

# NOTICE OF AVAILABILITY OF STATE AND FEDERAL FUNDS

Environmental Facilities Corporation  
Division of Engineering and Program Management  
625 Broadway  
Albany, NY 12207-2997  
and  
Department of Health  
Bureau of Water Supply Protection  
Empire State Plaza  
Corning Tower Rm. 1135  
Albany, NY 12237

MUNICIPALITIES OF NEW YORK STATE; OWNERS/  
OPERATORS OF PUBLIC DRINKING WATER SYSTEMS; NOT-  
FOR-PROFIT LAND TRUST ORGANIZATIONS NON-  
MUNICIPAL & NOT-FOR-PROFIT NON-POINT SOURCE  
FACILITY OWNERS

## **Clean Water State Revolving Fund (CWSRF) for Water Pollution Control Drinking Water State Revolving Fund (DWSRF)**

Draft 2015 Intended Use Plans (IUPs) Anticipated Funds Available; Project Priority Lists; Eligible Projects Webinar; Comments; Applications

The New York State Environmental Facilities Corporation (EFC), the Department of Environmental Conservation (DEC) and the Department of Health (DOH) are pleased to announce the availability of the draft Federal Fiscal Year (FFY) 2015 Intended Use Plans (IUP) for the Clean Water State Revolving Fund (CWSRF); and the Drinking Water State Revolving Fund (DWSRF). The CWSRF and DWSRF programs are available to municipalities and certain non-municipal entities in NYS to finance water quality and drinking water related projects.

EFC estimates that approximately \$573.3 million will be available for subsidized financing for the CWSRF during FFY 2015. This includes an estimated federal capitalization grant amount of \$100 million.

The DOH will receive an estimated \$36.3 million DWSRF federal capitalization grant of which a maximum of \$10.9 million may be made available for eligible hardship projects as additional subsidization as hardship grants. A specific breakdown of the DWSRF sources and uses will be included in the Draft IUP.

The IUPs include lists of projects that could receive reduced-interest subsidized and unsubsidized financing between October 1, 2014 and September 30, 2015, the next IUP financing period. The interest rate for subsidized financings is reduced by 50% (CWSRF) or 33.3% (DWSRF) of the market rate for bonds. Please visit EFC's website at [www.efc.ny.gov](http://www.efc.ny.gov) for more information.

### ELIGIBLE PROJECTS:

CWSRF - Municipal water pollution control projects eligible for CWSRF reduced interest subsidized and unsubsidized financing under Section 212 of the Clean Water Act (CWA) include, but are not limited to: wastewater treatment facilities and conveyance systems; combined sewer overflow abatement facilities; stormwater pollution abatement; energy efficiency initiatives; system security measures; water conservation, reuse and recycling systems; and other municipally-owned projects or portions of projects that maintain, protect or improve water quality.

Municipal and non-municipal entities may apply for CWSRF assis-

tance for non-point source projects that protect water quality under CWA Section 319 or implement an approved National Estuary Plan under CWA Section 320. Projects eligible for CWSRF reduced interest subsidized and unsubsidized financing include, but are not limited to: green infrastructure; land acquisition or conservation easements for water quality protection, including wellhead protection; brownfield remediation; contaminated soil and storage tank remediation; water quality aspects of landfills such as landfill leachate collection, storage and treatment, landfill gas collection and control, and landfill capping; stormwater management facilities and equipment; wetland and waterbody restoration; highway deicing materials storage; deficient or failing decentralized septic systems; or other non-point source projects to maintain, protect or improve water quality under Section 319.

DWSRF - Drinking water systems eligible for DWSRF reduced interest subsidized and non-subsidized financing are: municipal and privately-owned community water systems and non-profit, non-community water systems. Municipally-owned water systems are also eligible for reduced interest unsubsidized financing. Projects eligible for DWSRF financing include: construction, rehabilitation, and upgrading of water treatment plants; transmission and distribution mains; storage facilities; pump stations; interconnections; rehabilitation or development of new drinking water sources; consolidation of water supply services; upgrades to existing water systems; energy efficiency initiatives; and capital investments to improve security of drinking water systems.

### INTENDED USE PLANS & PROJECT LISTING:

Eligible entities interested in financing one or more projects not currently listed in the draft CWSRF IUP or draft DWSRF IUP should submit the appropriate IUP project listing information as soon as possible but no later than Monday, September 8, 2014 as provided below. For assistance with the IUP listing process, please call the EFC contact as listed at the end of this notice.

For CWSRF new projects, an applicant will need to provide a CWSRF Project Listing Form, an approvable engineering report or technical report, an executed engineering agreement for planning services (if those services are expected to be financed with the project), and a Smart Growth Assessment Form to EFC as soon as possible but no later than September 8, 2014 to be listed for financing of projects on the Annual List of the final CWSRF IUP. EFC uses a web-based portal for listing projects (Project Listing and Update System - PLUS) that can be accessed at <http://plus.efc.ny.gov/>.

For DWSRF projects, an applicant will need to provide a DWSRF Listing Form and an engineering report or plans and specifications to the DOH by Monday, September 8, 2014, or have completed construction, to be included on the Annual List in the final DWSRF IUP.

A notice regarding the availability of the draft IUP will be e-mailed to municipal applicants, system owners, financial advisors, consulting firms, and other interested parties. The draft CWSRF IUP will also be available on the EFC website at [www.efc.ny.gov](http://www.efc.ny.gov). The DWSRF IUP will also be available on the EFC website at [www.efc.ny.gov](http://www.efc.ny.gov) and the DOH website at [www.health.state.ny.us](http://www.health.state.ny.us) (click on the "Topics A to Z" button, "Drinking Water", and "Drinking Water State Revolving Fund").

### DUE DATE FOR COMMENTS:

Written comments on the draft IUPs must be submitted prior to the September 8, 2014 deadline.

CWSRF Program:

Submit comments by: Monday, September 8, 2014  
 Submit comments to: Timothy P. Burns, P.E., Director, Division of Engineering and Program Management, Environmental Facilities Corporation, 625 Broadway, Albany, NY 12207-2997, FAX: (518) 402-7456, e-mail: iupcomments@efc.ny.gov

DWSRF Program:  
 Submit comments by: Monday, September 8, 2014  
 Submit comments to: Michael Montysko, P.E., Design Section Chief, Bureau of Water Supply Protection, Department of Health, Empire State Plaza, Corning Tower Rm. 1135, Albany, NY 12237, FAX: (518) 402-7659, e-mail: BPWSP@health.state.ny.us, (if sent by email put Draft IUP comment in the subject line)

**WEBINAR:**

EFC and DOH will host a webinar to review the draft FFY 2014 CWSRF and DWSRF IUPs on Wednesday, August 13, 2014, at 10:00 AM. This event will present an overview of the 2015 CWSRF and DWSRF IUP, as well as an opportunity to answer questions. Log-on instructions for this webinar will be posted on EFC's website at [www.efc.ny.gov](http://www.efc.ny.gov).

**EFC & DOH CONTACTS:**

For assistance with the IUP listing process, or for information on these SRF programs, please contact:

CWSRF: Dwight Brown, SRF Program Services Coordinator, Division of Engineering and Program Management, Environmental Facilities Corporation, 625 Broadway, Albany, NY 12207-2997, (800) 882-9721 or (518) 402-7396, FAX: (518) 402-7456, e-mail: dwight.brown@efc.ny.gov

DWSRF Program: Michael Montysko, P.E., Chief of Design, Bureau of Water Supply Protection, Department of Health, Empire State Plaza, Corning Tower Rm. 1135, Albany, NY 12237, (800) 458-1158 ext. 27650 or (518) 402-7650, FAX: (518) 402-7689, e-mail: michael.montysko@health.ny.gov

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## Division of Homeland Security and Emergency Services

1220 Washington Avenue  
 State Office Building Campus  
 Building 7A  
 Albany, NY 12242

### GOVERNMENT OWNED CRITICAL INFRASTRUCTURE SITES; AND SPECIAL EVENT OR SEASONAL AT RISK LOCATIONS **Fiscal Year 2014 Critical Infrastructure Grant Program**

Fiscal Year 2014 Critical Infrastructure Grant Program seeks applications for up to \$50,000 in federal State Homeland Security Program funding made available by the NYS Division of Homeland Security and Emergency Services (DHSES) for critical infrastructure protection. The FY 2014 Critical Infrastructure Grant Program (CIGP) advances a common understanding of risk management. Applicants select a critical infrastructure site or special event or seasonal at risk location and complete a risk assessment. First responders assess their capability to prevent and protect against attacks on the site. Grant funding is then applied to mitigate vulnerabilities identified in the risk assessment or enhance first responder's capabilities.

The priority focus for the FY 2014 CIGP is government owned critical infrastructure sites; and special event or seasonal at risk locations. Examples of government owned sites include, but are not limited to,

government office buildings (city/town halls, courthouses), emergency services (emergency operations centers, 911 centers, police or fire stations), water systems (water treatment facilities, water distribution, wastewater treatments) and cyber security initiatives. Examples of a special event or seasonal at risk location include, but are not limited to, major community festivals, races, seasonal camps, or other mass gathering events. These locations must pose special security concerns, population surges and require additional law enforcement or emergency resources.

Only units of local government within targeted counties are eligible to apply for the FY 2014 CIGP. Units of local governments include: counties, cities, towns, and/or villages. Applicants must be located in New York City or one of the following targeted counties: Albany, Broome, Dutchess, Erie, Livingston, Madison, Monroe, Nassau, Niagara, Oneida, Onondaga, Ontario, Orange, Orleans, Oswego, Putnam, Rensselaer, Rockland, Saratoga, Schenectady, Schoharie, Suffolk, Sullivan, Wayne, Westchester, and Yates. The application must be coordinated with at least two (2) agencies with prevention and/or protection responsibilities at the selected site. These must be law enforcement, fire department, emergency management, or public works agencies.

Applications will be accepted from June 13, 2014 through 11:59 p.m. on August 4, 2014 via e-mail to [grants@dhse.ny.gov](mailto:grants@dhse.ny.gov).

For the Request for Applications (RFA) please visit the Division of Homeland Security and Emergency Services (DHSES) website at <http://www.dhse.ny.gov/grants> or DHSES's Grant Hotline at (866) 837-9133.

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### LOCAL, COUNTY AND TRIBAL LAW ENFORCEMENT AGENCIES

#### **Fiscal Year 2014 Explosive Detection Canine Team Grant Program**

Fiscal Year 2014 Explosive Detection Canine Team Grant Program seeks applications for up to \$60,000 in federal State Homeland Security Program funding made available by the NYS Division of Homeland Security and Emergency Services (DHSES) for programs to develop and support explosive detection canine team capabilities. The six primary objectives of this grant are: 1.) The advancement of explosive detection canine team capabilities; 2.) The certification of explosive detection canine teams and compliance with annual recertification requirements; 3.) Participation in DHS's Office for Bombing Prevention's (DHS-OBP) Explosive Detection Canine Capability Analysis Program; 4.) Alignment to New York State's thirteen FBI Accredited bomb squads; 5.) Use of the Bomb Arson Tracking System (BATS); and, 6.) Promotion of regional partnerships in the development of mutual explosive detection canine team capabilities. Grants will be awarded to support local, county and Tribal law enforcement agencies with an active road patrol component of their law enforcement operations.

Applications will be accepted from June 11, 2014 through 11:59 p.m. on July 25, 2014 through the State's Electronic Grants Management System (E-Grants).

For the Request for Applications (RFA) please visit the Division of Homeland Security and Emergency Services (DHSES) website at <http://www.dhse.ny.gov/grants> or DHSES's Grant Hotline at (866) 837-9133.

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LOCAL, COUNTY, AND TRIBAL LAW ENFORCEMENT AGENCIES WITH AN ACTIVE TACTICAL TEAM AS PART OF THEIR LAW ENFORCEMENT OPERATIONS THAT RESPONDS TO CALLS FOR SERVICE OUTSIDE OF A CORRECTIONAL SETTING

**Fiscal Year 2014 Tactical Team Grant Program**

Fiscal Year 2014 Tactical Team Grant Program seeks applications for up to \$100,000 in federal State Homeland Security Program funding made available by the NYS Division of Homeland Security and Emergency Services (DHSES). The focus of this grant opportunity is to improve a tactical team's capabilities and help to develop new response capabilities as it relates to high-risk incidents including active shooter events and other counter terrorism missions.

Grants will be awarded to local, county, and tribal law enforcement agencies with an active tactical team as part of their law enforcement operations that responds to calls for service outside of a correctional setting. The four primary objectives of this grant are to: 1) Advance tactical team capabilities through the attainment and sustainment of the minimum standards within this community; 2) Encourage and support training among law enforcement specialty teams to include bomb squads and explosive detection canine teams; 3) Promote regional partnerships in the development and build-out of tactical team capabilities, and 4) Participate in DHS's Office for Bombing Prevention's (DHS-OBP) SWAT Capability Analysis Program. Applications will be accepted through 11:59 p.m. on August 4th, 2014 through the State's Electronic Grants Management System (E-Grants).

For the Request for Applications (RFA) please visit the DHSES website at <http://www.dhSES.ny.gov/grants> or DHSES's Grant Hotline at (866) 837-9133.

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LOCAL GOVERNMENTAL ENTITY EMERGENCY RESPONSE TEAMS

**Fiscal Year 2014 Technical Rescue and Urban Search and Rescue (USAR) Grant Program**

Fiscal Year 2014 Technical Rescue and Urban Search and Rescue (USAR) Grant Program seeks applications for up to \$100,000 in federal State Homeland Security Program funding made available by the NYS Division of Homeland Security and Emergency Services (DHSES) for programs to develop and support technical rescue and USAR team capabilities.

Grants will be awarded to local governmental entity emergency response teams that provide technical rescue and USAR related services involving structural collapse, trench, confined space, waterway/flood and rope rescues to respond to and recover from acts of terrorism and other catastrophic events. The three primary objectives of this grant are: (1) advancement of Technical Rescue and USAR capabilities; (2) development of regional response capabilities; and (3) increasing the resource typing of Technical Rescue and USAR resources in New York State.

Applications will be accepted from June 17, 2014 through 11:59 p.m. on July 29, 2014 via e-mail to [grants@dhSES.ny.gov](mailto:grants@dhSES.ny.gov).

