

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(2)]

DEALERS; BROKERS

Active Athlete Media, Inc.
P.O. Box 825, Pawling, NY 12564
State or country in which incorporated — Delaware

AeroVironment, Inc.
181 W. Huntington Dr., Suite 202, Monrovia, CA 91016
State or country in which incorporated — Delaware

Airwest Industrial Capital LLC
433 N. Camden Dr., Suite 800, Beverly Hills, CA 90210
State or country in which incorporated — Delaware

Allon Therapeutics Inc.
1168 Hamilton St., Suite 506, Vancouver, B.C., Canada V6B 2S2
State or country in which incorporated — Canada

Alternative Energy Group, Inc.
7590 Fay Ave., Suite 505, LaJolla, CA 92037
State or country in which incorporated — Delaware

Ascio Pharmaceuticals, LLC
9295 Nesbit Lakes Dr., Alpharetta, GA 30022
State or country in which incorporated — Georgia

Aspen Drilling, LLC
2040 W. Hamilton Place, Sheridan, CO 80110
State or country in which incorporated — Colorado

Bandera Offshore Value Fund Ltd.
c/o Meridian Fund Services Limited, P.O. Box HM 528, Hamilton HM
CX, Bermuda
State or country in which incorporated — Cayman Islands

B.C. Ziegler and Company
250 E. Wisconsin Ave., Suite 2000, Milwaukee, WI 53202
State or country in which incorporated — Wisconsin

Blue Ridge Group, Inc.
632 Adams St., Suite 700, Bowling Green, KY 42101
State or country in which incorporated — Nevada

BMO Capital Markets Corp.
Three Times Sq., New York, NY 10036
State or country in which incorporated — Delaware

Brandquest Development Group, Inc.
888 Main St., Suite 1040, New York, NY 10044
Partnership — Frank Labrozzi, Joseph Moscato

Capital Financial Services
N. Main St., #1, Minot, ND 58703

Collect Holdings, Inc.
c/o NCO Group, Inc., 507 Prudential Rd., Horsham, PA 19044
State or country in which incorporated — Delaware

Diversified Energy Group, Inc.
One City Plaza, 801 S. Olive Ave., Suite 223, West Palm Beach, FL
33401
State or country in which incorporated — Delaware

Emerald Partners, L.P.
105 5th Ave., #10C, New York, NY 10003
Partnership — Emerald Partners GP, LLC

Ferrata Capital Management, LP
Gracie Station, P.O. Box 1422, New York, NY 10028
State or country in which incorporated — Delaware

Frontenac IX Private Capital A Limited Partnership
135 S. LaSalle St., Suite 3800, Chicago, IL 60603-4131
Partnership — Frontenac IX Partners, L.P.

Full Metal Minerals Ltd.
1500-409 Granville St., Vancouver, British Columbia, Canada V6C 1T2
State or country in which incorporated — Canada

FuturaGene PLC
1435 Win Hentschel Blvd., Suite 115, West Lafayette, IN 47906
State or country in which incorporated — England and Wales

GC Cedar Grove, LLC
c/o Glenfield Capital, LLC, 3414 Peachtree Rd. NE, Suite 230, Atlanta,
GA 30326
State or country in which incorporated — Georgia limited liability
company

Gemini 449 West 36th Street S, LLC
175 Fifth Ave., Suite 715, New York, NY 10010

Globe Specialty Metals, Inc.
One Penn Plaza, 250 W. 34th St., Suite 2514, New York, NY 10019
State or country in which incorporated — Delaware

Griffin Capital (Puente Hills) Investors, LLC
2121 Rosecrans Ave., Suite 3321, El Segundo, CA 90245
State or country in which incorporated — Delaware

Harbor Light Cash Management, LLC
150 Congress St., Keene, NH 03431-0323
State or country in which incorporated — Delaware

Harborview Capital Fund Ltd.
Harbour House, Waterfront Dr., P.O. Box 972, Road Town, Tortola,
British Virgin Islands
State or country in which incorporated — British Virgin Islands

HP Stony Brook LLC
1030 New Britain Ave., West Hartford, CT 06110

ICON Leasing Fund Twelve, LLC
100 Fifth Ave., Fourth Fl., New York, NY 10011
Partnership — ICON Capital Corp.

I'm In Control
P.O. Box 460340, San Francisco, CA 94146-0340
State or country in which incorporated — California

Insert Therapeutics, Inc.
129 N. Hill St., Suite 104, Pasadena, CA 91106
State or country in which incorporated — Delaware

JFE Productions, LLC
16 Oakbenk Lane, Rochester, NY 14617

Keyhole Group, LLC, The
1518 Avalon Sq., Glen Cove, NY 11542

LEAF Equipment Leasing Income Fund III, L.P.
1818 Market St., 9th Fl., Philadelphia, PA 15103
Partnership — LEAF Asset Management, LLC

Legal Research Center, Inc.
310 4th Ave. S, #1100, Minneapolis, MN 55415
State or country in which incorporated — Minnesota

Lyger Capital Fund L.P.
350 Old Country Rd., Suite 102, Garden City, NY 11530
Partnership — TIGEN Capital LLC

Mascoma Corporation
161 First St., 2nd Fl., Cambridge, MA 02142
State or country in which incorporated — Delaware

Midtown Partners & Co., LLC
257 Park Ave. S, 12th Fl., New York, NY 10010

Molecular Biometrics, LLC
Five Topping Way, Chester, NJ 07930
Partnership — Delaware

MTB Investment Partners, L.P.
237 Park Ave., Suite 900, New York, NY 10017
Partnership — MTB Capital LLC

National Securities Corporation
875 N. Michigan Ave., Suite 1560, Chicago, IL 60611
State or country in which incorporated — Delaware

Panda Ethanol, Inc.
4100 Spring Valley Rd., Suite 1002, Dallas, TX 75244
State or country in which incorporated — Nevada

Paradigm Capital U.S. Inc.
c/o Paradigm Capital Inc., 95 Wellington St. W, #2101, P.O. Box 55,
Toronto, Ontario, Canada M5J 2N7
State or country in which incorporated — New York

Passco Waters Edge S, LP
96 Corporate Park, Suite 200, Irvine, CA 92606
Partnership — Passco Waters Edge S GP, LLC

Paxton Energy, Inc.
2533 N. Carson St., Suite 6232, Carson City, NV 89706
State or country in which incorporated — Nevada

Pragmatek Consulting Group, Ltd.
One Main St. SE, Suite 400, Minneapolis, MN 55414
State or country in which incorporated — Minnesota

Preservation Sciences, Inc.
800 2nd Ave., Suite 390, St. Petersburg, FL 33701
State or country in which incorporated — Florida

Quaneco, L.L.C. - Preferred B Interests
35010 Chardon Rd., Suite 200, Willoughby Hills, OH 44094

Rosemont Select Opportunities II, L.P.
375 Greenwich St., Suite 714, New York, NY 10013
Partnership — Rosemont Capital, L.P.

SAIC, Inc.
10260 Campus Point Dr., San Diego, CA 92121
State or country in which incorporated — Delaware

Schroder Commodity Portfolio
875 Third Ave., 22nd Fl., New York, NY 10022-6225
Partnership — Schroder Investment Management North America Inc.

Schroder International Diversified Value Portfolio
875 Third Ave., 22nd Fl., New York, NY 10022-6225
Partnership — Schroder Investment Management North America Inc.

Schroder Strategic Bond Portfolio
875 Third Ave., 22nd Fl., New York, NY 10022-6225
Partnership — Schroder Investment Management North America Inc.

Shoreside Capital Partners LP
2525 W. End Ave., Suite 940, Nashville, TN 37203
Partnership — Shoreside Capital Advisors LLC

Sim Ops Studios, Inc.
10 Bedford Sq., Suite 300, Pittsburgh, PA 15203
State or country in which incorporated — Pennsylvania

Sokolin LLC
12 Foster Ave., Bridgehampton, NY 11932

Terreco Properties LLC
P.O. Box 779, Wilmington, NC 28480
State or country in which incorporated — North Carolina

Tourneau Acquisition Holdings, Inc.
Three E. 54th St., New York, NY 10022
State or country in which incorporated — Delaware

Tub Media Corp., The
1451 W. Cypress Creek Rd., Ft. Lauderdale, FL 33309
State or country in which incorporated — Delaware

UST Private Fund Solutions, LLC
c/o U.S. Trust Hedge Fund Management, Inc., 225 High Ridge Rd.,
Stamford, CT 06905
Partnership — U.S. Trust Hedge Fund Management, Inc.

Walton Street Real Estate Sidecar V, L.P.
900 N. Michigan Ave., Suite 1900, Chicago, IL 60611
Partnership — Walton Street Sidecar Managers V, LP

Williamsburg Investment Trust—(Portfolio) Jamestown Select Fund
c/o Ultimus Fund Solutions, LLC, 225 Pictoria Dr., Suite 450,
Cincinnati, OH 45246
State or country in which incorporated — Massachusetts

FURTHER STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, §359-e(8), as amended]

SECURITIES BY DEALERS; BROKERS; SYNDICATE MANAGERS

ABN AMRO Rothschild LLC; (2) Banc of America Securities LLC;
(3) Deutsche Bank Securities Inc.; (4) Goldman, Sachs & Co.; (5) J.P.
Morgan Securities Inc.; (6) Nomura Securities International, Inc.; (7)
UBS Securities LLC
55 E. 52nd St., New York, NY 10055; (2) Nine W. 57th St., New York,
NY 10019; (3) 60 Wall St., New York, NY 10005; (4) 85 Broad St.,
New York, NY 10004; (5) 270 Park Ave., New York, NY 10017; (6)
Two World Financial Center, New York, NY 10281; (7) 677 Washing-
ton Blvd., Stamford, CT 06901
State or country in which incorporated — (1, 2, 7) Delaware limited
liability company; (3, 5) Delaware; (4) New York partnership; (6) New
York
Security(ies) — American depository shares
Issuer of security(ies) — Infosys Technologies Limited
Address of issuer — Electronics City, Hosur Rd., Bangalore, Karnataka,
India 560 100
State or country in which organized — Republic of India

Active Athlete Media, Inc.
P.O. Box 825, Pawling, NY 12564
State or country in which incorporated — Delaware
Security(ies) — Series A preferred stock
Issuer of security(ies) — Active Athlete Media, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

AeroVironment, Inc.
181 W. Huntington Dr., Suite 202, Monrovia, CA 91016
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — AeroVironment, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Airwest Industrial Capital LLC
433 N. Camden Dr., Suite 800, Beverly Hills, CA 90210
State or country in which incorporated — Delaware
Security(ies) — Limited liability company membership interests
Issuer of security(ies) — Airwest Industrial Capital LCC
Address of issuer — Same as above
State or country in which organized — Delaware

Allianz Global Investors Dist. LLC
840 Newport Center Dr., Newport Beach, CA 92660
State or country in which incorporated — Delaware
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — PIMCO Funds—PIMCO International
StocksPLUS TR Strategy Fund (Unhedged)
Address of issuer — Same as above
State or country in which organized — Massachusetts

Alternative Energy Group, Inc.; (2) Westminster Securities Corporation;
(3) WFG Investments, Inc.; (4) Ardent Advisors, LLC
(1 - 4) 7590 Fay Ave., Suite 505, La Jolla, CA 92037
State or country in which incorporated — (1 - 4) Delaware
Security(ies) — Units, each consisting of 100,000 shares of common
stock and warrants to purchase 50,000 shares of common stock with an
exercise price of \$1.60 per share
Issuer of security(ies) — Alternative Energy Group, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Articulate Global, Inc.
Five Colony Court, East Hampton, NY 11937
State or country in which incorporated — Delaware
Security(ies) — 2,000,000 shares of common stock (to be issued
pursuant to the Issuer's 2003 Stock Plan)
Issuer of security(ies) — Articulate Global, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Ascio Pharmaceuticals, LLC
9295 Nesbit Lakes Dr., Alpharetta, GA 30022
State or country in which incorporated — Georgia
Security(ies) — Senior unsecured notes and warrants
Issuer of security(ies) — Ascio Pharmaceuticals, LLC
Address of issuer — Same as above
State or country in which organized — Georgia

Aspen Drilling, LLC
2040 W. Hamilton Place, Sheridan, CO 80110
State or country in which incorporated — Colorado
Security(ies) — Membership interests
Issuer of security(ies) — Aspen Drilling, LLC
Address of issuer — Same as above
State or country in which organized — Colorado

Bandera Offshore Value Fund Ltd.
c/o Meridian Fund Services Limited, P.O. Box HM 528, Hamilton HM
CX, Bermuda

State or country in which incorporated — Cayman Islands
Security(ies) — Non-voting, participating, redeemable shares consisting of class S shares and class U shares
Issuer of security(ies) — Bandera Offshore Value Fund Ltd.
Address of issuer — Same as above
State or country in which organized — Cayman Islands

B.C. Ziegler and Company
 250 E. Wisconsin Ave., Suite 2000, Milwaukee, WI 53202
State or country in which incorporated — Wisconsin
Security(ies) — Units of limited liability company interest
Issuer of security(ies) — Ziegler Healthcare Real Estate Fund III, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Blackhawk Capital Group BDC, Inc.
 14 Wall St., Suite 1100B, New York, NY 10005
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Blackhawk Capital Group BDC, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Blue Ridge Group, Inc.
 632 Adams St., Suite 700, Bowling Green, KY 42101
State or country in which incorporated — Nevada
Security(ies) — Limited partnership interest
Issuer of security(ies) — 2006 Year End Drilling Program, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

BMO Nesbitt Burns Securities Ltd. (BMO NBSL)
 One First Canadian Place, Legal Department, 21st Fl., Toronto, Ontario, M5X 1H3
State or country in which incorporated — Canada
Security(ies) — Norcast income fund (trust units) NCF.UN on TSX
Issuer of security(ies) — Norcast Income Fund
Address of issuer — 60 Bloor St. W, Suite 402, Toronto, Ontario M4W 3B8
State or country in which organized — Canada

BMO Nesbitt Burns Securities Ltd. (BMO NBSL)
 One First Canadian Place, Legal Department, 21st Fl., Toronto, Ontario, M5X 1H3
State or country in which incorporated — Canada
Security(ies) — Vault energy trust (trust units) VNG.UN on TSX
Issuer of security(ies) — Vault Energy Trust
Address of issuer — 635 8th Ave. SW, Suite 2100, Calgary, Alberta T2P 3M3
State or country in which organized — Canada

BrandQuest Development Group, Inc.
 888 Main St., Suite 1040, New York, NY 10044
State or country in which incorporated — Nevada
Security(ies) — Common stock
Issuer of security(ies) — BrandQuest Development Group, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Caneum, Inc.
 170 Newport Center Dr., Suite 210, Newport Beach, CA 92660
State or country in which incorporated — Nevada
Security(ies) — Common stock, par value \$.001

Issuer of security(ies) — Caneum, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Capital Financial Services
 One N. Main St., Minot, ND 58703
Security(ies) — Shares of preferred stock
Issuer of security(ies) — Shale Royalties II, Inc.
Address of issuer — 16660 N. Dallas Pkwy., Suite 2300, Dallas, TX 75248
State or country in which organized — Texas

Care Management International, Inc.
 50 Harrison St., Suite 119, Hoboken, NJ 07030
State or country in which incorporated — Delaware
Security(ies) — Series A preferred stock
Issuer of security(ies) — Care Management International, Inc.
Address of issuer — 50 Harrison St., Suite 119, Hoboken, NJ 07030
State or country in which organized — Delaware

Catskill Hudson Bancorp, Inc.
 195 Lake Louise Marie Rd., Rock Hill, NY 12775
State or country in which incorporated — New York
Security(ies) — Common stock, \$1.00 par value
Issuer of security(ies) — Catskill Hudson Bancorp, Inc.
Address of issuer — P.O. Box 855, Rock Hill, NY 12775
State or country in which organized — New York

Ceragenix Pharmaceuticals, Inc.
 1444 Wazee St., Suite 210, Denver, CO 80202
State or country in which incorporated — Delaware
Security(ies) — Secured convertible debentures with warrants
Issuer of security(ies) — Ceragenix Pharmaceuticals, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Chadwick Securities, Inc.
 1845 Walnut St., 10th Fl., Philadelphia, PA 15103
State or country in which incorporated — Delaware
Security(ies) — Limited partner units
Issuer of security(ies) — LEAF Equipment Leasing Income Fund III, L.P.
Address of issuer — 1818 Market St., 9th Fl., Philadelphia, PA 15103
State or country in which organized — Delaware

Claymore Securities, Inc.
 2455 Corporate West Dr., Lisle, IL 60532
State or country in which incorporated — Delaware
Security(ies) — 10,000,000 units of undivided interest
Issuer of security(ies) — Strategic Income Portfolio, Series 7
Address of issuer — 2455 Corporate West Dr., Lisle, IL 60532
State or country in which organized — Kansas

Collect Holdings, Inc.
 c/o NCO Group, Inc. 507 Prudential Rd., Horsham, PA 19044
Security(ies) — Equity interests-series A 14% PIK preferred, class L common and class A common stock
Issuer of security(ies) — Collect Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Credit Suisse Securities (USA) LLC; (2) Banc of America Securities LLC; (3) BMO Capital Markets Corp.

11 Madison Ave., New York, NY 10010; (2) Nine W. 57th St., New York, NY 10019; (3) Three Times Square, New York, NY 10036
State or country in which incorporated — (1), (2) Delaware limited liability company; (3) Delaware

Security(ies) — Senior floating rate notes due 2013

Issuer of security(ies) — Angiotech Pharmaceuticals, Inc.

Address of issuer — 1618 Station St., Vancouver, B.C., Canada V6A 1B6

State or country in which organized — Canada

Credit Suisse Securities (USA) LLC; (2) Banc of America Securities LLC; (3) J.P. Morgan Securities, Inc.

11 Madison Ave., New York, NY 10010; (2) Nine W. 57th St., New York, NY 10019; (3) 270 Park Ave., New York, NY 10172

State or country in which incorporated — (1 - 3) Delaware

Security(ies) — 5.90% senior notes due 2016

Issuer of security(ies) — Federated Retail Holdings, Inc.

Address of issuer — Seven W. Seventh St., Cincinnati, OH 45202

State or country in which organized — New York

Diversified Energy Group, Inc.

One City Plaza, 801 S. Olive Ave., Suite 223, West Palm Beach, FL 33401

State or country in which incorporated — Delaware

Security(ies) — Common stock

Issuer of security(ies) — Diversified Energy Group, Inc.

Address of issuer — Same as above

State or country in which organized — Delaware

Dreyfus Service Corporation

200 Park Ave., New York, NY 10166

State or country in which incorporated — New York

Security(ies) — Shares of common stock par value \$.01 per share

Issuer of security(ies) — Strategic Funds, Inc.—Systematic International Equity Fund

Address of issuer — Same as above

State or country in which organized — Maryland

DSIL Investment Services LLC

536 Broadway, 7th Fl., New York, NY 10012

State or country in which incorporated — New York

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Domini Advisor Trust—Domini EuroPacific Social Equity Portfolio

Address of issuer — Same as above

State or country in which organized — Massachusetts

DSIL Investment Services LLC

536 Broadway, 7th Fl., New York, NY 10012

State or country in which incorporated — New York

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Domini Advisor Trust—Domini PacAsia Social Equity Portfolio

Address of issuer — Same as above

State or country in which organized — Massachusetts

DSIL Investment Services LLC

536 Broadway, 7th Fl., New York, NY 10012

State or country in which incorporated — New York

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Domini Social Investment Trust—Domini EuroPacific Social Equity Fund

Address of issuer — Same as above

State or country in which organized — Massachusetts

DSIL Investment Services LLC

536 Broadway, 7th Fl., New York, NY 10012

State or country in which incorporated — New York

Security(ies) — Shares of beneficial interest

Issuer of security(ies) — Domini Social Investment Trust—Domini PacAsia Social Equity Fund

Address of issuer — Same as above

State or country in which organized — Massachusetts

Emerald Partners, L.P.

105 5th Ave. #10C, New York, NY 10003

Security(ies) — Limited partnership interests

Issuer of security(ies) — Emerald Partners, L.P.

Address of issuer — Same as above

State or country in which organized — New York

FBCP I L.P.

Cira Centre, 2929 Arch St., Philadelphia, PA 19104-2868

Security(ies) — Limited partnership interests

Issuer of security(ies) — FBCP I L.P.

Address of issuer — Same as above

State or country in which organized — Delaware

Ferrata Capital Management, LP

Gracie Station, P.O. Box 1422, New York, NY 10028

Security(ies) — Limited partnership interests

Issuer of security(ies) — Ferrata Capital Management, LP

Address of issuer — Same as above

State or country in which organized — Delaware

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1227 (Target Double Play Portfolio, December 2006 Series)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1227 (Target Focus Four Portfolio, December 2006 Series)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1227 (Target VIP Portfolio, December 2006 Series)

Address of issuer — Same as above

State or country in which organized — Illinois

First Trust Portfolios L.P.

1001 Warrenville Rd., Lisle, IL 60532

Security(ies) — 10,000 units of undivided interest

Issuer of security(ies) — FT 1227 (The DowSM Target 10 Portfolio, December 2006 Series)

Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1227 (The DowSM Target Dividend Portfolio, December 2006 Series)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1227 (Value Line[®] Target 25 Portfolio, December 2006 Series)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1285 (Dividend and Income Select Closed-End Portfolio, Series 3)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1286 (High-Yield Income Closed-End Portfolio, Series 18)
Address of issuer — Same as above
State or country in which organized — Illinois

First Trust Portfolios L.P.
 1001 Warrenville Rd., Lisle, IL 60532
Security(ies) — 10,000 units of undivided interest
Issuer of security(ies) — FT 1288 (Strategic Income Closed-End Portfolio, Series 12)
Address of issuer — Same as above
State or country in which organized — Illinois

Frontenac IX Private Capital A Limited Partnership
 135 S. LaSalle St., Suite 3800, Chicago, IL 60603-4131
Security(ies) — Limited partnership interests
Issuer of security(ies) — Frontenac IX Private Capital A Limited Partnership
Address of issuer — Same as above
State or country in which organized — Delaware

Full Metal Minerals Ltd.
 1500-409 Granville St., Vancouver, British Columbia, Canada V6C 1T2
State or country in which incorporated — Canada
Security(ies) — Units consisting of one common share and one-half of one transferable share purchase warrant
Issuer of security(ies) — Full Metal Minerals Ltd.
Address of issuer — Same as above
State or country in which organized — Canada

FuturaGene PLC
 1435 Win Henschel Blvd., Suite 115, West Lafayette, IN 47906
State or country in which incorporated — England and Wales

Security(ies) — Common stock
Issuer of security(ies) — FuturaGene PLC
Address of issuer — Same as above
State or country in which organized — England and Wales

Gemini 449 West 36th St. S, LLC
 175 Fifth Ave., Suite 715, New York, NY 10010
Security(ies) — Tenant in common interests
Issuer of security(ies) — Gemini 449 West 36th St. S, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Globe Speciality Metals, Inc.
 One Penn Plaza, 250 West 34th St., Suite 2514, New York, NY 10019
Security(ies) — Common stock
Issuer of security(ies) — Globe Speciality Metals, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

GMS Group, LLC, The
 5N Regent St., Suite 513, Livingston, NJ 07039
State or country in which incorporated — New York
Security(ies) — Units of fractional undivided interest
Issuer of security(ies) — Patriot Trust, Insured Tax Free Bond Trust, 2006 Series A
Address of issuer — Same as above
State or country in which organized — New York

Griffin Capital (Puente Hills) Investors, LLC
 2121 Rosecrans Ave., Suite 3321, El Segundo, CA 90245
State or country in which incorporated — Delaware
Security(ies) — Tenancy in common interest in real estate
Issuer of security(ies) — Griffin Capital (Puente Hills) Investors, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Harbor Light Cash Management, LLC
 150 Congress St., Keene, NH 03431-0323
State or country in which incorporated — Delaware
Security(ies) — Membership interests
Issuer of security(ies) — Harbor Light Cash Management, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Harborview Capital Fund Ltd.
 Harbour House, Waterfront Dr., P.O. Box 972, Road Town, Tortola, British Virgin Islands
State or country in which incorporated — British Virgin Islands
Security(ies) — Shares of stock
Issuer of security(ies) — Harborview Capital Fund Ltd.
Address of issuer — Same as above
State or country in which organized — British Virgin Islands

HP Stony Brook LLC
 1030 New Britain Ave., West Hartford, CT 06110
Security(ies) — Limited liability company membership interest
Issuer of security(ies) — HP Stony Brook LLC
Address of issuer — Same as above
State or country in which organized — Delaware

ICG Commerce Holdings, Inc.
 2520 Renaissance Blvd., Suite 100, King of Prussia, PA 19046
State or country in which incorporated — Delaware

Security(ies) — Series F preferred stock
Issuer of security(ies) — ICG Commerce Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

ICON Leasing Fund Twelve, LLC
 100 Fifth Ave., Fourth Fl., New York, NY 10011
State or country in which incorporated — Delaware limited liability company
Security(ies) — Shares of limited liability company interests
Issuer of security(ies) — ICON Leasing Fund Twelve, LLC
Address of issuer — Same as above
State or country in which organized — Delaware limited liability company

I'm in Control
 P.O. Box 460340, San Francisco, CA 94146-0340
State or country in which incorporated — California
Security(ies) — Units consisting of convertible promissory notes and warrants to purchase common stock
Issuer of security(ies) — I'm in Control
Address of issuer — Same as above
State or country in which organized — California

Insert Therapeutics, Inc.
 129 N. Hill St., Suite 104, Pasadena, CA 91106
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Insert Therapeutics, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Insert Therapeutics, Inc.
 129 N. Hill St., Suite 104, Pasadena, CA 91106
State or country in which incorporated — Delaware
Security(ies) — Warrants
Issuer of security(ies) — Insert Therapeutics, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

International Collectibles Network Corp.
 200 Rector Place, Suite 40A, New York, NY 10280
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — International Collectibles Network Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Janus Distributors LLC
 151 Detroit St., Denver, CO 80206
State or country in which incorporated — Colorado
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Janus Adviser Series — Janus Adviser International Equity Fund
Address of issuer — Same as above
State or country in which organized — Delaware

JFE Productions, LLC
 16 Oakbend Lane, Rochester, NY 14617
Security(ies) — Class B units
Issuer of security(ies) — JFE Productions, LLC
Address of issuer — Same as above
State or country in which organized — New York

Keyhold Group, LLC, The
 1518 Avalon Sq., Glen Cove, NY 11542
Security(ies) — Limited liability company membership units at a purchase price of \$100,000 per unit
Issuer of security(ies) — The Keyhole Group, LLC
Address of issuer — Same as above
State or country in which organized — New York

Legal Research Center, Inc.
 310 4th Ave. S, #1100, Minneapolis, MN 55415
State or country in which incorporated — Minnesota
Security(ies) — Common stock
Issuer of security(ies) — Legal Research Center, Inc.
Address of issuer — Same as above
State or country in which organized — Minnesota

Lyger Capital Fund L.P.
 350 Old Country Rd., Suite 102, Garden City, NY 11530
State or country in which incorporated — Delaware
Security(ies) — Limited partnership interests
Issuer of security(ies) — Lyger Capital Fund L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Mascoma Corporation
 161 First St., 2nd Fl. E, Cambridge, MA 02210
State or country in which incorporated — Delaware
Security(ies) — Series B preferred stock
Issuer of security(ies) — Mascoma Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

MedicalCV, Inc.
 9725 S. Robert Trail, Inver Grove Heights, MN 55077
State or country in which incorporated — Minnesota
Security(ies) — Units consisting of common stock and warrants to purchase common stock
Issuer of security(ies) — MedicalCV, Inc.
Address of issuer — Same as above
State or country in which organized — Minnesota

Midtown Partners & Co., LLC
 257 Park Ave. S, 12th Fl., New York, NY 10010
Security(ies) — Membership units
Issuer of security(ies) — Minyanville Publishing and Multimedia LLC
Address of issuer — 800 Third Ave., 9th Fl., New York, NY 10022
State or country in which organized — Delaware

Molecular Biometrics, LLC
 Five Topping Way, Chester, NJ 07930
State or country in which incorporated — Delaware limited liability company
Security(ies) — Limited liability company interests
Issuer of security(ies) — Molecular Biometrics, LLC
Address of issuer — Same as above
State or country in which organized — United States

MTB Investment Partners, L.P.
 237 Park Ave., Suite 900, New York, NY 10017
Security(ies) — Limited partnership interests
Issuer of security(ies) — MTB Investment Partners, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

National Securities Corporation
875 N. Michigan Ave., Suite 1560, Chicago, IL 60611
State or country in which incorporated — Delaware
Security(ies) — Units consisting of shares of series AA convertible preferred stock and warrants to purchase series AA preferred stock
Issuer of security(ies) — Capital Growth Systems, Inc.
Address of issuer — 50 E. Commerce Dr., Suite A, Schaumburg, IL 60173
State or country in which organized — Florida

Nelnet, Inc.
121 S. 13th St., Suite 201, Lincoln, NB 68508
State or country in which incorporated — Nebraska
Security(ies) — Class A common stock
Issuer of security(ies) — Nelnet, Inc.
Address of issuer — Same as above
State or country in which organized — Nebraska

Neuro-Hitech, Inc.
One Penn Plaza, Suite 1503, New York, NY 10119
State or country in which incorporated — Delaware
Security(ies) — Common stock and warrants to purchase common stock
Issuer of security(ies) — Neuro-Hitech, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

NewSight Corporation
Two Park Ave., Suite 1800A, New York, NY 10016
State or country in which incorporated — Delaware
Security(ies) — Warrants to purchase common stock
Issuer of security(ies) — NewSight Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Ohio Kentucky Oil Corporation/Gilbert Run Project Prog. #3 Joint - Venture
5112 Portage St. NW, North Canton, OH 44720
Security(ies) — General partnership interests
Issuer of security(ies) — Ohio Kentucky Oil Corporation/Gilbert Run Project Prog. #3 Joint - Venture
Address of issuer — Same as above
State or country in which organized — Ohio

O'Naturals, Inc.
10 Burton Dr., Londonderry, NH 03053
Security(ies) — 8% convertible promissory notes (convertible to common stock \$0.001 par value)
Issuer of security(ies) — O'Naturals, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

O'Naturals, Inc.
10 Burton Dr., Londonderry, NH 03053
Security(ies) — Warrants to purchase common stock, \$0.001 par value, upon default under 8% promissory note
Issuer of security(ies) — O'Naturals, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

O'Naturals, Inc.
10 Burton Dr., Londonderry, NH 03053
Security(ies) — Warrant to purchase common stock, \$0.001 par value
Issuer of security(ies) — O'Naturals, Inc.

Address of issuer — Same as above
State or country in which organized — Delaware

Panda Ethanol, Inc.
4100 Spring Valley Rd., Suite 1002, Dallas, TX 75244
State or country in which incorporated — Nevada
Security(ies) — Common stock
Issuer of security(ies) — Panda Ethanol, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Paradigm Capital U.S. Inc.
c/o Paradigm Capital Inc., 95 Wellington St. W, #2101, P.O. Box 55, Toronto, ON, Canada M5J 2N7
State or country in which incorporated — New York
Security(ies) — Common shares
Issuer of security(ies) — Azure Dynamics Corporation
Address of issuer — 350 Bay St., Suite 400, Toronto, Ontario, Canada M5H 2S6
State or country in which organized — Canada

Passco Waters Edge S, LP
96 Corporate Park, Suite 200, Irvine, CA 92606
Security(ies) — Tenant in common interests
Issuer of security(ies) — Pasco Waters Edge S, LP
Address of issuer — Same as above
State or country in which organized — Delaware

Paxton Energy, Inc.
2533 N. Carson St., Suite 6232, Carson City, NV 89706
State or country in which incorporated — Nevada
Security(ies) — Common stock
Issuer of security(ies) — Paxton Energy, Inc.
Address of issuer — Same as above
State or country in which organized — Nevada

Piper Jaffray & Co.; (2) Wachovia Capital Markets, LLC; (3) Jefferies & Company, Inc.; (4) Thomas Weisel Partners LLC
800 Nicollet Mall, Minneapolis, MN 55402; (2) 301 S. College St., Charlotte, NC 28288; (3) 520 Madison Ave., New York, NY 10022; (4) One Montgomery St., San Francisco, CA 94104
State or country in which incorporated — (1-4) Delaware
Security(ies) — Common stock
Issuer of security(ies) — Vital Images, Inc.
Address of issuer — 5850 Opus Pkwy., Suite 300, Minnetonka, MN 55343
State or country in which organized — Minnesota

Pond Equities Inc.
4522 Fort Hamilton Pkwy., Brooklyn, NY 11219
State or country in which incorporated — New York
Security(ies) — Promissory notes due 2007
Issuer of security(ies) — Amedia Networks, Inc.
Address of issuer — Two Corbett Way, Eatontown, NJ 07724
State or country in which organized — Delaware

Pragmatek Consulting Group, Ltd.
One Main St. SE, Suite 400, Minneapolis, MN 55414
State or country in which incorporated — Minnesota
Security(ies) — Common stock of issuer pursuant to employee stock purchase plan
Issuer of security(ies) — Pragmatek Consulting Group, Ltd.

Address of issuer — Same as above
State or country in which organized — Minnesota

Pragmatek Consulting Group, Ltd.
 One Main St. SE, Suite 400, Minneapolis, MN 55414
State or country in which incorporated — Minnesota
Security(ies) — Equity inventive units of issuer pursuant to equity incentive plan
Issuer of security(ies) — Pragmatek Consulting Group, Ltd.
Address of issuer — Same as above
State or country in which organized — Minnesota

Preservation Sciences, Inc.
 800 2nd Ave., Suite 390, St. Petersburg, FL 33701
State or country in which incorporated — Florida
Security(ies) — Common stock
Issuer of security(ies) — Preservation Sciences, Inc.
Address of issuer — Same as above
State or country in which organized — Florida

Punk, Ziegel & Company
 520 Madison Ave., 4th Fl., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Aradigm Corporation
Address of issuer — 3929 Point Eden Way, Hayward, CA 94545
State or country in which organized — California

Quaneco, L.L.C. - Preferred B Interests
 30510 Chardon Rd., Suite 200, Willoughby Hills, OH 44094
Security(ies) — Non-voting membership interests
Issuer of security(ies) — Quaneco, L.L.C.
Address of issuer — Same as above
State or country in which organized — Oklahoma

Remote Lands, Inc.
 124 E. 74th St., New York, NY 10021
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Remote Lands, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Rosemont Select Opportunities II, L.P.
 375 Greenwich St., Suite 714, New York, NY 10013
State or country in which incorporated — Delaware
Security(ies) — Equity interest
Issuer of security(ies) — Rosemont Select Opportunities II, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

SAIC, Inc.
 10260 Campus Point Dr., San Diego, CA 92121
State or country in which incorporated — Delaware
Security(ies) — Class A preferred stock; common stock
Issuer of security(ies) — SAIC, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Sandler O'Neill & Partners, L.P.
 919 Third Ave., 6th Fl., New York, NY 10022
Security(ies) — Common stock, par value \$0.01
Issuer of security(ies) — Alliance Bancorp of Pennsylvania, Inc.

Address of issuer — 541 Lawrence Rd., Broomall, PA 19008
State or country in which organized — Pennsylvania

Schroder Commodity Portfolio
 875 Third Ave., 22nd Fl., New York, NY 10022-6225
Security(ies) — Limited partnership interests
Issuer of security(ies) — Schroder Commodity Portfolio
Address of issuer — Same as above
State or country in which organized — Delaware

Schroder International Diversified Value Portfolio
 875 Third Ave., 22nd Fl., New York, NY 10022-6225
Security(ies) — Limited partnership interests
Issuer of security(ies) — Schroder International Diversified Value Portfolio
Address of issuer — Same as above
State or country in which organized — Delaware

Schroder Strategic Bond Portfolio
 875 Third Ave., 22nd Fl., New York, NY 10022-6225
Security(ies) — Limited partnership interests
Issuer of security(ies) — Schroder Strategic Bond Portfolio
Address of issuer — Same as above
State or country in which organized — Delaware

SEI Investment Distribution Co.
 One Freedom Valley Rd., Oaks, PA 19456
State or country in which incorporated — Pennsylvania
Security(ies) — Units of beneficial interest
Issuer of security(ies) — The Advisors' Inner Circle Fund — Commerce Capital Institutional Select Government Money Market Fund
Address of issuer — 101 Federal St., Boston, MA 02110
State or country in which organized — Massachusetts

Shoreside Capital Partners LP
 2525 West End Ave., Suite #940, Nashville, TN 37203
Security(ies) — Limited partnership interests
Issuer of security(ies) — Shoreside Capital Partners LP
Address of issuer — Same as above
State or country in which organized — Delaware

Sim Ops Studios, Inc.
 10 Bedford Sq., Suite 300, Pittsburgh, PA 15203
State or country in which incorporated — Pennsylvania
Security(ies) — Convertible promissory notes
Issuer of security(ies) — Sim Ops Studios, Inc.
Address of issuer — Same as above
State or country in which organized — Pennsylvania

Smart Pill Corporation, The
 847 Main St., Buffalo, NY 14203
State or country in which incorporated — Delaware
Security(ies) — Series F preferred stock
Issuer of security(ies) — The Smart Pill Corporation
Address of issuer — Same as above
State or country in which organized — Delaware

Smart Pill Corporation, The
 847 Main St., Buffalo, NY 14203
State or country in which incorporated — Delaware
Security(ies) — Warrants to purchase series F preferred stock
Issuer of security(ies) — The Smart Pill Corporation

Address of issuer — Same as above
State or country in which organized — Delaware

Sokolin LLC
 12 Foster Ave., Bridgehampton, NY 11932
Security(ies) — Membership units and warrants
Issuer of security(ies) — Sokolin LLC
Address of issuer — Same as above
State or country in which organized — New York

Source Capital Group, Inc.
 276 Past Rd. W, Westport, CT 06880
State or country in which incorporated — Delaware
Security(ies) — Series A convertible preferred stock
Issuer of security(ies) — NanoLume, Inc.
Address of issuer — 11301 Penny Rd., Suite D, Cary, NC 27518
State or country in which organized — Delaware

Spine Wave, Inc.
 Two Enterprise Dr., Sutie 302, Shelton, CT 06484
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Spine Wave, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Terreco Properties LLC
 P.O. Box 779, Wilmington, NC 28480
Security(ies) — Limited liability company membership interests
Issuer of security(ies) — Terreco Properties LLC
Address of issuer — Same as above
State or country in which organized — North Carolina

Tourneau Acquisition Holdings, Inc.
 Three E. 54th St., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — Tourneau Acquisition Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Tourneau Acquisition Holdings, Inc.
 Three E. 54th St., New York, NY 10022
State or country in which incorporated — Delaware
Security(ies) — 12% senior cumulative preferred stock
Issuer of security(ies) — Tourneau Acquisition Holdings, Inc.
Address of issuer — Same as above
State or country in which organized — Delaware

Tube Media Corp., The
 1451 W. Cypress Creek Rd., Ft. Lauderdale, FL 33309
State or country in which incorporated — Delaware
Security(ies) — Common stock
Issuer of security(ies) — The Tube Media Corp.
Address of issuer — Same as above
State or country in which organized — Delaware

Tube Media Corp., The
 1451 W. Cypress Creek Rd., Ft. Lauderdale, FL 33309
State or country in which incorporated — Delaware
Security(ies) — Convertible promissory notes
Issuer of security(ies) — The Tube Media Corp.

Address of issuer — Same as above
State or country in which organized — Delaware

Tube Media Corp., The
 1451 W. Cypress Creek Rd., Ft. Lauderdale, FL 33309
State or country in which incorporated — Delaware
Security(ies) — Promissory notes
Issuer of security(ies) — The Tube Media Corp.
Address of issuer — Same as above
State or country in which organized — Delaware

Tube Media Corp., The
 1451 W. Cypress Creek Rd., Ft. Lauderdale, FL 33309
State or country in which incorporated — Delaware
Security(ies) — Warrants to purchase common stock
Issuer of security(ies) — The Tube Media Corp.
Address of issuer — Same as above
State or country in which organized — Delaware

UBS Global Asset Management (US), Inc.
 51 W. 52nd St., 16th Fl., New York, NY 10019-6114
Security(ies) — Shares of beneficial interest, par value
Issuer of security(ies) — UBS PACE Select Advisors Trust—UBS
 PACE Real Estate Securities Investments
Address of issuer — Same as above
State or country in which organized — Delaware

Unified Financial Securities, Inc.
 431 N. Pennsylvania St., Indianapolis, IN 46204
State or country in which incorporated — Indiana
Security(ies) — Shares of beneficial interest
Issuer of security(ies) — Sparrow Funds, Sparrow Growth Fund
Address of issuer — Same as above
State or country in which organized — Ohio

UST Private Fund Solutions, LLC
 c/o U.S. Trust Hedge Fund Management, Inc., 225 High Ridge Rd.,
 Stamford, CT 06905
Security(ies) — Cerberus Institutional Partners IV
Issuer of security(ies) — UST Private Fund Solutions, LLC
Address of issuer — Same as above
State or country in which organized — Delaware

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Insured Municipal Income Trust, 105th
 Limited Maturity Series
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555
State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — Mid Cap Strategy Portfolio 2007-1
Address of issuer — Same as above
State or country in which organized — New York

Van Kampen Funds Inc.
 One Parkview Plaza, Oakbrook Terrace, IL 60181-5555

State or country in which incorporated — Delaware
Security(ies) — 75,000 units of undivided interest
Issuer of security(ies) — "SMID" Strategy Portfolio 2007-1
Address of issuer — Same as above
State or country in which organized — New York

Walton Street Real Estate Sidecar V, L.P.
900 N. Michigan Ave., Suite 1900, Chicago, IL 60611
Security(ies) — Limited partnership interests
Issuer of security(ies) — Walton Street Real Estate Sidecar V, L.P.
Address of issuer — Same as above
State or country in which organized — Delaware

Williamsburg Investment Trust—(Portfolio) Jamestown Select Fund
c/o Ultimus Fund Solutions, LLC, 225 Pictoria Dr., Suite 450,
Cincinnati, OH 45246
Security(ies) — Shares of beneficial interest, no par value
Issuer of security(ies) — Williamsburg Investment Trust
Address of issuer — Same as above
State or country in which organized — Massachusetts