

NOTICE OF AVAILABILITY OF STATE AND FEDERAL FUNDS

Environmental Facilities Corporation
Division of Engineering & Program Management
625 Broadway
Albany, NY 12207-2997

and
Department of Health
Bureau of Water Supply Protection
Empire State Plaza
Corning Tower, Room 1135
Albany, NY 12237

MUNICIPALITIES OF NEW YORK STATE; OWNERS/
OPERATORS OF PUBLIC DRINKING WATER SYSTEMS; NOT-
FOR-PROFIT LAND TRUST ORGANIZATIONS; NON-
MUNICIPAL & NOT-FOR-PROFIT NON-POINT SOURCE
FACILITY OWNERS

**Clean Water State Revolving Fund (CWSRF) for Water Pollution
Control; Drinking Water State Revolving Fund (DWSRF); Draft
2014 Intended Use Plans (IUPs); Anticipated Funds Available;
Project Priority Lists; Eligible Projects; Webinar; Comments;
Applications**

The New York State Environmental Facilities Corporation (EFC), the Department of Environmental Conservation (DEC) and the Department of Health (DOH) are pleased to announce the availability of the draft Federal Fiscal Year (FFY) 2014 Intended Use Plans (IUP) for the Clean Water State Revolving Fund (CWSRF); and the Drinking Water State Revolving Fund (DWSRF). The CWSRF and DWSRF programs are available to municipalities and certain non-municipal entities in NYS to finance water quality and drinking water related projects.

EFC estimates that approximately \$947.3 million will be available for subsidized financing for the CWSRF during FFY 2014. This includes an estimated federal capitalization grant amount of \$75.8 million.

The DOH will receive an estimated \$38.3 million DWSRF federal capitalization grant of which a maximum of \$11.5 million may be made available for eligible hardship projects as additional subsidization as hardship grants. A specific breakdown of the DWSRF sources and uses will be included in the Draft IUP.

The IUPs include lists of projects that could receive reduced-interest subsidized and unsubsidized financing between October 1, 2013 and September 30, 2014, the next IUP financing period. The interest rate for subsidized financings is reduced by 50% (CWSRF) or 33.3% (DWSRF) of the market rate for bonds. Please visit EFC's website at www.efc.ny.gov for more information.

ELIGIBLE PROJECTS:

CWSRF - Municipal water pollution control projects eligible for CWSRF reduced interest subsidized and unsubsidized financing under Section 212 of the Clean Water Act (CWA) include, but are not limited to: wastewater treatment facilities and conveyance systems; combined sewer overflow abatement facilities; stormwater pollution abatement; energy efficiency initiatives; system security measures; water conservation, reuse and recycling systems; and other municipally-owned projects or portions of projects that maintain, protect or improve water quality.

Municipal and non-municipal entities may apply for CWSRF assis-

tance for non-point source projects that protect water quality under CWA Section 319 or implement an approved National Estuary Plan under CWA Section 320. Projects eligible for CWSRF reduced interest subsidized and unsubsidized financing include, but are not limited to: green infrastructure; land acquisition or conservation easements for water quality protection, including wellhead protection; brownfield remediation; contaminated soil and storage tank remediation; water quality aspects of landfills such as landfill leachate collection, storage and treatment, landfill gas collection and control, and landfill capping; stormwater management facilities and equipment; wetland and waterbody restoration; highway deicing materials storage; deficient or failing decentralized septic systems; or other non-point source projects to maintain, protect or improve water quality under Section 319.

DWSRF - Drinking water systems eligible for DWSRF reduced interest subsidized and non-subsidized financing are: municipal and privately-owned community water systems and non-profit, non-community water systems. Municipally-owned water systems are also eligible for reduced interest unsubsidized financing. Projects eligible for DWSRF financing include: construction, rehabilitation, and upgrading of water treatment plants; transmission and distribution mains; storage facilities; pump stations; interconnections; rehabilitation or development of new drinking water sources; consolidation of water supply services; upgrades to existing water systems; energy efficiency initiatives; and capital investments to improve security of drinking water systems.

INTENDED USE PLANS & PROJECT LISTING:

Eligible entities interested in financing one or more projects not currently listed in the draft CWSRF IUP or draft DWSRF IUP should submit the appropriate IUP project listing information as soon as possible but no later than Monday, August 26, 2013 as provided below. For assistance with the IUP listing process, please call the EFC contact as listed at the end of this notice.

For CWSRF new projects, an applicant will need to provide a CWSRF Project Listing Form, an approvable engineering report or technical report, an executed engineering agreement for planning services (if those services are expected to be financed with the project), and a Smart Growth Assessment Form to EFC as soon as possible but no later than August 26, 2013 to be listed for financing of projects on the Annual List of the final CWSRF IUP. EFC uses a web-based portal for listing projects (Project Listing and Update System – PLUS) that can be accessed at <http://plus.efc.ny.gov/>.

For DWSRF projects, an applicant will need to provide a DWSRF Listing Form and an engineering report or plans and specifications to the DOH by Monday, August 26, 2013, or have completed construction, to be included on the Annual List in the final DWSRF IUP.

A notice regarding the availability of the draft IUP will be e-mailed to municipal applicants, system owners, financial advisors, consulting firms, and other interested parties. The draft CWSRF IUP will also be available on the EFC website at www.efc.ny.gov. The DWSRF IUP will also be available on the DOH website at www.health.state.ny.us (click on the "Topics A to Z" button, "Drinking Water", and "Drinking Water State Revolving Fund").

DUE DATE FOR COMMENTS:

Written comments on the draft IUPs must be submitted prior to the August 26, 2013 deadline.

CWSRF Program:

Submit comments
by: Monday August 26, 2013

FAX: (518) 402-7689

E-mail: mjm07@health.state.ny.us

Submit comments
to: Mr. Timothy P. Burns, P.E., Director

Division of Engineering & Program Management
New York State Environmental Facilities Corporation
625 Broadway
Albany, New York 12207-2997
FAX: (518) 402-7456
E-mail: iupcomments@efc.ny.gov

DWSRF Program:

Submit comments
by: Monday August 26, 2013

Submit comments
to: Mr. Michael Montysko, P.E.

Design Section Chief
Bureau of Water Supply Protection
New York State Department of Health
Empire State Plaza
Corning Tower Rm. 1135
Albany, New York 12237
FAX: (518) 402-7659
E-mail: BPWSP@health.state.ny.us
(if sent by email put Draft IUP comment in the subject line)

WEBINAR:

EFC and DOH will host a webinar to review the draft FFY 2014 CWSRF and DWSRF IUPs on Wednesday, August 14, 2013, at 10:00 AM. This event will present an overview of the 2014 CWSRF and DWSRF IUP, as well as an opportunity to answer questions. Log-on instructions for this webinar will be posted on EFC's website at www.efc.ny.gov.

EFC & DOH CONTACTS:

For assistance with the IUP listing process, or for information on these SRF programs, please contact:

CWSRF: Mr. Dwight Brown
SRF Program Services Coordinator
Division of Engineering & Program Management
New York State Environmental Facilities Corporation
625 Broadway
Albany, New York 12207-2997
(800) 882-9721 or (518) 402-7396
FAX: (518) 402-7456
E-mail: dwight.brown@efc.ny.gov
EFC website: www.efc.ny.gov

DWSRF: Mr. Michael Montysko, P.E.,
Chief of Design, Bureau of Water Supply Protection
New York State Department of Health
Empire State Plaza
Corning Tower Rm. 1135
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