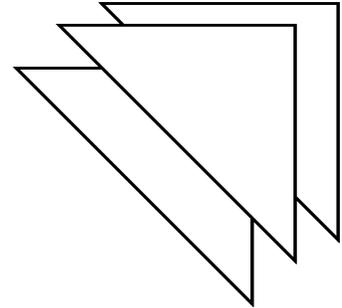


APPENDIX

State of New York
Department of State

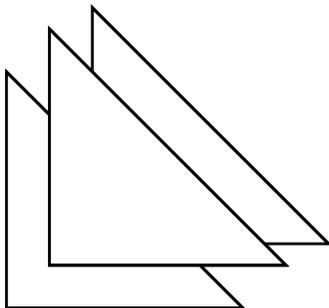


Community Services Block Grant
**Management Plan
Amendment**
for
Federal Fiscal Year 2009

**Governor
David A. Paterson**

**Secretary of State
Lorraine Cortés-Vázquez**

Dolores Franco, Esq., Director
Division of Community Services



(revised April 2009)

State of New York

Department of State

**CSBG MANAGEMENT PLAN AMENDMENT
Federal Fiscal Year 2009**

Using FFY 09 remainder funds, competitive awards will be granted for projects that focus on the four previously identified priority areas: *at-risk youth, healthy families, early childhood development, and workforce development.* Such innovations can close the gap among those whose income and circumstances place them at the greatest disadvantage.

Statewide Initiatives**Targeted Priority Areas:**

The Department of State will devote FFY 2009 discretionary funds to four priority areas: At-Risk Youth, Early Childhood Development, Healthy Families, and Work Force Development. Grants will be awarded on a competitive basis following the issuance of a Request for Applications (RFA). Statewide, Community Based Organizations (CBOs) will have the opportunity to choose one or more priority areas to create a new initiative or expand on an existing program. Applicants will be required to demonstrate that their use of funds will address one or more of Community Services Block Grant (CSBG) National Performance Indicators (NPI) and will result in clear and positive outcomes in the lives of low-income individuals and families. All customers to be served by funded projects must have an income at or below 200% of the poverty guidelines. Funds awarded through this initiative may not be used to supplant other federally funded programs or activities. No funds received under the American Recovery and Reinvestment Act will be used for this initiative.

State of New York

Department of State

**CSBG MANAGEMENT PLAN AMENDMENT
Federal Fiscal Year 2009**

The goal of the projected changes will be to achieve greater and more targeted outcomes for our customers. To effectuate the transition, DOS/DCS will use the increase in the FFY 2008 CSBG allocation to create a targeted portion of CSBG remainder funds. In accordance with state and federal legislation, these targeted funds will be awarded for activities consistent with the purposes of the authorizing legislation.

The distribution of these funds will be carried out in two phases. In the first phase, community based organizations (CBO) which are community action agencies (CAA) will receive their proportional share of the remainder funds, based on the same proportion of funds received by the CAA in the previous year. Projects will be targeted to address identified priority areas noted above. Agencies will have the opportunity to choose one or more target areas to create a new initiative or expand on an existing program. Outcomes achieved with these funds must be measured in accordance with the National Performance Indicators and must be reported separately. Phase 1 funding will be awarded as a separate amendment to the existing multi-year contract; payments will be based on the demonstrated achievement of stated outcomes. (see Phase 1 Discretionary Fund Distribution Chart)

Phase 2 of the distribution of discretionary funds will make funds available to those CBOs which are community action agencies currently under contract with DOS for CSBG funds. Such CAAs will be sent written notification that they are eligible to apply for additional funding to address needs in the four priority areas. Need for the new or expanded project proposed must be documented in the updated Community Action Plan submitted with the FFY 08 refunding application.

- For FFY 2009, 3.5% of the total state allocation will be awarded for targeted projects, as follows:

The Secretary of State has determined that the needs of the most disadvantaged New Yorkers would be best served by targeting a portion of CSBG funds to priority areas discussed by the Governor's Economic Security Cabinet, including Early Childhood Development, At-Risk Youth, Healthy Families, and Work Force Development.

The goal of the discretionary projects will be to achieve greater and more targeted outcomes for our customers. To award these remainder funds, DOS/DCS will issue a Request for Applications (RFA) to solicit project proposals from community based organizations (CBOs) across New York State. Proposals will be reviewed and scored based on criteria set forth in the RFA. Contracts will be awarded up to \$30,000 to successful applicants. Projects will have a budget period and work scope that will operate from October 1, 2009 through September 30, 2010. Based on the provision contained in the American Recovery and Reinvestment Act, organizations will be able to serve customers whose income is up to 200% of the poverty guideline for FFY 2009. Outcomes achieved will be measured by the CSBG National Performance Indicators and will be reported at the end of the project period. No Recovery Act (ARRA) funds will be used for discretionary allocations.

State of New York

Department of State

CSBG MANAGEMENT PLAN AMENDMENT**Federal Fiscal Year 2009****(original document page 14)****Compliance with Other Federal Statutory Requirements****Grants to Eligible Entities and Other Organizations***In accordance with 42 U.S.C. 9901, et seq., §675C (a)(1):**(a) Grants to Eligible Entities and Other Organizations.—**(1) In general--Not less than 90% of the funds made available to a State under section 675A or 675B shall be used by the State to make grants for the purposes described in section 672 to eligible entities.*

New York State will make available at least [91.4%] 90% of its annual allocation of CSBG funds to eligible entities and .50% to Indian Tribes and Tribal organizations. These organizations are the 56 CSBG grantees or contractors. The State CSBG statute, NYS Executive Law Article 6-D, requires CSBG funds to be distributed subsequent to contract. State law also requires each grantee to provide a 25% local share match. Due to the uncertainty of the actual annual allocation, the amount of funds distributed to grantees will be based on the amount received in the year in which the plan was developed. Based on receipt of Notice of Grant Award confirming the annual allocation to New York State, contracts will be amended to reflect the actual allocation.

State of New York

Department of State

**CSBG MANAGEMENT PLAN AMENDMENT
Federal Fiscal Year 2009**

**(original document page 29)
Planned Use of CSBG Funds**

The following table depicts the planned annual allocation of total
FFY 2009 CSBG funds for New York State.

<u>Categories</u>	<u>Percentage</u>	<u>Amount</u>
<u>Eligible Entities (including New York City)</u>	<u>90</u>	<u>\$ 54,353,294</u>
<u>State Administration</u>	<u>5</u>	<u>\$ 3,019,627</u>
<u>Remainder Funds: (5%)</u>		
<u>Indian Tribes and Tribal Organizations</u>	<u>.5</u>	<u>301,960</u>
<u>Special Projects</u>	<u>1</u>	<u>617,667</u>
<u>Discretionary Funds (Targeted Projects)</u>	<u>3.5</u>	<u>2,100,000</u>
<u>TOTAL [ANTICIPATED] FUNDS FOR 2009</u>	<u>100</u>	<u>\$60,392,548</u>

Eligible Entities: Funds will be allocated to existing eligible entities based on the same proportion of funds received in the previous year. Eligible entities include agencies designated prior to 1981, the migrant and seasonal farmworker organization, and agencies designated in accordance with the CSBG statute. Funding to newly designated entities will be determined at the time of designation. Funding to newly designated entities will not exceed the amount received by minimum-funded agencies.

State Administration: Not more than 5% of the annual allocation for each year will be used for State program administration. Funds not expended in one fiscal year will be carried forward for use by the State in the subsequent year. This may include grantee professional development, electronic data management, and specialized training and technical assistance to grantees.

Remainder Funds: (see “Compliance with Federal Assurances – State Use of Remainder Funds” for additional details.)

Indian Tribes and Tribal Organizations: (\$301,960 FFY 2009) Current State legislation requires an allocation of .50% for use by Indian Tribes and Tribal Organizations. The amount available will be distributed equally among all agencies.

Special Projects: (\$617,667 FFY 2009)

Specialized technical assistance to grantees designated *vulnerable* or *at-risk* as a result of a comprehensive assessment or on-going monitoring activities \$202,667

Community Action Angels \$30,000

New York State Community Action Association (NYSCAA) \$385,000

Discretionary Funds (Targeted Projects): (\$2,100,000 FFY 2009)

Discretionary remainder funds will be targeted to priority areas discussed by the Governor’s Economic Security Cabinet, including Early Childhood Development, At-Risk Youth, Healthy Families, and Work Force Development.

State of New York

Department of State

**CSBG MANAGEMENT PLAN AMENDMENT
Federal Fiscal Year 2009**

Unencumbered and Unallocated Funds: Any unencumbered and unallocated funds from the previous grant award may be used for disaster relief activities or special projects, as deemed necessary and appropriate by the Secretary of State. [including the NYS Community Action Association and Community Action Angels, as indicated in the distribution charts.] Funds awarded for special projects will be used for activities stated in the CSBG Act. [to assist persons at or below 125% of the Federal Poverty Guideline.]

