

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, § 359-e(2)]

DEALERS; BROKERS

360networks (CDN fiber) ULC
3400 First Canadian Centre, 350 - 7th Ave. SW, Calgary, Alberta T2P
3N9

State or country in which incorporated — Province of Alberta, Canada

Adaptive TCR Corporation
c/o Byron Dailey - DLA Piper LLP (US), 701 Fifth Ave., Suite 7000,
Seattle, WA 98104

State or country in which incorporated — Washington

AEGIS Investment Management (Golf), Inc.
1400-1500 W. Georgia St., Vancouver, BC V6G 2Z6

State or country in which incorporated — Canada

Alphadyne International Fund, Ltd.
c/o GlobeOp Financial Services (Cayman) Ltd., 45 Market St., Suite
3205, 2nd Fl., Gardenia Court, Camana Bay, Grand Cayman KY1-
9003, Cayman Islands

State or country in which incorporated — Cayman Islands

Alternative Wealth Strategies, Inc.
1040 N. Kings Hwy., Suite 405, Cherry Hill, NJ 08034

State or country in which incorporated — New Jersey

Altima Resources Ltd.
303-595 Howe St., Vancouver, BC V6C 2T5

State or country in which incorporated — Canada

Angle Light Capital, LP – Angle Light Capital – Quasar Series 1
66 Millbrook Rd., New Vernon, NJ 07976

Partnership — Angle Light Capital GP, LLC

Aperio Master AlphaStrategy Ltd.
c/o Maples Corporate Services Limited, P.O. Box 309, Ugland House,
Grand Cayman, KY1-1104, Cayman Islands

State or country in which incorporated — Cayman Islands

Argosy Group, The
2331 SE. Gemini Ave., Topeka, KS 66605

State or country in which incorporated — Kansas

Azure Dynamics Corporation
14925 11 Mile Rd., Oak Park, MI 48237-1013

State or country in which incorporated — Delaware

Bay National Corporation
2328 W. Joppa Rd., Lutherville, MD 21093

State or country in which incorporated — Maryland

Birchwood Estates LLC
141-67 71st St., Kew Gardens Hills, NY 11367

State or country in which incorporated — New York

Bob Morgan Group Real Estate Income and Growth Fund IV, LLC,
The
1170 Pittsford-Victor Rd., Suite 100, Pittsford, NY 14534

Catalyst Energy 2009-2 Limited Partnership
800 Cranberry Woods Dr., Suite 290, Cranberry Township, PA 16066

Partnership — Catalyst Energy, Inc.

Claren Road Credit Partners, LP
c/o Claren Road Capital, LLC, 900 Third Ave., 29th Fl., New York,
NY 10022

Partnership — Claren Road Capital, LLC, general partner

ClearPath Immigration, LLC
4806 Jamestown Rd., Bethesda, MD 20816

State or country in which incorporated — Maryland

Comanche Ranch #1 Joint Venture
1848 Norwood Plaza, Suite 109, Hurst, TX 76054

State or country in which incorporated —
Partnership — Enermax, Inc., a Texas corporation

Coyote Capital Investments, LLC
15230 N. 75th St., Scottsdale, AZ 85260

State or country in which incorporated — Arizona

DigitalPost Interactive, Inc.
4040 Barranca Pkwy., Suite 220, Irvine, CA 92604

State or country in which incorporated — Nevada

Dragon Curve Capital, LP
2027 SE. Jefferson St., Suite 201, Milwaukie, OR 97222

Partnership — Dragon Curve Capital, LLC

Dynamis Advisors, LLC
919 E. Main St., 16th Fl., Richmond, VA 23219

Partnership — John H. Bocock, Alexander H. Bocock

Eagle Peak Offshore, Ltd.
Walker House, 87 Mary St., George Town, Grand Cayman, KY1-
9001, Cayman Islands

State or country in which incorporated — Cayman Islands

Eagle Plains Resources Ltd.
Suite 200, 16 - 11th Ave. S, Cranbrook, BC, Canada V1C 2P1

State or country in which incorporated — Canada

East Haddam Brewing Company, LLC, The
153 Wickham Rd., E. Haddam, CT 06423
State or country in which incorporated — Connecticut

Envoy Realty Partners V, LLC
1140 Franklin Ave., Suite 214, Garden City, NY 11530

Fairmount Bancorp, Inc.
8216 Philadelphia Rd., Baltimore, MD 21237
State or country in which incorporated — Maryland

Five States Consolidated III, Ltd.
4925 Greenville Ave., Suite 1220, Dallas, TX 75206
Partnership — Five States Energy Company, L.L.C., general partner

Gas Turbine Efficiency plc
Detavagen 9A, 175 27 Jarfalla, Stockholm, Sweden 175 27
State or country in which incorporated — United Kingdom

GEMS Fund, L.P.
c/o GC Advisors LLC, 150 S. Wacker Dr., Suite 800, Chicago, IL 60606
Partnership — GEMS Associates, LLC

Gia Partners, LLC
12 E. 49th St., 36th Fl., New York, NY 10017

Greyson International, Inc.
1200 S. Rogers Circle, Suite 11, Boca Raton, FL 33487
State or country in which incorporated — Delaware

Harbor Investment Advisory, LLC
100 Light St., Suite 1300, Baltimore, MD 21202

Hayden Harper Direct Energy 2009-A Drilling Fund, L.P.
1818 Lombardy Circle, Charlotte, NC 28203
Partnership — HH Management, LLC

HSBC Investor Funds
HSBC Investor Global Emerging Markets Fixed Income Fund
3435 Stelzer Rd., Suite 1000, Columbus, OH 43219-8012
State or country in which incorporated — Massachusetts

Iguana Healthcare Partners, L.P.
20 Dayton Ave., 2nd Fl., Greenwich, CT 06830
Partnership — Iguana Healthcare Partners, LLC

Jetstream Global Institutional Fund LP
12 Cadillac Dr., Brentwood, TN 37027
Partnership — Jetstream Partners LLC

KStone ARV Ltd.
c/o Ogier Fiduciary Services (Cayman) Limited, 89 Nexus Way,
Camana Bay, Grand Cayman, Cayman Islands KY1-9007
State or country in which incorporated — Cayman Islands

Lawson Financial Corporation
3352 E. Camelback Rd., Phoenix, AZ 85018
State or country in which incorporated — Florida

Little Red Feather Racing Forty Four, LLC
5950 Canoga Ave., Suite 510, Woodland Hills, CA 91367
State or country in which incorporated — California

Main Street Capital Corporation
1300 Post Oak Blvd., Suite 800, Houston, TX 77056
State or country in which incorporated — Maryland

Maitland RE Joint Venture
5212 Silver Lake Dr., Plano, TX 75093
Partnership — Highland Imperial, Inc., venture manager

Meadowbrook Securities, LLC
4266 I 55 N, Suite 106, Jackson, MS 39211
State or country in which incorporated — Delaware

Michael Donovan
114 W. 17th St., New York, NY 10011

Miller Petroleum, Inc.
3651 Baker Hwy., Huntsville, TN 37756
State or country in which incorporated — Tennessee

Orchard Avalon Limited
Romasco Place, Wickhams Cay 1, Road Town, Tortola, British Virgin Islands, VG 1110

PAM Global Diversified Fund, LLC
141 W. Jackson Blvd., Suite 1340A, Chicago, IL 60604
Partnership — Price Asset Management, Inc.

PFM Healthcare Principals Fund, L.P.
One Market St., Suite 2200, Steuart Tower, San Francisco, CA 94105
Partnership — Partner Asset Management, LLC, general partner

Post Limited Term High Yield Fund, L.P.
11755 Wilshire Blvd., Suite 1400, Los Angeles, CA 90025
State or country in which incorporated — Delaware

Prime Roseville, L.P.
c/o Prime Group, Inc., 50 California St., Suite 3240, San Francisco, CA 94111
Partnership — Prime Roseville Acquisition, LLC, general partner

Priority Insight Partners, LP
1800 Bayberry Court, Suite 300, Richmond, VA 23226
Partnership — PIP GP, LLC

Priority Insight Partners (QP), LP
1800 Bayberry Court, Suite 300, Richmond, VA 23226
Partnership — PIP GP, LLC

Procurement Savings Compliance, Inc.
985 Southwick Lane, Allen, TX 75013
State or country in which incorporated — Texas

Professional Funds Distributor, LLC
760 Moore Rd., King of Prussia, PA 19406
State or country in which incorporated — Delaware

Rave Investments, LLC
430 Park Ave., New York, NY 10022

Red River Securities, LLC
5601 Democracy Dr., Suite 280, Plano, TX 75024
State or country in which incorporated — Texas

Restful Group, L.P., The
P.O. Box 1053, Northbrook, IL 60065-1053
Partnership — Pearly Gates, Inc.

Restful Group B, LLC
P.O. Box 1053, Northbrook, IL 60065-1053
Partnership — Pearly Gates, Inc.

Sali Multi-Series Fund, L.P.
1802 W. 6th St., Suite B, Austin, TX 78703
Partnership — Sali Fund Partners, LLC

SLRC Media Opportunities Fund, L.P.
1063 Boston Post Rd., Suite 2B, Darien, CT 06820
Partnership — Stevens Asset Management LLC

String Fund, LP
c/o String Asset Management, LLC, 133 E. 58th St., Suite 501, New York, NY 10022
Partnership — String Asset Management, LLC

Synergy Oil, LLC
328 Old Newport Blvd., Suite B, Newport Beach, CA 92663

Tracer Imaging LLC
18 Glenn St., White Plains, NY 10603

USB Focus Fund XXIII, LLC
c/o U.S. Boston Capital Corporation, 55 Old Bedford Rd., Lincoln, MA 01773

Virtify, Inc.
55 Cambridge Pkwy., Suite 410, Cambridge, MA 02142
State or country in which incorporated — Delaware

World Funds Trust
8730 Stony Point Pkwy., Suite 205, Richmond, VA 23235
State or country in which incorporated — Delaware

Yolo Colorhouse LLC
3909 NE. Martin Luther King Jr. Blvd., Suite 201, Portland, OR 97212

