

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, § 359-e(2)]

DEALERS; BROKERS

Advanced Life Sciences Holdings, Inc.
1440 Davey Rd., Woodridge, IL 60517
State or country in which incorporated — Delaware

Adecco S.A.
c/o Gregg Nevola, Adecco USA, 175 Broadhollow Rd., Melville, NY
11747
State or country in which incorporated — Switzerland

ALPS Distributors, Inc.
1290 Broadway, Suite 1100, Denver, CO 80203
State or country in which incorporated — Colorado

American Funds Distributors, Inc.
333 S. Hope St., Los Angeles, CA 90071
State or country in which incorporated — California

AXA IM High Yield Fund, LLC
One Fawcett Place, Greenwich, CT 06830

BlueRidge Bancorp, Inc.
18 W. Patrick St., Frederick, MD 21701
State or country in which incorporated — Maryland

Cicerone Partners, LLC
150 E. 52nd St., 22nd Fl., New York, NY 10022
State or country in which incorporated — Delaware

Clover Street Fund, L.P.
800 W. El Camino Real, Suite 180, Mountain View, CA 94040
Partnership — Pacific Edge Investment Management, LLC, general
partner

Daiwa Capital Markets America Inc.
Financial Square, 32 - Old Slip, New York, NY 10005
State or country in which incorporated — New York

Dia Bras Exploration Inc.
600 de Maisonneuve Blvd. W, Suite 2750, Montreal, Quebec H3A
3J2 Canada
State or country in which incorporated — Canada

Genesis Today, Inc.
14101 W. Hwy. 290, Bldg. 1900, Austin, TX 78737
State or country in which incorporated — Texas

Green Courte Real Estate Partners III, LLC
c/o Green Courte Partners, LLC, 560 Oakwood Ave., Suite 100, Lake
Forest, IL 60045

Growth Venture Partners, Inc.
10275 Collins Ave., Suite 518, Bal Harbour, FL 33154
State or country in which incorporated — Florida

Harborcove Financial, LLC
330 Madison Ave., 9th Fl., New York, NY 10017
State or country in which incorporated — Delaware

Harborcove Fund I, LP
330 Madison Ave., 9th Fl., New York, NY 10017
Partnership — Harborcove Financial, LLC

Hew-Tex/Sword Field Joint Venture
11222 Richmond Ave., Suite 120, Houston, TX 77082
State or country in which incorporated — Texas

Intervention Energy, LLC
6501 25th Ave. NW, Minot, ND 58703

Iszo Capital LP
10 E. 53rd St., 31st Fl., New York, NY 10022
Partnership — Iszo Capital GP LLC

KeySource Commercial Bank
280 S. Mangum St., Suite 140, Durham, NC 27701
State or country in which incorporated — North Carolina

Level One Bancorp, Inc.
30201 Orchard Lake Rd., Suite 250, Farmington Hills, MI 48334

Littlejohn Executive Fund IV, L.P.
c/o Littlejohn Executive GP IV, L.L.C., Eight Sound Shore Dr.,
Greenwich, CT 06830
Partnership — Littlejohn Executive GP IV, L.L.C.

Maine Horror Film, Limited Partnership
316 Westchester Ave., Port Chester, NY 10573
Partnership — Loco Dawn Films, LLC

Maritime Capital Partners LP
c/o Maritime Capital GP LLC, 45 Broadway, 32nd Fl., New York,
NY 10006
Partnership — Maritime Capital GP LLC

MedEquities Group, Inc.
2100 S. Bridge Pkwy., Suite 650, Birmingham, AL 35209
State or country in which incorporated — Maryland

Penn Convertible Fund, L.P.
The Navy Yard Corporate Center, Three Crescent Dr., Suite 400, Philadelphia, PA 19112
Partnership — Penn Capital Management Company, Inc., general partner

Normandy Funding, LLC
2975 Westchester Ave., Suite 410, Purchase, NY 10577

OCZ Technology Group, Inc.
6373 San Ignacio Ave., San Jose, CA 95119
State or country in which incorporated — Delaware

Principal Funds, Inc. - Diversified Real Asset Fund
The Principal Financial Group, Des Moines, IA 50392
State or country in which incorporated — Maryland

Quirky, Inc.
628 Broadway, Studio 300, New York, NY 10012
State or country in which incorporated — Delaware

SawMill Lane Capital Fund LLC
1350 Avenue of the Americas, 2nd Fl., New York, NY 10019

Seix Credit Dislocation Fund, L.P.
10 Mountainview Rd., Suite C-200, Upper Saddle River, NJ 07458
State or country in which incorporated — Delaware

Seix Credit Opportunities Fund, LLC
10 Mountainview Rd., Suite C-200, Upper Saddle River, NJ 07458
State or country in which incorporated — Delaware

Seix Credit Opportunities Offshore Fund, Ltd.
Box 309 GT, Uglund House, S. Church St., George Town, Grand Cayman, Cayman Islands
State or country in which incorporated — Cayman Islands

Smokefree Innotec, Inc.
257 Wallace Rd., Nashville, TN 37211
State or country in which incorporated — Nevada

Tavros Capital Investors I, LP
c/o Walkers Corporate Services Ltd., Walker House, 87 Mary St., George Town, Grand Cayman KY1-9005, Cayman Islands
Partnership — Tavros Capital Partners Ltd.

Texas Securities, Inc.
4024 Nazarene Dr., Suite B, Carrollton, TX 75010
State or country in which incorporated — Texas

Tnemecc Company, Inc.
6800 Corporate Dr., Kansas City, MO 64120
State or country in which incorporated — Missouri

UCM New Horizon Fund, L.P.
52 Vanderbilt Ave., New York, NY 10017
Partnership — Utendahl Investment Partners, III, LLC

Velocity Technology Enterprises, Inc.
850 Third Ave., 10th Fl., New York, NY 10022
State or country in which incorporated — Delaware

Veribest Well #1 Joint Venture
3101 Premier Dr., Plano, TX 75075
Partnership — North American Drilling Corporation, Joint Venture Manager

Watermill-Toolrock Partners II, L.P.
One Cranberry Hill, 750 Marrett Rd., Suite 401, Lexington, MA 02421
Partnership — Watermill-Toolrock Enterprises, LLC