

# FINANCIAL REPORTS

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STATE OF NEW YORK  
DEPARTMENT OF TAXATION & FINANCE  
DEPOSITORIES FOR THE FUNDS OF THE STATE OF NEW YORK

MONTH END DECEMBER 31, 2009



Prepared By:  
DIVISION OF THE TREASURY  
Investments, Cash Management and Accounting Operations

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JAMIE WOODWARD  
ACTING TAX COMMISSIONER

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AIDA M. BREWER  
DEPUTY COMMISSIONER & TREASURER

<u>ACCOUNT DESCRIPTION</u>	<u>DEPOSITORY</u>	<u>BALANCE</u>
<b>ACCOUNTS HELD IN JOINT CUSTODY BY THE COMMISSIONER OF TAXATION &amp; FINANCE AND THE NEW YORK STATE COMPTROLLER</b>		
NYS Exchange Account	Key Bank	0.00
Common Retirement System Fund	Bank of America	(310,076.82)
Unemployment Insurance Benefits Account	JPMorgan Chase	(36,257,795.44)
UI - Occupational Training Account	JPMorgan Chase	150,339.64
PIT Special Refund Account	JPMorgan Chase	(420,832,257.65)
Individual Family Grant Program	JPMorgan Chase	40,769.78
General Checking Account	Bank of America	(1,226,082,649.44)
Payroll Direct Deposit Account	Wachovia, Bank, N.A.	9,045.11
<b>TOTAL</b>		<b>(1,683,282,624.82)</b>
<b>02000 - OFFICE OF THE STATE COMPTROLLER</b>		
<b>Tax Collection Accounts</b>		
Admissions	Bank of America	15,310.02
Alcoholic Beverage Control Licenses	Bank of America	18,596.47
Alcoholic Beverage Control Licenses	M&T Bank	158,663.94
Alcoholic Beverage Control Licenses	Citibank	60,022.65
Assessment Receivable	Bank One	8,500,038.90
Beverage Tax	Bank of America	22,580.97
Boxing & Wrestling	Bank of America	3,659.99
Capital Gains Tax on Real Estate	Bank of America	2,929.03
Cigarette Tax	Bank of America	(935,061.25)
Estate Tax	Bank of America	(46,311.67)
Estate Tax - Lockbox	JPMorgan Chase	0.00
Estate Tax Article 10-Section 241	Key Bank	0.01
General Business Taxes	Bank of America	16,823.89
General Business Taxes - Coupon Account	JPMorgan Chase	683,892.33
Gift Tax	Bank of America	36,877.06
Hazardous Waste Remedial Fund	Key Bank	1,934.93
Highway Use - Permits and Registrations EFT	JPMorgan Chase	7,492.00
Highway Use Tax - Truck Mileage	Bank of America	54,002.69
Highway Use - Permits and Registrations	Bank of America	803,713.04
IFTA - Decal / Permit Fee Account	Bank of America	57,037.00
IFTA - Fuel Use Account	Bank of America	33,624.86
NYS Share of Cigarette Floor Tax	Bank of America	1,017,896.66
Personal Income Tax - Estimated	Bank One	177,295,068.97
Personal Income Tax - Main Account	Bank of America	191,674.43
Personal Income Tax - Withholding	Bank One	44,910,076.22
Personal Income Tax - EFT	JPMorgan Chase	23,950,010.06
Petroleum Products - EFT	JPMorgan Chase	1,670,344.07
Petroleum Products	Bank of America	211,695.45
Prompt Tax / Sales Tax / Motor Fuel	JPMorgan Chase	1,167,776.56
Real Estate Transfer Tax	Key Bank	13,980.16
Sales Tax	Bank of America	1,589,347.04
Sales Tax	JPMorgan Chase	149,150.50
Revenue Holding Account	HSBC	0.00
Tobacco Products	Bank of America	38,089.60
Uncashed OTB Winning Tickets	Bank of America	25,632.90
<b>New York State Employees Retirement System</b>		
Employees Retirement System EFT Pension	JPMorgan Chase	(581,235.25)
<b>New York State Policemens' &amp; Firemens' Retirement System</b>		
Policemens' & Firemens' Retirement System	JPMorgan Chase	(49,496.50)
NEW System Pension Payroll Account	Key Bank	0.00
<b>Cash Advance Accounts</b>		
Petty Cash/Travel - Employees' Retirement System	Key Bank	5,000.00
Petty Cash - Finance Office	Key Bank	2,766.84
Travel Advance - Finance Office	Key Bank	39,886.35
<b>Municipal Assistance Corporation Accounts</b>		
City of Troy - MAC Sales Tax	JPMorgan Chase	0.00
<b>Miscellaneous</b>		
Unclaimed Bottle Deposits	JPMorgan Chase	265,209.75
Comptroller's Refund	JPMorgan Chase	0.00
Comptroller's Payroll Exchange	Key Bank	294,370.91
Finance Office Fee Account	Key Bank	349.50
General Debt Service Fund for NYC	Bank of America	167,799,313.87
Justice Court Fund	Key Bank	265,208.90
Unclaimed Funds (Old)	JPMorgan Chase	1,482,034.87
Unclaimed Funds (New)	JPMorgan Chase	4,912,592.49
<b>20000 - DEPARTMENT OF TAXATION AND FINANCE</b>		
<b>Business Administration</b>		
Petty Cash Fund	Bank of America	9,734.68
Business Administration Exchange	Bank of America	0.00
Taxation & Finance Fee Account	Key Bank	1,388,108.25
<b>20050 - DIVISION OF THE LOTTERY</b>		
<b>Albany - Main Office Accounts</b>		
Concentration Account	Key Bank	0.00
Lotto Subscription Account	Key Bank	488,870.93
Exchange Account	Key Bank	6,279,834.21
Prize Payment	Key Bank	0.00
Prize Payment #2	Key Bank	0.00
<b>23000 - DEPARTMENT OF MOTOR VEHICLES</b>		
<b>Central Office Accounts</b>		
Commissioner of Motor Vehicles Concentration Account	HSBC	0.00
Revenue Concentration Account	HSBC	0.00
Advance for Travel	Bank of America	21,098.16

Confidential Account	Bank of America	14,982.33
Petty Cash Account	Bank of America	13,990.74
Escrow Account	M&T Bank	564,252.80
Exchange Account	M&T Bank	64,973.44
International Registration Bureau	M&T Bank	335,578.95
Search Account	M&T Bank	465,837.86
Office Fee Account	M&T Bank	19,447.81
<b>Exchange Accounts</b>		
Albany	M&T Bank	317.50
Bethpage-Hicksville	JPMorgan Chase	1,520.13
Bronx	JPMorgan Chase	83.00
Bronx ID Center	JPMorgan Chase	2.00
Brooklyn	JPMorgan Chase	1,483.50
Brooklyn North	JPMorgan Chase	249.00
Call Center	M&T Bank	8,545.00
College Point	JPMorgan Chase	0.00
Coney Island	JPMorgan Chase	2,140.14
Harlem	JPMorgan Chase	725.00
Herold Square	JPMorgan Chase	613.17
Huntington	Citibank	0.00
IRB	M&T Bank	7,964,954.36
Massapequa-Amityville	JPMorgan Chase	107.50
Medford	Bank of America	785.50
Nassau-Gdn	Citibank	193.50
New York	JPMorgan Chase	2.00
North Syracuse	M&T Bank	2.00
Peekskill	JPMorgan Chase	75.00
Port Jefferson	Citibank	248.50
Queens	JPMorgan Chase	44.02
Richmond	JPMorgan Chase	135.00
Riverhead	Suffolk County National Bank	428.87
Springfield Gdns.	JPMorgan Chase	1,112.63
Suffolk	JPMorgan Chase	1,298.85
Syracuse	M&T Bank	619.13
Utica	Bank of Utica	8,721.79
West Haverstraw	JPMorgan Chase	164.50
White Plains	JPMorgan Chase	1,474.00
Yonkers	Bank of America	259.50
<b>Traffic Violations Bureau</b>		
Credit Cards	JPMorgan Chase	600,564.57
Albany	M&T Bank	450,277.66
Bronx	JPMorgan Chase	89,043.01
Brooklyn N	JPMorgan Chase	61,757.17
Brooklyn S (Coney Island)	JPMorgan Chase	54,177.40
Buffalo	JPMorgan Chase	24,494.06
Harlem	JPMorgan Chase	50,069.42
New York	JPMorgan Chase	37,993.22
Queens	JPMorgan Chase	76,414.74
Queens South	JPMorgan Chase	63,675.75
Richmond	JPMorgan Chase	43,768.30
Rochester	Bank of America	26,511.10
Suffolk	JPMorgan Chase	71,717.56
<b>Commissioner of Motor Vehicles as Agent for the State Comptroller</b>		
Albany	M&T Bank	0.00
<b>Confidential Investigator and Subpoena Accounts</b>		
Nassau	Citibank	2,550.96
Yonkers	JPMorgan Chase	552.00
Albany	Key Bank	1,101.00
N. Syracuse	Key Bank	471.00
Buffalo	M&T Bank	1,416.00
Queens	JPMorgan Chase	1,107.55
<b>Motor Vehicle Revenue Accounts</b>		
Private Sector Partners	JPMorgan Chase	No report rec'd
DO Credit Cards	JPMorgan Chase	3,663,818.51
Albany	M&T Bank	67,740.32
Binghamton T&I	HSBC	5,200.00
Call Center	M&T Bank	39,094.50
Cust. Serv. Center	M&T Bank	65,127.13
Hauppauge	JPMorgan Chase	98,736.77
Huntington	Citibank	61,367.66
Medford	Bank of America	101,462.43
Nassau	Citibank	191,426.38
Port Jefferson	Citibank	71,214.62
Downstate	JPMorgan Chase	1,722,521.98
Riverhead	Suffolk County National Bank	158,847.73
Syracuse	M&T Bank	91,956.63
Utica	Bank of Utica	1,940,782.47
Yonkers	Bank of America	111,187.75
<b>Commissioner of Motor Vehicles County Clerk Fee Accounts</b>		
County Office Credit Card Account	JPMorgan Chase	819,818.48
Allegany	Steuben Trust Co	12,552.11
Broome	NBT Bank	43,953.21
Cattaraugus:		
Little Valley	Cattaraugus County Bank	14,614.50
Olean	Key Bank	14,788.87
Delevan	M&T Bank	24,910.70
Cayuga	Key Bank	27,918.97
Chautauqua:		
Mayville	M&T Bank	15,499.34
Jamestown	Key Bank	30,264.85

Dunkirk	Community Bank	17,554.10
Chemung	Chemung Canal Trust Co	13,178.12
Chenango	NBT Bank	263,756.66
Clinton	Key Bank	40,479.19
Columbia	Municipal Bank	189,926.69
Cortland	Alliance Bank	23,705.32
Delaware:		
Delhi	Delaware National Bank	11,360.15
Margaretville	NBT Bank	5,133.03
Deposit	NBT Bank	6,319.60
Sidney	NBT Bank	16,212.50
Dutchess:		
Beacon	M&T Bank	952.08
Poughkeepsie	TD Bank	867.38
Millbrook	Bank of Millbrook	161,184.28
Pawling	Key Bank	13,377.19
Wapp Falls	M&T Bank	1,607.30
Erie	M&T Bank	126,546.43
Essex	Champlain National Bank	26,411.76
Franklin	Key Bank	23,880.63
Fulton	Bank of America	36,316.37
Genesee	M&T Bank	23,325.74
Greene	Bank of Greene County	269,849.59
Hamilton	Citizens Bank	334.09
Herkimer	M&T Bank	63,289.88
Jefferson	Community Bank	25,603.75
Lewis	Community Bank	14,096.85
Livingston	Key Bank	28,570.29
Madison	JPMorgan Chase	38,116.24
Monroe	JPMorgan Chase	50,430.33
Montgomery	NBT Bank	10,962.45
Niagara	M&T Bank	45,528.42
Oneida:		
Rome	NBT Bank	21,365.39
Utica	Bank of Utica	28,465.12
Ontario	Canandaigua National Bank	68,544.33
Orange:		
Goshen/Middletown	Provident Bank	491,924.74
Newburgh	Key Bank	32,546.63
Port Jervis	Bank of America	25,978.56
Orleans	Bank of America	30,269.94
Oswego	Citizens Bank	1,030.52
Otsego	Key Bank	22,683.46
Putnam	Putnam County National Bank	67,199.78
Rensselaer	M&T Bank	32,512.14
St. Lawrence	Community Bank	104,521.39
Saratoga	HSBC	98,613.45
Schenectady	Bank of America	42,029.49
Schoharie	NBT Bank	14,741.63
Schuyler	Community Bank	9,889.75
Seneca	Community Bank	19,101.79
Steuben:		
Corning	Community Bank	15,785.36
Hornell	Steuben Trust Co	7,038.91
Bath	Five Star Bank	15,325.90
Sullivan	Catskill Hudson Bank	10,221.29
Tioga	Chemung Canal Trust Co	22,460.10
Tompkins	Tompkins County Trust Co	15,407.55
Ulster	TD Bank	1,074,244.13
Warren	TD Bank	15,669.00
Washington	TD Bank	11,152.00
Wayne	Lyons National Bank	30,073.43
Wyoming	Five Star Bank	18,931.49
Yates	Community Bank	6,542.56
01000 - EXECUTIVE CHAMBER	Key Bank	8,016.85
01010 - DIVISION OF THE BUDGET	Bank of America	8,345.28
01020 - DIVISION OF PAROLE		
Albany	Key Bank	No report rec'd
Binghamton	Trustco Bank	No report rec'd
Bronx	Marine Midland Bank	No report rec'd
Buffalo	JPMorgan Chase	No report rec'd
Canton	Marine Midland Bank	No report rec'd
Elmira	St. Lawrence National Bank	No report rec'd
Hempstead	Marine Midland Bank	No report rec'd
Manhattan	Bank of America	No report rec'd
New York	Citibank	No report rec'd
Poughkeepsie	JPMorgan Chase	No report rec'd
Queens	JPMorgan Chase	No report rec'd
Rochester	Marine Midland Bank	No report rec'd
Suffolk	Central Trust Co	No report rec'd
Syracuse	North Fork Bank & Trust Co	No report rec'd
Utica	Key Bank	No report rec'd
01030 - DIVISION OF ALCOHOLIC BEVERAGE CONTROL	Bank of Utica	No report rec'd
01050 - OFFICE OF GENERAL SERVICES	M&T Bank	No report rec'd
Division of Alcohol & Beverage Control		
01060 - DIVISION OF STATE POLICE	Key Bank	No report rec'd
	Citizens Bank	No report rec'd
	JPMorgan Chase	No report rec'd
	M&T Bank	No report rec'd

Division Headquarters	Key Bank	4,636,027.80
Manhattan Office	Bank of America	40,964.00
Troop "A"	Key Bank	714.14
Troop "B"	Bank of America	6,813.02
Troop "C"	Community Bank	No report rec'd
Troop "D"	Key Bank	12,792.00
Troop "E"	NBT Bank	7,325.54
Troop "F"	Alliance Bank	7,260.03
Troop "G"	Canandaigua National Bank	6,432.15
Troop "H"	Bank of New York	6,410.00
Troop "I"	Bank of America	6,380.73
Troop "J"	Bank of Millbrook	4,506.57
Troop "K"	Bank of America	4,872.65
Troop "L"	Bank of New York	0.00
Violent Felony Warrant Squad	Key Bank	No report rec'd
01070 - DIVISION OF MILITARY AND NAVAL AFFAIRS	JPMorgan Chase	513,071.20
01080 - DIVISION OF HOUSING AND COMMUNITY RENEWAL	Key Bank	3,383.42
01090 - DIVISION OF HUMAN RIGHTS	JPMorgan Chase	No report rec'd
01110 - OFFICE FOR TECHNOLOGY	Key Bank	3,526.52
01150 - GOVERNOR'S OFFICE OF EMPLOYEE RELATIONS	Key Bank	No report rec'd
01190 - DIVISION OF VETERANS AFFAIRS	Key Bank	No report rec'd
01200 - DIVISION OF PROBATION	Key Bank	616.20
01300 - ADIRONDACK PARK AGENCY	Community Bank	No report rec'd
01370 - NYS OFFICE FOR THE AGING- ENTERPRISE FUND	Key Bank	588.05
01400 - CRIME VICTIMS BOARD	JPMorgan Chase	31,378.48
	Key Bank	18,356.53
	M&T Bank	13,418.57
01490 - DIVISION OF CRIMINAL JUSTICE SERVICES	Bank of America	597,725.23
01510 - RACING AND WAGERING BOARD	Key Bank	No report rec'd
	Bank of America	No report rec'd
01530 - COMMISSION ON CORRECTIONS	Bank of America	3,500.00
01540 - STATE BOARD OF ELECTIONS	Key Bank	No report rec'd
01550 - ST. LAWRENCE-EASTERN ONTARIO COMMISSION	Key Bank	No report rec'd
01570 - ADVOCATE FOR THE DISABLED	Key Bank	No report rec'd
01590 - COMMISSION ON QUALITY OF CARE and ADVOCACY for PERSONS with DISABILITIES	Key Bank	1,987.36
01620 - OFFICE FOR PREVENT DOM. VIOLENCE	Bank of America	No report rec'd
03000 - OFFICE OF THE ATTORNEY GENERAL	JPMorgan Chase	148,270.98
	Bank of America	14,903.46
	Key Bank	24,479,459.94
	M&T Bank	22,415.88
03020 - MEDICAID FRAUD CONTROL	JPMorgan Chase	9,707,610.62
	HSBC	6,777,761.93
04000 - SENATE	Key Bank	No report rec'd
04010 - SENATE FINANCE COMMITTEE	Key Bank	No report rec'd
04020 - NYS ASSEMBLY	Key Bank	No report rec'd
	JPMorgan Chase	No report rec'd
	Bank of America	No report rec'd
04030 - ASSEMBLY WAYS & MEANS	Key Bank	No report rec'd
04040 - LEGISLATIVE BILL DRAFTING COMMISSION	Key Bank	7,166.56
05000 - OFFICE OF COURT ADMINISTRATION	JPMorgan Chase	3,663,601.22
05005 - OFFICE OF COURT ADMINISTRATION	Key Bank	318.52
	M&T Bank	0.00
05008 - LAWYERS' FUND FOR CLIENT PROTECTION	Key Bank	No report rec'd
05012 - MENTAL HYGIENE LEGAL SERVICE	Bank of America	No report rec'd
05023 - COMMITTEE ON PROFESSIONAL STANDARDS	M&T Bank	No report rec'd
05071 - NEW YORK STATE COURT OF APPEALS-Law Reporting Bureau	Key Bank	9,803.95
05072 - STATE BOARD OF LAW EXAMINERS	Key Bank	470,705.00
05081 - APPELLATE DIVISION FIRST DEPARTMENT	JPMorgan Chase	56,109.17
05082 - APPELLATE DIVISION SECOND DEPARTMENT	JPMorgan Chase	100,376.50
05083 - APPELLATE DIVISION THIRD DEPARTMENT	Key Bank	23,673.40
05084 - APPELLATE DIVISION FOURTH DEPARTMENT	JPMorgan Chase	27,511.31
05090 - NEW YORK STATE COURT OF CLAIMS	Key Bank	3,155.25
	M&T Bank	907.25
05111 - TENTH JUDICIAL DISTRICT NASSAU COUNTY	Bank of America	207,360.53
Glen Cove City Court, Glen Cove	Bank of America	208,938.11
Long Beach City Court, Long Beach	State Bank of LI	127,013.39
Nassau County Clerk, Mineola	Citibank	46,565.50
Nassau County Court	Citibank	No report rec'd
Nassau County Family Court, Westbury	Citibank	47,572.56
Nassau County Surrogate Court, Mineola	Bank of America	3,432.57
First District-Central Traffic, Hempstead	Bank of America	45,481.78
First District-Civil Term, Hempstead	Bank of America	339,947.44
First District-Criminal Term, Hempstead	HSBC	No report rec'd
Third District, Great Neck	JPMorgan Chase	No report rec'd
Fourth District, Hicksville		
05112 - TENTH JUDICIAL DISTRICT, SUFFOLK COUNTY	Suffolk County National Bank	82,480.00
Suffolk County Clerk, Riverhead	Suffolk County National Bank	36,877.33
Suffolk County Court, Riverhead	Citibank	456,772.01
Suffolk County District Court, Central Islip	Suffolk County National Bank	15,335.75
Suffolk County Surrogate Court, Riverhead		
05200 - OCA NYC ADMIN. JUDGE	JPMorgan Chase	No report rec'd
New York City Office of Court Administration	Citibank	No report rec'd
New York City Supreme Court Civil Branch	HSBC	5,276.10
Queens Surrogate Court		
05210 - NYC CIVIL COURTS	JPMorgan Chase	No report rec'd
Bronx Civil Court, Bronx	HSBC	295,066.98
Kings Civil Court, Brooklyn	JPMorgan Chase	No report rec'd
Civil Court, New York County	JPMorgan Chase	134,235.97
Civil Court, City of New York	JPMorgan Chase	56,029.53
Richmond Civil Court, Staten Island		

**05215 - NYC CRIMINAL COURTS**

Bronx Criminal Court, Bronx  
 Kings Criminal Court, Brooklyn  
 New York County Criminal Court  
 Queens Criminal Court, Kew Gardens  
 Richmond Criminal Court, Staten Island

JPMorgan Chase No report rec'd  
 CitiBank 261,759.59  
 JPMorgan Chase 323,600.00  
 JPMorgan Chase 333,547.00  
 Citibank 70,580.00

**05230 - NEW YORK CITY SUPREME COURT - FIRST CRIMINAL, NEW YORK CITY****05231 - BRONX COUNTY SUPREME COURT, BRONX**

JPMorgan Chase No report rec'd  
 Apple Bank No report rec'd

**05235 - KINGS COUNTY SUPREME COURT, BROOKLYN**

HSBC 27,150.23

**05240 - QUEENS COUNTY SUPREME COURT, KEW GARDENS**

JPMorgan Chase 0.00

**05250 - NEW YORK COUNTY CLERK, NEW YORK CITY**

JPMorgan Chase No report rec'd

**05255 - BRONX COUNTY CLERK, BRONX**

Criminal division

JPMorgan Chase 51,427.50

**05260 - KINGS COUNTY CLERK, BROOKLYN**

JPMorgan Chase No report rec'd

**05265 - QUEENS COUNTY CLERK, JAMAICA**

HSBC 601,548.21

**05270 - RICHMOND COUNTY CLERK, STATEN ISLAND**

HSBC 321,524.04

**05275 - NEW YORK COUNTY SURROGATE COURT, NEW YORK CITY**

JPMorgan Chase 5,426,038.48

**05280 - BRONX COUNTY SURROGATE COURT, BRONX**

JPMorgan Chase 27,642.00

**05285 - KINGS COUNTY SURROGATE COURT, BROOKLYN**

JPMorgan Chase 10,327.90

**05290 - QUEENS COUNTY SURROGATE COURT**

Bank of America No report rec'd

**05295 - RICHMOND COUNTY SURROGATE COURT, STATEN ISLAND**

HSBC No report rec'd

**05360 - THIRD JUDICIAL DISTRICT**

Administrative Office - Third District

JPMorgan Chase No report rec'd

Albany City Court, Civil, Albany

Bank of America 1,000.00

Albany City Police Court, Albany

Trustco Bank 37,227.12

Albany City Traffic Court, Albany

Key Bank No report rec'd

Albany County Clerk, Albany

Bank of America No report rec'd

Albany County Surrogate Court, Albany

TD Bank 140,911.81

Cohoes City Court, Cohoes

Bank of America 32,412.75

Columbia County Clerk, Hudson

Bank of America 50,265.66

Columbia County Surrogate Court, Hudson

Bank of America No report rec'd

Greene County Clerk, Catskill

Key Bank 12,104.00

Greene County Surrogate Court, Catskill

Trustco Bank No report rec'd

Hudson City Court, Hudson

HSBC 6,012.75

Kingston City Court, Kingston

Bank of America 72,764.59

Rensselaer City Court, Rensselaer

Key Bank 52,185.52

Rensselaer County Clerk, Troy

Key Bank 7,999.50

Rensselaer County Supreme Court Law Library, Troy

M&T Bank No report rec'd

Rensselaer County Surrogate Court, Troy

Bank of America No report rec'd

Schoharie County Clerk, Schoharie

Key Bank 12,772.00

Schoharie County Surrogate Court, Schoharie

NBT Bank 25,812.44

Sullivan County Clerk

Bank of America 2,134.25

Sullivan County Court, Monticello

Catskill Hudson Bank 415,790.06

Sullivan County Supreme Court Law Library, Monticello

Key Bank 4,169.35

Sullivan County Surrogate Court, Monticello

Key Bank 5.00

Troy City Court, Troy

Key Bank 8,120.00

Troy Supreme Court Library

Bank of America 153,121.73

Ulster County Clerk, Kingston

Bank of America 0.00

Ulster County Surrogate Court, Kingston

TD Bank 265,798.56

Watervliet City Court, Watervliet

Key Bank 26,404.00

**05460 - FOURTH JUDICIAL DISTRICT**

Amsterdam City Court, Amsterdam

Bank of America No report rec'd

Clinton County Clerk, Plattsburgh

Key Bank 70,226.41

Clinton County Surrogate Court, Plattsburgh

Key Bank 16,720.00

Essex County Clerk, Elizabethtown

Key Bank 0.00

Essex County Surrogate Court, Elizabethtown

Champlain National Bank 0.00

Franklin County and Supreme Court

Champlain National Bank 0.00

Franklin County Surrogate Court, Malone

Key Bank 24,863.43

Glens Falls City Court, Glens Falls

Key Bank 0.00

Gloversville City Court, Gloversville

Glens Falls National Bank 95,404.74

Hamilton County Clerk, Lake Pleasant

Key Bank 31,756.27

Hamilton County Surrogate Court, Indian Lake

Community Bank 2,550.00

Johnstown City Court, Johnstown

Community Bank 573.00

Mechanicville City Court, Mechanicville

Key Bank 15,756.76

Montgomery County Clerk, Fonda

Bank of America 19,630.80

Montgomery County Surrogate Court, Fonda

NBT Bank 46,770.00

Ogdensburg City Court, Ogdensburg

NBT Bank 3,430.52

Plattsburgh City Court, Plattsburgh

Community Bank 32,957.89

St. Lawrence County Clerk, Canton

Glens Falls National Bank No report rec'd

St. Lawrence County Surrogate Court, Canton

Community Bank 47,683.09

Saratoga County Clerk, Ballston Spa

Community Bank No report rec'd

Saratoga County Surrogate Court, Ballston Spa

HSBC 99,800.00

Saratoga Springs City Court, Saratoga Springs

Ballston Spa National Bank 23,039.50

Schenectady City Court, Schenectady

Adirondack Trust Bank 229,465.62

Schenectady County Clerk, Schenectady

Bank of America 206,881.94

Schenectady County Surrogate Court, Schenectady

Bank of America No report rec'd

Warren County Clerk, Lake George

Key Bank 21,792.75

Warren County Surrogate Court, Lake George

TD Bank 37,480.00

Washington County Clerk, Fort Edward

TD Bank 0.00

Washington County Surrogate Court, Fort Edward

TD Bank 35,450.00

**05560 - FIFTH JUDICIAL DISTRICT**

Fulton City Court, Fulton

TD Bank 0.00

Herkimer County Clerk, Herkimer

Key Bank No report rec'd

Herkimer County Surrogate Court, Herkimer

M&T Bank 35,272.17

Jefferson County Surrogate Court, Watertown

M&T Bank 1,098.00

Lewis County Clerk, Lowville

Key Bank 10,076.75

Lewis County Surrogate Court, Lowville

Key Bank 13,670.00

Little Falls City Court, Little Falls

Key Bank 3,535.00

Oneida County Combined Court Activities, Utica

Bank of America 1,100.00

M&T Bank 7,600.80

Adirondack Trust Bank 17,100.00

Oneida County Surrogate Court, Utica	Adirondack Trust Bank	16,088.75
Onondaga County Clerk, Syracuse	Alliance Bank	No report rec'd
Onondaga Surrogates Court, Syracuse	Key Bank	56,470.00
Oswego City Court, Oswego	Alliance Bank	9,547.50
Oswego County Surrogate Court, Oswego	JPMorgan Chase	110,158.18
Rome City Court, Rome	Key Bank	7,127.75
Sherrill City Court, Sherrill	Bank of America	149,156.79
Syracuse City Court, Syracuse	Alliance Bank	5,667.98
Utica City Court, Utica	Alliance Bank	441,317.23
	Bank of Utica	52,546.00
	Key Bank	71,073.68
	Key Bank	158,995.74
Watertown City Court, Watertown		
<b>05661 - SIXTH JUDICIAL DISTRICT</b>		
Binghamton City Court, Binghamton	M&T Bank	172,853.93
Broome County Clerk, Binghamton	NBT Bank	812,543.14
Broome County Surrogate Court, Binghamton	M&T Bank	26,251.75
Chemung County Supreme & County Courts, Elmira	Chemung Canal Trust Co	37,626.75
Chemung County Surrogate Court, Elmira	Chemung Canal Trust Co	13,812.25
Chenango County Clerk, Norwich	NBT Bank	110,476.24
Chenango County Supreme & County Courts, Norwich	M&T Bank	No report rec'd
Chenango County Surrogate Court, Norwich	M&T Bank	5,680.50
Cortland City Court, Cortland	Alliance Bank	96,463.35
Cortland County Clerk, Cortland	Alliance Bank	427,773.38
Cortland County Surrogate Court, Cortland	Alliance Bank	6,409.25
Delaware County Clerk, Delhi	Delaware National Bank	30,214.50
Delaware County Surrogate Court, Delhi	Delaware National Bank	9,624.00
Elmira City Court, Elmira	Chemung Canal Trust Co	125,712.00
Ithaca City Court, Ithaca	Bank of America	22,884.00
	Tompkins County Trust Co	90,680.84
	JPMorgan Chase	No report rec'd
Madison County Clerk	Alliance Bank	6,406.25
Madison County Surrogate Court, Wampsville	NBT Bank	No report rec'd
Norwich City Court, Norwich	JPMorgan Chase	73,893.46
Oneida City Court, Oneida	Wilber National Bank	92,952.36
Oneonta City Court, Oneonta	Key Bank	29,041.76
Otsego County Supreme & County Courts, Cooperstown	Key Bank	6,755.75
Otsego County Surrogate Court, Cooperstown	Community Bank	10,313.39
Schuyler County Clerk, Watkins Glen	HSBC	2,016.50
Schuyler County Surrogate Court, Watkins Glen	M&T Bank	75,073.00
Tioga County Clerk, Owego	M&T Bank	3,095.00
Tioga County Surrogate Court, Owego	Tompkins County Trust Co	8,864.00
Tompkins County Clerk, Ithaca	Tompkins County Trust Co	13,130.75
Tompkins County Surrogate Court, Ithaca		
<b>05761 - SEVENTH JUDICIAL DISTRICT</b>		
Auburn City Court, Auburn	Bank of America	84,042.29
Canandaigua City Court, Canandaigua	Canandaigua National Bank	97,537.37
Cayuga County Surrogate Court, Auburn	Bank of America	8,949.75
Corning City Court, Corning	Community Bank	28,591.88
	M&T Bank	28,698.75
	Five Star Bank	155,713.56
Geneva City Court, Geneva	Steuben Trust Co	32,925.24
Hornell City Court, Hornell	Key Bank	0.00
Livingston County Surrogate Court, Geneseo	M&T Bank	20,122.00
Monroe County Surrogate Court, Rochester	Canandaigua National Bank	11,090.00
Ontario County Surrogate Court, Canandaigua	M&T Bank	37,251.75
Rochester City Court - Civil	M&T Bank	648,101.72
Rochester City Court - Criminal	Community Bank	3,532.25
Seneca County Surrogate Court, Waterloo	Community Bank	20,941.50
Steuben County Surrogate Court, Bath	Lyons National Bank	0.00
Wayne County Surrogate Court, Lyons	Community Bank	6,261.50
Yates County Surrogate Court, Penn Yan		
<b>05860 - EIGHTH JUDICIAL DISTRICT</b>		
Allegany County Clerk, Belmont	Steuben Trust Co	20,500.00
Allegany County Surrogate Court, Belmont	Steuben Trust Co	2,705.00
Batavia City Court, Batavia	M&T Bank	33,108.78
Buffalo City Court, Buffalo	M&T Bank	311,785.40
Buffalo Supreme Court Library	M&T Bank	110.20
Cattaraugus County Surrogate Court, Little Valley	Cattaraugus County Bank	9,476.50
Chautauqua County Surrogate Court, Mayville	M&T Bank	No report rec'd
Dunkirk City Court, Dunkirk	HSBC	55,338.57
Erie County Surrogate Court, Buffalo	Key Bank	12,994.25
Genesee County Court, Batavia	Pavillion State Bank	No report rec'd
Genesee County Surrogate Court, Batavia	M&T Bank	5,181.00
Jamestown City Court, Jamestown	Key Bank	136,662.91
Lackawanna City Court, Lackawanna	M&T Bank	52,155.24
Lockport City Court, Lockport	HSBC	137,151.78
Niagara County Court, Lockport	M&T Bank	0.00
Niagara County Surrogate Court, Lockport	M&T Bank	29,295.50
Niagara Falls City Court, Niagara Falls	M&T Bank	345,406.63
North Tonawanda City Court, North Tonawanda	M&T Bank	91,042.98
Olean City Court, Olean	Community Bank	37,779.07
Orleans County Clerk, Albion	Bank of America	165,232.29
Orleans County Surrogate Court, Albion	Bank of America	5,628.00
Salamanca City Court, Salamanca	Community Bank	46,086.94
Tonawanda City Court, Tonawanda	M&T Bank	65,178.58
Wyoming County Court, Warsaw	Five Star Bank	118,022.37
Wyoming County Surrogate Court, Warsaw	Five Star Bank	2,136.00
<b>05960 - NINTH JUDICIAL DISTRICT</b>		
Beacon City Court, Beacon	JPMorgan Chase	104,408.49
Dutchess County Clerk, Poughkeepsie	TD Bank	179,993.63
Dutchess County Surrogate Court, Poughkeepsie	JPMorgan Chase	36,946.00
Middletown City Court, Middletown	JPMorgan Chase	172,529.99



Mount Vernon City Court, Mount Vernon	JPMorgan Chase	346,123.59
New Rochelle City Court, New Rochelle	JPMorgan Chase	436,892.69
Newburgh City Court, Newburgh	Bank of America	87,100.37
	Key Bank	0.00
Orange County Clerk, Goshen	M&T Bank	241,244.34
Orange County Surrogate Court, Goshen	JPMorgan Chase	30,788.50
Peekskill City Court, Peekskill	JPMorgan Chase	189,310.88
Port Jervis City Court, Port Jervis	JPMorgan Chase	61,348.99
Poughkeepsie City Court, Poughkeepsie	Bank of America	204,174.89
Putnam County Supreme and County Court, Carmel	Putnam County National Bank	809,993.82
Putnam County Surrogate Court, Carmel	Putnam County National Bank	13,672.00
Rockland County Clerk, New City	Provident Bank	141,890.31
Rockland County Surrogate Court, New City	JPMorgan Chase	37,947.00
Rye City Court, Rye	JPMorgan Chase	65,270.07
Westchester County Clerk, White Plains	First Union/Wachovia	No report rec'd
	Capital One	375,658.03
Westchester County Surrogate Court, White Plains	JPMorgan Chase	22,593.50
White Plains City Court, White Plains	Hudson Valley National Bank	763,580.64
Yonkers City Court, Yonkers	Hudson Valley National Bank	1,434,669.23
<b>06000 - DEPARTMENT OF AGRICULTURE AND MARKETS</b>	Key Bank	991,259.19
	JPMorgan Chase	224,855.96
	M&T Bank	9,931.49
<b>06110 - NEW YORK STATE FAIR</b>	Solvay Bank	36,269.96
<b>07000 - BANKING DEPARTMENT</b>	JPMorgan Chase	No report rec'd
	Key Bank	No report rec'd
	Citizens Bank	No report rec'd
<b>08000 - DEPARTMENT OF CIVIL SERVICE</b>	Bank of America	13,767.41
	JPMorgan Chase	4,419,068.55
	HSBC	129,000.00
	Key Bank	2,254.31
<b>08010 - PUBLIC EMPLOYEES RELATIONS BOARD</b>		
<b>09000 - DEPARTMENT OF ENVIRONMENTAL CONSERVATION</b>	JPMorgan Chase	4,361,654.50
Central Department Office	M&T Bank	1,118,416.23
<b>Field Office Bank Balances</b>		
Belleayre Ski Center	Key Bank	325,618.54
Region 1	Bank of New York	50,397.69
	Bank of Smithtown	259,111.18
Region 3	Bank of America	175,055.55
Region 4	Key Bank	64,138.45
	NBT Bank	100.00
	National Bank of Delaware	0.00
Region 5	Citizens Bank	No report rec'd
	Community Bank	38.53
	NBT Bank	234.70
	Glens Falls National Bank	11.41
Region 6	TD Bank	2,571.55
	Bank of America	4,863.50
	Community Bank	1,117.10
	Partners Trust	19.90
Region 7	Key Bank	26,741.55
	JPMorgan Chase	36,369.02
	Alliance Bank	5,716.50
Region 8	NBT Bank	0.00
	Five Star Bank	12,646.88
	Bank of Castille	30,940.57
Region 9	Community Bank	41,754.00
	M&T Bank	523.00
<b>10000 - ATTICA CORRECTIONAL FACILITY</b>	Five Star Bank	626,379.98
<b>10010 - AUBURN CORRECTIONAL FACILITY</b>	Bank of America	405,410.24
<b>10020 - CLINTON CORRECTIONAL FACILITY</b>	Key Bank	754,601.73
<b>10030 - WATERTOWN CORRECTIONAL FACILITY</b>	Key Bank	95,271.97
<b>10040 - GREAT MEADOW CORRECTIONAL FACILITY</b>	Glens Falls National Bank	490,245.60
<b>10050 - FISHKILL CORRECTIONAL FACILITY</b>	M&T Bank	735,876.53
<b>10060 - WALLKILL CORRECTIONAL FACILITY</b>	Key Bank	212,027.45
	Eastern	6,722.83
<b>10070 - SING SING CORRECTIONAL FACILITY</b>	Mohopac National Bank	738,045.50
<b>10080 - GREEN HAVEN CORRECTIONAL FACILITY</b>	Key Bank	764,043.75
<b>10090 - ALBION CORRECTIONAL FACILITY</b>	Bank of America	204,258.29
<b>10100 - EASTERN NEW YORK CORRECTIONAL FACILITY</b>	Provident Bank	305,743.06
	M&T Bank	50,102.55
<b>10110 - ELMIRA CORRECTIONAL AND RECEPTION CENTER</b>	Chemung Canal Trust Co	379,488.57
	M&T Bank	209,864.57
<b>10120 - BEDFORD HILLS CORRECTIONAL FACILITY</b>	Bank of New York	215,954.56
<b>10130 - COXSACKIE CORRECTIONAL FACILITY</b>	National Bank of Coxsackie	0.00
<b>10140 - WOODBOURNE CORRECTIONAL FACILITY</b>	Bank of America	141,237.84
	First National Bank of Jefferson	150,000.00
<b>10150 - ARTHUR KILL CORRECTIONAL FACILITY</b>	JPMorgan Chase	402,238.47
<b>10160 - DEPARTMENT OF CORRECTIONAL SERVICES MAIN OFFICE</b>	Key Bank	124,036.74
<b>10162 - TRAINING ACADEMY</b>	Citizens Bank	45.20
<b>10170 - QUEENSBORO CORRECTIONAL FACILITY</b>	Citibank	0.00
	JPMorgan Chase	89,723.62
<b>10190 - MONTEREY SHOCK INCARCERATION CORRECTIONAL FACILITY</b>	Community Bank	29,576.80
<b>10200 - SUMMIT SHOCK INCARCERATION CORRECTIONAL FACILITY</b>	Wilber National Bank	38,373.75
<b>10210 - CAMP GEORGETOWN</b>	Citizens Bank	76,619.59
	NBT Bank	4,268.32
<b>10230 - ADIRONDACK CORRECTIONAL FACILITY</b>	Citizens Bank	96,584.13
<b>10240 - DOWNSTATE CORRECTIONAL FACILITY</b>	JPMorgan Chase	120,032.08
	Citizens Bank	49,635.77
<b>10250 - TACONIC CORRECTIONAL FACILITY</b>	Bank of New York	47,717.07
	JPMorgan Chase	30,477.59



10260 - MT. MCGREGOR CORRECTIONAL FACILITY	Bank of America	123,142.36
10270 - HUDSON CORRECTIONAL FACILITY	Key Bank	158,971.50
10280 - MID-ORANGE CORRECTIONAL FACILITY	Key Bank	291,369.14
10290 - OTISVILLE CORRECTIONAL FACILITY	Citizens Bank	149,399.57
	Walden Federal Bank	100,914.68
10300 - ROCHESTER CORRECTIONAL FACILITY	M&T Bank	50,956.66
10310 - BAYVIEW CORRECTIONAL FACILITY	JPMorgan Chase	107,455.97
10320 - EDGEcombe CORRECTIONAL FACILITY	JPMorgan Chase	13,509.22
10340 - BEACON CORRECTIONAL FACILITY	M&T Bank	36,161.03
10350 - OGDENSBURG CORRECTIONAL FACILITY	Community Bank	72,500.41
10360 - LINCOLN CORRECTIONAL FACILITY	JPMorgan Chase	331,603.24
10370 - FIVE POINTS CORRECTIONAL FACILITY	The National Bank of Geneva	296,901.25
10380 - FULTON CORRECTIONAL FACILITY	JPMorgan Chase	225,193.65
10390 - MOHAWK CORRECTIONAL FACILITY	Bank of America	288,704.59
10430 - WENDE CORRECTIONAL FACILITY	Alden State Bank	250,479.80
10440 - ONEIDA CORRECTIONAL FACILITY	Bank of America	249,325.53
10441 - FOOD PRODUCTION CENTER	Bank of America	200.00
10450 - GOWANDA CORRECTIONAL FACILITY	Evans National Bank	431,385.70
10460 - GROVELAND CORRECTIONAL FACILITY	Wyoming County Bank	226,042.06
10470 - COLLINS CORRECTIONAL FACILITY	HSBC	259,734.12
10480 - MID-STATE CORRECTIONAL FACILITY	Bank of America	371,309.72
10490 - MARCY CORRECTIONAL FACILITY	Bank of America	270,128.08
10491 - NYS DOCS CENTRAL PHARMACY	Bank of America	589.08
10500 - NEW YORK CITY CENTRAL ADMINISTRATION	JPMorgan Chase	3,722.98
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY	Glens Falls National Bank	35,124.21
10520 - BUTLER SHOCK INCARCERATION CORRECTIONAL FACILITY	Bank of America	41,329.04
10530 - FRANKLIN CORRECTIONAL FACILITY	Key Bank	362,422.13
10540 - ALTONA CORRECTIONAL FACILITY	NBT Bank	85,316.22
10550 - CAYUGA CORRECTIONAL FACILITY	First National Bank of Groton	189,155.00
10560 - BARE HILL CORRECTIONAL FACILITY	Key Bank	456,964.88
10570 - RIVERVIEW CORRECTIONAL FACILITY	Key Bank	176,340.02
10580 - CAPE VINCENT CORRECTIONAL FACILITY	Community Bank	211,361.79
10590 - LYON MOUNTAIN CORRECTIONAL FACILITY	Key Bank	23,587.40
10600 - LAKEVIEW SHOCK INCARCERATION CORRECTIONAL FACILITY	Community Bank	187,166.44
10610 - ULSTER CORRECTIONAL FACILITY	Bank of America	63,456.48
10630 - SOUTHPORT CORRECTIONAL FACILITY	Chemung Canal Trust Co	294,142.91
10640 - ORLEANS CORRECTIONAL FACILITY	Bank of America	231,980.04
10650 - WASHINGTON CORRECTIONAL FACILITY	Glens Falls National Bank	167,413.88
10660 - WYOMING CORRECTIONAL FACILITY	Wyoming County Bank	393,921.80
10670 - GREENE CORRECTIONAL FACILITY	National Bank of Coxsackie	390,847.41
10680 - SHAWANGUNK CORRECTIONAL FACILITY	Key Bank	181,630.20
10690 - SULLIVAN CORRECTIONAL FACILITY	Bank of America	198,097.86
10800 - LIVINGSTON CORRECTIONAL FACILITY	Wyoming County	202,234.84
10810 - GOUVERNEUR CORRECTIONAL FACILITY	Community Bank	204,687.51
10820 - WILLARD CORRECTIONAL FACILITY	Community Bank	66,324.85
10840 - UPSTATE CORRECTIONAL FACILITY	Key Bank	412,880.53
10850 - HALE CREEK CORRECTIONAL FACILITY	Bank of America	117,111.16
10860 - CHATEAUGAY CORRECTIONAL FACILITY	Key Bank	23,097.10
10880 - BUFFALO CORRECTIONAL FACILITY	M&T Bank	72,829.05
10900 - ATTICA INDUSTRIAL	HSBC	1,018.50
10902 - CLINTON INDUSTRIAL	Key Bank	1,000.00
10904 - GREAT MEADOW INDUSTRIAL	Citizens Bank	711.00
10905 - FISHKILL INDUSTRIAL	M&T Bank	886.20
10906 - WALKILL INDUSTRIAL	Key Bank	440.09
10908 - GREEN HAVEN INDUSTRIAL	Key Bank	3,263.00
10909 - ALBION INDUSTRIAL	Bank of America	1,000.00
10910 - EASTERN INDUSTRIAL	Bank of America	1,000.00
10911 - ELMIRA INDUSTRIAL	Chemung Canal Trust Co	500.00
10913 - COXSACKIE INDUSTRIAL	National Bank of Coxsackie	500.00
10916 - CENTRAL OFFICE DIVISION OF INDUSTRIES	Key Bank	226,192.11
11000 - EDUCATION DEPARTMENT		
Consolidated Cash Advance Account	Key Bank	No report rec'd
Revenue Account	Key Bank	452,334.16
11100 - NYS HIGHER EDUCATION SERVICES CORPORATION	Key Bank	91,692,007.12
	Sovereign Bank	623,751.85
	JPMorgan Chase	38,552,034.53
	M&T Bank	No report rec'd
	Bank of America	No report rec'd
11260 - NYS SCHOOL FOR THE BLIND, BATAVIA	NBT Bank	80,759.96
	M&T Bank	445,282.63
11270 - NYS SCHOOL FOR THE DEAF, ROME	M&T Bank	1,924,285.56
12000 - DEPARTMENT OF HEALTH - CENTRAL OFFICE ALBANY	Bank of New York	286,227.34
12010 - ROSWELL PARK MEMORIAL INSTITUTE, BUFFALO	NBT Bank	33,291.53
12030 - HELEN HAYES HOSPITAL, WEST HAVERSTRAW	NBT Bank	718,611.24
12120 - OXFORD HOME FOR VETERANS, OXFORD	NBT Bank	414,986.52
12150 - ST. ALBANS HOME FOR VETERANS, ST. ALBANS	Bank of America	No report rec'd
12180 - BATAVIA HOME FOR VETERANS	NBT Bank	238,482.02
	Bank of America	327,496.24
12190 - MONTROSE HOME FOR VETERANS	JPMorgan Chase	181.65
	Key Bank	648.17
12200 - OFFICE OF THE MEDICAID INSPECTOR GENERAL	Key Bank	340,071.48
	JPMorgan Chase	129,416.51
13000 - INSURANCE DEPARTMENT	Key Bank	1,783,623.09
	Bank of America	411,779.56
	JPMorgan Chase	1,003,674.29
	Bank One	No report rec'd
14000 - DEPARTMENT OF LABOR	JPMorgan Chase	No report rec'd
	Bank of America	No report rec'd
14010 - WORKERS' COMPENSATION BOARD	Key Bank	No report rec'd
16000 - PUBLIC SERVICE COMMISSION		
17000 - DEPARTMENT OF TRANSPORTATION		

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49040 - NIAGARA FRONTIER STATE PARKS COMMISSION	Evans National Bank	0.00
	Key Bank	30,197.03
	M&T Bank	2,964.80
49050 - PALISADES INTERSTATE PARKS COMMISSION	JPMorgan Chase	8,568.99
49070 - PARKS AND RECREATION MAIN OFFICE	JPMorgan Chase	192,507.77
	Key Bank	464,164.93
	Bank of America	18,188.03
	HSBC	444,796.88
	M&T Bank	7,792.38
49090 - FINGER LAKES STATE PARKS COMMISSION	Canandaigua National Bank	2,168.97
	Community Bank	491.14
	Savannah Bank	974.84
	Tompkins County Trust Co	9,241.65
49100 - ALLEGANY STATE PARKS COMMISSION	Five Star Bank	202,024.46
49120 - CENTRAL NEW YORK STATE PARKS COMMISSION	NBT Bank	6,928.61
	JPMorgan Chase	27,141.39
	M&T Bank	2,500.00
49130 - TACONIC STATE PARKS COMMISSION	JPMorgan Chase	4,783.09
	M&T Bank	20,042.42
49140 - THOUSAND ISLANDS STATE PARKS COMMISSION	Citizens Bank	1,149.73
	Community Bank	280.24
	HSBC	3,500.20
50000 - OFFICE OF MENTAL HEALTH		
Consolidated Advance	Bank of America	4,202.35
Utica Print Shop	Bank of America	287.00
50010 - GREATER BINGHAMTON HEALTH CENTER	JPMorgan Chase	265,748.39
	Citizens Bank	400,009.00
50020 - KINGSBORO PSYCHIATRIC CENTER	Citibank	67,313.21
	Banco Popular	923,889.83
50030 - BUFFALO PSYCHIATRIC CENTER	Citizens Bank	401,850.77
	HSBC	407,034.57
	Key Bank	101.20
	M&T Bank	197,077.73
50060 - HUDSON RIVER PSYCHIATRIC CENTER	JPMorgan Chase	220,699.29
	Citizens Bank	200,000.00
50080 - MANHATTAN PSYCHIATRIC CENTER	New York National Bank	847,796.25
50110 - ROCHESTER PSYCHIATRIC CENTER	Key Bank	286,175.74
	Citizens Bank	175,459.40
50120 - ST. LAWRENCE PSYCHIATRIC CENTER	Community Bank	398,970.43
50150 - CREEDMOOR PSYCHIATRIC CENTER	HSBC	1,456,766.83
50170 - ROCKLAND PSYCHIATRIC CENTER	JPMorgan Chase	1,078,505.48
	Key Bank	0.00
	Provident Bank	300,582.87
	HSBC	302,285.00
50180 - NEW YORK PSYCHIATRIC CENTER	JPMorgan Chase	26,133.61
50190 - HUTCHINGS PSYCHIATRIC CENTER	Key Bank	168,300.41
50200 - PILGRIM PSYCHIATRIC CENTER	JPMorgan Chase	1,360,719.44
	Citibank	650,000.00
50210 - MOHAWK VALLEY PSYCHIATRIC CENTER	Bank of America	271,456.10
50310 - BRONX PSYCHIATRIC CENTER	JPMorgan Chase	1,208,226.85
50340 - NATHAN S. KLINE PSYCHIATRIC CENTER	JPMorgan Chase	1,200.00
50350 - KIRBY FORENSIC PSYCHIATRIC CENTER	New York National Bank	106,179.61
50390 - CENTRAL NEW YORK PSYCHIATRIC CENTER	Bank of America	130,135.07
50440 - MID-HUDSON PSYCHIATRIC CENTER	Key Bank	587,947.75
50520 - BROOKLYN CHILDREN'S CENTER	Banco Popular	16,628.17
50790 - SOUTH BEACH PSYCHIATRIC CENTER	JPMorgan Chase	263,384.42
50810 - WESTERN NY CHILDRENS PSYCHIATRIC CENTER	M&T Bank	1,596.80
50850 - SAGAMORE CHILDRENS PSYCHIATRIC CENTER	JPMorgan Chase	31,135.41
50860 - ROCKLAND CHILDRENS PSYCHIATRIC CENTER	JPMorgan Chase	10,221.02
	Marine Midland Bank	No report rec'd
50870 - QUEENS CHILDRENS PSYCHIATRIC CENTER	HSBC	56,636.58
50880 - BRONX CHILDRENS PSYCHIATRIC CENTER	JPMorgan Chase	9,129.67
50920 - ELMIRA PSYCHIATRIC CENTER	Chemung Canal Trust Co	551,683.96
50980 - CAPITAL DISTRICT PSYCHIATRIC CENTER	Key Bank	461,478.85
51000 - OFFICE OF MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES	Bank of America	No report rec'd
51210 - HUDSON VALLEY DDSO	JPMorgan Chase	No report rec'd
	JPMorgan Chase	No report rec'd
51220 - NEWARK DEVELOPMENTAL CENTER	JPMorgan Chase	No report rec'd
51230 - ROME DEVELOPMENTAL CENTER	Key Bank	No report rec'd
	M&T Bank	No report rec'd
51240 - CENTRAL NY DDSO	Banco Popular	No report rec'd
	Bank of America	1,471,512.29
	Key Bank	2,950,989.66
51250 - TACONIC DEVELOPMENTAL CENTER	M&T Bank	4,018,726.04
51260 - FINGER LAKES DEVELOPMENTAL CENTER	Bank of America	No report rec'd
	Key Bank	No report rec'd
	New York National Bank	No report rec'd
	Wyoming County Bank	No report rec'd
51270 - STATEN ISLAND DEVELOPMENTAL CENTER	JPMorgan Chase	857,162.37
51290 - CAPITAL DISTRICT DDSO	Adirondack Trust Bank	941,287.24
	Key Bank	860,639.80
	Saratoga National	555,925.40
	Banco Popular	No report rec'd
51330 - WESTERN NEW YORK DDSO	Community Bank	No report rec'd
	HSBC	752,312.56
	Citizens Bank	2,345,960.56
	M&T Bank	1,386,241.29
51350 - LONG ISLAND DEVELOPMENTAL CENTER	JPMorgan Chase	No report rec'd
51380 - BROOKLYN DEVELOPMENTAL CENTER	Banco Popular	90,000.00
	JPMorgan Chase	1,777,301.03

51420 - SUNMOUNT DEVELOPMENTAL CENTER	Community Bank	955,121.33
	NBT Bank	850,000.00
51430 - INSTITUTE FOR BASIC RESEARCH IN MENTAL RETARDATION	Citibank	12,000.00
51450 - METRO NY DDSO	JPMorgan Chase	No report rec'd
51470 - BERNARD W. FINESON DEVELOPMENTAL CENTER	Banco Popular	802,836.38
	JPMorgan Chase	979,055.37
51500 - VALLEY RIDGE CIT	NBT Bank	No report rec'd
51600 - MANHATTAN DEVELOPMENTAL CENTER	JPMorgan Chase	No report rec'd
51760 - OSWALD D. HECK DEVELOPMENTAL CENTER	Key Bank	No report rec'd
	New York National Bank	No report rec'd
	Trustco Bank	No report rec'd
51780 - FINGER LAKES DDSO	JPMorgan Chase	3,514,419.40
	Bank of America	1,032,316.04
	Community Bank	1,832,515.50
51910 - WESTCHESTER DEVELOPMENTAL CENTER	First Hudson Valley Bank	No report rec'd
	Bank of America	No report rec'd
	New York National Bank	No report rec'd
51940 - BROOME DEVELOPMENTAL CENTER	M&T Bank	593,924.40
	HSBC	2,215,000.00
53020 - NYS OASAS KINGSBORO ATC	NBT Bank	168.00
53000 - OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES	Bank of America	926.72
	Key Bank	2,720.53
	Bank of America	20,384.64
70000 - CITY UNIVERSITY OF NY - ADMINISTRATION	JPMorgan Chase	No report rec'd
70010 - CITY UNIVERSITY OF NY - BROOKLYN COLLEGE	Republic National Bank	No report rec'd
70020 - CITY UNIVERSITY OF NY - CITY COLLEGE	JPMorgan Chase	No report rec'd
70030 - CITY UNIVERSITY OF NY - HUNTER COLLEGE	JPMorgan Chase	No report rec'd
70040 - CITY UNIVERSITY OF NY - QUEENS COLLEGE	JPMorgan Chase	No report rec'd
70050 - CITY UNIVERSITY OF NY - BARUCH COLLEGE	JPMorgan Chase	No report rec'd
70060 - CITY UNIVERSITY OF NY - JOHN JAY COLLEGE	JPMorgan Chase	No report rec'd
70070 - CITY UNIVERSITY OF NY - LEHMAN COLLEGE	JPMorgan Chase	No report rec'd
70080 - CITY UNIVERSITY OF NY - YORK COLLEGE	JPMorgan Chase	No report rec'd
70090 - CITY UNIVERSITY OF NY - GRADUATE SCHOOL AND UNIVERSITY CENTER	JPMorgan Chase	No report rec'd
70100 - CITY UNIVERSITY OF NY - COLLEGE OF STATEN ISLAND	JPMorgan Chase	No report rec'd
70120 - CITY UNIVERSITY OF NY - TECHNICAL COLLEGE	Banco Popular	No report rec'd

The above balances represent funds deposited in various banking institutions as reported by the State department and agencies, and published in accordance with Section 107 of the State Finance Law.

*Division of the Treasury, Department of Taxation and Finance*

AIDA M. BREWER - Treasurer

**FUNDS OF THE DIVISION OF THE TREASURY OF WHICH THE COMMISSIONER OF TAXATION AND FINANCE  
IS THE SOLE CUSTODIAN WITH BALANCES AS OF  
December, 31 2009**

<u>ACCOUNT DISCRPTION</u>		<u>DEPOSITORY</u>	<u>BALANCE</u>
<b>COMMUNITY COLLEGE TUITION AND INSTRUCTIONAL INCOME FUND</b>			
221	Upstate Community Colleges Series 1994A	Key Bank	49,074.14
224	Upstate Community Colleges Series 1999A	Key Bank	44,408.05
225	Upstate Community Colleges Series 2000A	Key Bank	51,640.01
226	Upstate Community Colleges FIT Series 2000	Key Bank	44,981.09
227	Upstate Community Colleges Series 2001A	Key Bank	43,072.17
228	Upstate Community Colleges Series 2002A	Key Bank	43,700.96
229	Upstate Community Colleges Series 2004	Key Bank	46,065.51
230	Upstate Community Colleges Series 2004B	Key Bank	44,231.15
231	Community College Tuition and Instructional Income Account Series 2005A	Key Bank	44,276.05
232	Community College Tuition and Instructional Income Account Series 2005B	Key Bank	45,007.52
233	Community College Tuition and Instructional Income Account Series 2005C	Key Bank	47,210.27
<b>DORMITORY AUTHORITY OF THE STATE OF NEW YORK</b>			
039	Mental Hygiene Facilities Improvement Fund Income Account	Bank of America	124,975.08
070	Hospital & Nursing Home Project Operating Fund	Key Bank	23,017.23
104	Lincoln Medical & Mental Health Center Project Construction Account	Key Bank	27,035.58
105	Greenpoint Medical & Mental Health Center Project Construction Account	Key Bank	22,539.36
149	State Advances Repayment Account	Bank of America	0.00
<b>EXCELSIOR LINKED DEPOSIT PROGRAM</b>			
510	Commissioner of Tax & Finance Excelsior Linked Deposit Program	Key Bank	0.00
<b>NELSON A. ROCKEFELLER EMPIRE STATE PLAZA ARTS CENTER CORPORATION</b>			
315	Nelson A. Rockefeller Empire State Plaza Arts Center Corporation	Key Bank	12,963.82
<b>NEW YORK CONVENTION CENTER OPERATING CORPORATION FUND</b>			
300	New York Convention Center Operating Corporation Fund	JPMorgan Chase	6,489,642.19
<b>NEW YORK JOB DEVELOPMENT AUTHORITY</b>			
036	Special Purpose Fund	Bank of America	433,618.74
371	Loan Guarantee Insurance Fund	Bank of America	298,718.26
389	Daily Demand Special Purpose Bonds Series 1992 A-B	JPMorgan Chase	75,548.93
421	Package Fulfillment Center, Inc.	Key Bank	30,716.68
422	Prestige Envelope & Lithographic Corporation	Key Bank	20,850.35
423	USA Industries, Inc.	Key Bank	37,090.95
424	Escrow Account - Pluritec USA, Inc.	Key Bank	19,684.88
<b>NEW YORK STATE AFFORDABLE HOUSING CORPORATION</b>			
491	Disbursement Account	JPMorgan Chase	187,699.15
520	Development Account	Key Bank	(2,006,916.10)
521	Development Account II	Key Bank	480,093.56
522	Commissioner of Tax & Finance as Fiscal Agent of NYSAHC Repayment Account	Key Bank	146,350.54
523	Recapture Account	Key Bank	158,030.89
880	Payroll Account	JPMorgan Chase	0.00
<b>NEW YORK STATE ENERGY RESEARCH AND DEVELOPMENT AUTHORITY</b>			
030	Operating Account	Bank of America	1,210,133.26
<b>NEW YORK STATE DEPARTMENT OF SOCIAL SERVICES</b>			
320	Homeless Housing & Assistance Corporation Operations Account	Key Bank	273,121.85
<b>NEW YORK STATE HOUSING FINANCE AGENCY</b>			
032	State University Construction Operating Fund	Key Bank	39,224.64
044	Non-Profit Housing Operating Fund	Key Bank	10,063.71
078	Existing Section 8 Housing Payments Operating Fund	JPMorgan Chase	29,414.42
250	Special Debt Service Reserve Fund (Uninsured Section 8 Assisted)	JPMorgan Chase	34,157.61
251	Housing Project Repair Fund	Key Bank	31,633.66
252	Energy Conservation / Tenant Health & Safety Improvement Account	JPMorgan Chase	44,069.75
254	Agency Assisted Housing Operating Fund	JPMorgan Chase	329,866.51
255	Residual Indebtedness Program Operating Fund	JPMorgan Chase	78,454.97
257	Transfer Fee Account	Key Bank	26,648.33
258	Insured Housing Initiatives Fund Account	JPMorgan Chase	32,412.75
266	Community Related Programs Operating Account	Key Bank	47,695.13
267	Neighborhood Stabilization Program	JPMorgan Chase	0.00
270	Claims Repayment	Key Bank	69,821.94
271	Pre-Bond Revenue	Key Bank	74,599.63
272	TCAP	Key Bank	0.00
273	Rochdale Village Construction Defect Repair Disbursement Account	JPMorgan Chase	10,067.13
275	Trump Village Section 3 Construction Defect Repair Disbursement Account	JPMorgan Chase	8,246.52
276	Concourse Village Construction Defect Repair Fund Disbursement Account	JPMorgan Chase	1,488.14
277	Amalgamated Warbasse Houses Construction Defect Repair Escrow Fund	JPMorgan Chase	12,574.17
278	Amalgamated Warbasse Houses Disbursing Account	JPMorgan Chase	2,832.16
279	Infrastructure Development Fund II	Key Bank	(38,968.02)
280	Mobile Home Cooperative Fund II	Key Bank	28,671.02
282	Bonded Housing Project Fund	Key Bank	18,551.86
283	Small Owner's Assistance Program Account	Key Bank	15,858.00
285	Public Purpose Account	Key Bank	512,739.21

286	Disbursement Account	Key Bank	1,171,064.55
287	Infrastructure Development Fund	Key Bank	32,809.00
288	Mobile Home Cooperative Fund	Key Bank	21,968.87
289	HELP Greenburgh	Key Bank	0.00
290	Low Rent Lease Account	Key Bank	141,452.61
291	Public Purpose Commitment Account	Key Bank	121,712.22
292	Homeless Housing Initiatives	Key Bank	87,599.79
293	Homeownership & Eco Stabilization for the LI Pgm	Key Bank	17,157.97
879	Payroll Account	JPMorgan Chase	125,000.00
<b>NEW YORK STATE HOUSING TRUST FUND CORPORATION</b>			
458	Section 8 Housing Assistance Payment Account	JPMorgan Chase	2,333.84
460	Escrow Account	M&T Bank	722,338.73
461	Public Housing Modernization Program Account	M&T Bank	156,422.32
462	Housing Trust Fund Account	M&T Bank	120,495.36
463	Administrative Account	M&T Bank	261,824.10
464	Turnkey / Enhanced Housing Account	M&T Bank	27,787.07
465	Home Program Account	M&T Bank	173,379.35
466	Homes for Working Families Account	M&T Bank	1,059,359.30
467	Section 8 Administrative Account	M&T Bank	1,236,403.15
468	Small Cities Administrative Account	M&T Bank	94,910.66
469	OCR Community Miscellaneous Programs	M&T Bank	52,576.55
891	Small Cities Community Development Block Grant Program	M&T Bank	67,053.86
892	Disaster Recovery Initiative Account	M&T Bank	0.00
893	Payroll Account for Small Cities CDBG Program	M&T Bank	35.80
894	Assets For Independence (AFI) Program	JPMorgan Chase	1,979,216.18
<b>NEW YORK STATE INSURANCE DEPARTMENT</b>			
001	Property and Casualty Insurance Security Fund	Key Bank	47,876.07
002	Public Motor Vehicle Liability Security Fund	JPMorgan Chase	134,743.01
003	Workers' Compensation Security Fund	JPMorgan Chase	122,105.06
<b>NEW YORK STATE TAX COMMISSION</b>			
777	Star Rebate Funding Account	JPMorgan Chase	(8,565,119.57)
801	SIU Special Refund Account	Key Bank	0.00
802	SIU Special Refund Account	Key Bank	0.00
827	Stock Transfer Incentive Fund	Key Bank	464,992.72
847	Parimutuel Revenue Transfer Account	Key Bank	0.00
848	Off-Track Parimutuel Revenue Transfer Account	Key Bank	13,554.79
<b>NEW YORK STATE TEACHERS' RETIREMENT SYSTEM</b>			
052	Master Funding Account	State Street Bank	0.00
052	Main Account	JPMorgan Chase	(11,392,743.43)
853	Excess	JPMorgan Chase	1,777,031.71
<b>STATE INSURANCE FUND</b>			
053	State Insurance Fund	JPMorgan Chase	7,554,832.00
054	Disability Benefits Fund	JPMorgan Chase	41,427.00
055	Aggregate Trust Fund	JPMorgan Chase	268,292.00
834	Disability Benefits Fund - Administrative Expense Account	JPMorgan Chase	0.00
861	Disability Benefits Fund - Tax Escrow Account	Bank of America	29,973.44
<b>STATE UNIVERSITY CONSTRUCTION FUND</b>			
034	Income Account	Key Bank	389,536.91
075	Educational Facilities Revenue Bonds Debt Service Accou	Key Bank	84,572.70
870	Deductions Account	Key Bank	57,546.76
871	Bio-Tech Incubator at Farmingdale	Key Bank	22,547.69
<b>WORKERS' COMPENSATION BOARD</b>			
006	Fund for Reopened Cases	Bank of America	(1,679,273.68)
007	Special Disability Fund	Bank of America	(31,590,941.21)
008	Special Fund for Disability Benefits	Bank of America	86,290.21
008a	Special Fund for Disability Benefits	Key Bank	158,414.23
011	Uninsured Employers' Fund	Bank of America	(1,087,967.14)
<b>WORLD TRADE CENTER</b>			
600	World Trade Center Memorial Foundation Fund	Bank of America	187,599.30

The above balances represent funds deposited in various banking institutions per the records of the Department of Taxation & Finance, Division of Treasury, and published in accordance with Section 107 of the State Finance Law.

*Division of the Treasury, Department of Taxation and Finance*  
**AIDA M. BREWER** - Treasurer

**STATE OF NEW YORK  
OFFICE OF THE STATE COMPTROLLER  
OFFICE OF OPERATIONS  
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES  
BUREAU OF STATE ACCOUNTING OPERATIONS**

Comptroller's Monthly Report  
On State Funds Cash Basis of Accounting  
(Pursuant to Sec. 8(9-a) of the State Finance Law)

**December 2009**



**THOMAS P. DINAPOLI  
STATE COMPTROLLER**



**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES**  
(amounts in millions)

EXHIBIT A

	GENERAL			SPECIAL REVENUE			DEBT SERVICE			CAPITAL PROJECTS			TOTAL GOVERNMENTAL FUNDS			YEAR OVER YEAR	
	MONTH OF DEC. 2009	9 MOS. ENDED DEC. 31, 2009	MONTH OF DEC. 2009	9 MOS. ENDED DEC. 31, 2009	MONTH OF DEC. 2009	9 MOS. ENDED DEC. 31, 2009	MONTH OF DEC. 2009	9 MOS. ENDED DEC. 31, 2009	MONTH OF DEC. 2009	9 MOS. ENDED DEC. 31, 2009	MONTH OF DEC. 2008	9 MOS. ENDED DEC. 31, 2008	\$ Increase/ (Decrease)	% Increase/ (Decrease)			
<b>RECEIPTS:</b>																	
(7)	\$717.7	\$14,524.9	\$1,992.0	\$2,976.6	\$903.2	\$5,833.8	\$	\$	\$	\$	\$3,674.4	\$27,567.3	(\$4,232.0)	-15.4%			
	820.4	6,166.1	201.0	1,589.9	252.7	1,860.0					1,320.1	10,804.1	(271.6)	-2.5%			
	917.8	3,547.4	228.4	1,110.8	--	--					1,680.9	5,366.5	(223.3)	-4.2%			
(9)	72.5	697.3	89.1	797.4	25.3	216.7					145.9	1,626.7	224.2	13.8%			
	483.4	2,508.1	1,303.8	10,631.2	45.4	627.5					1,782.2	13,126.1	2,868.8	21.9%			
(10)	--	59.7	4,467.9	31,903.1	--	1,462.5					2,768.4	26,545.6	6,879.7	25.9%			
	3,021.8	27,503.5	8,282.2	49,009.0	1,226.6	8,638.0					11,371.9	85,036.3	5,245.8	6.2%			
<b>DISBURSEMENTS:</b>																	
<b>Local Assistance Grants:</b>																	
(2)(7)	382.8	993.1	--	--	--	--					383.3	948.1	45.0	4.7%			
	1,579.8	13,119.8	2,431.3	7,746.0	--	--					2,425.3	19,659.3	1,254.4	6.4%			
<b>Social Services:</b>																	
(1)(6)	907.2	5,917.0	3,008.7	23,867.5	--	--					3,305.6	25,522.3	4,262.2	16.7%			
	245.2	2,342.6	710.6	3,270.3	--	--					566.2	5,284.5	328.7	6.2%			
(6)	167.7	1,192.2	210.3	2,026.3	--	--					403.1	3,459.2	(128.5)	-3.7%			
	29.1	245.5	156.1	1,051.3	--	--					192.8	1,326.2	20.5	1.5%			
	0.7	57.1	817.8	3,086.1	--	--					686.9	3,142.3	345.1	11.0%			
	16.3	130.8	31.4	259.2	--	--					44.6	324.7	65.3	20.1%			
	0.5	23.4	3.3	84.5	--	--					4.1	71.4	36.5	51.1%			
	34.8	329.2	57.1	646.8	--	--					120.9	2,276.0	(1,031.6)	-45.3%			
	3,364.1	24,350.7	7,426.6	42,048.0	--	--					8,136.2	62,014.0	5,197.6	8.4%			
<b>Departmental Operations:</b>																	
	562.3	5,174.5	609.3	4,968.5	--	--					1,134.1	9,750.3	392.7	4.0%			
	140.0	1,440.3	367.6	2,798.0	12.6	41.1					566.3	4,776.2	(496.8)	-10.4%			
(8)(9)	126.5	2,134.7	219.0	1,409.0	--	--					463.4	4,268.7	(725.0)	-17.0%			
<b>Debt Service, Including Payments on</b>																	
(3)	--	--	--	--	421.0	3,091.5					744.5	3,074.0	17.5	0.6%			
(4)	--	--	1.1	7.3	--	--					492.0	4,306.5	(24.4)	-0.6%			
	4,192.9	33,100.2	8,623.6	51,230.8	433.6	3,132.6					11,536.5	88,189.7	4,361.6	4.9%			
<b>Total Disbursements</b>																	
<b>Excess (Deficiency) of Receipts over Disbursements</b>																	
	(1,171.1)	(5,596.7)	(341.4)	(2,221.8)	783.0	5,405.4					(164.6)	(3,153.4)	884.2	28.0%			
<b>OTHER FINANCING SOURCES (USES):</b>																	
<b>Bond Proceeds (net)</b>																	
(5)(9)	1,240.1	7,672.3	950.8	5,243.6	532.5	4,918.4					2,714.3	19,172.4	(934.6)	-4.9%			
(5)(9)	(430.7)	(4,228.7)	(512.7)	(3,229.4)	(1,733.0)	(10,078.1)					(2,691.5)	(19,197.6)	(908.6)	-4.7%			
	809.4	3,443.6	438.1	2,014.2	(1,200.5)	(5,159.7)					22.8	(25.2)	(26.0)	-103.2%			
<b>Total Other Financing Sources (Uses)</b>																	
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>																	
	(361.7)	(2,153.1)	96.7	(207.6)	(407.5)	245.7					(141.8)	(3,178.6)	858.2	27.0%			
<b>Beginning Fund Balances (Deficit)</b>																	
	157.1	1,948.5	2,542.1	2,846.4	951.3	298.1					3,449.2	6,486.0	(1,900.2)	-29.3%			
<b>Ending Fund Balances (Deficit)</b>																	
	(\$204.6)	(\$204.6)	\$2,638.8	\$2,638.8	\$543.8	\$543.8					\$3,307.4	\$3,307.4	(\$1,042.0)	-31.5%			

**GOVERNMENTAL FUNDS FOOTNOTES**

1. On February 17, 2009, President Obama signed into law the American Recovery and Reinvestment Act of 2009 (ARRA). This Act contains provisions for direct federal aid for fiscal relief, consisting of increases in the Federal matching rate for eligible State Medicaid expenditures and funds provided through the Federal State Fiscal Stabilization Fund to restore proposed reductions in education higher education, and to maintain essential government services. Information on state disbursements resulting from ARRA can be found in Appendix C of this report

2. Special Revenue Federal Funds disbursements include the payments made by the State which will be reimbursed by the Federal Government in January 2010:

Federal DHHS (Medicaid)	\$40.7 million
Federal DHHS (All Other)	129.6
Federal USDA/Food and Consumer Services	6.6
Federal DHHS/Block Grant	0.1
Federal Education	29.1
Federal Miscellaneous Operating Grants	--
Federal Employment and Training Grants	--

3. Total debt service disbursements include principal and interest on general obligation bonds and lease-purchase / contractual obligation payments. For a complete analysis of debt payments please refer to **Schedule 5 and Schedule 5a**

4. Certain disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities);	\$19.2 million
Urban Development Corporation (Youth Facilities);	10.8
Housing Finance Agency (HFA)	109.6
Dormitory Authority (Mental Hygiene)	378.1
Dormitory Authority and State University Income Fund	61.2
Federal Capital Projects	292.6
State bond and note proceeds	50.7

5. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include

**General Fund.** "Transfers to Other Funds" as follows:

State Capital Projects	\$272.1 million
General Debt Service	1,472.4
Banking Services	56.4
Court Facilities Incentive Aid	97.4
State University Income	111.5
NYC County Courts Operating	30.3
Housing Debt Fund	4.3
Alcoholic Beverage Control Account	11.0
Empire State Stem Cell Trust	7.3
Mass Transportation Operating Assistance	25.8
Indigent Legal Services	51.1
Charter School Stimulus	4.8

Also included in the General Fund are transfers representing payments for patients residing in State operated Health, Mental Hygiene and State University facilities to Debt Service Funds (\$48.7m), the State University Income Fund (\$182.5m) and the Mental Hygiene Program Account (\$1,831.9m).

**Special Revenue Funds** "Transfers To Other Funds" includes transfers to Debt Service Funds (\$2,685.8m) representing the federal share of Medicaid payments for patients residing in State operated Health and Mental Hygiene facilities

Also included in Special Revenue funds are transfers to the General Fund from the following:

Tribal State Compact Revenue Account	\$22.2 million
Workers Compensation Board	93.3

**December 2009 - Exhibit A Notes**

Statewide Public Safety Communications Account	40.0
Insurance Department Account	15.0
Code Enforcement Account	5.0
Revenue Arrearage Account	15.0
Youth Facility Per Diem	137.4
Banking Department	8.0
OTDA Earned Revenue Account	10.0
DMV Compulsory Account	6.0
Business Licensing Account	20.0
Federal Health and Human Services Account	41.0
Training & Education Program on OSHA	7.0
Indigent Legal Services	7.2
Unemployment Insurance Interest & Penalty	5.0

**Debt Service Funds** "Transfers To Other Funds" includes transfers to the General Fund from the following funds:

Revenue Bond Tax	\$5,241.6 million
Local Government Assistance Tax	1,784.1
Clean Water/Clean Air	130.1

Also included in Debt Service Funds are transfers to Special Revenue Funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$110.0m), Mental Hygiene (\$2,529.1m) and the State University (\$225.8m)

**Capital Projects Funds** "Transfers To Other Funds" includes transfers to the General Fund (\$77.5m), to the General Debt Service Fund (\$624.9m) and to the Revenue Bond Tax Fund (\$50.3m).

6. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts and accounting entries have been made to reduce medical assistance spending and count these monies as financial resources of the funds shown.

Account	General Fund	Allocation of Month-End Balance:	Special Revenue-Federal
Medicaid Recoveries - Health Facilities	\$ --		\$958,966
Medicaid Recoveries - Audit	--		8,152,544
Medicaid Recoveries - Third Parties	--		3,856,277
Pharmacy Rebates	--		1,807,636
Medicare Catastrophic Recovery	--		--
Medicaid "Windfall" Recovery	--		--
Total	\$ --		\$14,775,423

7. A portion of personal income tax receipts is also transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. Local Assistance Education grant payments total \$693.6m for the month of June, \$221.0m for the month of September, \$12.4m for the month of October, \$33.2m for the month of November and \$1,397.0m in December

8. The Health Insurance Fund - Reserve for Rate Fluctuations Account, holds health insurance dividends which are used to offset the State's contribution for employee health insurance premiums. As of December 31, 2009, the Account had a balance of \$453.9m but only \$58.2m in appropriation authority was available to offset the State's contribution for employee health insurance. This will leave a balance of \$395.7m in available cash for future offset or refunds to participating employees and pensioners

9. General State Charges reflects the reclassification of \$18.4m for the new MTA Mobility Tax (Employer Tax) to "Transfers To Other Funds" for NY's employees working in the MTA district. Special Revenue Other Taxes also reflect the reclassification of \$18.4m to "Transfers From Other Funds"

Exhibit A Notes  
December 2009  
(continued)

**GOVERNMENTAL FUNDS FOOTNOTES (continued)**

10. Miscellaneous receipts in Governmental Funds include:

	GENERAL FUND	SPECIAL REVENUE	DEBT SERVICE (amounts in millions)	CAPITAL PROJECTS	9 Months Ended December 31 2009	2008	\$ Increase/ (Decrease)
<b>Abandoned Property</b>							
Abandoned Property	\$ 353.0	\$ --	\$ --	\$ --	\$ 353.0	\$ 250.0	\$ 103.0
Unclaimed Bottle Deposits	19.0	--	--	--	19.0	--	19.0
<b>Interest Earnings</b>	12.6	21.1	0.7	0.8	35.2	202.6	(167.4)
<b>Receipts from Public Authorities:</b>							
Bond Issuance Fees	97.1	7.2	--	--	104.3	100.4	3.9
Cost Recovery Assessments	--	5.3	--	--	5.3	5.6	(0.3)
Dormitory Authority	16.3	--	--	--	16.3	--	16.3
Empire State/Urban Development Corporation	--	0.1	--	0.7	0.8	0.2	0.6
Energy Research and Development Authority	90.0	--	--	--	90.0	--	90.0
Environmental Facilities Corporation	--	5.0	--	--	5.0	4.8	0.2
Hudson River Park Trust	--	--	--	18.1	18.1	3.5	14.6
Lower Manhattan Development Corporation	--	--	--	--	--	0.4	(0.4)
Metropolitan Transportation Authority	--	--	--	--	--	20.0	(20.0)
Power Authority	103.0	3.3	--	0.2	106.5	63.9	42.6
State of NY Mortgage Agency	8.5	--	--	--	8.5	101.0	(92.5)
Thruway Authority - Policing the Thruway	--	42.3	--	--	42.3	34.4	7.9
Bond Proceeds	--						
Dormitory Authority	--	33.0	--	899.7	932.7	629.3	303.4
Empire State/Urban Development Corporation	--	--	--	605.3	605.3	269.4	335.9
Environmental Facilities Corporation	--	--	--	108.0	108.0	115.1	(7.1)
Housing Finance Agency	--	--	--	90.5	90.5	118.4	(27.9)
Thruway Authority	--	--	--	351.8	351.8	344.0	7.8
All Other	0.3	0.8	--	0.2	1.3	10.8	(9.5)
<b>Refunds and Reimbursements:</b>							
Receipts from Municipalities	120.0	223.2	13.7	--	356.9	266.4	90.5
Women, Infants and Children Rebates	--	77.8	--	--	77.8	88.0	(10.2)
HESC Student Loan Recoveries	--	55.5	--	--	55.5	73.5	(18.0)
Administrative Recoveries	48.7	69.4	--	--	118.1	101.9	16.2
Indirect Cost Assessments	91.2	--	--	--	91.2	54.6	36.6
Reimbursements from Cornell University	15.5	--	--	--	15.5	16.9	(1.4)
Hazardous Waste and Oil Spill	--	6.8	--	16.7	23.5	15.6	7.9
Third Party Recoveries	6.7	79.9	--	--	86.6	20.0	66.6
All Other	56.3	21.3	0.4	3.5	81.5	37.9	43.6
<b>Health Care Reform Act:</b>							
Public Goods and Health Care Initiatives Pools	--	2,910.3	--	--	2,910.3	2,432.6	477.7
Public Asset Transfers	--	95.0	--	--	95.0	--	95.0
<b>Revenues of State Departments:</b>							
Patient/Client Care Reimbursements	--	986.1	338.2	--	1,324.3	1,214.7	109.6
Medical Care Provider Assessments	100.7	557.3	--	--	658.0	558.5	99.5
Industry Assessments - Regular	40.7	812.4	--	33.2	886.3	661.0	225.3
Industry Assessments - Temporary Utility Surcharge	601.8	--	--	--	601.8	--	601.8
Student Tuition, Fees and Other SUNY Revenues	--	1,223.6	274.5	--	1,498.1	1,327.9	170.2
Student Tuition, Fees and Other CUNY Revenues	--	108.2	--	--	108.2	83.6	24.6
EPIC Fees and Rebates	--	151.1	--	--	151.1	161.7	(10.6)
Miscellaneous Sales, Rentals and Leases	6.7	20.4	--	6.4	33.5	36.2	(2.7)
Gifts and Unclaimed Property	0.6	12.9	--	--	13.5	16.4	(2.9)
All Other	6.2	19.5	--	0.3	26.0	28.1	(2.1)
<b>Gaming:</b>							
Lottery - Education	--	1,344.0	--	--	1,344.0	1,318.1	25.9
Lottery - Administration	--	418.1	--	--	418.1	412.2	5.9
Video Lottery Terminal - Education	--	340.0	--	--	340.0	323.9	16.1
Video Lottery Terminal - Administration	--	30.5	--	--	30.5	25.8	4.7
Casinos	--	69.6	--	--	69.6	67.6	2.0
<b>Licenses and Fees</b>	323.6	761.5	--	88.9	1,174.0	1,163.3	10.7
<b>Fines</b>	389.6	118.7	--	3.8	512.1	345.9	166.2
<b>TOTAL</b>	\$ 2,508.1	\$ 10,631.2	\$ 627.5	\$ 2,228.1	\$ 15,994.9	\$ 13,126.1	\$ 2,868.8

STATE OF NEW YORK  
PROPRIETARY FUNDS  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN EQUITY  
(amounts in millions)

## EXHIBIT B

	ENTERPRISE			INTERNAL SERVICE			TOTAL PROPRIETARY FUNDS (memorandum only)			
	MONTH OF DEC. 2009	9 MOS. ENDED DEC. 31, 2009	MONTH OF DEC. 2009	9 MOS. ENDED DEC. 31, 2009	MONTH OF DEC. 2009	9 MOS. ENDED DEC. 31, 2009	MONTH OF DEC. 2008	9 MOS. ENDED DEC. 31, 2008		
<b>RECEIPTS:</b>										
Miscellaneous Receipts	\$4.1	\$48.2	\$33.3	\$297.8		\$37.4	\$35.7	\$409.8		
Federal Receipts (*)(**)	591.4	4,082.6	--	--		591.4	176.9	631.2		
Unemployment Taxes	435.1	3,259.7	--	--		435.1	313.7	1,991.1 (***)		
<b>TOTAL RECEIPTS</b>	<b>1,030.6</b>	<b>7,390.5</b>	<b>33.3</b>	<b>297.8</b>		<b>1,063.9</b>	<b>526.3</b>	<b>3,032.1</b>		
<b>DISBURSEMENTS:</b>										
Departmental Operations:										
Personal Service	0.4	5.1	9.4	89.3		9.8	9.7	97.6		
Non-Personal Service	4.0	40.0	31.8	310.1		35.8	37.2	360.9		
General State Charges	0.3	1.5	8.2	38.6		8.5	2.1	43.1		
Unemployment Benefits (**)	911.2	7,275.1	--	--		911.2	526.8	2,627.1 (***)		
<b>TOTAL DISBURSEMENTS</b>	<b>915.9</b>	<b>7,321.7</b>	<b>49.4</b>	<b>438.0</b>		<b>965.3</b>	<b>575.8</b>	<b>3,128.7</b>		
<b>EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS</b>	<b>114.7</b>	<b>68.8</b>	<b>(16.1)</b>	<b>(140.2)</b>		<b>98.6</b>	<b>(49.5)</b>	<b>(96.6)</b>		
<b>OTHER FINANCING SOURCES (USES):</b>										
Transfers from Other Funds	--	--	3.8	59.9		3.8	4.2	54.2		
Transfers to Other Funds	--	--	--	(3.8)		--	--	(2.0)		
<b>NET SOURCES (USES)</b>	<b>--</b>	<b>--</b>	<b>3.8</b>	<b>56.1</b>		<b>3.8</b>	<b>4.2</b>	<b>52.2</b>		
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	114.7	68.8	(12.3)	(84.1)		102.4	(45.3)	(44.4)		
<b>BEGINNING FUND EQUITY (DEFICITS)</b>	<b>(101.0)</b>	<b>(55.1)</b>	<b>(44.4)</b>	<b>27.4</b>		<b>(145.4)</b>	<b>(17.3)</b>	<b>(18.2)</b>		
<b>ENDING FUND EQUITY (DEFICITS)</b>	<b>\$13.7</b>	<b>\$13.7</b>	<b>(\$56.7)</b>	<b>(\$56.7)</b>		<b>(\$43.0)</b>	<b>(\$62.6)</b>	<b>(\$62.6)</b>		

(\*) Due to economic downturn, Governor Patterson signed the Emergency Unemployment Compensation agreement on July 2, 2008, which extended unemployment compensation benefits and resulted in the increase of the Federal Receipts of \$607.5 million, July through December 2008; and, \$433.5 million, April through July 2009, when the program ended.

(\*\*) A summary of American Recovery and Reinvestment Act (ARRA) disbursements is located in Appendix C.

(\*\*\*) The increase in Unemployment Taxes and Benefits is due to an increase in unemployment claims filed because of the economic downturn and a change in accounting treatment of Federal Withholding Taxes deducted from Unemployment Benefits. To provide greater transparency and accountability, Federal Withholding Taxes deducted from Unemployment Benefits will be added to Unemployment Benefits paid and Unemployment Taxes received rather than as a reduction of Unemployment Taxes received. To implement this change, Unemployment Taxes and Benefits were increased by \$17.5 million for the month of December 2008 and \$101.0 million for the 9 months ended in December 2008 to reflect Withholding Taxes deducted for comparative purposes.

## STATE OF NEW YORK

EXHIBIT C

## TRUST FUNDS

## COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES

(amounts in millions)

	PENSION			PRIVATE PURPOSE			TOTAL TRUST FUNDS (memorandum only)			
	MONTH OF DEC. 2009	9 MOS. ENDED DEC. 31, 2009	MONTH OF DEC. 2009	MONTH OF DEC. 2009	9 MOS. ENDED DEC. 31, 2009	MONTH OF DEC. 2009	9 MOS. ENDED DEC. 31, 2009	MONTH OF DEC. 2008	9 MOS. ENDED DEC. 31, 2008	
<b>RECEIPTS:</b>										
Miscellaneous Receipts	\$8.9	\$77.3		(\$0.1)	\$0.8	\$8.8	\$78.1	\$5.5	\$76.4	
<b>TOTAL RECEIPTS</b>	8.9	77.3		(0.1)	0.8	8.8	78.1	5.5	76.4	
<b>DISBURSEMENTS:</b>										
Departmental Operations:										
Personal Service	4.1	40.8	--	--	0.2	4.1	41.0	4.0	38.4	
Non-Personal Service	2.8	16.6	--	--	--	2.8	16.6	1.4	20.8	
General State Charges	2.2	20.1	--	--	0.1	2.2	20.2	--	16.7	
<b>TOTAL DISBURSEMENTS</b>	9.1	77.5	--	--	0.3	9.1	77.8	5.4	75.9	
<b>EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS</b>	(0.2)	(0.2)		(0.1)	0.5	(0.3)	0.3	0.1	0.5	
<b>OTHER FINANCING SOURCES (USES):</b>										
Transfers from Other Funds	--	--	--	--	--	--	--	--	--	
Transfers to Other Funds	--	--	--	--	--	--	--	--	--	
<b>NET SOURCES (USES)</b>	--	--	--	--	--	--	--	--	--	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(0.2)	(0.2)		(0.1)	0.5	(0.3)	0.3	0.1	0.5	
<b>BEGINNING FUND EQUITY (DEFICITS)</b>	(0.1)	(0.1)	10.5	10.5	9.9	10.4	9.8	9.8	9.4	
<b>ENDING FUND EQUITY (DEFICITS)</b>	(\$0.3)	(\$0.3)	\$10.4	\$10.4	\$10.4	\$10.1	\$10.1	\$9.9	\$9.9	

**EXHIBIT D**

**STATE OF NEW YORK**  
**BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL**  
**STATE FISCAL YEAR ENDED MARCH 31, 2010**  
**FOR NINE (9) MONTHS ENDED DECEMBER 31, 2009**  
**(amounts in millions)**

<b>ALL GOVERNMENTAL FUNDS</b>			<b>Actual Over (Under) Financial Plan</b>
	<b>Financial Plan (*)</b>	<b>Actual</b>	
<b>RECEIPTS:</b>			
Taxes.....	\$41,615	\$40,861.9	(\$753.1)
Miscellaneous Receipts.....	15,511	15,994.9	483.9
Federal Receipts.....	33,387	33,425.3	38.3
<b>Total Receipts.....</b>	<b>90,513</b>	<b>90,282.1</b>	<b>(230.9)</b>
<b>DISBURSEMENTS:</b>			
Local Assistance Grants.....	68,917	67,211.6	(1,705.4)
Departmental Operations.....	14,782	14,422.4	(359.6)
General State Charges.....	3,608	3,543.7	(64.3)
Debt Service.....	3,111	3,091.5	(19.5)
Capital Projects.....	4,696	4,282.1	(413.9)
<b>Total Disbursements.....</b>	<b>95,114</b>	<b>92,551.3</b>	<b>(2,562.7)</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(4,601)</b>	<b>(2,269.2)</b>	<b>2,331.8</b>
<b>OTHER FINANCING SOURCES (USES):</b>			
Bond and Note Proceeds, net.....	98	--	(98.0)
Transfers from Other Funds.....	18,036	18,237.8	201.8
Transfers to Other Funds.....	(18,081)	(18,289.0)	208.0
<b>Total Other Financing Sources (Uses).....</b>	<b>53.0</b>	<b>(51.2)</b>	<b>(104.2)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>(4,548)</b>	<b>(2,320.4)</b>	<b>2,227.6</b>
<b>Fund Balances (Deficit) at April 1.....</b>	<b>4,586</b>	<b>4,585.8</b>	<b>(0.2)</b>
<b>Fund Balances (Deficit) at December 31.....</b>	<b>\$38</b>	<b>\$2,265.4</b>	<b>\$2,227.4</b>

(\*) Source: DOB, 2009-10 Mid Year Financial Plan Update dated October 30, 2009.

## STATE OF NEW YORK

## BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL

STATE FISCAL YEAR ENDED MARCH 31, 2010

FOR NINE (9) MONTHS ENDED DECEMBER 31, 2009

(amounts in millions)

EXHIBIT D  
(continued)

	GENERAL			SPECIAL REVENUE		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
<b>RECEIPTS:</b>						
Taxes:						
Personal Income .....	\$14,388	\$14,524.9	\$136.9	\$3,440	\$2,976.6	(\$463.4)
Consumption/Use .....	6,180	6,166.1	(13.9)	1,591	1,589.9	(1.1)
Business .....	3,568	3,547.4	(20.6)	1,166	1,110.8	(55.2)
Other .....	711	697.3	(13.7)	1,070	797.4	(272.6)
Miscellaneous Receipts .....	2,184	2,508.1	324.1	10,674	10,631.2	(42.8)
Federal Receipts .....	45	59.7	14.7	31,838	31,903.1	65.1
Bond and Note Proceeds, net .....	--	--	--	--	--	--
Transfers From:						
PIT in excess of Revenue Bond Debt Service .....	5,327	5,241.6	(85.4)	--	--	--
Sales Tax in excess of LGAC Debt Service .....	1,780	1,784.1	4.1	--	--	--
Real Estate Taxes in excess of CW/CA Debt Service .....	85	130.1	45.1	--	--	--
All Other .....	492	516.5	24.5	5,134	5,243.6	109.6
<b>Total Receipts .....</b>	<b>34,760</b>	<b>35,175.8</b>	<b>415.8</b>	<b>54,913</b>	<b>54,252.6</b>	<b>(660.4)</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants .....	24,727	24,350.7	(376.3)	43,440	42,048.0	(1,392.0)
Departmental Operations .....	6,692	6,614.8	(77.2)	8,047	7,766.5	(280.5)
General State Charges .....	2,185	2,134.7	(50.3)	1,423	1,409.0	(14.0)
Debt Service .....	--	--	--	--	--	--
Capital Projects .....	--	--	--	6	7.3	1.3
Transfers To:						
Debt Service .....	1,473	1,472.4	(0.6)	--	--	--
Capital Projects .....	332	272.1	(59.9)	--	--	--
State Share Medicaid .....	1,822	1,831.9	9.9	--	--	--
Other Purposes .....	565	652.3	87.3	3,097	3,229.4	132.4
<b>Total Disbursements .....</b>	<b>37,796</b>	<b>37,328.9</b>	<b>(467.1)</b>	<b>56,013</b>	<b>54,460.2</b>	<b>(1,552.8)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses .....</b>	<b>(3,036)</b>	<b>(2,153.1)</b>	<b>882.9</b>	<b>(1,100)</b>	<b>(207.6)</b>	<b>892.4</b>
<b>Fund Balances (Deficit) at April 1 .....</b>	<b>1,948</b>	<b>1,948.5</b>	<b>0.5</b>	<b>2,846</b>	<b>2,846.4</b>	<b>0.4</b>
<b>Fund Balances (Deficit) at December 31 .....</b>	<b>(\$1,088)</b>	<b>(\$204.6)</b>	<b>\$883.4</b>	<b>\$1,746</b>	<b>\$2,638.8</b>	<b>\$892.8</b>

(\*) Source: DOB, 2009-10 Mid-Year Financial Plan Update dated October 30, 2009.



**STATE OF NEW YORK  
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
STATE FISCAL YEAR ENDED MARCH 31, 2010  
FOR NINE (9) MONTHS ENDED DECEMBER 31, 2009  
(amounts in millions)**

**EXHIBIT D  
(continued)**

	DEBT SERVICE			CAPITAL PROJECTS		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
<b>RECEIPTS:</b>						
Taxes.....	\$7,980	\$7,910.5	(\$69.5)	\$1,521	\$1,541.0	\$20.0
Miscellaneous Receipts.....	638	627.5	(10.5)	2,015	2,228.1	213.1
Federal Receipts.....	--	--	--	1,504	1,462.5	(41.5)
Bond and Note Proceeds, net.....	--	--	--	98	--	(98.0)
Transfers from Other Funds.....	4,769	4,918.4	149.4	449	403.5	(45.5)
<b>Total Receipts.....</b>	<b>13,387</b>	<b>13,456.4</b>	<b>69.4</b>	<b>5,587</b>	<b>5,635.1</b>	<b>48.1</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants.....	--	--	--	750	812.9	62.9
Departmental Operations.....	43	41.1	(1.9)	--	--	--
General State Charges.....	--	--	--	--	--	--
Debt Service.....	3,111	3,091.5	(19.5)	--	--	--
Capital Projects.....	--	--	--	4,690	4,274.8	(415.2)
Transfers to Other Funds.....	10,044	10,078.1	34.1	748	752.8	4.8
<b>Total Disbursements.....</b>	<b>13,198</b>	<b>13,210.7</b>	<b>12.7</b>	<b>6,188</b>	<b>5,840.5</b>	<b>(347.5)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>189</b>	<b>245.7</b>	<b>56.7</b>	<b>(601)</b>	<b>(205.4)</b>	<b>395.6</b>
<b>Fund Balances (Deficit) at April 1.....</b>	<b>298</b>	<b>298.1</b>	<b>0.1</b>	<b>(506)</b>	<b>(507.2)</b>	<b>(1.2)</b>
<b>Fund Balances (Deficit) at December 31.....</b>	<b>\$487</b>	<b>\$543.8</b>	<b>\$56.8</b>	<b>(\$1,107)</b>	<b>(\$712.6)</b>	<b>\$394.4</b>

(\*) Source: DOB, 2009-10 Mid-Year Financial Plan Update dated October 30, 2009.

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
COMPARATIVE SCHEDULE OF TAX RECEIPTS  
(amounts in millions)

EXHIBIT "E"

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS		YEAR OVER YEAR	
	MONTH OF DEC. 2009	9 MOS. ENDED DEC. 31, 2009	MONTH OF DEC. 2009	9 MOS. ENDED DEC. 31, 2009	MONTH OF DEC. 2009	9 MOS. ENDED DEC. 31, 2009	MONTH OF DEC. 2009	9 MOS. ENDED DEC. 31, 2009	MONTH OF DEC. 2009	9 MOS. ENDED DEC. 31, 2009	\$ Increase / (Decrease)	% Increase / Decrease
<b>PERSONAL INCOME TAX</b>												
Withholding	\$3,098.9	\$19,608.8	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$2,849.1	\$19,460.2	\$148.6	0.8%
Estimated payments	883.2	6,417.7	--	--	--	--	--	--	718.8	10,087.7	(3,670.0)	-36.4%
Final returns	21.1	1,686.3	--	--	--	--	--	--	13.0	2,557.0	(860.7)	-33.7%
State/City Offsets	(18.6)	106.8	--	--	--	--	--	--	129.4	(424.9)	531.7	125.1%
Other (Assessments/LLC)	80.6	700.1	--	--	--	--	--	--	97.7	624.3	75.8	12.1%
Gross Receipts	4,065.2	28,529.7	--	--	--	--	--	--	3,808.0	32,304.3	(3,774.6)	-11.7%
Transfers to School Tax Relief Fund	(1,992.0)	(2,976.6)	--	2,976.6	--	--	--	--	--	--	--	--
Transfers to Revenue Bond Tax Fund	(903.2)	(5,833.8)	--	5,833.8	--	903.2	--	--	(133.6)	(4,737.0)	457.4	9.7%
Less: Refunds Issued	(452.3)	(5,194.4)	--	--	--	--	--	--	3,674.4	27,567.3	(4,232.0)	-15.4%
Total	717.7	14,524.9	1,992.0	2,976.6	903.2	5,833.8	--	--	--	--	--	--
<b>CONSUMPTION / USE TAXES AND FEES</b>												
Sales and Use	757.8	5,593.5	67.5	548.1	252.7	1,860.0	--	--	1,018.8	8,482.4	(480.8)	-5.7%
Auto Rental	--	--	8.2	17.7	--	--	14.1	38.2	12.8	47.6	8.3	17.4%
Motor Vehicle	--	--	35.6	227.9	--	--	60.1	466.8	62.3	543.5	151.2	27.8%
Cigarette/Tobacco Products	40.5	362.5	80.8	715.7	--	--	--	--	151.8	1,047.4	30.8	2.9%
Motor Fuel	--	--	8.9	80.5	--	--	32.8	304.4	42.2	383.1	1.8	0.5%
Alcoholic Beverage	19.4	171.6	--	--	--	--	--	--	14.7	157.9	13.7	8.7%
Highway Use	--	--	--	--	--	--	8.6	107.1	14.4	111.2	(4.1)	-3.7%
Alcoholic Beverage Control Licenses	2.7	38.5	--	--	--	--	--	--	3.1	31.0	7.5	24.2%
Total	820.4	6,166.1	201.0	1,589.9	252.7	1,860.0	115.6	916.5	1,320.1	10,804.1	(271.6)	-2.5%
<b>BUSINESS TAXES</b>												
Corporation Franchise	365.3	1,454.5	50.3	247.2	--	--	--	--	910.0	2,398.9	(697.2)	-29.1%
Corporation and Utilities	176.1	500.3	43.6	136.1	--	--	3.6	11.7	221.5	624.6	23.5	3.8%
Insurance	253.8	787.2	68.8	194.1	--	--	--	--	234.2	752.8	228.5	30.4%
Bank	122.6	805.4	22.7	154.1	--	--	--	--	221.6	755.4	204.1	27.0%
Petroleum Business	--	--	42.0	379.3	--	--	52.4	473.3	93.6	834.8	17.8	2.1%
Total	917.8	3,547.4	228.4	1,110.8	--	--	56.0	485.0	1,680.9	5,366.5	(223.3)	-4.2%
<b>OTHER TAXES</b>												
Real Property Gains	--	(0.6)	--	--	--	--	--	--	--	0.1	(0.7)	-700.0%
Estate and Gift	71.4	682.2	--	--	--	--	--	--	98.8	1,007.2	(325.0)	-32.3%
Pan-Mutuel	1.1	15.1	--	1.1	--	--	--	--	1.4	17.7	(2.6)	-14.7%
Real Estate Transfer	--	--	--	--	25.3	216.7	19.9	139.5	45.6	601.0	(244.8)	-40.7%
Racing and Exhibitions	--	0.6	--	--	--	--	--	--	0.1	0.7	(0.1)	-14.3%
Metropolitan Commuter Transportation Mobility	--	--	89.1	797.4	--	--	--	--	--	--	797.4	100.0%
Total	72.5	697.3	89.1	797.4	25.3	216.7	19.9	139.5	145.9	1,636.7	224.2	13.8%
<b>TOTAL TAX RECEIPTS</b>	\$2,528.4	\$24,935.7	\$2,510.5	\$6,474.7	\$1,181.2	\$7,910.5	\$191.5	\$1,541.0	\$5,821.3	\$45,364.6	(\$4,502.7)	-9.9%

(\*) Auto Rental includes \$9.5 million and Motor Vehicle includes \$47.0 million of monies collected by New York State on behalf of, and distributable to, the Metropolitan Transportation Authority.

**EXHIBIT "F"**

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**STATE OF NEW YORK**  
**GENERAL FUND**  
**CASH FLOW SCHEDULE OF TAX RECEIPTS**  
**FISCAL YEAR 2009-2010**  
(amounts in millions)

**EXHIBIT "F"**  
**TAX RECEIPTS**

	2009	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010	JANUARY	FEBRUARY	MARCH	2009	2008
		APRIL													
<b>PERSONAL INCOME TAX</b>															
Withholdings	\$2,078.6	\$1,987.2	\$2,092.5	\$2,249.9	\$2,007.1	\$2,023.4	\$1,995.5	\$2,075.7	\$3,098.9					\$19,608.8	\$19,480.2
Estimated payments (*)	2,652.3	(96.6)	1,321.3	49.5	43.8	1,456.5	62.8	44.9	883.2					6,417.7	10,087.7
Final returns	1,234.9	40.6	30.7	29.9	24.4	40.0	255.8	18.9	21.1					1,696.3	2,557.0
State/City Offsets	(25.0)	117.7	240.3	(6.9)	(9.9)	(18.0)	(178.8)	6.0	(18.6)					106.8	(424.9)
Other (Assessments/LLC)	129.9	68.3	85.8	69.0	57.8	60.1	72.7	75.9	80.6					700.1	624.3
Gross Receipts	6,070.7	2,117.2	3,770.6	2,391.4	2,123.2	3,562.0	2,208.0	2,221.4	4,065.2		0.0	0.0	0.0	28,529.7	32,304.3
Transfers to School Tax Relief Fund	--	--	(685.6)	--	--	(221.0)	(15.0)	(53.0)	(1,982.0)					(2,976.6)	(4,439.7)
Transfers to Revenue Bond Tax Fund	(955.5)	(248.1)	(917.9)	(543.2)	(492.6)	(857.7)	(476.7)	(438.9)	(903.2)					(5,833.8)	(6,891.8)
Refunds issued	(2,248.7)	(1,124.8)	(98.9)	(218.6)	(153.0)	(131.2)	(301.1)	(465.8)	(452.3)					(5,194.4)	(4,737.0)
Total Personal Income Tax	2,866.5	744.3	2,058.2	1,629.6	1,477.6	2,362.1	1,415.2	1,263.7	717.7		0.0	0.0	0.0	14,524.9	16,235.8
<b>CONSUMPTION/USE TAXES AND FEES</b>															
Sales and Use	551.3	535.0	740.1	543.5	555.6	794.9	566.5	548.8	757.8					5,593.5	5,916.9
Auto Rental	--	--	--	--	--	--	--	--	--					--	--
Motor Vehicle	--	--	--	--	--	--	--	--	--					--	--
Cigarette/Tobacco Products	40.8	36.7	39.9	39.3	40.8	41.7	45.9	36.9	40.5					362.5	351.1
Motor Fuel	--	--	--	--	--	--	--	--	--					--	--
Alcoholic Beverage	16.5	17.8	19.4	25.7	16.9	18.4	19.0	18.5	19.4					171.6	157.9
Highway Use	--	--	--	--	--	--	--	--	--					--	--
Alcoholic Beverage Control Licenses	5.8	4.2	4.7	4.7	5.1	4.6	3.6	3.1	2.7					38.5	31.0
Total Consumption/Use Taxes and Fees	614.4	593.7	804.1	613.2	618.4	859.6	635.0	607.3	820.4		0.0	0.0	0.0	6,166.1	6,456.9
<b>BUSINESS TAXES</b>															
Corporation Franchise	(0.1)	(31.9)	472.7	23.8	62.5	518.4	11.1	32.7	365.3					1,454.5	2,055.9
Corporation and Utilities	28.5	2.3	130.9	0.4	7.3	145.6	7.8	1.4	176.1					500.3	472.1
Insurance	1.7	7.8	264.0	(0.8)	32.0	204.3	11.8	12.6	253.8					787.2	694.0
Bank	30.9	5.8	327.7	11.2	6.7	141.5	124.0	35.0	122.6					805.4	636.1
Petroleum Business	--	--	--	--	--	--	--	--	--					--	--
Total Business Taxes	61.0	(16.0)	1,195.3	34.6	108.5	1,009.8	154.7	81.7	917.8		0.0	0.0	0.0	3,547.4	3,858.1
<b>OTHER TAXES</b>															
Real Property Gains	--	--	--	(0.5)	--	(0.1)	--	--	--					(0.6)	0.1
Estate and Gift	50.1	93.8	62.8	81.7	61.0	136.2	56.3	65.9	71.4					682.2	1,007.2
Pari-Mutuel	0.8	1.8	2.1	1.7	2.5	2.5	1.5	1.1	1.1					15.1	17.7
Real Estate Transfer	--	--	--	--	--	--	--	--	--					--	--
Racing and Exhibitions	--	--	--	--	--	--	--	--	--					--	--
Metropolitan Commuter Transportation Mobility	0.1	--	--	0.1	--	--	0.3	0.1	--					0.6	0.7
Total Other Taxes	51.0	95.6	64.9	83.0	63.5	138.6	61.1	67.1	72.5		0.0	0.0	0.0	697.3	1,025.7
<b>TOTAL TAX RECEIPTS</b>	\$3,592.9	\$1,417.6	\$4,122.5	\$2,360.4	\$2,268.0	\$4,360.1	\$2,266.0	\$2,019.8	\$2,528.4		\$0.0	\$0.0	\$0.0	\$24,935.7	\$27,576.5

(\*) The Department of Taxation and Finance has implemented a new Empire Processing System which allows for more timely distribution adjustments between NYS, NYC and Yonkers. The negative collections are due to adjustments processed in April 2009. Prior to the onset of this new system these adjustments were not processed until receipt of the final PIT returns which occurred later in the Fiscal Year.

**STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - COMBINED  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2009-2010  
(amounts in millions)**

**EXHIBIT "G"  
COMBINED**

	9 Months Ended Dec. 31												\$ Increase/ (Decrease)	% Increase/ (Decrease)	
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH			
OPENING CASH BALANCE	\$2,846.4	\$3,139.8	\$2,753.8	\$2,691.4	\$3,098.3	\$3,748.7	\$1,663.6	\$2,404.1	\$2,542.1				\$3,878.6	(\$1,032.2)	-26.6%
RECEIPTS:															
Personal Income Tax	--	--	695.6	--	--	221.0	15.0	53.0	1,992.0				4,439.7	(1,463.1)	-33.0%
Consumption/Use Taxes and Fees (*)	210.1	152.1	181.3	163.2	164.2	196.9	160.5	160.6	201.0				1,524.6	65.3	4.3%
Business Taxes	56.5	42.0	244.1	65.2	70.6	283.2	70.9	49.9	228.4				1,032.6	78.2	7.6%
Other Taxes (**)	--	--	--	--	--	--	45.9	662.4	89.1				--	797.4	100.0%
Miscellaneous Receipts	1,113.0	1,118.8	1,248.9	1,120.2	1,081.0	1,594.4	1,126.3	924.8	1,303.8				9,319.1	1,312.1	14.1%
Federal Receipts	2,837.6	3,219.7	3,079.3	3,628.1	3,565.0	3,219.0	4,463.0	3,203.5	4,467.9				25,063.3	6,809.8	27.1%
Total Receipts	4,217.2	4,532.6	5,449.2	5,176.7	4,880.8	5,514.5	5,901.6	5,054.2	8,282.2	0.0	0.0	0.0	41,409.3	7,599.7	18.4%
DISBURSEMENTS:															
Local Assistance Grants:															
Education	332.3	563.5	1,065.7	252.3	152.5	2,310.3	315.9	322.2	2,431.3				7,746.0	7,195.7	7.6%
Social Services:															
Medicaid	2,424.6	2,566.9	2,536.7	2,780.4	2,547.5	3,142.4	2,615.5	2,254.8	3,008.7				17,873.8	5,993.7	33.5%
Other Social Services	59.3	214.8	375.7	298.7	501.6	292.9	539.9	276.8	710.6				3,169.1	101.2	3.2%
Health and Environment	165.3	173.4	212.0	247.6	192.6	388.0	192.8	244.3	210.3				2,343.4	(317.1)	-13.5%
Mental Hygiene	83.0	64.1	135.5	128.0	110.1	129.4	186.5	58.6	156.1				989.3	62.0	6.3%
Transportation(**)	43.6	284.9	191.0	140.3	316.1	150.7	132.1	1,009.6	817.8				2,693.3	402.8	15.0%
Criminal Justice	36.3	14.8	28.0	65.9	18.1	17.2	20.6	26.9	31.4				188.7	70.5	37.4%
Emergency Management & Security Services	8.2	9.5	7.9	23.2	4.1	13.9	9.2	5.2	3.3				58.5	26.0	44.4%
Miscellaneous	57.6	54.6	49.1	98.1	71.9	112.4	77.1	68.9	57.1				1,684.2	(1,047.4)	-61.8%
Total Local Assistance Grants	3,210.2	3,946.5	4,601.6	4,034.5	3,914.5	6,557.2	4,089.6	4,267.3	7,426.6	0.0	0.0	0.0	36,206.0	5,842.0	16.1%
Departmental Operations:															
Personal Service	562.0	508.8	430.3	528.7	487.9	704.9	619.1	517.5	608.3				4,710.7	257.8	5.5%
Non-Personal Service	285.4	245.6	356.4	278.1	235.0	374.8	377.5	277.6	367.6				3,059.1	(261.1)	-8.5%
General State Charges	82.1	387.7	173.3	24.8	50.6	172.5	188.4	110.6	219.0				1,680.7	(271.7)	-16.2%
Capital Projects	0.9	0.9	0.9	1.0	0.7	0.9	0.2	0.7	1.1				6.6	0.7	10.6%
Total Disbursements	4,140.6	5,089.5	5,562.5	4,887.1	4,688.7	7,810.3	5,274.8	5,173.7	8,623.6	0.0	0.0	0.0	45,683.1	5,567.7	12.2%
Excess (Deficiency) of Receipts over Disbursements	76.6	(556.9)	(113.3)	309.6	192.1	(2,295.8)	626.8	(119.5)	(341.4)	0.0	0.0	0.0	(4,253.8)	2,032.0	47.8%
OTHER FINANCING SOURCES (USES):															
Transfers from Other Funds	493.2	633.4	238.6	616.7	737.9	527.9	531.8	513.3	950.8				5,060.1	183.5	3.6%
Transfers to Other Funds	(276.4)	(462.5)	(187.7)	(519.4)	(279.6)	(317.2)	(418.1)	(255.8)	(512.7)				(2,394.4)	835.0	34.9%
Total Other Financing Sources (Uses)	216.8	170.9	50.9	97.3	458.3	210.7	113.7	257.5	438.1	0.0	0.0	0.0	2,665.7	(661.5)	-24.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	293.4	(386.0)	(62.4)	406.9	650.4	(2,085.1)	740.5	138.0	96.7	0.0	0.0	0.0	(1,588.1)	1,380.5	86.9%
CLOSING CASH BALANCE	\$3,139.8	\$2,753.8	\$2,691.4	\$3,098.3	\$3,748.7	\$1,663.6	\$2,404.1	\$2,542.1	\$2,638.8	\$0.0	\$0.0	\$0.0	\$2,290.5	\$348.3	15.2%

(\*) Auto Rental includes \$9.5 million and Motor Vehicle includes \$47.0 million of monies collected by New York State on behalf of, and distributable to, the Metropolitan Transportation Authority.

(\*\*) Other taxes includes the new Metropolitan Commuter Transportation Mobility Tax. This tax is collected by New York State on behalf of, and transferred to as a Transportation local assistance payment, the Metropolitan Transportation Authority.

**STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2009-2010**  
(amounts in millions)

**EXHIBIT "G"  
STATE**

	9 Months Ended Dec. 31												\$ Increase/ (Decrease)	% Increase/ Decrease		
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH			Intra-Fund Transfer Eliminations (*)	2009
<b>RECEIPTS:</b>																
Personal Income Tax	\$ --	\$ --	\$865.6	\$ --	\$ --	\$221.0	\$15.0	\$53.0	\$1,992.0				\$ --	\$2,976.6	\$4,439.7	-33.0%
Consumption/Use Taxes and Fees (**)	210.1	152.1	181.3	163.2	164.2	196.9	160.5	160.6	201.0				--	1,589.9	1,524.6	4.3%
Business Taxes	56.5	42.0	244.1	65.2	70.6	283.2	70.9	49.9	228.4				--	1,110.8	1,032.6	7.6%
Other Taxes (***)	--	--	--	--	--	--	45.9	662.4	89.1				--	797.4	--	100.0%
Miscellaneous Receipts	1,100.8	1,084.7	1,238.6	1,109.8	1,065.0	1,584.5	1,116.2	911.3	1,294.4				--	10,505.3	9,176.0	14.5%
Federal Receipts	--	0.2	--	0.2	--	--	0.1	--	0.6				--	1.1	0.1	100.0%
Total Receipts	1,367.4	1,279.0	2,359.6	1,338.4	1,299.8	2,285.6	1,408.6	1,837.2	3,805.5	0.0	0.0	0.0	--	16,981.1	16,173.0	5.0%
<b>DISBURSEMENTS:</b>																
Local Assistance Grants:																
Education	1.6	240.8	781.9	0.4	0.5	2,223.7	84.6	126.4	2,086.5				--	5,526.4	4,934.2	12.0%
Social Services:																
Medicaid	373.1	516.6	631.1	357.5	289.9	284.6	413.1	204.7	346.5				--	3,417.1	2,604.4	31.2%
Other Social Services	0.7	0.3	0.2	0.2	0.3	0.4	0.8	0.5	0.3				--	3.7	7.7	-51.9%
Health and Environment	90.2	102.6	116.2	125.8	104.4	313.4	109.6	135.3	123.7				--	1,221.2	1,522.2	-19.8%
Mental Hygiene	71.4	55.0	129.9	115.0	89.5	122.6	158.6	50.8	142.0				--	934.8	877.8	6.5%
Transportation (***)	42.5	293.1	188.5	136.7	314.7	149.5	130.6	1,003.1	815.5				--	3,074.2	2,671.2	15.1%
Criminal Justice	6.2	5.2	5.7	6.3	4.7	3.5	4.2	3.1	2.6				--	41.5	45.2	-8.2%
Emergency Management & Security Services	(0.1)	0.1	--	--	--	1.2	--	--	0.4				--	1.6	--	100.0%
Miscellaneous	25.5	7.4	4.2	49.0	19.4	25.2	8.1	22.5	4.4				--	165.7	1,352.9	-87.8%
Total Local Assistance Grants	611.1	1,221.1	1,857.7	790.9	823.4	3,124.1	909.6	1,546.4	3,501.9	0.0	0.0	0.0	--	14,386.2	14,015.6	2.6%
Departmental Operations:																
Personal Service	490.2	459.2	371.6	483.9	443.6	637.8	571.8	471.2	580.5				--	4,488.8	4,247.5	5.7%
Non-Personal Service	232.9	197.1	303.4	225.5	190.2	252.1	290.3	229.6	294.9				--	2,216.0	2,434.4	-9.0%
General State Charges	70.0	349.3	165.1	24.2	42.8	132.5	174.2	79.2	203.5				--	1,240.8	1,517.3	-18.2%
Capital Projects	0.9	0.9	0.9	1.0	0.7	0.9	0.2	0.7	1.1				--	7.3	6.6	10.6%
Total Disbursements	1,405.1	2,227.6	2,698.7	1,525.5	1,500.7	4,147.4	1,946.1	2,327.1	4,561.9	0.0	0.0	0.0	--	22,340.1	22,221.4	0.5%
Excess (Deficiency) of Receipts over Disbursements	(37.7)	(948.6)	(339.1)	(187.1)	(200.9)	(1,861.8)	(537.5)	(489.9)	(756.4)	0.0	0.0	0.0	--	(5,359.0)	(6,048.4)	11.4%
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Other Funds	513.8	706.7	268.5	651.9	775.8	555.0	692.4	545.9	1,001.8				(466.2)	5,243.6	5,080.1	3.6%
Transfers to Other Funds	(20.2)	(158.0)	(127.1)	(29.4)	(15.6)	(34.1)	(73.3)	--	(44.3)				--	(502.0)	(185.6)	203.1%
Total Other Financing Sources (Uses)	493.6	548.7	139.4	622.5	760.2	520.9	619.1	545.9	957.5	0.0	0.0	0.0	(466.2)	4,741.6	4,894.5	-3.1%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$455.9	(\$399.9)	(\$199.7)	\$435.4	\$559.3	(\$1,340.9)	\$81.6	\$56.0	\$201.1	\$0.0	\$0.0	\$0.0	--	(\$617.4)	(\$1,153.9)	46.5%

(\*) Intra-Fund transfer eliminations represent transfers from Special Revenue-Federal funds.

(\*\*) Auto Rental includes \$9.5 million and Motor Vehicle includes \$47.0 million of monies collected by New York State on behalf of, and distributable to, the Metropolitan Transportation Authority.

(\*\*\*) Other taxes includes the new Metropolitan Commuter Transportation Mobility Tax. This tax is collected by New York State on behalf of, and transferred to as a Transportation local assistance payment, the Metropolitan Transportation Authority.

**STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - FEDERAL  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2009-2010**  
(amounts in millions)

**EXHIBIT "G"  
FEDERAL**

9 Months Ended Dec. 31														
Intra-Fund Transfer													\$ Increase/ (Decrease)	% Increase/ Decrease
Eliminations (*)														
2008		2010												
APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2009	2008	
\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --

(\*) Intra-Fund transfer eliminations represent transfers to Special Revenue-State funds.



STATE OF NEW YORK  
SPECIAL REVENUE FUNDS  
CASH FLOW SCHEDULE OF TAX RECEIPTS  
FISCAL YEAR 2009-2010  
(amounts in millions)

EXHIBIT "G"  
TAX RECEIPTS

9 Months Ended Dec. 31															
2009		2010										2009		2008	
APRIL		MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2009	2008	
PERSONAL INCOME TAX		\$ --	\$ --	\$695.6	\$ --	\$ --	\$221.0	\$15.0	\$53.0	\$1,992.0			\$2,976.6	\$4,439.7	
Total Personal Income Tax		--	--	695.6	--	--	221.0	15.0	53.0	1,992.0	0.0	0.0	2,976.6	4,439.7	
CONSUMPTION/USE TAXES AND FEES															
Sales and Use	98.1	49.5	63.5	48.9	50.3	68.0	52.0	50.3	67.5				548.1	598.4	
Auto Rental	--	--	1.2	2.4	2.3	3.6	--	--	8.2				17.7	--	
Motor Vehicle	(*)	18.3	23.5	20.4	16.9	29.2	36.0	28.1	35.6				227.9	149.3	
Cigarette/Tobacco Products	85.0	74.7	83.6	82.7	85.5	87.1	62.8	73.5	80.8				715.7	696.3	
Motor Fuel	7.1	9.6	9.5	8.8	9.2	9.0	9.7	8.7	8.9				80.5	80.6	
Alcoholic Beverage	--	--	--	--	--	--	--	--	--				--	--	
Highway Use	--	--	--	--	--	--	--	--	--				--	--	
Alcoholic Beverage Control Licenses	--	--	--	--	--	--	--	--	--				--	--	
Total Consumption/Use Taxes and Fees	210.1	152.1	181.3	163.2	164.2	196.9	160.5	160.6	201.0	0.0	0.0	0.0	1,589.9	1,524.6	
BUSINESS TAXES															
Corporation Franchise	0.4	1.4	64.3	22.6	18.8	82.0	4.7	2.7	50.3				247.2	343.0	
Corporation and Utilities	8.3	(1.2)	41.2	2.3	1.0	46.8	(6.2)	0.3	43.6				136.1	139.7	
Insurance	0.5	(1.5)	30.1	(0.5)	6.9	86.4	2.3	0.1	69.8				194.1	58.8	
Bank	9.3	(0.3)	63.6	1.2	(0.4)	24.5	27.2	6.3	22.7				154.1	119.3	
Petroleum Business	38.0	43.6	44.9	39.6	44.3	43.5	42.9	40.5	42.0				379.3	371.8	
Total Business Taxes	56.5	42.0	244.1	65.2	70.6	283.2	70.9	49.9	228.4	0.0	0.0	0.0	1,110.8	1,032.6	
OTHER TAXES															
Real Property Gains	--	--	--	--	--	--	--	--	--				--	--	
Estate and Gift	--	--	--	--	--	--	--	--	--				--	--	
Pari-Mutuel	--	--	--	--	--	--	--	--	--				--	--	
Real Estate Transfer	--	--	--	--	--	--	--	--	--				--	--	
Racing and Exhibitions	--	--	--	--	--	--	--	--	--				--	--	
Metropolitan Commuter Transportation Mobility	--	--	--	--	--	--	45.9	662.4	89.1				797.4	--	
Total Other Taxes	--	--	--	--	--	--	45.9	662.4	89.1	0.0	0.0	0.0	797.4	--	
TOTAL TAX RECEIPTS		\$266.6	\$194.1	\$1,121.0	\$228.4	\$234.8	\$701.1	\$292.3	\$925.9	\$2,510.5	\$0.0	\$0.0	\$6,474.7	\$6,996.9	

(\*) Auto Rental includes \$9.5 million and Motor Vehicle includes \$47.0 million of monies collected by New York State on behalf of, and distributable to, the Metropolitan Transportation Authority.

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2009-2010  
(amounts in millions)

EXHIBIT "H"

	2009	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE	\$298.1	\$513.7	\$625.3	\$315.6	\$543.7	\$567.2	\$335.8	\$617.7	\$951.3				\$298.1	\$11.9	4.2%
RECEIPTS:															
Personal Income Tax	955.5	248.1	917.9	543.2	492.6	857.7	476.7	433.9	903.2				5,833.8	(\$1,058.0)	-15.4%
Consumption/Use Taxes and Fees															
Sales and Use	180.1	178.3	246.9	180.8	185.1	284.5	188.8	182.8	252.7				1,860.0	(107.1)	-5.4%
Other Taxes	29.7	30.2	11.6	17.4	23.8	26.3	27.1	25.3	25.3				216.7	(218.4)	-50.2%
Miscellaneous Receipts	86.4	35.2	60.1	47.1	66.7	121.8	76.8	88.0	45.4				627.5	47.8	8.2%
Total Receipts	1,251.7	491.8	1,236.5	788.5	768.2	1,270.3	769.4	735.0	1,226.6	0.0	0.0	0.0	8,538.0	(1,335.7)	-13.5%
DISBURSEMENTS:															
Departmental Operations:															
Non-Personal Service	7.1	1.3	6.8	0.3	3.0	6.3	2.6	1.1	12.6				41.1	(7.2)	-14.9%
Debt Service, including payments on financing agreements (*)	552.8	194.4	214.2	85.9	208.7	701.3	637.3	75.9	421.0				3,091.5	17.5	0.6%
Total Disbursements	559.9	195.7	221.0	86.2	211.7	707.6	639.9	77.0	433.6	0.0	0.0	0.0	3,132.6	10.3	0.3%
Excess (Deficiency) of Receipts over Disbursements	691.8	296.1	1,015.5	702.3	556.5	562.7	129.5	658.0	793.0	0.0	0.0	0.0	5,405.4	(1,346.0)	-19.9%
OTHER FINANCING SOURCES (USES):															
Transfers from Other Funds	810.4	425.6	151.1	565.2	363.3	742.8	988.0	339.5	532.5				4,487.9	430.5	9.6%
Transfers to Other Funds	(1,286.6)	(610.1)	(1,476.3)	(1,039.4)	(896.3)	(1,536.9)	(835.6)	(665.9)	(1,733.0)				(11,117.6)	(1,039.5)	-9.4%
Total Other Financing Sources (Uses)	(476.2)	(184.5)	(1,325.2)	(474.2)	(533.0)	(794.1)	152.4	(324.4)	(1,200.5)	0.0	0.0	0.0	(6,629.7)	1,470.0	22.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	215.6	111.6	(309.7)	228.1	23.5	(231.4)	281.9	333.6	(407.5)	0.0	0.0	0.0	245.7	124.0	101.9%
CLOSING CASH BALANCE	\$513.7	\$625.3	\$315.6	\$543.7	\$567.2	\$335.8	\$617.7	\$951.3	\$543.8	\$0.0	\$0.0	\$0.0	\$407.9	\$195.9	33.3%

(\*) To ensure that all debt service obligations are met and to manage the State's cash flow, DOB has requested agencies and public authorities to prepay debt service and related payments due during the third quarter of the State's fiscal year that are payable from the General Debt Service Fund and the Housing Debt Fund. In October 2009, the State prepaid \$4.1 million of payments due in November 2009, \$549.9 million of payments due in December 2009, and \$1.4 million of payments due through January 4, 2010. In December, OSC prepaid \$1 million of payments due in January 2010.

STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS-COMBINED  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2009-2010  
(amounts in millions)

EXHIBIT "I"  
COMBINED

	9 Months Ended Dec. 31												
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	
<b>OPENING CASH BALANCE (DEFICITS)</b>	(\$507.2)	(\$494.3)	(\$531.2)	(\$520.8)	(\$598.2)	(\$625.9)	(\$847.4)	(\$867.3)	(\$817.8)				
<b>RECEIPTS:</b>													
Consumption/Use Taxes and Fees													
Auto Rental	(5.4)	0.1	5.3	5.5	0.1	18.4	0.1	--	14.1				
Motor Vehicle	49.1	47.2	56.4	50.5	48.9	49.3	59.4	45.9	60.1				
Motor Fuel	27.7	36.5	35.8	33.4	34.9	34.5	36.0	32.8	32.8				
Highway Use	12.1	10.1	12.1	13.1	13.1	11.9	12.3	13.8	8.6				
Business Taxes													
Petroleum Business	47.2	54.2	56.3	49.2	55.4	54.4	53.6	50.6	52.4				
Transmission	0.4	(0.3)	3.4	0.4	--	5.1	(0.8)	(0.1)	3.6				
Other Taxes	--	--	19.9	20.0	19.9	20.0	19.9	19.9	19.9				
Miscellaneous Receipts	177.5	240.9	213.4	230.1	346.2	167.4	216.0	305.5	331.1				
Federal Receipts	128.4	105.7	138.3	165.9	143.5	193.8	240.6	162.7	183.6				
Total Receipts	437.0	494.4	540.9	568.1	662.0	554.8	637.1	631.1	706.2	0.0	0.0	0.0	
<b>DISBURSEMENTS:</b>													
Local Assistance Grants:													
Education	17.3	16.3	0.8	0.5	2.6	1.9	6.4	1.5	0.6				
Social Services	--	--	--	--	--	0.2	0.1	--	--				
Health and Environment	2.4	13.7	9.7	5.0	17.5	21.8	7.8	4.5	29.8				
Mental Hygiene	2.1	6.1	2.8	5.7	6.7	7.1	7.1	4.7	7.6				
Transportation	31.8	17.4	21.5	95.1	33.5	19.3	54.3	30.1	31.2				
Miscellaneous	14.3	15.3	24.3	40.2	27.1	41.9	57.8	26.0	21.5				
Total Local Assistance Grants	67.9	68.8	59.1	148.5	87.4	92.2	133.5	66.8	90.7	0.0	0.0	0.0	
Departmental Operations:													
Personal Service	--	--	--	--	--	--	--	--	--				
Non-Personal Service	--	--	--	--	--	--	--	--	--				
General State Charges	--	--	--	--	--	--	--	--	--				
Capital Projects	336.1	455.2	487.5	504.1	465.0	618.1	507.3	442.1	459.4				
Total Disbursements	404.0	524.0	546.6	650.6	552.4	710.3	640.8	508.9	550.1	0.0	0.0	0.0	
Excess (Deficiency) of Receipts over Disbursements	33.0	(29.6)	(5.7)	(82.5)	109.6	(155.5)	(3.7)	122.2	156.1	0.0	0.0	0.0	
<b>OTHER FINANCING SOURCES (USES):</b>													
Bond Proceeds (net)	--	--	--	--	--	--	--	--	--				
Transfers from Other Funds	39.3	51.4	77.7	68.6	(67.8)	128.2	96.9	(11.1)	20.3				
Transfers to Other Funds	(59.4)	(58.7)	(61.6)	(63.5)	(69.5)	(194.2)	(113.1)	(61.6)	(71.2)				
Total Other Financing Sources (Uses)	(20.1)	(7.3)	16.1	5.1	(137.3)	(66.0)	(16.2)	(72.7)	(50.9)	0.0	0.0	0.0	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	12.9	(36.9)	10.4	(77.4)	(27.7)	(221.5)	(19.9)	49.5	105.2	0.0	0.0	0.0	
<b>CLOSING CASH BALANCE (DEFICITS)</b>	(\$494.3)	(\$531.2)	(\$520.8)	(\$598.2)	(\$625.9)	(\$847.4)	(\$867.3)	(\$817.8)	(\$712.6)	\$0.0	\$0.0	\$0.0	

STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - STATE  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2009-2010  
(amounts in millions)

EXHIBIT "I"  
STATE

	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (1)	9 Months Ended Dec. 31			
														2009	2008	\$ Increase/ (Decrease)	% Increase/ (Decrease)
<b>RECEIPTS:</b>																	
Consumption/Use Taxes and Fees																	
Auto Rental	(\$5.4)	\$0.1	\$5.3	\$5.5	\$0.1	\$18.4	\$0.1	\$	\$14.1				\$	\$38.2	\$47.6	(\$9.4)	-19.7%
Motor Vehicle	49.1	47.2	56.4	50.5	48.9	49.3	59.4	45.9	60.1					486.8	394.2	72.6	18.4%
Motor Fuel	27.7	36.5	35.8	33.4	34.9	34.5	36.0	32.8	32.8					304.4	302.5	1.9	0.6%
Highway Use	12.1	10.1	12.1	13.1	13.1	11.9	12.3	13.8	8.6					107.1	111.2	(4.1)	-3.7%
Business Taxes																	
Petroleum Business	47.2	54.2	56.3	49.2	55.4	54.4	53.6	50.6	52.4					473.3	463.0	10.3	2.2%
Transmission	0.4	(0.3)	3.4	0.4	--	5.1	(0.8)	(0.1)	3.6					11.7	12.8	(1.1)	-8.6%
Other Taxes	--	--	19.9	20.0	19.9	20.0	19.9	19.9	19.9					139.5	165.9	(26.4)	-15.9%
Miscellaneous Receipts	177.5	240.8	213.3	229.9	346.1	167.4	215.8	305.4	331.0					2,227.2	1,626.9	600.3	36.9%
Federal Receipts	--	--	--	--	--	--	--	--	--					--	--	--	--
Total Receipts	308.6	388.6	402.5	402.0	518.4	361.0	396.3	468.3	522.5	0.0	0.0	0.0	--	3,768.2	3,124.1	644.1	20.6%
<b>DISBURSEMENTS:</b>																	
Local Assistance Grants:																	
Education	17.3	16.3	0.8	0.5	2.6	1.9	6.4	1.5	0.6					47.9	6.5	41.4	636.9%
Social Services	--	--	--	--	--	0.2	0.1	--	--					0.3	--	0.3	100.0%
Health and Environment	2.4	13.7	9.7	5.0	17.5	7.9	7.8	4.5	21.5					90.0	155.5	(65.5)	-42.1%
Mental Hygiene	2.1	6.1	2.8	5.7	6.7	7.1	7.1	4.7	7.6					49.9	66.0	(16.1)	-24.4%
Transportation	1.5	1.4	2.5	56.2	5.9	2.1	2.8	3.0	2.6					78.0	28.9	49.1	169.9%
Miscellaneous	14.3	15.3	24.3	40.2	27.1	41.9	57.8	26.0	21.5					268.4	201.2	67.2	33.4%
Total Local Assistance Grants	37.6	52.8	40.1	107.6	59.8	61.1	82.0	39.7	53.8	0.0	0.0	0.0	--	534.5	458.1	76.4	16.7%
Departmental Operations																	
Personal Service	--	--	--	--	--	--	--	--	--					--	--	--	--
Non-Personal Service	--	--	--	--	--	--	--	--	--					--	--	--	--
General State Charges	--	--	--	--	--	--	--	--	--					--	--	--	--
Capital Projects	279.3	391.4	362.7	374.6	342.3	487.3	352.9	327.3	359.6					3,277.4	3,423.5	(146.1)	-4.3%
Total Disbursements	316.9	444.2	402.8	482.2	402.1	548.4	434.9	367.0	413.4	0.0	0.0	0.0	--	3,811.9	3,881.6	(69.7)	-1.8%
Excess (Deficiency) of Receipts over Disbursements	(8.3)	(55.6)	(0.3)	(80.2)	116.3	(187.4)	(35.6)	101.3	109.1	0.0	0.0	0.0	--	(43.7)	(757.5)	713.8	94.2%
<b>OTHER FINANCING SOURCES (USES):</b>																	
Bond Proceeds (net)	--	--	--	--	--	--	--	--	--					--	--	--	--
Transfers from Other Funds	39.3	51.4	77.7	135.5	(67.8)	128.2	96.9	(11.1)	136.8					403.5	663.7	(260.2)	-39.2%
Transfers to Other Funds	(59.4)	(58.7)	(61.6)	(63.5)	(69.5)	(194.2)	(113.1)	(61.6)	(71.2)					(752.8)	(800.2)	(47.4)	-5.9%
Total Other Financing Sources (Uses)	(20.1)	(7.3)	16.1	72.0	(137.3)	(66.0)	(16.2)	(72.7)	65.6	0.0	0.0	0.0	(183.4)	(349.3)	(136.5)	(212.8)	-155.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$28.4)	(\$62.9)	\$15.8	(\$8.2)	(\$21.0)	(\$253.4)	(\$54.8)	\$28.6	\$174.7	\$0.0	\$0.0	\$0.0	(\$183.4)	(\$393.0)	(\$894.0)	\$501.0	56.0%

(1) Intra-Fund transfer eliminations represent transfers from Capital Projects-Federal funds.

STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - FEDERAL  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2009-2010  
(amounts in millions)

EXHIBIT "I"  
FEDERAL

9 Months Ended Dec. 31																	
	2010												Intra-Fund Transfer Eliminations (*)	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH					
<b>RECEIPTS:</b>																	
Miscellaneous Receipts	\$ --	\$0.1	\$0.1	\$0.2	\$0.1	\$ --	\$0.2	\$0.1	\$0.1	\$0.1			\$ --	\$0.9	\$1.0	(\$0.1)	-10.0%
Federal Receipts	128.4	105.7	138.3	165.9	143.5	193.8	240.6	162.7	183.6				--	1,462.5	1,407.6	54.9	3.9%
Total Receipts	128.4	105.8	138.4	166.1	143.6	193.8	240.8	162.8	183.7	0.0	0.0	0.0	--	1,463.4	1,408.6	54.8	3.9%
<b>DISBURSEMENTS:</b>																	
Local Assistance Grants:																	
Education	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Social Services	--	--	--	--	--	--	--	--	--	--			--	--	3.2	19.0	593.8%
Health and Environment	--	--	--	--	--	13.9	--	--	8.3				--	22.2	--	--	--
Mental Hygiene	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Transportation	30.3	16.0	19.0	38.9	27.6	17.2	51.5	27.1	28.6				--	256.2	322.6	(66.4)	-20.6%
Miscellaneous	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Total Local Assistance Grants	30.3	16.0	19.0	38.9	27.6	31.1	51.5	27.1	36.9	0.0	0.0	0.0	--	278.4	325.8	(47.4)	-14.5%
Departmental Operations:																	
Personal Service	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Non-Personal Service	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
General State Charges	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Capital Projects	56.8	63.8	124.8	129.5	122.7	130.8	154.4	114.8	99.8				--	997.4	876.4	121.0	13.8%
Total Disbursements	87.1	79.8	143.8	168.4	150.3	161.9	205.9	141.9	136.7	0.0	0.0	0.0	--	1,275.8	1,202.2	73.6	6.1%
Excess (Deficiency) of Receipts over Disbursements	41.3	26.0	(5.4)	(2.3)	(6.7)	31.9	34.9	20.9	47.0	0.0	0.0	0.0	--	187.6	206.4	(18.8)	-9.1%
<b>OTHER FINANCING SOURCES (USES):</b>																	
Transfers from Other Funds	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Transfers to Other Funds	--	--	--	(66.9)	--	--	--	--	(116.5)				183.4	--	(4.4)	(4.4)	-100.0%
Total Other Financing Sources (Uses)	--	--	--	(66.9)	--	--	--	--	(116.5)	0.0	0.0	0.0	183.4	--	(4.4)	4.4	100.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$41.3	\$26.0	(\$5.4)	(\$69.2)	(\$6.7)	\$31.9	\$34.9	\$20.9	(\$69.5)	\$0.0	\$0.0	\$0.0	\$183.4	\$187.6	\$202.0	(\$14.4)	-7.1%

(\*) Intra-Fund transfer eliminations represent transfers to Capital Projects-State funds.

STATE OF NEW YORK  
ENTERPRISE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2009-2010  
(amounts in millions)

## EXHIBIT J

	9 Months Ended Dec. 31											
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH
<b>BEGINNING FUND EQUITY (DEFICITS)</b>												
<b>RECEIPTS:</b>												
Miscellaneous Receipts	5.3	4.4	5.5	4.6	5.3	9.6	5.7	3.7	4.1			
Federal Receipts (*) (**)	294.2	284.7	501.5	492.7	558.8	492.1	428.0	439.2	591.4			
Unemployment Taxes	492.9	415.2	283.4	369.7	218.9	401.5	333.7	309.3	435.1			
Total Receipts	792.4	704.3	790.4	867.0	783.0	903.2	767.4	752.2	1,030.6	0.0	0.0	0.0
<b>DISBURSEMENTS:</b>												
Departmental Operations:												
Personal Service	0.6	0.3	0.4	0.4	0.3	2.1	0.3	0.3	0.4			
Non-Personal Service	3.0	3.8	3.8	4.6	5.6	6.9	5.0	3.3	4.0			
General State Charges	0.1	0.3	0.1	0.1	--	0.2	0.4	--	0.3			
Unemployment Benefits (**)	717.0	697.3	865.1	780.1	885.5	817.5	729.6	871.8	911.2			
Total Disbursements	720.7	701.7	869.4	785.2	891.4	826.7	735.3	875.4	915.9	0.0	0.0	0.0
Excess (Deficiency) of Receipts over Disbursements	71.7	2.6	(79.0)	81.8	(108.4)	76.5	32.1	(123.2)	114.7	0.0	0.0	0.0
<b>OTHER FINANCING SOURCES (USES):</b>												
Transfers from Other Funds	--	--	--	--	--	--	--	--	--			
Transfers to Other Funds	--	--	--	--	--	--	--	--	--			
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	--	--	0.0	0.0	0.0
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	71.7	2.6	(79.0)	81.8	(108.4)	76.5	32.1	(123.2)	114.7	0.0	0.0	0.0
<b>CLOSING CASH BALANCE</b>	<b>\$16.6</b>	<b>\$19.2</b>	<b>(\$59.8)</b>	<b>\$22.0</b>	<b>(\$86.4)</b>	<b>(\$9.9)</b>	<b>\$22.2</b>	<b>(\$101.0)</b>	<b>\$13.7</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>

(\*) Due to economic downturn, Governor Patterson signed the Emergency Unemployment Compensation agreement on July 2, 2008, which extended unemployment compensation benefits and resulted in the increase of the Federal Receipts of \$607.5 million, July through December 2008; and, \$433.5 million, April through July 2009, when the program ended.

(\*\*) A summary of American Recovery and Reinvestment Act (ARRA) disbursements is located in Appendix C.

(\*\*\*) The increase in Unemployment Taxes and Benefits is due to an increase in unemployment claims filed because of the economic downturn and a change in accounting treatment of Federal Withholding Taxes deducted from Unemployment Benefits. To provide greater transparency and accountability, Federal Withholding Taxes deducted from Unemployment Benefits will be added to Unemployment Benefits paid and Unemployment Taxes received rather than as a reduction of Unemployment Taxes received. To implement this change, Unemployment Taxes and Benefits were increased by \$17.5 million for the month of December 2008 and \$101.0 million for the 9 months ended in December 2008 to reflect Withholding Taxes deducted for comparative purposes.

STATE OF NEW YORK  
INTERNAL SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2009-2010  
(amounts in millions)

## EXHIBIT K

	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	2009 9 Months Ended Dec. 31	2008
<b>BEGINNING FUND EQUITY (DEFICITS)</b>	\$27.4	\$36.3	\$20.9	\$9.5	\$4.5	(\$6.2)	(\$28.9)	(\$35.8)	(\$44.4)				\$27.4	(\$8.3)
<b>RECEIPTS:</b>														
Miscellaneous Receipts	43.8	31.3	36.9	30.5	33.2	25.0	31.8	32.0	33.3				297.8	359.8
Total Receipts	43.8	31.3	36.9	30.5	33.2	25.0	31.8	32.0	33.3	0.0	0.0	0.0	297.8	359.8
<b>DISBURSEMENTS:</b>														
Departmental Operations:														
Personal Service	13.1	9.0	8.7	9.6	8.8	12.7	9.0	9.0	9.4				89.3	92.0
Non-Personal Service	27.3	33.4	47.7	40.9	36.9	28.8	33.4	29.9	31.8				310.1	319.2
General State Charges	1.7	9.5	--	--	2.4	10.5	1.2	5.1	8.2				38.6	41.6
Total Disbursements	42.1	51.9	56.4	50.5	48.1	52.0	43.6	44.0	49.4	0.0	0.0	0.0	438.0	452.8
Excess (Deficiency) of Receipts over Disbursements	1.7	(20.6)	(19.5)	(20.0)	(14.9)	(27.0)	(11.8)	(12.0)	(16.1)	0.0	0.0	0.0	(140.2)	(93.0)
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	7.2	5.2	8.1	15.0	4.2	8.3	4.9	3.2	3.8				59.9	54.2
Transfers to Other Funds	--	--	--	--	--	(4.0)	--	0.2	--				(3.8)	(2.0)
Total Other Financing Sources (Uses)	7.2	5.2	8.1	15.0	4.2	4.3	4.9	3.4	3.8	0.0	0.0	0.0	56.1	52.2
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	8.9	(15.4)	(11.4)	(5.0)	(10.7)	(22.7)	(6.9)	(8.6)	(12.3)	0.0	0.0	0.0	(84.1)	(40.8)
<b>ENDING FUND EQUITY(DEFICITS)</b>	\$36.3	\$20.9	\$9.5	\$4.5	(\$6.2)	(\$28.9)	(\$35.8)	(\$44.4)	(\$56.7)	\$0.0	\$0.0	\$0.0	(\$56.7)	(\$49.1)



STATE OF NEW YORK  
PRIVATE PURPOSE TRUST FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2009-2010  
(amounts in millions)

## EXHIBIT L

9 Months Ended Dec. 31																
		2010														
		2009	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2009	2008
			\$9.9	\$10.1	\$10.1	\$10.1	\$10.2	\$10.2	\$10.2	\$10.3	\$10.5				\$9.9	\$9.4
OPENING CASH BALANCE																
RECEIPTS:																
Miscellaneous Receipts		0.2	0.1	--	--	0.1	--	0.1	0.2	0.2	(0.1)	--	--	--	0.8	0.7
Total Receipts		0.2	0.1	--	--	0.1	--	0.1	0.2	0.2	(0.1)	0.0	0.0	0.0	0.8	0.7
DISBURSEMENTS:																
Departmental Operations:																
Personal Service		--	0.1	--	--	--	--	0.1	--	--	--	--	--	--	0.2	0.2
Non-Personal Service		--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
General State Charges		--	--	--	--	--	--	--	0.1	--	--	--	--	--	0.1	0.1
Total Disbursements		--	0.1	--	--	--	--	0.1	0.1	--	--	0.0	0.0	0.0	0.3	0.3
Excess (Deficiency) of Receipts over Disbursements		0.2	--	--	--	0.1	--	--	0.1	0.2	(0.1)	0.0	0.0	0.0	0.5	0.4
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds		--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Transfers to Other Funds		--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Total Other Financing Sources (Uses)		--	--	--	--	--	--	--	--	--	--	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses		0.2	--	--	--	0.1	--	--	0.1	0.2	(0.1)	0.0	0.0	0.0	0.5	0.4
CLOSING CASH BALANCE		\$10.1	\$10.1	\$10.1	\$10.1	\$10.2	\$10.2	\$10.2	\$10.3	\$10.5	\$10.4	\$0.0	\$0.0	\$0.0	\$10.4	\$9.8

STATE OF NEW YORK  
PENSION TRUST FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2009-2010  
(amounts in millions)

## EXHIBIT M

	9 Months Ended Dec. 31													
	2009			2010										2008
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2008	
	(\$0.1)	(\$0.3)	(\$0.1)	(\$1.7)	\$0.1	\$ --	\$ --	(\$4.5)	(\$0.1)				\$ --	
OPENING CASH BALANCE														
RECEIPTS:														
Miscellaneous Receipts	15.4	5.0	6.8	6.9	4.4	15.3	4.7	9.9	8.9				75.7	
Total Receipts	15.4	5.0	6.8	6.9	4.4	15.3	4.7	9.9	8.9	0.0	0.0	0.0	75.7	
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	6.0	4.0	4.5	4.0	4.0	6.0	4.1	4.1	4.1				38.2	
Non-Personal Service	2.0	0.8	3.7	1.1	0.5	3.2	1.1	1.4	2.8				20.8	
General State Charges	7.6	--	0.2	--	--	6.1	4.0	--	2.2				16.6	
Total Disbursements	15.6	4.8	8.4	5.1	4.5	15.3	9.2	5.5	9.1	0.0	0.0	0.0	75.6	
Excess (Deficiency) of Receipts over Disbursements	(0.2)	0.2	(1.6)	1.8	(0.1)	--	(4.5)	4.4	(0.2)	0.0	0.0	0.0	0.1	
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--	--	--	--				--	
Transfers to Other Funds	--	--	--	--	--	--	--	--	--				--	
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	--	--	0.0	0.0	0.0	--	
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(0.2)	0.2	(1.6)	1.8	(0.1)	--	(4.5)	4.4	(0.2)	0.0	0.0	0.0	0.1	
CLOSING CASH BALANCE	(\$0.3)	(\$0.1)	(\$1.7)	\$0.1	\$ --	\$ --	(\$4.5)	(\$0.1)	(\$0.3)	\$0.0	\$0.0	\$0.0	\$0.1	

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF DECEMBER 2009  
(amounts in millions)

## SCHEDULE 1

	BALANCE 12/1/09	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 12/31/09
<b>GENERAL FUND</b>					
001-Local Assistance Account	\$ --	\$0.055	\$3,355.798	\$3,355.743	\$ --
003-State Operations Account	65.535	2,803.331	610.409	(2,564.334)	(305.877)
004-Tax Stabilization Reserve	--	--	--	--	--
005-Contingency Reserve	--	--	--	--	--
006-Universal Pre-K Reserve	--	--	--	--	--
007-Community Projects	91.603	--	8.299	18.000	101.304
008-Rainy Day Reserve Fund	--	--	--	--	--
013-Attica State Employee Victims'	--	--	--	--	--
017-Refund Reserve Account	--	--	--	--	--
166-Fringe Benefits Escrow	--	218.315	218.315	--	--
348-Tobacco Revenue Guarantee	--	--	--	--	--
<b>TOTAL GENERAL FUND</b>	<b>157.138</b>	<b>3,021.701</b>	<b>4,192.821</b>	<b>809.409</b>	<b>(204.573)</b>

**SPECIAL REVENUE FUNDS-STATE**

019-Mental Health Gifts and Donations	2.298	0.004	0.009	--	2.293
020-Combined Expendable Trust	70.893	0.860	2.037	--	69.716
023-New York Interest on Lawyer Account	7.427	0.552	0.092	--	7.887
024-NYS Archives Partnership Trust	0.107	--	0.052	--	0.055
025-Child Performer's Protection	0.078	0.002	0.036	--	0.044
050-Tuition Reimbursement	4.138	0.341	0.147	--	4.332
052-New York State Local Government Records Management Improvement	5.436	0.716	0.817	--	5.335
053-School Tax Relief	4.981	1,991.978	1,996.953	--	0.006
054-Charter Schools Stimulus	2.480	0.001	--	4.837	7.318
055-Not-For-Profit Short Term Revolving Loan	--	--	--	--	--
056-Hudson River Valley Greenway	0.001	--	--	--	0.001
059-Rehabilitative Alcohol & Substance Abuse Treatment	0.019	--	--	--	0.019
061-HCRA Resources	456.963	475.819	379.825	(3.066)	549.891
073-Dedicated Mass Transportation Trust	75.012	59.567	45.727	--	88.852
160-State Lottery	(695.356)	251.092	83.548	--	(527.812)
221-Combined Student Loan	18.161	0.595	0.635	--	18.121
225-MTA Financial Assistance Fund	106.712	110.276	178.650	(1.701)	36.637
300-Sewage Treatment Program Mgmt. & Administration	(2.072)	--	0.827	--	(2.899)
301-EnCon Special Revenue	(5.023)	--	14.707	--	(5.915)
302-Conservation	73.477	13.815	6.271	--	73.229
303-Environmental Protection and Oil Spill Compensation	3.097	5.189	4.998	--	3.288
305-Training and Education Program on OSHA	3.715	11.289	3.971	--	11.033
306-Lawyers' Fund for Client Protection	3.812	0.689	0.039	--	4.462
307-Equipment Loan for the Disabled	0.503	0.004	--	--	0.507
313-Mass Transportation Operating Assistance	89.666	235.006	591.516	6.550	(260.294)
314-Clean Air	(4.701)	11.389	8.712	--	(2.024)
318-New York State Infrastructure Trust	0.066	--	--	--	0.066
321-Legislative Computer Services	9.072	0.103	0.064	--	9.111
328-Biodiversity Stewardship and Research	--	--	--	--	--
332-Combined Non-Expendable Trust	7.099	0.001	--	--	7.100
333-Winter Sports Education Trust	1.182	--	--	--	1.182
335-Musical Instrument Revolving	0.001	--	--	--	0.001
337-Rural Housing Assistance	--	--	--	--	--
338-Arts Capital Revolving	0.698	0.001	--	--	0.699
339-Miscellaneous State Special Revenue	1,078.947	368.672	892.665	854.039	1,408.993

**SCHEDULE 1**  
(continued)

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF DECEMBER 2009**  
(amounts in millions)

	BALANCE 12/1/09	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 12/31/09
<b><u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u></b>					
340-Court Facilities Incentive Aid	9,716	0,003	2,447	--	7,272
341-Employment Training	0,183	--	0,040	--	0,143
342-Homeless Housing and Assistance	--	--	--	--	--
345-State University Income	852,129	214,504	302,507	23,864	787,990
346-Chemical Dependence Service	5,866	0,375	0,012	--	6,229
349-Lake George Park Trust	1,613	0,009	0,059	--	1,563
354-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	(21,532)	11,178	27,481	--	(37,835)
355-New York Great Lakes Protection	1,630	--	0,074	--	1,556
359-Federal Revenue Maximization	0,061	--	--	--	0,061
360-Housing Development	10,428	0,006	0,145	--	10,289
362-NYS/DOT Highway Safety Program	(1,636)	0,577	0,252	--	(1,311)
365-Vocational Rehabilitation	0,115	0,014	0,005	--	0,124
366-Drinking Water Program Management and Administration	(4,237)	--	0,622	--	(4,859)
368-NYC County Clerks' Operations Offset	(27,597)	--	1,719	21,998	(7,318)
369-Judiciary Data Processing Offset	5,710	2,378	1,325	--	6,763
377-IFR / CUTRA	89,179	19,413	6,395	--	102,197
383-Supplemental Jury Facilities	--	--	--	--	--
385-USOC Lake Placid Training	0,009	0,001	--	--	0,010
390-Indigent Legal Services	24,604	11,913	6,250	51,072	81,339
482-Unemployment Insurance Interest and Penalty	12,784	1,075	0,297	--	13,562
<b>TOTAL SPECIAL REVENUE FUNDS-STATE</b>	<b>2,277,914</b>	<b>3,805,430</b>	<b>4,561,928</b>	<b>957,593</b>	<b>2,479,009</b>
<b><u>SPECIAL REVENUE FUNDS-FEDERAL</u></b>					
261-Federal USDA / Food and Consumer Services	(4,960)	209,045	210,518	(0,164)	(6,597)
265-Federal Health and Human Services	(83,663)	3,862,009	3,430,854	(517,791)	(170,299)
267-Federal Education	(12,291)	287,148	302,740	(1,181)	(29,064)
269-Federal DHHS Block Grant	--	1,373	1,447	--	(0,074)
290-Federal Miscellaneous Operating Grants	277,315	71,973	67,917	(0,352)	281,019
480-Unemployment Insurance Administration	90,991	15,544	21,854	--	84,681
484-Unemployment Insurance Occupational Training	0,264	--	0,073	--	0,191
486-Federal Employment and Training Grants	(3,449)	29,630	26,205	--	(0,024)
<b>TOTAL SPECIAL REVENUE FUNDS-FEDERAL</b>	<b>264,207</b>	<b>4,476,722</b>	<b>4,061,608</b>	<b>(519,488)</b>	<b>159,833</b>
<b>TOTAL SPECIAL REVENUE FUNDS</b>	<b>2,542,121</b>	<b>8,282,152</b>	<b>8,623,536</b>	<b>438,105</b>	<b>2,638,842</b>
<b><u>DEBT SERVICE FUNDS</u></b>					
064-Debt Reduction Reserve	--	--	--	--	--
065-State University Educational Facilities	--	--	--	--	--
304-Mental Health Services	322,438	19,779	--	(17,894)	324,323
311-General Debt Service	354,010	903,209	402,211	(854,344)	0,664
315-Grade Crossing Elimination Debt Service	--	--	--	--	--
316-State Housing Debt Service	--	1,175	0,012	(1,163)	--
319-Department of Health Income	27,923	0,898	--	(6,523)	22,298
330-State University Dormitory Income	209,788	23,444	26,991	(51,115)	155,126
361-Clean Water/Clean Air	24,524	25,335	--	(16,674)	33,185
364-Local Government Assistance Tax	12,650	252,727	4,361	(252,766)	8,250
<b>TOTAL DEBT SERVICE FUNDS</b>	<b>951,333</b>	<b>1,226,567</b>	<b>433,575</b>	<b>(1,200,479)</b>	<b>543,846</b>

**SCHEDULE 1**  
(continued)

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF DECEMBER 2009**  
(amounts in millions)

<b>CAPITAL PROJECTS FUNDS</b>	<b>BALANCE 12/1/09</b>	<b>RECEIPTS</b>	<b>DISBURSEMENTS</b>	<b>OTHER FINANCING SOURCES (USES)</b>	<b>BALANCE 12/31/09</b>
002-State Capital Projects	--	184,587	184,264	(0.323)	--
072-Dedicated Highway and Bridge Trust	(271.511)	202,328	158,877	66,224	(161.836)
074-SUNY Residence Halls Rehabilitation and Repair	82,552	0,019	2,170	22,206	102,607
075-New York State Canal System Development	2,584	0,106	--	--	2,690
076-Parks Infrastructure	(51,561)	6,649	6,816	--	(51,728)
077-Passenger Facility Charge	0,014	--	--	--	0,014
078-Environmental Protection	57,373	20,234	15,161	(10,000)	52,446
079-Clean Water/Clean Air Implementation	(0,798)	--	--	--	(0,798)
080-Hudson River Park	0,088	--	--	--	0,088
101-Energy Conservation Thru Improved Transportation Bond	0,164	--	--	--	0,164
103-Park & Recreation Land Acquisition Bond	--	--	--	--	--
105-Pure Waters Bond	--	--	--	--	--
106-Outdoor Recreation Development Bond	--	--	--	--	--
109-Transportation Capital Facilities Bond	3,392	--	--	--	3,392
115-Environmental Quality Protection Bond	1,902	--	--	--	1,902
118-Rail Preservation and Development Bond	--	--	--	--	--
119-State Housing Bond	--	--	--	--	--
121-Rebuild and Renew New York Transportation Bond	45,578	--	--	(12,087)	33,491
123-Transportation Infrastructure Renewal Bond	5,352	--	--	--	5,352
124-1986 Environmental Quality Bond Act	15,931	--	--	--	15,931
126-Accelerated Capacity and Transportation Improvement Bond	--	--	0,001	--	3,712
127-Clean Water/Clean Air Bond	3,713	--	--	--	7,393
291-Federal Capital Projects	9,492	--	--	(2,099)	(292,609)
310-Forest Preserve Expansion	(223,140)	183,663	136,606	(116,526)	0,891
312-Hazardous Waste Remedial	0,890	0,001	--	--	(32,602)
317-Pine Barrens	(27,374)	2,792	7,882	(0,138)	--
322-Lake Champlain Bridges	--	--	--	--	--
327-Suburban Transportation	--	--	--	--	--
357-Division for Youth Facilities Improvement	0,502	--	--	--	0,502
358-Youth Centers Facility	(7,471)	--	3,360	--	(10,831)
374-Housing Assistance	--	--	--	--	--
376-Housing Program	(27,358)	0,102	0,400	--	(27,656)
378-Natural Resource Damage	(112,547)	2,961	--	--	(109,586)
380-DOT Engineering Services	22,497	0,025	0,043	--	22,479
384-State University Capital Projects	(14,427)	--	0,679	1,887	(13,219)
387-Miscellaneous Capital Projects	112,083	(0,203)	1,888	--	109,992
388-CUNY Capital Projects	22,778	0,479	0,745	--	22,512
389-Mental Hygiene Facilities Capital Improvement	(0,022)	--	--	--	(0,022)
399-Correction Facilities Capital Improvement	(392,088)	26,058	12,059	--	(378,089)
	(76,381)	76,381	19,183	--	(19,183)
<b>TOTAL CAPITAL PROJECTS FUNDS</b>	<b>(817,793)</b>	<b>706,182</b>	<b>550,134</b>	<b>(50,856)</b>	<b>(712,601)</b>
<b>TOTAL GOVERNMENTAL FUNDS</b>	<b>\$2,832,799</b>	<b>\$13,236,602</b>	<b>\$13,800,066</b>	<b>(\$3,821)</b>	<b>\$2,265,514</b>

## SCHEDULE 2

STATE OF NEW YORK  
 PROPRIETARY FUNDS  
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND EQUITY  
 FOR THE MONTH OF DECEMBER 2009  
 (amounts in millions)

<u>FUND TYPE</u>	<u>FUND EQUITY 12/1/09</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND EQUITY 12/31/09</u>
<u>ENTERPRISE FUNDS</u>					
324-Youth Commissary	\$0.224	\$0.014	\$0.005	\$ --	\$0.233
325-State Exposition Special	2.114	0.355	0.604	--	1.865
326-Correctional Services Commissary	1.939	2.882	3.045	--	1.776
331-Agency Enterprise	3.715	0.264	0.259	--	3.720
351-Sheltered Workshop	1.822	0.128	0.121	--	1.829
352-Patient Workshop	1.209	0.065	0.094	--	1.180
353-Mental Hygiene Community Stores	2.413	0.163	0.112	--	2.464
450-Industrial Exhibit Authority	0.204	0.244	0.444	--	0.004
481-Unemployment Insurance Benefit	(114.607)	1,026.499	911.232	--	0.660
<b>TOTAL ENTERPRISE FUNDS</b>	<b>(100.967)</b>	<b>1,030.614</b>	<b>915.916</b>	<b>--</b>	<b>13.731</b>
<u>INTERNAL SERVICE FUNDS</u>					
323-O.G.S. Centralized Services	16.222	12.414	16.191	(0.001)	12.444
334-Agency Internal Service	(31.347)	16.422	24.994	3.822	(36.097)
343-Mental Hygiene Revolving	0.671	0.094	0.130	--	0.635
347-Youth Vocational Education	0.057	--	0.001	--	0.056
394-Joint Labor/Management Administration	1.620	--	0.057	--	1.563
395-Audit and Control Revolving	(0.824)	--	0.085	--	(0.909)
396-Health Insurance Revolving	(18.304)	0.475	2.431	--	(20.260)
397-Correctional Industries Revolving	(12.463)	3.835	5.551	--	(14.179)
<b>TOTAL INTERNAL SERVICE FUNDS</b>	<b>(44.368)</b>	<b>33.240</b>	<b>49.440</b>	<b>3.821</b>	<b>(56.747)</b>
<b>TOTAL PROPRIETARY FUNDS</b>	<b>(\$145.335)</b>	<b>\$1,063.854</b>	<b>\$965.356</b>	<b>\$3.821</b>	<b>(\$43.016)</b>

## SCHEDULE 3

STATE OF NEW YORK  
FIDUCIARY FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FOR THE MONTH OF DECEMBER 2009  
(amounts in millions)

<u>FUND TYPE</u>	<u>FUND BALANCE 12/1/09</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 12/31/09</u>
<u>PENSION TRUST FUNDS</u>					
400-Common Retirement-Administration	(\$0.059)	\$8.865	\$9.116	\$ --	(\$0.310)
<b>TOTAL PENSION TRUST FUNDS</b>	(0.059)	8.865	9.116	--	(0.310)
<u>PRIVATE PURPOSE TRUST FUNDS</u>					
021-Agriculture Producers' Security	2.887	(0.077)	0.024	--	2.786
022-Milk Producers' Security	7.566	0.038	0.040	--	7.564
<b>TOTAL PRIVATE PURPOSE TRUST FUNDS</b>	10.453	(0.039)	0.064	--	10.350
<u>AGENCY FUNDS</u>					
129-Private Not-For-Profit School Capital Facilities Financing Reserve	--	--	--	--	--
130-School Capital Facilities Financing Reserve	30.269	1.652	--	--	31.921
135-Child Performer's Holding	0.063	--	0.006	--	0.057
136-Child Performer's Holding II	0.038	0.004	0.001	--	0.041
152-Employees Health Insurance (*)	579.803	547.626	561.877	--	565.552
153-Social Security Contribution	14.835	78.875	93.286	--	0.424
154-Employee Payroll Withholding Escrow	67.393	313.297	369.536	--	11.154
162-Employees Dental Insurance	17.481	6.938	5.984	--	18.435
163-Management Confidential Group Insurance	0.953	0.609	0.488	--	1.074
165-Lottery Prize	149.643	163.920	45.952	--	267.611
167-Health Insurance Reserve Receipts	0.080	0.002	--	--	0.082
169-Miscellaneous New York State Agency	583.059	66.033	21.173	--	627.919
175-Elderly Pharmaceutical Insurance Coverage Escrow	3.396	30.641	31.300	--	2.737
176-CUNY Senior College Operating	39.849	190.005	185.280	--	44.574
179-Medicaid Management Information System Escrow	226.873	4,082.648	3,994.253	--	315.268
309-Special Education	--	--	--	--	--
344-State University Collection	95.909	69.028	--	--	164.937
382-SUNY Federal Direct Lending Program	(0.494)	(15.995)	--	--	(16.489)
<b>TOTAL AGENCY FUNDS</b>	1,809.150	5,535.283	5,309.136	--	2,035.297
<b>TOTAL FIDUCIARY FUNDS</b>	<b>\$1,819.544</b>	<b>\$5,544.109</b>	<b>\$5,318.316</b>	<b>\$ --</b>	<b>\$2,045.337</b>

(\*) The Health Insurance Fund - Reserve for Rate Fluctuations Account, holds health insurance dividends which are used to offset the State's contribution for employee health insurance premiums. As of December 31, 2009, the Account had a balance of \$453.9m but only \$58.2m in appropriation authority was available to 'offset' the State's contribution for employee health insurance. This will leave a balance of \$395.7m in available cash for future 'offset' or refunds to participating employees and pensioners.

## SCHEDULE 4

STATE OF NEW YORK  
 SOLE CUSTODY AND INVESTMENT ACCOUNTS  
 STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS  
 FOR THE MONTH OF DECEMBER 2009  
 (amounts in millions)

ACCOUNTS	FUND TYPE	BEGINNING BALANCE 12/1/09	RECEIPTS	DISBURSEMENTS	ENDING BALANCE 12/31/09
060-Tobacco Settlement		\$2,687	\$0.001	\$ --	\$2,688
149-Sole Custody Investment (*)		1,737.051	2,020.499	2,010.021	1,747.529
650-Comptroller's Refund		--	128.122	128.122	--
750-NYS Thruway Authority Operating		0.169	--	0.169	--
<b>TOTAL ACCOUNTS</b>		<b>\$1,739.907</b>	<b>\$2,148.622</b>	<b>\$2,138.312</b>	<b>\$1,750.217</b>

**(\*) Includes Public Asset Fund resources**

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in (s) 7317 of the Insurance Law. On December 28, 2005, Wellchoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by Wellpoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of December 31, 2009, \$15,134,358.13 (representing the remaining balance of the State's 95 percent share of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resource Fund (061).



**STATE OF NEW YORK  
DEBT SERVICE FUNDS  
STATEMENT OF DIRECT STATE DEBT ACTIVITY  
FISCAL YEAR ENDED MARCH 31, 2010**

**SCHEDULE 5**

PURPOSE	DEBT OUTSTANDING APRIL 1, 2009	DEBT ISSUED (*)		DEBT MATURED (**)		DEBT OUTSTANDING DEC. 31, 2009	INTEREST DISBURSED (**)	
		MONTH OF DECEMBER	9 MONTHS ENDED DEC. 31, 2009	MONTH OF DECEMBER	9 MONTHS ENDED DEC. 31, 2009		MONTH OF DECEMBER	9 MONTHS ENDED DEC. 31, 2009
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$648,961,405.51	(\$2,430,100.33)	(\$2,430,100.33)	\$ --	\$54,411,267.07	\$592,120,038.11	\$26,771.81	\$20,983,894.61
Clean Water/Clean Air:								
Air Quality	86,783,652.74	--	--	--	9,785,117.23	77,018,535.51	106,193.44	2,596,604.97
Safe Drinking Water	75,187,786.89	--	--	--	15,305,314.04	59,882,472.85	383,525.55	2,301,914.08
Water	504,653,063.02	(3,512,562.41)	(3,512,562.41)	--	4,451,078.31	496,689,422.30	48,656.58	9,386,358.16
Solid Waste	99,179,187.43	(255,393.61)	(255,393.61)	--	5,984,100.11	92,939,683.71	54,019.82	2,044,684.30
Environmental Restoration	75,363,682.79	(33,062.59)	(33,062.59)	--	132,586.21	75,198,033.99	--	1,513,155.70
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	24,630,987.27	--	--	--	3,553,759.59	21,077,227.68	437.93	777,013.22
Environmental Quality Protection (1972):								
Air	21,498,402.83	20,027.21	20,027.21	--	3,303,164.51	18,215,265.53	44,536.99	831,556.09
Land and Wetlands	47,541,208.24	(165,312.95)	(165,312.95)	--	6,305,453.40	41,070,441.89	4,601.11	1,618,541.84
Water	125,389,186.41	--	--	--	12,782,793.33	112,606,393.08	177,531.79	4,742,434.40
Environmental Quality (1986):								
Land and Forests	60,589,492.07	(371,954.14)	(371,954.14)	--	12,404,379.63	47,813,158.30	15,888.74	1,612,551.32
Solid Waste Management	537,288,426.88	(9,786,641.18)	(9,786,641.18)	--	33,905,266.90	493,596,518.80	6,708.38	10,050,605.44
Housing:								
Low Cost	59,480,151.99	--	--	--	9,311,326.17	50,168,825.82	11,584.29	1,606,468.54
Middle Income	46,002,000.00	--	--	--	4,925,000.00	41,077,000.00	--	2,076,600.00
Outdoor Recreation Development	30,318.00	--	--	--	30,318.00	--	--	1,637.18
Park and Recreation Land Acquisition	40,224.71	--	--	--	4,736.96	35,487.75	--	866.83
Pure Waters	91,335,776.20	--	--	--	8,878,460.86	82,457,317.34	76,665.31	3,313,686.03
Rail Preservation Development	16,583,059.70	--	--	--	4,860,550.28	11,722,509.42	--	564,946.89
Rebuild and Renew New York Transportation:								
Highway Facilities	323,061,087.80	--	--	--	--	323,061,087.80	--	6,245,279.05
Canals and Waterways	7,737,683.52	--	--	--	--	7,737,683.52	--	123,602.72
Aviation	16,170,986.25	--	--	--	--	16,170,986.25	--	297,980.72
Rail and Port	39,388,920.99	--	--	--	--	39,388,920.99	--	769,703.18
Mass Transit - Dept. of Transportation	11,921,084.46	--	--	--	--	11,921,084.46	--	196,025.37
Mass Transit - Metropolitan Transportation Authority	322,321,343.08	--	--	--	--	322,321,343.08	--	6,227,251.39
Rebuild New York: Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	5,432,361.75	--	--	--	292,133.68	5,140,228.07	7,668.51	113,984.26
Ports, Canals, and Waterways	126,438.48	--	--	--	14,912.47	111,526.01	--	4,722.01
Rapid Transit, Rail, and Aviation	23,663,282.84	--	--	--	1,343,195.29	22,320,087.55	12,627.07	909,483.98
Transportation Capital Facilities:								
Aviation	27,107,010.12	--	--	--	3,546,513.09	23,560,497.03	16,087.68	1,032,092.02
Mass Transportation	25,210,785.49	--	--	--	8,422,572.88	16,788,212.61	--	867,342.66
Total General Obligation Bonded Debt	\$3,322,678,999.46	(\$16,535,000.00)	(\$16,535,000.00)	\$ --	\$203,934,000.01	\$3,102,209,999.45	\$994,505.00	\$82,810,966.96

(\*) Includes December 2009 Refunding Bonds as follows: Refunded \$365,850,000 and Refunding \$349,315,000 resulting in a decrease in debt outstanding.

(\*\*) To ensure that all debt service obligations are met and to manage the State's General Fund cash flow, DOB has requested OSC to prepay debt service payments due from November 2009 to January 2010. In October 2009, the State prepaid \$2.9 million of payments due in November 2009, \$11.5 million of payments due in December 2009, and \$1.4 million of payments due through January 4, 2010. In December, OSC prepaid \$1 million of payments due in January 2010.

## SCHEDULE 5a

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
FINANCING AGREEMENTS  
FOR THE NINE (9) MONTHS ENDED DECEMBER 31, 2009

	DEBT REDUCTION RESERVE FUND (064)	GENERAL DEBT SERVICE (311-01) (*)	DEPARTMENT OF HEALTH INCOME (319)	LOCAL GOVERNMENT ASSISTANCE TAX (364)	MENTAL HEALTH SERVICES (304)	REVENUE BOND TAX (311-02)	STATE UNIVERSITY DORMITORY INCOME (330)	COMBINED TOTALS		\$ INCREASE / (DECREASE)
								9 MONTHS ENDED DEC. 31		
								2009	2008	
Special Contractual Financing Obligations:										
Managed by Office of General Services:										
Department of Trans Region 1 Schenectady Hampton Plaza										
Subtotal										
Payments to Public Authorities:										
City University Construction										
Community Enhancement Facilities Program										
Dormitory Authority										
Energy Research & Development Authority										
Environmental Facilities Corporation										
Housing Finance Agency										
Local Government Assistance Corporation										
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects										
Triborough Bridge & Tunnel Authority:										
Thruway Authority										
Urban Development Corporation:										
Corrections Facilities										
Center for Industrial Innovation at RPI										
Syracuse University Science and Technology Center										
Cornell Univer. Supercomputer Center										
Columbia Univer. Telecommunications Center										
Clarkson University										
Debt Reduction Reserve										
University Facilities Grant 95 Refunding										
Youth Facilities										
Economic Development Housing										
Sports Facility										
South Mall										
State Facilities and Equipment										
Consolidated Service Contract Refunding										
Subtotal										
Total Disbursements for Special Contractual Financing Obligations										

(\*) To ensure that all debt service obligations are met and to manage the State's General Fund cash flow, DOB has requested agencies and public authorities to prepay debt service and related payments due during the third quarter of the State's fiscal year that are payable from the General Debt Service Fund. In October 2008, the State prepaid \$1.2 million of payments due in November 2009 and \$538.4 million of payments due in December 2009.

**SUMMARY OF THE OPERATING FUND INVESTMENTS  
FOR THE MONTH OF DECEMBER 2009  
AS REQUIRED OF THE STATE COMPTROLLER**  
(amounts in millions)

	<u>DECEMBER 2009</u>	<u>FISCAL YEAR TO DATE</u>	<u>PRIOR FYTD DECEMBER 2008</u>
<b><u>SHORT TERM INVESTMENT POOL*</u></b>			
AVERAGE DAILY INVESTMENT BALANCE**	\$5,517.9	\$6,164.8	\$10,131.2
AVERAGE YIELD**	0.265%	0.325%	2.063%
TOTAL INVESTMENT EARNINGS	\$1.560	\$18.503	\$157.504

**Month-End Portfolio Balances**

<u>DESCRIPTION</u>	<u>PAR AMOUNT</u>
GOVT. AGENCY BILLS/NOTES	\$0.0
REPURCHASE AGREEMENTS	\$32.1
COMMERCIAL PAPER	\$2,147.0
CERTIFICATES OF DEPOSIT/SAVINGS	\$3,003.3
0% COMPENSATING BALANCE CD's	\$1,090.0
	<u>\$6,272.4</u>

\*Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term Investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds/subfunds (on deposit in State's general checking account) for the purpose of making short term investments. Chapter 56, Part PP, §16 of the Laws of 2009 amended §4(5) of the State Finance Law to authorize the Short Term Investment Pool to temporarily loan to the General Fund-State Operations Account (003) funds for a period of four months or the end of the fiscal year, which ever is shorter. However, it must be noted that certain funds/subfunds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals private organizations, or non-State governmental units (e.g. local governments, public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

\*\*Does not include 0% Compensating Balance CD's.

SOURCE: PENSION INVESTMENT AND PUBLIC FINANCE

STATE OF NEW YORK  
OFFICE OF THE STATE COMPTROLLER  
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES  
BUREAU OF STATE ACCOUNTING OPERATIONS

**COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING  
FISCAL YEAR 2009-2010**

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STATE OF NEW YORK HCRA RESOURCES FUND STATEMENT OF RECEIPTS AND DISBURSEMENTS BY OBJECT FISCAL YEAR 2009-2010						APPENDIX A	
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	
<b>OPENING CASH BALANCE</b>	<b>\$239,963,585</b>	<b>\$204,479,921</b>	<b>\$299,679,153</b>	<b>\$152,079,890</b>	<b>\$179,086,295</b>	<b>\$277,199,387</b>	
<b>RECEIPTS:</b>							
Cigarette Tax	85,019,652	74,639,227	83,659,918	82,626,286	85,414,800	87,211,157	
State Share of NYC Cigarette Tax	5,896,000	6,435,000	7,404,000	6,640,000	7,062,000	7,066,000	
Insurance Premium Tax	--	--	--	--	--	55,000,000	
STIP Interest	158,585	86,022	115,405	89,746	67,795	84,525	
Public Asset Transfers	--	--	95,000,000	--	--	--	
Indigent Care Pool	1,103	403	734	368	368	1,105	
Public Goods Pool	287,893,002	398,081,296	318,275,622	314,341,402	307,771,764	337,539,829	
Hospital Excess Liability Pool	--	--	--	--	--	--	
Miscellaneous	12,261	25	7,867	55,276	12,123	(20,258)	
<b>Total Receipts</b>	<b>378,980,603</b>	<b>479,241,973</b>	<b>504,463,546</b>	<b>403,753,078</b>	<b>400,328,850</b>	<b>486,882,358</b>	
<b>DISBURSEMENTS:</b>							
Grants - Social Service	155,337	57,752	44,908	104,350	4,025	38,257	
Medical Assistance Payments	329,114,794	479,135,526	558,317,945	307,228,279	223,400,027	218,257,123	
Grants - Health	77,101,511	(101,873,402)	69,958,490	65,735,524	72,038,860	76,728,072	
Grants - Mental Hygiene	--	--	--	--	--	--	
Grants - Miscellaneous	128,164	224,739	391,961	162,838	65,119	40,835	
Interest - Late Payments	2,434	1,398	352	849	1,372	723	
Personal Service	1,399,108	980,827	791,000	911,891	709,439	1,355,189	
Non-Personal Service	5,433,318	5,497,881	8,357,125	2,102,942	4,447,238	2,429,298	
Employee Benefits/Indirect Costs	1,129,601	18,020	701,028	--	1,059,678	--	
Appropriated Transfers	--	--	--	--	--	--	
Transfers to 339-ES	--	--	--	500,000	490,000	--	
<b>Total Disbursements</b>	<b>414,464,267</b>	<b>384,042,741</b>	<b>638,562,809</b>	<b>376,746,673</b>	<b>302,215,758</b>	<b>298,849,497</b>	
<b>OPERATING TRANSFERS:</b>							
Transfers to 002	--	--	13,500,000	--	--	19,200,000	
Transfers to 003	--	--	--	--	--	--	
Transfers to 311-02	--	--	--	--	--	3,652,808	
Transfers to 339-AP	--	--	--	--	--	--	
Transfers to 345	--	--	--	--	--	--	
<b>Total Operating Transfers</b>	<b>--</b>	<b>--</b>	<b>13,500,000</b>	<b>--</b>	<b>--</b>	<b>22,852,808</b>	
<b>Total Disbursements and Transfers</b>	<b>414,464,267</b>	<b>384,042,741</b>	<b>652,062,809</b>	<b>376,746,673</b>	<b>302,215,758</b>	<b>321,702,305</b>	
<b>CLOSING CASH BALANCE</b>	<b>\$204,479,921</b>	<b>\$299,679,153</b>	<b>\$152,079,890</b>	<b>\$179,086,295</b>	<b>\$277,199,387</b>	<b>\$442,379,440</b>	

**APPENDIX A**  
(continued)

**STATE OF NEW YORK  
HCRA RESOURCES FUND  
STATEMENT OF RECEIPTS AND DISBURSEMENTS BY OBJECT  
FISCAL YEAR 2009-2010**

	OCTOBER	NOVEMBER	DECEMBER	9 Months Ended December 31, 2009
<b>OPENING CASH BALANCE</b>	<b>\$442,379,440</b>	<b>\$384,130,388</b>	<b>\$456,962,791</b>	<b>\$239,963,585</b>
<b>RECEIPTS:</b>				
Cigarette Tax	62,856,975	73,442,706	80,799,886	715,670,606
State share of NYC Cigarette Tax	5,769,000	6,540,000	6,762,000	59,574,000
Insurance Premium Tax	--	--	35,000,000	90,000,000
STIP Interest	118,969	122,897	132,047	975,991
Public Asset Transfers	--	--	--	95,000,000
Indigent Care Pool	1,104	--	3,638	8,823
Public Goods Pool	322,856,109	270,479,722	353,052,101	2,910,290,847
Hospital Excess Liability Pool	--	--	--	--
Miscellaneous	48,535	2,282	69,484	187,595
<b>Total Receipts</b>	<b>391,650,692</b>	<b>350,587,607</b>	<b>475,819,155</b>	<b>3,871,707,862</b>
<b>DISBURSEMENTS:</b>				
Grants - Social Service	60,941	41,313	52,388	559,271
Medical Assistance Payments	347,298,766	138,669,766	279,561,955	2,880,984,181
Grants - Health	99,753,744	125,833,779	96,645,711	581,922,289
Grants - Mental Hygiene	--	--	--	--
Grants - Miscellaneous	--	12,000	--	1,025,656
Interest - Late Payments	19,162	1,460	2,926	30,676
Personal Service	987,325	855,519	550,772	8,541,070
Non-Personal Service	1,734,949	1,662,512	2,969,350	34,634,613
Employee Benefits/Indirect Costs	44,857	1,035,173	42,757	4,031,114
Appropriated Transfers	--	--	--	990,000
Transfers to 339-ES	--	--	--	--
<b>Total Disbursements</b>	<b>449,899,744</b>	<b>268,111,522</b>	<b>379,825,859</b>	<b>3,512,718,870</b>
<b>OPERATING TRANSFERS:</b>				
Transfers to 002	--	--	--	32,700,000
Transfers to 003	--	--	--	--
Transfers to 311-02	--	--	--	3,652,808
Transfers to 339-AP	--	--	--	--
Transfers to 345	--	9,643,682	3,064,979	12,708,661
<b>Total Operating Transfers</b>	<b>--</b>	<b>9,643,682</b>	<b>3,064,979</b>	<b>49,061,469</b>
<b>Total Disbursements and Transfers</b>	<b>449,899,744</b>	<b>277,755,204</b>	<b>382,890,838</b>	<b>3,561,780,339</b>
<b>CLOSING CASH BALANCE</b>	<b>\$384,130,388</b>	<b>\$456,962,791</b>	<b>\$549,891,108</b>	<b>\$549,891,108</b>

## APPENDIX B

STATE OF NEW YORK  
HCRA RESOURCES FUND  
STATEMENT OF PROGRAM DISBURSEMENTS  
FISCAL YEAR 2009-2010

Program/Purpose	Appropriation Amount (1)	Segregation Amount	April - June Disbursements	July - September Disbursements	October Disbursements	November Disbursements	December Disbursements	Total Disbursements 9 Months Ending December 31, 2009 (3)
<b>COMMUNITY SERVICES PROGRAM</b>	<b>2,771,607</b>	<b>2,771,607</b>	<b>732,863</b>	<b>244,792</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>977,655</b>
LONG TERM CARE INSUR EDUC/OUTREACH	50,000	45,000	--	--	--	--	--	--
ADMIN & GRANTS MGMT HCRA RESOURCE	120,000	120,000	12,000	24,000	--	12,000	--	48,000
ADULT HOMES PROGRAM	12,801,000	739,630	86,160	82,984	16,663	41,275	14,791	241,893
ADULT HOME RESIDENT COUNCIL PROJECT		1,141,355	118,040	112,343	23,364	62,279	31,091	347,117
ADMIN & EXECUTIVE DIRECTION PROGRAM		2,294,055	254,848	110,258	132,228	3,113	73,038	573,485
HEALTH CARE DELIVERY ADMINISTRATION		2,462,770	322,112	312,274	60,949	160,000	90,892	946,227
HEALTH OCCUPATION DEVELOPMENT DEMO		1,134,895	145,676	138,519	50,941	65,358	22,132	422,626
HEALTH WORKFORCE RETRAINING PROGRAM								
PILOT HEALTH INSURANCE ACCOUNT								
PRIMARY CARE INITIATIVES MONITORING								
<b>AIDS INSTITUTE PROGRAM</b>	<b>188,955,213</b>	<b>165,113,199</b>	<b>13,284,811</b>	<b>23,911,086</b>	<b>7,447,047</b>	<b>5,066,630</b>	<b>7,080,937</b>	<b>56,800,521</b>
HEALTH CARE SERVICES ACCOUNT		11,089,797	1,171,105	2,021,407	313,870	179,076	339,074	4,024,532
HOSPITAL BASED GRANTS PROGRAM		8,764,121	469,793	1,761,289	200,482	346,412	529,084	3,307,080
MATERNAL & CHILD HIV SERVICES		2,833,500	257,997	146,632	60,941	41,313	52,388	559,271
OPERATIONAL SUPPORT FOR AIDS HOUSING								
<b>CENTER FOR COMMUNITY HEALTH PROGRAM</b>	<b>117,828,094</b>	<b>74,453,491</b>	<b>10,156,705</b>	<b>13,984,017</b>	<b>2,158,027</b>	<b>1,988,926</b>	<b>3,310,742</b>	<b>31,603,417</b>
HEALTH CARE SERVICES ACCOUNT		20,280,823	2,882,716	2,274,520	18,154	133,330	770,722	6,078,442
HOSPITAL BASED GRANTS PROGRAM		6,139,517	810,832	752,590	158,763	402,492	153,654	2,278,351
TOBACCO CONTROL & CANCER SERVICES								
HEALTH CARE SERVICES ACCOUNT		50,342,078	3,695,173	5,354,413	833,826	1,490,161	1,280,351	12,653,924
EMERGENCY MEDICAL SERVICES ACCOUNT		11,240,000	--	175,890	469,494	435,163	607,656	1,688,203
HEALTH CARE SERVICES ACCOUNT		2,750,000	--	--	--	--	--	--
QUALITY INCENTIVE PAYMENT								
<b>HEALTH CARE FINANCING PROGRAM</b>	<b>11,336,800</b>	<b>5,972,455</b>	<b>836,929</b>	<b>594,218</b>	<b>138,541</b>	<b>310,824</b>	<b>123,665</b>	<b>2,004,177</b>
PROVIDER COLLECTION MONITORING ACCOUNT								
<b>OFFICE OF HEALTH INSURANCE PROGRAM</b>	<b>17,063,000</b>	<b>13,100,860</b>	<b>1,939,304</b>	<b>1,487,579</b>	<b>274,505</b>	<b>662,466</b>	<b>408,738</b>	<b>4,772,612</b>
FAMILY HEALTH PLUS		1,102,500	65,329	85,409	17,667	30,597	20,843	219,845
MEDICAID FRAUD HOTLINE/ADMIN.								
<b>MEDICAL ASSISTANCE PROGRAM</b>	<b>6,730,190,000</b>	<b>4,200,000</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>
BREAST & CERVICAL CANCER GRANTS		4,750,000	--	--	--	--	--	--
D&TC RATES FOR R&R GRANTS (4)		47,000,000	--	7,800,000	--	--	--	15,650,000
DISABLED PERSONS GRANTS		1,161,600,000	22,000,000	206,700,000	150,240,000	--	22,300,000	401,240,000
FAMILY HEALTH PLUS GRANTS		8,000,000	--	--	--	--	--	--
HOME CARE RATES		100,000,000	--	--	--	--	--	--
HOME HEALTH R&R RATES GRANTS (5)		37,000,000	--	--	--	--	--	--
HOME HEALTH WORKFORCE RECRUITMENT		1,846,300,000	367,768,265	266,385,429	103,058,766	107,063,372	103,228,933	947,502,765
MEDICAL ASSISTANCE - INDIGENT CARE FUND		207,700,000	82,000,000	32,100,000	--	--	--	114,100,000
MEDICAL ASSISTANCE - PAYMENT'S GRANTS		6,675,000	--	--	--	--	--	2,500,000
NASSAU & SUFFOLK HOSPITAL DIST GRANTS		61,800,000	--	--	--	--	--	41,300,000
NON-PUBLIC GENERAL HOSP RATES FOR R&R (6)		21,600,000	--	--	--	--	--	21,600,000
NON-PUBLIC NH RATES FOR R&R GRANTS (7)		30,000,000	--	--	--	--	--	--
NURSING HOME FINANCIAL ASSIST GRANTS		249,400,000	124,700,000	--	--	--	--	124,700,000
NYC MEDICAID GRANTS		48,720,000	--	--	--	--	--	16,000,000
NYC NON-PUBLIC HOSP MEANINGFUL ACCESS		272,000,000	--	--	--	--	--	--
NYC PERSONAL CARE WRR RATES GRANTS (8)		22,400,000	--	--	--	--	--	--
PERSONAL CARE WRR RATES GRANTS (9)		1,950,883,000	747,400,000	207,500,000	94,000,000	5,000,000	5,000,000	1,058,900,000
PHARMACY SERVICES GRANT		170,400,000	--	28,400,000	--	28,400,000	--	56,800,000
PHYSICIAN SERVICES GRANT		2,000,000	--	--	--	--	--	--
PRIMARY CARE CASE MANAGEMENT		64,100,000	--	--	--	--	--	24,000,000
PRIORITY RESTORATION GRANTS		64,100,000	--	--	--	--	--	24,000,000
PUBLIC HOSPITAL RATES - SECTION 2807C		136,000,000	--	--	--	--	--	24,000,000
SUPPLEMENTAL MED INS PAYMENT'S GRANTS		9,345,000	--	--	--	--	--	45,400,000
SUPPLEMENTAL RURAL HOSPITAL RATES			--	--	--	--	--	--
<b>ENHANCED COMMUNITY SERVICES PROGRAM</b>	<b>1,000,000</b>	<b>1,000,000</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>
ENHANCED COMMUNITY SERVICES ACCOUNT								
<b>OFFICE OF LONG TERM CARE</b>	<b>8,623,480</b>	<b>3,582,850</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>500,000</b>
ADULT HOME INITIATIVES		2,659,195	--	--	--	--	--	--
ENHANCING ABILITIES & LIFE EXPERIENCE								
<b>ELDERLY PHARMACEUTICAL INS COVERAGE PRG</b>	<b>582,300,000</b>	<b>407,375,000</b>	<b>6,885,058</b>	<b>--</b>	<b>33,637,396</b>	<b>31,441,097</b>	<b>34,198,286</b>	<b>106,161,837</b>
ELDERLY PHARMACEUTICAL INS COVERAGE COVER								
<b>PAYBILLS</b>	<b>1,513,800</b>	<b>794,768,130</b>	<b>72,425,350</b>	<b>62,433,638</b>	<b>48,765,158</b>	<b>44,445,551</b>	<b>17,176,672</b>	<b>245,246,369</b>
<b>CHILD HEALTH INSURANCE PROGRAM</b>	<b>912,058,888</b>							
CHILD HEALTH INSURANCE								



APPENDIX B  
(continued)STATE OF NEW YORK  
HCRA RESOURCES FUND  
STATEMENT OF PROGRAM DISBURSEMENTS  
FISCAL YEAR 2009-2010

Program/Purpose	Appropriation Amount (1)	Segregation Amount	April - June Disbursements	July - September Disbursements	October Disbursements	November Disbursements	December Disbursements	Total Disbursements 9 Months Ending December 31, 2009 (3)
<b>HEALTH CARE REFORM ACT PROGRAM</b>	<b>\$ 2,555,039,725</b>							
ADAPHIV UNINSURED CARE (HR) (10)		11,760,000	--	--	--	--	--	--
AREA HEALTH CARE CENTERS		786,934	--	--	--	--	--	--
ASSEMBLY PRIORITY DISTRIBUTIONS		29,519,519	670,470	700,622	144,644	577,554	--	2,063,290
AUDIT SERVICE PAYER/PROVIDER COMPLIANCE		615,353	1,552,428	88,000	88,000	(280,857)	471,800	2,446,724
CANCER RELATED SERVICES		43,538,322	2,328,017	2,252,546	1,560,396	1,978,738	948,582	9,063,279
CATASTROPHIC HEALTH CARE EXPENSE		3,111,420	--	--	--	--	--	--
COMMISSIONER EMERGENCY DISTRIBUTIONS		4,205,000	700,000	--	--	--	--	700,000
COMMISSIONER'S PRIORITY POOL DISTRIB.		62,750,892	362,624	--	--	--	--	362,624
DIAGNOSTIC & TREAT CIR UNCOMPENSAT CARE		129,910,646	53,687,182	581,356	739,968	34,412,116	1,105,744	90,538,366
DISEASE MANAGEMENT DEMO PROGRAM		3,750,000	1,010,289	--	--	--	--	1,010,289
DIVERSITY IN MEDICINE/POST BACCALAUREAT		3,675,000	978,299	981,701	--	--	460,292	2,420,292
GRADUATE MEDICAL EDUCATION DISTRIB		198,240,000	--	--	--	--	--	--
HEALTH CARE STABILIZATION PROGRAM		26,995,288	(178,160)	888,290	--	--	--	710,130
HEALTH FACILITY RESTRUCTURING		39,200,000	19,600,000	--	--	--	--	19,600,000
HEALTH MAINTENANCE ORG (HMO) DIRECT PAY		39,200,000	(39,200,000)	--	--	--	--	(39,200,000)
HEALTH WORKFORCE RETRAINING		121,477,420	6,329,379	1,216,409	692,130	247,605	712,897	9,168,220
HEALTHY NY - ADMINISTRATION		1,438,226	(1,438,226)	--	--	--	--	(1,438,226)
HEALTHY NY - ENTERTAINMENT WORKERS		503,059	(502,712)	--	--	--	--	(502,712)
HEALTHY NY - GROUP PROGRAM		122,161,378	(122,161,378)	--	--	--	--	(122,161,378)
INDIVIDUAL SUBSIDY PROGRAM		1,551,130	--	--	--	--	--	--
INFERTILITY GRANT PROGRAM		2,345,602	--	--	--	--	--	--
INFERTILITY SVCS TREATMENTS & PROC		15,059,619	1,337,491	157,768	--	32,774	818,014	2,346,047
LONG TERM CARE DELIVERY DEMO PROJECTS		966,244	135,559	23,745	--	61,387	--	220,691
LONG TERM CARE DEMO PROJECTS		750,000	--	--	--	--	--	--
LONG TERM CARE INSUR EDUC/OUTREACH		2,976,960	17,598	3,576	--	2,577	--	23,751
MINORITY PARTICIPATION MED EDUC		192,625	--	--	--	--	--	--
NY'S AREA HEALTH EDUCATION CENTER (AHEC)		4,750,000	--	2,500,594	--	--	--	2,500,594
OTHER MEDICAL SCHOOL		945,101	--	--	--	--	--	--
PAY FOR PERFORMANCE INITIATIVES		9,407,869	436,587	5,186	868,014	8,160	334,670	1,650,617
PHYSICIAN LOAN REPAYMENT PROGRAM		1,715,000	--	--	--	--	67,843	67,843
PHYSICIAN PRACTICE SUPPORT PROGRAM		4,287,500	--	--	--	--	--	--
PHYSICIANS EXCESS MEDICAL MALPRACTICE		127,400,000	--	--	--	--	--	--
POISON CONTROL CENTERS		7,400,000	2,387,817	--	--	--	--	2,387,817
POOL ADMINISTRATOR SERVICES & EXPENSES		10,703,421	918,076	530,875	491,919	--	420,383	2,361,253
PRIMARY HEALTH CARE SERVICES		2,915,430	--	--	--	--	--	--
ROSWELL PARK CANCER INSTITUTE		159,275,000	--	45,450,000	--	--	--	45,450,000
RURAL HEALTH CARE ACCESS DEVELOP		25,600,140	890,385	4,072,450	462,374	1,169,886	19,884,375	65,334,375
RURAL HEALTH CARE DELIVERY DEVELOP		5,480,367	--	--	--	--	1,275,246	7,870,341
RURAL HEALTH NETWORK DEVELOPMENT		13,466,691	1,031,910	3,265,880	623,403	254,045	855,394	6,030,632
SCHOOL BASED HEALTH CENTERS		3,196,000	--	--	--	--	--	--
SCHOOL BASED HEALTH CLINICS		6,392,000	--	--	--	--	--	--
SECTION 405.4 HOSPITAL AUDITS		4,700,000	860,874	248,932	74,526	--	273,263	1,457,595
SENATE PRIORITY DISTRIBUTIONS		30,373,524	61,000	75,000	--	31,400	--	167,400
SUPPLEMENTAL GRADUATE MEDICAL EDUCATION		44,080,000	--	4,042,500	--	--	--	4,042,500
TELEMEDICINE DEMONSTRATION PROGRAM		2,950,566	271,695	(35)	--	--	--	271,660
TOBACCO USE PREVENTION & CONTROL		149,545,825	22,788,622	10,712,519	2,108,548	3,628,364	6,341,046	45,580,099
WORKER RECRUIT/RETAIN PUBLIC HOSPITALS		60,710,417	--	32,000,000	--	--	--	32,000,000
WORKER/RECRUIT/RETAIN PUBLIC RHCF (11)		3,650,000	--	1,148,289	--	--	--	1,148,289
<b>TOTAL</b>	<b>\$ 11,216,163,124 (2)</b>	<b>\$ 9,678,111,015</b>	<b>\$ 1,437,069,817</b>	<b>\$ 977,811,928</b>	<b>\$ 448,899,744</b>	<b>\$ 277,755,204</b>	<b>\$ 382,890,838</b>	<b>\$ 3,525,427,531</b>
Transfer to the General Fund - State Purposes Account (for administration of the program)	869,890							
Reclass of SUNY Hospital Disprop Share to Transfer (12)								
<b>TOTAL APPROPRIATED AMOUNT</b>	<b>\$ 11,217,033,014</b>	<b>\$ 9,678,111,015</b>	<b>\$ 1,437,069,817</b>	<b>\$ 977,811,928</b>	<b>\$ 448,899,744</b>	<b>\$ 268,111,522</b>	<b>\$ 379,825,859</b>	<b>\$ 3,512,718,870</b>

(1) Includes amounts appropriated in 2009 as well as prior year appropriations that were reappropriated in the SFY 2009 budget chapters.

(2) Unsegregated appropriation total is \$1,538,052,109.

(3) Disbursements from the HCRA Resource Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(4) Full title is: Diagnostic and Treatment Center Rates Increase for Recruitment and Retention of Health Care Workers

(5) Full title is: Home Health Recruitment and Retention Rates Grants

(6) Full title is: Non-Public General Hospital Rates for Recruitment and Retention of Health Care Workers

(7) Full title is: Non-Public Nursing Home Rates for Recruitment and Retention of Health Care Workers

(8) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants

(9) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants

(10) Full title is: Aids Drug Assistance Program/Human Immunodeficiency Virus - Uninsured Care - Health Research Incorporated.

(11) Full title is: Workers Recruitment and Retention of Residential Health Care Facilities

(12) Of the \$12,708,661 reclassification amount, \$9,643,652 was a retroactive adjustment to April 2009 paid in November.



APPENDIX C

State of New York  
Schedule of Disbursements of Federal Awards - December 2009  
American Recovery and Reinvestment Act of 2009

Federal CFDA No.	Federal Agency	Program	December Disbursements	Life-to-Date Disbursements
<b>Education</b>				
10.579	Department of Agriculture	Child Nutrition Discretionary Grants Limited Availability	\$ 1,318,196.00	\$ 2,274,027.24
45.025	National Endowment for the Arts	Promotion of the Arts - Partnership Agreements	70,000.00	329,000.00
84.033	Department of Education	Federal Work-Study Program	2,077,530.00	2,077,530.00
84.063	Department of Education	Federal Pell Grant Program	88,242,844.00	88,242,844.00
84.387	Department of Education	Education for Homeless Children and Youth, Recovery Act	134,095.00	134,095.00
84.389	Department of Education	Title I Grants to Local Education Agencies, Recovery Act	8,568,766.00	10,498,820.00
84.390	Department of Education	Rehabilitation Services - Vocational Rehabilitation Grants to States, Recovery Act	1,731,628.24	1,831,773.44
84.391	Department of Education	Special Education Grants to States, Recovery Act	7,726,823.00	43,432,605.00
84.392	Department of Education	Special Education - Preschool Grants, Recovery Act	259,243.00	2,006,565.00
84.394	Department of Education	State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act	62,910,624.00	134,905,079.00
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act	1,647,846.00	67,034,183.00
93.407	Health and Human Services	ARRA - Scholarships for Disadvantaged Students	96,714.00	96,714.00
		Subtotal	174,784,309.24	352,863,235.68
<b>Energy and Environment</b>				
10.086	Department of Agriculture	Aquaculture Grants Program (AGP)	--	7,611.86
66.454	Environmental Protection Agency	Water Quality Management Planning	10,562.32	109,743.75
66.458	Environmental Protection Agency	Capitalization Grants for Clean Water State Revolving Funds	3,966,681.74	15,323,339.73
66.468	Environmental Protection Agency	Capitalization Grants for Drinking Water State Revolving Funds	4,268,261.14	4,268,261.14
81.042	Department of Energy	Weatherization Assistance for Low-Income Persons	1,614,624.01	51,924,537.46
		Subtotal	9,860,129.21	71,633,493.94
<b>Food and Nutrition Services</b>				
10.568	Department of Agriculture	Emergency Food Assistance Program (Administrative Costs)	--	1,550,447.00
93.705	Health and Human Services	Aging Home-Delivered Nutrition Services for States	1,016,417.37	1,467,274.92
93.707	Health and Human Services	Aging Congregate Nutrition Services for States	2,290,977.29	3,106,063.62
		Subtotal	3,307,394.66	6,123,785.54
<b>Health and Social Services</b>				
10.557	Department of Agriculture	Special Supplemental Nutrition Program for Women, Infants & Children (WIC)	--	5,468,978.00
10.561	Department of Agriculture	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	--	12,138,141.00
14.257	Department of Housing and Urban Development	Homelessness Prevention and Rapid Re-Housing Program (Recovery Act Funded)	1,237,298.77	5,551,258.77
84.393	Department of Education	Special Education - Grants for Infants and Families, Recovery Act	56,255.89	63,515.77
93.563	Health and Human Services	Child Support Enforcement	1,453,855.63	12,443,323.55
93.658	Health and Human Services	Foster Care- Title IV-E	--	16,060,208.00
93.659	Health and Human Services	Adoption Assistance	--	19,339,476.00
93.713	Health and Human Services	ARRA - Child Care and Development Block Grant	--	8,400.00
93.714	Health and Human Services	ARRA - Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Programs	--	140,000,000.00
93.778	Health and Human Services	Medical Assistance Program (FMAP) *	628,696,946.44	5,747,104,977.11
94.006	Corporation for National and Community Service	AmeriCorps	293,146.84	293,146.84
		Subtotal	631,737,503.57	5,958,471,425.04
<b>Housing</b>				
93.710	Health and Human Services	ARRA - Community Services Block Grant	--	21,970,689.00
		Subtotal	--	21,970,689.00

State of New York  
Schedule of Disbursements of Federal Awards - December 2009  
American Recovery and Reinvestment Act of 2009

APPENDIX C (continued)

Federal CFDA No.	Federal Agency	Program	December Disbursements	Life-to-Date Disbursements
<b>Labor</b>				
17.207	Department of Labor	Employment Service/Wagner-Peyser Funded Activities	\$ 951,114.23	\$ 4,160,093.38
17.225	Department of Labor	Unemployment Insurance	448,575,859.07	3,494,154,632.95
17.235	Department of Labor	Senior Community Service - Employment Program	160,767.74	372,550.04
17.258	Department of Labor	Workforce Investment Act - Adult Program	1,187,341.76	8,160,711.44
17.259	Department of Labor	Workforce Investment Act - Youth Activities	3,097,817.78	41,582,896.96
17.260	Department of Labor	Workforce Investment Act - Dislocated Workers	2,925,427.68	16,957,161.32
		Subtotal	456,898,328.26	3,565,388,046.09
<b>Public Protection</b>				
12.401	Department of Defense	National Guard Military Operations and Maintenance (O&M) Projects	444,719.25	569,060.78
16.588	Department of Justice	Violence Against Women Formula Grants	16,067.80	17,234.23
16.800	Department of Justice	Recovery Act - Internet Crimes against Children Task Force Program (ICAC)	2,536.28	46,201.08
16.801	Department of Justice	Recovery Act - State Victim Assistance Formula Grant Program	34,028.60	184,576.70
16.802	Department of Justice	Recovery Act - State Victim Compensation Formula Grant Program	(2,302.01)	1,400,416.26
16.803	Department of Justice	Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories	509,036.03	991,818.75
		Subtotal	1,004,085.95	3,209,307.80
<b>Transportation</b>				
20.205	Department of Transportation	Highway Planning and Construction	31,822,508.91	149,126,843.17
		Subtotal	31,822,508.91	149,126,843.17
		<b>TOTAL DISBURSEMENTS \$</b>	<b>1,309,414,259.80</b>	<b>\$ 10,128,786,826.26</b>

\* For Federal funds, Federal CMIA regulations require that we draw funds from the US Treasury as checks are 'cleared' instead of when 'issued'. Because of the manner in which we charge federal funds as payments clear our account, there is a timing delay for recording Medicaid payments based on clearances (rather than issuances). For reporting purposes \$13,435,000.00 in outstanding checks are included as Medicaid payments.

## APPENDIX D

STATE OF NEW YORK - STATEMENT OF CASH FLOW - PUBLIC GOODS POOL  
FISCAL YEAR 2009-2010

	1st Quarter April-June	2nd Quarter July-September	2009 OCTOBER	2009 NOVEMBER	2009 DECEMBER	2009-2010
<b>OPENING CASH BALANCE</b>	<b>\$ 184,277,333.13</b>	<b>\$ 206,704,455.64</b>	<b>\$ 209,805,710.31</b>	<b>\$ 211,155,498.30</b>	<b>\$ 257,540,433.52</b>	<b>\$ 184,277,333.13</b>
<b>RECEIPTS:</b>						
Patient Services	568,849,458.27	603,872,765.22	210,217,871.05	189,644,679.60	210,925,326.20	1,783,510,100.34
Covered Lives	367,270,056.55	269,323,531.02	85,979,457.93	90,440,831.88	90,041,353.34	903,055,230.72
Provider Assessments	13,018,654.96	14,417,904.46	4,173,186.54	4,165,417.52	9,467,378.59	45,242,542.07
1% Assessments	76,690,876.78	75,864,035.98	23,659,018.00	26,717,365.00	26,699,087.00	229,630,382.76
DASNY- MOE/Recast receivables	0.00	0.00	0.00	0.00	0.00	0.00
Interest Income	41,146.10	35,257.50	11,824.14	9,220.77	10,914.89	108,363.40
NYPHRM	1,133.69	1,193.14	260.93	106.95	149.41	2,844.12
Unassigned	693,032.00	(760,437.00)	164,278.00	5,387,035.00	(5,515,062.93)	(31,154.93)
<b>Total Receipts</b>	<b>1,026,564,358.35</b>	<b>962,754,250.32</b>	<b>324,205,896.59</b>	<b>316,364,656.72</b>	<b>331,629,146.50</b>	<b>2,961,518,308.48</b>
<b>DISBURSEMENTS:</b>						
<b>Program Disbursements:</b>						
Diagnostic and Treatment Centers	0.00	0.00	0.00	0.00	0.00	0.00
Rural Health Care Initiatives	0.00	0.00	0.00	0.00	0.00	0.00
Poison Control	(2,387,817.00)	0.00	0.00	0.00	0.00	(2,387,817.00)
Cancer Related Services	0.00	0.00	0.00	0.00	0.00	0.00
Health Work Force Retraining Program	0.00	0.00	0.00	0.00	0.00	0.00
Minority Partnership in Medical Education Grants	0.00	0.00	0.00	0.00	0.00	0.00
GME Distributions	0.00	0.00	0.00	0.00	0.00	0.00
Health Care Recruitment & Retention	0.00	(33,146,289.00)	0.00	0.00	0.00	(33,146,289.00)
Poison Control Centers	0.00	0.00	0.00	0.00	0.00	0.00
School Based Health Center Grants	0.00	0.00	0.00	0.00	0.00	0.00
Professional Education Pool Distributions	0.00	(4,042,500.00)	0.00	0.00	0.00	(4,042,500.00)
DSH Cap "pop-up"	0.00	0.00	0.00	0.00	0.00	0.00
<b>Total Program Disbursements</b>	<b>(2,387,817.00)</b>	<b>(37,188,789.00)</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>(39,576,606.00)</b>
Administrative Expenses	0.00	0.00	0.00	0.00	0.00	0.00
<b>Total Disbursements</b>	<b>(2,387,817.00)</b>	<b>(37,188,789.00)</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>(39,576,606.00)</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>1,024,176,541.35</b>	<b>925,565,461.32</b>	<b>324,205,896.59</b>	<b>316,364,656.72</b>	<b>331,629,146.50</b>	<b>2,921,941,702.48</b>
<b>OTHER FINANCING SOURCES (USES):</b>						
<b>Transfers from Other Pools:</b>						
Medicaid Disproportionate Share	0.00	0.00	0.00	0.00	281,393.73	281,393.73
Health Facility Assessment Fund	0.00	0.00	0.00	0.00	0.00	0.00
<b>Transfers From State Funds:</b>						
061-HCRA Resources Fund	2,387,817.00	37,188,789.00	0.00	0.00	0.00	39,576,606.00
Other	0.00	0.00	0.00	0.00	0.00	0.00
<b>Total Other Financing Sources</b>	<b>2,387,817.00</b>	<b>37,188,789.00</b>	<b>0.00</b>	<b>0.00</b>	<b>281,393.73</b>	<b>39,857,999.73</b>
<b>Transfers to Other Pools:</b>						
Medicaid Disproportionate Share	0.00	0.00	0.00	0.00	0.00	0.00
Health Facility Assessment Fund	0.00	0.00	0.00	0.00	0.00	0.00
<b>Transfers to State Funds:</b>						
061-HCRA Resources Fund	(729,681,602.49)	(693,354,119.32)	(214,175,393.35)	(156,670,529.56)	(244,085,482.15)	(2,037,967,126.87)
061-IN Indigent Care Fund (matched)	(272,351,770.38)	(264,010,756.67)	(108,171,168.15)	(102,960,307.11)	(107,943,752.15)	(855,437,754.46)
061-IN Indigent Care Fund (non-matched)	(2,103,862.97)	(2,288,119.66)	(509,547.10)	(10,348,884.83)	(791,422.25)	(16,041,836.81)
Other	0.00	0.00	0.00	0.00	0.00	0.00
<b>Total Other Financing Uses</b>	<b>(1,004,137,235.84)</b>	<b>(959,652,995.65)</b>	<b>(322,856,108.60)</b>	<b>(269,979,721.50)</b>	<b>(352,820,656.55)</b>	<b>(2,909,446,718.14)</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	22,427,122.51	3,101,254.67	1,349,787.99	46,384,935.22	(20,910,116.32)	52,352,984.07
<b>CLOSING CASH BALANCE</b>	<b>\$ 206,704,455.64</b>	<b>\$ 209,805,710.31</b>	<b>\$ 211,155,498.30</b>	<b>\$ 257,540,433.52</b>	<b>\$ 236,630,317.20</b>	<b>\$ 236,630,317.20</b>

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK - STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE  
FISCAL YEAR 2009-2010

	1st Quarter April-June	2nd Quarter July-September	2009 OCTOBER	2009 NOVEMBER	2009 DECEMBER	2009-2010
OPENING CASH BALANCE	\$ 1,103.14	\$ 367.78	\$ 1,104.20	\$ 108,244,350.23	\$ 3,637.57	\$ 1,103.14
RECEIPTS:						
Interest Income	1,505.04	2,576.74	0.00	3,637.57	463.47	8,182.82
Total Receipts	1,505.04	2,576.74	0.00	3,637.57	463.47	8,182.82
DISBURSEMENTS:						
Program Disbursements:						
Indigent Care	(265,037,182.94)	(265,182,240.12)	0.00	(217,317,903.30)	(108,069,834.92)	(855,607,161.28)
High Need Indigent Care	(8,207,210.97)	0.00	0.00	(637,282.00)	0.00	(8,844,492.97)
Other	100,244.09	194,847.24	0.00	67,309.63	81,307.79	443,708.75
Total Program Disbursements	(273,144,149.82)	(264,987,392.88)	0.00	(217,887,875.67)	(107,988,527.13)	(864,007,945.50)
Investment Purchases	0.00	0.00	0.00	0.00	0.00	0.00
Total Disbursements	(273,144,149.82)	(264,987,392.88)	0.00	(217,887,875.67)	(107,988,527.13)	(864,007,945.50)
Excess (Deficiency) of Receipts over Disbursements	(273,142,644.78)	(264,984,816.14)	0.00	(217,884,238.10)	(107,988,063.66)	(863,999,762.68)
OTHER FINANCING SOURCES (USES):						
Transfers from Other Pools:						
Public Goods Pool	0.00	0.00	0.00	0.00	0.00	0.00
Health Facility Assessment Fund	0.00	0.00	0.00	0.00	0.00	0.00
Transfers From State Funds:						
061-IN HCRA Resources Indigent Care - Matched	136,175,885.20	132,005,378.35	54,085,584.08	51,480,153.56	53,971,876.08	427,718,877.27
061-IN HCRA Resources Indigent Care - Unmatched	792,379.44	976,636.21	73,182.08	6,683,218.33	355,057.23	8,880,473.29
265-Federal DHHS Fund	136,175,885.18	132,005,378.32	54,085,584.07	51,480,153.55	53,971,876.07	427,718,877.19
Other	0.00	0.00	0.00	0.00	0.00	0.00
Total Other Financing Sources	273,144,149.82	264,987,392.88	108,244,350.23	109,643,525.44	108,298,809.38	864,318,227.75
Transfers to Other Pools:						
Public Goods Pool	0.00	0.00	0.00	0.00	(281,393.73)	(281,393.73)
Health Facility Assessment	0.00	0.00	0.00	0.00	(28,888.52)	(28,888.52)
Transfers to State Funds:						
061-HCRA Resources Fund	(2,240.40)	(1,840.32)	(1,104.20)	0.00	(3,637.57)	(8,822.49)
Total Other Financing Uses	(2,240.40)	(1,840.32)	(1,104.20)	0.00	(3,139.19)	(319,104.74)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(735.36)	736.42	108,243,246.03	(108,240,712.66)	(3,174.10)	(639.67)
CLOSING CASH BALANCE	\$ 367.78	\$ 1,104.20	\$ 108,244,350.23	\$ 3,637.57	\$ 463.47	\$ 463.47

Source: HCRA - Office of Pool Administration

## APPENDIX F

## SUMMARY OF OFF-BUDGET SPENDING REPORT

	DISBURSED APRIL '09 (000)	DISBURSED MAY '09 (000)	DISBURSED JUNE '09 (000)	DISBURSED JULY '09 (000)	DISBURSED AUG '09 (000)	DISBURSED SEPT '09 (000)	DISBURSED OCT '09 (000)	DISBURSED NOV '09 (000)	DISBURSED DEC '09 (000)	DISBURSED JAN '10 (000)	DISBURSED FEB '10 (000)	DISBURSED MAR '10 (000)	DISBURSED TOTAL 09-10 (000)
<b>DORMITORY AUTHORITY:</b>													
Education - All Other	625	--	21	--	10	293	--	25	--	--	--	--	974
Education - EXCEL	47,685	15,068	35,405	36,901	1,983	5,491	5,693	10,689	--	--	--	--	158,925
Department of Health - All Other	57	--	62	--	3	8	15	78	--	--	--	--	223
Department of Health - Oxford	--	--	--	--	--	--	--	--	--	--	--	--	--
Judicial Institutes (Pace)	--	--	--	--	--	--	--	--	--	--	--	--	--
CEFAP	763	522	196	--	190	57	--	258	--	--	--	--	2,017
Regional Development:													
CCAP	2,525	582	1,857	1,918	1,184	977	1,236	2,554	--	--	--	--	12,613
Multi-modal	2,040	10	98	--	--	--	118	--	--	--	--	--	2,266
GenNYSIS	3,376	883	263	--	1,484	1,815	61	--	--	--	--	--	7,882
RESTORE	--	--	--	17	--	--	--	--	--	--	--	--	17
CUNY Senior Colleges	57,318	12,372	54,944	28,037	22,185	44,320	11,194	55,082	--	--	--	--	285,452
CUNY Community Colleges	12,258	3,450	12,532	8,871	10,541	9,961	7,054	12,366	--	--	--	--	77,033
SUNY Dormitories	14,684	4,389	22,855	19,678	15,883	18,309	4,848	15,501	--	--	--	--	116,077
Upstate Community Colleges	4,297	2,875	7,180	2,933	3,301	5,237	947	6,713	--	--	--	--	33,463
Mental Health	12,628	3,389	17,371	23,921	9,706	8,180	3,102	3,565	--	--	--	--	81,820
Mental Retardation	4,846	1,901	5,792	4,042	6,760	2,931	2,703	2,525	--	--	--	--	31,600
Alcoholism & Alcohol Abuse	320	4	204	1,090	725	18	52	1,487	--	--	--	--	3,900
<b>TOTAL DORMITORY AUTHORITY:</b>	<b>163,430</b>	<b>45,415</b>	<b>158,680</b>	<b>127,439</b>	<b>73,965</b>	<b>97,577</b>	<b>36,823</b>	<b>110,953</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>814,282</b>
<b>EMPIRE STATE DEVELOPMENT CORP:</b>													
Regional Development:													
Centers of Excellence	25	334	278	976	1,321	--	3,014	(179)	--	--	--	--	5,769
CCAP	200	782	516	341	396	400	448	295	--	--	--	--	3,356
Empire Opportunity	--	--	--	--	--	--	--	--	--	--	--	--	--
CEFAP	100	9	--	--	10	114	2,057	--	--	--	--	--	2,290
SEMATECH	--	--	--	--	--	--	--	--	--	--	--	--	--
State Facilities and Equipment	(56)	--	--	--	--	48	--	--	--	--	--	--	(8)
<b>TOTAL EMPIRE STATE DEVELOPMENT CORP:</b>	<b>269</b>	<b>1,105</b>	<b>794</b>	<b>1,317</b>	<b>1,727</b>	<b>562</b>	<b>5,519</b>	<b>116</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>11,409</b>
<b>THRUWAY AUTHORITY:</b>													
CHIPS	--	--	24,789	--	--	116,558	--	--	--	--	--	--	141,347
SHIPS	--	--	--	--	--	--	--	--	--	--	--	--	--
Marchiselli	--	--	9,852	--	--	8,300	--	--	--	--	--	--	18,152
Multi-modal	--	--	191	--	883	--	--	622	--	--	--	--	1,696
<b>TOTAL THRUWAY AUTHORITY:</b>	<b>--</b>	<b>--</b>	<b>34,832</b>	<b>--</b>	<b>883</b>	<b>124,858</b>	<b>--</b>	<b>622</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>161,195</b>
<b>TOTAL OFF-BUDGET:</b>	<b>163,699</b>	<b>46,520</b>	<b>194,306</b>	<b>128,756</b>	<b>76,575</b>	<b>222,997</b>	<b>42,342</b>	<b>111,691</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>986,886</b>
<b>TOTAL CEFAP</b>	<b>863</b>	<b>531</b>	<b>196</b>	<b>31</b>	<b>200</b>	<b>171</b>	<b>2,057</b>	<b>258</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>4,307</b>
<b>ECONOMIC DEVELOPMENT:</b>													
Total CCAP	2,725	1,324	2,173	2,259	1,580	1,377	1,684	2,849	--	--	--	--	15,971
Total Multi-modal	2,040	10	98	--	--	--	118	--	--	--	--	--	2,266
Total GenNYSIS	3,376	883	263	--	1,484	1,815	61	--	--	--	--	--	7,882
Total RESTORE	--	--	--	17	--	--	--	--	--	--	--	--	17
Total Centers for Excellence	25	334	278	976	1,321	--	3,014	(179)	--	--	--	--	5,769
Total Empire Opportunity	--	--	--	--	--	--	--	--	--	--	--	--	--
Total Economic Development	8,166	2,551	2,812	3,252	4,385	3,192	4,877	2,670	--	--	--	--	31,905

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006, this schedule is provided for information only.

# Office of the State Comptroller

## Schedule of Month-End Temporary Loans Outstanding

### December 31, 2009

Temporary Loans are authorizations enacted by the Legislature to allow certain funds/accounts to make appropriated (cash) payments where the funds/accounts do not have sufficient (available) cash on deposit to make such payments. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy **temporary** cash flow needs whenever scheduled disbursements exceed available revenues during the fiscal year. Generally temporary loans are repaid from the first cash receipts of the fund/account. Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and yearly legislation.

It is the prudent and proper practice of the State Comptroller's Office to reduce the (spendable) cash balance of appropriated fund balances at the time that checks and electronic payments are issued. The concept of monitoring 'spendable funds' is employed by the State Comptroller in the central accounting system to ensure that all disbursements (including checks and electronic payments that are future dated) do not exceed the cash available to finance ongoing payments made from the State Treasury. Therefore, the amount of Temporary Loans (from the short-term investment pool) reflects the spendable fund balance, reduced for certain local assistance payments made in December 2009 that had a January 2010 check or electronic payment date. The temporary loan balances for the General Fund - State Operations Account and the State Lottery Fund reflect the '**actual**' fund cash balances as of the close of business on December 31, 2009 and are not adjusted for future-dated check and electronic payments. Furthermore, tax receipts remitted to the State on the last business day of the month are drawn into the State Treasury on the first business day of the next month. These final tax receipts are reported by the Department of Tax and Finance as tax collections for the month of December but have no impact on the month-end temporary loan balance since such receipts were not available until the first business day in January. Post-closing adjustments are taken-on to the State's December cash-basis financial statements to count future dated payments in the month of the check or electronic payment and tax revenues in the month that these were received.

General Fund	
Reconciliation of Temporary Loan to 'Reported' Balance	
at December 31, 2009	
(amounts in millions)	
'Actual' cash balance	(\$723.9)
Fringe Benefit Escrow Offset (Employee Benefits)	146.4
State Operation Account Subtotal	(\$577.5)
Future-dated local assistance payments	312.8
Final tax receipts reported by DTF	(38.3)
Other accounting adjustments	(2.9)
Community Projects Fund balance	101.3
'Reported' General Fund cash balance	(\$204.6)

Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the General Fund and Special Revenue Fund groups.

**APPENDIX G**  
(continued)

**Office of the State Comptroller**  
**Summary of Month-End Temporary Loans Outstanding**

	July 31, 2009	August 31, 2009	September 30, 2009	October 31, 2009	November 30, 2009	December 31, 2009
<b>TOTAL GENERAL FUND</b>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 723,920,695.03
<b>TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS</b>	893,163,080.27	806,327,768.87	1,107,472,339.36	1,119,325,857.64	1,084,985,901.28	924,758,078.63
<b>TOTAL STATE SPECIAL REVENUE FUNDS</b>	840,175,447.69	642,418,615.02	2,076,359,687.74	1,995,018,503.44	1,807,862,506.42	2,072,830,908.22
<b>TOTAL FEDERAL FUNDS</b>	412,645,460.35	422,987,119.64	1,102,068,213.67	468,455,926.26	416,257,911.52	541,219,296.21
<b>TOTAL AGENCY FUNDS</b>	-	-	-	-	-	-
<b>TOTAL ENTERPRISE FUND</b>	-	-	-	-	-	-
<b>TOTAL INTERNAL SERVICE FUNDS</b>	77,124,810.69	83,273,752.35	101,102,341.22	106,118,412.59	110,880,754.41	123,397,468.24
<b>GRAND TOTAL - TEMPORARY LOANS OUTSTANDING \$</b>	<b>2,223,108,799.00</b>	<b>\$ 1,955,007,255.88</b>	<b>\$ 4,387,002,581.99</b>	<b>\$ 3,688,918,699.93</b>	<b>\$ 3,419,987,073.63</b>	<b>\$ 4,386,126,446.33</b>

APPENDIX G  
(continued)Office of the State Comptroller  
Schedule of Month-End Temporary Loans Outstanding

FUND/ ACCOUNT	ACCOUNT TITLE	July 31, 2009	August 31, 2009	September 30, 2009	October 31, 2009	November 30, 2009	December 31, 2009
0003 - 00	GENERAL FUND						
	STATE OPERATIONS FUND	0.00	0.00	0.00	0.00	0.00	0.00
	TOTAL GENERAL FUND	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	723,920,695.03						723,920,695.03
	723,920,695.03						723,920,695.03
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS						
072 - 01	HIGHWAY AND BRIDGE CAPITAL	134,851,034.25	102,018,472.17	373,054,892.67	341,905,106.72	336,323,895.94	242,335,627.78
074 - 4Y	REHAB/REPAIR MARITIME	0.00	0.00	0.00	0.00	0.00	0.00
-4Z	D21RVE- MARITIME	0.00	0.00	0.00	0.00	0.00	0.00
-6Z	D38RVE- CENTRAL ADMIN	0.00	0.00	0.00	0.00	0.00	0.00
-8A	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	6,587,639.79	7,763,679.48	5,058,562.93	5,081,928.69	3,411,928.69	3,710,437.64
-AY	REHAB/REPAIR ALBANY	0.00	0.00	0.00	0.00	0.00	0.00
-AZ	D01RVE- ALBANY	0.00	0.00	0.00	0.00	0.00	0.00
-BY	REHAB/REPAIR BINGHAMTON	0.00	0.00	0.00	0.00	0.00	0.00
-BZ	D07RVE- BINGHAMTON	0.00	0.00	0.00	0.00	0.00	0.00
-CY	REHAB/REPAIR BUFFALO UNIVERSITY	0.00	0.00	0.00	0.00	0.00	0.00
-CZ	D28RVE- SUNY BUFFALO	0.00	0.00	0.00	0.00	0.00	0.00
-DY	REHAB/REPAIR STONYBROOK	0.00	0.00	0.00	0.00	0.00	0.00
-DZ	D13RVE- STONYBROOK	0.00	0.00	0.00	0.00	0.00	0.00
-EY	REHAB/REPAIR BROOKLYN	0.00	0.00	0.00	0.00	0.00	0.00
-EZ	D14RVE- HSC BROOKLYN	0.00	0.00	0.00	0.00	0.00	0.00
-FY	REHAB/REPAIR SYRACUSE	0.00	0.00	0.00	0.00	0.00	0.00
-FZ	D15RVE- HSC SYRACUSE	0.00	0.00	0.00	0.00	0.00	0.00
-GY	REHAB/REPAIR BROCKPORT	0.00	0.00	0.00	0.00	0.00	0.00
-GZ	D02RVE- BROCKPORT	0.00	0.00	0.00	0.00	0.00	0.00
-HY	REHAB/REPAIR BUFFALO COLLEGE	0.00	0.00	0.00	0.00	0.00	0.00
-HZ	D03RVE- SUB BUFFALO	0.00	0.00	0.00	0.00	0.00	0.00
-IY	REHAB/REPAIR CORTLAND	0.00	0.00	0.00	0.00	0.00	0.00
-IZ	D04RVE- CORTLAND	0.00	0.00	0.00	0.00	0.00	0.00
-JY	REHAB/REPAIR FREDONIA	0.00	0.00	0.00	0.00	0.00	0.00
-JZ	D06RVE- FREDONIA	0.00	0.00	0.00	0.00	0.00	0.00
-KY	REHAB/REPAIR GENESEO	0.00	0.00	0.00	0.00	0.00	0.00
-KZ	D06RVE- GENESEO	0.00	0.00	0.00	0.00	0.00	0.00
-LY	REHAB/REPAIR OLD WESTBURY	0.00	0.00	0.00	0.00	0.00	0.00
-LZ	D31RVE- OLD WESTBURY	0.00	0.00	0.00	0.00	0.00	0.00
-MY	REHAB/REPAIR NEW PALTZ	0.00	0.00	0.00	0.00	0.00	0.00
-MZ	D08RVE- NEW PALTZ	0.00	0.00	0.00	0.00	0.00	0.00
-NY	REHAB/REPAIR ONEONTA	0.00	0.00	0.00	0.00	0.00	0.00
-NZ	D08RVE- ONEONTA	0.00	0.00	0.00	0.00	0.00	0.00
-OY	REHAB/REPAIR OSWEGO	0.00	0.00	0.00	0.00	0.00	0.00
-OZ	D10RVE- OSWEGO	0.00	0.00	0.00	0.00	0.00	0.00
-PY	REHAB/REPAIR PLATTSBURGH	0.00	0.00	0.00	0.00	0.00	0.00
-PZ	D11RVE- PLATTSBURGH	0.00	0.00	0.00	0.00	0.00	0.00
-QY	REHAB/REPAIR POTSDAM	0.00	0.00	0.00	0.00	0.00	0.00
-QZ	D12RVE- POTSDAM	0.00	0.00	0.00	0.00	0.00	0.00
-RY	REHAB/REPAIR PURCHASE	0.00	0.00	0.00	0.00	0.00	0.00
-RZ	D29RVE- PURCHASE	0.00	0.00	0.00	0.00	0.00	0.00
-SY	REHAB/REPAIR FOR UTICA/ROME	0.00	0.00	0.00	0.00	0.00	0.00
-SZ	D27RVE- CAMPUS RESERVE	0.00	0.00	0.00	0.00	0.00	0.00
-UY	REHAB/REPAIR ALFRED	0.00	0.00	0.00	0.00	0.00	0.00
-UZ	D22RVE- ALFRED	0.00	0.00	0.00	0.00	0.00	0.00
-VY	REHAB/REPAIR CANTON	0.00	0.00	0.00	0.00	0.00	0.00
-VZ	D23RVE- CANTON	0.00	0.00	0.00	0.00	0.00	0.00
-WY	REHAB/REPAIR COBLESKILL	0.00	0.00	0.00	0.00	0.00	0.00
-WZ	D24RVE- COBLESKILL	0.00	0.00	0.00	0.00	0.00	0.00
-XY	REHAB/REPAIR DELHI	0.00	0.00	0.00	0.00	0.00	0.00
-XZ	D25RVE- DELHI	0.00	0.00	0.00	0.00	0.00	0.00
-YI	REHAB/REPAIR FARMINGDALE	0.00	0.00	0.00	0.00	0.00	0.00
-YZ	D26RVE- FARMINGDALE	0.00	0.00	0.00	0.00	0.00	0.00
-ZY	REHAB/REPAIR MORRISVILLE	0.00	0.00	0.00	0.00	0.00	0.00
-ZZ	D27RVE- MORRISVILLE	0.00	0.00	0.00	0.00	0.00	0.00
076 - 01	STATE PARK INFRASTRUCTURE	36,117,767.29	38,836,558.90	43,302,033.12	45,735,232.42	51,561,273.25	51,727,757.25
079 - 01	CWICA IMPLEMENTATION DEC	317,345.91	317,345.91	317,345.91	317,345.91	317,345.91	317,345.91
-04	CWICA IMPLEMENTATION STATE	0.00	0.00	0.00	0.00	0.00	0.00
-05	CWICA IMPLEMENTATION ERDA	0.00	0.00	0.00	0.00	0.00	0.00
-06	CWICA IMPLEMENTATION EFC	343,000.00	343,000.00	343,000.00	480,200.00	480,200.00	480,200.00
312 - 01	SITE INVESTIGATION & CONSTRUCTION	0.00	0.00	0.00	0.00	0.00	0.00
-06	HAZARDOUS WASTE CLEAN UP	114,751,766.77	116,739,908.85	119,723,133.54	125,664,417.93	58,998,677.61	63,970,366.74
357 - 01	YOUTH FACILITIES IMPROVEMENT	2,711,291.48	1,847,425.41	4,920,581.75	6,469,701.06	7,470,879.03	10,830,864.03
374 - 01	HOUSING ASSISTANCE	18,369,788.02	18,218,340.00	18,074,164.70	27,358,039.70	27,358,039.70	27,656,087.70
376 - 01	HOUSING PROG FD-HSG TR FD CORP	98,160,066.76	59,263,369.90	59,263,369.90	70,064,927.52	70,064,927.52	70,064,927.52
-02	HOUSING PROG FD-AFFORD HSG CORP	15,973,173.61	12,737,854.01	12,174,943.01	10,807,278.01	18,707,278.01	15,746,483.03
-03	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	33,428,753.28	19,235,854.27	16,468,678.74	24,067,178.74	24,067,178.74	24,067,178.74
-05	HOUSING PROG FD-HPFA	0.00	0.00	0.00	0.00	0.00	0.00
380 - 01	HIGHWAY FAC PURPOSE	11,877,557.06	12,590,742.22	13,214,122.97	13,724,033.86	14,426,675.15	13,219,036.53
387 - 08	CLEAN AIR CAPITAL	0.00	0.00	0.00	0.00	0.00	0.00



APPENDIX G  
(continued)Office of the State Comptroller  
Schedule of Month-End Temporary Loans Outstanding

FUND/ ACCOUNT	ACCOUNT TITLE	July 31, 2009	August 31, 2009	September 30, 2009	October 31, 2009	November 30, 2009	December 31, 2009
-22	NY RACING ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
388	OMRDD-STATE FACILITIES PRE 12/99	0.00	0.00	0.00	0.00	0.00	0.00
-03	DSAS-COMMUNITY FACILITIES	1,993,376.23	1,993,376.23	1,983,376.23	1,906,062.60	1,830,985.88	1,717,923.99
-07	OMH-COMMUNITY FACILITIES	158,115,722.16	156,853,478.28	160,863,194.51	141,140,828.50	143,560,863.74	144,323,481.95
-08	OMRDD-COMMUNITY FACILITIES	0.00	0.00	0.00	0.00	0.00	0.00
-09	OASAS-COMMUNITY FACILITIES	167,308,331.67	166,206,006.67	168,227,763.00	171,312,910.26	171,731,758.88	174,273,274.85
-30	DASNY - OMH ADMIN	5,785,442.38	2,782,986.87	0.00	146,207.84	366,227.28	467,967.88
-31	DASNY - OMRDD ADMIN	5,602,982.44	3,448,895.21	3,448,895.21	3,448,895.21	3,448,895.21	5,538,745.21
-33	DASNY - OASAS ADMIN	808,325.59	323,766.78	323,766.78	323,766.78	323,766.78	323,766.78
-50	OMH-STATE FACILITIES	59,606,067.71	63,803,725.90	66,468,647.23	69,993,992.96	74,006,922.22	54,646,517.56
-51	OMRDD-STATE FACILITIES	0.00	0.00	0.00	0.00	0.00	0.00
-53	OASAS-STATE FACILITIES	1,018,093.75	1,061,703.75	1,341,095.75	1,348,572.75	157,619.30	157,619.30
-53	CORR. FACILITIES CAPITAL IMPROVEMENT	0.00	5,042.00	5,042.00	5,042.00	5,042.00	0.00
-01	DOCS-REHABILITATION PROJECTS	19,435,664.22	19,886,336.06	39,884,729.41	58,024,492.24	76,375,520.44	19,183,478.24
-03	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	\$893,163,080.27	\$806,327,768.87	\$1,107,472,339.36	\$1,119,325,957.64	\$1,084,985,901.28	\$924,758,078.63
<b>STATE SPECIAL REVENUE FUNDS</b>							
050	TUITION REIMBURSEMENT FUND	0.00	0.00	0.00	0.00	0.00	0.00
-01	VOCATIONAL SCHOOL SUPERVISION	0.00	0.00	0.00	0.00	0.00	0.00
-02	LOCAL GOVERNMENT RECORDS MGMT	0.00	0.00	0.00	0.00	0.00	0.00
052	HOSPITAL BASED GRANTS PROGRAM	0.00	0.00	0.00	0.00	0.00	0.00
-01	EPIC PREMIUM ACCOUNT	14,988,475.76	14,988,475.76	0.00	33,625,871.37	5,066,988.19	0.00
-J6	MATERNAL & CHILD HEALTH HIV SERVICES	0.00	0.00	0.00	0.00	0.00	252,975.50
-LC	CHILD HEALTH INSURANCE	86,369,893.72	93,439,591.70	113,751,995.37	162,517,153.37	81,962,704.82	0.00
-29	LOTTERY-EDUCATION	0.00	0.00	1,359,890,836.09	1,223,287,147.55	1,096,486,823.42	934,933,579.41
160	VLT LOTTERY- ADMINISTRATION	0.00	0.00	0.00	0.00	0.00	0.00
-05	VLT EDUCATION	0.00	0.00	0.00	0.00	0.00	0.00
-06	ENVIR FAC CORP ADM ACCT	1,016,964.78	1,438,697.50	0.00	0.00	285,178.52	956,524.76
300	ENCON- ADMIN ACCT	960,941.36	1,120,988.32	1,368,754.40	1,631,128.27	1,787,175.19	1,942,463.77
-02	HAZARDOUS BULK STORAGE	0.00	0.00	0.00	0.00	0.00	0.00
301	ENCON-UTILITY ENVIRONMENTAL REGULATION	0.00	0.00	0.00	0.00	0.00	0.00
-H4	FEDERAL GRANTS INDIRECT COST RECOVERY ACCT	0.00	0.00	0.00	0.00	0.00	0.00
-IC	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	4,239,811.99	4,433,588.91	4,673,533.62	4,347,131.91	4,545,129.15	5,007,140.24
-K5	ENCON-RECREATION	6,007,671.59	6,270,504.39	6,780,056.27	7,005,549.32	6,746,810.10	7,477,646.80
-K6	ENCON CONSERVATIONIST MAGAZINE ACCT	0.00	0.00	0.00	0.00	0.00	0.00
-S4	ENVIRONMENTAL REGULATORY	21,361,746.15	22,585,182.95	24,190,347.23	25,506,134.24	22,616,436.78	21,030,675.63
-S5	NATURAL RESOURCES ACCOUNT	10,766,574.08	10,810,984.14	10,875,188.06	11,498,060.87	11,534,036.61	13,173,671.13
-S6	MINED LAND RECLAMATION ACCT	0.00	0.00	0.00	0.00	0.00	0.00
-XB	PUBLIC TRANSPORTATION SYSTEMS	0.00	0.00	0.00	0.00	0.00	0.00
313	METROPOLITAN MASS TRANSPORTATION	0.00	0.00	0.00	0.00	0.00	0.00
-01	OPERATING PERMIT PROGRAM	5,481,595.00	6,358,882.52	7,776,582.30	8,782,220.00	9,202,401.27	487,120,496.57
-02	MOBILE SOURCE	0.00	0.00	0.00	0.00	0.00	4,173,235.46
338	HEALTH SPARCS	635,948.81	1,252,575.02	1,636,256.90	1,906,731.64	2,359,379.63	2,431,090.91
-06	OMRDD PROVIDER OF SERVICE	99,871,050.66	122,898,169.74	153,686,231.88	173,904,716.49	196,940,329.67	226,617,347.19
-08	NY'S THRUWAY AUTHORITY	1,176,483.10	0.00	2,528,423.05	1,286,206.32	1,336,227.29	8,862.00
-10	MENTAL HYGIENE PROGRAM	0.00	0.00	0.00	0.00	0.00	0.00
-13	FINANCIAL CONTROL BOARD	248,622,778.39	485,676.82	680,938.76	152,415.08	463,216.09	611,037.29
-15	RACING REGULATION ACCOUNT	4,319,304.62	5,344,974.96	5,305,722.00	4,912,319.97	4,441,129.35	5,288,684.99
-16	TRI STATE REGIONAL PLANNING	11,084,312.88	12,330,917.53	13,300,633.21	14,037,249.92	15,165,218.28	16,508,812.49
-17	QUALITY OF CARE	20,258,422.62	20,280,596.40	38,839,199.40	3,551,798.21	13,042,237.46	23,529,874.98
-20	CYBER SECURITY UPGRADE	0.00	0.00	0.00	0.00	0.00	0.00
-25	CERTIFICATE OF NEED ACCT	0.00	0.00	0.00	0.00	0.00	0.00
339	HOSPITAL AND NURSING HOME MANAGEMENT	3,134,319.06	3,415,696.02	61,422.83	298,382.14	3,156,560.52	1,742,531.87
-44	SU DORM INCOME REIMBURSE	0.00	0.00	0.00	0.00	0.00	0.00
-47	TRAINING, MANAGEMENT AND EVALUATION ACCT	0.00	0.00	0.00	0.00	0.00	0.00
-50	ENERGY RESEARCH ACCOUNT	0.00	0.00	0.00	6,211,250.00	6,211,250.00	6,211,250.00
-50	CRIMINAL JUSTICE IMPROVEMENT	0.00	0.00	0.00	0.00	0.00	0.00
-62	FINGERPRINT IDENTIFICATION & TECH ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-68	ENV LAB REF FEE	19,206,121.43	19,930,797.81	20,669,147.40	18,265,240.94	18,330,747.25	18,716,241.84
-81	CLINICAL LAB FEE	0.00	0.00	0.00	0.00	0.00	0.00
-90	PUBLIC EMP REL BOARD	0.00	0.00	0.00	0.00	0.00	0.00
-93	RADIOLOGICAL HEALTH PROTECTION	0.00	0.00	0.00	0.00	0.00	0.00
-95	TEACHER CERTIFICATION PROGRAM	0.00	0.00	0.00	0.00	0.00	0.00
-A4	BANKING DEPARTMENT ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-A5	CABLE TELEVISION	0.00	0.00	0.00	0.00	0.00	0.00
-A6	INDIRECT COST RECOVERY	1,331,707.66	2,414,088.43	504,374.01	2,719,981.64	390,680.06	1,394,798.67
-AH	HIGH SCHOOL EQUIVALENCY PROGRAM	0.00	0.00	0.00	0.00	0.00	0.00
-AI	RAIL SAFETY INSPECTION	0.00	0.00	0.00	0.00	0.00	0.00
-AQ	CHILD SUPPORT INCENTIVE REVENUE	0.00	0.00	0.00	0.00	0.00	0.00
-AX	MULTI- AGENCY TRAINING ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-AY	CRITICAL INFRASTRUCTURE ACCT	0.00	0.00	0.00	0.00	0.00	0.00
-B3	INSURANCE DEPT	0.00	0.00	0.00	0.00	0.00	0.00
-B6	BELL JAR COLLECTION ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-BJ							

APPENDIX G  
(continued)Office of the State Comptroller  
Schedule of Month-End Temporary Loans Outstanding

FUND/ ACCOUNT	ACCOUNT TITLE	July 31, 2009	August 31, 2009	September 30, 2009	October 31, 2009	November 30, 2009	December 31, 2009
-BK	INDUSTRY AND UTILITY SERVICE	0.00	0.00	145,705.82	750,022.41	578,983.16	0.00
-BP	REAL PROPERTY DISPOSITION	51,450.79	64,676.33	72,439.21	0.00	0.00	0.00
-BQ	PARKING ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-BW	ASBESTOS SAFETY TRAINING	62,760.88	77,516.84	64,300.22	64,460.47	53,239.72	59,252.94
-BZ	REAL PROPERTY TAX ADMINISTRATION	18,395,397.68	18,395,397.68	18,395,397.68	18,395,397.68	18,395,397.68	18,395,397.68
-C3	PUBLIC SERVICE	0.00	0.00	0.00	0.00	0.00	0.00
-CC	CAPACITY CONTRACTING	0.00	0.00	0.00	0.00	0.00	0.00
-CU	SPECIAL CONSERVATION ACTIVITIES	0.00	0.00	0.00	0.00	0.00	0.00
-CZ	PLANT INDUSTRY	0.00	0.00	0.00	0.00	0.00	0.00
-D9	BATAVIA SCHOOL FOR THE BLIND	11,442,751.73	11,737,884.82	12,079,357.90	6,874,766.09	7,319,674.57	8,547,583.20
-DC	INVESTMENT SERVICES	643,673.42	933,484.15	717,360.88	159,826.41	567,171.54	699,587.70
-DE	SURPLUS PROPERTY ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-DH	OMRSD SAY SERVICES ACCOUNT	0.12	5,365,422.41	15,118,798.86	19,476,719.24	25,210,200.18	29,905,809.36
-DI	FINANCIAL OVERSIGHT	183,705.98	630,820.74	893,191.79	464,908.85	663,450.27	857,164.20
-DT	REGULATION INDIAN GAMING	72,000,167.80	75,764,758.45	77,162,661.68	76,842,350.94	78,379,823.88	79,223,762.98
-DZ	INTEREST ASSESSMENT ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-E3	PROFESSIONAL EDUC SERVICE	0.00	0.00	0.00	0.00	0.00	0.00
-E6	ROME SCHOOL FOR THE DEAF	7,291,530.85	7,417,830.72	7,696,467.06	3,210,685.27	3,667,340.08	4,792,025.10
-E8	DSP-SEIZED ASSETS	25,413,075.16	25,902,679.92	13,344,186.47	2,802,492.68	2,717,075.87	2,343,748.38
-E9	ADMINISTRATIVE ADJUDICATION	1,053,824.41	0.00	2,784,244.04	7,719,285.02	9,838,653.22	0.00
-EC	FEDERAL SALARY SHARING	0.00	0.00	0.00	0.00	0.00	0.00
-EG	CLIENT NOTICE SYSTEM ACCT	0.00	0.00	0.00	0.00	0.00	0.00
-EN	CULTURAL EDUCATION ACCOUNT	1,480,243.45	594,117.65	395,522.38	1,625,728.34	1,483,523.31	980,664.30
-ER	EXAMINATION & MISC REV	0.00	0.00	0.00	0.00	0.00	0.00
-F1	TRANSPORTATION REGULATION	0.00	0.00	0.00	0.00	0.00	0.00
-G3	LOCAL SERVICE ACCOUNT	0.00	0.00	0.00	278,009.45	0.00	0.00
-GD	ELECTRONIC BENEFIT ISSUE	0.00	0.00	0.00	0.00	0.00	0.00
-H2	DHCR MORTGAGE SERVICES	0.00	0.00	291,684.27	0.00	0.00	0.00
-H7	DMV-COMPULSORY INS PRGM	0.00	0.00	0.00	0.00	0.00	0.00
-HI	HOUSING INDIRECT COST RECOVERY	4,656.90	28,067.96	0.00	0.00	0.00	0.00
-JC	ACCIDENT PREVENTION COURSE PROGRAM	84,899.78	59,722.97	10,403.74	33,975.89	0.00	0.00
-J6	DHCR-HOUSING CREDIT AGENCY APPLY FEE	0.00	0.00	0.00	0.00	0.00	0.00
-L7	EPIC PREMIUM	0.00	0.00	6,397,064.62	0.00	0.00	0.00
-L7	OTDA INCOME ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-LF	DISABILITY DETERMINATIONS	0.00	0.00	0.00	0.00	0.00	56,170.28
-NG	LOW INCOME HOUSING CREDIT MONITORING	0.00	0.00	0.00	0.00	0.00	0.00
-P4	PROCUREMENT OPPORTUNITY NEWSLETTER	0.00	0.00	0.00	0.00	0.00	0.00
-P6	EFC-CORPORATION ADMINISTRATION	132,135.42	289,621.70	474,518.92	477,763.71	605,661.35	844,334.67
-Q6	MONITROSE VETERAN'S HOME	715,094.56	117,330.22	0.00	0.00	225,804.55	0.00
-R4	MOTOR FUEL QUALITY ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-R5	WEIGHTS AND MEASURES	0.00	0.00	0.00	0.00	0.00	0.00
-R7	DEFERRED COMPENSATION ADMIN	34,361.25	105,404.47	147,442.63	184,601.73	105,713.70	133,082.51
-RR	RENT REVENUE OTHER - NYC	0.00	5,183,810.21	0.00	724,743.16	5,655,696.63	0.00
-S1	BATAVIA MEDICAID INCOME	0.00	0.00	0.00	0.00	0.00	0.00
-S8	TAX REV. ARREARAGE ACCOUNT	154,038.71	3,738.71	0.00	0.00	140,364.11	271,179.11
-TR	OGS-SOLID WASTE MGMT	928,055.55	979,374.23	1,040,180.45	1,104,283.75	1,171,064.26	1,225,983.24
-VJ3	OCCUPATIONAL HEALTH CLINICS	0.00	0.00	0.00	0.00	0.00	0.00
-V4	S.U. NON-RESIDENT REV. OFFSET	86,025,568.53	86,049,239.44	86,071,377.54	86,093,727.79	86,113,320.26	86,132,573.59
345 -11	STATE POLICE MV ENFORCE	28,322,137.69	23,619,106.35	38,168,345.90	31,480,712.74	28,577,030.23	44,655,774.93
354 -02	DOT - HIGHWAY SAFETY PRGM	843,928.64	1,608,211.13	1,401,477.58	1,401,477.58	1,636,132.65	1,311,343.17
362 -01	EFC DRINKING WATER PROGRAM	0.00	0.00	0.00	0.00	0.00	108,609.25
366 -01	DOH DRINKING WATER PROGRAM	4,473,526.80	5,186,811.87	3,134,183.13	3,462,479.65	4,365,761.84	4,750,310.33
366 -02	NYCCC OPERATING OFFSET	19,448,315.78	21,422,281.01	24,092,772.09	25,942,804.34	27,596,749.17	7,318,001.74
368 -01	TOTAL STATE SPECIAL REVENUE FUNDS	\$840,175,447.69	\$642,418,615.02	\$2,076,359,687.74	\$1,995,018,503.44	\$1,807,862,506.42	\$2,072,830,908.22
<b>FEDERAL FUNDS</b>							
261 -	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	4,505,956.83	5,246,525.57	8,095,272.40	3,338,618.92	8,837,708.45	10,418,389.75
265 -	FEDERAL HEALTH AND HUMAN SERVICES FUND	44,478,267.38	54,804,755.37	701,263,203.91	103,122,054.44	92,267,885.50	144,347,258.98
267 -	FEDERAL EDUCATION GRANTS FUND	11,213,837.05	5,272,089.15	46,571,897.52	49,576,578.68	13,412,419.76	29,524,361.31
269 -	FEDERAL BLOCK GRANT FUND	156,599.86	98,487.43	598,529.83	37,237.30	147.41	74,177.46
290 -	FEDERAL OPERATING GRANTS FUND	43,197,133.50	39,971,590.68	49,435,531.01	53,438,420.17	57,206,937.57	43,416,329.59
291 -04	MILITARY AND NAVAL AFFAIRS	18,868,070.45	19,123,917.36	14,535,981.37	14,549,933.63	15,812,967.12	14,113,021.43
291 -10	DEPARTMENT OF TRANSPORTATION	283,017,939.54	291,841,053.49	256,466,528.77	238,871,254.17	222,175,662.53	290,066,660.53
291 -	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	4,814,087.51	3,464,610.56	15,948,404.03	2,903,369.42	3,094,543.58	9,234,857.20
480 -01	UI ADMINISTRATION	0.00	0.00	8,935,272.39	672,557.01	0.00	0.00
484 -00	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	0.00	0.00	0.00	0.00	0.00	0.00
484 -01	DISASTER RELIEF GRANTS	0.00	0.00	0.00	0.00	0.00	0.00
486 -09	DOL WORKFORCE INVESTMENT ACT	2,393,568.23	3,164,080.03	217,592.34	1,844,902.52	3,449,439.60	24,239.96
<b>TOTAL FEDERAL FUNDS</b>		\$412,645,460.35	\$422,987,119.64	\$1,102,068,213.67	\$468,455,926.26	\$416,257,911.52	\$541,219,296.21

APPENDIX G  
(continued)Office of the State Comptroller  
Schedule of Month-End Temporary Loans Outstanding

FUND/ ACCOUNT	ACCOUNT TITLE	July 31, 2009	August 31, 2009	September 30, 2009	October 31, 2009	November 30, 2009	December 31, 2009
<b>AGENCY FUNDS</b>							
179 -02	MMIS - STATE & FEDERAL	0.00	0.00	0.00	0.00	0.00	0.00
	<b>TOTAL AGENCY FUNDS</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>ENTERPRISE FUND</b>							
325 -01	STATE FAIR RECEIPTS FUND	0.00	0.00	0.00	0.00	0.00	0.00
331 -55	OGS CONVENTION CENTER ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
450 -01	IND EXHIB AUTH FUND	0.00	0.00	0.00	0.00	0.00	0.00
	<b>TOTAL ENTERPRISE FUND</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>INTERNAL SERVICE FUNDS</b>							
323 -03	CENTRALIZED SERVICES-FLEET MGMT	0.00	0.00	102,988.07	0.00	0.00	0.00
-05	CENTRALIZED SERVICES-DATA PROCESSING	508,463.58	0.00	0.00	0.00	0.00	0.00
-06	CENTRALIZED SERVICES-REPRODUCTION	1,543,854.54	1,664,391.01	1,701,057.09	1,638,568.23	1,591,364.79	1,642,166.28
-07	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	67,611.24	105,112.68	321,138.83	443,313.13	0.00	45,285.52
-08	CENTRALIZED SERVICES-SURPLUS FED FOODS	2,856,703.79	3,038,474.20	3,283,119.41	2,783,666.59	3,209,328.92	3,458,664.62
-09	CENTRALIZED SERVICES-PERSONAL PROPERTY	0.00	0.00	0.00	0.00	0.00	0.00
-12	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	4,588,446.54	3,177,559.41	3,175,945.31	2,815,929.75	2,934,148.76	3,000,366.05
-13	CENTRALIZED SERVICES-PASNY	4,898,376.13	3,437,161.54	4,893,593.53	5,755,499.05	7,174,771.37	5,397,287.73
-14	CENTRALIZED SERVICES-ADMIN SUPPORT	0.00	0.00	0.00	0.00	0.00	0.00
-15	CENTRALIZED SERVICES-DESIGN & CONSTR	0.00	0.00	0.00	0.00	0.00	0.00
-17	CENTRALIZED SERVICES-INSURANCE	2,651,885.87	3,131,726.57	2,897,546.84	2,972,023.90	2,557,300.17	3,054,299.50
-18	CENTRALIZED SERVICES-SECURITY CARD ACCESS	44,590.41	5,717.41	0.00	0.00	0.00	1,914,949.12
-19	CENTRALIZED SERVICES-COPS	0.00	0.00	0.00	0.00	0.00	0.00
-20	CENTRALIZED SERVICES-FOOD SERVICES	0.00	0.00	0.00	0.00	0.00	0.00
-21	CENTRALIZED SERVICES-HOMER FOLKS	1,192,676.10	0.00	0.00	10,993.33	0.00	0.00
-23	CENTRALIZED SERVICES-HIMICS	212,867.17	308,163.61	427,190.82	383,772.78	278,199.90	335,052.29
-26	DOWNSTATE DISTRIBUTION	835,039.04	757,789.00	869,201.72	963,416.56	577,218.62	736,530.94
-27	BUILDING ADMINISTRATION	0.00	0.00	0.00	0.00	0.00	0.00
-334	ARCHIVES RECORD MGMT I.S.	0.00	0.00	0.00	0.00	0.00	0.00
-02	FEDERAL SINGLE AUDIT	0.00	0.00	0.00	0.00	0.00	0.00
-05	QUICK COPY CENTER	0.00	0.00	0.00	0.00	0.00	0.00
-07	CIVIL SERVICE LAW SEC. 11 ADMIN	0.00	0.00	0.00	0.00	0.00	0.00
-09	CIVIL SERVICE EHS OCCUP HEALTH PROG	0.00	0.00	0.00	0.00	0.00	0.00
-10	BANKING SERVICES ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-12	CULTURAL RESOURCE SURVEY	2,139,864.80	2,377,378.20	2,789,950.84	3,588,920.80	3,884,360.92	2,757,703.85
-14	NEIGHBOR WORK PROJECT	3,821,795.16	4,289,045.48	4,395,862.85	4,291,882.85	3,759,340.85	3,220,237.02
-17	AUTOMATIC/PRINT CHARGEBACKS	0.00	0.00	0.00	0.00	0.00	0.00
-18	OFT NYT ACCT	0.00	0.00	0.00	0.00	0.00	0.00
-20	DATA CENTER ACCOUNT	19,878,961.51	25,490,445.10	35,200,501.42	36,383,818.03	37,623,076.34	46,387,396.46
-23	HUMAN SVCE TELECOM ACCT	2,033,592.33	3,192,241.70	5,568,315.69	10,017,074.29	11,994,446.24	12,732,931.37
-24	OMRDD COPY CENTER ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-26	CYBER SECURITY INTRUSION ACCT	275,260.52	106,739.52	245,030.96	666,067.05	701,164.00	385,196.18
-27	DOMESTIC VIOLENCE GRANT	647,626.83	651,242.33	676,128.69	2,715,748.33	3,004,346.10	2,981,612.64
-28	CENTRALIZED TECHNOLOGY SERVICES	794,554.93	2,507,868.81	2,715,748.33	0.00	0.00	0.00
-30	JOINT LABOR MANAGEMENT ADMIN	179,525.76	396,684.82	521,188.66	739,940.79	824,336.07	908,936.84
394 -01	EXECUTIVE DIRECTION INTERNAL AUDIT	16,673,172.66	17,513,042.78	16,674,771.17	16,427,326.84	16,185,597.74	17,796,582.69
395 -04	HEALTH INSURANCE INTERVIEW SERVICE	1,185,558.04	1,600,639.75	1,804,028.23	1,920,569.26	2,118,328.76	2,463,234.01
396 -01	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	10,084,393.74	9,500,240.75	12,067,707.56	11,311,936.68	12,462,538.79	14,179,035.13
397 -00	CORR INDUSTRIES INTERNAL SERVICE	0.00	0.00	0.00	0.00	0.00	0.00
	<b>TOTAL INTERNAL SERVICE FUNDS</b>	<b>\$77,124,810.69</b>	<b>\$83,273,752.35</b>	<b>\$101,102,341.22</b>	<b>\$106,118,412.59</b>	<b>\$110,860,754.41</b>	<b>\$123,397,468.24</b>
<b>GRAND TOTAL - TEMPORARY LOANS OUTSTANDING</b>							
		2,223,108,799.00	1,955,007,255.88	4,387,002,581.99	3,688,918,699.93	3,419,987,073.63	4,386,126,446.33

Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 56, Part PP, Section 1 and 1A, of the Laws of 2009-10, and represent authorizations made by the Legislature to allow certain fund/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

## NOTE:

- (1) Includes all negative cash balance Subfunds within fund 261.
- (2) Includes all negative cash balance Subfunds within fund 265.
- (3) Includes all negative cash balance Subfunds within fund 267.
- (4) Includes all negative cash balance Subfunds within fund 269.
- (5) Includes all negative cash balance Subfunds within fund 290.
- (6) Includes all other negative cash balance Subfunds within fund 291.

