

SECURITIES OFFERINGS

STATE NOTICES

Published pursuant to provisions of General Business Law
[Art. 23-A, § 359-e(2)]

DEALERS; BROKERS

Asterias Biotherapeutics, Inc.
230 Constitution Dr., Menlo Park, CA 94025
State or country in which incorporated — Delaware

Caltius Equity Partners Executive III, LP
11766 Wilshire Blvd., Suite 850, Los Angeles, CA 90025
Partnership — Caltius GPE III, LP

East Fayette Group, LLC
1221 E. Genesee St., Suite 2, Syracuse, NY 13210
State or country in which incorporated — New York

Falconwood Fund, LP
1120 Avenue of the Americas, 4th Fl., New York, NY 10036
Partnership — Falconwood Advisors, LLC

FL3UR, Inc.
130 W. 25th St., Suite 2B, New York, NY 10001
State or country in which incorporated — Delaware

Frontfour Capital Partners LP
35 Mason St., 4th Fl., Greenwich, CT 06830
Partnership — Frontfour GP, LLC

Happy Bancshares, Inc.
701 S. Taylor St., Amarillo, TX 79101
State or country in which incorporated — Texas

Harbour Retail Partners Real Estate Fund I, L.P.
35 Johnson Ferry Rd., Marietta, GA 30068
Partnership — Harbour Retail Partners Real Estate Fund GP I, LLC

Hot Mama's Foods, Inc.
134 Avocado St., Springfield, MA 01104
State or country in which incorporated — Delaware

Mordechai Yavneh
1350 Ocean Pkwy., #6A, Brooklyn, NY 11230

Navgen Capital Fund I, LLC
35 Journal Sq., Suite 1101, Jersey City, NJ 07306
Partnership — Vincent Ho, Manager

PFE Holdings, LLC
Two Greenwich Office Park, Greenwich, CT 06831
State or country in which incorporated — Delaware limited liability company

PFM Diversified Opportunities Fund, L.P.
Four Embarcadero Center, Suite 3500, San Francisco, CA 94111
Partnership — Partner Asset Management DVO GP, LLC, general partner

PFM Healthcare Opportunities Fund, L.P.
Four Embarcadero Center, Suite 3500, San Francisco, CA 94111
Partnership — Partner Asset Management HCO GP, LLC, general partner

SBH Investments, LLC
351 W. Hubbard St., Suite 312, Chicago, IL 60654

TBF North Farms, LLC
09548 County Rd. 215, Grand Junction, MI 49056
State or country in which incorporated — Michigan

Tensile Capital Partners LP
Two Embarcadero Center, Suite 1660, San Francisco, CA 94111
Partnership — Tensile Capital GP LLC

