

# SECURITIES OFFERINGS

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## STATE NOTICES

Published pursuant to provisions of General Business Law  
[Art. 23-A, §359-e(2)]

## DEALERS; BROKERS

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300 Seventh Street NY Member LLC  
230 Park Ave., Suite 1831, New York, NY 10169  
*State or country in which incorporated* — Delaware

Accentia Biopharmaceuticals, Inc.  
324 S. Hyde Ave., Suite 350, Tampa, FL 33606  
*State or country in which incorporated* — Florida

Alliance Affiliated Equities Corporation  
One Ward Pkwy., Suite 345, Kansas City, MO 64112  
*State or country in which incorporated* — Texas

Axial Capital Institutional, LP  
101 Park Ave., 47th Fl., New York, NY 10178  
*Partnership* — Axial Capital Partners GP, LLC

Behringer Harvard Holdings, LLC  
15601 Dallas Pkwy., Suite 600, Addison, TX 75001  
*State or country in which incorporated* — Delaware limited liability company

Brigade Leveraged Capital Structures Fund LP  
c/o Brigade Capital GP, LLC, 717 Fifth Ave., Suite 1301, New York, NY 10022  
*Partnership* — Brigade Capital GP, LLC, general partner

Brigade Leveraged Capital Structures Offshore Ltd.  
c/o Ogier Fiduciary Services (Cayman) Limited, P.O. Box 1234, Queensgate House, S. Church St., George Town, Grand Cayman, KY1-1108, Cayman Islands  
*State or country in which incorporated* — Cayman Islands

Cabot Oak Grove Acquisition LLC  
100 Summer St., 23rd Fl., Boston, MA 02110  
*Partnership* — Delaware

Caip Global Partners, Ltd.  
c/o Ogier Fiduciary Services (Cayman) Limited, Queensgate House, S. Church St., P.O. Box 1234, Grand Cayman KY1-1108, Cayman Islands  
*State or country in which incorporated* — Cayman Islands

Caip Global Partners, LP  
c/o Caip Capital, LLC, 137 Rowayton Ave., Suite 200, Rowayton, CT 06853  
*Partnership* — Caip Capital, LLC, general partner

Callum & Burks Securities  
1335 Noel Rd., Dallas, TX 75240  
*State or country in which incorporated* — Texas

Chardan China Investments, LLC  
625 Broadway, Suite 1111, San Diego, CA 92101  
*State or country in which incorporated* — Delaware

Clay Capital Fund, LP  
37 Maple St., 2nd Fl., Summit, NJ 07901  
*Partnership* — Clay Capital, GP, LLC

Covega Corporation  
10335 Guilford Rd., Jessup, MD 20794  
*State or country in which incorporated* — Delaware

Derycz Scientific, Inc.  
10990 Wilshire Blvd., Suite 1410, Los Angeles, CA 90024

FILP Capital Reserves Limited Partnership  
82 Devonshire St., Boston, MA 02109  
*Partnership* — Fidelity Investors Management Corp.

First United Ethanol, LLC  
Two W. Broad St., Camilla, GA 31730  
*Partnership* — Georgia

Gibraltar Maunakea S, LLC  
509 E. Montecito St., 2nd Fl, Santa Barbara, CA 93103

Griffiths McBurney Corp.  
c/o GMP Securities L.P., 145 King St. W, Suite 300, Toronto, Ontario M5H 1J8  
*State or country in which incorporated* — Canada

Highland Opportunity Fund, Ltd.  
c/o Admiral Administration, Ltd., Admiral Financial Center, 5th Fl., 90 Fort St., P.O. Box 32021 SMB, Grand Cayman, BWI  
*State or country in which incorporated* — Cayman Islands

Highland Opportunity Fund, L.P.  
c/o Highland Financial Holdings Group, LLC, 51 Madison Ave., Suite 2000, New York, NY 10010  
*Partnership* — Highland Financial Holdings, LLC

Highland Special Opportunity Fund, Ltd.  
c/o Admiral Administrative, Ltd., Admiral Financial Center, 5th Fl., 90  
Fort St., P.O. Box 32021 SMB, Grand Cayman, BWI  
*State or country in which incorporated* — Cayman Islands

Highland Spectrum Fund, L.P.  
c/o Highland Financial Holdings Group, LLC, 51 Madison Ave., Suite  
2000, New York, NY 10010  
*Partnership* — Highland Financial Holdings, LLC

Highland Spectrum Fund, Ltd.  
c/o Admiral Administration, Ltd., Admiral Financial Center, 5th Fl.,  
P.O. Box 32021 SMB, 90 Fort St., Grand Cayman, BWI  
*State or country in which incorporated* — Cayman Islands

HSBC Global Emerging Markets Equity Freestyle Fund  
452 Fifth Ave., New York, NY 10018  
*State or country in which incorporated* — Delaware

Hudson Waterside LLC  
630 Fifth Ave., 28th Fl., New York, NY 10111

IBG Trading, LLC  
1691 Michigan Ave., Suite 240, Miami, FL 33139  
*State or country in which incorporated* — Florida

Lazard Freres & Co. LLC  
30 Rockefeller Plaza, 50th Fl., New York, NY 10020

Lesser-Goldsmith Enterprises, Ltd.  
Four Market St., South Burlington, VT 05403  
*State or country in which incorporated* — Vermont

Lyrical-Antheus Realty Partners II, L.P.  
405 Park Ave., 6th Fl., New York, NY 10022  
*State or country in which incorporated* — Delaware

Magellan Minerals Ltd.  
#575-1111 W. Hastings St., Vancouver, British Columbia, V6E 2J3  
*State or country in which incorporated* — Canada

Meridian Horizon Fund II, L.P.  
c/o Meridian Capital Partners, Inc., 20 Corporate Woods Blvd., 4th Fl.,  
Albany, NY 12211  
*State or country in which incorporated* — Delaware

Mountain Lake Partners, L.P.  
c/o Katahdin Group, LLC, 540 Madison Ave., Suite 30A, New York,  
NY 10022  
*Partnership* — Katahdin Group, LLC

MUUS Horizon Fund  
228 Saugatuck Ave., Suite 103, Westport, CT 06880  
*Partnership* — MUUS Horizon

Nelson Energy Programs, Inc.  
2220 MacNaughton Lane, Lake Stevens, WA 98258  
*State or country in which incorporated* — Washington

Originate Growth Fund #1A, L.P.  
c/o Originate Ventures LLC, 205 Webster St., Bethlehem, PA 18015  
*Partnership* — Originate Ventures LLC

Originate Growth Fund #1Q, L.P.  
c/o Originate Ventures LLC, 205 Webster St., Bethlehem, PA 18015  
*Partnership* — Originate Ventures LLC

PFPC Distributors, Inc.  
760 Moore Rd., King of Prussia, PA 19406  
*State or country in which incorporated* — Massachusetts

Pharmos Corporation  
99 Wood Ave. S, Suite 311, Iselin, NJ 08830  
*State or country in which incorporated* — Nevada

Poal FH Partners, LLC  
1725 Avenue M, Brooklyn, NY 11230  
*State or country in which incorporated* — Delaware

Praetorian Institutional LLC  
c/o Praetorian Capital Management LLC, 119 Washington Ave., Suite  
600, Miami Beach, FL 33139  
*Partnership* — Praetorian GP LLC

Principal Investors Fund, Inc.—California Insured Intermediate  
Municipal Fund  
The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland

Principal Investors Fund, Inc.—California Municipal Bond Fund  
The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland

Principal Investors Fund, Inc.—Equity Income Fund I  
The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland

Principal Investors Fund, Inc.—High Yield Fund II  
The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland

Principal Investors Fund, Inc.—Income Fund  
The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland

Principal Investors Fund, Inc.—MidCap Stock Fund  
The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland

Principal Investors Fund, Inc.—Mortgage Securities Fund  
The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland

Principal Investors Fund, Inc.—Short-Term Income Fund  
The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland

Principal Investors Fund, Inc.—Strategic Asset Management Balanced  
Portfolio  
The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland

Principal Investors Fund, Inc.—Strategic Asset Management Conserva-  
tive Balanced Portfolio  
The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland

Principal Investors Fund, Inc.—Strategic Asset Management Conservative Growth Portfolio  
The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland

Principal Investors Fund, Inc.—Strategic Asset Management Flexible Income Portfolio  
The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland

Principal Investors Fund, Inc.—Strategic Asset Management Strategic Growth Portfolio  
The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland

Principal Investors Fund, Inc.—Tax-Exemption Bond Fund I  
The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland

Principal Investors Fund, Inc.—West Coast Equity Fund  
The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland

Rangeley Capital Partners, LP  
c/o Rangeley Capital GP, LLC, 595 Madison Ave., 17th Fl., New York, NY 10022  
*Partnership* — Rangeley Capital GP, LLC

Spartan Capital Partners, LP  
Woolworth Bldg., 17th Fl., 233 Broadway, New York, NY 10279  
*Partnership* — Spartan Asset Management, LLC

Steelhead Pathfinder Fund, Ltd.  
c/o M&C Corporate Services Limited, P.O. Box 309GT, Uglan House, S. Church St., George Town, Grand Cayman, Cayman Islands

Topaz Fund, L.P.  
1111 Kane Concourse, Suite 404, Bay Harbor Islands, FL 33154  
*Partnership* — Crystal Advisors, L.L.C.

United American Healthcare Corporation  
300 River Place, Suite 4950, Detroit, MI 48207  
*State or country in which incorporated* — Michigan

VRCities, Inc.  
P.O. Box 2224, Niagara Falls, NY 14302  
*State or country in which incorporated* — New York

Westfield Development Partners V, LLLP  
717 17 St., Suite 2000, Denver, CO 80202  
*Partnership* — Westfield Capital Partners V, LLC

**FURTHER STATE NOTICES**

Published pursuant to provisions of General Business Law  
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY  
DEALERS; BROKERS;  
SYNDICATE MANAGERS

300 Seventh Street NY Member LLC  
230 Park Ave., Suite 1831, New York, NY 10169  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Membership interests  
*Issuer of security(ies)* — 300 Seventh Street NY Member LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Accentia Biopharmaceuticals, Inc.  
324 S. Hyde Ave., Suite 350, Tampa, FL 33606  
*State or country in which incorporated* — Florida  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Accentia Biopharmaceuticals, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Florida

Alliance Affiliated Equities Corporation  
One Ward Pkwy., Suite 345, Kansas City, MO 64112  
*State or country in which incorporated* — Texas  
*Security(ies)* — Partnership interests  
*Issuer of security(ies)* — Texas Keystone 2006 Natural Gas Limited Partnership III  
*Address of issuer* — 560 Epsilon Dr., Pittsburgh, PA 15238  
*State or country in which organized* — Pennsylvania

Allianz Global Investors Distributors, LLC  
2187 Atlantic St., 7th Fl., Stamford, CT 06902  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Shares of common stock  
*Issuer of security(ies)* — Allianz RCM Global EcoTrends Fund  
*Address of issuer* — Allianz Global Investors Fund Management LLC, 1345 Avenue of the Americas, New York, NY 10105  
*State or country in which organized* — Massachusetts

Axial Capital Institutional, LP  
101 Park Ave., 47th Fl., New York, NY 10178  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Axial Capital Institutional, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Babson Capital Securities Inc.  
One Memorial Dr., Cambridge, MA 02142  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — The MassMutual Premier Funds—MassMutual Premier Focused International Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Massachusetts

Behringer Harvard Holdings, LLC.  
15601 Dallas Pkwy., Suite 600, Addison, TX 75001  
*State or country in which incorporated* — Delaware limited liability company  
*Security(ies)* — Deferred compensation obligations  
*Issuer of security(ies)* — Behringer Harvard Holdings, LLC.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Biogy, Inc.  
3450 Pierce St., San Francisco, CA 94123  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Common stock

*Issuer of security(ies)* — Biogy, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Brigade Leveraged Capital Structures Fund LP  
 c/o Brigade Capital GP, LLC, 717 Fifth Ave., Suite 1301, New York, NY 10022  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Brigade Leveraged Capital Structures Fund LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Brigade Leveraged Capital Structures Offshore Ltd.  
 c/o Ogier Fiduciary Services (Cayman) Limited, P.O. Box 1234, Queensgate House, S. Church St., George Town, Grand Cayman KY1-1108, Cayman Islands  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Common shares, par value \$0.01 (U.S.) per share  
*Issuer of security(ies)* — Brigade Leveraged Capital Structures Offshore Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Buy Side, Inc.; (2) Northland Security, Inc.  
 205 N. Michigan Ave., Suite 4400, Chicago, IL 60601; (2) 45 S. 7th St., Suite 2500, Minneapolis, MN 55402  
*State or country in which incorporated* — (1) Delaware; (2) Minnesota  
*Security(ies)* — Series A senior convertible preferred  
*Issuer of security(ies)* — Buy Side, Inc.  
*Address of issuer* — 205 N. Michigan Ave., Suite 440, Chicago, IL 60601  
*State or country in which organized* — Delaware

Cabot Oak Grove Acquisition LLC  
 100 Summer St., 23rd Fl., Boston, MA 02110  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Tenancy in common interest in real estate  
*Issuer of security(ies)* — Cabot Oak Grove Acquisition LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Caip Global Partners, LP.  
 c/o Calp Capital, LLC, 137 Rowayton Ave., Suite 200, Rowayton, CT 06853  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Caip Global Partners, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Caip Global Partners, Ltd.  
 c/o Ogier Fiduciary Services (Cayman) Limited, Queensgate House, S. Church St., P.O. Box 1234, Grand Cayman KY1-1108, Cayman Islands  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Common shares, par value \$0.01 (U.S.) per share  
*Issuer of security(ies)* — Caip Global Partners, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Chardan China Investments, LLC  
 625 Broadway, Suite 1111, San Diego, CA 92101  
*State or country in which incorporated* — Delaware

*Security(ies)* — Offering of limited liability interests  
*Issuer of security(ies)* — Chardan China Investments, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Citigroup Global Markets Inc.  
 388 Greenwich St., New York, NY 10013  
*State or country in which incorporated* — New York  
*Security(ies)* — Units of fractional undivided interest  
*Issuer of security(ies)* — Equity Focus Trusts—Strategic 10 Portfolio, 2007 Series D  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Citigroup Global Markets Inc.  
 388 Greenwich St., New York, NY 10013  
*State or country in which incorporated* — New York  
*Security(ies)* — Units of fractional undivided interest  
*Issuer of security(ies)* — Equity Focus Trusts—Strategic S&P Industrial Portfolio, 2007 Series D  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Citigroup Global Markets Inc.  
 388 Greenwich St., New York, NY 10013  
*State or country in which incorporated* — New York  
*Security(ies)* — Units of fractional undivided interest  
*Issuer of security(ies)* — Equity Focus Trusts—S.T.A.R.T. 2007 Series D  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Citigroup Mortgage Loan Trust Inc.  
 390 Greenwich St., New York, NY 10013  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Citigroup Mortgage Loan Trust 2006-AR9, Mortgage Pass-Through Certificates, Series 2006-AR9  
*Issuer of security(ies)* — Citigroup Mortgage Loan Trust Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Clay Capital Fund, LP  
 37 Maple St., 2nd Fl., Summit, NJ 07901  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Clay Capital Fund, LP  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Claymore Securities, Inc.  
 2455 Corporate W. Dr., Lisle, IL 60532  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 10,000,000 units of undivided interest  
*Issuer of security(ies)* — Closed-End Covered Call and Income Portfolio (2-year), Series 5  
*Address of issuer* — Same as above  
*State or country in which organized* — Kansas

Claymore Securities, Inc.  
 2455 Corporate W. Dr., Lisle, IL 60532  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 10,000,000 units of undivided interest  
*Issuer of security(ies)* — Closed-End Covered Preferred and Income Portfolio, Series 6

*Address of issuer* — Same as above  
*State or country in which organized* — Kansas

Claymore Securities, Inc.  
 2455 Corporate W. Dr., Lisle, IL 60532  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 10,000,000 units of undivided interest  
*Issuer of security(ies)* — Delta Global Canadian Energy Trust, Series 6  
*Address of issuer* — Same as above  
*State or country in which organized* — Kansas

Claymore Securities, Inc.  
 2455 Corporate W. Dr., Lisle, IL 60532  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 10,000,000 units of undivided interest  
*Issuer of security(ies)* — Strategic Equity Allocation Trust, Series 10  
*Address of issuer* — Same as above  
*State or country in which organized* — Kansas

Covega Corporation  
 10335 Guilford Rd., Jessup, MD 20794  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Series A convertible preferred stock  
*Issuer of security(ies)* — Covega Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Dermage, Inc.  
 225 E. 14th St., #1C, New York, NY 10003  
*State or country in which incorporated* — North Carolina  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Dermage, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — North Carolina

Dermage, Inc.  
 225 E. 14th St., #1C, New York, NY 10003  
*State or country in which incorporated* — North Carolina  
*Security(ies)* — Options for common stock  
*Issuer of security(ies)* — Dermage, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — North Carolina

Dermage, Inc.  
 225 E. 14th St., #1C, New York, NY 10003  
*State or country in which incorporated* — North Carolina  
*Security(ies)* — Preferred stock  
*Issuer of security(ies)* — Dermage, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — North Carolina

Derycz Scientific, Inc.  
 10990 Wilshire Blvd., Suite 1410, Los Angeles, CA 90024  
*State or country in which incorporated* — Nevada  
*Security(ies)* — Units consisting of common stock and warrants  
*Issuer of security(ies)* — Derycz Scientific, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Nevada

Deutsche Bank Securities Inc.; (2) J.P. Morgan Securities Inc.; (3) Lehman Brothers Inc.; (4) Morgan Stanley & Co. Incorporated  
 60 Wall St., New York, NY 10005; (2) 270 Park Ave., New York, NY

10017; (3) 745 7th Ave., New York, NY 10019; (4) 1585 Broadway, New York, NY 10036

*State or country in which incorporated* — (1)-(4) Delaware  
*Security(ies)* — \_\_\_% fixed rate subordinated notes due 2015  
*Issuer of security(ies)* — Hellas Telecommunications II  
*Address of issuer* — 8-10, rue Mathias Hardt L-1717 Luxembourg  
*State or country in which organized* — Luxembourg

Deutsche Bank Securities Inc.; (2) J.P. Morgan Securities Inc.; (3) Lehman Brothers Inc.; (4) Morgan Stanley & Co. Incorporated  
 60 Wall St., New York, NY 10005; (2) 270 Park Ave., New York, NY 10017; (3) 745 7th Ave., New York, NY 10019; (4) 1585 Broadway, New York, NY 10036  
*State or country in which incorporated* — (1)-(4) Delaware  
*Security(ies)* — Floating rate senior PIK notes due 2015  
*Issuer of security(ies)* — Hellas Telecommunications II  
*Address of issuer* — 8-10, rue Mathias Hardt L-1717 Luxembourg  
*State or country in which organized* — Luxembourg

Deutsche Bank Securities Inc.; (2) J.P. Morgan Securities Inc.; (3) Lehman Brothers Inc.; (4) Morgan Stanley & Co. Incorporated  
 60 Wall St., New York, NY 10005; (2) 270 Park Ave., New York, NY 10017; (3) 745 7th Ave., New York, NY 10019; (4) 1585 Broadway, New York, NY 10036  
*State or country in which incorporated* — (1)-(4) Delaware  
*Security(ies)* — Floating rate subordinated notes due 2015  
*Issuer of security(ies)* — Hellas Telecommunications II  
*Address of issuer* — 8-10, rue Mathias Hardt L-1717 Luxembourg  
*State or country in which organized* — Luxembourg

Deutsche Bank Securities Inc.; (2) J.P. Morgan Securities Inc.; (3) Lehman Brothers Inc.; (4) Morgan Stanley & Co. Incorporated  
 60 Wall St., New York, NY 10005; (2) 270 Park Ave., New York, NY 10017; (3) 745 7th Ave., New York, NY 10019; (4) 1585 Broadway, New York, NY 10036  
*State or country in which incorporated* — (1)-(4) Delaware  
*Security(ies)* — Senior secured floating rate notes due 2012  
*Issuer of security(ies)* — Hellas Telecommunications II  
*Address of issuer* — 8-10, rue Mathias Hardt L-1717 Luxembourg  
*State or country in which organized* — Luxembourg

Education Station, LLC  
 650 NE. Holladay St., Suite 1400, Portland, OR 97232  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Awards pursuant to the company's nonqualified deferred compensation plan  
*Issuer of security(ies)* — Education Station, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Enigmatec Corporation Limited  
 25 Bucklersbury, 4th Fl., London, EC4N 8DA, United Kingdom  
*State or country in which incorporated* — United Kingdom  
*Security(ies)* — B ordinary shares  
*Issuer of security(ies)* — Enigmatec Corporation Limited  
*Address of issuer* — Same as above  
*State or country in which organized* — United Kingdom

Federated Securities Corp.  
 1001 Liberty Ave., Pittsburgh, PA 15222-3779  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — Federated MDT Series—Federated MDT All

## Cap Core Fund

*Address of issuer* — 5800 Corporate Dr., Pittsburgh, PA 15237-7000

*State or country in which organized* — Massachusetts

## Federated Securities Corp.

1001 Liberty Ave., Pittsburgh, PA 15222-3779

*State or country in which incorporated* — Massachusetts

*Security(ies)* — Shares of beneficial interest

*Issuer of security(ies)* — Federated MDT Series—Federated MDT Balanced Fund

*Address of issuer* — 5800 Corporate Dr., Pittsburgh, PA 15237-7000

*State or country in which organized* — Massachusetts

## Federated Securities Corp.

1001 Liberty Ave., Pittsburgh, PA 15222-3779

*State or country in which incorporated* — Massachusetts

*Security(ies)* — Shares of beneficial interest

*Issuer of security(ies)* — Federated MDT Series—Federated MDT Large Cap Growth Fund

*Address of issuer* — 5800 Corporate Dr., Pittsburgh, PA 15237-7000

*State or country in which organized* — Massachusetts

## Federated Securities Corp.

1001 Liberty Ave., Pittsburgh, PA 15222-3779

*State or country in which incorporated* — Massachusetts

*Security(ies)* — Shares of beneficial interest

*Issuer of security(ies)* — Federated MDT Series—Federated MDT Mid Cap Growth Fund

*Address of issuer* — 5800 Corporate Dr., Pittsburgh, PA 15237-7000

*State or country in which organized* — Massachusetts

## Federated Securities Corp.

1001 Liberty Ave., Pittsburgh, PA 15222-3779

*State or country in which incorporated* — Massachusetts

*Security(ies)* — Shares of beneficial interest

*Issuer of security(ies)* — Federated MDT Series—Federated MDT Small Cap Core Fund

*Address of issuer* — 5800 Corporate Dr., Pittsburgh, PA 15237-7000

*State or country in which organized* — Massachusetts

## Federated Securities Corp.

1001 Liberty Ave., Pittsburgh, PA 15222-3779

*State or country in which incorporated* — Massachusetts

*Security(ies)* — Shares of beneficial interest

*Issuer of security(ies)* — Federated MDT Series—Federated MDT Small Cap Growth Fund

*Address of issuer* — 5800 Corporate Dr., Pittsburgh, PA 15237-7000

*State or country in which organized* — Massachusetts

## Federated Securities Corp.

1001 Liberty Ave., Pittsburgh, PA 15222-3779

*State or country in which incorporated* — Massachusetts

*Security(ies)* — Shares of beneficial interest

*Issuer of security(ies)* — Federated MDT Series—Federated MDT Small Cap Value Fund

*Address of issuer* — 5800 Corporate Dr., Pittsburgh, PA 15237-7000

*State or country in which organized* — Massachusetts

## Federated Securities Corp.

1001 Liberty Ave., Pittsburgh, PA 15222-3779

*State or country in which incorporated* — Massachusetts

*Security(ies)* — Shares of beneficial interest

*Issuer of security(ies)* — Federated MDT Series—Federated MDT Tax

## Aware/All Cap Core Fund

*Address of issuer* — 5800 Corporate Dr., Pittsburgh, PA 15237-7000

*State or country in which organized* — Massachusetts

## Federated Securities Corporation

Federated Investors Tower, 1001 Liberty Ave., Pittsburgh, PA 15222-3779

*State or country in which incorporated* — Pennsylvania

*Security(ies)* — Shares of beneficial interest, no par value

*Issuer of security(ies)* — Federated Income Securities Trust—Federated Stock and California Muni Fund

*Address of issuer* — 5800 Corporate Dr., Pittsburgh, PA 15237-7000

*State or country in which organized* — Massachusetts

## FILP Capital Reserves Limited Partnership

82 Devonshire St., Boston, MA 02109

*Security(ies)* — Limited partnership units

*Issuer of security(ies)* — FILP Capital Reserves Limited Partnership

*Address of issuer* — Same as above

*State or country in which organized* — Delaware

## First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

*Security(ies)* — 10,000 units of undivided interest

*Issuer of security(ies)* — FT 1292 (ETF Equity Allocation Portfolio, 1st Quarter 2007 Series)

*Address of issuer* — Same as above

*State or country in which organized* — Illinois

## First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

*Security(ies)* — 10,000 units of undivided interest

*Issuer of security(ies)* — FT 1293 (ETF Growth and Income Portfolio, 1st Quarter 2007 Series)

*Address of issuer* — Same as above

*State or country in which organized* — Illinois

## First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

*Security(ies)* — 10,000 units of undivided interest

*Issuer of security(ies)* — FT 1306 (S&P 500 Protected Portfolio<sup>SM</sup>, December 2006 Series)

*Address of issuer* — Same as above

*State or country in which organized* — Illinois

## First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

*Security(ies)* — 10,000 units of undivided interest

*Issuer of security(ies)* — FT 1311 (Tax-Advantaged Dividend Income Portfolio, Series 4)

*Address of issuer* — Same as above

*State or country in which organized* — Illinois

## First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

*Security(ies)* — 10,000 units of undivided interest

*Issuer of security(ies)* — FT 1313 (Balanced Income Select Portfolio Series)

*Address of issuer* — Same as above

*State or country in which organized* — Illinois

## First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — FT 1314 (Retail Portfolio, Series 10)  
*Address of issuer* — Same as above  
*State or country in which organized* — Illinois

First United Ethanol, LLC  
 Two W. Broad St., Camilla, GA 31730  
*State or country in which incorporated* — Georgia  
*Security(ies)* — Limited liability company membership units  
*Issuer of security(ies)* — First United Ethanol, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Georgia

Fittipaldi Logistics, Inc.  
 903 Clint Moore Rd., Boca Raton, FL 33487  
*State or country in which incorporated* — Nevada  
*Security(ies)* — Series F convertible preferred stock  
*Issuer of security(ies)* — Fittipaldi Logistics, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Nevada

Fixed Income Securities, L.P.  
 18925 Base Camp Rd., Suite 203, Monument, CO 80132-3415  
*Security(ies)* — 10,000 units of undivided interest  
*Issuer of security(ies)* — Advisor's Disciplined Trust 125 (High 50 Dividend Strategy Portfolio, Series 15)  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

FMR Corp.  
 82 Devonshire St., Boston, MA 02109  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Performance options-equity  
*Issuer of security(ies)* — FMR Corp.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Gibraltar Maunakea S, LLC  
 509 E. Montecito St., 2nd Fl., Santa Barbara, CA 93103  
*Security(ies)* — Tenant in common interests  
*Issuer of security(ies)* — Gibraltar Maunakea S, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Goldman, Sachs & Co.; (2) BNY Capital Markets, Inc.; (3) KeyBanc Capital Markets; (4) A.G. Edwards & Sons, Inc.; (5) Banc of America Securities LLC  
 85 Broad St., New York, NY 10004; (2) One Wall St., New York, NY 10286; (3) 800 Superior Ave., Cleveland, OH 44114; (4) One N. Jefferson Ave., St. Louis, MO 63103; (5) Nine W. 57th St., New York, NY 10019  
*State or country in which incorporated* — (1) New York partnership; (2) New York; (3) Ohio; (4) Delaware; (5) Delaware limited liability company  
*Security(ies)* — First mortgage bonds, 5.70% series due 2037  
*Issuer of security(ies)* — Avista Corporation  
*Address of issuer* — 1411 E. Mission Ave., Spokane, WA 99202  
*State or country in which organized* — Washington

Goldman, Sachs & Co.; (2) Morgan Stanley & Co. Incorporated  
 85 Broad St., New York, NY 10004; (2) 1585 Broadway, NY 10036  
*State or country in which incorporated* — (1) New York partnership; (2) Delaware

*Security(ies)* — \_\_\_% senior notes due 2013  
*Issuer of security(ies)* — ESCO Corporation  
*Address of issuer* — 1650 NW. Naito Pkwy., Suite 200, Portland, OR 97209  
*State or country in which organized* — Delaware

Goldman, Sachs & Co.; (2) Morgan Stanley & Co. Incorporated  
 85 Broad St., New York, NY 10004; (2) 1585 Broadway, NY 10036  
*State or country in which incorporated* — (1) New York partnership; (2) Delaware  
*Security(ies)* — Senior floating rate notes due 2013  
*Issuer of security(ies)* — ESCO Corporation  
*Address of issuer* — 1650 NW. Naito Pkwy., Suite 200, Portland, OR 97209  
*State or country in which organized* — Delaware

Griffiths McBurney Corp.  
 c/o GMP Securities L.P., 145 King St. W, Suite 300, Toronto, Ontario, M5H 1J8  
*State or country in which incorporated* — Canada  
*Security(ies)* — Common stock, no par value  
*Issuer of security(ies)* — U.S. Gold Corporation  
*Address of issuer* — 2201 Kipling St., Suite 100, Lakewood, CO 80215-1545  
*State or country in which organized* — Colorado

Griffiths McBurney Corp.  
 c/o GMP Securities L.P., 145 King St. W, Suite 300, Toronto, Ontario, M5H 1J8  
*State or country in which incorporated* — Canada  
*Security(ies)* — Exchangeable shares  
*Issuer of security(ies)* — US Gold Canadian Acquisition Corporation  
*Address of issuer* — 2201 Kipling St., Suite 100, Lakewood, CO 80215-1545  
*State or country in which organized* — Alberta, Canada

Griffiths McBurney Corp.  
 c/o GMP Securities L.P., 145 King St. W, Suite 300, Toronto, Ontario, M5H 1J8  
*State or country in which incorporated* — Canada  
*Security(ies)* — Options to purchase common stock  
*Issuer of security(ies)* — U.S. Gold Corporation  
*Address of issuer* — 2201 Kipling St., Suite 100, Lakewood, CO 80215-1545  
*State or country in which organized* — Colorado

Griffiths McBurney Corp.  
 c/o GMP Securities L.P., 145 King St. W, Suite 300, Toronto, Ontario, M5H 1J8  
*State or country in which incorporated* — Canada  
*Security(ies)* — Warrants to purchase common stock  
*Issuer of security(ies)* — U.S. Gold Corporation  
*Address of issuer* — 2201 Kipling St., Suite 100, Lakewood, CO 80215-1545  
*State or country in which organized* — Colorado

Hartford Mutual Funds, Inc., The—Hartford LargeCap Growth Fund, The  
 200 Hopmeadow St., Simsbury, CT 06089  
*Security(ies)* — Shares of common stock  
*Issuer of security(ies)* — The Hartford Mutual Funds, Inc.—The Hartford LargeCap Growth Fund  
*Address of issuer* — 200 Hopmeadow St., Simsbury, CT 06089  
*State or country in which organized* — Maryland

Highland Opportunity Fund, Ltd.  
 c/o Admiral Administration, Ltd., Admiral Financial Center, 5th Fl., 90  
 Fort St., P.O. Box 32021 SMB, Grand Cayman, BWI  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Common shares  
*Issuer of security(ies)* — Highland Opportunity Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Highland Opportunity Fund, L.P.  
 c/o Highland Financial Holdings Group, LLC, 51 Madison Ave., Suite  
 2000, New York, NY 10010  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Highland Opportunity Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Highland Special Opportunity Fund, L.P.  
 c/o Highland Financial Holdings Group, LLC, 51 Madison Ave., Suite  
 2000, New York, NY 10010  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Highland Special Opportunity Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Highland Special Opportunity Fund, Ltd.  
 c/o Admiral Administration, Ltd., Admiral Financial Center, 5th Fl., 90  
 Fort St., P.O. Box 32021 SMB, Grand Cayman, BWI  
*Security(ies)* — Participating shares  
*Issuer of security(ies)* — Highland Special Opportunity Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Highland Spectrum Fund, L.P.  
 c/o Highland Financial Holdings Group, LLC, 51 Madison Ave., Suite  
 2000, New York, NY 10010  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Highland Spectrum Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Highland Spectrum Fund, Ltd.  
 c/o Admiral Administration, Ltd., Admiral Financial Center, 5th Fl., 90  
 Fort St., P.O. Box 32021 SMB, Grand Cayman, BWI  
*State or country in which incorporated* — Cayman Islands  
*Security(ies)* — Participating shares  
*Issuer of security(ies)* — Highland Spectrum Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

HSBC Global Emerging Markets Equity Freestyle Fund  
 452 Fifth Ave., New York, NY 10018  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Limited liability company  
*Issuer of security(ies)* — HSBC Global Emerging Markets Equity Fund,  
 LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Hudson Housing Securities LLC  
 630 Fifth Ave., 28th Fl., New York, NY 10111  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — Hudson Waterside LLC

*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Hudson Waterside LLC  
 630 Fifth Ave., 28th Fl., New York, NY 10111  
*Security(ies)* — Limited liability company interests  
*Issuer of security(ies)* — Hudson Waterside LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

J.P. Morgan Securities, Inc.; (2) Merrill Lynch, Pierce, Fenner & Smith  
 277 Park Ave., New York, NY 10172; (2) Four World Financial Center,  
 New York, NY 10080  
*State or country in which incorporated* — (1)-(2) Delaware  
*Security(ies)* — 3.75% senior convertible notes due 2013  
*Issuer of security(ies)* — MannKind Corporation  
*Address of issuer* — 28903 N. Ave. Paine, Valencia, CA 91355  
*State or country in which organized* — Delaware

J.P. Morgan Securities, Inc.; (2) Merrill Lynch, Pierce, Fenner & Smith  
 277 Park Ave., New York, NY 10172; (2) Four World Financial Center,  
 New York, NY 10080  
*State or country in which incorporated* — (1)-(2) Delaware  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — MannKind Corporation  
*Address of issuer* — 28903 N. Ave. Paine, Valencia, CA 91355  
*State or country in which organized* — Delaware

Lazard Freres & Co. LLC, an affiliate of Lazard & Co., Limited  
 30 Rockefeller Plaza, 50th Fl., New York, NY 10020  
*Security(ies)* — Limited partnership interest  
*Issuer of security(ies)* — Saw Mill Capital Partners, LP  
*Address of issuer* — 555 Pleasantville Rd., South Bldg., Suite 220,  
 Briarcliff Manor, NY 10510  
*State or country in which organized* — Delaware

Lesser-Goldsmith Enterprises, Ltd.  
 Four Market St., South Burlington, VT 05403  
*Security(ies)* — Subordinated promissory notes  
*Issuer of security(ies)* — Lesser-Goldsmith Enterprises, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Vermont

Lyrical-Antheus Realty Partners II, L.P.  
 405 Park Ave., 6th Fl., New York, NY 10022  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Limited partnership interest  
*Issuer of security(ies)* — Lyrical-Antheus Realty Partners II, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Magellan Minerals Ltd.  
 #575 - 1111 W. Hastings St., Vancouver, British Columbia, V6E 2J3  
*State or country in which incorporated* — Canada  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — Magellan Minerals Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Canada

Medical Provider Financial Corporation IV  
 2100 S. State College Blvd., Anaheim, CA 92806  
*State or country in which incorporated* — Nevada  
*Security(ies)* — Redeemable secured notes

*Issuer of security(ies)* — Medical Provider Financial Corporation IV  
*Address of issuer* — 3770 Howard Hughes Pkwy., Suite 301, La Vegas, NV 89109  
*State or country in which organized* — Nevada

Meridian Capital Partners, LLC  
 1266 Furnace Brook Pkwy., Quincy, MA 02169  
*Security(ies)* — Tenant in common interests  
*Issuer of security(ies)* — Lakeside San Antonio TIC, LLC  
*Address of issuer* — c/o Eliason, Inc., P.O. Box 548, Hwy. 155, St. Germain, WI 54558-0219  
*State or country in which organized* — Texas

Meridian Horizon Fund II, L.P.  
 c/o Meridian Capital Partners, Inc., 20 Corporate Woods Blvd., 4th Fl., Albany, NY 12211  
*Security(ies)* — Limited partnership interests of Meridian Horizon Fund II, L.P.  
*Issuer of security(ies)* — Meridian Horizon Fund II, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Merrill Lynch, Pierce, Fenner & Smith Incorporated; (2) Credit Suisse Securities (USA) LLC; (3) Needham & Company, LLC; (4) Thomas Weisel Partners, LLC  
 Four World Financial Center, New York, NY 10281; (2) 11 Madison Ave., New York, NY 10010; (3) 445 Park Ave., New York, NY 10022; (4) One Montgomery St., San Francisco, CA 94104  
*State or country in which incorporated* — (1) Delaware; (2)-(4) Delaware limited liability company  
*Security(ies)* — Common stock  
*Issuer of security(ies)* — FEI Company  
*Address of issuer* — 5350 NE. Dawson Creek Dr., Hillsboro, OR 97124-5793  
*State or country in which organized* — Oregon

MML Distributors, LLC  
 1414 Main St., Springfield, MA 01144-1013  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of beneficial interest  
*Issuer of security(ies)* — MassMutual Select Funds—MassMutual Select Mid-Cap Value Fund  
*Address of issuer* — 1295 State St., Springfield, MA 01111  
*State or country in which organized* — Massachusetts

Mountain Lake Partners, L.P.  
 c/o Katahdin Group, LLC, 540 Madison Ave., Suite 30A, New York, NY 10022  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Mountain Lake Partners, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

MUUS Horizon Fund II  
 228 Saugatuck Ave., Suite 103, Westport, CT 06880  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — MUUS Horizon Fund II  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Nelson Energy Programs, Inc.  
 2220 MacNaughton Lane, Lake Stevens, WA 98258  
*State or country in which incorporated* — Washington

*Security(ies)* — Partnership units  
*Issuer of security(ies)* — Nelson Energy Partners 2006-B, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Nevada

ONI Medical Systems Inc.  
 301 Ballardvale St., Suite 4, Wilmington, MA 01887  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Convertible promissory notes  
*Issuer of security(ies)* — ONI Medical Systems Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Originate Growth Fund #1A, L.P.  
 c/o Originate Ventures LLC, 205 Webster St., Bethlehem, PA 18015  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Originate Growth Fund #1A, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Originate Growth Fund #1Q, L.P.  
 c/o Originate Ventures LLC, 205 Webster St., Bethlehem, PA 18015  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Originate Growth Fund #1Q, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Pelion Financial Group, Inc.  
 650 Fifth Ave., 6th Fl., New York, NY 10022  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Senior convertible notes due 2007  
*Issuer of security(ies)* — Pelion Financial Group, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

PFPC Distributors, Inc.  
 760 Moore Rd., King of Prussia, PA 19406  
*State or country in which incorporated* — Massachusetts  
*Security(ies)* — Shares of common stock  
*Issuer of security(ies)* — Highland Funds I—Highland Equity Opportunities Fund  
*Address of issuer* — Highland Capital Management, L.P., Two Galleria Tower, 13455 Noel Rd., Suite 1300, Dallas, TX 75240  
*State or country in which organized* — Delaware

Pharmos Corporation  
 99 Wood Ave. S, Suite 311, Iselin, NJ 08830  
*State or country in which incorporated* — Nevada  
*Security(ies)* — Common stock, par value, \$.03 per share  
*Issuer of security(ies)* — Pharmos Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Nevada

Poal FH Partners, LLC  
 1725 Ave. M, Brooklyn, NY 11230  
*State or country in which incorporated* — Delaware  
*Security(ies)* — Limited liability company membership interests  
*Issuer of security(ies)* — Poal FH Partners, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Pond Equities Inc.  
 4522 Fort Hamilton Pkwy., Brooklyn, NY 11219

*State or country in which incorporated* — New York  
*Security(ies)* — Promissory notes  
*Issuer of security(ies)* — Amedia Networks, Inc.  
*Address of issuer* — Two Corbett Way, Eatontown, NJ 07724  
*State or country in which organized* — Delaware

Praetorian Institutional LLC  
 c/o Praetorian Capital Management LLC, 119 Washington Ave., Suite 600, Miami Beach, FL 33139  
*Security(ies)* — Company membership interests  
*Issuer of security(ies)* — Praetorian Institutional LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Principal Investors Fund, Inc.—Bond & Mortgage Securities Fund  
 The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Common stock - class C  
*Issuer of security(ies)* — Principal Investors Fund, Inc.—Bond & Mortgage Securities Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Iowa

Principal Investors Fund, Inc.—California Insured Intermediate Municipal Fund  
 The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Common stock - class A  
*Issuer of security(ies)* — Principal Investors Fund, Inc.—California Insured Intermediate Municipal Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Iowa

Principal Investors Fund, Inc.—California Insured Intermediate Municipal Fund  
 The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Common stock - class B  
*Issuer of security(ies)* — Principal Investors Fund, Inc.—California Insured Intermediate Municipal Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Iowa

Principal Investors Fund, Inc.—California Insured Intermediate Municipal Fund  
 The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Common stock - class C  
*Issuer of security(ies)* — Principal Investors Fund, Inc.—California Insured Intermediate Municipal Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Iowa

Principal Investors Fund, Inc.—California Municipal Bond Fund  
 The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Common stock - class A  
*Issuer of security(ies)* — Principal Investors Fund, Inc.—California Municipal Bond Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Iowa

Principal Investors Fund, Inc.—California Municipal Bond Fund  
 The Principal Financial Group, Des Moines, IA 50392-0200

*State or country in which incorporated* — Maryland  
*Security(ies)* — Common stock - class B  
*Issuer of security(ies)* — Principal Investors Fund, Inc.—California Municipal Bond Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Iowa

Principal Investors Fund, Inc.—California Municipal Bond Fund  
 The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Common stock - class C  
*Issuer of security(ies)* — Principal Investors Fund, Inc.—California Municipal Bond Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Iowa

Principal Investors Fund, Inc.—Disciplined LargeCap Blend Fund  
 The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Common stock - class C  
*Issuer of security(ies)* — Principal Investors Fund, Inc.—Disciplined LargeCap Blend Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Iowa

Principal Investors Fund, Inc.—Disciplined LargeCap Blend Fund  
 The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Common stock—institutional class  
*Issuer of security(ies)* — Principal Investors Fund, Inc.—Disciplined LargeCap Blend Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Iowa

Principal Investors Fund, Inc.—Diversified International Fund  
 The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Common stock—class C  
*Issuer of security(ies)* — Principal Investors Fund, Inc.—Diversified International Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Iowa

Principal Investors Fund, Inc.—Diversified International Fund  
 The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Common stock—institutional class  
*Issuer of security(ies)* — Principal Investors Fund, Inc.—Diversified International Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Iowa

Principal Investors Fund, Inc.—Equity Income Fund I  
 The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Common stock—class A  
*Issuer of security(ies)* — Principal Investors Fund, Inc.—Equity Income Fund I  
*Address of issuer* — Same as above  
*State or country in which organized* — Iowa

Principal Investors Fund, Inc.—Equity Income Fund I  
 The Principal Financial Group, Des Moines, IA 50392-0200















*Issuer of security(ies)*—Principal Investors Fund, Inc.—Strategic Asset Management Strategic Growth Portfolio  
*Address of issuer* — Same as above  
*State or country in which organized* — Iowa

Principal Investors Fund, Inc.—Strategic Asset Management Strategic Growth Portfolio  
 The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Common stock—select class  
*Issuer of security(ies)*—Principal Investors Fund, Inc.—Strategic Asset Management Strategic Growth Portfolio  
*Address of issuer* — Same as above  
*State or country in which organized* — Iowa

Principal Investors Fund, Inc.—Tax-Exempt Bond Fund I  
 The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Common stock—class A  
*Issuer of security(ies)* — Principal Investors Fund, Inc.—Tax-Exempt Bond Fund I  
*Address of issuer* — Same as above  
*State or country in which organized* — Iowa

Principal Investors Fund, Inc.—Tax-Exempt Bond Fund I  
 The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Common stock—class B  
*Issuer of security(ies)* — Principal Investors Fund, Inc.—Tax-Exempt Bond Fund I  
*Address of issuer* — Same as above  
*State or country in which organized* — Iowa

Principal Investors Fund, Inc.—Tax-Exempt Bond Fund I  
 The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Common stock—class C  
*Issuer of security(ies)* — Principal Investors Fund, Inc.—Tax-Exempt Bond Fund I  
*Address of issuer* — Same as above  
*State or country in which organized* — Iowa

Principal Investors Fund, Inc.—Ultra Short Bond Fund  
 The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Common stock—class C  
*Issuer of security(ies)* — Principal Investors Fund, Inc.—Ultra Short Bond Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Iowa

Principal Investors Fund, Inc.—West Coast Equity Fund  
 The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Common stock—class A  
*Issuer of security(ies)* — Principal Investors Fund, Inc.—West Coast Equity Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Iowa

Principal Investors Fund, Inc.—West Coast Equity Fund  
 The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland

*Security(ies)* — Common stock—class B  
*Issuer of security(ies)* — Principal Investors Fund, Inc.—West Coast Equity Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Iowa

Principal Investors Fund, Inc.—West Coast Equity Fund  
 The Principal Financial Group, Des Moines, IA 50392-0200  
*State or country in which incorporated* — Maryland  
*Security(ies)* — Common stock—class C  
*Issuer of security(ies)* — Principal Investors Fund, Inc.—West Coast Equity Fund  
*Address of issuer* — Same as above  
*State or country in which organized* — Iowa

Rangeley Capital Partners, LP  
 c/o Rangeley Capital GP, LLC, 595 Madison Ave., 17th Fl., New York, NY 10022  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Rangeley Capital GP, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

SAGE Electrochromics, Inc.  
 One Sage Way, Faribault, MN 55021  
*State or country in which incorporated* — New Jersey  
*Security(ies)* — 8% convertible promissory notes  
*Issuer of security(ies)* — SAGE Electrochromics, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — New Jersey

Spartan Capital Partners, L.P.  
 Woolworth Bldg., 17th Fl., 233 Broadway, New York, NY 10279  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Spartan Asset Management, LLC  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Steelhead Pathfinder Fund, Ltd.  
 c/o M&C Corporate Services Limited, P.O. Box 309GT, Uglund House, South Church St., George Town, Grand Cayman, Cayman Islands  
*Security(ies)* — Redeemable participating shares  
*Issuer of security(ies)* — Steelhead Pathfinder Fund, Ltd.  
*Address of issuer* — Same as above  
*State or country in which organized* — Cayman Islands

Synacor, Inc.  
 40 La Riviere Dr., Suite 300, Buffalo, NY 14202  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 695,147 shares of common stock  
*Issuer of security(ies)* — Synacor, Inc.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

Topaz Fund, L.P.  
 1111 Kane Concourse, Suite 404, Bay Harbor Islands, FL 33154  
*Security(ies)* — Limited partnership interests  
*Issuer of security(ies)* — Topaz Fund, L.P.  
*Address of issuer* — Same as above  
*State or country in which organized* — Delaware

United American Healthcare Corporation  
 300 River Place, Suite 4950, Detroit, MI 48207

*State or country in which incorporated* — Michigan  
*Security(ies)* — Common shares underlying the warrants  
*Issuer of security(ies)* — United American Healthcare Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Michigan

United American Healthcare Corporation  
 300 River Place, Suite 4950, Detroit, MI 48207  
*State or country in which incorporated* — Michigan  
*Security(ies)* — Units consisting of common stock, no par value, with warrants  
*Issuer of security(ies)* — United American Healthcare Corporation  
*Address of issuer* — Same as above  
*State or country in which organized* — Michigan

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Ardour Global Alternative Energy Portfolio 2007-1  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — BRIC Opportunity Portfolio 2007-1  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — The Dow Jones Total Market Portfolio, Enhanced Index Strategy 2006-4  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Enhanced Sector Strategy, Basic Materials Portfolio 2006-4  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Enhanced Sector Strategy, Consumer Goods Portfolio 2006-4  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest

*Issuer of security(ies)* — Enhanced Sector Strategy, Consumer Services Portfolio 2006-4  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Enhanced Sector Strategy, Energy Portfolio 2006-4  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Enhanced Sector Strategy, Financials Portfolio 2006-4  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Enhanced Sector Strategy, Health Care Portfolio 2006-4  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Enhanced Sector Strategy, Industrials Portfolio 2006-4  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Enhanced Sector Strategy, Sector Rotation Portfolio 2006-4  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest  
*Issuer of security(ies)* — Enhanced Sector Strategy, Telecommunications Portfolio 2006-4  
*Address of issuer* — Same as above  
*State or country in which organized* — New York

Van Kampen Funds Inc.  
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555  
*State or country in which incorporated* — Delaware  
*Security(ies)* — 75,000 units of undivided interest

*Issuer of security(ies)* — Enhanced Sector Strategy, Utilities Portfolio  
2006-4

*Address of issuer* — Same as above

*State or country in which organized* — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

*State or country in which incorporated* — Delaware

*Security(ies)* — 75,000 units of undivided interest

*Issuer of security(ies)* — S&P Japan Enhanced Index Strategy Portfolio  
2007-1

*Address of issuer* — Same as above

*State or country in which organized* — New York

Van Kampen Funds Inc.

One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

*State or country in which incorporated* — Delaware

*Security(ies)* — 75,000 units of undivided interest

*Issuer of security(ies)* — Van Kampen Preferred Securities Portfolio,  
Series 10

*Address of issuer* — Same as above

*State or country in which organized* — New York

VRCities, Inc.

P.O. Box 2224, Niagara Falls, NY 14302

*State or country in which incorporated* — New York

*Security(ies)* — Common stock

*Issuer of security(ies)* — VRCities, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — New York

Westfield Development Partners V, LLLP

717 17th St., Suite 2000, Denver, CO 80202

*Security(ies)* — Limited partnership interests

*Issuer of security(ies)* — Westfield Development Partners V, LLLP

*Address of issuer* — Same as above

*State or country in which organized* — Colorado

White Pacific Securities, Inc.

231 Sansome St., 4th Fl., San Francisco, CA 94104

*State or country in which incorporated* — Nevada

*Security(ies)* — Tenant in common interests

*Issuer of security(ies)* — Forest Oaks Apartments, LLC

*Address of issuer* — c/o Woodlark Capital, LLC, 170 Hamilton Ave.,  
White Plains, NY 10601

*State or country in which organized* — Delaware

Wireless Ronin Technologies, Inc.

14700 Martin Dr., Eden Prairie, MN 55344

*State or country in which incorporated* — Minnesota

*Security(ies)* — Common stock

*Issuer of security(ies)* — Wireless Ronin Technologies, Inc.

*Address of issuer* — Same as above

*State or country in which organized* — Minnesota