Newlin Capital Partners GP II, L.P.

Owenoke Associates Technology Fund, L.P.
150 E. 58th St., 21st Fl., New York, NY 10155
Partnership — Owenoke Capital Management, LLC

Owenoke Partners Technology Fund, L.P.
150 E. 58th St., 21st Fl., New York, NY 10155
Partnership — Owenoke Capital Management, LLC

Palo Alto Technology Offshore Ltd.
c/o Spectrum Global Fund Administration (Cayman), Anderson Sq., 4th Fl., Grand Cayman, Cayman Islands
Partnership — Ronan Guilfoyle and Roger H. Hanson

Patang the Film LLC
261 Prospect Place, #1, Brooklyn, NY 11238
State or country in which incorporated — New York limited liability company

Perfectly Natural Solutions U.S., Inc.
200 The Landmarks Bldg., 100 W. Station Square Dr., Pittsburgh, PA 15219-1122
State or country in which incorporated — Delaware

PFM Meritage Fund, L.P.
One Market Plaza, Steuart Tower, 22nd Fl., San Francisco, CA 94105
Partnership — Partner Asset Management, LLC, general partner

Potential Energy US Fund, LLC
600 E. Main St., Suite 305, Richmond, VA 23219

PSI Corporation
7222 Commerce Center Dr., #240, Colorado Springs, CO 80919
State or country in which incorporated — Nevada

ReGen Biologics, Inc.
509 Commerce St., 1st Fl., East Wing, Franklin Lakes, NJ 07417
State or country in which incorporated — Delaware

Reliance Bancshares, Inc.
10401 Clayton Rd., Frontenac, MO 63131
State or country in which incorporated — Missouri

Rincon Venture Partners, L.P.
1486 E. Valley Rd., Santa Barbara, CA 93108
Partnership — Rincon Venture Partners, LLC

RRV Fund, LLC
333 Perry St., Suite 214, Castle Rock, CO 80104
State or country in which incorporated — Delaware

Sandstone Capital India Offshore Fund Limited
c/o Sandstone Capital LLC, 30 Federal St., 4th Fl., Boston, MA 02110
Partnership — Sandstone Capital LLC

Scandius BioMedical, Inc.
11A Beaver Brook Rd., Littleton, MA 01460
State or country in which incorporated — Delaware

SEB Enskilda, Inc.
245 Park Ave., New York, NY 10167
State or country in which incorporated — Delaware

Sokolin LLC
12 Foster Ave., Bridgehampton, NY 11932

SPG Opportunity Fund, Ltd.
2700 N. Military Trail, Suite 150, Boca Raton, FL 33431
State or country in which incorporated — Florida

Stark Event Fund Ltd.
3600 S. Lake Dr., St. Francis, WI 53235
State or country in which incorporated — British Virgin Islands

Stark Event Fund L.P.
3600 S. Lake Dr., St. Francis, WI 53235
Partnership — Stark Onshore Management LLC

Super Vision International, Inc.
8210 Presidents Dr., Orlando, FL 32809
State or country in which incorporated — Delaware

T. Rowe Price International Fund, Inc./T. Rowe Price Overseas Stock Fund (Portfolio)
100 E. Pratt St., Baltimore, MD 21221
State or country in which incorporated — Maryland

T. Rowe Price Retirement Funds, Inc./T. Rowe Price Retirement 2050 (Portfolio)

100 E. Pratt St., Baltimore, MD 21202

State or country in which incorporated — Maryland

T. Rowe Price International Funds, Inc./T. Rowe Price Retirement 2055 Fund (Portfolio)

100 E. Pratt St., Baltimore, MD 21221

State or country in which incorporated — Maryland

telx Group, Inc., The

17 State St., 33rd Fl., New York, NY 10017

State or country in which incorporated — Delaware

ThinGap Corporation

2064 Eastman Ave., Ventura, CA 93003

State or country in which incorporated — California

Tremblant Concentrated Fund LP

767 Fifth Ave., Fl. 12A, New York, NY 10153

Partnership — Tremblant Concentrated Holdings LLC, general partner

Tremblant Concentrated Fund, Ltd.

c/o Citco Fund Services (Cayman Islands) Limited, Regatta Office Park, West Bay Rd., P.O. Box 31106 SMB, Grand Cayman, Cayman Islands

State or country in which incorporated — Cayman Islands

Tremblant Super Concentrated Fund, Ltd.

c/o Citco Fund Services (Cayman Islands) Limited, Windward 1, Regatta Office Park, West Bay Rd., P.O. Box 31106 SMB, Grand Cayman KY1-1205, Cayman Islands

State or country in which incorporated — Cayman Islands

Vista Drilling Program 2006-2 Limited Partnership

61 McMurray Rd., Suite 300, Pittsburgh, PA 15241

Partnership — Vista Resources, Inc., general partner

WebSolve, Inc.

9700 Great Seneca Hwy., Rockville, MD 20850

State or country in which incorporated — Delaware

Wexford High Yield Debt Fund II, LLC

333 W. Wacker Dr., Suite 1600, Chicago, IL 60606

Wexford High Yield Debt Fund III, LLC

333 W. Wacker Dr., Suite 1600, Chicago, IL 60606

Wexford High Yield Debt Fund IV, LLC

333 W. Wacker Dr., Suite 1600, Chicago, IL 60606

Williams Opportunity Fund, LLC

3625 Cumberland Blvd., Suite 400, Atlanta, GA 30339

State or country in which incorporated — Georgia

World Funds, Inc., The

8730 Stony Point Pkwy., Suite 205, Richmond, VA 23235

State or country in which incorporated — Maryland

W.R. Hambrecht + Co., LLC

539 Bryant St., San Francisco, CA 94107

State or country in which incorporated — Delaware limited liability company

ZAP

501 4th St., Santa Rosa, CA 95401

State or country in which incorporated — California

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY DEALERS; BROKERS; SYNDICATE MANAGERS

ABN AMRO Incorporated; (2) ABN AMRO Rothschild LLC

540 W. Madison St., Chicago, IL 60661; (2) 55 E. 52nd St., New York, NY 10055

State or country in which incorporated — (1) New York; (2) Delaware limited liability company

Security(ies) — Ordinary shares

Issuer of security(ies) — China Energy Limited

Address of issuer — Four Battery Rd., #15-01 Bank of China Bldg., Singapore 049908

State or country in which organized — Singapore

Abtech Industries, Inc.

4110 N. Scottsdale Rd., Suite 235, Scottsdale, AZ 85251

State or country in which incorporated — Delaware

Security(ies) — One unit consisting of class X common stock and warrants

Issuer of security(ies) — Abtech Industries, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Alacrity Biosciences, Inc.

23046 Avenida de la Carlota, Suite 360, Laguna Hills, CA 92653

State or country in which incorporated — Delaware

Security(ies) — Senior convertible promissory notes with warrants

Issuer of security(ies) — Alacrity Biosciences, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

American Capital Partner, LLC

205 Oser Ave., Hauppauge, NY 11788

State or country in which incorporated — New York limited liability company

Security(ies) — Series C convertible preferred stock

Issuer of security(ies) — ShopBistro (USA) Inc.

Address of issuer — 500 N. Broadway, Jericho, NY 11753

State or country in which organized — Delaware

American Century Investments Services, Inc.

4500 Main St., Kansas City, MO 64111

State or country in which incorporated — Missouri

Security(ies) — Common stock, par value \$0.01

Issuer of security(ies) — American Century Investment Trust —

American Century Core Plus Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

American Century Investments Services, Inc.
 4500 Main St., Kansas City, MO 64111
State or country in which incorporated — Missouri
Security(ies) — Common stock, par value \$0.01
Issuer of security(ies) — American Century Investment Trust —
 American Century Short Duration Fund
Address of issuer — Same as above
State or country in which organized — Massachusetts

American Century Investments Services, Inc.
 4500 Main St., Kansas City, MO 64111
State or country in which incorporated — Missouri
Security(ies) — Common stock, par value \$0.01
Issuer of security(ies) — American Century Quantitative Equity Funds
 — American Century International Core Equity Fund
Address of issuer — Same as above
State or country in which organized — Maryland

Ameriscape, Inc.
 7375 NW. Roy Rd., Cornelius, OR 97113
State or country in which incorporated — Delaware
Security(ies) — 2006 convertible subordinated promissory notes
Issuer of security(ies) — Ameriscape, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

ARMGO Pharma, Inc.
 3960 Broadway, 3rd Fl., New York, NY 10032
State or country in which incorporated — Delaware
Security(ies) — Common stock, par value \$0.01 per share
Issuer of security(ies) — ARMGO Pharma, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

AT Investors Services, Inc.
 50 Rockefeller Plaza, 15th Fl., New York, NY 10020
State or country in which incorporated — New York
Security(ies) — Limited liability company membership units
Issuer of security(ies) — INVESCO Core Real Estate — U.S.A., LLC
Address of issuer — One Midtown Plaza, 1360 Peachtree St. NE,
 Atlanta, GA 30309
State or country in which organized — Delaware

Attorneys Abstract and Settlement Corp.
 1233 Walt Whitman Rd., Suite 2, Melville, NY 11747
State or country in which incorporated — New York
Security(ies) — Common stock
Issuer of security(ies) — Attorneys Abstract and Settlement Corp.
Address of issuer — Same as above
State or country in which organized — New York

Battery Park Distressed Opportunity Fund, L.P.
 Two World Financial Center, Bldg. B, New York, NY 10281
Security(ies) — Limited partnership interests
Issuer of security(ies) — Battery Park Distressed Opportunity Fund, L.P.

Address of issuer — Same as above
State or country in which organized — Delaware

BayStar Capital International Structured Investment Fund, Ltd.
 Harbour House, Waterfront Dr., Road Town, Tortola, British Virgin
 Islands
State or country in which incorporated — British Virgin Islands
Security(ies) — Class A participating shares, no par value
Issuer of security(ies) — c/o Beacon Fund Advisors, Ltd., BayStar
 Capital International Structured Investment Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — British Virgin Islands

Bear, Stearns & Co. Inc.
 383 Madison Ave., New York, NY 10179
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Dynavax Technologies Corporation
Address of issuer — 2929 Seventh St., Suite 100, Berkeley, CA 94710
State or country in which organized — Delaware

Bear, Stearns & Co. Inc.; (2) Wachovia Capital Markets, LLC
 383 Madison Ave., New York, NY 10179; (2) 301 S. College St.,
 Charlotte, NC 28288
State or country in which incorporated — (1) Delaware; (2) Delaware
 limited liability company
Security(ies) — Common stock
Issuer of security(ies) — Heelys, Inc.
Address of issuer — 3200 Belmeade Dr., Suite 100, Carrollton, TX
 75006
State or country in which organized — Delaware

Belle Haven Aggressive Muni, L.P.
 Five Greenwich Office Park, Greenwich, CT 06831
Security(ies) — Partnership interests
Issuer of security(ies) — Belle Haven Aggressive Muni, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Benevolent Partners II, L.P.
 40 - 26th Ave., Venice, CA 90291
State or country in which incorporated — Delaware limited partnership
Security(ies) — Limited partnership interests
Issuer of security(ies) — Benevolent Partners II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Berkshire Resources, LLC
 109 E. 17th St., Suite 46, Cheyenne, WY 82001
Security(ies) — Berkshire 2006-5, LLP
Issuer of security(ies) — Berkshire Resources, LLC
Address of issuer — Same as above
State or country in which organized — Wyoming

Brill Street + Company, Inc.
 1144 Randolph St., Chicago, IL 60607
State or country in which incorporated — Delaware
Security(ies) — Units consisting of series A preferred stock and non-
 voting common stock combined

Issuer of security(ies) — Brill Street + Company, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Brockton Capital Corp.

750 W. Pender St., Suite 605, Vancouver, British Columbia, Canada V6C 2T7

State or country in which incorporated — British Columbia

Security(ies) — Shares of common stock

Issuer of security(ies) — Brockton Capital Corp.

Address of issuer — Same as above

State or country in which organized — Nevada

Bullion River Gold Corp.

3500 Lakeside Court, Suite 200, Reno, NV 89509

State or country in which incorporated — Nevada

Security(ies) — Units consisting of one share common stock and one warrant to purchase one share common stock

Issuer of security(ies) — Bullion River Gold Corp.

Address of issuer — Same as above

State or country in which organized — Nevada

BV Parcel 5B4, LLC

5700 Saddlebrook Way, Rm. 903, Wesley Chapel, FL 33543

State or country in which incorporated — Florida

Security(ies) — Membership interests

Issuer of security(ies) — BV Parcel 5B4, LLC

Address of issuer — Same as above

State or country in which organized — Florida

Capricor, Inc.

2415 Old Bosley Rd., Lutherville, MD 21093

State or country in which incorporated — Delaware

Security(ies) — Series A convertible preferred stock

Issuer of security(ies) — Capricor, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Capstone Investments

4660 La Jolla Village Dr., San Diego, CA 92122

State or country in which incorporated — California

Security(ies) — Units of common stock and warrants

Issuer of security(ies) — Hudson Holding Corporation

Address of issuer — 111 Town Square Place, Jersey City, NJ 07310

State or country in which organized — Delaware

Ceinteractive, Inc.

66 Witherspoon St., Suite 384, Princeton, NJ 08542

State or country in which incorporated — Delaware

Security(ies) — Stock options

Issuer of security(ies) — Ceinteractive, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Channel M, Inc.

2015 S. Westgate Ave., Los Angeles, CA 90025

State or country in which incorporated — Delaware

Security(ies) — Series A convertible preferred stock

Issuer of security(ies) — Channel M, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Charles Morgan Securities, Inc.

120 Wall St., 16th Fl., New York, NY 10005

Security(ies) — 12% convertible promissory notes

Issuer of security(ies) — The Enlightened Gourmet, Inc.

Address of issuer — 236 Centerbrook, Hamden, CT 06518

State or country in which organized — Nevada

Chatham Investment Fund III, LLC

400 Galleria Pkwy., Suite 1950, Atlanta, GA 30339

State or country in which incorporated — Delaware

Security(ies) — Shares

Issuer of security(ies) — Chatham Investment Fund III, LLC

Address of issuer — Same as above

State or country in which organized — Georgia

Chatham Investment Fund III, LLC

400 Galleria Pkwy., Suite 1950, Atlanta, GA 30339

State or country in which incorporated — Delaware

Security(ies) — Shares

Issuer of security(ies) — Chatham Investment Fund QP III, LLC

Address of issuer — Same as above

State or country in which organized — Georgia

CIBC World Markets Corp.

300 Madison Ave., New York, NY 10017

State or country in which incorporated — Delaware

Security(ies) — Common stock

Issuer of security(ies) — Arena Pharmaceuticals, Inc.

Address of issuer — 6166 Nancy Ridge Dr., San Diego, CA 92121

State or country in which organized — Delaware

Cima NanoTech, Inc.

1000 Westgate Dr., Suite 1000, St. Paul, MN 55114

State or country in which incorporated — Minnesota

Security(ies) — Series C convertible preferred stock

Issuer of security(ies) — Cima NanoTech, Inc.

Address of issuer — Same as above

State or country in which organized — Minnesota

Cirsio Alternative Fund Limited

P.O. Box 309, Ugland House, George Town, Grand Cayman, Cayman Islands, British West Indies

State or country in which incorporated — Cayman Islands

Security(ies) — Ordinary shares

Issuer of security(ies) — Cirsio Alternative Fund Limited

Address of issuer — Same as above

State or country in which organized — Cayman Islands

Citigroup Mortgage Loan Trust Inc.

390 Greenwich St., New York, NY 10013

State or country in which incorporated — Delaware

Security(ies) — Citigroup mortgage loan trust 2006-AR7, mortgage pass-through certificates, series 2006-AR7

Issuer of security(ies) — Citigroup Mortgage Loan Trust Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Citigroup Global Markets Inc.

390 Greenwich St., New York, NY 10013

State or country in which incorporated — Delaware

Security(ies) — Citigroup mortgage loan trust 2006-WFHE4, asset-backed pass-through certificates, series 2006-WFHE4

Issuer of security(ies) — Citigroup Mortgage Loan Trust 2006-WFHE4

Address of issuer — Same as above
State or country in which organized — Delaware

Claymore Securities, Inc.
 2455 Corporate West Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Closed-End Equity and Income Portfolio, Series 7
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
 2455 Corporate West Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Delta Global Real Estate Trust, Series 2
Address of issuer — Same as above
State or country in which organized — Kansas

Claymore Securities, Inc.
 2455 Corporate West Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Peroni Growth Portfolio, Winter 2007 Series
Address of issuer — Same as above
State or country in which organized — Kansas

Clover Capital Core Fixed Income Common Fund, LLC
 400 Meridian Center, Suite 200, Rochester, NY 14618
State or country in which incorporated — Delaware
Security(ies) — Membership interests
Issuer of security(ies) — Clover Capital Core Fixed Income Common Fund, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Clover Capital Core Fixed Income Fund Group Trust
 400 Meridian Center, Suite 200, Rochester, NY 14618
State or country in which incorporated — Delaware
Security(ies) — Participation units
Issuer of security(ies) — Clover Capital Core Fixed Income Fund Group Trust
Address of issuer — Same as above
State or country in which organized — Delaware

Clover Capital Enhanced Small Cap Value Equity Common Fund, LLC
 400 Meridian Center, Suite 200, Rochester, NY 14618
State or country in which incorporated — Delaware
Security(ies) — Membership interests
Issuer of security(ies) — Clover Capital Enhanced Small Cap Value Equity Common Fund, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Clover Capital Enhanced Small Cap Value Equity Group Fund Trust
 400 Meridian Center, Suite 200, Rochester, NY 14618
State or country in which incorporated — Delaware
Security(ies) — Participation units
Issuer of security(ies) — Clover Capital Enhanced Small Cap Value Equity Group Fund Trust
Address of issuer — Same as above
State or country in which organized — Delaware

Clover Capital Mid Cap Value Equity Common Fund, LLC
 400 Meridian Center, Suite 200, Rochester, NY 14618
State or country in which incorporated — Delaware
Security(ies) — Membership interests
Issuer of security(ies) — Clover Capital Mid Cap Value Equity Common Fund, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Clover Capital Mid Cap Value Equity Fund Group Trust
 400 Meridian Center, Suite 200, Rochester, NY 14618
State or country in which incorporated — Delaware
Security(ies) — Participation units
Issuer of security(ies) — Clover Capital Mid Cap Value Equity Fund Group Trust
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Security(ies) — Participation units
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State or country in which organized — Delaware

Clover Capital Tax-Managed Fixed Income Common Fund, LLC
 400 Meridian Center, Suite 200, Rochester, NY 14618
State or country in which incorporated — Delaware
Security(ies) — Membership interests
Issuer of security(ies) — Clover Capital Tax-Managed Fixed Income Common Fund, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

CMS Platinum Fund, L.P.
 c/o CMS Companies, 308 E. Lancaster Ave., Suite 300, Wynnewood, PA 19096-2145
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interests
Issuer of security(ies) — CMS Platinum Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

CoalTek, Inc.
 2189D Flintstone Dr., Tucker, GA 30084
State or country in which incorporated — Delaware
Security(ies) — Series B-2 convertible preferred stock
Issuer of security(ies) — CoalTek, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Continental Minerals Corporation
1200-800 W. Pender St., Vancouver, British Columbia, Canada V6C 2V6

State or country in which incorporated — Canada

Security(ies) — Common shares

Issuer of security(ies) — Continental Minerals Corporation

Address of issuer — Same as above

State or country in which organized — Canada

Craig-Hallum Capital Group LLC

222 S. 9th St., Suite 350, Minneapolis, MN 55402

State or country in which incorporated — Minnesota

Security(ies) — Common stock

Issuer of security(ies) — Uroplasty, Inc.

Address of issuer — 5420 Feltl Rd., Minnetonka, MN 55343

State or country in which organized — Minnesota

Credit Suisse Securities (USA) LLC

11 Madison Ave., New York, NY 10010

State or country in which incorporated — Delaware limited liability company

Security(ies) — ___% senior notes due 2016

Issuer of security(ies) — NewMarket Corporation

Address of issuer — 330 S. Fourth St., Richmond, VA 23219

State or country in which organized — Virginia

Credit Suisse Securities (USA) LLC; (2) SEB Enskilda, Inc.; (3) UBS Securities LLC

11 Madison Ave., New York, NY 10010; (2) 245 Park Ave., New York, NY 10167; (3) 677 Washington Blvd., Stamford, CT 06901

State or country in which incorporated — (1, 3) Delaware limited liability company; (2) Delaware

Security(ies) — Ordinary shares

Issuer of security(ies) — Rezidor Hotel Group AB (publ)

Address of issuer — Hemvarnsgatan 15, 17154 Solna, Sweden

State or country in which organized — Sweden

Cura Compass Partners, LP

c/o Cura Compass Capital (GP) LLC, 1270 Avenue of the Americas, 27th Fl., New York, NY 10020

Security(ies) — Limited partnership interests

Issuer of security(ies) — Cura Compass Partners, LP

Address of issuer — Same as above

State or country in which organized — Delaware

D.A. Davidson & Co.

Eight 3rd St. N, Great Falls, MT 59401

State or country in which incorporated — Montana

Security(ies) — Shares of common stock

Issuer of security(ies) — WSB Financial Group, Inc.

Address of issuer — 607 Pacific Ave., Bremerton, WA 98337

State or country in which organized — Washington

Diversified Energy Group, Inc.

One City Plaza, 801 S. Olive Ave., Suite 223, West Palm Beach, FL 33401

State or country in which incorporated — Delaware

Security(ies) — Common stock

Issuer of security(ies) — Diversified Energy Group, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Diversified Search LLC

2005 Market St., Suite 3300, Philadelphia, PA 19103

State or country in which incorporated — Pennsylvania limited liability company

Security(ies) — Limited liability company shares

Issuer of security(ies) — Diversified Search LLC

Address of issuer — Same as above

State or country in which organized — Pennsylvania

E.J. De La Rosa & Co., Inc.

10866 Wilshire Blvd., Suite 1650, Los Angeles, CA 90024

State or country in which incorporated — Delaware

Security(ies) — Bond insurance for the State Public Works Board of the State of California, lease revenue bonds (Department of Correction), 2006 series H (various correctional projects)

Issuer of security(ies) — Financial Guaranty Insurance Company

Address of issuer — 125 Park Ave., New York, NY 10017

State or country in which organized — New York

E.J. De La Rosa & Co., Inc.

10866 Wilshire Blvd., Suite 1650, Los Angeles, CA 90024

State or country in which incorporated — Delaware

Security(ies) — Bond insurance for the State Public Works Board of the State of California, lease revenue bonds (Department Mental Health), 2006 series I (Atascadero State Hospital multi-purpose building)

Issuer of security(ies) — Financial Guaranty Insurance Company

Address of issuer — 125 Park Ave., New York, NY 10017

State or country in which organized — New York

E.J. De La Rosa & Co., Inc.

10866 Wilshire Blvd., Suite 1650, Los Angeles, CA 90024

State or country in which incorporated — Delaware

Security(ies) — Bond insurance for the State Public Works Board of the State of California, lease revenue bonds (trustees of the California State University), 2006 series G (physical science replacement building, wing A, Los Angeles Campus)

Issuer of security(ies) — Financial Guaranty Insurance Company

Address of issuer — 125 Park Ave., New York, NY 10017

State or country in which organized — New York

Emerging Media Group, Inc.

390 Park Ave. S, New York, NY 10016

State or country in which incorporated — Delaware

Security(ies) — Common stock with options

Issuer of security(ies) — Emerging Media Group, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Entertainment Media Works, Inc.

29 W. 17th St., New York, NY 10011

State or country in which incorporated — Delaware

Security(ies) — Common stock options

Issuer of security(ies) — Entertainment Media Works, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Ethanex Energy, Inc.

14500 Parallel Rd., Suite A, Basehor, KS 66007

State or country in which incorporated — Nevada

Security(ies) — Options to purchase common stock

Issuer of security(ies) — Ethanex Energy, Inc.

Address of issuer — Same as above
State or country in which organized — Nevada

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 Units of undivided interest
Issuer of security(ies) — FT 1289 (Covered Call Closed-End Portfolio, Series 6)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 Units of undivided interest
Issuer of security(ies) — FT 1290 (Global Dividend Portfolio, Series 6)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 Units of undivided interest
Issuer of security(ies) — FT 1303 (Morgan Keegan Growth & Income Portfolio, Series 3)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 Units of undivided interest
Issuer of security(ies) — FT 1307 (BRIC Select Portfolio, Series 2)
Address of issuer — Same as above
State or country in which organized — Illinois

Florham Consulting Corp.
 64 Beaver St., Suite 233, New York, NY 10004
State or country in which incorporated — Delaware
Security(ies) — Units of common stock
Issuer of security(ies) — Florham Consulting Corp.
Address of issuer — Same as above
State or country in which organized — Delaware

Forside Fund Services, LLC
 Two Portland Sq., Portland, ME 04101
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Flag Investors—Equity Opportunity Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Fox River Corporate Center 2006 LLC
 7501 S. Lemont Rd., Suite 300, Woodridge, IL 60517
State or country in which incorporated — Illinois limited liability company
Security(ies) — Membership interest
Issuer of security(ies) — Fox River Corporate Center 2006 LLC
Address of issuer — Same as above
State or country in which organized — Illinois

Geocommand, Inc.
 3700 Airport Rd., Suite 410, Boca Raton, FL 33431
State or country in which incorporated — Florida

Security(ies) — Units consisting of common stock and common stock purchase warrants
Issuer of security(ies) — Geocommand, Inc.
Address of issuer — Same as above
State or country in which organized — Florida

GoldenHawk Partners, LP
 600 Grant St., US Steel Tower, Suite 3210, Pittsburgh, PA 15219
Security(ies) — Limited partnership interests
Issuer of security(ies) — GoldenHawk Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Goldman, Sachs & Co.
 85 Broad St., New York, NY 10004
State or country in which incorporated — New York
Security(ies) — Common stock
Issuer of security(ies) — priceline.com Incorporated
Address of issuer — 800 Connecticut Ave., Norwalk, CT 06854
State or country in which organized — Delaware

Graybar Electric Company, Inc.
 34 N. Meramec Ave., St. Louis, MO 63105
Security(ies) — Voting trust certificates
Issuer of security(ies) — Graybar Electric Company, Inc.
Address of issuer — Same as above
State or country in which organized — New York

Guidance Software, Inc.
 215 N. Marengo Ave., Pasadena, CA 91101
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Guidance Software, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Hubco Exploration Inc.
 10 Plymouth Rd., Rye, NY 10580
State or country in which incorporated — Delaware
Security(ies) — 10% secured promissory note with shares of common stock
Issuer of security(ies) — Hubco Exploration Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Interactive Supercomputing, Inc.
 135 Beaver St., Fl. 2, Waltham, MA 02452
State or country in which incorporated — Delaware
Security(ies) — Series A preferred stock
Issuer of security(ies) — Interactive Supercomputing, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Joseph Gunnar & Co., LLC
 30 Broad St., 12th Fl., New York, NY 10004
Security(ies) — One unit consisting of promissory notes and warrants to purchase common stock; minimum offering of \$500,000; maximum offering \$1,000,000
Issuer of security(ies) — Tarpon Industries, Inc.
Address of issuer — 2420 Wills St., Marysville, MI 48040
State or country in which organized — Michigan

J.P. Turner & Company, L.L.C.
3340 Peachtree Rd., Suite 2300, Atlanta, GA 30326
State or country in which incorporated — Georgia
Security(ies) — Series A preferred stock
Issuer of security(ies) — Cancer Genetics, Inc.
Address of issuer — 228 River Vale Rd., River Vale, NJ 07675
State or country in which organized — Delaware

L&B Diversified Strategy Partners, L.P.
8750 N. Central Expwy., Suite 800, Dallas, TX 75231-6437
Security(ies) — Limited partnership units
Issuer of security(ies) — L&B Diversified Strategy Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

LS2, Inc.
11710 Plaza America Dr., Suite 2000, Reston, VA 20190
State or country in which incorporated — Delaware
Security(ies) — Units, each unit consists of (1) 100,000 shares of common stock, par value \$.001 per share and (2) warrants to purchase 50,000 shares of common stock at \$1.00 a share
Issuer of security(ies) — LS2, Inc.
Address of issuer — Same as above

Longnook Capital Partners, L.P.
c/o Longnook Capital, LLC, 111 John St., Suite 2601, New York, NY 10038
Security(ies) — Limited partnership interests
Issuer of security(ies) — Longnook Capital Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Loyal TV, Inc.
121 W. 27th St., Suite 903, New York, NY 10001
State or country in which incorporated — Florida
Security(ies) — Common stock
Issuer of security(ies) — Loyal TV, Inc.
Address of issuer — Same as above
State or country in which organized — Florida

LRI Holdings, Inc.
Burckmann, Rosser, Sherrill & Co. Inc., 126 E. 56th St., 29th Fl., New York, NY 10022
Security(ies) — Common and preferred stock
Issuer of security(ies) — LRI Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Luminetx Corporation
1256 Union Ave., Memphis, TN 38104
State or country in which incorporated — Tennessee
Security(ies) — Common stock
Issuer of security(ies) — Luminetx Corporation
Address of issuer — Same as above
State or country in which organized — Tennessee

Luminetx Corporation
1256 Union Ave., Memphis, TN 38104
State or country in which incorporated — Tennessee
Security(ies) — Series A convertible preferred stock
Issuer of security(ies) — Luminetx Corporation

Address of issuer — Same as above
State or country in which organized — Tennessee

Macbay Partners L.P.
61 Broadway, 31st Fl., New York, NY 10006
Security(ies) — Limited partnership interests
Issuer of security(ies) — Macbay Partners L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

MagazineRadar, Inc.
14 E. 4th St., No. 1113, New York, NY 10012
State or country in which incorporated — Delaware
Security(ies) — Series A preferred stock
Issuer of security(ies) — MagazineRadar, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Marblehead Partners, LP
c/o Marblehead Asset Management, LLC, 14 Vanderverter Ave., Suite 201, Port Washington, NY 11050
Security(ies) — Limited partnership interests
Issuer of security(ies) — Marblehead Partners, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Marsico Investment Fund, The
1200 17th St., Suite 1600, Denver, CO 80202
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Marsico Flexible Capital Fund
Address of issuer — Same as above
State or country in which organized — Delaware

Merrill Lynch, Pierce, Fenner & Smith Incorporated
Four World Financial Center, New York, NY 10281
State or country in which incorporated — Delaware
Security(ies) — Commercial mortgage pass-through certificates, series 2006-C4
Issuer of security(ies) — Mezz Cap Commercial Mortgage Trust 2006-C4
Address of issuer — c/o U.S. Bank National Association, One Federal St., 3rd Fl., Boston, MA 02110
State or country in which organized — New York

MB Romland BEM, LLC
580 Crespi Dr., Suite A6 #334, Pacifica, CA 94044
Security(ies) — Limited liability company membership interests (class B)
Issuer of security(ies) — MB Romland BEM, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Microporous Holding Corporation
100 Spear St., Suite 100, San Francisco, CA 94111
State or country in which incorporated — Delaware
Security(ies) — Common stock, par value \$0.0001 per share and warrant to purchase common stock
Issuer of security(ies) — Microporous Holding Corporation
Address of issuer — Same as above
State or country in which organized — United States

Milestone Real Estate Investors II L.P.
 Three W. 57th St., 10th Fl., New York, NY 10019
Security(ies) — Limited partnership interests
Issuer of security(ies) — Milestone Real Estate Investors II L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

National Securities Corporation
 875 N. Michigan Ave., Suite 1560, Chicago, IL 60611
State or country in which incorporated — Delaware
Security(ies) — Units consisting of shares of common stock and warrants to purchase shares of common stock
Issuer of security(ies) — Redpoint Bio Corporation
Address of issuer — 2005 Eastpark Dr., Cranbury, NJ 08512
State or country in which organized — Delaware

Nelson Energy Programs, Inc.
 2220 MacNaughton Lane, Lake Stevens, WA 98258
State or country in which incorporated — Washington
Security(ies) — Partnership units
Issuer of security(ies) — Nelson Energy partners 2006-A, L.P.
Address of issuer — Same as above
State or country in which organized — Nevada

New England Savings Bank
 259 North St., Bldg. A, 2nd Fl., Hyannis, MA 02601
State or country in which incorporated — Massachusetts
Security(ies) — Common stock
Issuer of security(ies) — New England Savings Bank
Address of issuer — Same as above
State or country in which organized — Massachusetts

Neuro-Hitech, Inc.
 One Penn Plaza, Suite 1503, New York, NY 10119
State or country in which incorporated — Delaware
Security(ies) — Common stock and warrants to purchase common stock
Issuer of security(ies) — Neuro-Hitech, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Newlin Realty Partners II, L.P.
 44 Nassau St., Suite 365, Princeton, NJ 08542
Security(ies) — Limited partnership interests
Issuer of security(ies) — Newlin Realty Partners II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Newport Asia LLC
 601 California St., Suite 600, San Francisco, CA 94108
Security(ies) — Limited partnership interests in Newport Asia Institutional Fund, LP
Issuer of security(ies) — Newport Asia Institutional Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Newport Asia LLC
 601 California St., Suite 600, San Francisco, CA 94108
Security(ies) — Limited partnership interests in Newport Asia Japan Fund, LP
Issuer of security(ies) — Newport Asia Japan Fund, LP
Address of issuer — Same as above
State or country in which organized — Delaware

NewSight Corporation
 Two Park Ave., Suite 1800A, New York, NY 10016
State or country in which incorporated — Delaware
Security(ies) — Convertible class C preferred stock
Issuer of security(ies) — NewSight Corporation
Address of issuer — Same as above

Odyssey Marine Exploration, Inc.
 5215 W. Laurel St., Tampa, FL 33607
State or country in which incorporated — Nevada
Security(ies) — Units consisting of common stock and warrants to purchase common stock
Issuer of security(ies) — Odyssey Marine Exploration, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Owenoke Associates Technology Fund, LP
 150 E. 58th St., 21st Fl., New York, NY 10155
Security(ies) — Limited partnership interest
Issuer of security(ies) — Owenoke Associates Technology Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Owenoke Partners Technology Fund, LP
 150 E. 58th St., 21st Fl., New York, NY 10155
Security(ies) — Limited partnership interest
Issuer of security(ies) — Owenoke Partners Technology Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Palo Alto Technology Offshore Ltd.
 % Spectrum Global Fund Administration (Cayman), P.O. Box 10243
 APO, Grand Cayman, Cayman Islands
Security(ies) — Redeemable shares
Issuer of security(ies) — Palo Alto Technology Offshore Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands, B.W.I.

Patang The Film LLC
 261 Prospect Place, #1, Brooklyn, NY 11238
Security(ies) — 100 limited liability company units at \$5,000/unit — all in a single class
Issuer of security(ies) — Patang The Film LLC
Address of issuer — Same as above
State or country in which organized — New York

Perfectly Natural Solutions U.S., Inc.
 200 The Landmarks Bldg., 100 W. Station Square Dr., Pittsburgh, PA 15219
State or country in which incorporated — Delaware
Security(ies) — Series A convertible preferred stock
Issuer of security(ies) — Perfectly Natural Solutions U.S., Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

PFM Meritage Fund, L.P.
 One Market Plaza, Steuart Tower, 22nd Fl., San Francisco, CA 94105
State or country in which incorporated — Delaware limited partnership
Security(ies) — Limited partner interests
Issuer of security(ies) — PFM Meritage Fund, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Potential Energy US Fund, LLC

600 E. Main St., Suite 305, Richmond, VA 23219

Security(ies) — Limited liability company interests*Issuer of security(ies)* — Potential Energy US Fund, LLC*Address of issuer* — Same as above*State or country in which organized* — Delaware

PSI Corporation

7222 Commerce Center Dr., Suite 240, Colorado Springs, CO 80919

State or country in which incorporated — Nevada*Security(ies)* — One unit consisting of convertible term notes and warrants*Issuer of security(ies)* — PSI Corporation*Address of issuer* — Same as above*State or country in which organized* — Nevada

ReGen Biologics, Inc.

509 Commerce St., 1st Fl., East Wing, Franklin Lakes, NJ 07417

State or country in which incorporated — Delaware*Security(ies)* — Common stock*Issuer of security(ies)* — ReGen Biologics, Inc.*Address of issuer* — Same as above*State or country in which organized* — Delaware

ReGen Biologics, Inc.

509 Commerce St., 1st Fl., East Wing, Franklin Lakes, NJ 07417

State or country in which incorporated — Delaware*Security(ies)* — Option to purchase common stock*Issuer of security(ies)* — ReGen Biologics, Inc.*Address of issuer* — Same as above*State or country in which organized* — Delaware

ReGen Biologics, Inc.

509 Commerce St., 1st Fl., East Wing, Franklin Lakes, NJ 07417

State or country in which incorporated — Delaware*Security(ies)* — Warrants to purchase common stock*Issuer of security(ies)* — ReGen Biologics, Inc.*Address of issuer* — Same as above*State or country in which organized* — Delaware

Reliance Bancshares, Inc.

10401 Clayton Rd., Frontenac, MO 63131

State or country in which incorporated — Missouri*Security(ies)* — Class A common stock, \$.50 par value*Issuer of security(ies)* — Reliance Bancshares, Inc.*Address of issuer* — Same as above*State or country in which organized* — Missouri

Rincon Venture Partners, L.P.

1486 E. Valley Rd., Santa Barbara, CA 93108

Security(ies) — Limited partnership interests*Issuer of security(ies)* — Rincon Venture Partners, L.P.*Address of issuer* — Same as above*State or country in which organized* — Delaware

RRV Fund, LLC

333 Perry St., Suite 214, Castle Rock, CO 80104

State or country in which incorporated — Delaware*Security(ies)* — Limited liability company interests*Issuer of security(ies)* — RRV Fund, LLC*Address of issuer* — Same as above*State or country in which organized* — Delaware

Sandgrain Securities, Inc.

1050 Franklin Ave., Suite 101, Garden City, NY 11530

State or country in which incorporated — New York*Security(ies)* — Promissory note*Issuer of security(ies)* — Arcadia Resources, Inc.*Address of issuer* — 26777 Central Park Blvd., Suite 200, Southfield, MI 48076*State or country in which organized* — Nevada

Sandstone Capital India Offshore Fund Limited

% Sandstone Capital LLC, 30 Federal St., 4th Fl., Boston, MA 02110

Security(ies) — Limited partnership interests*Issuer of security(ies)* — Sandstone Capital India Offshore Fund Limited*Address of issuer* — Same as above*State or country in which organized* — Delaware

Scandius BioMedical, Inc.

11A Beaver Brook Rd., Littleton, MA 01460

State or country in which incorporated — Delaware*Security(ies)* — Series B-1 preferred stock, \$0.01 par value*Issuer of security(ies)* — Scandius BioMedical, Inc.*Address of issuer* — Same as above*State or country in which organized* — Delaware

Sentinel Financial Services Company

National Life Dr., Montpelier, VT 05604

State or country in which incorporated — Maryland*Security(ies)* — Sentinel conservation allocation*Issuer of security(ies)* — Sentinel Group Funds*Address of issuer* — P.O. Box 1499, Montpelier, VT 05601-1499*State or country in which organized* — Maryland

Sokolin LLC

12 Foster Ave., Bridgehampton, NY 11932

Security(ies) — 13% senior subordinated notes, senior secured credit notes and senior secured floating rate term notes*Issuer of security(ies)* — Sokolin LLC*Address of issuer* — Same as above*State or country in which organized* — New York

SPG Opportunity Fund, Ltd.

2700 N. Military Trail, Suite 150, Boca Raton, FL 33431

Security(ies) — Limited partnership interest*Issuer of security(ies)* — SPG Opportunity Fund, Ltd.*Address of issuer* — Same as above*State or country in which organized* — Florida

Stark Event Fund Ltd.

3600 S. Lake Dr., St. Francis, WI 43235

Security(ies) — Common stock*Issuer of security(ies)* — Stark Event Fund Ltd.*Address of issuer* — Same as above*State or country in which organized* — British Virgin Islands

Stark Event Fund Ltd.

3600 S. Lake Dr., St. Francis, WI 43235

Security(ies) — Limited partnership interests*Issuer of security(ies)* — Stark Event Fund Ltd.*Address of issuer* — Same as above*State or country in which organized* — British Virgin Islands

Super Vision International Inc.
8210 President Dr., Orlando, FL 32809
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Super Vision International, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

T. Rowe Price International Fund, Inc./T. Rowe Price Overseas Stock Fund (Portfolio)
100 E. Pratt St., Baltimore, MD 21202
State or country in which incorporated — Maryland
Security(ies) — Common stock
Issuer of security(ies) — T. Rowe Price International Fund, Inc./T. Rowe Price Overseas Stock Fund (Portfolio)
Address of issuer — Same as above
State or country in which organized — Maryland

T. Rowe Price Retirement Funds, Inc./T. Rowe Price Retirement 2050 Fund (Portfolio)
100 E. Pratt St., Baltimore, MD 21202
State or country in which incorporated — Maryland
Security(ies) — Common stock
Issuer of security(ies) — T. Rowe Price Retirement Funds, Inc./T. Rowe Price Retirement 2050 Fund (Portfolio)
Address of issuer — Same as above
State or country in which organized — Maryland

T. Rowe Price Retirement Funds, Inc./T. Rowe Price Retirement 2055 Fund (Portfolio)
100 E. Pratt St., Baltimore, MD 21202
State or country in which incorporated — Maryland
Security(ies) — Common stock
Issuer of security(ies) — T. Rowe Price Retirement Funds, Inc./T. Rowe Price Retirement 2055 Fund (Portfolio)
Address of issuer — Same as above
State or country in which organized — Maryland

telx Group, Inc., The
17 State St., 33rd Fl., New York, NY 10017
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — The telx Group, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

telx Group, Inc., The
17 State St., 33rd Fl., New York, NY 10017
State or country in which incorporated — Delaware
Security(ies) — Series B preferred stock
Issuer of security(ies) — The telx Group, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

ThinGap Corporation
2064 Eastman Ave., Ventura, CA 93003
State or country in which incorporated — California
Security(ies) — Secured note and warrant offering
Issuer of security(ies) — ThinGap Corporation
Address of issuer — Same as above
State or country in which organized — California

Thomas Weisel Partners LLC; (2) Needham & Company, LLC; (3) W.R. Hambrecht + Co., LLC
One Montgomery St., San Francisco, CA 94104; (2) 445 Park Ave., New York, NY 10022; (3) 539 Bryant St., San Francisco, CA 94107
State or country in which incorporated — (1)-(3) Delaware limited liability company
Security(ies) — Common stock
Issuer of security(ies) — Netlist, Inc.
Address of issuer — 475 Goddard, Irvine, CA 92618
State or country in which organized — Delaware

Tokyo Electron Limited
TBS Broadcast Center, 3-6 Akasaka 5-chome, Minato-ku, Tokyo 107-8481 Japan
State or country in which incorporated — Japan
Security(ies) — Common
Issuer of security(ies) — Tokyo Electron Limited
Address of issuer — Same as above
State or country in which organized — Japan

Tremblant Concentrated Fund LP
767 Fifth Ave., Fl. 12A, New York, NY 10153
Security(ies) — Limited partnership interests
Issuer of security(ies) — Tremblant Concentrated Fund LP
Address of issuer — Same as above
State or country in which organized — Delaware

Tremblant Concentrated Fund Ltd.
% Citco Fund Services (Cayman Islands) Limited, Regatta Office Park, West Bay Rd., P.O. Box 31106, SMB, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares, par value \$0.01 (U.S.) per share
Issuer of security(ies) — Tremblant Concentrated Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

Tremblant Super Concentrated Fund Ltd.
% Citco Fund Services (Cayman Islands) Limited, Winward I, Regatta Office Park, West Bay Rd., P.O. Box 31106, SMB, Grand Cayman, KY1-1205, Cayman Islands
State or country in which incorporated — Cayman Islands
Security(ies) — Common shares, par value \$0.01 (U.S.) per share
Issuer of security(ies) — Tremblant Super Concentrated Fund, Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

United Financial Securities, Inc.
431 N. Pennsylvania St., Indianapolis, IN 46204
State or country in which incorporated — Indiana
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Unified Series Trust, Leeb Focus Fund
Address of issuer — Same as above
State or country in which organized — Ohio

United Financial Securities, Inc.
431 N. Pennsylvania St., Indianapolis, IN 46204
State or country in which incorporated — Indiana
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Unified Series Trust, Symons Alpha Growth Institutional Fund
Address of issuer — Same as above
State or country in which organized — Ohio

United Financial Securities, Inc.
 431 N. Pennsylvania St., Indianapolis, IN 46204
State or country in which incorporated — Indiana
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Unified Series Trust, Symons Alpha Value Institutional Fund
Address of issuer — Same as above
State or country in which organized — Ohio

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Central Equity Trust, Diversified Income Series 25
Address of issuer — Same as above
State or country in which organized — New York

Vista Drilling Program 2006-2 Limited Partnership
 61 McMurray Rd., Suite 300, Pittsburgh, PA 15241
Security(ies) — Units of general/limited partnership interests
Issuer of security(ies) — Vista Drilling Program 2006-2 Limited Partnership
Address of issuer — Same as above
State or country in which organized — Pennsylvania

Wachovia Capital Markets, LLC
 301 S. College St., Charlotte, NC 28288
State or country in which incorporated — Delaware limited liability company
Security(ies) — Common stock
Issuer of security(ies) — Spirit Finance Corporation
Address of issuer — 14631 N. Scottsdale Rd., Suite 200, Scottsdale, AZ 85254
State or country in which organized — Maryland

WebSolve, Inc.
 9700 Great Seneca Hwy., Rockville, MD 20850
State or country in which incorporated — Delaware
Security(ies) — Membership units
Issuer of security(ies) — East Coast Packaging, LLC
Address of issuer — 5905 Ramsgate Rd., Bethesda, MD 20816
State or country in which organized — Delaware

Weeden Investors L.P.
 145 Mason St., Greenwich, CT 06830
State or country in which incorporated — Delaware limited partnership
Security(ies) — Class A callable units
Issuer of security(ies) — Weeden Investors L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Wexford High Yield Debt Fund II, LLC
 333 W. Wacker Dr., Suite 1600, Chicago, IL 60606
Security(ies) — Preferred membership interests
Issuer of security(ies) — Wexford High Yield Debt Fund II, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Wexford High Yield Debt Fund II, LLC
 333 W. Wacker Dr., Suite 1600, Chicago, IL 60606
Security(ies) — Preferred membership interests

Issuer of security(ies) — Wexford High Yield Debt Fund III, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Wexford High Yield Debt Fund II, LLC
 333 W. Wacker Dr., Suite 1600, Chicago, IL 60606
Security(ies) — Preferred membership interests
Issuer of security(ies) — Wexford High Yield Debt Fund IV, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

White Pacific Securities, Inc.
 231 Sansome St., 4th Fl., San Francisco, CA 94104
State or country in which incorporated — Nevada
Security(ies) — Tenant in common interests
Issuer of security(ies) — Woodstone Apartments, LLC
Address of issuer — % Woodlark Capital, LLC, 170 Hamilton Ave., White Plains, NY 10601
State or country in which organized — Delaware

Williams Opportunity Fund, LLC
 3625 Cumberland Blvd., Suite 400, Atlanta, GA 30339
State or country in which incorporated — Georgia
Security(ies) — Limited liability company interests
Issuer of security(ies) — Williams Opportunity Fund, LLC
Address of issuer — Same as above
State or country in which organized — Georgia

World Funds, Inc., The
 8730 Stony Point Pkwy., Suite 205, Richmond, VA 23235
State or country in which incorporated — Maryland
Security(ies) — Shares of common stock for REMS Real Estate Value-Opportunity Fund
Issuer of security(ies) — The World Funds, Inc.
Address of issuer — Same as above
State or country in which organized — Maryland

ZAP
 501 4th St., Santa Rosa, CA 95401
State or country in which incorporated — California
Security(ies) — Convertible notes with warrants
Issuer of security(ies) — ZAP
Address of issuer — Same as above
State or country in which organized — California