

# SECURITIES OFFERINGS

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## STATE NOTICES

Published pursuant to provisions of General Business Law  
[Art. 23-A, § 359-e(2)]

## DEALERS; BROKERS

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### AdvisorOne Funds

c/o Gemini Fund Services, LLC, 450 Wireless Blvd., Hauppauge, NY 11788

*State or country in which incorporated* — Delaware

### Allied Energy, Inc.

2800 Griffin Dr., Bowling Green, KY 42103

*State or country in which incorporated* — Kentucky

### AlphaBet Offshore, Ltd.

c/o Madison Grey Fund Services (Cayman) Ltd., Ground Fl., Windward One, Regatta Office Park, West Bay Rd., P.O. Box 10290, George Town, Grand Cayman, Cayman Islands, KY1-1003

### American Funds Distributors, Inc.

333 S. Hope St., Los Angeles, CA 90071

*State or country in which incorporated* — California

### Atlantic Capital Bancshares, Inc.

3280 Peachtree Rd., NE, Suite 1600, Atlanta, GA 30305

*State or country in which incorporated* — Georgia

### Central Partners, L.P.

100 Paragon Dr., Suite 250, Montvale, NJ 07645

*Partnership* — Northpoint Management, LLC

### Charles Vista, LLC

100 William St., 18th Fl., New York, NY 10038

*State or country in which incorporated* — New York

### Franklin Mutual Series Fund—Mutual Quest Fund

c/o Legal-Blue Sky Department, One Franklin Pkwy., San Mateo, CA 94403-1906

*State or country in which incorporated* — Delaware

### Glen Lyon Long-Term Options, LP

c/o Glen Lyon GP, LLC, 40181 Bonito Rd., P.O. Box 1055, Avon, NC 27915

*Partnership* — Glen Lyon GP, LLC (general partner)

### Huls Environmental Media, Inc.

8454 Franklin Ave., Los Angeles, CA 90069

*State or country in which incorporated* — California

### Human War Movie LLC

c/o Thomas Henwood, 579 17th St., #1, Brooklyn, NY 11218

*State or country in which incorporated* — New York

### Jericho Capital Partners L.P.

c/o Jericho Capital Advisors LLC, 540 Madison Ave., 19th Fl., New York, NY 10022

*Partnership* — Jericho Capital Advisors LLC

### Keltic Financial Partners II, LP

580 White Plains Rd., Suite 610, Tarrytown, NY 10591

*Partnership* — Keltic Financial Services, LLC

### KPP Distressed Fund LLC

410 Park Ave., 15th Fl., New York, NY 10022

### Malibu Select, LP

141 W. Jackson Blvd., Suite 1800A, Chicago, IL 60604

*Partnership* — Kennedie Asset Management, LLC

### Mewbourne Energy Partners 09-A, L.P.

3901 S. Broadway, Tyler, TX 75201

*Partnership* — Mewbourne Development Corporation, A Delaware Corporation, managing partner

### Neovasc Inc.

2135-13700 Mayfield Place, Richmond, British Columbia V6V 2E4 Canada

*State or country in which incorporated* — Canada

### Oberweis Funds, The

3333 Warrenville Rd., Suite 500, Lisle, IL 60532

*State or country in which incorporated* — Massachusetts

### Passco Companies Income Fund I, LLC

96 Corporate Park, Suite 200, Irvine, CA 92606

### Pinnacle Natural Resources Offshore, Ltd.

c/o Pinnacle Asset Management, Inc., 767 Fifth Ave., 4th Fl., New York, NY 10153

*State or country in which incorporated* — Cayman Islands

### Preneed Reinsurance Company of America

Two E. Gilman St., Madison, WI 53703

*State or country in which incorporated* — Arizona

### SEI Special Situations Fund, Ltd.

One Freedom Valley Dr., Oaks, PA 19456

*State or country in which incorporated* — Cayman Islands

### T2 Qualified Fund, L.P.

145 E. 57th St., 10th Fl., New York, NY 10022

*Partnership* — T2 Partners Management, LLC

### Target Asia Fund, LLC

c/o Holding Capital Group, Inc., Seven Ridgewood Dr., Bridgewater, CT 06752

Tree Capital Equity Fund I, LP  
c/o Tree Capital GP, LLC, Nine W. 57th St., 26th Fl., New York, NY  
10019  
*Partnership* — Tree Capital GP, LLC

Tree Capital Equity Fund I, Ltd.  
c/o Maples Corporate Services Limited, P.O. Box 309, Ugland House,  
Grand Cayman KY1-1104, Cayman Islands  
*State or country in which incorporated* — Cayman Islands

Uni-Pixel, Inc.  
8708 Technology Forest Place, Suite 100, The Woodlands, TX 77381  
*State or country in which incorporated* — Delaware

Veritas Global Energy Fund, L.P.  
10000 Memorial Dr., #550, Houston, TX 77024  
*Partnership* — Veritas Energy Management, LLC

Vine ABS Opportunity Fund, LP  
1501 Broadway, Suite 1400, New York, NY 10036  
*Partnership* — Vine ABS Opportunity GP, LP

Whitewater Offshore Fund, Ltd.  
c/o Citco Fund Services (Cayman Islands) Limited, Windward One,  
2nd Fl., P.O. Box 31106 SMB, Regatta Office Park, West Bay Rd.,  
Grand Cayman, Cayman Islands KY1-1205